# Semantics, Orderings and Recursion in the Weakest Precondition Calculus 

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#### Abstract

An extension of Dijkstra's guarded command language is studied, including sequential composition, demonic choice and a backtrack operator. To guide the intuition about this language we give an operational semantic that relates the initial states with possible outcome of the computations. Next we consider three orderings on this language: a refinement ordering defined by Back, a new deadlock ordering, and an approximation ordering of Nelson. The deadlock ordering is in between the two other orderings. All operators are monotonic in Nelson's ordering, but backtracking is not monotonic in Back's ordering and sequential composition is not monotonic for the deadlock ordering. At first sight recursion can only be added using Nelson's ordering. By extending the fixed point theory we show that, under certain circumstances, least fixed points for non monotonic functions can be obtained by iteration from the least element. This permits us the addition of recursion even using Back's ordering or the deadlock ordering. Furthermore, we give a semantic characterization of the three orderings above by extending the well known duality theory between predicate transformers and Smyth's powerdomain.


Keywords weakest preconditions, predicate transformers, refinement, deadlock, backtracking, recursion, fixed points, fixed point transformations, Smyth powerdomain, EgliMilner powerdomain.

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## 1 Introduction

The weakest precondition calculus of Dijkstra identifies statements in the guarded command language with weakest precondition predicate transformers (see [Dij76]). The language was extended to use it as a vehicle for program refinement. Specification constructs were added and a refinement ordering was defined. This approach was introduced in [Bac78, Bac80] and is suited for refinement (see [BvW90, Bac90] and also [MRG88, Mor87]). The refinement ordering can be used to add recursion to the language, but not in a fully compositional way. For example, for each set of guards there is a different conditional command.

Recursion was added in a fully compositional way by Nelson in [Nel87]: the guarded command language was embedded in a language with sequential composition, demonic choice and a backtrack operator in which the operators can be used freely. An ordering is given for which the operators are all monotonic. This ordering is an approximation ordering of the kind used in denotational semantics and does not seem to be suited for refinement. It is defined with the additional notion of weakest liberal preconditions.
Our starting point is the language of [Nel87]. In this language we also have a form of infinite behaviour (a loop construct) and atomic actions that can deadlock (to initiate backtracking). Then we consider three orderings; besides the orderings of Back and Nelson we define a new ordering in between. It is called deadlock ordering because it preserves deadlocks as can be seen from the semantic characterization of the deadlock ordering. In terms of refinement: a normal (non-miraculous) terminating statement is not refined by a miracle in the deadlock ordering.
Only Nelson's ordering is monotonic with respect to all three operators, while the backtrack operator is not monotonic with respect to Back's ordering and the sequential composition is not monotonic for the deadlock ordering. At first sight only Nelson's ordering seems to be suited to add recursion to the full language. But the fact that for Nelson's ordering all the operators are monotonic implies that also recursion can be added with the other two orderings.
In order to show this we extend the fixed point theory. It is well known that a monotone and continuous function from a complete partial order to itself has least fixed point that can be obtained by iteration from the least element. This result was extended at first by Hitchcock and Park [HP72] showing that for a function from a complete partial order to itself is enough to be monotone in order to have a lest fixed point. Then Apt and Ploktin [AP86] have shown that the least fixed point property can be transferred, via a commutative diagram, to monotone functions from a partial order to itself. Finally, in [BK92] we show that the least fixed point property can be transferred, via a commutative
diagram, also to functions (even non monotone) from a partial order to itself. Here we give a theorem that uses only part of the results given in [BK92], but this theorem is enough to imply that for both Back's and the deadlock ordering the standard operator associated to a declaration of recursive procedures has a least fixed point that can be obtained by iteration from the least element. It also gives the correct result because it is related to the least fixed point with respect to Nelson's ordering.
Moreover we provide a semantic characterization of the three orderings based on a semantic model for the language that relates initial states to possible outcomes of the computation. We start from the duality theory connecting the discrete version of the Smyth powerdomain [Smy78] and the Dijkstra's predicate transformers [Wan77, Plo79, Smy83, Bes83, AP86]. The presence of a backtrack operator in our language justifies the introduction of two different versions of the Smyth powerdomain in which a constant representing the deadlock is added in two different way. We extend the duality theory described above to these two versions of the Smyth powerdomain giving in this way a semantic characterization for the Back and the deadlock orderings. A similar result is also proved for the Egli-Milner powerdomain showing its relationship with the Nelson's predicate transformers.

For reason of space, almost all the proofs are omitted; they can be found in [BK92].

## 2 Language and Semantics

We first introduce the language. We use the notation $(d \in) D o m$ to introduce the domain Dom and a typical element $d$ of this domain. Function application is denoted by . and associates to the left, that is $f \cdot g \cdot x=(f \cdot g) \cdot x$.
Let $(v \in) V a r$ be a set of variables, let $(t \in) I E x p$ be a set of integer expressions, and let $(b \in) B E x p$ be a set of boolean expressions. Then the set $(S \in)$ Stat is defined by

$$
S::=v:=t|b \rightarrow| \text { loop }\left|S_{1} ; S_{2}\right| S_{1} \square S_{2} \mid S_{1} \diamond S_{2} .
$$

This language has three operators: the sequential composition ; , the demonic choice $\square$, and the backtrack operator $\diamond$.
The backtrack operator backtracks to its second component if its first component deadlocks. The only atomic action that can deadlock is $b \rightarrow$ : it deadlocks in a state in which the boolean expression $b$ does not evaluate to true. A form of infinite behaviour (the loopstatement) is added to the language to distinguish different orderings on the language. A similar language is studied in [Nel87]: the only difference is that we have split actions as in [Hes89] in the sense that we consider as atomic actions both the assignment actions $v:=t$ and the test actions $b \rightarrow$.
Dijkstra's guarded command language [ $\mathrm{Dij}_{\mathrm{ij}} 76$ ] can be seen as a subset of this language, except for the do - od-construct which will be handled when we add recursion. For example, the conditional command if $b_{1} \rightarrow S_{1} \square b_{2} \rightarrow S_{2}$ fi can be expressed by the statement $\left(b_{1} \rightarrow ; S_{1} \square b_{2} \rightarrow ; S_{2}\right) \diamond$ loop $\in$ Stat. More general derived statements are skip $=$ true $\rightarrow$, abort $=$ loop, magic $=$ false $\rightarrow$, and if $S \mathrm{fi}=S \diamond$ loop .

Next we give an operational semantic model that relates initial states with possible outcomes of the computation. A state is a function that yields an integer for each variable in $(v \in) V a r$, thus the set of states $(\sigma \in) \Sigma$ is given by $\Sigma=\operatorname{Var} \rightarrow N$. Also, we assume that we can consider integer expressions $t$ as functions that given a state $\sigma$ yield an integer $t . \sigma$. The same applies to boolean expressions $b$.
We introduce a set of extended statements $(m \in) \overline{S t a t}$ to treat backtracking in a transition system:

$$
m::=S \mid m_{1} \Delta\left(m_{2}, \sigma\right)
$$

where $S \in S$ Sat and $\sigma \in \Sigma$. After the next definition we give some more explanation.
Definition 2.1 Let Conf $=(\overline{\text { Stat }} \cup\{E\}) \times(\Sigma \cup\{\delta\})$ be a set of configurations, and define a transition relation $\longrightarrow \subseteq$ Conf $\times$ Conf to be the least relation satisfying the following axioms and rules:

$$
\begin{aligned}
& \langle v:=t, \sigma\rangle \longrightarrow\langle E, \sigma[t . \sigma / v]\rangle \\
& \langle b \rightarrow, \sigma\rangle \longrightarrow\langle E, \delta\rangle \text { if not } b . \sigma \quad\langle b \rightarrow, \sigma\rangle \longrightarrow\langle E, \sigma\rangle \text { if } b . \sigma \\
& \langle l o o p, \sigma\rangle \longrightarrow\langle\text { loop, } \sigma\rangle \\
& \begin{array}{l}
\begin{array}{l}
\left\langle m_{1}, \sigma\right\rangle \longrightarrow\langle E, \delta\rangle \\
\left\langle m_{1} ; m_{2}, \sigma\right\rangle \longrightarrow\langle E, \delta\rangle \\
\left\langle m_{1}, \sigma\right\rangle \rightarrow\langle E, \delta\rangle \wedge\left\langle m_{2}, \sigma\right\rangle \rightarrow\langle E, \delta\rangle \\
\left\langle m_{1} \square m_{2}, \sigma\right\rangle \longrightarrow\langle E, \delta\rangle
\end{array}
\end{array} \\
& \frac{\left\langle m_{1}, \sigma\right\rangle}{\left\langle m_{1} \square m_{2}, \sigma\right\rangle \longrightarrow\left\langle m_{1}^{\prime} \mid E, c^{\prime}\right\rangle} \\
& \frac{\left\langle m_{1}, \sigma\right\rangle \longrightarrow\left\langle m_{1}^{\prime} \mid E, \sigma^{\prime}\right\rangle}{\left\langle m_{1} ; m_{2}, \sigma\right\rangle \longrightarrow\left\langle m_{1}^{\prime} ; m_{2} \mid m_{2}, \sigma^{\prime}\right\rangle} \\
& \xrightarrow[\left\langle m_{1} \diamond m_{2}, \sigma\right\rangle \longrightarrow\left\langle m_{1}, \sigma\right\rangle \longrightarrow\langle E, \delta\rangle \wedge\left\langle m_{2}, \sigma\right\rangle \longrightarrow\langle E, \delta\rangle]{\langle\vec{C}} \\
& \frac{\left\langle m_{1}, \sigma\right\rangle \longrightarrow\langle E, \delta\rangle \wedge\left\langle m_{2}, \sigma\right\rangle \rightarrow\left\langle m_{2}^{\prime} \mid E, \sigma^{\prime}\right\rangle}{\left\langle m_{1} \diamond m_{2}, \sigma\right\rangle \longrightarrow\left\langle m_{2}^{\prime} \mid E, \sigma^{\prime}\right\rangle} \quad \frac{\left\langle m_{1}, \sigma\right\rangle \longrightarrow\left\langle m_{1}^{\prime} \mid E, \sigma^{\prime}\right\rangle}{\left\langle m_{1} \diamond m_{2}, \sigma\right\rangle \longrightarrow\left\langle m_{1}^{\prime} \Delta\left(m_{2}, \sigma\right) \mid E, \sigma^{\prime}\right\rangle} \\
& \xrightarrow[\left\langle m_{1} \Delta\left(m_{2}, \sigma^{\prime}\right), \sigma\right\rangle \longrightarrow\left\langle m_{2}, \sigma^{\prime}\right\rangle \longrightarrow\langle E, \delta\rangle]{\left\langle m_{1}, \sigma\right\rangle}\langle E, \delta\rangle \\
& \begin{array}{c}
\left\langle m_{1}, \sigma\right\rangle \longrightarrow\langle E, \delta\rangle \wedge\left\langle m_{2}, \sigma^{\prime}\right\rangle \longrightarrow\left\langle m_{2}^{\prime} \mid E, \sigma^{\prime \prime}\right\rangle \\
\left\langle m_{1} \Delta\left(m_{2}, \sigma^{\prime}\right), \sigma\right\rangle \longrightarrow\left\langle m_{2}^{\prime} \mid E, \sigma^{\prime \prime}\right\rangle
\end{array} \frac{\left\langle m_{1}, \sigma\right\rangle \longrightarrow\left\langle m_{1}^{\prime} \mid E, \sigma^{\prime}\right\rangle}{\left\langle m_{1} \Delta\left(m_{2}, \sigma^{\prime \prime}\right), \sigma\right\rangle \longrightarrow\left\langle m_{1}^{\prime} \Delta\left(m_{2}, \sigma^{\prime \prime}\right) \mid E, \sigma^{\prime}\right\rangle}
\end{aligned}
$$

In the definition above $\sigma[t . \sigma / v]$ denotes the state

$$
(\sigma[t . \sigma / v]) \cdot v^{\prime}= \begin{cases}t . \sigma & \text { if } v=v^{\prime} \\ \sigma . v & \text { otherwise }\end{cases}
$$

Furthermore $\left\langle m_{1} \mid E, \sigma\right\rangle$ is an abbreviation for the two alternative configurations $\left\langle m_{1}, \sigma\right\rangle$ and $\langle E, \sigma\rangle$. Intuitively, $\left\langle m_{1}, \sigma\right\rangle \longrightarrow\left\langle m_{1}^{\prime}, \sigma^{\prime}\right\rangle$ states that one step of execution of the
statement $m_{1}$ in the state $\sigma$ leads to a state $\sigma^{\prime}$ with $m_{1}^{\prime}$ being the remainder of $m_{1}$ to be executed.

Definition 2.2 We say that $m$ can diverge from $\sigma$, denoted by $(m, \sigma) \uparrow$, if there exists an infinite sequence of configuration $c_{i}$ such that

$$
\left(\forall i \geq 0: c_{i} \longrightarrow c_{i+1}\right)
$$

where $c_{0}=\langle m, \sigma\rangle$. Furthermore, by $c_{0} \longrightarrow^{\star} c_{n}^{\prime}$ we denote that there exists a finite sequence of configuration $c_{i}$ such that

$$
c_{0} \longrightarrow c_{1} \longrightarrow \cdots \longrightarrow c_{n-1} \longrightarrow c_{n}^{\prime}
$$

For each statement in Stat we can now define its operational semantics:
Definition 2.3 Let the function $O p:$ Stat $\rightarrow\left(\Sigma \rightarrow \mathcal{P} . \Sigma \cup \Sigma_{\perp}\right)^{1}$ defined by:

$$
O p . S . \sigma= \begin{cases}\Sigma_{\perp} & \text { if }(S, \sigma) \uparrow \\ \left\{\sigma^{\prime} \mid\langle S, \sigma\rangle \longrightarrow^{\star}\left\langle E, \sigma^{\prime}\right\rangle\right\} & \text { otherwise }\end{cases}
$$

The definition of the function $O p$ explains why $\square$ is called demonic choice: if there is the possibility of infinite behaviour ( $S$ can diverge) then it will be chosen. Next we discuss the backtrack operator $\diamond$. If we execute the statement $S_{1} \diamond S_{2}$ in a state $\sigma$ then we look if we can do a step from $S_{1}$ (that possibly changes $\sigma$ say in $\sigma^{\prime}$ ) and we remember the starting state $\sigma$ changing $\diamond$ in $\Delta$. If this computation deadlocks at a later stage, then we still have the alternative $S_{2}$ left reinstalling the state $\sigma$.
As a second step we define the weakest precondition semantics and relate it to the model $O p$. Let $\mathbf{B}=\{t t, f f\}$ be the boolean set and $(P, Q \in)$ Pred $=\Sigma \rightarrow \mathbf{B}$ be predicates.

Definition 2.4 (weakest preconditions) Let wp : Stat $\rightarrow$ (Pred $\rightarrow$ Pred) be defined as follows:

$$
\begin{array}{ll}
w p \cdot b \rightarrow \cdot Q=b \Rightarrow Q & w p \cdot S_{1} ; S_{2} \cdot Q=w p \cdot S_{1} \cdot\left(w p \cdot S_{2} \cdot Q\right) \\
w p \cdot v:=t \cdot Q=Q[t / v] & w p \cdot S_{1} \square S_{2} \cdot Q=w p \cdot S_{1} \cdot Q \wedge w p \cdot S_{2} \cdot Q \\
w p \cdot l o o p \cdot Q=\text { false } & w p \cdot S_{1} \diamond S_{2} \cdot Q=w p \cdot S_{1} \cdot Q \wedge\left(w p \cdot S_{1} \cdot \text { false } \Rightarrow w p \cdot S_{2} \cdot Q\right) .
\end{array}
$$

In this definition $Q[t / v]$ denctes syntactic substitution in $Q$ of $t$ for $v$. It is not difficult to prove that for any statement $S$ the predicate transformer $w p . S$ is monotonic with respect to $\Rightarrow$ : we have that if $P \Rightarrow Q$ then $w p . S . P \Rightarrow w p . S . Q$.
The following theorem relates the weakest precondition semantics with the operational semantics in the same way as in [Bak80]; at first generalize predicates $P$ from $\Sigma$ to $\left(\mathcal{P} . \Sigma \cup \Sigma_{\perp}\right)$ by $P . \perp=$ false and $P . X=(\forall \sigma \in X: P . \sigma)$.

Theorem 2.5

$$
w p . S . P=\{\sigma \mid P .(O p . S . \sigma)\}
$$

Notice that this means $O p \cdot S_{1}=O p \cdot S_{2}$ if and only if $\left(\forall P: w p \cdot S_{1} \cdot P=w p \cdot S_{2} \cdot P\right)$.

[^0]
## 3 Orderings

In this section we introduce three relations on Stat; they are pre-orders, but using Theorem 2.5 they are partial orders when we identify statements with the same operational semantics. We start by two orderings that can be defined by means of weakest preconditions. The first ordering $\sqsubseteq_{B}$ was proposed by Back [ $\left.\mathrm{Bac} 78, \mathrm{Bac} 80\right]$ and is suited for refinement (see [Bac90] and also [Mor87, MRG88]). The second ordering $\sqsubseteq_{D}$ is a new ordering which preserves deadlocks (as we show below when we give a semantic characterization of the two orderings).

Definition 3.1 Let $\sqsubseteq_{B}, \sqsubseteq_{D}$ be two orderings on Stat defined as follows:

$$
\begin{aligned}
& S_{1} \sqsubseteq_{B} S_{2} \text { if }\left(\forall Q: w p \cdot S_{1} \cdot Q \Rightarrow w p \cdot S_{2} \cdot Q\right) \\
& S_{1} \sqsubseteq_{D} S_{2} \text { if } w p \cdot S_{1} \cdot \mathbf{f a l s e} \Rightarrow w p \cdot S_{2} . \text { false } \wedge \\
& \left(\forall Q:\left(w p \cdot S_{1} \cdot Q \wedge \neg w p \cdot S_{1} \cdot \mathbf{f a l s e}\right) \Rightarrow\left(w p \cdot S_{2} \cdot Q \wedge \neg w p \cdot S_{2} \cdot \mathbf{f a l s e}\right)\right) .
\end{aligned}
$$

For the third ordering we need the additional notion of weakest liberal precondition.
Definition 3.2 (weakest liberal preconditions) Let wlp: Stat $\rightarrow$ (Pred $\rightarrow$ Pred) be defined by

$$
\begin{array}{ll}
w l p \cdot b \rightarrow . Q=b \Rightarrow Q & w l p \cdot S_{1} ; S_{2} \cdot Q=w l p \cdot S_{1} \cdot\left(w l p \cdot S_{2} \cdot Q\right) \\
w l p \cdot v:=t \cdot Q=Q[t / v] & w l p \cdot S_{1} \square S_{2} \cdot Q=w l p \cdot S_{1} \cdot Q \wedge w l p \cdot S_{2} \cdot Q \\
\text { wlp.loop. } Q=\operatorname{true} & w l p \cdot S_{1} \diamond S_{2} \cdot Q=w l p \cdot S_{1} \cdot Q \wedge\left(w p \cdot S_{1} \cdot \mathrm{false} \Rightarrow w l p \cdot S_{2} \cdot Q\right) .
\end{array}
$$

Note that the weakest liberal precondition differs from the weakest precondition only in the definition of $w l p . l o o p$ and $w l p . S_{1} \diamond S_{2}$. The next lemma relates $w p$ and $w l p$ :

Lemma $3.3(\forall S, Q: w p . S . Q \Leftrightarrow(w p . S . t r u e \wedge$ $q l p . S . Q))$.

Since $w p$ is monotone with respect to the $\Rightarrow$ order, we have by the precedent lemma $(\forall S, Q: w p . S . Q \Rightarrow w l p . S . Q)$. We give a third ordering which was introduced by Nelson in [Nel87].

Definition $3.4 \quad S_{1} \sqsubseteq_{N} S_{2}$ if $\left(\forall Q: w p \cdot S_{1} \cdot Q \Rightarrow w p \cdot S_{2} \cdot Q \wedge w l p \cdot S_{2} \cdot Q \Rightarrow w l p \cdot S_{1} \cdot Q\right)$.
The three orderings can be related as follows:

Theorem 3.5

$$
\Xi_{N} \subsetneq \subseteq_{0} \subsetneq \Xi_{B}
$$

Proof We only show the inequalities. They follow from

$$
\begin{array}{ll}
v:=1 \sqsubseteq_{B}(\text { false } \rightarrow) & \text { but } v:=1 \mathbb{Z}_{D}(\text { false } \rightarrow) \\
(v:=1 \square v:=2) \sqsubseteq_{D} v:=2 & \text { but } \quad(v:=1 \square v:=2) \mathbb{Z}_{N} v:=2 .
\end{array}
$$

We have the following problems with monotonicity of the orderings $\sqsubseteq_{B}$ and $\sqsubseteq_{D}$ :

1. $\quad($ true $\rightarrow) \sqsubseteq_{B}($ false $\rightarrow)$
but

$$
(\text { true } \rightarrow) \diamond v:=1 \not Z_{B}(\text { false } \rightarrow) \diamond v:=1
$$

2. $(v:=1 \square v:=2) \sqsubseteq_{D} v:=2$
but

$$
(v:=1 \square v:=2) ;(v=1 \rightarrow) \not \mathbb{Z}_{D} v:=2 ;(v=1 \rightarrow) .
$$

Theorem 3.6 We have for all statements $S_{1}, S_{2}, S_{1}^{\prime}, S_{2}^{\prime} \in$ Stat:

$$
\begin{aligned}
& S_{1} \sqsubseteq_{B} S_{2} \wedge S_{1}^{\prime} \sqsubseteq_{B} S_{2}^{\prime} \Rightarrow\left(\forall o p \in\{;, \square\}: S_{1} o p S_{1}^{\prime} \sqsubseteq_{B} S_{2} o p S_{2}^{\prime}\right) \\
& S_{1} \sqsubseteq_{D} S_{2} \wedge S_{1}^{\prime} \sqsubseteq_{D} S_{2}^{\prime} \Rightarrow\left(\forall o p \in\{\square, \diamond\}: S_{1} o p S_{1}^{\prime} \sqsubseteq_{D} S_{2} o p S_{2}^{\prime}\right) \\
& S_{1} \sqsubseteq_{N} S_{2} \wedge S_{1}^{\prime} \sqsubseteq_{N} S_{2}^{\prime} \Rightarrow\left(\forall o p \in\{;, \square, \diamond\}: S_{1} o p S_{1}^{\prime} \sqsubseteq_{N} S_{2} o p S_{2}^{\prime}\right)
\end{aligned}
$$

Proof For $\sqsubseteq_{N}$ we refer to [Nel87], for $\sqsubseteq_{D}$ to [BK92] and for $\sqsubseteq_{B}$ to [BvW90].

## 4 Order Theory

In this section we provide the mathematical basis for the next section. We give some general results on fixed points and we show that under particular conditions they can be obtained (even by iterat:on) also for non-monotonic functions. Moreover, we give relationships between discrete powerdomains and predicate transformers, following the ideas of [Wan77],[Plo79], [Bes83], [AP86] and [Smy83].
Let $P$ a partial order and $A$ a nonempty subset of $P$. Then $A$ is said to be directed if every finite subset of $A$ has an upper bound. $P$ is a complete partial order (cpo) if there exist a least element $\perp$ and every directed subset $A$ of $P$ has least upper bound (lub) $\sqcup A$.
For example, for any set $X$, the flat complete partial order $X_{\perp}$ is the set $X \cup\{\perp\}$ ordered by $x \sqsubseteq y \Leftrightarrow x=\perp$ or $x=y$.
Let $P, Q$ be two partial orders. A function $f: P \rightarrow Q$ is monotone if for all $x, y \in P$ with $x \sqsubseteq_{P} y$ we have $f . x \sqsubseteq_{Q} f . y$. Moreover, $f$ is continuous if for each directed subset $A$ of $P$ with least upper bound $\bigsqcup A$ we have $f .(\sqcup A)=\bigsqcup(f . A) ; f$ is strict if and only if
$f . \perp_{P}=\perp_{Q}$. If $f$ is continuous then it is monotone, and if $f$ is onto and monotone then it is also strict. Let $g: P \rightarrow P$, we denote by $\mu . g$ the least fixed point of $g$, that is, $g . \mu . g=\mu \cdot g$ and for every other $x \in P$ such that $g . x=x$ then $\mu . g \sqsubseteq x$.
Let $P, Q$ be two partial orders. Then $P \times Q$ is the cartesian product ordered coordinatewise and $P \rightarrow Q$ is the function space ordered pointwise. Moreover, if $f^{-1} \cdot y$ exist for $y \in Q$ and $f: P \rightarrow Q$ then the partial order determined by $f^{-1} . y$ is the partial order that has for elements $x \in f^{-1} . y \subseteq P$ ordered as in $P$, that is, for each $x_{1}, x_{2} \in f^{-1} \cdot y, x_{1} \sqsubseteq x_{2} \Leftrightarrow x_{1} \sqsubseteq_{P} x_{2}$.

### 4.1 Fixed Points

For any partial order $P$, function $f: P \rightarrow P$ and ordinal $\lambda$, define $f^{<\lambda>} \in P$ by

$$
f^{<\lambda>}=f . \bigsqcup_{k<\lambda} f^{\langle k\rangle}
$$

Of course $f^{\langle\lambda\rangle}$ need not to exist, since $\rfloor_{k<\lambda} f^{<k>}$ need not to exist. Note that $f^{<0>}=f . \perp$ when the least element $\perp$ of $P$ exists. If $f^{<\lambda>}$ does not exist, then for any $\lambda^{\prime} \geq \lambda f^{<\lambda^{\prime}>}$ does not exist, and if $f$ is monotone then $f^{<\lambda>}$ is monotone in $\lambda$. We say $\left(f^{<\lambda>}\right)_{\lambda}$ stabilizes at $k$ if whenever $\lambda \geq k$ then $f^{<\lambda>}=f^{<k>}$; the closure ordinal is the least ordinal $k$ by which the sequence stabilizes. If $f$ is monotone then $f^{<k>}$ is the least (pre-)fixed point of $f$ since $f . f^{<k>}=f^{<k+1>}$ and $f . a \sqsubseteq a$ implies $f^{<\lambda>} \sqsubseteq a$ for all $\lambda$. If $P$ is a complete partial order and $f$ is monotone then of course $f^{<\lambda>}$ always exists and moreover, $\left(f^{<\lambda>}\right)_{\lambda}$ stabilizes [HP72]. If additionally $f$ is continuous then it has closure ordinal $\leq \omega$.
The following theorem, that can be found in [AP86], shows that under certain circumstances $g^{<\lambda>}$ always exists and stabilizes for a monotone function $g: Q \rightarrow Q$ even if $Q$ is not a complete partial order:

Theorem 4.1 Let $\left(P, \sqsubseteq_{P}\right)$ and $\left(Q, \sqsubseteq_{Q}\right)$ be two partial orders, and $f: P \rightarrow P, g: Q \rightarrow Q$ be two monotone functions and $h: P \rightarrow Q$ be a strict and continuous function such that the following diagram commutes:


Then if $f^{<\lambda>}$ exists so does $g^{<\lambda>}$, and indeed $g^{<\lambda>}=h . f^{<\lambda>}$. In particular if $\mu . f$ exists (being an $f^{<\lambda>}$ ) then so does $\mu . g$ and $\mu . g=h . \mu . f$.

We can even drop the condit on of $g$ to be monotone provided that $h$ satisfies some extra conditions (in [BK92] even a more general theorem is proved but this is not needed here):

Theorem 4.2 Let $\left(P, \sqsubseteq_{P}\right)$ and $\left(Q, \sqsubseteq_{Q}\right)$ be two partial orders, and $f: P \rightarrow P$ be a monotone function, $g: Q \rightarrow Q$ be a function and $h: P \rightarrow Q$ be an onto and monotone function such that for all $y \in Q$ the partial order $h^{-1} . y$ has a top element and the following diagram commutes:


Then if $\mu . f$ exists so does $\mu . g$, and indeed $\mu . g=h . \mu . f$. Moreover, if $h$ is also continuous then for each ordinal $\lambda$ if $f^{\langle\lambda\rangle}$ exists so does $g^{\langle\lambda\rangle}$, and $g^{\langle\lambda\rangle}=h . f^{\langle\lambda\rangle}$.

Proof The proof contains part of the proof of the Theorem 4.1 [AP86]: assume $\mu . f$ exists, then $\mu . f=f^{\langle\alpha\rangle}$ for some ordinal $\alpha$. We have:

$$
h . f^{\langle\alpha\rangle}=h . f^{\langle\alpha+1\rangle}=h . f . f^{\langle\alpha\rangle}=g . h . f^{\langle\alpha\rangle}
$$

So $h . f^{\langle\alpha\rangle}$ is a fixed point of $g$. Now it remains to prove that h.f ${ }^{\langle\alpha\rangle}=\mu . g$. Let $y \in Q$ such that $g . y=y$ and let $a \in P$ be the top element of the partial order generated by $h^{-1} . y$.

First we prove $f . a \sqsubseteq a$, indeed, $f . a \in h^{-1} . y$ because

$$
h . f \cdot a=g \cdot h \cdot a=g \cdot y=y
$$

and as $a$ is the top element of $h^{-1} \cdot y$ we obtain $f . a \sqsubseteq a$.
As second step we prove by transfinite induction $f^{\langle\lambda\rangle} \sqsubseteq a$ for each ordinal $\lambda$ :
$\lambda=0) f^{<0\rangle}=\perp \sqsubseteq a$
$\lambda>0) \quad\{$ induction hypothesis \}

$$
\left(\forall k<\lambda: f^{<k>} \sqsubseteq a\right)
$$

$$
\Rightarrow\{\text { definition of } \sqcup\}
$$

$$
\bigsqcup_{k<\lambda} f^{<k>} \sqsubseteq a
$$

$$
\Rightarrow\{f \text { is monotone }\}
$$

$$
f . \bigsqcup_{k<\lambda} f^{<k>} \sqsubseteq f . a
$$

$$
\begin{gathered}
\Rightarrow\left\{\text { definition of } f^{<\lambda>}\right\} \\
f^{<\lambda>} \sqsubseteq f \cdot a \\
\Rightarrow\{f . a \sqsubseteq a\} \\
f^{<\lambda>} \sqsubseteq a
\end{gathered}
$$

Hence also $f^{\langle\alpha\rangle} \sqsubseteq a$ and by monotonicity of $h$ :

$$
h . f^{\langle\alpha\rangle} \sqsubseteq h . a=y
$$

Therefore $h . f^{\langle\alpha\rangle}=h . \mu . f$ is the least fixed point of $g$.
Suppose now $f^{<\lambda>}$ exists for some ordinal $\lambda$, and let $h$ be continuous. Thus it is also monotone and hence it is also strict as it is onto. The fact that $h . f^{\langle\lambda\rangle}=g^{\langle\lambda\rangle}$ follows the line of the proof of the Theorem 4.1 (see [AP86]).
Note that even if $g: Q \rightarrow Q$ is not monotone and $Q$ is not a complete partial order, the theorem above ensures the existence of a least fixed point for $g$ that can be obtained by iteration, since $g^{\lambda}$ exists for all ordinals $\lambda$.

### 4.2 Predicate Transformers and Discrete Powerdomains

Let $\Sigma$ be a nonempty set of states, fixed for the rest of this section, and assume, in order to avoid degenerate cases, its cardinality be greater than 1. Recall that a predicate is a function from states to the boolean set $\mathbf{B}=\{t t, f f\}$. With every predicate $P \in$ Pred we can associate the set $\{\sigma \mid P . \sigma=t t\} \subseteq \Sigma$ while with every set $A$ we can associate the function in Pred, $P(A)=\lambda \sigma \in \Sigma$.(if $\sigma \in A$ then $t t$ else ff). If $A$ is a subset of $\Sigma$ then $A=\{\sigma \mid P(A) \cdot \sigma=t t\}$ and conversely, if $P$ is a predicate then $P=P(\{\sigma \mid P \cdot \sigma=t t\})$.
A predicate transformer $\pi$ is a function in Pred $\rightarrow$ Pred which satisfies some properties. There are different definitions of predicate transformers in the literature that differ in the sets of properties. Next we give a list of possible requirements on the function space Pred $\rightarrow$ Pred that are used in various definitions of predicate transformers:

1. $\Sigma$ is countable,
2. $\pi$. false $=$ false (exclusion of miracles),
3. $\pi$ is monotone with respect to the $\Rightarrow$ order,
4. $\pi$ is continuous with respect to the $\Rightarrow$ order,
5. $\pi .(P \wedge Q)=\pi . P \wedge \pi . Q$ for all $P, Q \in \operatorname{Pred}$ (finite multiplicativity),
6. $\pi \cdot \wedge_{n \in N} P_{n}=\bigwedge_{n \in N} \pi \cdot P_{n}$ where $N$ is the set of natural number and $P_{n} \in$ Pred for all $n \in N$ (countable multiplicativity),
7. $\pi . \wedge_{i \in I} P_{i}=\bigwedge_{i \in I} \pi . P_{i}$ where $I$ is an index set of the same cardinality as $\Sigma$ and $P_{i} \in P r e d$ for all $i \in I$ ( $\Sigma$-multiplicativity),
8. $\pi$. $\bigwedge_{i \in I} P_{i}=\bigwedge_{i \in I} \pi . P_{i}$ where $I \neq \emptyset$ is an index set and $P_{i} \in$ Pred for all $i \in I$ (multiplicativity).

In [Dij76] a predicate transformer $\pi \in$ Pred $\rightarrow$ Pred satisfies the properties 1. - $5 . ;$ in [Wan77, Plo79] it satisfies the properties 1., 2., 4. and 5.; in [Bes83] the properties 1., 2. and 8.; in [AP86] the properties 1., 2. and 6.; and finally in [BvW90] only the property 3.. A predicate transformer can also satisfy property 7. and we choose this property for defining the predicate transformers that we will use in the rest of the section:

Definition 4.3 A predicate transformer is any function $\pi \in$ PTran $=$ Pred $\rightarrow$ Pred which satisfies the $\Sigma$-multiplicativity law.

Predicate transformers as defined above are stable functions [Plo81], as is shown in the following lemma that is a slight generalization of the stability lemma in [AP86]:

Lemma 4.4 Let $\pi \in P \operatorname{Tran}$ and let $\sigma \in \Sigma$ such that $\pi$.true. $\sigma$. Then there is a set $\min (\pi, \sigma) \subseteq \Sigma$ such that

$$
\left(\forall Q: \pi \cdot Q \cdot \sigma \Leftrightarrow \min (\pi, \sigma) \subseteq\left\{\sigma^{\prime} \mid Q \cdot \sigma^{\prime}\right\}\right)
$$

Next we show some of the relationships among the properties enumerated above:
Lemma 4.5 Let $\Sigma$ be a countable set of states. We have:

$$
(4 . \wedge 5 .) \Rightarrow 6 . \Leftrightarrow 7 . \Leftrightarrow 8 . \Rightarrow 3
$$

Note that if $\Sigma$ is uncountable we have 8. $\Leftrightarrow 7 . \Rightarrow 6 . \Rightarrow 3$.
The previous lemma shows that predicate transformers as defined in [Dij76] are exactly the same predicate transformers in the sense of [Wan77, Plo79], and these are predicate transformers as defined in [Bes83]. The predicate transformers as defined in [Bes83] are the same predicate transformers defined in [AP86] and these predicate transformers are also predicate transformers in the sense of our definition 4.3. Finally predicate transformers in the sense of our definition 4.3 are also predicate transformers in the sense of [BvW90]. Thus our definition 4.3 generalizes the definitions of [Wan77, Plo79, Bes83, AP86] and we will generalize some of their results. As far as we know similar results do not hold for the definition of predicate transformers of [BvW90]. We will generalize the relationship between the Smyth powerdomain and the predicate transformers [Wan77, Plo79, Bes83, AP86, Smy83] to two our new versions of the Smyth powerdomains. Moreover, we will introduce a relationship between the Egli-Milner powerdomain and pair of predicate transformers like is done in [Nel87]. The following commuting diagram summarizes all the relationships between predicate transformers and discrete powerdomains that we will define in the next three subsections:


## Egli-Milner powerdomain with empty set

Definition 4.6 Let $X_{\perp}$ be a flat domain. Then the Egli-Milner powerdomain with empty set of $X_{\perp}$, denoted by $\mathcal{E}^{\oplus} . X_{\perp}$, is the partial order with elements all the subset of $X_{\perp}$ ordered as follows:

$$
A \sqsubseteq B \Leftrightarrow(\perp \notin A \wedge A=B) \vee(\perp \in A \wedge A \backslash\{\perp\} \subseteq B)
$$

Note that this differs from the usual definition of the Egli-Milner powerdomain because we add the empty set. It is added by means of a smash product following the ideas of [HP79, MM79, Abr91], in fact we have for all $A \subseteq X_{\perp}$ :

$$
(A \sqsubseteq \emptyset \Leftrightarrow A=\{\perp\} \vee A=\emptyset) \text { and also }(\emptyset \sqsubseteq A \Leftrightarrow A=\emptyset) .
$$

The partial order $\mathcal{E}^{\bullet} . X_{\perp}$ is also complete, as $\{\perp\}$ is the least element and if $\mathcal{F} \subseteq \mathcal{E}^{\bullet} . X_{\perp}$ is a directed family then $\bigsqcup \mathcal{F}=:(\cup \mathcal{F} \backslash\{\perp\}) \cup\{\perp \mid(\forall A \in \mathcal{F}: \perp \in A)\}$.
A meaning of a statement will be a function in the Egli-Milner State-Transformers, denoted by $E T r a n$, that is, the complete partial order $\Sigma \rightarrow \mathcal{E}^{6} . \Sigma_{\perp}$, ordered pointwise. Elements of $\mathcal{E}^{\natural} . \Sigma_{\perp}$ denote resulting computations. Non-terminating computation are represented by the element $\perp$ in the set of all the possible computations. The empty set is interpreted as a deadlock. The Egli-Milner State-Transformers are in the following


Definition 4.7 Define the Nelson's predicate transformers PTran ${ }_{N}$ to be the set of all the functions $\pi \in$ Pred $\rightarrow$ Pred $\times$ Pred such that:

1. $\downarrow_{1} \cdot \pi \in P T r a n$ and $\downarrow_{2} \cdot \pi \in P T r a n$
2. $\left(\forall Q \in\right.$ Pred $: \downarrow_{1} \cdot \pi$. true $\left.\wedge \downarrow_{2} \cdot \pi \cdot Q \Leftrightarrow \downarrow_{1} \cdot \pi_{1} \cdot Q\right)$
3. $\downarrow_{2} \cdot \pi$. true $=$ true
where $\downarrow_{i}$ denotes a projection operator on the $i$-th component of the codomain of a function. The functions are ordered as follows

$$
\pi \sqsubseteq_{P N} \hat{\pi} \text { if }\left(\forall Q: \downarrow_{1} \cdot \pi \cdot Q \Rightarrow \downarrow_{1} \cdot \hat{\pi} \cdot Q \wedge \downarrow_{2} \cdot \hat{\pi} \cdot Q \Rightarrow \downarrow_{2} \cdot \pi \cdot \dot{Q}\right) .
$$

By definition of Nelson's predicate transformers we have that $\downarrow_{1}: P T$ ran $N_{N} \rightarrow P$ Tran is onto, since for each $\pi_{1} \in$ PTran the function $\pi:$ Pred $\rightarrow$ Pred $\times$ Pred defined by $\pi \cdot Q=\left(\pi_{1} \cdot Q, \pi_{2} \cdot Q\right)$ is in PTran $_{N}$, where

$$
\pi_{2} \cdot Q= \begin{cases}\text { true } & \text { if } Q=\text { true } \\ \pi_{1} \cdot Q & \text { otherwise }\end{cases}
$$

for all $Q \in$ Pred.
For any statement $S$ the pair ( $w p . S, w l p . S$ ) defined in the definitions 2.4 and 3.2 is a Nelson's predicate transformer and the order $\sqsubseteq_{P N}$ is the lifting of $\sqsubseteq_{N}$ to $P T r a n_{N}$.
Now we can show the relationship between the Egli-Milner powerdomain and the Nelson Predicate Transformers: define the function $\eta: E T r a n^{\natural} \rightarrow P \operatorname{Tran}_{N}$, for $m \in E T r a n^{\natural}$ and $P \in$ Pred, by

$$
\eta \cdot m \cdot P=(\{\sigma \mid P \cdot m \cdot \sigma\},\{\sigma \mid P \cdot(m \cdot \sigma \backslash\{\perp\})\}) .
$$

Lemma 4.8 Let $m \in E T r a n{ }^{\otimes}$. Then the function $\eta . m \in P \operatorname{Tran}_{N}$.
Lemma 4.9 The function $\eta$ is monotone.
The function $\eta$ has an inverse. Define the function $\eta^{-1}: P \operatorname{Tran}_{N} \rightarrow E T r a n^{\theta}$, for $\pi \in P \operatorname{Tran}_{N}$ and $\sigma \in \Sigma$, by:

$$
\eta^{-1} \cdot \pi \cdot \sigma= \begin{cases}\min \left(\downarrow_{2} \cdot \pi, \sigma\right) & \text { if } \downarrow_{1} \cdot \pi . \text { true. } \sigma \\ \min \left(\downarrow_{2} \cdot \pi, \sigma\right) \cup\{\perp\} & \text { otherwise. }\end{cases}
$$

Lemma 4.10 The function $\eta^{-1}$ is monotone.
Finally we have:
Theorem 4.11 The function $\eta: E \operatorname{Tran}^{\ominus} \rightarrow P \operatorname{Tran}_{N}$ is an isomorphism of partial orders with inverse $\eta^{-1}$.

Smyth powerdomain with deadlock
Definition 4.12 Let $X_{\perp}$ be a flat domain. Then the Smyth's powerdomain with deadlock of $X_{\perp}$, is defined as the partial order

$$
\mathcal{S}^{\delta} \cdot X_{\perp}=\{A \mid A \subseteq X \wedge A \neq \emptyset\} \cup\left\{X_{\perp}\right\} \cup\{\delta\}
$$

where $A \sqsubseteq B \Leftrightarrow\left(A=X_{\perp}\right) \vee(A=\delta \wedge B=\delta) \vee(A \supseteq B)$.

This definition differs from the original definition of the Smyth powerdomain [Smy78] because we add an extra element $\delta$ (interpreted as deadlock) that is comparable only with itself and the bottom. This makes that in general $\mathcal{S}^{\delta} . X_{\perp}$ is not a complete partial order, in fact consider in $\mathcal{S}^{\delta} . N_{\perp}$ the following directed set which has no upper bound:

$$
N \sqsubseteq N \backslash\{0\} \sqsubseteq N \backslash\{0,1\} \sqsubseteq \ldots, \quad \text { (this example appears also in [AP86]) }
$$

The Egli-Milner powerdomain with empty set and Smith powerdomain with deadlock are related by the function $e_{X}: \mathcal{E}^{\ominus} . X_{\perp} \rightarrow \mathcal{S}^{\delta} . X_{\perp}$ defined by

$$
e_{X} \cdot A= \begin{cases}A & \text { if } \perp \notin A \wedge A \neq \emptyset \\ \delta & \text { if } A=\emptyset \\ X_{\perp} & \text { otherwise }\end{cases}
$$

as it is shown in the following lemma:

Lemma 4.13 The function $e_{X}: \mathcal{E}^{\emptyset} \cdot X_{\perp} \rightarrow \mathcal{S}^{\delta} . X_{\perp}$ is onto, continuous, and for each $B \in \mathcal{S}^{\delta} \cdot X_{\perp}$ the partial order $e_{X}^{-1} \cdot B$ has a top element.

We will use this lemma in the next section in order to apply theorem 4.2.
The Smyth State-Transformers respecting deadlock, are all the functions $\Sigma \rightarrow \mathcal{S}^{\delta} . \Sigma_{\perp}$, ordered pointwise. We denote this partial order $S T r a n^{\delta}$. Elements of $\mathcal{S}^{\delta} . \Sigma_{\perp}$ denote resulting computations. All the computations that are possibly non terminating are identified with the element $\left\{\Sigma_{\perp}\right\}$.
Next we show how $S T r a n^{\delta}$ is related to the predicate transformers. Take $\operatorname{Ptran}_{D}$ as the set of predicate transformers PTran ordered as follows

$$
\begin{aligned}
\pi \sqsubseteq_{P D} \hat{\pi} \text { if } & \pi . \text { false } \Rightarrow \hat{\pi} . \text { false } \wedge \\
& \wedge(\forall Q:(\pi \cdot Q \wedge \neg \pi . f a l \mathbf{s e}) \Rightarrow(\hat{\pi} \cdot Q \wedge \neg \hat{\pi} . \text { false })) .
\end{aligned}
$$

The order $\sqsubseteq_{P D}$ is the lifting of $\sqsubseteq_{D}$ to PTran.
Define for $m \in S T r a n{ }^{\delta}$ and $Q \in \operatorname{Pred}$ the function $\gamma: S T r a n{ }^{\delta} \rightarrow P \operatorname{Tran}_{D}$ by

$$
\gamma \cdot m \cdot Q=\{\sigma \mid Q \cdot m \cdot \sigma\} \cup\{\sigma \mid m \cdot \sigma=\delta\}
$$

Define for $\pi \in P \operatorname{Tran}_{D}$ and $c^{r} \in \Sigma$ the function $\gamma^{-1}: P \operatorname{Tran}_{D} \rightarrow S T r a n{ }^{\delta}$ by:

$$
\gamma^{-1} \cdot \pi \cdot \sigma= \begin{cases}\min (\pi, \sigma) & \text { if } \pi . \text { true. } \sigma \wedge \neg \pi . \text { false } . \sigma \\ \delta & \text { if } \pi . \text { false. } \sigma \\ \Sigma_{\perp} & \text { otherwise } .\end{cases}
$$

Also in this case we have an order-isomorphism:

Theorem 4.14 The function $\gamma: S \operatorname{Tran}^{\delta} \rightarrow \operatorname{PTran}_{D}$ is an isomorphism of partial orders with inverse $\gamma^{-1}$.

## Smyth powerdomain with empty set

Definition 4.15 Let $X_{\perp}$ be a flat domain. Then the Smyth powerdomain of $X_{\perp}$ (with empty set), is defined as the partial order

$$
\mathcal{S}^{\oplus} \cdot X_{\perp}=\{A \mid A \subseteq X\} \cup\left\{X_{\perp}\right\}
$$

ordered by the superset order, that is, $A \sqsubseteq B \Leftrightarrow A \supseteq B$.
This definition differs from the original definition of the Smyth's powerdomain [Smy78] because we add the empty set as a top element, as suggested in [Plo79].
The partial order $\mathcal{S}^{\emptyset} \cdot X_{\perp}$ is also complete, $\left\{X_{\perp}\right\}$ is the least element and if $\mathcal{F} \subseteq \mathcal{S}^{\bigoplus} . X_{\perp}$ is a directed family then $\bigcap \mathcal{F}$ is its least upper bound. Moreover, it is also closed under arbitrary union and intersection.
The Smyth State-Transformers domain, denoted by STran ${ }^{\wedge}$, is the complete partial order $\Sigma \rightarrow \mathcal{S}^{\emptyset} . \Sigma_{\perp}$, ordered pointwise. Elements of $\mathcal{S}^{\oplus} . \Sigma_{\perp}$ denote resulting computations. All the computations that are possibly non terminating are identified with the element $\left\{\Sigma_{\perp}\right\}$; the empty set is interpreted as a deadlock.
Also the Egli-Milner powerdomain with empty set and Smith powerdomain with empty set are related by the functicn $d_{X}: \mathcal{E}^{\ominus} . X_{\perp} \rightarrow \mathcal{S}^{\ominus} . X_{\perp}$ defined by

$$
d_{X} \cdot A= \begin{cases}A & \text { if } \perp \notin A \\ X_{\perp} & \text { otherwise }\end{cases}
$$

as is shown in the following lemma:
Lemma 4.16 The function $d_{X}: \mathcal{E}^{\emptyset} \cdot X_{\perp} \rightarrow \mathcal{S}^{\emptyset} \cdot X_{\perp}$ is onto, continuous, and for each $B \in \mathcal{S}^{\oplus} . X_{\perp}$ the partial order $d_{X}^{-1} \cdot B$ has a top element.

We will also use this lemma in the next section in order to apply theorem 4.2.
Next we show the relationship between Smyth state transformers and predicate transformers. Take $P \operatorname{Tran}_{B}$ to be the set of predicate transformers PTran ordered pointwise as follows

$$
\pi \sqsubseteq_{P B} \hat{\pi} \text { if }(\forall Q: \pi \cdot Q \Rightarrow \hat{\pi} \cdot Q) .
$$

Note that the order $\sqsubseteq_{P B}$ is just the lifting of $\sqsubseteq_{B}$ to PTran.
Define for $m \in S T r a n$ and $Q \in$ Pred the function $\omega: S T r a n \rightarrow P \operatorname{Tran}_{B}$ by

$$
\omega \cdot m \cdot Q=\{\sigma \mid Q \cdot m \cdot \sigma\}
$$

If $m \cdot \sigma=\Sigma_{\perp}$ then $\omega \cdot m \cdot Q \cdot \sigma=f f$ for all the predicate $Q$, because $Q . \perp=f f$.
Define for $\pi \in P \operatorname{Tran}_{B}$ and $\sigma \in \Sigma$ the function $\omega^{-1}: P \operatorname{Tran} n_{B} \rightarrow S \operatorname{Tran}$ by:

$$
\omega^{-1} \cdot \pi \cdot \sigma= \begin{cases}\min (\pi, \sigma) & \text { if } \pi . \text { true. } \sigma \\ \Sigma_{\perp} & \text { otherwise } .\end{cases}
$$

It is the inverse of $\omega$, indeed we have:

Theorem 4.17 The function $\omega: S T r a n ~ \rightarrow P \operatorname{Tran}_{B}$ is an isomorphism of partial orders with inverse $\omega^{-1}$.

## 5 Recursion

In this section we add recursion to the language. Let $(x \in) P V a r$ be a nonempty set of procedure variables. We remove loop from and add procedure variables to the set of statements Stat: it is now given by

$$
S::=x|v:=t| b \rightarrow\left|S_{1} ; S_{2}\right| S_{1} \square S_{2} \mid S_{1} \diamond S_{2}
$$

For the semantics we introduce the set of environments $E n v=(P \operatorname{Var} \rightarrow P \operatorname{Tran})$, that is, an environment gives a predicate transformer for each procedure variable.
Next we give the extension of $w p$ and $w l p$ as defined in definition 2.4 and definition 3.2 to the new set of statements:

Definition 5.1 (Extension of $w p$ and wlp) Let $w p:$ Stat $\rightarrow(E n v \rightarrow$ PTran) for $\xi \in E n v$ be defined by

$$
w p . b \rightarrow . \xi \cdot Q=b \Rightarrow Q \quad \text { wp.S } S_{1} ; S_{2} \cdot \xi \cdot Q=w p \cdot S_{1} \cdot \xi \cdot\left(w p \cdot S_{2} \cdot \xi \cdot Q\right)
$$

$$
w p \cdot x \cdot \xi \cdot Q=\xi \cdot x \cdot Q \quad \text { wp. } S_{1} \square S_{2} \cdot \xi \cdot Q=w p \cdot S_{1} \cdot \xi \cdot Q \wedge w p \cdot S_{2} \cdot \xi \cdot Q
$$

$$
w p \cdot v:=t \cdot \xi \cdot Q=Q[t / v] \quad w p \cdot S_{1} \diamond S_{2} \cdot \xi \cdot Q=w p \cdot S_{1} \cdot \xi \cdot Q \wedge\left(w p \cdot S_{1} \cdot \xi \cdot \text { false } \Rightarrow w p \cdot S_{2} \cdot \xi \cdot Q\right)
$$

and let wlp : Stat $\rightarrow(E n v \rightarrow P T r a n)$ be extended in similar way.
Take a fixed declaration $d \in$ Decl : Pvar $\rightarrow$ Stat. Sometimes we denote d. $x=S$ by $x \Leftarrow S$. A declaration assigns to each procedure variable a statement, possibly containing procedure variables. The idea is to associate with a declaration an environment by means of a fixed point construction.

First we show how familiar constructions can be defined in a declaration: the do-loop do $S$ od can be defined by $x \Leftarrow(S ; x) \diamond($ true $\rightarrow)$ and loop by $x \Leftarrow x$.
Define $\phi:$ Decl $\rightarrow(E n v \rightarrow E n v)$ for $\xi \in E n v$ by

$$
\phi . d . \xi \cdot x=w p .(d . x) . \xi .
$$

We would like to show that ( $\phi . d$ ) has a (least) fixed point (for any declaration $d$ ) that can be obtained by iteration, such that we can take this fixed point as the meaning of the declaration.

In order to do this we lift $E n v$ to the partial orders $\left(E n v_{B}, \sqsubseteq_{E B}\right),\left(E n v_{D}, \coprod_{E D}\right)$ and ( $E n v_{N}, \sqsubseteq_{E N}$ ) defined, respectively, by

- $E n v_{B}=\left(P \operatorname{Var} \rightarrow P \operatorname{Tran} n_{B}\right)$ and $\xi_{1} \sqsubseteq_{E B} \xi_{2}$ if $\left(\forall x \in P \operatorname{Var}: \xi_{1} \cdot x \sqsubseteq_{P B} \xi_{2} \cdot x\right)$
- $E n v_{D}=\left(P V a r \rightarrow{ }^{D} \operatorname{Tr} n_{D}\right)$ and $\xi_{1} \sqsubseteq_{E D} \xi_{2}$ if $\left(\forall x \in P V a r: \xi_{1} \cdot x \sqsubseteq_{P D} \xi_{2} \cdot x\right)$
- $E n v_{N}=\left(P \operatorname{Var} \rightarrow P \operatorname{Tran}_{N}\right)$ and $\xi_{1} \sqsubseteq_{E N} \xi_{2}$ if $\left(\forall x \in P \operatorname{Var}: \xi_{1} \cdot x \sqsubseteq_{P N} \xi_{2} \cdot x\right)$.

Theorem $5.2\left(E n v_{N}, \sqsubseteq_{E N}\right)$ is a complete partial ordering.
Lift the definition above of $\phi$ to $\phi_{k}: \operatorname{Decl} \rightarrow\left(E n v_{k} \rightarrow E n v_{k}\right)$, for $k \in\{B, D, N\}$ and $\xi_{k} \in E n v_{k}$, by

$$
\phi_{k} \cdot d \cdot \xi_{k} \cdot x= \begin{cases}w p \cdot(d \cdot x) \cdot \xi_{k} & \text { if } k \in\{B, D\} \\ \left(w p \cdot(d \cdot x) \cdot \downarrow_{1} \cdot \xi_{k}, w l p \cdot(d \cdot x) \cdot \downarrow_{2} \cdot \xi_{k}\right) & \text { if } k=N .\end{cases}
$$

The main problem is that fcr a fixed declaration $d$ the functions ( $\phi_{B} \cdot d$ ) and ( $\phi_{D} \cdot d$ ) are in general not monotone (adapt the examples at the end of section 3).
However, define two functions $h_{N B}: E n v_{N} \rightarrow E n v_{B}$ and $h_{N D}: E n v_{N} \rightarrow E n v_{D}$ by:

$$
\left(\forall \xi \in E n v_{N}: \quad h_{N B} \cdot \xi=h_{N D} \cdot \xi=\downarrow_{1} \cdot \xi\right) .
$$

Using the results of the previous section, we have that both $h_{N B}$ and $h_{N D}$ are onto, continuous and for every $\xi \in E n v_{B}$ there is a top element in $h_{N B}^{-1} \cdot \xi$, and similarly for every $\xi \in E n v_{D}$ there is a top element in $h_{N D}^{-1} \cdot \xi$.
Hence we can apply the theorem 4.2:
Theorem 5.3 The function ( $\phi_{k}$.d) defined above has for a fixed declaration $d$ a least fixed point $\mu .\left(\phi_{k} . d\right)$ both with respect to $\sqsubseteq_{E B}, \sqsubseteq_{E D}$ and $\sqsubseteq_{E N}$ that can be obtained by iteration as follows: define $\xi^{<0>}$ the environment such that for all $x$ and $Q$

$$
\xi^{\langle 0\rangle} \cdot x \cdot Q=\text { false }
$$

and define for each ordinal $\lambda>0$

$$
\xi^{\langle\lambda\rangle}=\phi_{k} \cdot d . \bigsqcup_{\alpha<\lambda} \xi^{\langle\alpha\rangle},
$$

then there is an ordinal $\hat{\lambda}$ such that $\mu .\left(\phi_{k} \cdot d\right)=\xi^{<\lambda>}$.
Finally we can give the following three weakest precondition semantics:
Definition 5.4 Let $S \in$ Stat, $d \in \operatorname{Decl}$ and $k \in\{B, D, N\}$. We define the following three weakest precondition semantics $\mathcal{W}_{k}: S t a t \rightarrow\left(\right.$ Decl $\rightarrow$ PTran ${ }_{k}$ ) by:

- $\mathcal{W}_{B} \cdot S . d=w p . S .\left(\mu .\left(\phi_{B} \cdot d\right)\right)$
- $\mathcal{W}_{D} . S . d=w p . S .\left(\mu .\left(\phi_{D} \cdot d\right)\right)$
- $\mathcal{W}_{N} \cdot S . d=\left(w p . S . \downarrow_{1} \cdot\left(\mu .\left(\phi_{N} \cdot d\right)\right), w l p . S . \downarrow_{2} \cdot\left(\mu \cdot\left(\phi_{N} \cdot d\right)\right)\right)$.


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[^0]:    ${ }^{1} \Sigma_{\perp}$ denotes the set $\Sigma \cup\{\perp\}$

