



UNIVERSITÀ DEGLI STUDI DI TORINO

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- 1 Identification of fungal ene-reductase activity by means of a functional screening.
- 2 Alice Romagnolo^a, Federica Spina^a, Elisabetta Brenna^b, Michele Crotti, ^b Fabio
- 3 Parmeggiani^b and Giovanna Cristina Varese^{a*}
- ^a Department of Life Science and Systems Biology, University of Turin, viale P. A.
- 5 Mattioli 25, 10125 Turin, Italy
- ^b Department of Chemistry, Materials and Chemical Engineering "G. Natta",
- 7 Politecnico di Milano, via L. Mancinelli 7, 20131 Milan, Italy

*Corresponding author (G.C. Varese)

Tel.: +39 0116705984;

Fax: +39 0116705962

E-mail address: cristina.varese@unito.it

Postal address: viale P.A. Mattioli 25, 10125 Turin, Italy

- 8 E-mail: alice.romagnolo@unito.it; federica.spina@unito.it; elisabetta.brenna@polimi.it;
- 9 <u>michele.crotti@chem.polimi.it; cristina.varese@unito.it</u>
- 10
- 11 Abstract
- Bioeconomy stresses the need of green processes promoting the development ofnew methods for biocatalyzed alkene reductions.

14 A functional screening of 28 fungi belonging to Ascomycota, Basidiomycota

and Zygomycota isolated from different habitats was performed. Their capability to

16 reduce C=C double bonds was evaluated towards three substrates (cyclohexenone, α-

- 17 methylnitrostyrene and α -methylcinnamaldehyde) with different electron-withdrawing
- 18 groups, i.e., ketone, nitro and aldehyde, respectively.

19 Almost all the fungi showed this reducing activity. Noteworthy *Gliomastix*

- 20 masseei, Mucor circinelloides and Mucor plumbeus resulted very versatile and
- effective, being able to reduce all the model substrates quickly and with high yields.

22 Keywords

23 Biocatalysis, filamentous fungi, ene-reductases, α , β -unsaturated compounds,

24 bioreduction.

25 1. Introduction

Nowadays, the synthesis of molecules with biotechnological exploitations is mainly done by traditional chemical processes, which generally have high costs and environmental impact. The growing awareness about safety problems brought to restrain the use of chemical catalysts as heavy metals or unsafe gasses that require harsh working conditions in terms of temperature and pressure (Faber, 2011).

31 On the other hand, biocatalysts seem to be a viable alternative to traditional 32 methods for the minimal environmental impact due to the low energy demand, waste 33 and by-products formations and for the reduced process costs. Moreover, biocatalysis is 34 a powerful tool to obtain chiral molecules in enantiomerically pure form, which are 35 highly valued for instance in the pharmaceutical field (Soartet and Vandamme, 2010). 36 The reduction of C=C double bonds conjugated with different electron-37 withdrawing groups (EWG) such as carbonyl, nitro and ester can be catalyzed by Ene-38 Reductases (E.C. 1.6.99.1, ERs). Most of the known ERs are flavin-dependent 39 oxidoreductases belonging to the Old Yellow Enzyme family, which require NAD(P)H 40 as cofactor (Stuermer et al., 2007). They were first described in Saccharomyces 41 pastorianus (Stott et al., 1993) and S. cerevisiae (Karplus et al., 1995); in the following 42 years, many ERs were described in other yeasts, bacteria, plants and animals, but still 43 little is known about their occurrence in filamentous fungi (Stuermer et al., 2007). To 44 date, these enzymes were poorly investigated at molecular and structural level in these 45 microorganisms. These studies are complex because only a few genomes are completely 46 sequenced and hence it is very difficult to investigate the presence of genes coding for 47 ERs in filamentous fungi. Moreover, no information is available relating to the structure

of these proteins in these microorganisms and to date no ERs have been purified and
characterized from this source. Otherwise, for some yeasts, bacteria and plants the
presence of ERs at molecular level, their structure and their characterization have been
extensively investigated. Their biological role is still unknown although some authors
suggested their involvement in the stress response (Brigè et al., 2006).

Several authors described the capability of filamentous fungi to reduce the C=C
double bonds of a single substrate or of a set of compounds belonging to the same
structural class (Arnone et al., 1990; Fuganti et al., 1998 a; Hall et al., 2006;
Skrobiszewski et al., 2013). To date, the main functional screening of filamentous fungi
was performed by Carballeira et al. (2004). Among the 241 fungi, only 3 were capable
to reduce the C=C double bond of carvone.

Considering the natural biodiversity and the broad heterogeneous enzymatic pattern, filamentous fungi are indeed excellent biocatalysis agents. Actually, some strains or their enzymes are formerly used in the production of building blocks of pharmaceuticals, agrochemicals or fragrances (Colwell, 2002; Gavrilescu and Chisti, 2005). On the whole, there is a strong need to identify potential biocatalysts to enlarge the portfolio of microorganisms and enzymes to be used for synthetic applications.

65 Regarding ERs, a validated enzymatic assay has not yet been developed, to date. 66 So far, the only available method is related to the oxidation of the NAD(P)H by purified 67 enzymes (Gao et al., 2012). Its applicability is limited because many unsaturated 68 substrates commonly used in biocatalysis absorb at the same wavelength of the cofactor. 69 Since it is not possible to verify directly the presence of these enzymes in fungi, either 70 with molecular methods or enzymatic assays, a screening to identify the products of the 71 reaction that probably involved ERs is a convenient approach. In addition, this method 72 also allows to analyze a wide biodiversity of microorganisms.

73	Data comparison to literature is difficult due to the few model substrates used to					
74	investigate ERs activity, since this enzymatic activity has been poorly deepened. Few					
75	studies take into consideration more than one compound. For example, Goretti et al.					
76	(2011) described a whole-cell system of non-conventional yeasts in the bioconversion					
77	of α , β -unsaturated ketones and aldehydes.					
78	The present study aims to identify filamentous fungi showing ER activity. A					
79	functional screening was set up using 28 fungi belonging to Ascomycota,					
80	Basidiomycota and Zygomycota, isolated from different habitats. Three representative					
81	model substrates characterized by different EWGs (ketone, nitro and aldehyde)					
82	conjugated with the C=C double bond were selected. The reduction of the C=C double					
83	bonds was followed by GC/MS analysis.					
84	2. Materials and Methods					
85	2.1 Fungi					
86	The fungi belong to different physiological and taxonomical groups and were					
87	isolated from different habitats (Table 1). They are preserved at the Mycotheca					
88	Universitatis Taurinensis (MUT, Department of Life Sciences and Systems Biology,					
89	University of Train					
00	University of Turin).					
90	2.2 Chemicals					
90 91	2.2 ChemicalsCyclohexenone (CE) and α-methylcinnamaldehyde (MCA) were purchased from					
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99 which the fungal inoculum for liquid cultures was set up. When possible, a conidia 100 suspension was prepared $(1 \cdot 10^6$ conidia final concentration in flask). Otherwise, the 101 inoculum was made by homogenizing agar squares derived from the margins of an 102 overgrown colony together with sterile water (1 cm²/ml). Fungi were inoculated in 50 103 ml flasks containing 30 ml of malt extract liquid medium. Flasks were incubated at 25 104 °C and were maintained in agitation (110 rpm) in the dark.

After two days of pre-growth, the substrates were separately added (5 mM final
concentration). For each substrate, three biological replicates were run.

107 The experiment was run for 7 days: 1 ml of cultural broth was collected after 2,

108 4 and 7 days and extracted by two-phase separation using 0,5 ml of methyl *t*-butyl ether

109 (MTBE) as solvent. The organic phases were dried over anhydrous Na₂SO₄ and

110 analyzed by means of GC/MS.

After two days, one flask for each fungus was sacrificed to measure the initial biomass and pH. Those parameters were also evaluated for all the flasks at the end of the experiment. The liquid was separated from the biomass by filtration and was used for pH measurement. The mycelia were dried at 60 °C for 24 h to measure the biomasses dry weight.

116 2.4 GC/MS analysis

117 GC/MS analyses were performed on an Agilent HP 6890 gas chromatograph 118 equipped with a 5973 mass detector and an HP-5-MS column (30 m \times 0.25 mm \times 0.25 μ m, Agilent), employing the following temperature program: 60 °C (1 min) / 6 °C min⁻¹ 119 / 150 °C (1 min) / 12 °C min⁻¹ / 280 °C (5 min). GC retention times: cyclohexenone 120 121 (CE) 5.40 min, cyclohexanone (CO) 4.65 min, cyclohexanol (COH) 4.45 min, (E)-α-122 methylnitrostyrene (MNS) 17.7 min, (Z)- α -methylnitrostyrene (MNS) 15.6 min, 2-123 nitropropylbenzene (NPB) 14.8 min, α-methylcinnamaldehyde (MCA) 14.7 min, α-124 methylcinnamyl alcohol (MCOH) 15.5 min, α -methyldihydrocinnamyl alcohol

125 (MDHCOH) 13.6 min. The enantiomeric excess (ee) values of MDHCOH was

126 determined by GC analysis, performed using a Chirasil Dex CB column (0.25 μ m \times

127 0.25 mm \times 25 m, Varian), according to the following conditions: 60 °C / 5 °C min⁻¹ / 95

128 °C (25 min) / 50 °C min⁻¹ / 220 °C (10 min). GC retention times: (*R*)-enantiomer 26.6

- 129 min, (S)-enantiomer 27.9 min.
- 130 **3. Results and Discussion**

The results of the biotransformation of the three substrates by the 28 fungi are shown in Table 2, the maximal percentage of C=C double bonds reduction and the timing of the reaction are reported. Four groups were established on account of the rate of substrates transformation by means of a putative ER activity: group A 100-75 %;

135 group B 74-50 %; group C 49-25 % and group D 24-0 %.

Regarding pH measurements, the cultural broth of each fungus remained
unchanged during the experiments. The pH values ranged between 3 and 6 and seemed
to depend on the metabolism of each fungus; variations due to the addition of substrates
were not detected.

140 3.1 CE biotransformation

141 Almost all the fungi (96,4 %) were able to reduce the C=C double bond of CE

among which 75 % could be listed in group A. The other fungi were 3,6 % in group B,

143 11 % in group C and 11 % in group D. As it can be seen in Table 2, 11 out of 28 fungi

144 were able to completely transform this substrate within two days (*C. herbarum*, *G.*

145 masseei, P. citrinum, S. fimicola, T. viride, A. cylindracea, A. splendida, Coprinellus

sp., *T. pubescens*, *M. circinelloides*, *M. plumbeus*). On the whole, the majority of the

147 fungi were not only able to reduce the C=C double bond of this substrate but also

148 reached the complete biotransformation of this molecule.

149 CE is a well-accepted substrate; in this study only one fungus out of 28 was
150 ineffective towards this compound. Ketonic substrates have been frequently considered

in the literature; for example, Gatti et al. (2014) discussed several ketone substrates suchas carvone or ketoisophorone used in bioconversions that involved ERs.

153 The results obtained in this study may be compared with literature. In particular, 154 two fungi belonging to the genus *Mucor* were very effective toward CE confirming the 155 results obtained by Fuganti and Zucchi (1998 b).

Other authors analyzed the biotransformation of molecules with ketonic EWG with a basic scaffold similar to CE. Skrobiszewski et al. (2013) described a strain of *P. ostreatus* effective towards C=C double bonds reduction; this data was confirmed also by the strain used in this study. *Absidia glauca* and *Beauveria bassiana* were poorly effective towards C=C double bonds reduction of CE while the strains used by

161 Carballeira et al. (2004) and Fuganti and Zucchi (1998 b) reduce ketonic substrate with

162 high yield in benzalacetones derivatives.

163 CE biotransformation led to the identification of two products (Figure 1):

164 cyclohexanone (CO) in which ER activity is involved in the reduction of C=C double

bond, and cyclohexanol (COH) in which an alcohol dehydrogenase (ADH) reduces the

166 C=O bond. Most of the fungi (67 %) convert CE into COH showing the action of ERs

and ADHs, while 26 % preferentially reduced the C=C double bond, producing only

168 CO. When the reduction was slow, it was possible to define a putative reaction profile

169 in which the two enzymes act in cascade (Figure 2). In most cases, the reaction was

170 very fast and only the formation of COH was detected.

171 The same reaction profile was hypothesized by other authors in the reduction of

analogous substrates of CE (Fuganti et al., 1998 a; Fuganti and Zucchi, 1998 b;

173 Carballeira et al., 2004; Hall et al., 2006; Stuermer et al., 2007; Skrobiszewski et al.,

174 2013).

175 3.2 MNS biotransformation

The C=C double bond of this substrate was reduced by 82 % of the fungi (Table
2), among which 14 % could be listed in group A. The other fungi were 7,1 % in group
B, 25 % in group C, and the majority (53,6 %) in group D. As it can be seen in Table 2,
two fungi out of 28 (*A. niger* and *M. circinelloides*) were very active and reached an
almost complete conversion of the substrate within 2 days.

181 MNS biotransformation led to the identification of 2-nitropropylbenzene (NPB) 182 as the sole product through the reduction of a C=C double bond by ER activity (Figure 183 3). Since the stereogenic center of the reduced product is too labile under the reaction 184 conditions no reliable ee values could be obtained.

Although nitrostyrene derivatives are good substrates for ERs (Toogood et al., 2008; Gatti et al., 2014), to our knowledge, this is the first report of the reduction of the C=C double bonds of nitroalkenes by filamentous fungi. A whole-cell system using *Saccharomyces cerevisiae* in the reduction of the C=C double bond of MNS was reported by Kawai et al. (2001). These authors obtained yields comparable to the fungi gathered in group A.

191 3.3 MCA biotransformation

192 The C=C double bond of this substrate was reduced by 35,7 % of the fungi 193 (Table 2) among which only 7,1 % in group A. The other fungi were 3,6 % in group B 194 and 89,3 % in group D. Two fungi, *M. circinelloides* and *M. plumbeus*, completely 195 converted MCA within 2 days into the (S)-enantiomer of the corresponding saturated 196 alcohol MDHCOH, showing an ee value of 80 %. This result is promising compared to 197 literature: Fronza et al. (2009) reported a conversion rate of 12 % and ee value of 70 % 198 ((S)- enantiomer) in the reduction of MCA with S. cerevisiae whole-cell. 199 The difficulty to reduce MCA has been also found by other authors. For example 200 Goretti et al. (2011) screened non-conventional yeasts but only Kazachstania 201 spencerorum out of 23 microorganisms was able to convert this substrate (60 %).

202 The MCA biotransformation led to the identification of two products, probably 203 involving two enzymes (Figure 4). The reduction of C=C and C=O double bonds led to 204 the formation of α -methyldihydrocinnamyl alcohol (MDHCOH) by means of ERs and 205 ADHs. The reduction of the aldehyde EWG by ADHs before the C=C reduction could 206 take place, led to the formation of α -methylcinnamyl alcohol (MCOH). Since the 207 MCOH lacks the necessary EWG, it is not a substrate for ERs and consequently 208 accumulates in the medium. In our experiments, two fungi (A. glauca and E. nigrum) 209 formed MCOH showing only ADH activity. By contrast, 8 fungi formed a mixture of 210 MCOH and MDHCOH, preferentially reducing the aldehydic group. This reaction 211 profile was noticed also by other authors for S. cerevisiae (Gatti et al. 2014). 212 3.4 General considerations

213 The screening clearly showed that the EWG on the C=C double bonds strongly 214 influenced the reaction rate of the various strains tested. Probably the catalytic activity 215 of the enzymes was also affected by the steric hindrance of the other substituents and by 216 electronic effects, as widely discussed by Stuermer et al. (2007) and Gatti et al. (2014). 217 In detail, the substrates were transformed following this outline: CE>MNS>MCA. CE 218 was the most easily converted substrate, due to the presence of a strong EWG (ketone) 219 and only two substituents on the double bond, both with a modest steric hindrance. 220 MNS and MCA share the same basic scaffold, with higher steric hindrance due to the 221 aromatic ring, but they differ in the EWGs (nitro and aldehyde, respectively): the higher 222 conversion of MNS can be justified by the much higher electron-withdrawing power of 223 the nitro group.

It has to be noticed that this enzymatic activity appears to be genus specific. For instance, the strains of *Mucor* reached the same conversion yields in the biotransformation of all the substrates. Whereas, the strains of *Penicillium* behave differently towards the substrates analyzed (Table 2). This consideration makes the

screening for the selection of strains for definite reactions a required step for theanalysis of the intraspecific variability.

The fungi afforded very different yields in the conversion of these substrates. This may not only be due to the different affinity of the enzyme for the substrate but also to an activation of the secondary metabolism of the fungus that would lead to the production of putative ERs in the presence of different substrates.

234 3.5 Biomasses

235 On the whole, all fungi were able to grow with the substrates. In some cases 236 weight differences have been reported in the three biological replicates despite the 237 biomass seems to be very similar morphologically. For this reason, some standard 238 deviations were high. In particular, as it can be seen in Figure 5 each substrate had a 239 different effect on the biomass production. It was not possible to measure the biomass 240 of the yeast G. cucujoidarum. The growth of this fungus was measured by cell counting using a Burker chamber and the growth was about $3.6 \cdot 10^8$ cells/ml in each of the 241 242 replicates.

Interestingly, CE seems to stimulate the production of biomass in almost all the
fungi. For almost all the fungi, the presence of MNS seems to cause a scarce
development of the biomass. In fact, at the end of the experiment they were lower than
the initial growth. For example, *P. citrinum* and *C. funicola* displayed a biomass loss of
80 % suggesting that this compound may inhibit the biomass growth. Despite this
strong biomass decrease, many fungi reached very high conversion yields.

The addition of MCA partially inhibited the development of biomass in almost all
the fungi. The fungus that displayed the highest biomass loss (-91 %) was *C. funicola*.
In general, the biomass growth is an important parameter that most often is not
taken into account by other authors, reason for which it is not easy to make comparisons
with other studies. Regarding CE, a correlation between biomass and ER activity is not

possible because the majority of the fungi increased the biomass independently of the
biotransformation yield. For example, *A. glauca* displayed a biomass loss of 40 % but
reached the total biotransformation of CE. On the other hand, *B. bassiana* displayed a
growth of over 80 %, although it was not able to convert this substrate.

Even in the case of MNS and MCA a proper correlation between the biomass

growth and the biotransformation yields was not possible. In particular, for these

260 compounds the majority of the fungi displayed a decrease in biomass. Nonetheless, the

261 fungi that reached the highest conversion rate had an important biomass loss. For

262 example *M. circinelloides* almost totally converted MNS but during the trial this fungus

had a weight loss of 40 %. Similarly, *M. plumbeus* almost totally converted MCA and

had a biomass decrease of 45 %. The few fungi that increased the biomass showed two

265 behaviors: either partial conversion of the two substrates with low yields or

266 ineffectiveness towards these compounds.

Since the biomass development implicates the primary metabolism, and a
correlation between the biomass development and the biotransformation yields cannot
be drawn, the involvement of the secondary metabolism in the conversion of CE, MNS
and MCA may be hypothesized.

4. Conclusions

272 The screening highlighted that ER activity is widespread in filamentous fungi. In

273 fact, 27 out of 28 microorganisms reduced at least one substrate. Consequently,

although the biological role of ER is still unknown, this activity may be involved in the

secondary metabolism of the microorganisms analyzed.

M. circinelloides, M. plumbeus and *G. masseei* resulted the most versatile strains
converting all the substrates analyzed, with the highest yields. Moreover, this study also
highlighted problems related to substrate selection: by now, several chemical classes

- 279 have been investigated, but these activities are difficult to compare due to the lack of
- validated model compounds.

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Table 1: list of the strains analyzed during the screening and their isolation site (MUT:

accession number).

Fungi	MUT Species		Isolation site				
	3874	Aspergillus niger	air				
	1720	Beauveria bassiana	air				
	1087	Botrytis cinerea	fresco of Botticelli				
	3726	Chaetomium funicola	dried Boletus fungi from Europe				
	3856	Cladosporium herbarum	air				
	3848	Epicoccum nigrum	air				
	4824	Geotrichum cucujoidarum	wastewater of a tanning industry				
	4855	Gliomastix masseei	Flabelia petiolata (marine algae)				
4	281	Mesobotrys simplex	cultivated soil				
SCI	1749	Myxotrichum deflexum	air				
A	1381	Oidiodendron maius	roots of <i>Vaccinium myrtillus</i> (black raspberry)				
	4862	Penicillium citrinum	Flabelia petiolata (marine algae)				
	4831	Penicillium purpurogenum	wastewater of a tanning industry				
	4892	Penicillium vinaceum	Padina pavonica (marine algae)				
	4833	Scopulariopsis sp.	wastewater of a tanning industry				
	1148	Sordaria fimicola	Picea abies (norway spruce)				
	1166	Trichoderma viride	tallus of Parmelia taractica (lichen)				
	3788	Trichurus spiralis	book pages				
	2753	Agrocybe cylindracea	carpophore				
Ŧ	2755	Agrocybe farinacea	carpophore				
dio	3696	Agrocybe splendida	carpophore				
asi	4897	Coprinellus sp.	Padina pavonica (marine algae)				
В	2976	Pleurotus ostreatus	carpophore on <i>Populus</i> sp. (poplar)				
	2400	Trametes pubescens	carpophore on <i>Populus</i> sp. (poplar)				
	1157	Absidia glauca	tallus of Peltigera praetextata (lichen)				
Ļ	2769	Mucor plumbeus	air				
yg(44	Mucor circinelloides	-				
Ζ	2770	Syncephalastrum racemosum	air				

Table 2: maximal percentage of C=C double bond reduction. According to the
conversion of the substrates, four groups were defined: group A: 100-75 %; group B:
74-50 %; group C: 49-25 %; group D: 24-0 %. The table shows also the timing of the
reactions.

Fungi		Conversion %			Group			Days		
		CE	MNS	MCA	CE	MNS	MCA	CE	MNS	MCA
	A. niger	57	97	0	В	А	D	2	2	7
	B. bassiana	0	0	0	D	D	D	7	7	7
	B. cinerea	10	0	0	D	D	D	2	2	2
	C. funicola	89	30	0	Α	С	D	2	7	7
	C. herbarum	100	24	3	А	D	D	2	7	2
	E. nigrum	30	32	0	С	С	D	7	7	7
	G. cucujoidarum	32	26	0	С	С	D	7	7	7
	G. masseei	100	72	50	А	В	В	2	2	4
Ł	M. simplex	100	18	0	Α	D	D	7	7	7
Asc	M. deflexum	100	44	0	Α	С	D	7	7	7
₹4	O. maius	100	20	12	Α	D	D	4	2	2
	P. citrinum	100	98	3	Α	Α	D	2	7	2
	P. purpurogenum	85	0	0	А	D	D	7	7	7
	P. vinaceum	23	11	0	D	D	D	7	7	7
	<i>Scopulariopsis</i> sp.	100	17	0	А	D	D	7	7	7
	S. fimicola	100	32	18	Α	С	D	2	2	7
	T. viride	100	30	10	Α	С	D	2	7	7
	T. spiralis	100	0	0	Α	D	D	7	7	7
	A. cylindracea	100	0	0	А	D	D	2	7	7
Ŧ	A. farinacea	100	13	0	А	D	D	4	7	7
idic	A. splendida	100	34	0	А	С	D	2	7	7
asi	Coprinellus sp.	100	11	5	Α	D	D	2	7	7
B	P. ostreatus	100	19	0	Α	D	D	7	7	7
	T. pubescens	100	52	14	Α	В	D	2	4	4
Zygo-	A. glauca	35	22	0	С	D	D	2	7	7
	M. circinelloides	100	82	100	А	А	А	2	2	2
	M. plumbeus	100	79	98	Α	А	Α	2	7	2
	S. racemosum	100	16	0	Α	D	D	7	7	7

- **Figure 1**: putative CE reaction profile. ER: ene-reductase, ADH: alcohol
- dehydrogenase, CE: cyclohenanone, CO: cyclohexanone, COH: cyclohexanol.



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372 Figure 2: products formation profile of *P. citrinum* during the experiment (2, 4 and 7

days). CE: cyclohenanone, CO: cyclohexanone, COH: cyclohexanol.



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376 methylnitrostyrene, NPB: 2-nitropropylbenzene.



- **Figure 4**: putative MCA reaction profile. ER: ene-reductase, ADH: alcohol
- 379 dehydrogenase, MCA: α-methylcinnamaldehyde, MCOH: α-methylcinnamyl alcohol,
- 380 MSHCOH: α-methyldihydrocinnamyl alcohol.



Figure 5: biomass dry weight measurement. Comparison between the pre-growth and
the end of the trial for each substrate. The y axis represent the biomass weight (mg) and
the x axis represent the fungi used in this study. Since it was not possible to measure the
biomass (mg) of the yeast *G. cucujoidarum*, this fungus was not included in the figure.

