

Centrum voor Wiskunde en Informatica Centre for Mathematics and Computer Science

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Report AM-R8918

October

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ABSTRACT.

In this report we will discuss special congruences. We explain how the congruences arise from formal groups and then we give some examples.

Key Words & phrases: (Super-)Congruences, Formal Groups, Conjecture of Atkin and Swinnerton-Dyer. 1985 Mathematics Subject Classification: 14L05, 11B99

1. Introduction.

This paper deals with so called "supercongruences". Before we will explain this term, we give some definitions which we need in the explanation. Let K be an algebraic extension of \mathbb{Q} . Let p be a prime which splits in K as $p = \pi \bar{\pi}$. Let $|\cdot|_p$ be the valuation on \mathbb{Q} in such a way that $|\bar{\pi}|_p = 1$ and $|\pi|_p = p^{-1}$. We will consider π as an element of \mathbb{Z}_p . Let $\{u_n\}_{n=1}^{\infty}$ be a sequence of rational or p-adic integers. In this paper we will consider the congruences

$$u(mp^r) \equiv a \cdot u(mp^{r-1}) \bmod p^{\lambda r},$$
 (1A)

and

$$u(mp^r) \equiv \alpha \cdot u(mp^{r-1}) \mod \pi^{\lambda r},$$
 (1B)

where λ , m,r are positive integers and a is an integer and π is an p-adic integer. Therefore (1A) is a congruence in \mathbb{Z} and (1B) is a congruence in \mathbb{Z}_p . In section 2 we will give an introduction in formal groups. We will show that congruences (1A) and (1B) with $\lambda = 1$ arise in a natural way from formal groups. Especially, we will give a sketch of the Conjecture of Atkin and Swinnerton-Dyer. In section 3, 4 and 5 we give some examples of congruences (1A) and (1B) with coefficient $\lambda > 1$. In such cases we call the congruence supercongruence. At the moment supercongruences cannot be proved by use of formal groups. In each case a separated proof has to be given. A lot of proofs will be omitted in this paper. For these proofs we refer to [12]. In section 6 some conjectures are given.

2. THE CONJECTURE OF ATKIN AND SWINNERTON-DYER.

Let K be a commutative field with $\operatorname{char}(K) = 0$ and let R be a subring. (In our case we will choose $R = \mathbb{Z}_p$). We denote by R[[T]] the set of power series in the variable T with coefficients in R.

Let $F(X,Y) \in R[[X,Y]]$. We call F(X,Y) a commutative formal group law if F(X,Y) satisfies the following properties.

$$F(X,Y) = X + Y + (\text{terms of degree } \ge 2),$$

$$F(X,F(Y,Z)) = F(F(X,Y),Z),$$

$$F(X,Y) = F(Y,X).$$
(2)

We derive from (2) that F(X,Y) satisfies moreover the following properties.

$$F(X,0) = X$$
,
there is a unique $i(T) \in R[[T]]$ such that $F(T,i(T)) = 0$.

Let $\Re_T = \{X(T) \in R[[T]] : X(0) = 0\}$. We define a formal addition $+_{\mathfrak{F}}$ on \Re_T by

$$X(T) +_{\mathfrak{F}} Y(T) = F(X(T), Y(T)).$$

It turns out that \Re_T with $+_{\Im}$ is a group. This group is called a formal commutative group in one variable over R. From now on let \Im be a formal group over R (i.e. $\Im = (\Re_T, +_{\Im})$).

We define the logarithm f(T) of the formal group \mathfrak{F} by

$$f(T) \in K[[T]],$$

$$f(T) = T + (\text{terms of degree } \ge 2),$$

$$f(F(X,Y)) = f(X) + f(Y).$$
(3)

The last condition can be replaced by

$$F(X,Y) = f^{-1}(f(X) + f(Y))$$
,

where $f^{-1}(T) \in K[[T]]$ is the power series such that $f^{-1}(f(T)) = T$. We find that f(T) satisfies the property

$$f(T) = \sum_{n=1}^{\infty} \frac{u(n)}{n} T^n \text{ with } u(n) \in R.$$
 (4)

We call

$$\omega = f'(T) dT \tag{5}$$

the differential form related to the formal group F. We consider the formal Dirichlet series

$$L(s, \mathcal{F}) = \sum_{n=1}^{\infty} \frac{u(n)}{n^s}$$
 (6)

where $\mathfrak{F}(T) = \sum_{n=1}^{\infty} u_n T^n / n$ is the logarithm of the formal group \mathfrak{F} .

Two formal groups \mathcal{F} (with f and $L(s,\mathcal{F})$) and \mathfrak{G} (with g and $L(s,\mathfrak{G})$) are isomorphic over R if there is a formal group homomorphism $h: \mathcal{F} \to \mathfrak{G}$ with $h(T) \in R[[T]]$ and h(F(X,Y)) = G(h(X),h(Y)). In our case (that

char(K) = 0) we have
$$h(T) = g^{-1}(f(T))$$
 and $L(s, \mathcal{F})/L(s, \mathcal{G}) = \sum_{n=1}^{\infty} \frac{v(n)}{n^s}$, with $|v(n)|_p \le |n|_p$.

We have a theorem due to Honda which says that for each formal group \mathfrak{F} there exists a formal group \mathfrak{G} such that the Dirichlet series related to \mathfrak{G} has p-adic numbers as coefficients. In formula:

Theorem 1. (Honda). Let \mathcal{F} be a formal group over \mathbb{Z}_p . Then there exists a formal group \mathfrak{G} , isomorphic to \mathcal{F} over \mathbb{Z}_p such that

$$L(s,\mathfrak{Z}) = \frac{1}{1 - \sum_{j=1}^{\infty} p^{j-1-js} b(j)}$$
 (7)

where b(j) are p-adic integers.

Proof. See [20,pp. 441-445] or [12, pp. 18-23].

Corollary 2. Let \mathcal{F} be a formal group over \mathbb{Z}_p . Let $f(T) = \sum_{n=1}^{\infty} u(n) \cdot T^n/n$ be the related formal group. Then there exists p-adic numbers b(j) such that

$$u(mp^r) - b(1) \cdot u(mp^{r-1}) - \dots - p^{r-1} \cdot b(r) \cdot u(m) \equiv 0 \mod p^r,$$
 (8)

for m,r positive integers and p is not a divisor of m. **Proof.** See [20, pp. 441-445].

We will apply Theorem 1 and Corollary 2 on formal groups related to elliptic curves. Let \mathcal{E} be an elliptic curve over \mathbb{Z} and let ω be a holomorphic differential form on \mathcal{E} . Let \mathcal{F}_{ω} be the formal group related to ω . Suppose that \mathcal{F}_{ω} is a formal group over \mathbb{Z} . Let

$$L_p(s) = (1 - a_p \cdot p^{-s} + p \cdot b_p \cdot p^{-2s})^{-1}$$

be the Dirichlet series related to the Hasse-Weil zeta-function of the elliptic curve. Then a theorem of Honda Cartier and Hill says that the formal series related to the Dirichlet series is isomorphic to the formal group \mathfrak{F}_{ω} .

Corollary 3. (Conjecture of Atkin and Swinnerton-Dyer). Let \mathfrak{F}_{ω} be the formal group as defined above.

(i) We have

$$u(mp^r) - a_p \cdot u(mp^{r-1}) + p \cdot b_p \cdot u(mp^{r-2}) \equiv 0 \mod p^r.$$
 (9)

(ii) If \mathcal{E} is ordinary over \mathbb{Z}_p (i.e. $b_p = 1$ and $a_p \neq 0$) then we have

$$u(mp^r) \equiv \bar{\pi} \cdot u(mp^{r-1}) \bmod p^r, \tag{10}$$

where $\bar{\pi}$ such that $|\bar{\pi}|_p = 1$ and $\bar{\pi}$ is a root of $X^2 - a_p X + 1 = 0$.

Proof. (i) Corollary 2 says that (9) must be a congruence of form (8). Then we use the theorem of Honda Cartier and Hill, which was mentioned above. This theorem says that the coefficients b(1) and b(2) coincide with the integers a_p and b_p of the Dirichlet series and that the other coefficients b(n) equal zero.

(ii) Notice that

$$L_p(s) = (1 - \pi \cdot p^{-s})^{-1} \cdot (1 - \bar{\pi} \cdot p^{-s})^{-1}.$$

It is not difficult to see that the formal group related to $L_p(s)$ is isomorphic to the formal group which is related to the Dirichlet series $\left(1 - \bar{\pi} \cdot p^{-s}\right)^{-1}$. (See [20]).

3. GENERALIZED APERY NUMBERS.

The numbers $b(n) = \sum_{k=1}^{n} {n \choose k}^2 \cdot {n+k \choose k}$ and $d(n) = \sum_{k=1}^{n} {n \choose k}^2 \cdot {n+k \choose k}^2$ were introduced by

Roger Apéry and played a role in the proof of the irrationality of $\zeta(2)$ and $\zeta(3)$ respectively. Many papers deal with congruences on these numbers. We mention Chowla, cowles and Cowles [9] and Gessel [16]. For these numbers Mimura [21] proved some congruences of the form $u_{p-1} \equiv 1 \mod p^3$, where p is a prime, $p \ge 5$. F. Beukers [4] generalized these congruences to

$$u(mp^r-1) \equiv u(mp^{r-1}-1) \bmod p^{3r},$$

where m and r are any positive integers. Now we consider the so called *generalized* Apéry numbers, which are defined by

$$w_{AB\varepsilon}(n) = \sum_{k=1}^{n} {n \choose k}^{A} \cdot {n+k \choose k}^{B} \cdot \varepsilon^{k}$$
 (11)

where $A,B \in \mathbb{Z}_{\geq 0}$ and $\varepsilon = \pm 1$.

We have for the generalized Apéry numbers the following theorem.

Theorem 4. Let w(n) be as defined above. Let $p \ge 5$ be a prime. Then for any $m,r \in \mathbb{Z}_{\ge 1}$ we have

$$w(mp^r) \equiv w(mp^{r-1}) \mod p^{3r} for \begin{cases} A \ge 2\\ A = 1 \text{ and } B \ge 1, \varepsilon = -1 \end{cases}$$

and

$$w(mp^r - 1) \equiv w(mp^{r-1} - 1) \mod p^{3r} for \begin{cases} B \ge 2 \\ B = 1 \text{ and } A \ge 1, \varepsilon = (-1)^A. \end{cases}$$

Proof. The proof is very technical. See [12, pp. 49-55].

4. BINOMIAL COEFFICIENTS.

Since the work of Fermat it is known that every prime $p \equiv 1 \mod 4$ can be written as $p = a^2 + b^2$ for integers a and b in an essentially unique way. Without loss of generality we may assume that $a \equiv 1 \mod 4$. Gauss proved by counting the number of solutions of the elliptic curve \mathfrak{C} : $Y^2 = X^4 + 1 \mod p$ in two, essentially different ways, that

$$\begin{pmatrix} \frac{p-1}{2} \\ \frac{p-1}{4} \end{pmatrix} \equiv 2a \bmod p \tag{12}$$

By applying Corollary 3 on the elliptic curve &, congruence (12) can be generalized to

$$\begin{pmatrix} \frac{mp^{r-1}}{2} \\ \frac{mp^{r-1}}{4} \end{pmatrix} \equiv (a+bi) \cdot \begin{pmatrix} \frac{mp^{r-1}-1}{2} \\ \frac{mp^{r-1}-1}{4} \end{pmatrix} \mod p^r \tag{13}$$

where m,r are positive integers and $m \equiv 1 \mod 4$. Here i denotes a p-adic integer such that $i^2 = -1$ and $bi \equiv -a \mod p$. Beukers conjectured in [4] the congruence

This was proved by Chowla, Dwork and Evans [10]. Van Hamme [18] generalized (14) to

$$\begin{pmatrix} -\frac{1}{2} \\ \frac{p^r - 1}{4} \end{pmatrix} \equiv (a + bi) \cdot \begin{pmatrix} -\frac{1}{2} \\ \frac{p^{r-1} - 1}{4} \end{pmatrix} \mod p^r$$
 (15)

for any positive integer r. This congruence can be generalized to the supercongruence

$$\begin{pmatrix} \frac{mp^{r}-1}{2} \\ \frac{mp^{r}-1}{4} \end{pmatrix} \equiv (a+bi) \cdot \begin{pmatrix} \frac{mp^{r-1}-1}{2} \\ \frac{mp^{r-1}-1}{4} \end{pmatrix} \mod p^{2r} \tag{16}$$

We get another example by considering primes $p \equiv 1 \mod 3$. Then $4p = e^2 + 3f^2$ for certain values e and f. Without loss of generality we may assume that $e \equiv -1 \mod 3$. Choose the p-adic number $\bar{\pi} = (e + 3f\sqrt{3})/2$ such that $|\bar{\pi}|_p = 1$. Starting from the elliptic curve \mathfrak{E} : $Y^2 = 1 - 4X^3$, Corollary 3 implies the congruence

for any positive integers m,r with $m \equiv 1 \mod 3$. However congruence (17) can be improved to the supercongruence

$$\begin{pmatrix} \frac{2}{3}(mp^r - 1) \\ \frac{1}{3}(mp^r - 1) \end{pmatrix} \equiv \bar{\pi} \cdot \begin{pmatrix} \frac{2}{3}(mp^{r-1} - 1) \\ \frac{1}{3}(mp^{r-1} - 1) \end{pmatrix} \mod p^{2r} \tag{18}$$

In the general case we define for α,β positive integers with $\alpha+\beta \leq d$ the binomial coefficient

$$v(n) = \begin{cases} \left(\frac{(\alpha + \beta)(n-1)}{d} \\ \frac{\alpha(n-1)}{d} \right) & \text{if } n \equiv 1 \mod d \\ 0 & \text{else.} \end{cases}$$
 (19)

We have for these coefficients the congruence

$$v(mp^r) \equiv \bar{\pi} \cdot v(mp^{r-1}) \bmod p^r \tag{20}$$

where
$$\bar{\pi} = \frac{\Gamma_p(\frac{\alpha}{d})\Gamma_p(\frac{\beta}{d})}{\Gamma_p(\frac{\alpha+\beta}{d})}$$
.

This result can be found using formal group theory (namely $f(T) = \sum_{n=1}^{\infty} \frac{v(n)}{n} \cdot T^n$ is a formal logarithm over \mathbb{Z}_p for $p \equiv 1 \mod d$) or the p-adic Γ -function (cf. [22, pp. 111-114]). In the case that d = 2, 3, 4 or 6 we can improve congruence (20). The following theorem deals with the improvement.

Theorem 5. Let d be 2, 3, 4 or 6. Let p be a prime with $p \equiv 1 \mod d$. Let m and r be positive integers with $m \equiv 1 \mod d$. Let $\alpha, \beta \in \mathbb{Z}_{\geq 1}$ with $\alpha + \beta \leq d$. Then the binominal coefficient v(n) satisfies the supercongruence

$$v(mp^r) \equiv g(p)^{mp^{r-1}} \cdot \bar{\pi} \cdot v(mp^{r-1}) \bmod p^{2r}$$
(21)

where
$$g(p) \in \mathbb{Z}_p$$
 with $g(p) \equiv 1 \mod p$ and $\bar{\pi} = \frac{\Gamma_p(\frac{\alpha}{d})\Gamma_p(\frac{\beta}{d})}{\Gamma_p(\frac{\alpha+\beta}{d})}$.

Proof. We prove congruence (21) using the p-adic Γ -function. The proof is based on a formula of Gross and Koblitz [17] which expresses the p-adic Γ -function in terms of Gauss sums and on a formula of Diamond [14] which expresses the logarithmic derivative in terms of the p-adic logarithm. See [11].

6. VALUES OF THE LEGENDRE POLYNOMIALS.

This section contains joined work with L. van Hamme. Nice supercongruences exist for the values of some Legendre polynomials. These polynomials can be defined by

$$P_n(t) = \sum_{k=0}^{n} \binom{n}{k} \cdot \binom{n+k}{k} \cdot \left(\frac{t-1}{2}\right)^k \tag{22}$$

and they satisfy

$$F(X) = \frac{1}{\sqrt{1 - 2tX + X^2}} = \sum_{n=0}^{\infty} P_n(t)X^n$$
 (23)

Let K be an algebraic extension of \mathbb{Q} . Let p be a prime which splits in K as $p = \pi \bar{\pi}$. Let $t \in K$ with $|t|_p \le 1$ and consider the differential form

$$\frac{dX}{\sqrt{1 - 2tX^2 + X^4}} = \sum_{n=0}^{\infty} P_n(t) X^n dX$$
 (24)

on the elliptic curve $\mathcal{E}: y^2 = x(x^2 + Ax + B)$. The theory of formal groups predicts a congruence of the form as described in Corollary 3

$$P_{\frac{1}{2}(mp^{r-1}-1)}(t) \equiv \bar{\pi} \cdot P_{\frac{1}{2}(mp^{r-1}-1)}(t) \mod p^r$$
 (25)

for any positive integer r and positive odd integer m.

It turns out that if \mathfrak{E} has complex multiplication, congruence (25) can be changed into a congruence mod π^{2r} . We have the following theorem.

Theorem 6. Let $K = \mathbb{Q}(\sqrt{-d})$ with d a square-free positive integer. Consider the elliptic curve

$$\& : y^2 = x(x^2 + Ax + B) \text{ with } A, B \in K.$$
 (26)

Let ω and ω' be periods of $\mathfrak E$ and suppose that $\tau=\omega'/\omega$ (which implies the complex multiplication), τ has positive imaginary part and $A=3\,\wp(\omega/2)$, where $\wp(z)$ is the Weierstrass \wp -function. Let p be an odd prime which does not divide d and let $\pi, \bar{\pi} \in \mathbb Q(\sqrt{-d})$ such that $p=\pi\bar{\pi}$. Suppose that $\omega=u+v\tau$ and $\omega\tau=x+y\tau$ with u,v,x,y integers and v even. Then we have

$$P_{\frac{1}{2}(mp^{r-1}-1)}\left(\frac{A}{\sqrt{\Delta}}\right) \equiv \varepsilon^{mp^{r-1}} \cdot \bar{\pi} \cdot P_{\frac{1}{2}(mp^{r-1}-1)}\left(\frac{A}{\sqrt{\Delta}}\right) \bmod p^r \qquad (27)$$

where $\Delta = A^2 - 4B$ and $\varepsilon = i^{y(1-x)+p-2}$

We first give an example in which Theorem 6 can be applied.

Let $\mathfrak{E}: y^2 = x(x^2 + 3x + 2)$. We can choose periods ω and ω' in such a way that $\omega(\omega/2) = 1$ and $\omega'/\omega = \tau = i$. Let $p \equiv 1 \mod 4$ be a prime. Let i be a p-adic number such that $i^2 = -1$. Fix the sign of bi such that $a \equiv bi \mod p$. Let $\pi = a - bi$. Then we have $\pi \tau = \pi i = b + ai$. Hence $\varepsilon = i \frac{y(1-x)+p-2}{2} = i^{-b} = (-1)^{(p-1)/4}$. We denote

 $a(n) = \sum_{k=1}^{n} \binom{n}{k} \cdot \binom{n+k}{k}$. The numbers a(n) have been used for proving that log 2 is irrational with measure of irrationality 4.622 [1]. Carlitz proved that the numbers a(n) satisfy for $p \equiv 1 \mod 4$ the congruence

$$a\left(\frac{p-1}{2}\right) \equiv (-1)^{\frac{1}{4}(p-1)} \cdot 2a \mod p.$$
 (28)

Since $a(n) = P_n(3)$, we have for those primes the supercongruence

$$a\left(\frac{mp^{r}-1}{2}\right) \equiv (-1)^{\frac{1}{4}(p-1)} \cdot \bar{\pi} \cdot a\left(\frac{mp^{r-1}-1}{2}\right) \bmod p^{2r}.$$
 (29)

Another proof of this supercongruence in the case m = r = 1 has been given by van Hamme in [18].

Sketch of the proof of Theorem 6. Let $L = \mathbb{Q}(\sqrt{-d}, i)$ and $R = \{\alpha \in L : \operatorname{ord}_{\pi}(\alpha) \geq 0\}$. In this proof we will denote

$$c(n) = \sqrt{\Delta}^n \cdot P_n \left(\frac{A}{\sqrt{\Delta}} \right). \tag{30}$$

We consider the holomorphic differential form $\omega = -\frac{dx}{2y}$. Let $t = \frac{x}{y}$ be a local parameter at infinity. We express ω in terms of t and we get

$$\omega = \frac{dt}{\sqrt{1 - 2At^2 + \Delta t^4}} = \sum_{n=0}^{\infty} c(n) \cdot t^{2n} dt.$$
 (31)

Then we define the local parameter z at infinity by

$$dz = \omega. (32)$$

Hence z can be expressed as a function of t by

$$z = \sum_{k=0}^{\infty} \frac{c(k)}{2k+1} t^{2k+1}$$
 (33)

and t can be expressed as a function of z by

$$t = z + \dots = -2 \cdot \frac{\wp(z) - \wp(\frac{\omega}{2})}{\wp'(z)}.$$
 (34)

Notice that t(z) is an elliptic function. Since \mathfrak{C} has complex multiplication we have $\pi \in \operatorname{End}(\mathfrak{C})$. More specified we have

$$t(\pi z) = F(t(z))$$

$$= \eta t^{p}(z) \cdot \frac{1 + \pi a_{2} t^{-2}(z) + \pi a_{4} t^{-4}(z) + \dots + \pi a_{p-1} t^{1-p}(z)}{1 - \pi d_{2} t^{-2}(z) - \pi d_{4} t^{-4}(z) + \dots - \pi d_{p-1} t^{1-p}(z)}$$
(35)

where η , a_i , $d_j \in R$, This formula is due to Weber (cf. [23]). Formula (33) imply the formulas

$$\pi z = \sum_{l=0}^{\infty} \frac{c(l)}{2l+1} t^{2l+1}(\pi z)$$
 (36)

and

$$\pi z = \sum_{k=0}^{\infty} \pi \cdot \frac{c(k)}{2k+1} t^{2k+1}.$$
 (37)

Substitute (35) for $t(\pi z)$ in (36). Consider in equations (36) and (37) the coefficient of $t^{mp^r} \mod \frac{\pi p^{2r}}{mp^r}$. We get the coefficients

$$\frac{1}{mp^{r-1}} \cdot c\left(\frac{1}{2}(mp^{r-1} - 1)\right) \cdot \eta^{mp^{r-1}} \tag{38}$$

and

$$\frac{\pi}{mp^r} \cdot c\left(\frac{1}{2}(mp^r - 1)\right) \tag{39}$$

respectively from formulas (36) and (37) respectively. This implies the congruence of the theorem. We can calculate that $\eta = i^{y(1-x)+p-2} \cdot \left(\sqrt{\Delta}\right)^{\frac{1}{2}(p-1)}$. See a more detailed proof in [13].

There are only 8 values t with these nice supercongruences over \mathbb{Z} (cf. [12, pp. 87-89]).

6. Conclusion.

In section 5 we introduced the numbers a(n), which are generalised Apéry numbers. They satisfy supercongruence (29). The numbers a(n) are related to an elliptic curve. The Apéry numbers b(n) and d(n) as defined in section 4 are related to K3 surfaces (cf. [7]). They satisfy other congruences which are comparable to congruence (29), namely

$$b\left(\frac{mp^r - 1}{2}\right) \equiv (a + bi)^2 \cdot b\left(\frac{mp^{r-1} - 1}{2}\right) \bmod p^r \tag{40}$$

and

$$d\left(\frac{mp^r - 1}{2}\right) \equiv \bar{\pi} \cdot d\left(\frac{mp^{r-1} - 1}{2}\right) \bmod p^r \tag{41}$$

where a+bi is as defined in section 4 and $\bar{\pi}$ is a root of some polynomial of degree 3 (see [6] or [24]). Beukers and Stienstra conjectured in [6] and [7] the supercongruences

$$b\left(\frac{mp^{r}-1}{2}\right) \equiv (a+bi)^{2} \cdot b\left(\frac{mp^{r-1}-1}{2}\right) \bmod p^{2r}$$
 (42)

and

$$d\left(\frac{mp^r - 1}{2}\right) \equiv \bar{\pi} \cdot d\left(\frac{mp^{r-1} - 1}{2}\right) \bmod p^{2r}.$$
 (43)

Van Hamme [19] proved (42) in the case that m = r = 1. Recently Young [24] proved (43) in the case that m = r = 1. The rest of the conjectures is at the moment unproved. Perhaps, the proof of Theorem 4 gives a good possibility to prove the rest of the conjectures.

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