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Title: Prediction of the dry-milling performance of maize hybrids through hardness-associated properties.

Running title: Dry-milling performance and maize hardness tests

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1 **Abstract**

2 BACKGROUND: The hardness of kernels determines the dry-milling processing
3 performance of maize hybrids. The identification of the best maize hybrids for
4 the dry-milling process requires a limited number of simple, practical and
5 reliable tests that are able to predict the potential grit yield.

6 RESULTS: 119 samples from different genetic and environmental backgrounds,
7 collected over 3 years, have been analyzed for the coarse-to-fine ratio (C/F),
8 floating test (FLT), protein content (PC), kernel sphericity (S), total milling
9 energy (TME) and test weight (TW). The total grit yield (TGY) of each sample
10 has been obtained through a micromilling procedure, based on the manual
11 separation of kernel endosperm, followed by grinding and sieving under
12 standard operation conditions, The TGY has been used to establish the
13 capability of the tests to predict the dry-milling aptitude. Single and multiple
14 linear regression analysis were performed to establish the prediction equations
15 of the TGY values, using C/F, FLT, PC, S, TME and TW as independent
16 variables. The analysis were performed on 3 data set, clustered year by year of
17 the sample collection and analysis, and the resulting average coefficients of
18 determination (R^2) were compared through an analysis of variance. C/F, FLT
19 and TME and, to a lesser extent TW, appeared to be easy-to-use independent
20 descriptors of maize dry-milling. An improved model prediction ability was
21 observed when different combinations of a few physical and chemical properties
22 were used as input variables. However, the models in which 3 or more variables
23 were used did not lead to any significant improvement in TGY prediction
24 compared to the smaller models.

25 CONCLUSION: This study contributes towards establishing the best predictor of
26 maize kernel aptitude to dry-milling processes. Of all considered data sets, a
27 milling evaluation factors (C/F or TME), associated with kernel density,
28 measured by means of the FLT, showed the best predictive ability for dry-milled
29 product yields.

30

31 **Keywords:** maize quality properties, dry-milling, hardness methods.

32 **Introduction**

33 Maize kernel hardness is an important grain quality attribute that plays a key
34 role in the processing of cereal grains and in the end-use quality of cereal grain
35 products¹. In the dry-milling process, maize is run through a series of mills with
36 various roller gaps, and different products are produced on the basis of the
37 various particle sizes². The most common products obtained in the maize
38 milling industry are prime or large grits, which are characterized by a higher
39 particle size than 700 μm , and are considered desirable by dry-millers because
40 of their high economic value. The maize used for dry-milling should be hard,
41 with large kernels, and with pericarps and germs that are easy to remove during
42 the process^{3, 4}. Softer kernels can reduce the efficiency of the extraction yield of
43 this process^{5,6}.

44 The physical and biochemical aspects of maize hardness has been described in
45 numerous publications, and several different tests have been suggested to
46 determine the hardness of maize⁷. These include methods based on the
47 physical characteristics, such as kernel size and shape, weight and density,
48 resistance to grinding or to abrasion, quantification of coarse and fine material
49 after grinding and sieving and ground material viscosity or on the biochemical
50 characteristics, including protein, starch, oil and ash content and composition.
51 Among the indirect measurements, NIR has been used in both reflectance and
52 transmission modes to estimate maize hardness⁸. Most of these methods
53 provide variable information on the range of hardness of a maize sample and
54 the correlations between quality measurements and end-use processing
55 performance reported in scientific literature varies to a great extent^{9,10,11}.
56 Moreover, in spite of the importance of hardness in dry-milling and the number

57 of studies that have been published on this subject, there is still no generally
58 accepted standard for the evaluation of the physical properties of maize kernels
59 associated with processing performance¹². Lee *et al.*¹¹ suggest that conducting
60 only a few test emphasizes the risk of misclassification of maize hybrids.
61 Therefore, grouping maize samples, and taking into account more hardness-
62 associated physical and chemical properties simultaneously, could be a safer
63 and more reliable way of determining the overall hardness of maize kernel or
64 their aptitude to transformation. On the other hand, the evaluation of maize
65 hybrids for the dry-milling performance requires a limited number of simple,
66 practical and reliable tests, which could help breeders, producers and
67 processors predict their potential grit yield.

68 A previous study¹³, pointed out which quality kernel factors and hardness tests,
69 among the most commonly used ones, are more closely correlated to grit yield,
70 in order to improve the description of maize hardness measurement in relation
71 to this specific end-use value. Among the compared hardness-associated
72 properties, quantification of coarse and fine material after grinding (C/F), kernel
73 density by means of the floating test (FLT) and resistance to grinding (TME)
74 resulted to be good descriptors of maize milling ability. Among the more simple
75 and less time-consuming tests, test weight (TW), protein content (PC) and
76 kernel sphericity (S) showed a good correlation with grit yield.

77 The aim of the present study was to analyze the relationship existing between
78 some of the most simple and easily applied hardness tests and the grit yield, in
79 order to compare the predictability of different single and multiple linear models
80 and develop a standard set of criteria to help maize producers, breeders and
81 processors identify the most suitable grains for dry-milling.

82

83

84 **Experimental**

85 Maize grain samples

86 During the years 2007, 2008 and 2009, overall 36 commercial and pre-
87 commercial grain maize hybrids were grown in strip-test fields in North Italy.

88 The selected hybrids were considered representative of maize yellow hybrids
89 commonly grown in North Italy. The 36 different maize hybrids, which were

90 tested for several quality kernel factors and hardness tests, are listed in Tables

91 1. The plot size was 100 m by 8 rows, and the row spacing was 0.75 for each

92 year and in each site. The experimental fields were cultivated adopting the

93 normal agronomic techniques of each site. The number of maize grain samples

94 collected each year was 41, 36 and 42 in 2007, 2008 and 2009, respectively.

95 The number of different maize hybrids and the number of sites in which the

96 samples were collected each year is reported in Table 2.

97 At the harvest, one hundred ears were collected by hand for each hybrid at the

98 end of maturity (moisture content of the grains between 20-26%) and shelled

99 using an electric sheller, with minimum kernel breakage. The kernels were

100 mixed thoroughly to obtain a random distribution of the kernels and a 3 kg

101 sample was slowly air-dried at low-temperature (40 °C for 48 h) to a ≈13%

102 moisture content and stored in a cool room at 5°C and 30% RH until required.

103 After storage, the kernels, equilibrated with the air in the cool room, resulted in a

104 mean moisture content of ≈10% when tested. All the samples were equilibrated

105 to room temperature (24±1°C) in paper bags for 48 h before the tests.

106 The harvest and drying procedure was conducted to avoid as low as possible
107 the kernel stress cracking, in order to reduce the possible interaction between
108 the internal cracks, the grits yield and the hardness measurements⁷.

109

110 Measurement of the dry-milling yield of the grits and quality factors

111 The determination of dry-milling yield of the grits and all the other tests were
112 only performed on typical, flat-shaped, whole kernels from the middle part of the
113 ear, free from defects, which were selected visually from each sample.

114

115 ***Micromilling procedure (TGY).*** A micromilling procedure was used according
116 to Yuan and Flores¹⁴ to process the maize grain sample and provide an index of
117 the efficiency of the quality tests for dry-milling processing. Twenty intact, whole
118 kernels were soaked in distilled water for 1 h at room temperature ($24 \pm 1^\circ\text{C}$)
119 and the bran pericarp and germ were removed manually using a scalpel. The
120 procedure was always performed by the same trained researcher to ensure a
121 standardized determination and avoid subjective determination. The obtained
122 endosperms were conditioned in a oven at 40°C for 48 h, and were then ground
123 and sieved using the same procedure as in the particle size index test. The total
124 grit yield (TGY), corresponding to a percentage of the fraction from 2.000 to 700
125 μm , was chosen to represent the main products obtained in the conventional
126 dry-milling industry⁴. This procedure was conducted 3 times for each maize
127 sample.

128 The TGY was expressed as a percentage of the total dry-milled fractions
129 (g kg^{-1}). Since this procedure achieved a good separation of the bran, germ and
130 endosperm¹⁴, and the grounding operations were conducted under standard
131 conditions for all the compared maize samples, micromilling can be considered

132 to provide a good index of dry-milling performances. To confirm its
133 representativeness, the TGY, obtained with the micromilling procedure, has
134 been compared to the grits yield achieved in an industrial dry mill, considering
135 only maize lots consisting of a single hybrid ($R^2 = 0.797$, $P = 0.001$, $n = 13$).

136

137 **Coarse-to-fine material ratio (Particle size index – CF).** A 20-g kernel sample
138 was ground using a Culatti micro hammer mill (Labtech Essa[®], Australia) fitted
139 with a 2-mm aperture particle screen and was sieved into two fractions using a
140 Ro-Tap Testing Sieve Shaker (W.S. Tyler Co., Cleveland OH) with 8-in
141 diameter brass sieves. Sieve meshes of 500 and 700 μm were chosen to
142 represent the most common product obtained in the milling industry: prime or
143 large grits (700- 2000 μm) and fine meal (< 500 μm). The coarse material (C)
144 consisted of fractions of 700 to 2000 μm , while the fine material (F) was made
145 up of fractions below 500 μm ^{4,15}. The intermediate fraction was small and was
146 not considered. CF denotes the ratio of fractions C and F, which were
147 determined by weight after grinding in the tester. This parameter was
148 determined 3 times for each maize sample.

149

150 **Floating Test (FLT).** This test was used to assess the density of the maize
151 grain; the number of floating kernels (floaters) was recorded in a variable
152 density solution. The method, carried out in a laboratory fume hood is a
153 modification of that proposed by Wichser¹⁶. 100 ml of tetrachloroethylene
154 (density 1.62 g ml^{-1}) and 40 ml of petroleum ether (density 0.653 g ml^{-1}) were
155 added to an Erlenmeyer flask; the obtained solution density was 1.34 g ml^{-1} . A
156 50 kernel sample was put into an Erlenmeyer flask. 5 ml of petroleum ether was
157 gradually added to the solution and the density of the solution was decreased

158 until there were no kernels left floating. The number of kernels floating for each
159 addition of petroleum ether to the solution was recorded and a precipitation
160 curve was obtained. The floating test (FLT) measures the area underneath the
161 precipitation curve and this parameter is adversely correlated to the density of
162 the kernels. This parameter was determined 3 times for each maize sample.

163

164 **Protein content (PC).** A grab sample of approximately 300 g of maize was
165 ground to a fine flour using a Foss Tecator Cyclotec 1093 sample mill, fitted
166 with a 1-mm screen. The Protein (PC) content was estimated by near-infrared
167 reflectance spectroscopy, using a NIRSystems 6500 monochromator instrument
168 (Foss-NIRSystems, Silver Spring, MD, USA). The protein content was adjusted
169 to a 10% moisture content, using the NIR-predicted moisture content of the
170 ground grain.

171

172 **Kernel Dimensions and Sphericity (S).** The spatial dimensions of 50 kernels
173 of each hybrid were calculated by measuring the average length (L), width (W)
174 and depth (D) of the whole kernels using a precise 0.1 mm gauge. These data
175 were used to calculate the sphericity (S) using the following formula¹⁷:

$$S = \frac{\text{volume of solid}}{\text{volume of circumscribed sphere}} = \frac{LWD^{1/3}}{L^{1/3}}$$

176

177 The sphericity values range from 0 (no three-dimensional object) to 1 (perfect
178 sphere). The closer the sphericity is to unity, the more spherical the kernel;
179 conversely, the lower the sphericity, the flatter the kernel.

180

181 **Total milling energy (TME).** This test was based on the method described by
182 Stenvert¹⁸ and Pomeranz *et al.*¹⁷. A 20-g sample of kernel was ground using a
183 Culatti micro hammer mill (Labtech Essa[®], Australia) fitted with a 2-mm aperture
184 particle screen at a speed of 2500 rpm when empty. The laboratory mill was
185 equipped with a computerized data logging system to log the instantaneous
186 electric power consumption during the milling test, as reported by Mesters *et*
187 *al.*¹⁹ and Li *et al.*⁹. The total milling energy (TME) necessary to completely mill a
188 20-g kernel sample was determined from these data. This parameter was
189 determined 3 times for each maize sample.

190

191 **Test weight (TW).** The test weight was determined 3 times for each maize
192 sample using a Dickey-John GAC2000 grain analysis meter, according to the
193 supplied programme. The test weight was recorded as kg hl⁻¹.

194

195 Statistical analysis

196 Statistical data analysis was carried out with the software package SPSS,
197 version 16.0 (SPSS Inc., 2008). When present, replicates of the quality factor
198 and TGY were averaged. Simple correlation coefficients were obtained for all
199 the quality factors, relative to each another and to the TGY, keeping the data
200 sets which refer to the 3 different years of sample collection and analysis
201 separate.

202 Single and multiple linear regression analysis were performed, using the C/F,
203 FLT, PC, S, TME and TW quality factors as the independent variables and TGY
204 as the dependent variable. Overall, 63 regressive models, derived from the all
205 the possible single and multiple combinations of the 6 quality factors, were

206 compared. The analysis were performed separately for 3 data sets, clustered
207 according to the sample collection and analysis years.

208 An analysis of variance (ANOVA) was utilized to compare the coefficient of
209 determination (R^2) of the single and multiple regressive equations, which,
210 among the compared models, resulted significant ($P<0.05$) for all the 3 data
211 sets. The linear regressive models which did not show a significant contribution
212 for each of the single involved parameter for all of the 3 data sets, were not
213 considered. The R^2 obtained from the single and multiple regression analysis on
214 the data sets of each year was used as a replication. The residual normal
215 distribution was verified using the Kolmogorov-Smirnov test, while variance
216 homogeneity was verified using the Levene test. Multiple comparison tests were
217 performed on the coefficient of determination means according to the SNK test.

218

219 **Results**

220 The average, minimum and maximum values and the coefficient of variation
221 (CV) for TGY and the other analyzed parameters are shown in Table 2 for the
222 overall 119 samples on the basis of the year of the sample collection and
223 analysis.

224 The maize samples from different hybrids and sites showed great differences in
225 their aptitude to dry-milling transformation, since the observed CV for TGY was
226 12%, 9% and 14%, for the samples collected and analyzed in 2007, 2008 and
227 2009, respectively. Off all the compared parameters, C/F had the highest CV,
228 and this was followed by FLT and TME.

229 Table 3 reports the correlation coefficients and the significances between the
230 parameters of the analyzed maize kernels, separated according to the year of
231 sample collection and analysis. TGY resulted to be significantly correlated to
232 C/F, FLT, PC, S, TME, TW for all 3 data sets. The correlation was always highly
233 significant ($P < 0.01$), with the exception of that for S in the 2009 data set
234 ($P < 0.05$).

235 As expected, the different parameters were often significantly correlated to each
236 other. The correlation between all the compared quality factors was significant
237 in the 2007 data set. The correlation between S and FLT in 2008 and those
238 between S and C/F, PC, TW in 2009, were the only ones that were not
239 significant.

240 Table 4 reports the R^2 and the significance of the regressive equations and
241 parameters, derived from the different linear regressive models. The compared
242 models were obtained from all the possible single and multiple combinations of
243 the compared quality factors, in order to predict TGY. The reported R^2 refers to

244 the average R^2 obtained from the regression analysis applied separately to
245 samples of each data set, clustered year by year. Although the quality factors
246 resulted to be highly correlated, they were all kept in the models in order to find
247 the ones that showed the highest prediction of TGY.

248 The reported significance of the regressive equation and parameters refers to
249 the least significant value observed between the 3 data sets. When the
250 significance of the contribution of each parameter was higher than 0.05, for at
251 least one of the data sets for each linear regressive models, the reported
252 significance value was ns (not significant).

253 All the single regressive models (No.s 1-6), that considered C/F, FLT, PC, S,
254 TME and TW separately in order to predict TGY, resulted to be significant. Nine
255 of the linear regressive models with 2 independent variables (No.s 7, 10, 11, 12,
256 14, 15, 16, 18 and 21) were highly significant and showed a significant
257 contribution of both of the involved parameters. In the regressive equations
258 which considered S, the contribution of this parameter was never significant,
259 with the exception of model No. 16 (PC and S). Moreover, the PC parameter
260 was not significant when it was included in a 2 independent variable regression
261 with C/F (No. 8) or TME (No. 17). Among the linear regressive equations that
262 considered 3 independent variables, only 2 (No.s 33 and 34) showed a
263 significant contribution of all the involved parameters. The contribution of C/F
264 was always significant in the 3 independent variable regression; furthermore,
265 when this parameter was included in the model, the addition of at least one of
266 the others to the model was not significant.

267 Multivariate regressions with 4, 5 or all of the 6 included parameters did not
268 show any significant or constant contribution of the variables to the model for
269 the 3 data sets.

270 Figure 1 reports the ANOVA results on the R^2 values of the linear regressive
271 model which, among the compared models, resulted to be significant ($P < 0.05$)
272 for all of the 3 data sets. The model that included C/F and FLT as independent
273 variables (No. 7) showed the highest average R^2 value (0.856), and this was
274 followed by with the FLT, PC and TME (No. 33), FLT and TME (No. 14), FLT,
275 PC and TW (No. 34), C/F and TME (No. 10) models. The regressive equations
276 of these models, which result in the highest average R^2 value, have been
277 calculated on the overall dataset of 119 samples and reported in Table 5.

278 Of all the quality factors that were compared and considering their use in single
279 regressive models, C/F, FLT and TME resulted to be the best descriptor of
280 maize dry-milling ability and no significantly differences were observed between
281 these tests. The R^2 values were significantly higher for these parameters than
282 those obtained with the other quality factors. The single regression R^2 that
283 considered TW was significantly higher than that with PC. On the other hand,
284 the regressive equation with S as the independent variable had the lowest
285 average R^2 value (0.196).

286 The addition of another independent variable to a linear regressive equation
287 often, but not always, led to a significant increase in the resulting R^2 value. For
288 the single linear models which considered C/F (No. 1), the addition of TME and
289 TW (models No. 10 and 11) did not lead to any significant increase in the P
290 value, while a significant increase was observed in the coefficient of
291 determination for the addition of FLT parameter to C/F (No. 7). Moreover, this
292 model (C/F and FLT) had a significantly higher average R^2 value than models
293 No. 1 and 2, which considered the C/F and FLT parameters separately. A
294 significant advantage, in term of R^2 value, was obtained by combining FLT and
295 TME (No. 14), TME and TW (No. 21), FLT and PC (No. 12), FLT and TW (No.

296 15), PC and TW (No. 18), and PC and S (No. 16) compared to the
297 corresponding single regressions.

298 The R^2 value of the models which considered 3 quality factors, FLT, PC and
299 TME (No. 33) and FLT, PC and TW (No. 34), was not significantly higher than
300 those which included 2 of the previous reported parameters (models No.s 12,
301 14 and 15), with the exception of No. 18 (PC and TW).

302

303

304 **Discussion**

305 The data collected in the 3 different data sets on commercial maize hybrid
306 samples, confirm how the dry-milled product yield is closely connected to the
307 different compositional and physical kernel properties.

308 Milling evaluation factors, such as C/F and TME, or kernel density, measured by
309 means of the FLT, has been confirmed to be good predictors of dry-milled
310 product yield. These properties are widely accepted as parameters that can be
311 used to establish maize kernel hardness and evaluate dry-milling^{5, 6, 15, 21}. In the
312 present study, these parameters, when considered alone, always explained
313 more than 70% of the variability of the TGY. Moreover, since no significant
314 differences were observed between C/F, FLT and TME, these results
315 corroborate that there is no single physical test, among the ones specifically
316 identified to describe maize kernel hardness, that is more able to provide a
317 better dry-milling performance than another⁷.

318 The collected data clearly underline how one of the simplest and most reliable
319 methods used to measure hardness to predict the dry-milling performance is a
320 grinding step (TME), and this is followed by a sieving step (C/F), using multiple
321 sieve sizes. This result confirms data reported in the published literature⁷. Since
322 coarse material is obtained above all by milling the hard endosperm fraction²²,
323 which means more energy required to grind the kernel⁹, these parameters could
324 offer an indirect, but clear, evaluation of the relative amount of hard (H) and soft
325 (S) fractions in the kernel.

326 Moreover, these parameters could easily be used as reference values for the
327 development of near-infrared (NIR) spectroscopy calibrations, which could

328 constitute an excellent and rapid tool for handlers and processors. Calibrations
329 have been developed using the coarse/fine ratio²³ and Stenvert mill^{11, 24} as
330 reference methods. Several recent reports and an AACC method²⁵ to measure
331 maize hardness have used a single wavelength (1680 nm), which is not
332 associated to a protein wavelength but to particle size⁷.

333 Although less closely related to the TGY compared to previous reported
334 methods, TW, recorded by means of a grain analysis meter, and PC, estimated
335 by NIR, also resulted to be significant predictors of dry-milled product yields in
336 all the compared data sets^{9,14}.

337 These methods, which are less time-consuming than the previous one and the
338 currently used procedures, have proved to be practical descriptors of maize
339 milling performance²⁶. Although previous studies reported a variable capacity of
340 TW to predict dry-milled yields^{9,11}, in the present study this parameter has
341 proved capable of explaining on average about 65% of the TGY variability of
342 maize hybrids. Thus TW, which is widely used in the maize industry, is probably
343 the easiest and simplest parameter to predict the dry-milling performance of
344 maize hybrids. Moreover, although the PC comprises a lower proportion of the
345 total kernel composition, compared to starch, it confirm that it plays a significant
346 role in influencing kernel density and the variation in zein classes has, in
347 particular, been linked to differences in hardness²⁷.

348 On the other hand, although the collected data confirm that kernels with higher
349 sphericity are significantly higher in flintlike characteristics than flater kernels,
350 since the round kernels are higher in protein content and grain density than the
351 flat kernels^{9,17}, the relationship is constantly weak, thus this parameter is not
352 sufficient alone to predict the TGY²⁸.

353 Furthermore, the present study clearly underlines how multifactorial regression
354 analysis, which takes into account for several physical and chemical properties
355 associated with processing performance simultaneously, often leads to a
356 significant improvement compared to the models that are based on single
357 variables. In the present study, the inclusion, in a linear regressive model, of 2
358 of the parameters more closely related to dry-milling performance (C/F, FLT and
359 TME), always explains more than 80% of the variability of the TGY. Moreover,
360 more than 85% of variability is on average explained with models based on C/F
361 and FLT factors, while this value for the two variables alone is 74.8% and
362 72.3%, respectively.

363 Considering the simplest and most rapid testing procedures, such as TW and
364 PC estimated by means of NIR, which are less closely related to TGY than
365 previous ones, but are simpler and currently widely applied, the inclusion in a
366 linear regressive model of both parameters explains 72.2% of the variability of
367 the TGY, with no significant difference compared to single models that
368 considered C/F, FLT and TME. When considered alone, TW and PC explain
369 64.9% and 42.8% of TGY variability, respectively. Dorsey-Redding *et al.*⁹,
370 proposed a regression equation to predict maize kernel hardness, which was
371 calculated using the Stenvert Hardness Test, or kernel density, based on PC,
372 TW and oil content.

373 The closeness of various relationships between combined hardness
374 measurements and dry-milling performance reported in scientific literature is
375 very different and probably related to the genetic and environmental diversity of
376 the considered maize samples. Mestres *et al.*⁵, reported that TGY could be
377 predicted at almost 60% from the ash content and sphericity or dent kernel

378 percentage. On the other hand, in the study by Lee *et al.*²¹, on samples
379 gathered from large sample sets grown in multiple sites and over different
380 years, the multivariate regression analysis, considering TW, PC, pycnometer
381 density, time to grind in the Stenvert hardness test and kernel size distribution,
382 explained only 52% of the variability in dry-milling grit yield.

383 The data collected in the present study have shown how a better prediction
384 could mainly be achieved when the included parameters are based on different
385 hardness-associated kernel properties (such as C/F and FLT, FLT and TME,
386 TME and TW, FLT and TW, PC and TW). Similar result have been observed by
387 Chiremba *et al.*²⁹, which reported that a combination of tangential abrasive
388 dehulling device (TADD) and NIT milling index or TADD and TW could allow a
389 better hardness evaluation. In their review on maize kernel testing methods,
390 Fox and Manley⁷ clearly underlined how the different physical and biochemical
391 characteristics are linked to the hardness of the whole kernel and the
392 subsequent effect on processing. Several authors^{10,11,12,21} suggest that the
393 identification of a group with similar traits, related to the end-use processing
394 performance, could be more easily obtained using multivariate techniques
395 which take into account the kernel hardness, associated with both the physical
396 and chemical properties, at the same time.

397 The presented results, which are based on commercial maize hybrids
398 commonly cultivated and which can be processed for dry-milling, confirm that
399 considering more than one test is a better way of determining the overall maize
400 kernel hardness or their aptitude to transformation. On the other hand, the
401 combined use of variables based on a similar approach, such as C/F and TME,
402 both of which are milling evaluator factors, only offers a slightly better prediction

403 compared to the single models. The inclusion of four or more properties,
404 associated with the processing performance, in a multifactorial linear regression
405 model did not show a significant contribution of any of the inserted variables in
406 all of the considered data sets. Furthermore, the few models that involved 3
407 variables and resulted significant in the considered data sets, did not improve
408 the predictability of the model compared to the smaller ones. Several
409 studies^{9,10,11} have reported that hardness-associated properties are closely
410 correlated to each other, a result that is consistent with our findings. This high
411 correlation among the compared maize properties, especially if they are derived
412 from similar tests, therefore provides a limited improvement in TGY prediction.
413 Thus, the classification and prediction of maize samples for dry-milling could
414 only consider a few easily achievable measurements, if they are based on
415 different direct or indirect techniques.

416 In conclusion, this research offers a further contribution to help develop and
417 guide the choice of the few relevant and easy-to-use predictive laboratory
418 measurement techniques, in order to help the maize industry improve
419 processing efficiency and provide quality specifications for maize growers and
420 breeders. Among the properties associated with dry-milling performance that
421 were compared, C/F, FLT and TME and, to a lesser extent TW, appeared to be
422 easy-to-use independent variables to differentiate maize TGY. Improved model
423 prediction ability was observed when different combinations of a few different
424 physical and chemical properties were used as input variables. Furthermore,
425 models that included 3 or more variables did not lead to any significant
426 improvement in TGY prediction compared to the smaller models. Of all the data
427 sets considered, the milling evaluation factors (C/F or TME) associated with
428 kernel density, measured by means of the FLT, showed the best predictive

429 ability for dry-milled product yields. Further investigation to identify and develop
430 better easy-to-use measurement techniques and to improve and standardize
431 the procedures are recommended.

432

433

434

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11

1 **Table 1.** Characteristics of the yellow maize hybrids tested for the quality kernel factors and
 2 milling test, ranked for total grits yield (TGY).

Hybrid and brand	Number of sample	Type	CRM rating	TGY (g kg ⁻¹)	C/F	FLT	PC (g kg ⁻¹)	S	TME (J)	TW (kg hl ⁻¹)
Syngenta NX5004 a	2	dent	118	305	0.8	3094	90	0.62	1074	75.3
EI6728 b	1	dent	132	313	0.8	3505	100	0.59	871	74.5
Syngenta NX7234 a	9	dent	125	322	0.7	3079	90	0.57	1009	74.7
DKC 4490 b	2	dent	105	326	0.7	2749	86	0.62	1130	75.1
EI6602 b	1	dent	130	345	1.0	3270	100	0.60	970	74.4
EH6716 b	1	dent	132	348	0.9	3220	100	0.57	1126	74.9
PR32F73 c	2	dent	130	360	0.9	2597	88	0.59	1163	76.8
DKC Tevere b	5	dent	125	362	0.8	2996	92	0.60	1118	74.3
DKC 6089 b	6	dent	125	375	1.0	2710	96	0.56	1204	76.0
PR33W82 c	2	dent	128	376	1.0	2236	91	0.61	1305	79.4
DKC 6286 b	3	dent	126	380	1.1	2863	87	0.59	1222	78.1
Syngenta NX7034 a	5	dent	128	387	1.0	2800	100	0.63	1182	75.1
DKC 6677 b	8	dent	128	395	1.1	2636	101	0.57	1290	77.8
EI6722 b	1	dent	132	397	1.2	2830	101	0.57	1159	76.0
DKC 6688 b	4	dent	130	398	1.1	2776	101	0.59	1205	77.8
KWS Kermess d	5	dent	130	405	1.0	2497	97	0.58	1216	76.8
PR32G44 c	4	dent	130	408	1.0	2498	101	0.61	1174	78.8
EG4707 b	1	dent	128	410	1.0	2393	94	0.62	1212	74.1
EI6207 b	1	dent	125	411	1.3	2650	104	0.61	1314	77.4
EH6618 b	1	dent	130	414	1.1	2885	107	0.59	1258	77.6
PR35T36 c	4	dent	118	415	1.0	2451	102	0.61	1223	79.0
H.C.P. DORIA e	1	dent	130	426	1.3	2110	115	0.59	1370	81.0
KWS Kuadro d	3	dent	128	426	1.0	2459	97	0.57	1242	77.4
PR33A46 c	1	dent	128	433	1.2	2599	108	0.59	1319	78.2
Pioneer X1132R c	9	dent	132	434	1.1	2437	106	0.60	1246	77.8
Syngenta NX6413 a	3	dent	126	439	1.1	2576	107	0.67	1288	78.8
PR33T56 c	2	dent	127	440	1.1	2366	102	0.63	1294	79.1
DKC 6309 b	7	dent	128	441	1.1	2501	104	0.62	1285	79.3
Pioneer 3235 c	9	dent	130	443	1.2	2175	108	0.61	1338	80.1
EI6906 b	1	dent	132	445	1.4	2490	107	0.61	1358	79.4
DKC 6795 b	1	dent	132	448	1.3	2448	109	0.64	1424	80.6
PR32P26 c	2	dent	130	455	1.1	2024	111	0.60	1242	81.4
Pioneer 3245 c	5	dent	130	463	1.3	2177	103	0.62	1394	80.9
H.C.P. CECINA e	1	flint	128	480	1.4	2123	105	0.60	1366	81.1
LG Belgrano f	2	flint	102	485	1.4	1576	111	0.67	1595	82.6
Pioneer X1733 c	4	flint	130	506	1.4	1391	107	0.65	1533	82.3

3

4 CRM= company ratings for relative hybrid maturity, C/F = coarse/fine ratio, FLT = floating test, PC = protein
 5 content, S = sphericity, TME = total milling energy, TW = test weight.

6

^a Syngenta AG, Basel, Switzerland

7

^b Monsanto Co., Creve Coeur, Missouri, U.S.

8

^c Pioneer Hi -Bred, Johnston, Iowa, U.S

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^d KWS SAAT AG, Einbeck, Germany

10

^e Hybrid Corn Production, Reggio Emilia, Italy

11

^f Groupe Limagrain Holding, Chappes, France

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Table 2. Experimental data of each dataset, referring to samples collected and analyzed in different years.

Year	Sample No.	Hybrid No.	Site No.		TGY (g kg ⁻¹)	C/F	FLT	PC (g kg ⁻¹)	S	TME (J)	TW (kg hl ⁻¹)
2007	41	21	6	Mean	406	1.0	2 599	101	0.60	1 193	77
				Min	313	0.6	2 058	81	0.56	871	73
				Max	480	1.4	3 505	116	0.67	1 388	82
				CV	12	21	15	9	4	12	3
2008	36	20	3	Mean	433	1.1	2 454	105	0.61	1 286	79
				Min	331	0.7	1 582	87	0.57	989	74
				Max	506	1.5	3 399	117	0.68	1 513	84
				CV	9	16	16	7	5	9	3
2009	42	17	6	Mean	385	1.0	2 560	95	0.60	1 247	78
				Min	270	0.7	1 088	82	0.54	982	73
				Max	517	1.5	3 337	116	0.67	1 640	83
				CV	14	20	19	8	5	12	3

TGY = total grit yield, C/F = coarse/fine ratio, FLT = floating test, PC = protein content, S = sphericity, TME = total milling energy, TW = test weight.

Min: minimum value; Max: maximum value; SD: standard deviation; CV: coefficient of variation

1 **Table. 3**

2 Correlation matrix between the analysed maize kernel parameters, calculated for different
 3 datasets, clustered year by year, of the collected and analysed samples.

Year	Parameters	TGY	C/F	FLT	PC	S	TW
2007	C/F	0.866**					
	FLT	-0.855**	-0.747**				
	PC	0.656**	0.729**	-0.521*			
	S	0.516**	0.474**	-0.489**	0.372*		
	TW	0.818**	0.836**	-0.799**	0.650**	0.531**	
	TME	0.841**	0.798**	-0.747**	0.729**	0.474**	0.776**
2008	C/F	0.857**					
	FLT	-0.854**	-0.699**				
	PC	0.636**	0.564**	-0.526**			
	S	0.439**	0.615**	-0.267	0.340*		
	TW	0.814**	0.733**	-0.843**	0.415*	0.456**	
	TME	0.840**	0.899**	-0.724**	0.467**	0.514**	0.681**
2009	C/F	0.872**					
	FLT	-0.841**	-0.714**				
	PC	0.670**	0.668**	-0.536**			
	S	0.358*	0.159	-0.483**	0.094		
	TW	0.784**	0.802**	-0.724**	0.544**	0.295	
	TME	0.854**	0.800**	-0.816**	0.712**	0.336*	0.785**

4

5 TGY = total grit yield, C/F = coarse/fine ratio, FLT = floating test, PC = protein content, S = sphericity, TME = total
 6 milling energy, TW = test weight.

7 The data reported in the table are Pearson product-moment correlation coefficients. * = correlation significant at P
 8 ≤ 0.05; ** = correlation significant at P ≤ 0.01.

9 **Table 4.** Significance of the single and multiple linear regression models in
 10 predicting TGY from different hardness tests.

No.	Parameters	No. of parameters	R ²	Sign. Regressive equation	Sign. parameters					
					C/F	FLT	PC	S	TME	TW
1	C/F	1	0.748	***	***					
2	FLT	1	0.723	***		***				
3	PC	1	0.428	***			***			
4	S	1	0.196	*				*		
5	TME	1	0.714	***					***	
6	TW	1	0.649	***						***
7	C/F, FLT	2	0.856	***	***	***				
8	C/F, PC	2	0.765	***	***		ns			
9	C/F, S	2	0.774	***	***			ns		
10	C/F, TME	2	0.800	***	***				*	
11	C/F, TW	2	0.789	***	***					*
12	FLT, PC	2	0.782	***		***	*			
13	FLT, S	2	0.744	***		***		ns		
14	FLT, TME	2	0.815	***		***			***	
15	FLT, TW	2	0.771	***		***				*
16	PC, S	2	0.505	***			***	*		
17	PC, TME	2	0.749	***			ns		***	
18	PC, TW	2	0.722	***			*			***
19	S, TME	2	0.726	***				ns	***	
20	S, TW	2	0.660	***				ns		***
21	TME, TW	2	0.785	***					***	*
22	C/F, FLT, PC	3	0.863	***	***	***	ns			
23	C/F, FLT, S	3	0.858	***	***	***		ns		
24	C/F, FLT, TME	3	0.866	***	**	**			ns	
25	C/F, FLT, TW	3	0.857	***	***	***				ns
26	C/F, PC, S	3	0.790	***	***		ns	ns		
27	C/F, PC, TME	3	0.814	***	*		ns		*	
28	C/F, PC, TW	3	0.806	***	***		ns			*
29	C/F, S, TME	3	0.812	***	**			ns	*	
30	C/F, S, TW	3	0.808	***	***			ns		ns
31	CF, TME, TW	3	0.826	***	*				*	ns
32	FLT, PC, S	3	0.793	***		***	*	ns		
33	FLT, PC, TME	3	0.835	***		***	*		*	
34	FLT, PC, TW	3	0.811	***		**	*			*
35	FLT, S, TME	3	0.819	***		**		ns	**	
36	FLT, S, TW	3	0.781	***		***		ns		ns
37	FLT, TME, TW	3	0.828	***		**			**	ns
38	PC, S, TME	3	0.760	***			ns	ns	**	
39	PC, S, TW	3	0.733	***			**	ns		***
40	PC, TME, TW	3	0.807	***			ns		***	*
41	S, TME, TW	3	0.789	***				ns	***	*
42	C/F, FLT, PC, S	4	0.866	***	***	***	ns	ns		
43	C/F, FLT, PC, TME	4	0.872	***	*	**	ns		ns	
44	C/F, FLT, PC, TW	4	0.865	***	**	**	ns			ns
45	C/F, FLT, S, TME	4	0.868	***	*	**		ns	ns	
46	C/F, FLT, S, TW	4	0.859	***	***	**		ns		ns
47	C/F, FLT, TME, TW	4	0.867	***	*	**			ns	ns
48	C/F, PC, S, TME	4	0.827	***	*		ns	ns	*	
49	C/F, PC, S, TW	4	0.824	***	***		ns	ns		ns
50	C/F, PC, TME, TW	4	0.840	***	*		ns		*	ns
51	C/F, S, TME, TW	4	0.838	***	**			ns	*	ns
52	FLT, PC, S, TME	4	0.837	***		**	ns	ns	*	
53	FLT, PC, S, TW	4	0.815	***		*	*	ns		ns
54	FLT, PC, TME, TW	4	0.847	***		*	ns		ns	ns
55	FLT, S, TME, TW	4	0.830	***		*		ns	**	ns
56	PC, S, TME, TW	4	0.813	***			ns	ns	**	*
57	C/F, FLT, PC, S, TME	5	0.874	***	*	**	ns	ns	ns	
58	C/F, FLT, PC, S, TW	5	0.867	***	**	*	ns	ns		ns
59	C/F, FLT, PC, TME, TW	5	0.874	***	*	*	ns		ns	ns
60	C/F, FLT, S, TME, TW	5	0.869	***	*	*		ns	ns	ns
61	C/F, PC, S, TME, TW	5	0.851	***	*		ns	ns	*	ns
62	FLT, PC, S, TME, TW	5	0.848	***		*	ns	ns	ns	ns
63	C/F, FLT, PC, C, TME, TW	6	0.877	***	ns	*	ns	ns	ns	ns

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12

13 TGY = total grit yield, C/F = coarse/fine ratio, FLT = floating test, PC = protein content, S =
 14 sphericity, TME = total milling energy, TW = test weight.

15 The reported R^2 refers to the average R^2 obtained from the regression analysis applied
16 separately to samples of each dataset, clustered year by year.

17 The reported P value of the regressive equation and parameters refers to the least significant
18 value observed within the 3 considered datasets; (*) = significant at $P \leq 0.05$; (**) = significant at
19 $P \leq 0.01$; (***) = significant at $P \leq 0.001$.

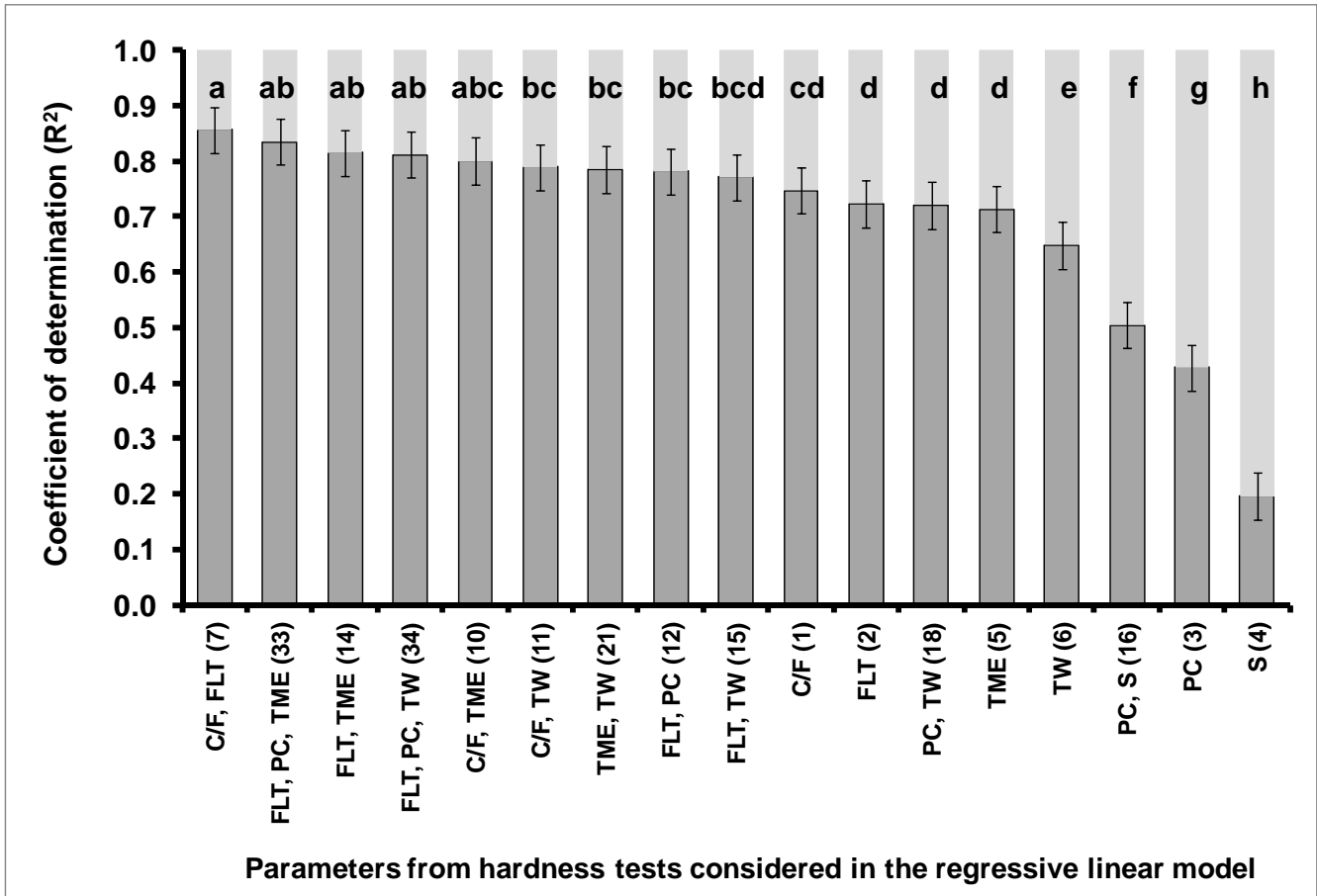
20 (ns) = the contribution of the parameter is not significant ($P > 0.05$) for at least one of the
21 compared databases.

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Figure. 1

Effect of the inclusion of parameters from different hardness tests on the coefficient of determination (R^2) of single or multiple linear regression models to predict TGY.



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The reported R^2 refers to the average R^2 obtained from the single and multiple regression analysis on datasets of each year of collected and analyzed samples. Linear regressive model which did not show a significant contribution for each of the single parameter involved for all of the 3 datasets (see Tab. 3) were not considered. The number in parenthesis refers to the single and multiple linear regression models listed in Table 4.

Different letters indicate significant differences at $P < 0.001$ (Test SNK).

The error bars indicate the standard error of means.

38

39 **Table 5.**

40 Regressive equations of the linear regression models which result in the highest
41 average R^2 for the prediction of TGY.

Parameters	Regressive equation	P
C/F, FLT (7)	$TGY = 127.3CF - 0.053FLT + 407.7$	***
FLT, PC, TME (33)	$TGY = - 0.053FLT + 1.94PC + 0.092TME + 332.4$	***
FLT, TME (14)	$TGY = - 0.058FLT + 0.15TME + 368.4$	***
FLT, PC, TW (34)	$TGY = - 0.054FLT + 2.02PC + 4.99TW - 47.8$	***
C/F, TME (10)	$TGY = 133.5CF + 0.13TME + 102.6$	***

42

43 Linear regression model from hardness test across the overall dataset (119 maize kernel
44 samples, collected over 3 years)

45 The number in parenthesis for parameters refers to the models listed in Table 4.

46 (*) = significant at $P \leq 0.05$; (**)significant at $P \leq 0.01$; (***) significant at $P \leq 0.001$.

47