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Deviations from the local field approximation in negative streamer heads

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ABSTRACT
Negative streamer ionization fronts in nitrogen under normal conditions are investigated both in a particle model and in a fluid model in local field approximation. The parameter functions for the fluid model are derived from swarm experiments in the particle model. The front structure on the inner scale is investigated in a 1D setting, allowing reasonable run-time and memory consumption and high numerical accuracy without introducing super-particles. If the reduced electric field immediately before the front is <= 50kV/(cm bar), solutions of fluid and particle model agree very well. If the field increases up to 200kV/(cm bar), the solutions of particle and fluid model deviate, in particular, the ionization level behind the front becomes up to 60% higher in the particle model while the velocity is rather insensitive. Particle and fluid model deviate because electrons with high energies do not yet fully run away from the front, but are somewhat ahead. This leads to increasing ionization rates in the particle model at the very tip of the front. The energy overshoot of electrons in the leading edge of the front actually agrees quantitatively with the energy overshoot in the leading edge of an electron swarm or avalanche in the same electric field.

Deviations from the local field approximation in negative streamer heads

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I. INTRODUCTION

Streamers [1, 2] are growing filaments of weakly ionized non-stationary plasma produced by a sharp ionization front that propagates into non-ionized matter. Streamers are used in industrial applications such as lighting [3, 4] or gas and water purification [5, 6], and they occur in natural processes as well such as lightning [7, 8, 9] or transient luminous events in the upper atmosphere [10]. Therefore accurate modeling and simulation of streamers is of high interest.

Most streamer models (see e.g. [11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21]) are so called fluid models for the densities of different particle species in the discharge. These models build on the assumption of local equilibrium: transport and reaction coefficients in the continuity equations are functions of local parameters only. If this parameter is the local electric field, we refer to this assumption as the *local field approximation*. This assumption is commonly considered to be valid as long as equilibration length or time scales are much smaller than the spatial or temporal gradients in the electric field. For the strongly varying electric fields within a streamer ionization front, the validity of the local field approximation was investigated in [22, 23, 24]. The general 'sentiment' in these studies is that the approximation suffices for practical purposes and that more detailed methods tracking the behavior of individual particles lead to just minor corrections.

Another recent result supporting the fluid approximation for streamers was that even streamer branching [25, 26, 27] can be understood in terms of an inherent instability of the fully deterministic fluid equations [19, 27, 28, 29, 30]. These studies have shown that a streamer in nitrogen can reach a state in which the width of the space charge layer that creates the field enhancement at the streamer tip, is much smaller than the streamer diameters; the streamer then can branch spontaneously due to a Laplacian interfacial instability.

However, despite success and progress of fluid approximations and simulations for streamers, there are three major reasons to reinvestigate the local field approximation:

1. Not all streamers are alike. Experiments as well as simulations show that rapidly applied high electric voltages can create streamers that are more than an order of magnitude faster and wider than streamers at lower voltages [31]. Whether earlier findings on streamers in lower potentials apply to those fast and wide streamers as well has to be investigated.

2. The detection of x-rays emanating from lightning strokes [32, 33, 34, 35] indicates that electrons can gain very high energies within early stages of the lightning event. Therefore runaway electrons within streamer and leader processes should be investigated. Runaway electrons by definition violate a local approximation.

3. Streamer branching is an inherent instability of a fully deterministic fluid model. However, fluctuations of particle densities might trigger this instability earlier than they would occur in the fully deterministic fluid model. In particular, in the leading edge of an ionization front, particle densities are very low and the fluid approximation eventually breaks down. As the front velocity of this so-called pulled front [17, 18, 36] is determined precisely in the leading edge region, single particle dynamics and fluctuations should be accounted for.

These three observations motivate our present reinvestigation of the local field approximation for streamers. The starting point is a Monte Carlo model for the motion of single free electrons in nitrogen. We note that complete streamers have been simulated with Monte Carlo particle models before [37], however, a drawback of such models is that the computation time grows with the number of particles and eventually exceeds the CPU space of any computer. This difficulty is counteracted by using superparticles carrying charge and mass of many physical particles; but superparticles in turn create unphysical fluctuations and stochastic heating.

In the present paper, we compare the results of a Monte Carlo particle model and a fluid model. We circumvent the problems caused either by a too large particle number or by the introduction of superparticles by investigating a small, essentially one-dimensional section of the ionization front as illustrated in Fig. 1. We suppress effects of lateral boundaries by periodic boundary conditions. As the electric field essentially does not deviate from the planar geometry within the region where the particle densities vary rapidly, a planar ionization front [17, 38] is a very good approximation of this inner structure. Of course, a planar front will not incorporate the electric field enhancement caused by a curved front [11, 38], but this outer scale problem concerns only the electric field and can be dealt with through an inner-outer matching procedure [39, 40, 41]. Planar fronts allow us to investigate individual particle kinetics and fluctuations within the front and its specific strong spatio-temporal gradients in a systematic way and within reasonable computing time.

In this paper, we concentrate on negative streamer fronts in pure nitrogen under normal conditions. We thoroughly discuss the case where the reduced electric field at the streamer tip is 100 kV/(cm bar), and we summarize results for fields ranging from 50 to 200 kV/(cm bar). The paper is organized as follows. In section II, first our Monte Carlo particle code and its numerical implementation are described. Then the derivation of the fluid model is recalled, and the numerical implementation of the fluid model is summarized. Then swarm or avalanche experiments in a fixed field are performed in the particle model, the approach of electrons to a steady state velocity distribution is investigated, and the parameter functions for the fluid model are generated. This sets the stage for a quantitative comparison of front solutions in particle and fluid model in section III. Here first the setup of planar front simulations is described, then the results of the planar front simulations within fluid and particle model and analytical results are presented and compared. The emphasis lies on front profile, front velocity and ionization level behind the front. It will be shown that differences can be attributed to the electron kinetics in the leading edge of the front where the electric field does not vary, and that the electron energy distribution there agrees quantitatively with that in the leading edge of an ionization
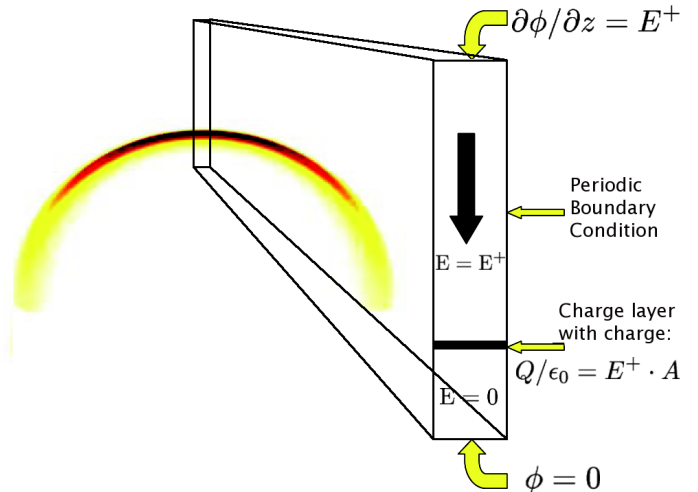

Figure 1: (Color online) The relation between the full streamer problem and the planar fronts described in this paper: the left picture shows the narrow space charge layer surrounding the streamer head [19, 29, 30]; the width of the layer is much smaller than the streamer diameter which creates the characteristic field enhancement ahead and field suppression behind the front. The right picture shows a zoom into the inner structure of the space charge layer with an essentially planar ionization front as treated in this paper. In the transversal direction, periodic boundary conditions are applied.

avalanche or swarm. Section IV contains our conclusions on the validity of the fluid approximation. An appendix contains analytical approximations on the ionization level behind an ionization front.

II. SET-UP OF PARTICLE MODEL AND FLUID MODEL IN LOCAL FIELD APPROXIMATION

In this section, we summarize features of particle and fluid models, their numerical implemention and mutual relation as a basis for the quantitative comparison of ionization fronts in particle and fluid model in section III.

Our starting point is a model that contains all microscopic physical mechanisms that are thought to be relevant for the propagation of a negative impact ionization front in pure nitrogen. It models the generation and motion of single free electrons and positive ions in the neutral background gas. While propagating freely, the electrons follow a deterministic trajectory according to Newton's law. The collision of electrons with neutral molecules is treated as a stochastic Monte Carlo process. Because the mobility of the positive ions is two orders of magnitude smaller than that of the electrons, ions are treated as immobile within the short time scales investigated in this paper. Neutral molecules are assumed to have a uniform density with a Maxwellian velocity distribution. The electron-neutral collisions, including all

relevant elastic, excitation, and ionization collisions, are treated with the Monte Carlo method. Electron-electron or electron-ion processes as well as density changes of the neutral gas are neglected as the degree of ionization stays below 10^{-5} even at atmospheric pressure [18, 27]. This well-known model will be summarized in section II A. The space charges can change the local electric field, this is accounted for by solving the Poisson equation. The particle model gives a very detailed and complete description at the expense of significant computational costs where we stress that one particle is one electron and superparticles are not used.

If densities are high enough and fields vary slowly in space and time, the average behavior of the electrons can be modeled by a fluid approximation for electron and ion densities whose parameters depend on the local electric field only. The derivation of the fluid approximation can be formalized by taking the first and the second moment of the Boltzmann equation as is recalled in section II B 1. However, for the practical purpose of determining mobilities, ionization rates and diffusion coefficients as a function of the electric field, we directly perform swarm experiments with the particle model in a constant electric field. This procedure together with the averaging processes involved are described in section II C. Here also the relaxation of an electron swarm to steady state motion and the velocity distribution of steady state motion in a given homogeneous field are discussed.

A. The Monte Carlo particle model

1. Physical processes

In the particle scheme, at each instant of time t, there is a total number of $N_e(t)$ electrons and $N_p(t)$ ions. The single electrons are numbered by $i = 1, \ldots, N_e(t)$ at time t; they are characterized by a position $\mathbf{x}_i(t)$ and a velocity $\mathbf{v}_i(t)$, each within a continuous three dimensional vector space. Between collisions, electrons are accelerated and advanced according to the equation of motion

$$\mathrm{m}\frac{\partial \mathbf{v}_i}{\partial t} = \mathrm{e}\mathbf{E}(\mathbf{x}_i, t), \quad \frac{\partial \mathbf{x}_i}{\partial t} = \mathbf{v}_i, \qquad (1)$$

where m and e are electron mass and charge and $\mathbf{E}(\mathbf{x}, t)$ is the local electric field. For the positive ions, only their position $\mathbf{x}_j^p$, $j = 1, \ldots, N_p(t)$ is taken into account while their mobility is so low that their velocity can be neglected. The electric field is determined from the Poisson equation

$$\nabla \cdot \mathbf{E} = \frac{\mathrm{e}\,(n_p - n_e)}{\epsilon_0} \qquad (2)$$

together with appropriate boundary conditions on the electrodes. Here n_e and n_p are electron and ion density,
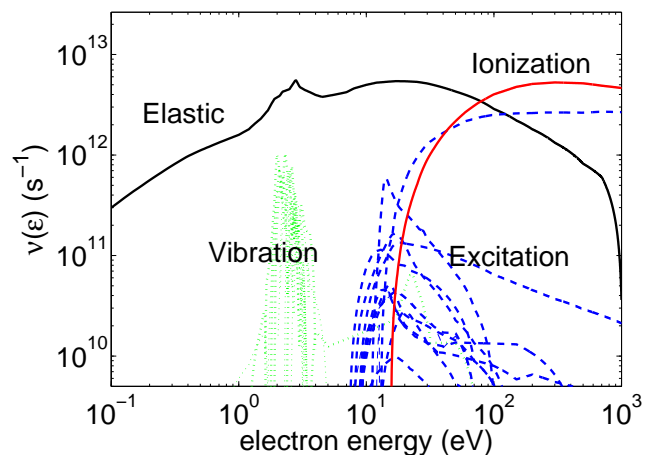

Figure 2: Collision frequencies in N_2 at 1 bar from [42].

respectively. Within a particle model, these densities are

$$n_e(\mathbf{x}, t) = \sum_{i=1}^{N_e(t)} \delta^3\Big(\mathbf{x} - \mathbf{x}_i(t)\Big), \; n_p(\mathbf{x}, t) = \sum_{j=1}^{N_p(t)} \delta^3\Big(\mathbf{x} - \mathbf{x}_j^p(t)\Big),$$
$$(3)$$

where δ is Dirac's δ function.

The collisions account for the impact of free electrons on neutral nitrogen molecules. As the neutrals are abundant, their density determines the probability of an electron-neutral collision. The collision can be elastic, inelastic or ionizing. In inelastic collisions, electron energy is partially converted into molecular excitation; in ionizing collisions, electron energy is consumed to split the neutral into a positive ion and a second free electron. The probability distribution of the different collision processes depends on the electron energy at the moment of impact; we use the cross section data from the Siglo Database [42]. Fig. 2 shows the frequencies of different electron-neutral collision processes for electrons as a function of energies in pure N_2 at 1 bar pressure. As the collisions are random within a probability distribution, the actual occurrence of a specific collision within a sample is determined by a Monte Carlo process.

Once an elastic or inelastic collision process is chosen, the energy loss of the electron and therefore the absolute value of its velocity after the collision is fixed. However, model results will depend on the angular distribution of the emitted electrons, which again follows a probability distribution. Different scattering methods have been discussed in the literature [43, 44, 45, 46, 47]. Here we will only focus on the scattering method used in the present paper.

In an elastic collision, the longitudinal scattering angle χ and the azimuthal scattering angle φ relative to the

direction of the incident electron, are given as [44]

$$\cos \chi = 1 - \frac{2p_1(1 - \xi(\varepsilon))}{1 + \xi(\varepsilon)(1 - 2p_1)}, \qquad (4)$$

$$\varphi = 2\pi p_2, \qquad (5)$$

where the random numbers p_1 and p_2 are drawn from a uniform distribution between 0 and 1, and $\xi(\varepsilon)$ is a function of the incident particle energy ϵ. The elastic cross section data [45] for nitrogen was fitted empirically in [44] with

$$\xi(\varepsilon) = \frac{0.065\,\varepsilon/\mathrm{eV} + 0.26\sqrt{\varepsilon/\mathrm{eV}}}{1 + 0.05\,\varepsilon/\mathrm{eV} + 0.2\sqrt{\varepsilon/\mathrm{eV}}} - \frac{12\sqrt{\varepsilon/\mathrm{eV}}}{1 + 40\sqrt{\varepsilon/\mathrm{eV}}}. \quad (6)$$

In an inelastic collision, the energy loss of incident electrons has to be taken into account, but the scattering angle is calculated in the same way as for an elastic collision.

In an ionizing collision, energy conservation dictates

$$\varepsilon_1 + \varepsilon_2 = \varepsilon_c - \varepsilon_{ion} \qquad (7)$$

where ε_c, ε_1 and ε_2 are the energy of the incident, the scattered and the ejected electron, respectively, and ε_{ion} is the ionization threshold energy. We use Opal's empirical fit for the distribution of the ejected electron energy [48]

$$\varepsilon_2 = B \tan\left[p_3 \arctan \frac{\varepsilon_c - \varepsilon_{ion}}{2B} \right], \qquad (8)$$

where $B \approx 13$ eV in the energy range of interest and p_3 again is a random number equally distributed between 0 and 1. For the scattering angles, Boeuf and Marode [46] assumed that (i) the incident, ejected and scattered electron velocities are coplanar, and (ii) that the scattered and ejected electron velocities are perpendicular. These assumptions lead to

$$\cos^2 \chi_1 = \frac{\varepsilon_1}{\varepsilon_c - \varepsilon_{ion}}, \qquad \cos^2 \chi_2 = \frac{\varepsilon_2}{\varepsilon_c - \varepsilon_{ion}}. \qquad (9)$$

where $\chi_{1,2}$ are the respective scattering angles. The set of equations (7), (8), and (9) determines the distribution of energies and scattering angles of the scattered and the ejected electron in an ionizing collision.

2. Numerical implementation

The particle code moves electrons within the applied plus the self-induced field and includes their collisions. Therefore the numerical calculation consists of three parts: the Newtonian electron motion within the field, the field generated by the charged particles, and collisions. At each time step of length Δt, the field is calculated from the charge densities on a lattice with grid size $\Delta \ell$. Then the electrons move in continuous phase space according to the field, possibly interrupted by Monte Carlo collision processes. Electrons can experience more than one collision during one time step Δt.

In more detail, position and velocity of the electrons are determined in continuous phase space from their Newtonian equation of motion (1) according to the electric field at their initial position within the time interval. The commonly used integration is the leap-frog method [49], in which the electron positions and velocities are offset in time by $\Delta t/2$.

For the electron-neutral collisions, time, type and scattering angles are determined in a Monte Carlo process by sequences of random numbers. For the data shown in Fig. 2, the maximal collision frequency $\nu_{\max}$ is about $9.7 \cdot 10^{12}/\mathrm{s}$, therefore the minimal average collision time $T_{\min} = 1/\nu_{\max}$ is about 0.1 ps. By introducing so-called null-collisions, in which no collisions occur, $T_{\min}$ can be chosen as average collision time independently of the electron energy ε. The probability $P(t)$ that an electron will travel a time t without collision (including null collisions) is

$$P(t) = e^{-\nu_{\max}t}. \qquad (10)$$

Therefore the next collision time $\Delta t_{collision}$ of an electron is drawn in a Monte Carlo process from the distribution

$$\Delta t_{collision} = T_{\min} \cdot \ln \frac{1}{p_4} \qquad (11)$$

where p_4 is again a random number. When a collision occurs, the energy of the incident electron is calculated, and the distribution of the collision processes is determined according to the collision frequencies from Fig. 2; then a random number determines the collision type (null collision, elastic, excitation or ionization collision). At the collision, the electron velocities are changed according to the processes discussed in Sec. II A 1. Then the next collision time for the particle is determined. This approach is described in more detail in [46, 50].

At each time step of length Δt, the electric field is calculated on the grid with mesh $\Delta \ell$. First, the number of elementary charges $n_p - n_e$ within a grid cell is counted; it directly determines the charge density within the cell. Then the change of electric field components normal to the cell faces are determined from the densities within the cells through the Poisson equation (2). This simple interpolation on cells of appropriate size causes no artifacts as we are dealing with particles carrying just one elementary charge e, not with superparticles. The condition on the cell size is (i) that it is large enough that a single charge in the cell center does not create substantial fields on the cell boundary, and (ii) that it is small enough that no strong density gradients occur between neighboring cells. Here it should be noted that density gradients due to particle number fluctuations are strongly suppressed when we deal with real particles, not superparticles. Therefore more involved interpolation methods like Particle in Cell (PIC) [49] are not required.

The choice of the spatial and temporal mesh determines the computational accuracy as well as the com-

putational costs. We have tested different meshes in planar fronts as described in section III A. The results, most prominently the ionization density behind the front, converge for a sufficient discretization. However, a balance has to be found between computational accuracy and computational costs. We choose the time step as $\Delta t = 0.3$ ps, which is of the same order as the minimal average ionization time $T_{\min}$, and the cell size as $\Delta \ell = 2.3$ μm, which is the basic length scale according to dimensional analysis in [18]. On this mesh, the ionization density behind planar fronts has an error of less than 0.2%.

B. The fluid model

1. Derivation from the Boltzmann equation

Fluid models in general are derived from the Boltzmann equation [51, 52, 53]. For each species k, this equation describes the temporal development of the 6-dimensional phase space density $f_k(\mathbf{x}, \mathbf{v})$. For the electrons, omitting the subscript k, the Boltzmann equation reads

$$\frac{\partial f}{\partial t} + \nabla_x \cdot \mathbf{v}f - \nabla_v \cdot \frac{e\mathbf{E}}{\mathrm{m}}f = \left(\frac{\delta f}{\delta t}\right)_{\mathrm{c}}. \tag{12}$$

$e\mathbf{E}/m$ is the acceleration of the electrons under the influence of the electric field. The term on the right hand side signifies the changes in the distribution function due to collisions that happen instantaneously. It is an integral expression that contains the phase space density $f(\mathbf{x}, \mathbf{v})$ both of the electrons and of the collision partners.

Equation (12) can also be represented as an infinite series of velocity moments. For the lth moment, one multiplies the Boltzmann equation (12) with an lth-order vector function $g(\mathbf{vvv}\cdots)$ and integrates over velocity. The zeroth moment results from $g = 1$, the first from $g = \mathrm{m}\mathbf{v}$, the second from $g = \frac{1}{2}\mathrm{m}\mathbf{vv}$, etc. The resulting equations are continuity equations for density, momentum, kinetic energy, etc. [52, 53, 54].

Each momentum equation contains quantities that can be obtained from higher moments. Therefore the series of momentum equations has to be truncated in a convenient way, and one needs to introduce an assumption in the highest moment equation to be included. If we truncate after the first moment and simplify further [54] in the momentum equation, we retain:

$$\frac{\partial n_e}{\partial t} + \nabla \cdot \mathbf{j}_e = \mathcal{S} \tag{13}$$

$$\mathbf{j}_e = -\mu \mathbf{E} n_e - \mathbf{D}\nabla n_e \tag{14}$$

where n_e is the electron density, $\mathbf{j}_e = \mathbf{u}n_e$ is the flux and $\mathbf{u} = \langle \mathbf{v} \rangle$ is the mean velocity of electrons. $\mathcal{S}$ is the source of electrons due to collisions and impact ionization, μ represents the mobility and $\mathbf{D}$ is the diffusion matrix.

The coefficients $\mathcal{S}$, μ and $\mathbf{D}$ appearing in equations (13) and (14) are to be obtained from elsewhere. One common approach is to solve the Boltzmann equation (12) for a homogeneous and constant electric field E within a background gas of constant density. In a uniform electric field, the electrons gain energy from the field and loose it in inelastic collisions, reaching some steady state transport conditions [55, 56]. By separating $f(\mathbf{x}, \mathbf{v}, t)$ in $F(\mathbf{v})n_e(\mathbf{x}, t)$, expanding $F(\mathbf{v})$ in spherical harmonics, and truncating this series, a practically solvable set of ordinary differential equations results [57]. Solving these equation determines the electron velocity distribution function $f(E, \mathbf{v})$ from which $\mu(E)$ and $\mathbf{D}(E)$ with $E = |\mathbf{E}|$ can be calculated. Furthermore, the electron source term is written as

$$\mathcal{S} = |n_e \, \mu(E) \, \mathbf{E}| \, \alpha(E), \tag{15}$$

when attachment and recombination can be neglected. Using these coefficients in a given gas and density as a function of the electric field is called the local field approximation.

Of course, this fluid model has to be extended by continuity equations for other relevant excited or ionized species. For a nonattaching gas with neglected ion mobility, the continuity equation for the density n_p of positive ions has to be included

$$\frac{\partial n_p}{\partial t} = \mathcal{S}. \tag{16}$$

Alternatively, the fluid model (13)–(16) can also be motivated by physical considerations and conservation laws [18, 19, 58].

In the present paper, the highest possible consistency between particle and fluid model is achieved by determining the transport coefficients and ionization rate $\mu(E)$, matrix $\mathbf{D}(E)$, and $\alpha(E)$ for the fluid model from swarm experiments in the particle model; this will be done in section II C.

Solutions of the particle model and of the fluid model in local field approximation will differ when the electric field or the electron density vary rapidly in space or time as the electrons then will not fully "equilibrate" to the local electric field [22]. We will investigate these deviations further below.

2. Numerical implementation

The fluid equations are solved on a uniform grid where the electron densities n_e and ion densities n_p are calculated at the centers of the grid cells. The densities can be viewed as averages over the cell like in the particle model. The field strength is also calculated at the cell centers. The electric field components are taken on the cell faces, where they determine the mass fluxes.

The equations for the particle densities are discretized in space with the finite volume method, based on mass

balances for all cells. The particle densities are updated in time using the third order upwind-biased advection scheme combined with a two-stage Runge-Kutta method. For the details and the tests of the algorithm, we refer to [19].

Analytical studies [18, 36] and numerical investigations [19, 30] show that the ionization front is a pulled front; therefore a very fine numerical grid is required in the leading edge region of the front, and standard refinement techniques refining in the interior front region fail. Like for fronts in the particle model, we also have tested different numerical meshes for fronts in the fluid model, these fronts are treated in section III A. On a too coarse grid, the front moves too fast and is too smooth due to numerical diffusion of the electron density. To achieve the same numerical accuracy below 0.2 % as for the particle model, the fluid model requires an approximately four times finer mesh, namely $dx = 0.575$ μm and $dt = 0.075$ ps. This mesh will be used below.

C. Kinetics and transport of electron swarms in constant fields

Swarm experiments deal with electron swarms moving and multiplying in a constant electric field without changing it. If the field is high enough such that the electron number grows measurably, such a swarm is also called an avalanche. Swarms or avalanches in homogeneous fields are an important experimental and theoretical tool to investigate the electron dynamics.

1. Particle swarm kinetics: approach to steady state

Particle swarm experiments can be used to determine transport coefficients, but also to study the particle kinetics. We will first study the second issue, namely the relaxation of electrons to a steady state velocity distribution and the velocity distribution itself. Indeed the electron swarm will rapidly "equilibrate" to the applied field. In such a balanced state, the electrons on average gain as much energy from the electric field as they loose in inelastic and ionizing collisions; this is how they reach an energy and velocity distribution specific for the electric field. The time that the electrons need to get in balance with the local electric field is an important indication for the validity of the local field approximation. We therefore test it here within a particle swarm experiment.

Fig. 3 shows how different electron swarms converge to the same mean energy within a field of -100 kV/cm. The experiment starts with a group of electrons of identical velocity directed in the electron drift direction; their kinetic energy is 50, 5 and 0.5 eV, respectively. When the swarms start to drift, their average energies converge to the same constant value within at most 3 ps in all three cases. Here the average energy is used as the sim-
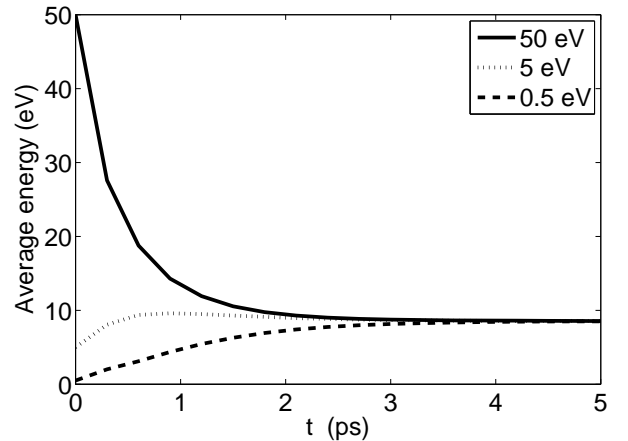

Figure 3: Average electron energy as a function of time for three different electron swarms in a field of -100 kV/cm. Starting with electron swarms moving into the drift direction with an identical kinetic energy of 50 eV (solid), 5 eV (dotted) and 0.5 eV (dashed), all electron swarms approach a mean electron energy characteristic for the applied field within 2 to 3 ps.

plest indication of their energy and velocity distribution function.

After reaching steady state motion, swarms demonstrate a steady state distribution of electron velocities and energies in a given electric field. Fig. 4 shows the distribution of the longitudinal electron velocity v_z in an electric field of 50, 100, 150, and 200 kV/cm. The figure shows that with increasing field, the electron velocity distribution deviates more and more from the Maxwellian profile and therefore from symmetry about velocity $v_z = 0$; rather an increasing number of electrons flies in the direction of the field and a decreasing umber against it, and the number of electrons with high kinetic energy increases.

2. Gaussian swarm profiles and transport coefficients

Swarm experiments are used as well to determine mobilities, reaction rates and diffusion constants experimentally [59]. An electron swarm drifts, broadens and grows under the influence of a constant electric field. The same experiment is performed here for this purpose, but now numerically with the particle model.

We here recall the essentials: A single electron will generically evolve into a swarm that has a Gaussian profile in space. In terms of the fluid model (13)–(16), this
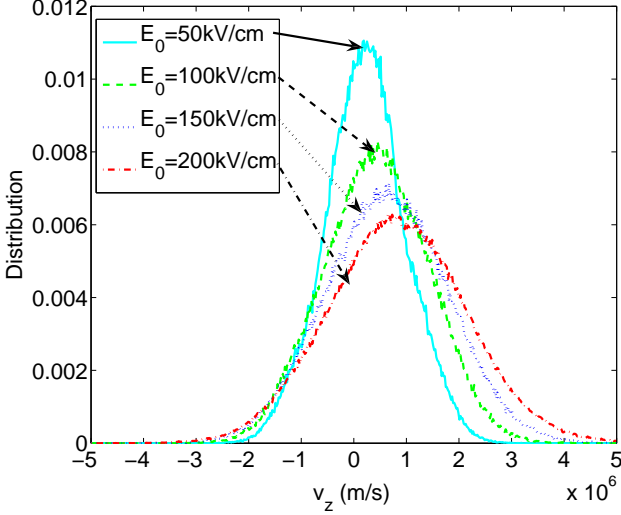
Figure 4: Distribution of the electron velocity v_z in the longitudinal direction in particle swarm experiments in fields of 50, 100, 150, and 200 kV/cm. The higher the field, the more the distribution deviates from Maxwellian and from symmetry about velocity $v_z = 0$.

Gaussian distribution is given by

$$n_e(x, y, z, t) \propto e^{\mu E \alpha(E) t} \frac{e^{-(x^2 + y^2)/(4\pi D_T(t - t_0))}}{4\pi D_T(t - t_0)}$$
$$\cdot \frac{e^{-(z - z_0 - \mu Et)^2/(4\pi D_L(t - t_0))}}{\sqrt{4\pi D_L(t - t_0)}}, \ (17)$$

(The discharge specific context of this solution can be found in [58, 60].) Here the center of the package is at $(x, y, z) = (0, 0, z_0)$ at time $t = 0$, and the field is in the z-direction. The longitudinal and transversal components of the diffusion matrix are denoted as D_L and D_T.

The transport and reaction coefficients can be determined from this equation by

$$\mu(E)|E| = \frac{\langle z(t_2) \rangle - \langle z(t_1) \rangle}{t_2 - t_1}, \tag{18}$$

$$\alpha(E) = \frac{1}{\mu(E)|E|} \frac{\ln N_e(t_2) - \ln N_e(t_1)}{t_2 - t_1}, \tag{19}$$

$$D_T(E) = \frac{\langle x^2(t_2) + y^2(t_2) \rangle - \langle x^2(t_1) + y^2(t_1) \rangle}{4(t_2 - t_1)}, \tag{20}$$

$$D_L(E) = \frac{\langle [z(t_2) - \langle z(t_2) \rangle]^2 \rangle - \langle [z(t_1) - \langle z(t_1) \rangle]^2 \rangle}{2(t_2 - t_1)}, \tag{21}$$

where the spatial averages of observables $\mathcal{O}$ are taken over the electron density (17)

$$\langle \mathcal{O}(t) \rangle = \int dx \, dy \, dz \, \mathcal{O} \, n_e(x, y, z, t) \quad \text{for the fluid model}, \tag{22}$$

and $N_e(t)$ is the total number of electrons at time t.

When swarm experiments are performed in the particle model, transport and reaction coefficients are determined by (18)–(21) as well, and averages are now performed over all electrons

$$\langle \mathcal{O}(t) \rangle = \frac{1}{N_e(t)} \sum_{j=1}^{N_e(t)} \mathcal{O}_j(t) \quad \text{for the particle model}, \tag{23}$$

in agreement with equation (3). This is how the coefficients for the fluid model will now be determined from the particle model.

3. Fluid parameters determined from particle swarms

We have determined $\mu(E)$, $D_T(E)$, $D_L(E)$, and $\alpha(E)$, and also the average electron energy $\epsilon(E)$ in particle swarm experiments for 42 different background electric fields ranging from 2 kV/cm to 205 kV/cm.

To obtain the transport coefficients and mean values with satisfactory statistics, one needs a sufficient number of electrons that have experienced an adequate number of collisions. The experiments start from a number of electrons at the same position (i.e. located in a single point, which is, a Gaussian with zero width), and end with a swarm of electrons with a Gaussian distribution as described in equation (17). Because the ionization rate depends strongly on the electric field strength, the number of initial electrons and the simulation time is chosen according to the fields. For example, the simulation starts with 10^6 electrons at 2 kV/cm and lasts for 1500 ps, but for 205 kV/cm, the simulation starts with 10^2 electrons and ends with $4 \cdot 10^6$ electrons after 30 ps.

As there is some initial transient during which the electrons "equilibrate" to the field and approach a Gaussian density profile, the transport and reaction coefficients are evaluated according to equations (18)–(21) at appropriate times $t_{1,2}$. We choose t_2 as the end of a swarm experiment, and $t_1 = t_2/2$ in the middle of an experiment. In view of the relaxation times below 3 ps evaluated above, this choice of t_1 is on the very safe side.

The numerical results for μ, D_T, D_L, α and ϵ for different electric fields $E = |\mathbf{E}|$ are presented in Fig. 5 together with empirical fit formulas. These formulas are

$$\mu(E) = \frac{\text{m}^2}{\text{V} \cdot \text{s}} \cdot \exp\left[-4.02 + 0.21 \ln \frac{E}{\text{kV/cm}} \right.$$
$$\left. + \frac{5.44 \text{ kV/cm}}{E} - \left(\frac{2.42 \text{ kV/cm}}{E} \right)^2 \right] \tag{24}$$

$$\alpha(E) = \frac{1}{\text{m}} \cdot \exp\left[12.5 + 0.16 \ln \frac{E}{\text{kV/cm}} \right.$$
$$\left. - \frac{200 \text{ kV/cm}}{E} + \left(\frac{19.2 \text{ kV/cm}}{E} \right)^2 \right] \tag{25}$$

(a) Mobility $\mu(E)$

(b) Ionization rate $\alpha(E)$

(c) Transversal diffusion $D_T(E)$

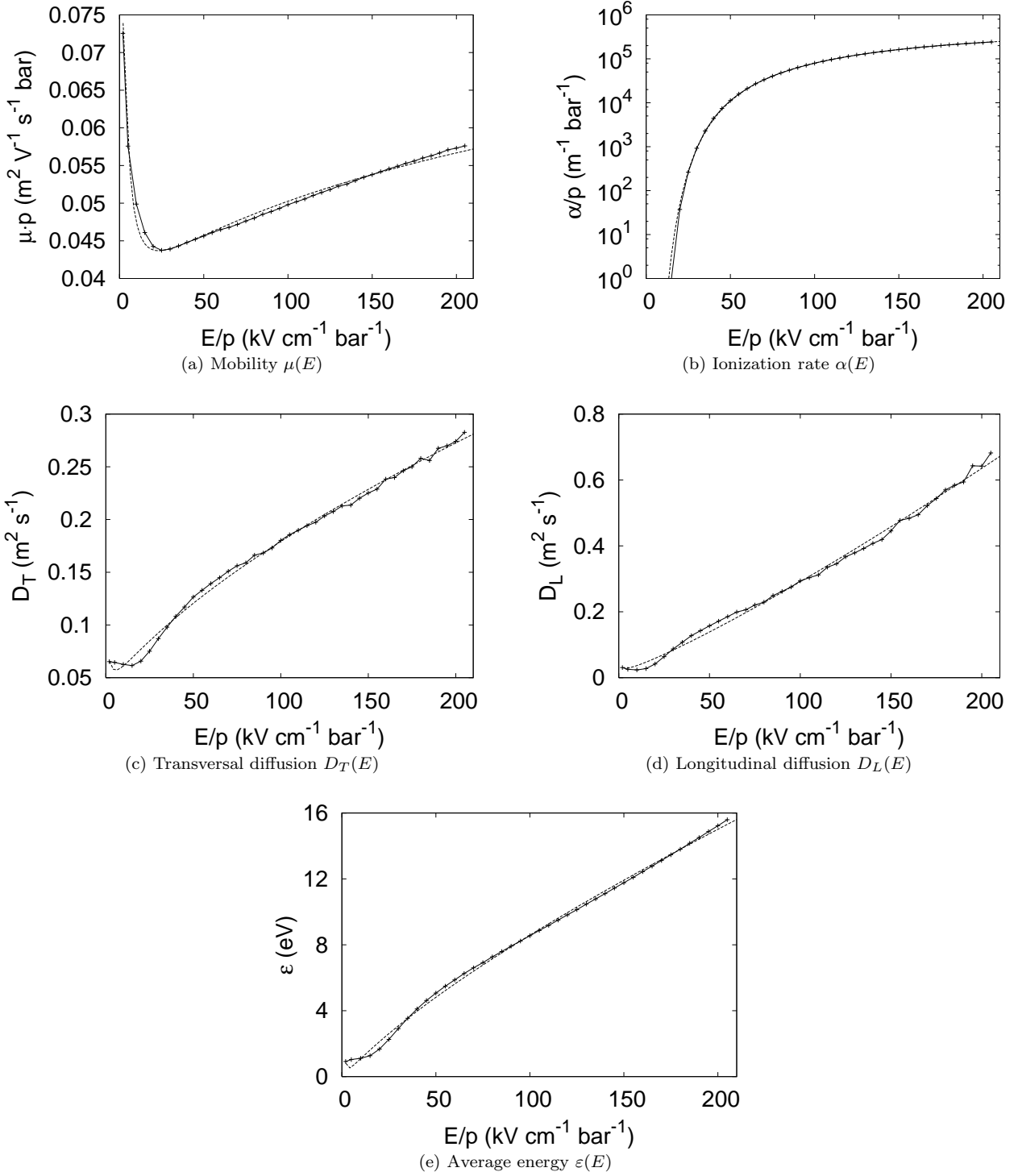(d) Longitudinal diffusion $D_L(E)$

(e) Average energy $\varepsilon(E)$

Figure 5: Electron mobility, diffusion rates, ionization rate and average electron energy in nitrogen. Plotted are the reduced coefficients μp, α/p, D_T, D_L and ε as a function of reduced field E/p at room temperature. Our units are related to other commonly used units like $1\ \text{kV}\ \text{cm}^{-1}\ \text{bar}^{-1} = 1.316\ \text{V}\ \text{cm}^{-1}\ \text{torr}^{-1} = 0.424$ Townsend at T=300 K.

$$D_T(E) = \frac{\text{m}^2}{\text{s}} \cdot \exp\left[-4.71 + 0.64\ln\frac{E}{\text{kV/cm}} + \frac{4.80\ \text{kV/cm}}{E} - \left(\frac{1.84\ \text{kV/cm}}{E}\right)^2\right] \quad (26)$$

$$D_L(E) = \frac{\text{m}^2}{\text{s}} \cdot \exp\left[-6.75 + 1.18\ln\frac{E}{\text{kV/cm}} + \frac{7.89\ \text{kV/cm}}{E} - \left(\frac{2.49\ \text{kV/cm}}{E}\right)^2\right] \quad (27)$$

$$\varepsilon(E) = \mathrm{eV} \cdot \exp\left[-1.37 + 0.78\ln\frac{E}{\mathrm{kV/cm}}\right.$$

$$\left. -\frac{4.44\,\mathrm{kV/cm}}{E} + \left(\frac{3.46\,\mathrm{kV/cm}}{E}\right)^2\right], \quad (28)$$

under normal conditions.

These coefficients will be used in the fluid model (13)–(16) to reach optimal agreement between particle and fluid model.

III. SIMULATIONS OF PLANAR FRONTS

A. Concepts and set-up of planar ionization fronts

1. The role of planar fronts in the inner analysis of the streamer structure

Fluid model simulations of streamers within the past 20 years (see e.g., [11, 13, 19, 21]) have shown that the streamer head is surrounded and preceded by an ionization front that propagates into the non-ionized gas. Within the ionization front, ionization grows until space charge effects set in. The formed space charge layer is much thinner than the radius of the streamer, it leads to a screening of the electric field in the interior of the streamer head and to a field enhancement ahead of it. Therefore the field dependent ionization reaction coefficient $\alpha(E)$ is enhanced ahead of the space charge layer and suppressed behind it. The space charge layer around the streamer is shown in Fig. 1, for a further discussion of the three-dimensional structure and growth of streamers, we refer to the literature, see, e.g., [27].

It is clear that the full configuration of the electric field can only be analyzed within a two- or three-dimensional setting. On the other hand, within the inner structure of ionization front and space charge layer, the electric field does not deviate much from a planar configuration. To analyze the processes within the ionization front in detail, it is therefore advisable to study the inner structure of a planar front. This will be done here. The results can be put in further physical context through a separate analysis on the inner and the outer scale of the structure as commonly done in hydrodynamic boundary layer analysis, reaction-diffusion systems etc. [39, 40, 41].

2. Construction of planar fronts in the particle model

The construction of a planar front is straight forward in the fluid model: gradients ∇ are simply evaluated in one spatial direction. We choose this direction to be the z direction. In the particle model, on the other hand, electrons move in all three spatial dimensions. Therefore a three-dimensional setting has to be retained. An essentially one-dimensional setting is achieved by considering only a small transversal area A of the front and

by imposing periodic boundary conditions at the lateral boundaries. Furthermore, the electric field is calculated only in the forward direction z through

$$E_z(z,t) = E_z(z_0,t) + \int_{z_0}^{z} dz' \int_A \frac{dx\,dy}{A}\,\frac{\mathrm{e}(n_p - n_e)(x,y,z',t)}{\epsilon_0}$$
(29)

which is the one-dimensional version of (2). This means that fluctuations of the transversal field due to density fluctuations in the transversal direction are not included. In fact, the numerical implementation of (29) is performed on a grid in the forward direction only as discussed in section II A 2.

The density fluctuations projected onto the forward direction depend on the transversal area A over which the averages are taken. When A increases, the total number of electrons in the simulation increases proportionally to A, while the relative density fluctuations decrease like $1/\sqrt{A}$. Therefore some intermediate value of the area A has to be chosen: On the one hand, there should be a sufficient number of electrons to reach a satisfactory statistics, but on the other hand, there shouldn't be so many electrons that the computer runs out of memory within the time interval of interest. In the simulation, we use a small A for high electric field and a large A for low electric field. For example, we choose the transversal averaging area as $A = 6\,\Delta\ell \times 6\,\Delta\ell$ for -100 kV/cm, but for -50 kV/cm, the transversal averaging area is $A = 20\,\Delta\ell \times 20\,\Delta\ell$, here $\Delta\ell = 2.3\,\mu$m.

In the z direction, the system length is $500\,\Delta\ell$ which allows the front to propagate freely for all runs reported in this paper. The electric field in the non-ionized region at large z is specified by $\mathbf{E} = E^+\,\hat{\mathbf{z}}$, where $E^+ < 0$ and $\hat{\mathbf{z}}$ is the unit vector in the z direction.

In the simulations, two different initial conditions are used. In the first, N_e electrons are evenly distributed in a thin layer of area A with an extension of $19.5\,\Delta\ell < z < 20.5\,\Delta\ell$ in the field direction. Choosing

$$N_e/A = |E^+| \cdot \epsilon_0/\mathrm{e}; \quad (30)$$

the field behind the layer is screened according to (29). Another choice is to begin with a few seed electrons which will create an ionization avalanche and form a charge layer later.

B. Planar fronts in the particle model

1. Qualitative discussion of typical results

We first present results in a field of $E^+ = -100$ kV/cm. The initial condition is a thin electron layer with total electron number N_e as in (30), screening the electric field behind the layer. Fig. 6 presents the evolution at times $t_1 = 450$ ps (left) and $t_2 = 900$ ps (right). Panel (a) shows the density distribution of the electrons (solid) and the ions (dashed). Panel (b) shows the net negative charge distribution (solid) and the electric field (dashed). Panel
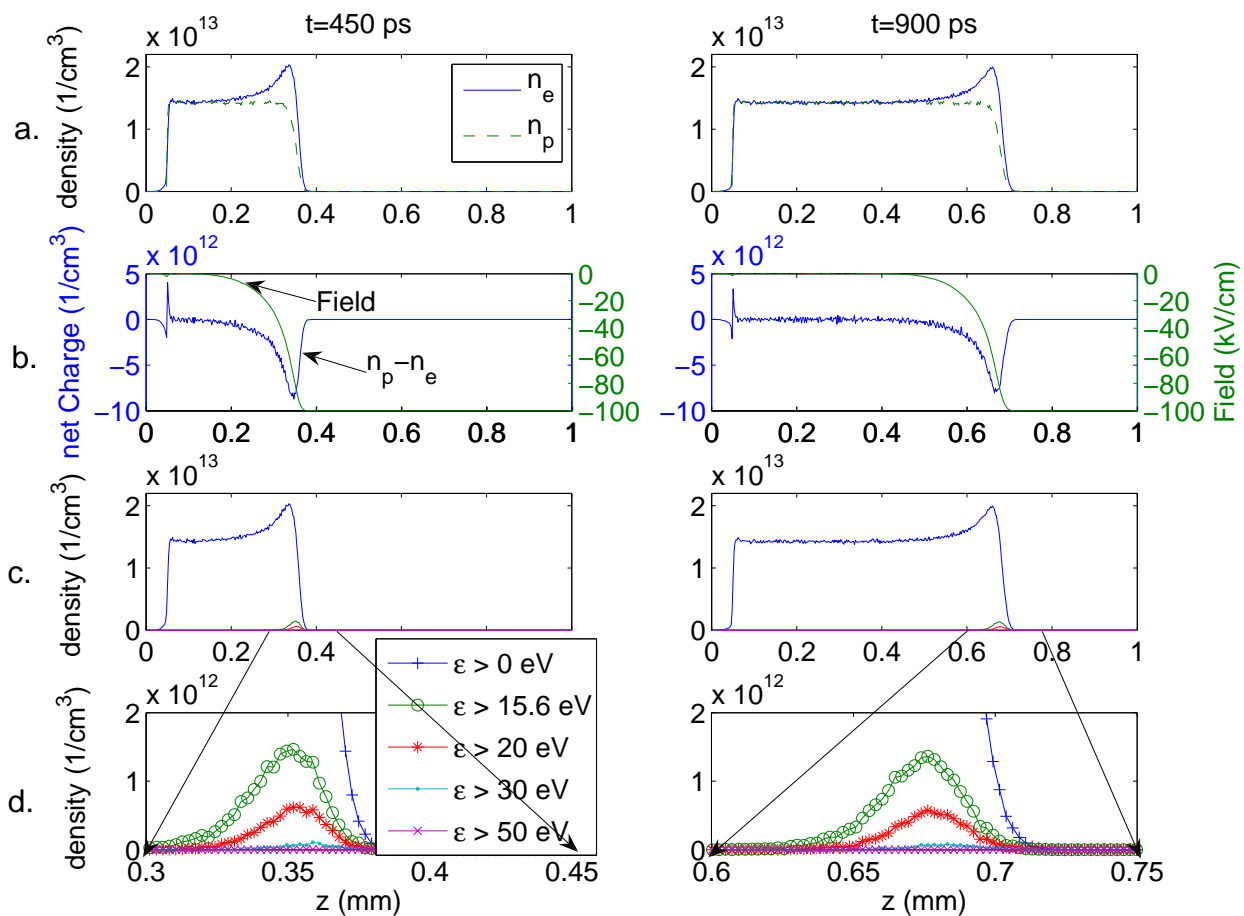
Figure 6: Spatial profile of a particle front within a field of -100 kV/cm at time $t_1 = 450$ ps (left) and $t_2 = 900$ ps (right). (a) Electron density (solid) and ion density (dashed), (b) electric field (dashed) and net charge (solid), (c) density of electrons with energy above 0 eV, 15.6 eV, 20 eV, 30 eV and 50 eV, (d) zoom into the front region with high electron energies, same quantities as in (c).

(c) shows the total charge density of electrons and the charge density of electrons with an energy higher than 0, 15.6, 20, 30, and 50 eV, where 15.6 eV is the ionization energy. Panel (d) zooms into panel (c), both in space and in charge densities.

The figure shows an ionization front propagating to the right; up to fluctuations, the spatial profiles are essentially unchanged, therefore the front velocity v is essentially constant as well. The front carries an overshoot of electrons, generating a thin space charge layer that screens the electric field behind the front. In this screened interior region, the electron and ion density reach an equal constant density $n_e^- = n_p^-$. (Upper indices "$\pm$" indicate quantities before "+" and behind "−" the ionization front.) The qualitative features of the front are the same as those in the fluid model [18].

Electrons with energies above the ionization threshold of 15.6 eV are shown in the lower two panels in Fig. 6;

they exist essentially only in the high field region. Electrons with energies above 30 eV are so rare that they are hardly seen even on the scale of panel (d). Electrons with energy above 50 eV exist, but cannot be distinguished within this plot. The profiles of high energy electrons also move with the whole front without change of shape, up to fluctuations.

Following the track of single electrons of high energy, we found that they gain and loose energy in few collisions within a few ps and do not run away. In that sense they are in a fast dynamic equilibrium with the electrons at lower energies. This observation agrees with the fast relaxation of 50 eV electrons travelling in the forward direction whose energy relaxation is shown in figure 3.

E^+ (kV/cm)	v (m/s)	n_e^- (1/ cm^3)
50	$(2.773 \pm 0.007) \cdot 10^5$	$(5.923 \pm 0.031) \cdot 10^{11}$
75	$(4.845 \pm 0.023) \cdot 10^5$	$(4.372 \pm 0.011) \cdot 10^{12}$
100	$(7.258 \pm 0.062) \cdot 10^5$	$(1.422 \pm 0.003) \cdot 10^{13}$
125	$(1.012 \pm 0.010) \cdot 10^6$	$(3.233 \pm 0.007) \cdot 10^{13}$
150	$(1.365 \pm 0.008) \cdot 10^6$	$(6.014 \pm 0.006) \cdot 10^{13}$
175	$(1.745 \pm 0.027) \cdot 10^6$	$(9.875 \pm 0.020) \cdot 10^{13}$
200	$(2.262 \pm 0.063) \cdot 10^6$	$(1.486 \pm 0.004) \cdot 10^{14}$

Table I: Numerical results on planar fronts in the particle model: front velocity v and ionization level n_e^- behind the front as a function of the electric field E^+ ahead of the front.

2. Quantitative results in different fields

The ionization front in a given field E^+ is characterized by a velocity v, a degree of ionization $n_e^- = n_p^-$ behind the front and an electron energy distribution in the high field region. We now present these quantities in detail.

We define the front position as the position of the maximal electron density. Table I summarizes our numerical results on the front velocity v as well as on the saturated electron density n_e^- behind the front as a function of the electric field E^+ immediately ahead of the front.

C. Planar fronts in the fluid model

For planar fronts in the fluid model, there are not only numerical, but also analytical results, both agree within the numerical accuracy. First, the velocity of the front in a field E^+ is given by

$$v^* = \mu(E^+)\,|E^+| + 2\sqrt{D_L(E^+)\,\mu(E^+)\,|E^+|\,\alpha(E^+)}, \tag{31}$$

according to [18, 38] with a slight generalization along the general lines discussed in [36]. Note that for the initial conditions treated in this paper, the velocity v^* is approached from below after an algebraically slow relaxation [36].

The electron and ion densities decay exponentially like e^{-z/ℓ^*} in the leading edge of the front where the electric field is approximately E^+ [18, 36]. The decay length is

$$\ell^* = \sqrt{\frac{D_L(E^+)}{\mu(E^+)\,|E^+|\,\alpha(E^+)}}. \tag{32}$$

These analytical results are summarized in Table II.

For the degree of ionization behind the front n_e^-, there is no closed analytical solution. The ionization behind the front can be derived numerically. Furthermore, in the appendix, we derive an analytical upper bound for this quantity, namely

$$n_e^- \lesssim n_{e,bound}^- = \frac{\epsilon_0}{e} \int_0^{|E^+|} \alpha(e)\,de. \tag{33}$$

Numerical result and analytical bound are summarized in table III.

E^+ (kV/cm)	v^* (m/s)	ℓ^* (m)
50	$2.68 \cdot 10^5$	$7.83 \cdot 10^{-6}$
75	$4.70 \cdot 10^5$	$3.91 \cdot 10^{-6}$
100	$7.14 \cdot 10^5$	$2.72 \cdot 10^{-6}$
125	$9.88 \cdot 10^5$	$2.15 \cdot 10^{-6}$
150	$1.29 \cdot 10^6$	$1.84 \cdot 10^{-6}$
175	$1.63 \cdot 10^6$	$1.66 \cdot 10^{-6}$
200	$1.98 \cdot 10^6$	$1.54 \cdot 10^{-6}$

Table II: Exact analytical results for planar fronts in the fluid model, evaluated with the transport coefficients from (24)–(??): front velocity v^* and electron density decay length ℓ^* as a function of the electric field E^+.

E^+ (kV/cm)	n_e^- (1/ cm^3)	$n_{e,bound}^-$ (1/ cm^3)
50	$5.43 \cdot 10^{11}$	$5.80 \cdot 10^{11}$
75	$3.83 \cdot 10^{12}$	$3.98 \cdot 10^{12}$
100	$1.17 \cdot 10^{13}$	$1.22 \cdot 10^{13}$
125	$2.49 \cdot 10^{13}$	$2.61 \cdot 10^{13}$
150	$4.35 \cdot 10^{13}$	$4.58 \cdot 10^{13}$
175	$6.70 \cdot 10^{13}$	$7.09 \cdot 10^{13}$
200	$9.50 \cdot 10^{13}$	$10.1 \cdot 10^{13}$

Table III: The degree of ionization n_e^- behind the front in the fluid model as a function of field E^+: numerical result n_e^- and analytical upper bound $n_{e,bound}^-$ as derived in the appendix.

D. Comparison of planar fronts in particle and fluid model

1. Detailed investigation in a field of -100 kV/cm

Now the stage is set to compare planar fronts in the particle model to those in the fluid model, The comparison is first done in detail for a planar front propagating into a field of -100 kV/cm. Both fluid and particle simulations are carried out in the same setup starting from the same initial conditions, i.e., from an electrically neutral group of 100 electrons and ions evenly distributed within the thin layer $19.5\,\Delta\ell < z < 20.5\,\Delta\ell$ and within the transversal area $A = 6\,\Delta\ell \times 6\,\Delta\ell$.

Fig. 7 shows the temporal evolution of the planar front. We compare the spatial profile of the electron density (solid line) and ion density (dotted) in a particle simulation with the electron density (dashed) and ion density (dot-dashed) in a fluid simulation. Two features are clearly visible: First, the particle and the fluid front move with approximately the same velocity and the same density profile in the leading edge of the front where the electric field does not vary yet. Second, the maximal electron density in the front and the saturation level of the ionization behind the front in the particle model are about 20 % higher than in the fluid model. These results of visual inspection agree with those of tables I and III for a field of -100 kV/cm.

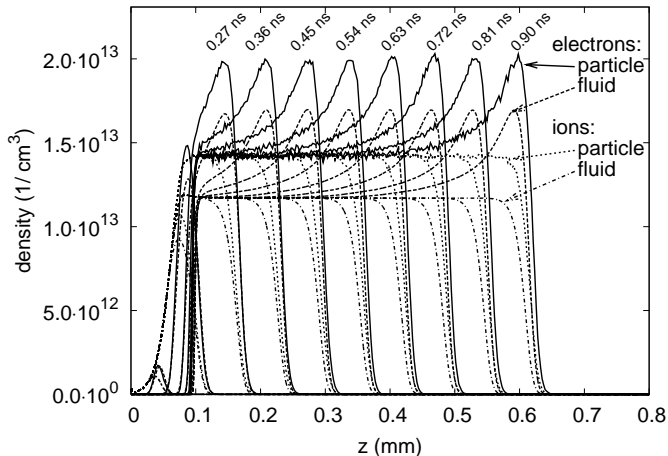As we have excluded other reasons of the discrepancy

Figure 7: Temporal evolution of the electron and ion densities in a planar front in a field of 100 kV/cm. Shown are the spatial profiles of electron and ion densities derived with the particle or the fluid model at time steps $t = 0$ ns, 0.09 ns, 0.18 ns, 0.27 ns, ..., 0.9 ns (solid lines: $n_{e,part}$, dashed: $n_{e,fluid}$, dotted: $n_{p,part}$, dot-dashed: $n_{p,fluid}$).
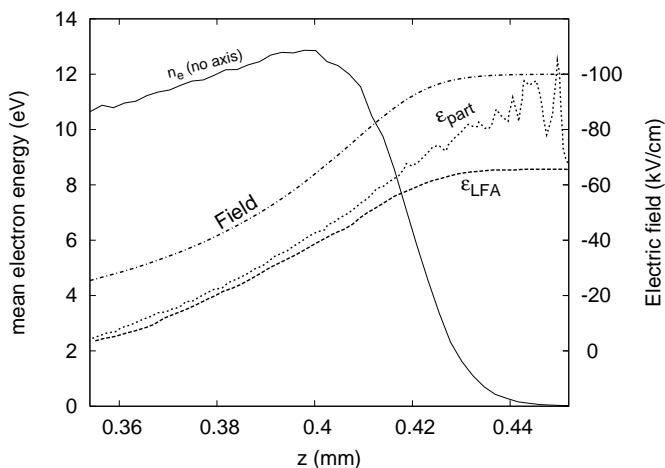

Figure 8: Zoom into the particle ionization front of Fig. 7 in a field of -100 kV/cm at time 0.63 ns: shown are electron density distribution n_e (solid line), local average electron energy ε_{part}(dotted line), local average electron energy ε_{LFA} according to the local field approximation (dashed line), and electric field strength E (dot-dashed line).

like numerical discretization errors or inconsistent transport and reaction coefficients, deviations must be due to the approximations in the fluid model, and a closer inspection shows that we should focus on the electron energies.

Fig. 8 zooms into the ionization front shown in Fig. 7 at time $t = 0.63$ ns. Here we show the electron density (solid line) and electric field (dot-dashed line) in the particle model. Furthermore the local mean energy of the
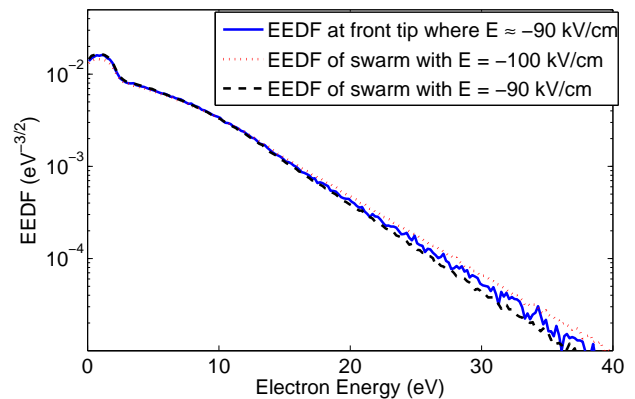

Figure 9: The electron energy distribution function is measured as $P(\varepsilon)/\sqrt{\varepsilon}$, where $P(\varepsilon)$ is the probability of electron energy ε. Here we show the electron energy distribution at the front region of Fig. 8 where $E \approx -90$ kV/cm (solid line) and in the swarm experiments in constant fields of -90 kV/cm (dashed line) and -100 kV/cm (dotted line).

electrons in the particle model is indicated with a dotted line. Finally, the mean electron energy according to the local field approximation $\epsilon(E)$ is derived from the local field E and equation (**??**) for $\epsilon(E)$; it is indicated with a dashed line. It can be seen that the average electron energy nicely follows the local field approximation in the interior of the ionized region while it is considerably higher in the region where the electric field is large and the electron density decreases rapidly.

This deviation is analyzed in more detail in Fig. 9 where not only the mean energy, but the full electron energy distribution is shown. This is done for the particular spatial region of the front where the electric field has decreased by 10 % to a value of -90 kV/cm. More precisely, electrons are collected from the first cell where $|E| > 90$ kV/cm, searching with increasing z. The average field in these cells is about $E = -91.47$ kV/cm. To reach a satisfactory statistics, the electrons are collected from 10 different instants of time with a temporal distance of 30 ps between the two consecutive sampling times to ensure statistical independence. While these data are plotted as a solid line, for comparison the electron energy distributions in the swarm experiments in a constant field of -90 and -100 kV/cm are plotted as a dashed or dotted line, respectively. Analyzing the electron energy distribution at low energies $\varepsilon < 10$ eV, the ionization front at a local field around -90kV/cm and the swarm experiment in a constant field of -90 kV/cm are quite similar while the distribution for a swarm in a field of -100 kV/cm is clearly lower. On the other hand, for electron energies above 20 eV, the energy distribution in the ionization front at a local field of -90 kV/cm actually lies closer to the distribution of the swarm at -100 kV/cm than to that at -90 kV/cm. This observation not only confirms that the average electron energy in the
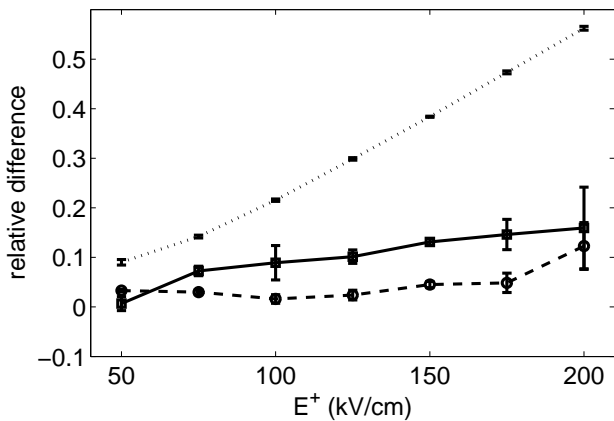
Figure 10: Relative difference of ionization level behind the front (34) (dotted, above), front velocity (35) (dashed, below), and mean electron energy in the leading edge (36) (solid, middle) between particle and fluid model as a function of the electric field E^+ ahead of the front.

leading edge of the ionization front is higher than in the local field approximation, as is consistent with Fig. 8, but it indicates that the higher mean energies correspond to a higher population of electron energy states above the ionization threshold. We therefore expect that also the ionization rates are higher in the particle model than in the local field approximation.

2. Results for other fields

Having analyzed the front propagating into a field of $E^+ = -100$ kV/cm in detail, we now summarize equivalent results for fields ranging from -50 to -200 kV/cm in Fig. 10. The figure shows the relative difference

$$\frac{n^-_{e,\ \text{part.}} - n^-_{e,\ \text{fluid}}}{n^-_{e,\ \text{fluid}}} \tag{34}$$

of the saturated electron density behind the front between the particle and the fluid model, the relative difference

$$\frac{v_{\text{part}} - v_{\text{fluid}}}{v_{\text{fluid}}} \tag{35}$$

of the front velocity and the relative difference

$$\frac{\langle \varepsilon_{\text{part}} \rangle - \langle \varepsilon_{\text{LFA}} \rangle}{\langle \varepsilon_{\text{LFA}} \rangle} \tag{36}$$

of the mean electron energy between the particle model and the local field approximation at a point in the ionization front where electron densities are low and the electric field is just slightly screened to the value $E = 0.98\ E^+$. All differences increase with increasing field.

E. Interpretation of results

1. Electric field gradient, electron motion in the front and electron energy overshoot

A few authors have dealt with particle discharge models with strong gradients both in the electric field and in the particle density. They analyzed deviations between particle models and the fluid model in local approximation by means of the Boltzmann equation, as treated in section II B 1. They analyzed the case of stationary gradients of the electric field [55, 56] and the case of positive streamer ionization fronts [22]. They suggested corrections to the fluid model both due to field gradients and to density gradients.

The situation in negative streamer ionization fronts is somewhat different: a negative ionization front in nitrogen moves approximately with the electron drift velocity determined by the electric field in the leading edge of the ionization front. This means that the electrons in this leading edge region on average move within a stationary electric field. More precisely, the front velocity $v^*(E^+)$ from (31) is slightly larger than the electron drift velocity $\mu(E^+)\ |E^+|$ in the electric field ahead of the front. This is because the front is not carried by electron drift only, but also by diffusion and creation of new free electrons. In a coordinate system moving with the ionization front, the existing electrons therefore on average move backwards. This motion is the faster, the further they are behind.

Fig. 9 shows that the high energy tail of the electron energy distribution in the ionization front at the position where the field is -91.47 kV/cm, is closer to the swarm experiment at -100 kV/cm than to that at -90 kV/cm. One could interpret these results by assuming that the electrons have gained their energy distribution in a field of close to -100 kV/cm and then are transported backwards to where the field is only -90 kV/cm.

However, Fig. 8 shows that this interpretation cannot be true. If the electron energy distribution would first "equilibrate" to a field of -100 kV/cm and then partially be transported backwards, then the mean electron energy $\langle \epsilon_{\text{part}} \rangle$ would everywhere be below the mean electron energy $\langle \epsilon_{\text{LFA}} \rangle$ at -100 kV/cm in local field approximation. But clearly there is an electron energy overshoot in the leading edge of the ionization front. So the most prominent deviation from the fluid model visible in Fig. 8 occurs in the region were the electric field is almost constant; furthermore, if it would be a consequence of the local field approximation, the deviation would have the opposite sign.

2. Density gradient and relation between front and swarm experiments

We conclude that the electron energy overshoot in the leading edge of the ionization front has to be due to the electron density gradient rather than to the field gradient.

The effect of density gradients can be tested in swarm experiments (cf. section II C) in a constant electric field as well. It turns out that such a test goes beyond qualitative results and actually allows for a quantitative comparison of fronts and swarms as will be explained below.

The key to the quantitative comparison is based on two closely related facts: (i) the density profiles in the leading edge of a swarm or avalanche in a constant field and in the leading edge of an ionization front penetrating the same field are both given by

$$n_e \sim e^{-z/\ell^*}, \tag{37}$$

where ℓ^* is given by (32) and in table II. (ii) Also the velocities of swarm and front have the same value v^* from (31) and table II.

This relation between velocities and decay rates of swarms and fronts holds generally for any so-called pulled front whose velocity is determined in the linearly unstable region ahead of the front, as is discussed in a general setting in [36], specifically in section 2.5.1. The statement can be verified on the explicit form of the Gaussian profile (17): in the coordinate

$$\xi = z - z_0 - v^* t \tag{38}$$

moving with velocity v^*, the Gaussian swarm distribution (17) can be written as

$$n_e \propto e^{\mu|E|\alpha t} \frac{e^{-(z-z_0-\mu E t)^2/(4D_L t)}}{\sqrt{4\pi D_L t}} = e^{-\xi/\ell^*} \frac{e^{-\xi^2/(4D_L t)}}{\sqrt{4\pi D_L t}}. \tag{39}$$

We now test the above theoretical predictions on the particle model for a swarm and a planar front in a field of -100 kV/cm. The results are shown in Fig. 11. It should be remarked that our electron swarm has a Gaussian density distribution both in the longitudinal and in the transversal direction. To focus on the profile in the longitudinal direction, the density in the swarm in Fig. 11.a is taken as the density on the longitudinal axis. Fig. 11.a shows the electron density profile of the swarm decaying at both edges and the profile of the planar front that grows and saturates to a constant level. As the densities are plotted on a logarithmic scale, an exponential decay like in (37) amounts to a straight line with slope $-1/\ell^*$ in the plot. Despite density fluctuations and slow transients in the buildup of the profile [36], Fig. 11.a indeed shows that swarm and front have a similar decay profile on the right hand side.

This sets the stage to compare now the electron energies of swarm and front in Fig 11.b. The dotted and the dashed line reproduce lines from Fig. 8 for the planar particle front, they show the actual mean electron energy $\langle \varepsilon_{\text{part}} \rangle$ (dotted) and the mean electron energy according to the local field approximation $\langle \varepsilon_{\text{LFA}} \rangle$ (dashed). For the electron swarm, the mean electron energy along the swarm axis is indicated as a solid line in Fig 11.b. It is remarkable that this energy within the swarm is not constant in space though the electric field has a constant value. Rather the energy increases almost linearly

along the axis. While the average energy of the swarm is around 8.5 eV, producing the respective value for the local field approximation $\varepsilon(E)$ in Fig. 5(e), the average energy at the leading edge of the swarm reaches values above 10 eV.

Now the leading edge regions of swarm and front need a closer inspection. The leading edge is defined as the region where the electric field is (almost) constant and where the electron densities both approach the profile (37). It is in this region where the electrons attain the highest mean energies, and Fig 11.b shows that the mean energy profiles of swarm and front in this region are virtually identical up to fluctuations. We conclude that the high electron energies within the leading edge of the ionization front that are shown in figures 8 and 9, are due to the electron density gradient, and can be studied in the leading edge of a swarm experiment as well.

3. The ionization density behind the front

The discussion above shows that within the electron density gradient, the fast electrons are on average a bit ahead of the slower electrons as they have a higher average velocity in the forward direction. This is understandable since on the one hand, each single fast electron looses its energy over a length of ~ 1 μm (which is the electron drift velocity $\mu(E)E$ times the relaxation time ~ 2 ps from figure 3), but on the other hand, the gradient length $\ell^* \approx 2.7$ μm of the density profile is of the same order. Clearly, yhis effect becomes the more pronounced, the higher the field.

Now the electron energies in the leading edge substantially exceed the local field approximation and indicate the presence of a larger fraction of electrons with energies above the ionization threshold. This leads also to higher ionization rates than estimated by the local field approximation $\alpha(E)$ (**??**). As the ionization level behind the front $n_e^- = n_p^-$ is well approximated by the effective ionization rate α integrated over the fields within the front (33) (recall also the argument in the appendix), it is clear that the ionization level behind the front is higher in the particle model than in the fluid model.

IV. CONCLUSION

Negative streamer ionization fronts in pure nitrogen were investigated in planar approximation, both following the kinetics of single electrons in a particle model, and in a fluid model in local field approximation. As parameter functions for the fluid model were derived from swarm experiments in the particle model and numerical errors are under strong control, a discrepancy between results of particle and fluid model must be attributed to the approximations made in the fluid model.

For electric fields immediately ahead of the front of 50 kV/cm or lower — the statements hold for nitrogen un-
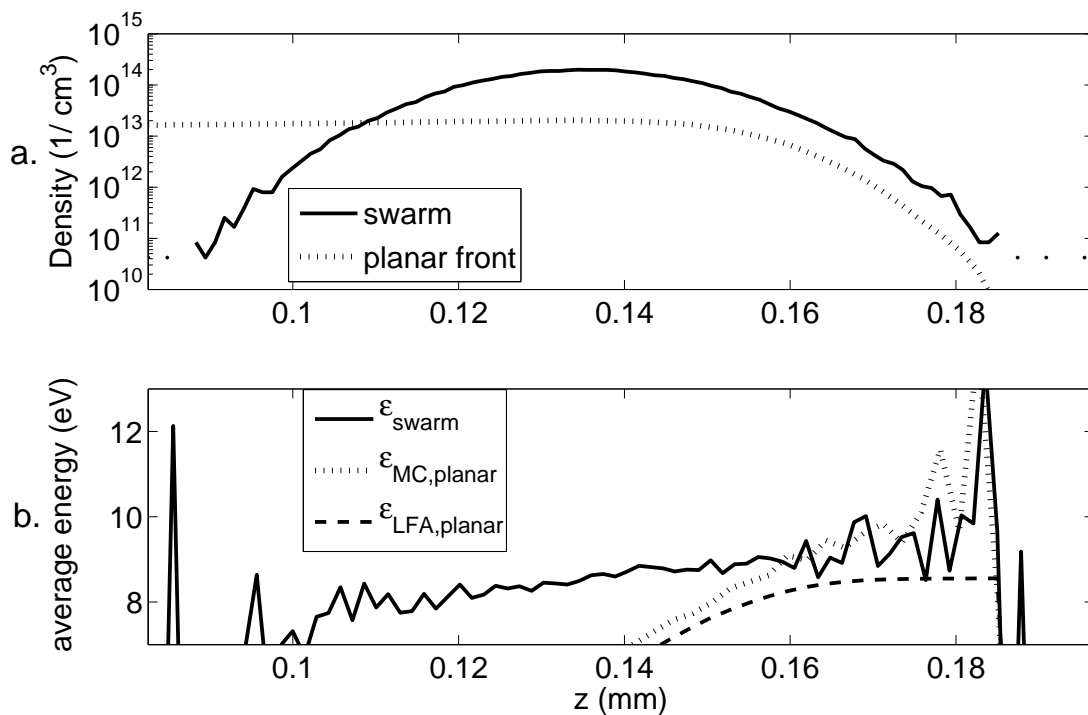
Figure 11: Comparison of an electron swarm and a planar ionization front, both in the particle model and in a field of -100 kV/cm. (a) Electron density on a logarithmic scale for swarm (solid) and front (dotted) as a function of z. (b) Mean electron energy in swarm (solid) and front (dotted) and in the front assuming the local field approximation (dashed) as a function of z.

der normal condition, they are easily extended to other densities by introducing the reduced electric field E/N —, particle and fluid model essentially agree in front speed, profile and ionization level behind the front. When the field increases, the velocity does not vary much between both models, but the ionization level behind the front is substantially larger, as is demonstrated for a field of 100 kV/cm in figure 7. In figure 10 the differences between particle and fluid model are summarized for the full field region investigated.

Can the discrepancy between the models be attributed to a particular physical mechanism and to a particular part of the front region? In figure 8 we find the largest discrepancy between fluid and particle model in the leading edge of the front where the electron density is very low and where the electric field is hardly screened. Here the electrons have an average energy considerably higher than estimated by the local electric field. This effect can only be explained by an effect of the electron density gradient, not of the electric field gradient. Indeed, an electron swarm or avalanche in a constant electric field has the same velocity and the same density gradients in its leading edge and shows the same electron energy overshoot in its front part, as is illustrated in figure 11. In essence, in high fields the gradient length of front and avalanche ℓ^* becomes of the order of the electron energy relaxation length, therefore the fast and energetic electrons can get ahead of the slower ones.

These higher electron energies in the leading edge of the front lead to higher ionization rates than the ionization rate $\alpha(E)$ in local field approximation — indeed, $\alpha(E)$ is the total effective ionization rate of a complete electron swarm (as derived in section II C) while the electrons in the leading edge of the swarm have higher ionization rates.

Now the ionization level behind the front can be approximated by integrating the effective ionization rate $\alpha(E)$ over the electric field strength E, independently of the precise spatial structure of the front. This result is derived in the appendix. This implies that higher electron energies and higher effective ionization rates in the leading edge of the front directly lead to higher ionization levels behind the front, even though only very few electrons are involved in this dynamics in the low density region.

The effect of few electrons of high energy is much more severe for the ionization rates α than for the average drift velocity μE, therefore the most pronounced effect is seen in the ionization levels behind the front, and less in the front velocities.

We finally remark that next to explicit predictions, our work has delivered two useful insights: (i) the physical discrepancies between particle and fluid model lie in the leading edge of the front, though the effect is not so much seen in the velocity, but much more in the ionization level behind the front. This gives a clue for a numerical

strategy combining efficient features of fluid and particle model. (*ii*) The essential features in the leading edge of the front are equally present in the leading edge of an electron swarm or avalanche in a constant field, where it can be studied much easier.

Acknowledgments

Appendix A: APPROXIMATING THE IONIZATION LEVEL BEHIND THE FRONT

In previous papers [18, 61], we have established that for a planar, uniformly propagating front with field independent electron mobility $\mu(E) = $ const. and for vanishing electron diffusion $D(E) = 0$, there is a unique relation between the field ahead of the front E^+, the ionization rate function $\alpha(E)$ and the degree of ionization $n_e^- = n_p^-$ behind the front, namely

$$n_e^- = n_p^- = \frac{\epsilon_0}{\mathrm{e}} \int_0^{|E^+|} \alpha(e)\, de \qquad (A1)$$

in dimensional units.

Such a simple identity does not hold for the full fluid model (2), (13)–(16) with electron diffusion, but we can establish the expression (A1) as an upper bound for the free electron density n_e^- behind the front; this upper bound is actually a very good approximation to the real value as table III shows. The upper bound is constructed as follows:

In the ionization source term $\mathcal{S}$ (15), we follow the usual procedure to take only the drift term of the electron current into account. We note at this place that this assumption requires some reconsideration, and one could argue that the complete current should be taken into account and that a decomposition into a drift and a diffusion part is artificial. This argument will have to be elaborated at some other place. We here just change the source term into

$$\mathcal{S}_j = |\mathbf{j}_e|\, \alpha(E), \qquad (A2)$$

while keeping the equations unchanged otherwise. This change of the source term means that more ionization is created at each part of the front, and therefore the ionization level behind the front will be higher. This is because in a negative front, drift current and diffusion current point into the same direction, and therefore

$$|\mathbf{j}_e| = \left| -\underline{\mathbf{D}}(E)\cdot\nabla n_e - \mu(E)\,\mathbf{E}\,n_e \right| \gtrapprox \mu(E)\,|\mathbf{E}|\,n_e. \quad (A3)$$

The dominant part of the current is the drift or Ohmic part, therefore the results with the changed source term (A2) should be close to the original problem.

According to Maxwell's equations, the divergence of the total current vanishes

$$\nabla \cdot (\epsilon_0\,\partial_t\mathbf{E} + \mathbf{j}) = 0, \qquad (A4)$$

where $\mathbf{j}$ is the electric current; in our case it is $\mathbf{j} = -\mathrm{e}\,\mathbf{j}_e$ with $\mathbf{j}_e$ from (14). If the front is planar, and if the electric field E^+ in the non-ionized region does not change in time, then it follows immediately that

$$\epsilon_0\,\partial_t E = \mathrm{e}\,j_e \qquad (A5)$$

Now the growth of the ion density (13) is bounded by the source term (A2) and the identity (A4) is inserted:

$$\begin{aligned} \partial_t n_p &= \mathcal{S} = \mu(E)\,|\mathbf{E}|\,n_e\,\alpha(E) \\ &\lessapprox \mathcal{S}_j = j_e\,\alpha(E) = \frac{\epsilon_0}{\mathrm{e}}\,\partial_t E\,\alpha(E). \end{aligned} \qquad (A6)$$

The first and last expression in this inequality can be integrated in time with the result

$$n_p(\mathbf{x},t) - n_p(\mathbf{x},0) \lessapprox \frac{\epsilon_0}{\mathrm{e}} \int_{E(\mathbf{x},0)}^{E(\mathbf{x},t)} \alpha(e)\, de. \qquad (A7)$$

Now the time interval $[0,t]$ is taken in such a way that it contains the time range in which the ionization front passes over the point $\mathbf{x}$ of observation. $n_p(\mathbf{x},0)$ is then the ion density before and $n_p(\mathbf{x},t)$ the ion density behind the front. As a result, we find that the expression (A1) indeed is an upper bound and good approximation for the ionization level behind the front.

We finally note if there is electron attachment and positive and negative ions $n_{p,n}$ are formed, the statement stays true for the total ion charge density $n = n_p - n_n$ if the total source and sink term for the ion density n can be written in the form (A2).

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