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Quasi-multiplications and inertial  
automorphisms (I)

by

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The purpose of this note is to introduce a definition of "inertial automorphism" on an arbitrary commutative ring with unity which reduces to the old definition in the case  $R$  is a complete discrete valuation ring. We generalize the notion of a value non-decreasing mapping on a valuation ring to the concept of "quasi-multiplication on a ring". We observe that rings  $R$  are embeddable in the ring of "quasi-multiplications" on  $R$ . Using this notion we develop some induced homomorphism theorems (theorems 2 & 3). We define inertial automorphisms as automorphisms which are also quasi-multiplications. We generalize the notion of "valuation-ring" to  $M$ -ring, where  $M$  is a chain of ideals with valuation-like properties. The strong 3rd condition in the definition was needed to give the result of theorem 4. Finally we begin a study of certain classes of subrings defined by the  $M$ -structure and the automorphism structure which have proven important in the case of valuation rings.

## Rings of quasi-multiplications

Suppose  $R$  is a commutative ring with identity, then a ring  $R_Q$  containing  $R$  is a ring of quasi-multiplications on  $R$  if any ideal of  $R$  is an ideal of  $R_Q$  as well.

If  $R$  is a commutative ring with identity and  $R_Q$  a ring of quasi-multiplications on  $R$ , then  $y \in R_Q$  and  $x \in R$ , implies  $y(x) \subset (x)$ , i.e.,  $yx = ux$ ,  $u \in R$ . If we let  $f^*: R \rightarrow R$  be defined by  $f^*(x) = u$ , then it follows that  $yx = xf^*(x)$ , i.e., we can regard  $y$  as a multiplication of  $x$  "by a function on  $R$ ", hence the name quasi-multiplication.

Notice that if we define a function  $f: R \rightarrow R$  to be a quasi-multiplication if there is a function  $f^*: R \rightarrow R$  such that  $f(x) = xf^*(x)$ , then  $y \in R_Q$  implies "multiplication by  $y$ " is a quasi-multiplication. In the situation where  $R$  is a valuation-ring with valuation  $V$  then a function  $f: R \rightarrow R$  is a quasi-multiplication if and only if  $f$  is value non-decreasing, i.e.,  $V(f(x)) \geq V(x)$  for all  $x$ . In this sense we can view quasi-multiplications as natural generalizations of value non-decreasing functions on a valuation ring to arbitrary commutative rings with identity.

Lemma 1: Suppose  $R$  is an arbitrary commutative ring with identity, then the collection  $R_{[Q]}$  of all quasi-multiplications is a ring under the regular definitions of operator addition and multiplication.  $R_{[Q]}$  has identity  $I$ ,  $I(x) = x$ .

Proof: Suppose  $f, g \in R_{[Q]}$ ,  $x \in R$ , then

$$(f + g)(x) = f(x) + g(x) = xf^*(x) + xg^*(x) = x(f^* + g^*)(x)$$

$$\text{and } f + g \in R_{[Q]}.$$

$$\text{Furthermore, } (fg)(x) = f(g(x)) = g(x)f^*(g(x)) = xg^*(x)f^*(g(x))$$

$$\text{and } fg \in R_{[Q]}.$$

Lemma 2: If on  $R_{[Q]}$  we define  $(f*g)(x) = f(x)g(x)$ , then  $R_{[Q]}$  becomes a commutative ring.

Proof:

$(f * g)(x) = f(x)g(x) = xf^{**}(x)g(x) = xf(x)g^{**}(x)$  and  $f * g \in R_{[Q]}$ .  
 Since  $R$  is commutative it follows that  $f * g = g * f$ .

We'll denote the ring in lemma 1 by  $R_{\langle Q \rangle}$  and the ring in lemma 2 by  $R_{\langle\langle Q \rangle\rangle}$ .

Lemma 3: If  $y \in R$ , let  $M_y: R \rightarrow R$  be defined by  $M_y(x) = y(x)$ .

Then the mapping  $\phi: R \rightarrow R_{\langle Q \rangle}$  defined by  $\phi(y) = M_y$  is an isomorphism.

Proof:

That  $\phi(y_1 + y_2) = \phi(y_1) + \phi(y_2)$  is obvious.

Next, observe that

$$\phi(y_1 y_2) = M_{y_1 y_2} = M_{y_1} M_{y_2} = \phi(y_1) \phi(y_2).$$

Also  $\phi(y) = 0$  implies  $yx = 0$  for all  $x$ . Since  $R$  has an identity we obtain that  $y = 0$  and  $\phi$  is an isomorphism.

Theorem 1: Suppose  $R_Q$  is a ring of quasi-multiplications on  $R$ , then

$R_Q$  can be "embedded" in  $R_{\langle Q \rangle}$ .

Proof:

Let  $y \in R_Q$ , then letting  $f_y: R \rightarrow R$  be defined by  $yx = f_y(x)$

we get a mapping  $\phi: R_Q \rightarrow R_{\langle Q \rangle}$ .

That  $\phi$  is a homomorphism is clear.

Suppose  $\phi(y) = 0$ , then  $yx = 0$  for all  $x \in R$ . Thus

$\text{Ker } \phi = \text{Annihilator of } R \text{ in } R_Q$ . It is clear that  $R_Q / \text{Ker } \phi$  is

a ring of quasi-multiplications on  $R$  ( $R$  contains 1, hence

$M_y \notin \text{Ker } \phi$  for  $y \neq 0$ !) and on  $R_Q / \text{Ker } \phi$  the mapping constructed

above is an isomorphism.

From now on we will always assume that a ring  $R_Q$  of quasi-multiplications on  $R$  has annihilator (0) so that theorem 1 will hold universally, i.e., any ring  $R_Q$  of quasi-multiplications will be regarded as a subring of  $R_{\langle Q \rangle}$  via the natural isomorphism constructed

in Theorem 1. Notice that since  $I = m_1$  any ring  $R_Q$  of quasi-multiplications will also be a ring with identity. Notice that  $R_{\langle Q \rangle}$  according to the definitions really is a ring of quasi-multiplications on  $R$ . Notice further that  $R_{\langle Q \rangle}$  is a two-sided  $R$ -module, i.e., its structure as a left  $R$ -module coincides with its structure as a right  $R$ -module. This follows from the fact that  $R$  is a commutative ring. Thus define  $(rf)(x) = rf(x) = f(x)r = (fr)(x)$ . Notice that as a ring operation  $(fr)(x) = (fm_r)(x) = f(rx) \neq f(x)r$  in general! To avoid confusion we shall always use  $R_{\langle Q \rangle}$  as a left  $R$ -module.

Theorem 2: If  $R_1, R_2$  are commutative rings with identity and  $v: R_1 \rightarrow R_2$  is a homomorphism, then  $v^*: R_{1\langle Q \rangle} \rightarrow R_{2\langle Q \rangle}$  define defined by

$$(v^*(f))(v(y)) = v(f(y)) \text{ is a homomorphism into.}$$

Proof:

$\text{Ker } v$  is an ideal of  $R_1$  thus for any element  $f \in R_{1\langle Q \rangle}$  it is true that  $f(\text{Ker } v) \subset \text{Ker } v$ . Thus if  $y \in \text{Ker } v$ , then

$$(v^*(f))(v(y)) = (v^*(f))(0) = v(f(y)) = 0.$$

Furthermore,  $(v^*(f_1 + f_2))(v(y)) = v((f_1 + f_2)(y)) = v(f_1(y) + f_2(y)) = v(f_1(y)) + v(f_2(y)) = (v^*(f_1))(v(y)) + (v^*(f_2))(v(y))$ .

Similarly,  $(v^*(f_1 f_2))(v(y)) = v((f_1 f_2)(y)) = v(f_1(f_2(y))) = (v^*(f_1))(v(f_2(y))) = v^*(f_1)(v^*(f_2)(v(y))) = v^*(f_1)v^*(f_2)(v(y))$ . Hence the theorem follows.

Theorem 3: If  $v: R_1 \rightarrow R_2$  has the property that  $\text{Ker } v \subset \bigcup_{x \notin \text{Ker } v} \text{Ker } v(x)$ , then  $v^*$  is onto.

Proof:

Indeed, let  $\bar{f}: R_2 \rightarrow R_2$  be a quasi-multiplication.

Define  $f: R_1 \rightarrow R_1$  as follows. Let  $f(\text{Ker } v) = 0$  and if  $x \notin \text{Ker } v$ , select  $f(x) \in v^{-1}(\bar{f}(v(x)))$  arbitrarily.

We claim that  $f: R_1 \rightarrow R_1$  is a quasi-multiplication.

Indeed since  $\bar{f}$  is a quasi-multiplication we have  $\bar{f}(v(x)) = v(x) \bar{f}^*(v(x))$ . Thus if  $y \in v^{-1}(\bar{f}(x))$ , we get  $v(y) = v(x) \bar{f}^*(v(x)) = v(x)v(z) = v(xz)$ .

Thus  $y \in (x) + \text{Ker } v = (x)$  since  $\text{Ker } v \subset (x)$ .

Hence  $f(x) = xf^*(x)$  for  $x \notin \text{Ker } v$ ,  $f(x) = x \cdot 0$  for  $x \in \text{Ker } v$ .

Thus  $f$  is indeed a quasi-multiplication. By construction

we get  $(v^*(f))(v(y)) = v(f(y)) = \bar{f}(v(y))$ , i.e.,

$v^*(f) = \bar{f}$  and  $v^*$  is onto.

Corollary: If  $R_v$  is a valuation ring then  $v^*$  is onto.

We are now ready to define the concept of inertial isomorphism on an arbitrary commutative ring with identity. Suppose  $R$  is such a ring, then an inertial isomorphism  $\sigma: R \rightarrow R$  is an isomorphism which is a quasi-multiplication on  $R$ .

Notice that if  $R$  is a complete valuation ring, then an isomorphism is an inertial isomorphism if and only if it is value preserving, i.e., value non-decreasing, i.e., a quasi-multiplication on  $R$ .

The inertial automorphisms serve as a group of units in  $R_{\langle Q \rangle}$ , a subgroup of the group of units of  $R_{\langle Q \rangle}$ .

We shall denote the group of inertial isomorphisms on  $R$  by  $G_I$ .

In the next section we will discuss a type of ring in which we have the following situation:

- (1) A chain of ideals  $\{\bar{m}_n\}_{n=1}^{\infty}$  with  $\bar{m}_{i+1} \subset \bar{m}_i$ .
- (2)  $\bigcap_{i \in \omega} \bar{m}_i = (0)$ .

We'll call this ring an  $M$ -ring if in addition the following condition is satisfied

- (3) For every  $x \neq 0 \exists$  an  $N(x) < \infty$  such that  $\bar{m}_{N(x)} \subset (x)$ .

Notice that if  $R$  is a valuation ring with value group  $Z$ , i.e., a discrete valuation ring and if  $V(\pi) = 1$ , then letting  $\bar{m}_n = (\pi)^n = (\pi^n)$ ,  $M = \{\bar{m}_n\}_{n=1}^{\infty}$ , we get that  $R$  is an  $M$ -ring.

Suppose now that  $R$  is an  $M$ -ring  $M = \{\bar{m}_n\}_{n=1}^{\infty}$ , then the  $M$ -pseudo-ramification groups  $G_n$  are defined as follows:

$$G_n = \{\sigma \in G_I \mid \sigma(x) - x \in \bar{m}_n\}.$$

Again notice that if  $R$  is a complete discrete valuation ring, then if  $M = \{\bar{m}_n = (\pi^n), V(\pi) = 1\}$ , the  $M$ -pseudo-ramification groups  $G_n$  are just the ordinary pseudo-ramification group.

### $M$ -rings and completions

Suppose  $R$  is a commutative ring with identity which is an  $M$ -ring with respect to a collection of ideals  $M = \{\bar{m}_n\}_{n=1}^{\infty}$ .

Definition 1: A sequence of functions  $\{f_\mu\}_{\mu=1}^{\infty}$  is a null-sequence if given  $N > 0 \exists \mu(N) \ni \mu \geq \mu(N) \Rightarrow f_\mu: R \rightarrow \bar{m}_N$ .

Notice that any null-sequence is "eventually" a quasi-multiplication i.e., given  $x$  there is a  $\mu$  such that  $f_\mu(x) \in (x)$ .

Indeed, suppose we take  $N(x)$  as in condition (3) and pick  $\mu \geq \mu(N(x))$ , then  $f_\mu: R \rightarrow (x)$  and  $f_\mu(x) \in (x)$ .

Next we say:

Definition 2: A sequence of functions  $\{f_\mu\}_{\mu=1}^{\infty}$  is a limiting sequence if there is a function  $f$  such that  $\{f'_\mu = f_\mu - f\}_{\mu=1}^{\infty}$  is a null-sequence.



Proposition 1: If  $\{f'_\mu = f_\mu - f'\}_{\mu=1}^\infty$  and  $\{f''_\mu = f_\mu - f''\}_{\mu=1}^\infty$  are null-sequences, then  $f' = f''$ .

Proof:

Pick  $\mu \geq \mu(N)$ , then  $f_\mu - f': R \rightarrow \overline{m}_N$  and  $f_\mu - f'': R \rightarrow \overline{m}_N$  (actually  $\mu(N) = \max(\mu_1(N), \mu_2(N))$ ).

Hence  $f' - f'': R \rightarrow \overline{m}_N$ . Since this is independent of  $\mu$ , we get  $f' - f'': R \rightarrow \bigcap_{n \in \omega} \overline{m}_n = (0)$  and  $f' = f''$ .

Thus limiting sequences have unique limits indicated with  $\lim_\mu f_\mu$ .

Definition 3: A sequence of functions  $\{f_\mu\}_{\mu=1}^\infty$  is Cauchy if given  $N$  there is a  $\mu(N)$  such that  $\mu_1, \mu_2 > \mu(N)$  implies  $f_{\mu_1} - f_{\mu_2}: R \rightarrow \overline{m}_N$ .

Proposition 2: If a sequence is limiting, then it is Cauchy.

Proof:

If  $\{f_\mu\}_{\mu=1}^\infty$  is limiting, suppose  $\lim_\mu f_\mu = f$  and  $\mu > \mu(N) \Rightarrow f_\mu - f: R \rightarrow \overline{m}_N$ . Then  $\mu_1, \mu_2 > \mu(N) \Rightarrow f_{\mu_1} - f_{\mu_2} = (f_{\mu_1} - f) + (f - f_{\mu_2}): R \rightarrow \overline{m}_N$  and  $\{f_\mu\}_{\mu=1}^\infty$  is Cauchy.

The converse is true only under special assumptions on  $R$ .

Definition 4: A sequence  $\{x_\mu\}_{\mu=1}^\infty$  of elements is limiting in case the sequence of functions  $\{f_\mu : f_\mu(x) = x_\mu\}_{\mu=1}^\infty$  is limiting.

Definition 5: An  $M$ -ring is complete if every Cauchy sequence of constant functions  $\{f_\mu : f_\mu(x) = x_\mu\}_{\mu=1}^\infty$  is limiting.

Proposition 3: If  $R$  is a complete  $M$ -ring, then a Cauchy sequence is necessarily limiting.

If  $R$  is not complete, then not every Cauchy sequence is limiting.

Proof:

Suppose  $R$  is a complete  $M$ -ring, then  $\{f_\mu\}_{\mu=1}^\infty$  a Cauchy-sequence implies  $\{f_\mu(x)\}_{\mu=1}^\infty$  a Cauchy-sequence of elements, hence necessarily limiting. Let  $f(x) = \lim_\mu f_\mu(x)$ . Then  $\{f_\mu - f\}_{\mu=1}^\infty$  is a null-sequence and hence  $\lim_\mu f_\mu = f$ . If  $R$  is not complete, then suppose  $\{x_\mu\}_{\mu=1}^\infty$  is a Cauchy-sequence which is not limiting. Then  $\{f_\mu : f_\mu(x) = x_\mu\}_{\mu=1}^\infty$  is a Cauchy-sequence of functions which is not limiting.

We note that if  $R$  is an  $M$ -ring and  $R_Q$  is a ring of quasi-multiplications on  $R$ , then  $R_Q$  is an  $M$ -ring for the same family of ideals  $M = \{\bar{m}_n\}_{n=1}^\infty$  of  $R$  regarded as ideals of  $R_Q$ .

Theorem 4: If  $R$  is a complete  $M$ -ring then  $R_{\langle Q \rangle}$  is also a complete  $M$ -ring.

Proof:

Suppose  $\{f_\mu\}_{\mu=1}^\infty$  is a Cauchy-sequence of quasi-multiplications. Since  $R$  is a complete  $M$ -ring  $\{f_\mu\}_{\mu=1}^\infty$  is limiting, let  $f = \lim_\mu f_\mu$ . Let  $N(x)$  be such that  $\bar{m}_{N(x)} \subset (x)$ , then  $\mu \geq \mu(N(x)) \Rightarrow (f - f_\mu)(x) \in \bar{m}_{N(x)} \subset (x)$ . Thus  $f(x) = f_\mu(x) + x\rho_\mu(x) = x f_\mu^*(x) + x\rho_\mu(x) = x(f_\mu^*(x) + \rho_\mu(x))$  and  $f$  is a quasi-multiplication. Thus it follows that  $R_{\langle Q \rangle}$  is a complete  $M$ -ring.

The Inertial Subring of a Ring

Let  $R$  be a commutative ring with identity, then let  $R_0 = \{x \mid \sigma(x) = x \text{ for all } \sigma \in G_I\}$ . Then we obtain  $R_0$  as a subring of  $R$ . The inertial subring of  $R$ .

If  $\bar{m}$  is an ideal of  $R$ , then we can construct:

$\nu_m : R \rightarrow R/\bar{m}$ , let  $R_{0;\bar{m}} = R_0/\bar{m} \subset R/\bar{m}$ .

Proposition 4: If  $R$  is an  $M$ -ring and  $R$  is complete then  $R_0$  is complete.

Proof:

Let  $\{x_\mu\}_{\mu=1}^\infty$  be a Cauchy-sequence in  $R_0$ . Let  $x = \lim_\mu x_\mu$ ,  $x \in R$ . Then we have  $\{\sigma(x_\mu)\}_{\mu=1}^\infty = \{x_\mu\}_{\mu=1}^\infty$  for all  $\sigma \in G_I$ . Hence  $x = \lim_\mu \sigma(x_\mu)$ .

But,  $\sigma(x - x_\mu) = \sigma(x) - \sigma(x_\mu) \in \bar{m}_N$  if  $\mu > \mu(N)$ . Thus  $\sigma(x) - x = \sigma(x) - \sigma(x_\mu) + \sigma(x_\mu) - x_\mu \in \bar{m}_N$  if  $\mu > \mu(N)$ . Since thus  $\sigma(x) - x \in \bigcap_{n \in \omega} \bar{m}_n = (0)$  we have  $\sigma(x) = x$ , i.e.,  $x \in R_0$  and  $R_0$  is complete.

If  $R$  is an  $M$ -ring say  $x \in R$  has index of inertia relative to  $M$  equal to  $N$  if  $\sigma(x) - x \in \bar{m}_N$  for all  $\sigma \in G_I$  but there is a  $\sigma^*$  such that  $\sigma^*(x) - x \notin \bar{m}_{N+1}$ . Denote this index by  $\Delta_M(x)$ .

Lemma 4:  $R_0 = \{x \mid \Delta_M(x) = \infty\}$ .

Proof:

If  $x \in R_0$ , then  $\sigma(x) - x \in \bar{m}_N$  for all  $N$  and  $\Delta_M(x) = \infty$ . If  $\Delta_M(x) = \infty$ , then  $\sigma(x) - x \in \bigcap_{n \in \omega} \bar{m}_n = (0)$  and  $x \in R_0$ .

Note that the index-of-inertia on  $R_0$  is independent of the system  $M$  which makes  $R$  an  $M$ -ring.

Proposition 5: Suppose  $R$  is an integral domain and  $x$  is integral over  $R_0$ , if  $\sigma \in G_I$  then  $\sigma(x)/x$  is a root of unity in  $R$ . If  $x$  has degree  $n$  over  $R_0$ , then  $\sigma(x)/x$  is an  $n^{\text{th}}$  root of unity in  $R$ .

Proof:

Let  $K$  be the quotient field of  $R$  and  $K^*$  an algebraic closure of  $K$ . Suppose  $x^n + a_1 x^{n-1} + \dots + a_n = 0$ ,  $a_n \neq 0$ ,  $a_i \in R_0$ .

We have  $\prod_{i=1}^n (x - w_i) = 0$ , where  $w_i, i = 1, \dots, n$  are the roots.

If  $\sigma \in G_I$  extend  $\sigma$  to  $\sigma^*$  on  $K^*$ , then

$$\prod_{i=1}^n (\sigma(x) - \sigma^*(w_i)) = 0.$$

Since  $x = x \cdot x_\sigma$ ,  $x_\sigma$  a unit in  $R$ .

$$\text{We get: } x_\sigma^n \prod_{i=1}^n \left(x - \frac{\sigma^*(w_i)}{x_\sigma}\right) = 0 \text{ or } \prod_{i=1}^n \left(x - \frac{\sigma^*(w_i)}{x_\sigma}\right) = 0.$$

$$\text{Since } a_n \neq 0, a_n = \prod_{i=1}^n w_j = \prod_{i=1}^n \sigma^*(w_j) = x_\sigma^n \prod_{i=1}^n \frac{\sigma^*(w_j)}{x_\sigma} =$$

$$= x_\sigma^n \prod_{i=1}^n w_j(i) = x_\sigma^n a_n, \text{ we obtain } x_\sigma^n = 1.$$

Thus  $x_\sigma = \frac{\sigma(x)}{x}$  is an  $n^{\text{th}}$  root of unity.

Corollary 1: If  $x$  has degree  $n$  over  $R_0$ , then  $x^n \in R_0$ .

Proof:

Suppose  $x$  has degree  $n$ , then  $\left(\frac{\sigma(x)}{x}\right)^n = 1$ .

Hence,  $\frac{\sigma(x)^n}{x^n} = \frac{\sigma(x^n)}{x^n} = 1$ , i.e.,  $\sigma(x^n) = x^n$  for all  $\sigma \in G_I$ .

Thus  $x^n \in R_0$ .

Corollary 2: If  $R$  is a characteristic 0 integral domain and  $D : R \rightarrow R$  a derivation, then  $D(R_0) = 0 \Rightarrow D(\overline{R_0}) = 0$  where  $\overline{R_0}$  is the integral closure of  $R_0$  in  $R$ .

Proof:

$x \in \overline{R_0}$  implies  $x^n \in R_0$  for some  $n$ . Thus  $D(x^n) = nx^{n-1}D(x) = 0$  and  $nx^{n-1} \neq 0$  implies  $D(x) = 0$ .

Suppose  $\overline{m} \subset R_0$  is an ideal, then we define

$$\sqrt{R \overline{m}} = \{x \in R \mid x^n \in \overline{m}\}.$$

$$(i) \sqrt{R \bar{m}} = \sqrt{\bar{R}_0 \bar{m}}$$

$$(ii) \sqrt{\bar{R}_0 \bar{m}} \text{ is an ideal in } \bar{R}_0$$

$$(iii) \sqrt{\bar{R}_0 \sqrt{\bar{R}_0 \bar{m}}} = \sqrt{\bar{R}_0 \bar{m}}, \text{ i.e., } \sqrt{\bar{R}_0 \bar{m}} \text{ is a radical ideal}$$

$$x^n \in \sqrt{\bar{R}_0 \sqrt{\bar{R}_0 \bar{m}}} \Rightarrow (x^n)^p \in \bar{m} \Rightarrow x \in \sqrt{\bar{R}_0 \bar{m}}$$

$$(iv) \text{ If } \bar{m} \text{ is prime, then } \sqrt{\bar{R}_0 \bar{m}} \text{ is prime}$$

$$\text{Suppose } x \notin \sqrt{\bar{R}_0 \bar{m}}, \text{ then } x^n \in R_0 \Rightarrow x^n \notin \bar{m}.$$

$$\text{Suppose } xy \in \sqrt{\bar{R}_0 \bar{m}}, \text{ then } (xy)^s \in \bar{m} \Rightarrow (xy)^{sn} = (x^{sn})(y^{sn}) \in \bar{m}.$$

$$\text{If } y^t \in R_0, \text{ then } (x^{snt})(y^{snt}) \in \bar{m}, x^{snt}, y^{snt} \in R_0, x^{snt} \notin \bar{m}.$$

$$\text{Thus } y^{snt} \in \bar{m} \text{ and } y \in \sqrt{\bar{R}_0 \bar{m}}, \text{ i.e., } \sqrt{\bar{R}_0 \bar{m}} \text{ is prime.}$$

$$(v) \text{ If } \bar{m} \text{ is } \bar{p}\text{-primary, then } \sqrt{\bar{R}_0 \bar{m}} \text{ is prime.}$$

$$\text{If } \bar{m} \text{ is } \bar{p}\text{-primary, then } \sqrt{\bar{m}} = \bar{p} \text{ and } \sqrt{\bar{R}_0 \sqrt{\bar{m}}} = \sqrt{\bar{R}_0 \bar{p}} \text{ is prime.}$$

$$\text{But } \sqrt{\bar{R}_0 \sqrt{\bar{m}}} = \sqrt{\bar{R}_0 \bar{m}}.$$

$$(vi) \text{ If } \bar{m} \text{ is an ideal, then } \sqrt{\bar{R}_0 \bar{m}} \cap R_0 = \sqrt{\bar{m}}.$$

**Proposition 6:** If  $\sigma \in G_I$ , then  $\sigma/\bar{R}_0$  is an inertial automorphism on  $\bar{R}_0$ .

Proof:

Let  $x \in \bar{R}_0$ , then  $\sigma(x) = x \cdot x_\sigma$  with  $x_\sigma$  an  $n^{\text{th}}$  root of unity.

Since  $1 \in R_0$ , we have  $x_\sigma \in \bar{R}_0$  and  $\sigma/\bar{R}_0$  is an inertial automorphism on  $R_0$ .

Pseudo-inertial subrings of M-rings

Now suppose  $R$  is an M-ring,  $M = \{\bar{m}_n\}_{n=1}^{\infty}$ .

We define rings

$$R_n = \{x \mid \Delta_M(x) \geq n\}.$$

Notice that  $R_n$  is indeed a ring. If  $x, y \in R_n$ ,  $\sigma \in G_I$ , then  
 $\sigma(x + y) - (x + y) = (\sigma(x) - x) + (\sigma(y) - y) \in \bar{m}_n$ ,  
 $\sigma(xy) - xy = \sigma(x)\sigma(y) - xy = \sigma(x)\sigma(y) - \sigma(x)y + \sigma(x)y - xy =$   
 $= \sigma(x)(\sigma(y) - y) + (\sigma(x) - x)y \in \bar{m}_n$ .

Lemma 5:  $R_n \supset \bar{m}_n$ ;  $R_n \supset R_{n+1}$ .

Proof:

$$\sigma \in G_I \Rightarrow \sigma(\bar{m}_n) \subset \bar{m}_n \text{ and } x \in \bar{m}_n \Rightarrow \sigma(x) - x \in \bar{m}_n.$$

$R_n \supset R_{n+1}$  obviously.

Lemma 6:  $\bigcap_{n \in \omega} R_n = R_0$ .

Proof:

Lemma 12.

Lemma 7: If  $R$  is a complete M-ring, then  $R_n$  is complete.

Proof:

Suppose that  $\{x_\mu\}_{\mu=1}^{\infty} \subset R_n$  is a Cauchy sequence.

Let  $\sigma \in G_I$ . Then  $\mu, \nu > \mu(N) \Rightarrow x_\mu - x_\nu \in \bar{m}_N$ ,

thus  $\sigma(x_\mu - x_\nu) = \sigma(x_\mu) - \sigma(x_\nu) \in \bar{m}_N$ , since  $\sigma \in G_I$ .

Hence  $\{\sigma(x_\mu)\}_{\mu=1}^{\infty}$  is Cauchy. We have  $\sigma(x) = \lim_{\mu} \sigma(x_\mu)$ .

Select  $\mu_0$  such that  $\sigma(x) - \sigma(x_\mu) \in \bar{m}_n$  for all  $\mu \geq \mu_0$ .

Then  $\sigma(x_\mu) \in \bar{m}_n$  ( $x_\mu \in R_n!$ )  $\Rightarrow \sigma(x) \in \bar{m}_n$  and  $x \in R_n$ .

Thus the result follows.

We shall call the rings  $R_n$  pseudo-inertial subrings of  $R_n$ .

Suppose  $R$  is an M-ring,  $M = \{\bar{m}_n\}_{n=1}^{\infty}$ . Let  $G_n$  be the pseudo-inertial groups.

Let  $R_{[n]} = \{x \mid \sigma(x) = x \ \forall \sigma \in G_n\}$ .

Then  $x, y \in R_{[n]}$ ,  $\sigma(x + y) = \sigma(x) + \sigma(y) = x + y$ ,  
 $\sigma(xy) = \sigma(x)\sigma(y) = xy$ . Thus is  $R_{[n]}$  a ring.

Lemma 8:  $R_{[n]} \subset R_{[n+1]}$ .

Proof:

$$G_{n+1} \triangleleft G_n.$$

Lemma 9: If  $R$  is a complete  $M$ -ring, then  $R_{[n]}$  is complete.

Proof:

Suppose that  $\{x_\mu\}_{\mu=1}^\infty \subset R_{[n]}$  is a Cauchy-sequence.

If  $x = \lim_\mu x_\mu$  and  $\sigma \in G_n$ , then  $\sigma(x) = \lim_\mu \sigma(x_\mu) = \lim_\mu x_\mu = x$   
 and  $x \in R_{[n]}$ . Thus the lemma follows.

Lemma 10: Suppose  $R$  is an integral domain and  $x$  is integral over  $R_{[m]}$ ,  
 if  $\sigma \in G_m$ , then  $\sigma(x)/x$  is a root of unity in  $R$ .

If  $x$  has degree  $n$  over  $R_{[m]}$ , then  $\sigma(x)/x$  is an  $n^{\text{th}}$  root  
 of unity in  $R$ .

Proof:

The proof is exactly the same as the proof of proposition 5.

Corollary 1: If  $x$  has degree  $n$  over  $R_{[m]}$ , then  $x^n \in R_{[m]}$ .

Corollary 2: If  $R$  is a characteristic 0 integral domain and

$D : R \rightarrow R$  a derivation, then  $D(R_{[m]}) = 0 \Rightarrow D(\overline{R_{[m]}}) = 0$ ,  
 where  $\overline{R_{[m]}}$  is the integral closure of  $R_{[m]}$  in  $R$ .

Corollary 3: Remark (i) - (vi) of the previous section hold for  
 ideals in  $R_{[m]}$ .

Corollary 4: If  $\sigma \in G_m$ , then  $\sigma \mid \overline{R_{[m]}}$  is an inertial automorphism.

We shall call the rings  $R_{[m]}$  pseudo-inertial subrings of the  $2^{\text{nd}}$  kind.

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