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AFDELING ZUIVERE WISKUNDE

ZN 45/72

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NELLY KROONENBERG  
THE COLLECTION OF ALL Z-SETS IN  $\mathbb{Q}$   
IS A DENSE  $G_\delta$  IN THE HYPERSPACE OF  $\mathbb{Q}$

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The collection of all Z-sets in Q is a  
dense  $G_\delta$  in the hyperspace of Q.

In this note it is proved that for any closed subset A of the Hilbert cube Q, the collection of all closed subsets of A which are a Z-set in Q, is a dense  $G_\delta$  in the hyperspace of A. It is easily seen, as was pointed out to me by Prof. R.D. Anderson, that this collection contains a dense  $G_\delta$ , e.g. the collection of all subsets of A which are disjoint from the pseudoboundary of Q. Probably the main interest of the theorem lies in the way property Z is approximated.

DEFINITIONS

The *Hilbert cube* Q is the countable product of intervals  $\prod_{i=1}^{\infty} [-1,1]$  with the product topology.

A *closed* subset K of Q is a *Z-set* if for every open set O which is non-empty and homotopically trivial,  $O \setminus K$  is non-empty and homotopically trivial <sup>\*</sup>) (see [1]). Every finite subset of Q is a Z-set and for every Z-set  $K \subset Q$  there exists a homeomorphism  $h: Q \xrightarrow{\text{onto}} Q$  such that  $h(K) \subset \{1\} \times \prod_{i=2}^{\infty} [-1,1]$ .

The *hyperspace*  $2^X$  of a *compact metric* space X with metric d is the collection of all *closed* subsets of X equipped with the metric  $\tilde{d}(A,B) = \max(\{d(x,B) \mid x \in A\} \cup \{d(A,y) \mid y \in B\})$ . The topology of  $2^X$  does not depend on the metric chosen as long as different metrics are topologically equivalent; furthermore  $2^X$  is compact. For every  $K \subset Q$  and  $\epsilon > 0$  there exists a finite set (hence a Z-set)  $K' \subset K$  such that  $\tilde{d}(K,K') < \epsilon$ . As a consequence, for every closed set  $A \subset Q$  the subsets of A which are Z-sets with respect to Q form a dense subset of  $2^A$ .

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<sup>\*</sup>) We employ the definition: X is homotopically trivial if for every n any map from the n-1-sphere  $S^{n-1}$  to X can be extended to a map from the n-cell  $D^n$  to X. By results of Whitehead [5], for ANR's (e.g. open subsets of Q), this is equivalent to contractibility. If we define  $S^{-1} = \emptyset$  and  $D^0 = \{0\}$ , then homotopic triviality implies non-emptiness.

Closure and interior of  $Y \subset Q$  are denoted by  $\bar{Y}$  and  $Y^0$  resp.. The set  $\{x \mid d(x, Y) < \varepsilon\}$  is denoted by  $U_\varepsilon(Y)$  and the set  $U_\varepsilon(\{p\})$  by  $U_\varepsilon(p)$ . If  $B$  is an open subset of  $Q$  such that  $\bar{B}$  is homotopically trivial, then a closed set  $K$  is called a  $B$ - $\delta$ - $Z$ -set if  $K$  has an open neighborhood  $O \subset \bar{O} \subset U_\delta(K)$  such that  $\bar{B} \setminus O$  is non-empty and homotopically trivial.  $Z(B, \delta)$  denotes the collection of all  $B$ - $\delta$ - $Z$ -sets.

LEMMA 1. If  $A \subset Q$  is closed,  $B$  is open and  $\bar{B}$  homotopically trivial then, for every  $\delta > 0$ ,  $Z(B, \delta) \cap 2^A$  is a dense open subset of  $2^A$ .

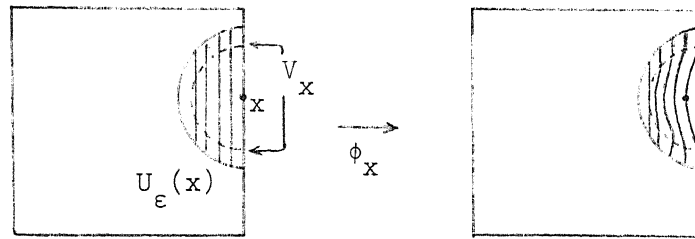
Proof: Suppose  $K$  is a  $B$ - $\delta$ - $Z$ -set. There exists an open set  $O$  with  $K \subset O \subset \bar{O} \subset U_\delta(K)$  such that  $\bar{B} \setminus O$  is homotopically trivial. Now for some  $\delta' < \delta$  and  $\varepsilon > 0$ ,  $U_\varepsilon(K) \subset O \subset \bar{O} \subset U_{\delta'}(K)$ . If  $K'$  is closed and  $\tilde{d}(K, K') < \min(\varepsilon, \delta - \delta')$  then  $K' \subset O \subset \bar{O} \subset U_{\delta'}(K')$ , hence  $K'$  is a  $B$ - $\delta$ - $Z$ -set by virtue of the same set  $O$ .  $\square$

Let  $C$  be the collection of open subsets  $B$  of  $Q$  such that  $\bar{B} \cong Q$  and for every  $Z$ -set  $K$  in  $Q$ ,  $K \cap \bar{B}$  is a  $Z$ -set in  $\bar{B}$ .

Let  $B \subset Q$  be a product of open subintervals of  $[-1, 1]$  with at most finitely many factors different from  $[-1, 1]$ . Observing that the topological boundary  $\bar{B} \setminus B$  is a  $Z$ -set in  $\bar{B}$ , and writing  $K \cap B$  as a countable union of closed sets, one can prove from results on  $Z$ -sets that  $K \cap \bar{B}$  is a  $Z$ -set in  $\bar{B}$ . Hence all such  $B$  are elements of  $C$ , and therefore  $C$  contains a (countable) base for the topology of  $Q$ .

LEMMA 2. For  $B \in C$  and  $\delta > 0$  every  $Z$ -set is a  $B$ - $\delta$ - $Z$ -set.

Proof: Let  $B \in C$  and  $K$  be a  $Z$ -set in  $Q$ . Because  $\bar{B} \cong Q$  and  $K \cap \bar{B}$  is a  $Z$ -set in  $\bar{B}$ , there exists a homeomorphism  $h: \bar{B} \xrightarrow{\text{onto}} Q$  mapping  $K$  into  $W = \{1\} \times \prod_{i=2}^{\infty} [-1, 1]$ . We construct an embedding  $\phi: Q \rightarrow Q \setminus h(K \cap \bar{B})$  which is the identity outside an  $\varepsilon$ -neighbourhood of  $h(K \cap \bar{B})$ , where  $\varepsilon$  is such that  $d(x, x') < \varepsilon \implies d(h^{-1}(x), h^{-1}(x')) < \delta'$  for a fixed  $\delta' < \delta$ .



For every  $x \in h(K \cap \bar{B})$ , let  $\phi_x$  be a motion "to the left" which is the identity outside  $U_\epsilon(x)$  and maps a neighborhood  $V_x \subset U_\epsilon(x)$  of  $x$  disjoint from  $W$  and changes only the first coordinate of any point. Cover  $h(K \cap \bar{B})$  by finitely many sets  $V_x$  and let  $\phi$  be the composition (in any order) of the corresponding homeomorphism  $\phi_x$ .

Now  $\phi$  is the identity outside  $U_\epsilon(h(K \cap \bar{B}))$ . Furthermore,  $h^{-1}\phi(h(\bar{B}))$  is homeomorphic to  $\bar{B}$  and hence homotopically trivial. By choice of  $\epsilon$ ,  $h^{-1}(h(\bar{B}) \setminus \phi h(\bar{B})) = \bar{B} \setminus h^{-1}\phi h(\bar{B})$  is contained in  $U_\delta(K)$ , hence  $U_\delta(K) \setminus h^{-1}\phi h(\bar{B})$  is the desired neighborhood of  $K$ .  $\square$

For  $K$  closed,  $B$  open and  $\bar{B}$  homotopically trivial, we call  $K$  a *B-Z-set* if  $\bar{B} \setminus K$  is non-empty and homotopically trivial.  $Z(B)$  is the collection of all B-Z-sets, and, for  $\mathcal{B}$  a collection of open sets with homotopically trivial closures,  $Z(\mathcal{B})$  denotes the collection of the closed sets  $K$  which are a B-Z-set for all  $B \in \mathcal{B}$ .

**LEMMA 3.** If  $K$  is a B- $\delta$ -Z-set for arbitrarily small  $\delta$ , then  $K$  is a B-Z-set.

Proof: Let  $f: S^{n-1} \rightarrow \bar{B} \setminus K$  be given. Then for some  $\delta$ ,  $d(f(S^{n-1}), K) > \delta$  (the usual distance; not  $\tilde{d}$ ). Because  $K$  is a B- $\delta$ -Z-set, there exists a neighborhood  $O \subset U_\delta(K)$  of  $K$  such that  $\bar{B} \setminus O$  is homotopically trivial. Because  $f(S^{n-1}) \subset \bar{B} \setminus O$ , this provides for an extension  $\bar{f}: D^n \rightarrow \bar{B} \setminus O \subset \bar{B} \setminus K$ .  $\square$

**LEMMA 4.** If  $\mathcal{B}$  is a base for  $Q$  such that for all  $B \in \mathcal{B}$ ,  $\bar{B}$  is homotopically trivial, then  $Z(\mathcal{B})$  consists of Z-sets.

Proof: Let  $K \in Z(B)$  and let  $O$  be open and homotopically trivial. Let also  $f: S^{n-1} \rightarrow O \setminus K$  be given. We want an extension  $\bar{f}: D^n \rightarrow O \setminus K$  of  $f$  whereas we have an extension  $g: D^n \rightarrow O$ .

Cover  $g(D^n)$  by a finite cover  $B_1 \subset B$  such that  $\forall B \in B_1, \bar{B} \subset O$ . There exists a closed neighborhood  $V_1$  of  $g(D^n)$  which is also covered by  $B_1$ . Let  $\varepsilon_1$  be a Lebesgue-number for  $B_1$  as covering of  $V_1$  (i.e. each subset of  $V_1$  with diameter less than  $\varepsilon_1$  is contained in some element of  $B_1$ ).

Define the mesh  $m(A)$  of a collection  $A$  as  $\sup\{\text{diameter}(A) \mid A \in A\}$ .

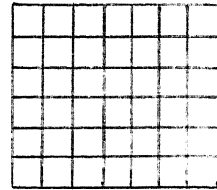
Let  $B_2 \subset B$  be a covering of  $g(D^n)$  with  $\cup B_2 \subset V_1$  and with  $m(B_2) < \frac{\varepsilon_1}{3}$ .

There exists a closed neighborhood  $V_2$  of  $g(D^n)$  which is also covered by  $B_2$ . Again let  $\varepsilon_2$  be a Lebesgue-number for  $B_2$  as a covering of  $V_2$ .

In this way, construct inductively a sequence  $B_1 \subset B, B_2 \subset B, \dots, B_n \subset B$  with Lebesgue-numbers  $\varepsilon_1, \dots, \varepsilon_n$  with respect to closed neighborhoods  $V_1, \dots, V_n$  of  $g(D^n)$  and such that  $\cup B_{i+1} \subset V_i$  and  $m(B_{i+1}) < \frac{\varepsilon_i}{3}$ .

Because  $g$  is uniformly continuous, there exists a  $\delta > 0$  such that for  $x, x' \in D^n$  and  $d(x, x') < \delta$ ,  $d(g(x), g(x')) < \frac{\varepsilon_n}{3}$ .

Let  $P$  be a cell complex, consisting of a subdivision of  $D^n$  in equal subcells of diameter smaller than  $\delta$ . Let  $P_i$  be the  $i$ -skeleton of  $P$ . Because for every  $B \in B$ ,



$\bar{B} \setminus K$  is non-empty, it follows that  $K$  is

nowhere dense. Hence there exists a mapping  $\bar{f}_0: P_0 \rightarrow (\cup B_n) \setminus K$  with  $d(g|_{P_0}, \bar{f}_0) < \frac{\varepsilon_n}{3}$  and  $\bar{f}_0|_{P_0 \cap S^{n-1}} = f|_{P_0 \cap S^{n-1}}$ .\*) Now for adjacent

vertices  $p, q \in P_0$ ,  $d(\bar{f}_0(p), \bar{f}_0(q)) \leq d(\bar{f}_0(p), g(p)) + d(g(p), g(q)) + d(\bar{f}_0(q), g(q)) < \varepsilon_n$ . Because  $\varepsilon_n$  is a Lebesgue-number for  $B_n$ ,  $\bar{f}_0$  maps adjacent vertices into a common element of  $B_n$ . Now  $\{\bar{B} \setminus K \mid B \in B_n\}$

consists of homotopically trivial sets; therefore we have an extension  $\bar{f}_1: P_1 \rightarrow O \setminus K$  of  $\bar{f}_0$ , such that all 1-cells are mapped into an element of  $\{\bar{B} \setminus K \mid B \in B_n\}$ . Moreover we may suppose that  $\bar{f}_1|_{P_1 \cap S^{n-1}} = f|_{P_1 \cap S^{n-1}}$ .

Furthermore it is easily seen that, if a mapping  $\phi$  maps each face of an  $n$ -cell onto a set of diameter smaller than  $\eta$ , then  $\phi$  maps the total boundary of the  $n$ -cell onto a set of diameter less than  $3\eta$ . Observing that  $m(\{\bar{B} \setminus K \mid B \in B_n\}) \leq m(B_n) < \frac{\varepsilon_n}{3}$ , one sees that the boundary of

\*)  $\phi|_Y$  denotes the restriction of  $\phi$  to the set  $Y$ .

every 2-cell of  $P_2$  is mapped onto a set of diameter less than  $\epsilon_n$ , which is a Lebesgue-number of  $B_{n-1}$  with respect to  $V_{n-1}$ . Since  $\bar{f}_1(P_1) \subset \cup B_n \subset V_{n-1}$ , it follows that the image of the boundary of a 2-cell of  $P_2$  is contained in an element of  $B_{n-1}$ . Using homotopic triviality of the sets  $\bar{B} \setminus K$  with  $B \in B_{n-1}$  one finds an extension  $\bar{f}_2: P_2 \rightarrow O \setminus K$  of  $\bar{f}_1$  such that  $\bar{f}_2|_{P_2 \cap S^{n-1}} = f|_{P_2 \cap S^{n-1}}$  and such that every 2-cell of  $P_2$  is mapped into an element of  $\{\bar{B} \setminus K \mid B \in B_{n-1}\}$ . Repeating this procedure, we find eventually the desired extension  $\bar{f} = \bar{f}_n$  of  $f$ .  $\square$

THEOREM. For every closed subset  $A$  of  $Q$  the collection of all  $Z$ -sets in  $Q$  intersects  $2^A$  in a dense  $G_\delta$ .

Proof: Choose in lemma 4  $B \subset C$  countable. Then, according to lemma 1,  $\bigcap_{n \in \mathbb{N}} \bigcap_{B \in \mathcal{B}} Z(B, \frac{1}{n})$  is a dense  $G_\delta$  subset of  $2^A$  and, according to lemma 2, 3 and 4 this collection contains exactly all closed subsets of  $A$  which are  $Z$ -sets in  $Q$ .  $\square$

PROBLEM. Suppose  $A \cong Q$  and  $A \subset Q$ . Is the set of all mappings from  $Q$  to  $A$  onto a  $Z$ -set in  $Q$  (and hence the set of all homeomorphisms <sup>\*</sup>) onto a  $Z$ -set in  $Q$ ) a dense  $G_\delta$  in  $A^Q$  (the space of all mappings from  $Q$  into  $A$ , with uniform convergence topology)?

A positive answer might lead to a proof of the existence of apparent boundaries in  $A$  which consists of  $Z$ -sets relative to  $Q$ .

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<sup>\*</sup>) see Hurewicz-Wallman [2], page 64, theorem V4. It follows as in theorem V2, page 56, that for compact  $X$  the homeomorphisms form actually a dense  $G_\delta$ .



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