## stichting mathematisch centrum



AFDELING ZUIVERE WISKUNDE

ZW 3/71

MARCH

M. HUŠEK TOPOLOGICAL SPACES WITH COMPLETE UNIFORMITIES



### 2e boerhaavestraat 49 amsterdam

BIBLIOTHEEK MATHEMATISCH CENTRUM

Printed at the Mathematical Centre, 49, 2e Boerhaavestraat, Amsterdam.

The Mathematical Centre, founded the 11-th of February 1946, is a non-profit institution aiming at the promotion of pure mathematics and its applications. It is sponsored by the Netherlands Government through the Netherlands Organization for the Advancement of Pure Research (Z.W.O), by the Municipality of Amsterdam, by the University of Amsterdam, by the Free University at Amsterdam, and by industries.

# Topological spaces with complete uniformities Miroslav Hušek

It was proved by Shirota in [23] that a uniformizable Hausdorff space having no closed discrete subspace of measurable cardinality admits a complete uniformity if and only if it can be embedded as a closed subspace in a product of real lines (i.e. if it is realcompact - see [4], [11], [26]). The aim of this paper is to prove analogous assertion also for spaces having closed discrete subspaces of measurable cardinalities (if they exist) and to state new modifications of the Shirota's theorem. Several comments concerning Herrlich's  $\alpha$ -compact spaces and van der Slot's  $\alpha$ -ultracompact spaces with complete uniformities are stated at the end of this paper. The main results are Theorems 2, 3 and 4.

### §1. Introduction.

All the topological spaces under consideration are supposed to be uniformizable Hausdorff. Mainly the terminology of [1] and of [4] is used throughout this paper. The symbol (CH) will mean that we assume the continuum hypothesis.

Cardinals will be denoted by letters  $\alpha$ ,  $\beta$  and identified with initial ordinal numbers; arbitrary are denoted by  $\xi$ ,  $\eta$ . If  $\alpha$  is a cardinal, then  $\alpha^+$  is the immediate cardinal successor of  $\alpha$ . Relatively measurable cardinals are infinite cardinals  $\alpha$  admitting nontrivial two-valued measures which are  $\beta$ -additive for all  $\beta < \alpha$  (see [3], [18]). If we arrange the class M' of all relatively measurable cardinals into a transfinite sequence  $\{\alpha_{\xi}\}$ , then  $\alpha_0 = \omega_0$  and  $\alpha_{\xi}$ ,  $\xi > 0$ , is the first cardinal admitting a nontrivial two-valued measure which is  $\alpha_{\eta}$ -additive for all  $\eta < \xi$ . It should be noticed that an existence of a nontrivial two-valued  $\beta$ -additive measure on a set D is equivalent to an existence of a free ultrafilter on D with  $\beta^+$ -intersection property (i.e., any subcollection of cardinality less than  $\beta^+$  has a non-empty

intersection). It is not known whether M' can contain more than one element in a model of set-theory. In the sequel, the transfinite sequence  $\{\alpha_\xi\}$  will be used only as a substitute of M' or of M, where M = M' if M' is a proper class and M = M'  $\cup$   $(\alpha_\eta)$  if M' is a set  $\{\alpha_\xi\,|\,\xi<\eta\}$ , where  $\alpha_\eta$  is a symbol greater than any ordinal number. The reason for this convention is to ensure that any cardinal is followed by a member of M. By a nonmeasurable cardinal we shall mean any cardinal smaller than  $\alpha_1$ .

Another important concept used frequently throughout this paper is that of E-compact space introduced in [2]. The E-compact spaces are homeomorphs of closed subspaces of powers  $E^{\alpha}$ ; thus I-compact spaces or R-compact spaces are just compact or realcompact spaces, respectively (here I = [0,1] and R is the space of real numbers). The class of all E-compact spaces will be denoted by K(E). Every space P has an E-compactification  $\beta_{F}P$ , i.e., a reflection in K(E) (see [2]); van der Slot and Herrlich in [10], [24] have proved that if any compact set is E-compact, then the reflection  $\beta_{\scriptscriptstyle \rm F} P$  can be naturally embedded into the Čech-Stone compactification  $\beta P$  of P - all these results were generalized by Herrlich in [7] on the case when E is a class of spaces. A class of spaces is equal to a K(E) for a class E if and only if the class is productive and closed-hereditary; if a class of spaces is equal to a K(E) for a single space E, then the class is called simple (see [7]). Further results of this sort and their generalization can be found in [8], [9] and [25].

Many generalizations of compact spaces can be obtained by generalization of definitions of compactness. If we want for the obtained spaces to form a class closed under products and closed subspaces, then mainly two such generalizations were studied. The first one is the class of all  $\alpha$ -compact spaces in the sense of Herrlich [6], [7] and the second one the class of all  $\alpha$ -ultracompact spaces of van der Slot [25]: a space P is said to be  $\alpha$ -compact or  $\alpha$ -ultracompact, where  $\alpha$  is an infinite cardinal, if each ultrafilter in P converges

whenever any of its subcollections of cardinalities less than  $\alpha$  and composed of zero-sets or closed sets, respectively, has a nonvoid intersection. We can obtain another generalization of compactness by requiring that maximal filters of zero-sets are fixed provided any of its monotone subcollections of ordinality a has a nonvoid intersection (i.e.,  $R_{\alpha}$ -spaces, where  $R_{\alpha}$  is the long line till  $\alpha$  - see [4]). This last generalization has the disadvantage that the union of all  $R_{\chi}$ -compact spaces is not the class of all spaces as is the case for  $\alpha$ -compact and  $\alpha$ -ultracompact spaces. We denote by  $K_{\alpha}$  or  $U_{\alpha}$  the class of all  $\alpha$ -compact spaces or  $\alpha$ -ultracompact spaces, respectively. It is almost obvious that  $K_{\alpha} \subset U_{\alpha}$ , that  $K_{\omega_0} = U_{\omega_0}$  are all compact spaces and  $K_{\omega_1}$  are all realcompact spaces ( $K_{\omega_1}$  is a proper subclass of  $U_{\omega_1}$  - see below). All the classes  $K_{\alpha}$  are simple (see [12]) and can be characterized by algebraic properties of sets of continuous mappings into generating spaces (see [13]). But for  $\alpha \notin M$ ,  $K_{\alpha}$  are not stable under perfect images (see [20], [21] for  $\alpha$  =  $\omega_1$ , [15] for  $\alpha \notin M$ ). On the other hand each class  $U_{\alpha}$  is stable under perfect images (see [25]) but need not be simple (see [15]).

Thus many properties of compact spaces are inherited in  $K_{\alpha}$  or  $U_{\alpha}$ . But there is an important characterization of compact and realcompact spaces by means of completeness which cannot carried over to  $K_{\alpha}$  or  $U_{\alpha}$  for  $\alpha > \omega_1$ : A space is compact or realcompact if and only if it has a complete uniformity and each of its closed discrete subspaces is finite or of nonmeasurable cardinality, respectively (see [4], [23]). Both classes  $K_{\alpha}$ ,  $U_{\alpha}$ ,  $\alpha > \omega$ , contain a space without complete uniformity (e.g. the space  $T_{\omega}$  of all countable ordinals endowed with the ordertopology) — the same is true for  $K(R_{\alpha})$ . It follows that if we put  $S_{\xi}$  to be the class of all spaces with complete uniformities and containing no closed discrete subspace of cardinality  $\alpha_{\xi}$ , then we get new classes of spaces. We shall see that classes  $S_{\xi}$  are productive and closed-hereditary. We will study relations between  $S_{\xi}$ ,  $K_{\alpha}$  and  $U_{\alpha}$  and prove that any  $S_{\xi}$  is simple. We then deduce the class of all spaces with complete uniformities is simple if and only if the class M is a set.

The further concept used in the sequel is that of a pseudo- $\alpha$ -compact space introduced by Frolik in [5] and Isbell in [16] (we use the term from [16]: a space P is said to be pseudo- $\alpha$ -compact if every uniformizable covering of P has a uniformizable refinement of cardinality less than  $\alpha$  (i.e., the covering character of the fine uniformity of P is at most  $\alpha$ ). Evidently, pseudo- $\omega$ -compact spaces are just pseudo-compact spaces. It is easy to characterize pseudo- $\alpha$ -compact spaces as those having no discrete family of open sets which has cardinality  $\alpha$  (see [5], [22]). Many other characterizations of pseudo- $\alpha$ -compact spaces are contained in [5] and, for  $\alpha$  to be the first uncountable measurable cardinal, in [14].

The symbol  $S(\alpha)$  will denote the metrizable hedgehog with  $\alpha$  prickles, i.e.,  $\alpha$  copies of the closed unit interval I = [0,1] sewed together in the point 0. The points of the  $\beta$ -th copy of I are then  $<\beta$ , x>,  $x \in I$ , or 0 if x = 0. We shall use the following complete metric on  $S(\alpha)$ :

$$d << \beta; x>, < \beta', x'>> = \begin{cases} |x-x'| & \text{if } \beta = \beta' \\ \\ x+x' & \text{if } \beta \neq \beta' \end{cases}.$$

Finally we denote  $S_0 = I = [0,1]$  and for  $\alpha_{\xi} \in M$ ,  $\xi > 0$ ,  $S_{\xi} = \Pi\{S(\alpha_{\eta}) | \eta < \xi\}$ .

### §2. $S_{\xi}$ -compact spaces.

In this section we shall investigate a generalization of the Shirota's theorem mentioned at the beginning of this paper;  $S_\xi$ -compact spaces (i.e., homeomorphs of closed subsets of powers  $S_\xi^\alpha$ ) will be convenient objects for this investigation. We shall show that  $S_\xi$ -compact spaces coincide with members of the class  $S_\xi$  introduced in §1. As in the realcompact case (i.e. when  $\xi$ =1) we must investigate discrete spaces at first. Recall that a discrete space D is of cardinality less than an  $\alpha_\xi$   $\epsilon$  M if and only if any ultrafilter in P with  $\alpha_\eta^+$ -intersection property for any  $\eta$  <  $\xi$  is fixed, i.e., if and only if D is  $\alpha_\eta^+$ -compact (or, which is the same,  $\alpha_\eta^+$ -ultracompact for any  $\eta$  <  $\xi$ .

Theorem 1. A discrete space is  $S_{\xi}$ -compact if and only if it is of cardinality less than  $\alpha_{\xi}$ .

Proof. We will suppose that  $\xi > 0$  because for  $\xi = 0$  the result is well-known (S<sub>0</sub>-compact spaces are just compact spaces). Assume first that D is a discrete space with card D <  $\alpha_{\xi}$ . We wish to prove that  $\beta_{S_{\xi}}$  D = D, i.e., that for any  $X \in \beta$ D-D there is a mapping  $f:D \to S_{\xi}$  which cannot be continuously extended to X. Since X is a free ultrafilter on D, there is a monotone subsystem  $\{X_{\xi} \mid \xi < \alpha_{\eta} \}$  of X for a  $\eta < \xi$  such that  $X_{0} = D$ ,  $\bigcap \{X_{\xi} \mid \xi' < \xi\} = X_{\xi}$  for any limit ordinal  $\xi < \alpha_{\eta}$  and  $\bigcap \{X_{\xi} \mid \xi < \alpha_{\eta} \} = \emptyset$ . But  $fx = \langle \xi, 1 \rangle$  whenever  $x \in X_{\xi} - X_{\xi+1}$ . Certainly, f is a mapping on D onto a closed discrete subspace D' of  $S(\alpha_{\eta})$  with card  $D' \leq \alpha_{\eta}$ . If f had a continuous extension to X (into D' then) with a value  $\langle \xi, 1 \rangle$ , then  $f^{-1}[\langle \xi, 1 \rangle] \in X$ , which is impossible.

We shall now assume conversely, that card  $D \geq \alpha_{\xi}$ . There is a free ultrafilter X on D with  $\alpha_{\eta}^+$ -intersection property for each  $\eta < \xi$ . We shall prove that any mapping  $f \colon D \to S_{\xi}$  can be continuously extended to X (as a point of  $\beta D$ ); hence  $X \in \beta_{\xi}$  D-D and, consequently, D is not  $S_{\xi}$ -compact. Since  $S_{\xi} = \Pi\{S(\alpha_{\eta}) \mid \eta < \xi\}$  we may and shall suppose that  $f \colon D \to S(\alpha_{\eta})$  for a  $\eta < \xi$ . There is a  $\xi$ -th prickle  $I_{\xi}$  such that  $f^{-1}[I_{\xi}] \in X$  (X has  $\alpha_{\eta}^+$ -intersection property); consequently, f[X] converges to a point of  $I_{\xi}$  and, thus, f has a continuous extension to X. The proof is complete.

In fact we have shown more in the proof just accomplished: a discrete space is of cardinality smaller than  $\alpha_{\xi}$  if and only if it can be embedded as a closed subspace into a product of discrete spaces with cardinalities at most  $\alpha_{\eta}$ ,  $\eta < \xi$ .

Now the main theorem of this section:

Theorem 2. Let P be a space and  $\alpha_{\xi} \in M$ . Then the following conditions are equivalent:

- (1) P is S<sub>E</sub>-compact;
- (2) P has a complete uniformity and no closed discrete subspace of cardinality α<sub>p</sub>;
- (3) P has a complete uniformity and no closed discrete C\*-embedded subspace of cardinality α<sub>ε</sub>;
- (4) P has a complete uniformity and no closed discrete C-embedded subspace of cardinality  $\alpha_{\epsilon}$ ;
- (5) P has a complete uniformity and no uniformly discrete subspace of cardinality  $\alpha_{\xi}$  (i.e., P has a complete uniformity and is pseudo- $\alpha_{\xi}$ -compact);
- (6) P has a complete uniformity with covering character at most  $\alpha_{F}$ ;
- (7) P has a complete uniformity with covering character at most  $\sup\{\alpha_n^+|n<\xi\}$ .

Proof. Evidently,  $(2)\Longrightarrow(3)\Longrightarrow(4)\Longrightarrow(5)$ ,  $(7)\Longrightarrow(6)$ . If P is S<sub>\xi</sub>-compact, then any of its closed discrete subspaces is S<sub>\xi</sub>-compact and, thus by Theorem 1, is of cardinality less than  $\alpha_{\xi}$ ; it follows that  $(1)\Longrightarrow(2)$ . If P fulfils (5), then the fine uniformity of P satisfies the condition (6). Since the metrizable uniformity of S(\alpha) has the covering character equal to  $\alpha^{+}$ , every S<sub>\xi</sub>-compact space has a complete uniformity with the covering character at most the least cardinal greater than any  $\alpha_{\eta}$ ,  $\eta < \xi$  - i.e.,  $(1)\Longrightarrow(7)$ . Therefore it remains to prove the implication  $(6)\Longrightarrow(1)$ .

Suppose that P has a complete uniformity U with covering character smaller or equal to  $\alpha_{\xi}$ . We wish to prove that  $\beta_{S_{\xi}}$  P = P. Pick out an  $X \in \beta_{S_{\xi}}$  P  $\subset \beta$ P (X is to be understood as a maximal filter of zero-sets in P) and an arbitrary uniform cover C of  $\langle P, U \rangle$ . We shall show that there is an element of C containing a member of X; hence X is a Cauchy filter in  $\langle P, U \rangle$  and thus it is convergent (i.e.,  $X \in P$ ). By our assumption, C has a refinement C' in U of cardinality less than  $\alpha_{\xi}$ . The covering C' has a  $\delta$ -uniformly (in the fine uniformity of P) discrete refinement C'', i.e., C'' =  $U\{C_n \mid n \in N\}$ , where each  $C_n$  is a uniformly

discrete collection of zero-sets in P - a Stone's theorem (see [16], VII 4). Since card C' <  $\alpha_r$ , we may and shall suppose that card  $C'' < \alpha_{\varepsilon}$ . The filter X has countable intersection property ( $\alpha > 0$  and hence  $\beta_{S_{\epsilon}}P \subset \beta_{S_{\epsilon_1}}P = \nu P$ ) and, consequently, there is an n  $\epsilon$  N such that  $U_{C_n} \in X$  (union of a uniformly discrete collection of zero-sets is a zero-set). Let  $C_n = \{V_a | a \in A\}$ , card  $A < \alpha_{\xi}$ , and let W be a uniformizable neighborhood of the diagonal in P × P such that  $\{W[V_a] | a \in A\}$  is a uniformly discrete family. Now we can construct (see [14], proposition 1) a continuous mapping  $f: P \rightarrow S(\text{card } A)$  with  $f[V] = \langle a, 1 \rangle$ ,  $f[P-W[U \{V_{a} | a \in A\}]] = 0$ . Denote by  $\hat{f}$  the continuous extension of f on  $\beta_{S_{\epsilon}}^{P}$  into  $\beta_{S_{\epsilon}}^{S}$  S(card A). If we denote by D the closed discrete subspace  $\{\langle a, 1\rangle | a \in A\}$  in S(card A), then fX must belong to the closure  $\overline{D}$  of D in  $\beta_{S_F}$  S(card A). But we have  $\overline{D} = \beta_{S_F} D = D$ ; the second equality follows by Theorem 1 because card D <  $\alpha_{\mbox{\scriptsize F}}$  and the first one by the fact that any mapping on D into  $S_{\xi}$  can be continuously extended on  $S(\operatorname{card} A)$  this extension property suffices to check only for mappings into  $S(\alpha_n)$  for arbitrary  $\eta < \xi$  and this is Proposition 1 in [14] (stated for other cardinalities but the proof is the same also in our case - see also similar assertion in [4], 3L.1). Therefore there is an a  $\epsilon$  A such that  $fX = \langle a, 1 \rangle$  and hence,  $V_a \in X$ . This concludes the proof since  $V \subset U$  for a  $U \in C$ .

The second part of the foregoing proof is similar to that of Shirota's theorem given in [4]. The main difference is in using of the hedgehog instead of real line.

Since we use the spaces  $S_{\xi}$  only for the purpose to investigate  $S_{\xi}$ -compact spaces, we could define  $S_{\xi} = \Pi\{S(\alpha_{\eta}) | \eta \in C_{\xi}\}$ , where  $C_{\xi}$  is a cofinal set in the set of all ordinals smaller than  $\xi$ -especially we could define  $S_{\xi+1} = S(\alpha_{\xi})$ . This is a consequence of the fact that, for  $\eta < \xi$ ,  $S(\alpha_{\eta})$  can be embedded as a closed subspace into  $S(\alpha_{\xi})$ . All the assertions of this paper remain true after this change.

The next result is an easy consequence of Theorem 2 but because of its importance we will state it as Theorem.

# Theorem 3. The class of spaces with complete uniformities is simple if and only if the class of all relatively measurable cardinals is a set.

Proof. If M is a set with the last member  $\alpha_{\xi}$ , then the class of all spaces with complete uniformities coincides with  $S_{\xi}$ , i.e., with the class of all  $S_{\xi}$ -compact spaces, by Theorem 2. Let M be a proper class and take an arbitrary space P with a complete uniformity. There is an ordinal  $\xi$  such that P is  $S_{\xi}$ -compact; thus  $S_{\xi+1}$  is not P-compact because it is not  $S_{\xi}$ -compact by Theorem 2,(2).

There is a problem to characterize simple categories (see [7], [8], [9], [15]); Theorem 3 shows that a solution of this problem is closely connected with the problem of measurability of cardinals.

Now we will consider conditions of Theorem 2 but stated for cardinals not belonging to M. It is clear from the conditions (6) and (7) that if  $\alpha_{\xi}$  is the first member of M greater or equal to a cardinal  $\alpha$ , then P has a complete uniformity with covering character at most  $\alpha$  if and only if P is  $S_{\xi}$ -compact. The following two Propositions show that a similar case occur for the condition (1) but not for those remaining.

# Proposition 1. If $\alpha_{\xi}$ is the first member of M greater than a cardinal $\alpha$ , then $S(\alpha)$ -compact spaces coincide with $S_{\xi}$ -compact spaces.

Proof. Of course it suffices to show that the space  $S(\alpha)$  is  $S_{\xi}$ -compact and that the space  $S_{\xi}$  is  $S(\alpha)$ -compact. The latter condition is trivial since  $\alpha$  is greater or equal to any  $\alpha_{\eta}$ ,  $\eta < \xi$ . Conversely, since  $\alpha < \alpha_{\xi}$ , the space  $S(\alpha)$  is  $S_{\xi}$ -compact by Theorem 2,(2).

Denote by  $E_i(\alpha)$  the class of all spaces satisfying the condition (i), i = 2,3,4,5, of Theorem 2 for  $\alpha$  instead of  $\alpha_{\epsilon}$ .

Proposition 2. Let i = 2,3,4,5. The class  $E_i(\alpha)$  is productive and closed-hereditary if and only if  $\alpha \in M$ . If  $\alpha_{\xi}$  is the first element of M greater or equal to  $\alpha$ , then  $E_i(\alpha)$ -compact spaces coincide with  $S_{\xi}$ -compact spaces.

Proof. Evidently  $E_2(\alpha) \subset E_3(\alpha) \subset E_4(\alpha) \subset E_5(\alpha) \subset K(S_\xi)$  (the last inclusion follows by the implication  $(5) \Longrightarrow (1)$  Theorem 2). Since  $\alpha_{\eta} < \alpha$  for any  $\eta < \xi$ , the spaces  $S(\alpha_{\eta})$  belong to  $E_2(\alpha)$  and, hence, the space  $S_{\xi}$  is  $E_2(\alpha)$ -compact. Consequently,  $E_2(\alpha)$ -compact spaces (and therefore  $E_1(\alpha)$ -compact spaces for other i) coincide with  $K(S_{\xi})$ . If  $\alpha < \alpha_{\xi}$ , then  $S(\alpha) \in K(S_{\xi}) - E_5(\alpha)$  and thus  $E_1(\alpha) = K(E_1(\alpha))$  for no i. It follows that in this case neither of  $E_1(\alpha)$  can be both productive and closed-hereditary.

Obviously, the class  $E_2(\alpha)$  is closed-hereditary. It need not be finitely productive: if we take the space  $\widetilde{R}$  of real numbers with the topology generated by the base [a,b), then  $\widetilde{R} \in E_2(\omega_1)$  because any Lindelöf space belongs to  $E_2(\omega_1)$ , and  $\widetilde{R} \times \widetilde{R} \notin E_2(\omega_1)$  (the diagonal  $\{<\mathbf{x},-\mathbf{x}>|\mathbf{x}\in R\}$  is closed discrete of cardinality 2.

(CH): The product  $\tilde{\mathbb{R}} \times \tilde{\mathbb{R}}$  belongs to  $E_{3\omega_1}$  ( $\tilde{\mathbb{R}} \times \tilde{\mathbb{R}}$  is separable and hence card  $C^*(\tilde{\mathbb{R}} \times \tilde{\mathbb{R}}) \leq 2^{\omega_0}$ ; by (CH),  $2^{\omega_0} < 2^{\omega_1}$ ; if  $\tilde{\mathbb{R}} \times \tilde{\mathbb{R}}$  contained an uncountable discrete  $C^*$ -embedded subspace D, then card  $C^*(\tilde{\mathbb{R}} \times \tilde{\mathbb{R}}) \geq 2^{\omega_1}$  card  $C^*(D) \geq 2^{\omega_1}$ ). From this result we can deduce that  $E_2(\omega_1)$  is a proper subclass of  $E_3(\omega_1)$  and that neither of  $E_3(\omega_1)$ ,  $E_4(\omega_1)$ ,  $E_5(\omega_1)$  need be closed-hereditary ( $\tilde{\mathbb{R}} \times \tilde{\mathbb{R}}$  has a closed subspace  $\{<\mathbf{x},-\mathbf{x}> \mid \mathbf{x} \in \mathbb{R}\}$  not belonging to  $E_5(\omega_1)$ ).

Now we shall show that the classes  $E_3(\omega_1)$ ,  $E_4(\omega_1)$  and  $E_5(\omega_1)$  need not even be finitely productive. In [19], Michael has constructed under (CH) a Lindelöf space Y the square Y×Y of which is paracompact and not Lindelöf. As a Lindelöf space, Y  $\in$   $E_2(\omega_1)$ . It is easy to show that Y×Y  $\notin$   $E_5(\omega_1)$ ; indeed since Y×Y is paracompact, uniformizable covers coincide with interior covers and because Y×Y is not Lindelöf, there is an open cover with no countable open refinement - i.e., Y×Y is not pseudo- $\omega_1$ -compact.

As for the relations between  $E_3(\omega_1)$ ,  $E_4(\omega_1)$  and  $E_5(\omega_1)$  the author conjectures that  $E_3(\omega_1)$  is a proper subclass of  $E_4(\omega_1)$  and that  $E_4(\omega_1)$  is a proper subclass of  $E_5(\omega_1)$ .

## §3. Relations between classes $S_{\xi}$ , $K_{\alpha}$ and $U_{\alpha}$ .

First we recal that the class  $K_{\alpha}$  of all  $\alpha$ -compact spaces is a subclass of the class  $U_{\alpha}$  of all  $\alpha$ -ultracompact spaces and that  $K_{\alpha} \subset K_{\beta}$ ,  $U_{\alpha} \subset U_{\beta}$  provided  $\alpha \leq \beta$ . Evidently,  $\alpha$ -ultracompact space P is  $\alpha$ -compact if each closed subset of P is a zero-set, i.e., if P is perfectly normal (especially if P is metrizable). We shall prove now that  $\alpha$ -ultracompactness and  $\alpha$ -compactness coincide also for spaces with complete uniformities. First we will investigate discrete spaces.

Proposition 3. A discrete space D is  $\alpha$ -compact if and only if  $\alpha > \alpha$   $\eta$  for all  $\eta < \xi$ , where  $\alpha_{\xi}$  is the first member of M greater than card D.

Proof. Assume that D is  $\alpha$ -compact. Since D is not  $\alpha_{\eta}$ -compact for any  $\eta < \xi$  (D contains a free ultrafilter with  $\alpha_{\eta}$ -intersection property, because card  $D \geq \alpha_{\eta}$ ), the cardinal  $\alpha$  must be greater than any such  $\alpha_{\eta}$ . Suppose now conversely that D is not  $\alpha$ -compact. Then there is a free ultrafilter on D with  $\alpha$ -intersection property and therefore  $\alpha \leq \alpha_{\eta}$  for a  $\eta < \xi$  because otherwise card  $D \geq \alpha_{\xi}$ .

Corollary 1. If P is  $\alpha$ -ultracompact and  $\alpha_{\xi} \in M$  is greater or equal to  $\alpha$ , then P is pseudo- $\alpha_{\xi}$ -compact (moreover any closed discrete subspace of P is of cardinality less than  $\alpha_{\xi}$ ).

Proof. If D is a closed subspace of P, then D is  $\alpha$ -ultracompact and, hence by Proposition 3, if D is discrete, then  $\alpha$  must be greater than any  $\alpha_{\eta}$ ,  $\eta < \xi_{0}$ , where  $\alpha_{\xi_{0}}$  is the first member of M greater than card D. It follows that  $\alpha_{\xi} \geq \alpha_{\xi_{0}}$  because  $\alpha_{\xi} \geq \alpha_{\eta}$ ,  $\eta < \xi_{0}$ . Consequently, card D <  $\alpha_{\xi_{0}} \leq \alpha_{\xi}$ .

Corollary 2. If  $\alpha_{\xi}$  is the first member of M greater or equal to an infinite cardinal  $\alpha$ , then for any  $\beta < \alpha_{\xi}$  there is an  $\alpha$ -compact space which is not pseudo- $\beta$ -compact.

Proof. By Proposition 3, a discrete space of cardinality  $\beta$  is  $\alpha$ -compact and certainly is not pseudo- $\beta$ -compact.

Several times there appeared a condition " $\alpha$  is greater than any  $\alpha_{\eta}$ ,  $\eta < \xi$ " in the preceding assertions; it is easy to see that it is equivalent to the condition " $\alpha \geq \sup\{\alpha_{\eta}^{+}|\eta < \xi\}$ ", which is the same as  $\alpha \geq \sup\{\alpha_{\eta}^{-}|\eta < \xi\}$  if  $\xi$  is limit and  $\alpha > \alpha_{\xi-1}$  if  $\xi$  is isolated.

Now we turn our attention to the hedgehogs.

Proposition 4. The hedgehog  $S(\beta)$  is  $\alpha$ -compact if and only if  $\alpha > \alpha$ , for all  $\eta < \xi$ , where  $\alpha_{\xi}$  is the first member of M greater than  $\beta$ .

Proof. Since  $S(\beta)$  contains a closed discrete subspace of cardinality  $\beta$ , the necessity follows by Proposition 3. For the other implication assume that  $\alpha$  is greater than any  $\alpha_{\eta}$ ,  $\eta < \xi$ . By Proposition 1, the hedgehog  $S(\beta)$  is  $S_{\xi}$ -compact and, thus, it suffices to prove that  $S_{\xi}$  is  $\alpha$ -compact, which is the same as that  $S(\alpha_{\eta})$  is  $\alpha$ -compact for any  $\eta < \xi$ . Let  $\eta < \xi$  and take an arbitrary maximal filter of zero-sets in  $S(\alpha_{\eta})$  with  $\alpha$ -intersection property. Since  $\alpha_{\eta} < \alpha$ , there must be a prickle in  $S(\alpha_{\eta})$  belonging to the ultrafilter; it follows that the ultrafilter contains a compact set and thus converges.

Corollary 1. The space  $S_{\xi}$  is  $\alpha$ -compact or  $\alpha$ -ultracompact if and only if  $\alpha > \alpha_n$  for all  $n < \xi$ .

Proof follows at once from Proposition 4 because  $S_\xi$  is  $\alpha$ -compact if and only if all the  $S(\alpha_\eta)$ ,  $\eta$  <  $\xi$  are  $\alpha$ -compact and the same for ultracompactness.

Corollary 2. Let  $\alpha > \alpha_{\eta}$  for all  $\eta < \xi$ . Then any pseudo- $\alpha_{\xi}$ -compact space with a complete uniformity is  $\alpha$ -compact.

Proof. If P is pseudo- $\alpha_\xi$ -compact and has a complete uniformity, then it is  $S_\xi$ -compact by Theorem 2. By the foregoing Corollary, P is  $\alpha$ -compact.

The next theorem states other generalized modifications of the Shirota's theorem:

Theorem 4. Let  $\alpha_{\xi} \in M$  and  $\alpha_{\xi} \geq \alpha > \alpha_{\eta}$  for all  $\eta < \xi$ . Then the following conditions on a space P are equivalent:

- (a) P is S<sub>F</sub>-compact;
- (b) P has a complete uniformity and is  $\alpha$ -compact;
- (c) P has a complete uniformity and is α-ultracompact.

Proof. Obviously (b)  $\Longrightarrow$  (c); by Corollary 2 of Proposition 4, (a)  $\Longrightarrow$  (b). The last implication (c)  $\Longrightarrow$  (a) follows by Corollary 1 of Proposition 3 (P is  $\alpha$ -ultracompact and hence pseudo- $\alpha_{\xi}$ -compact; since in addition it has a complete uniformity, it is  $S_{\xi}$ -compact by Theorem 2).

Corollary 1. If a space has a complete uniformity, then it is  $\alpha$ -compact if and only if it is  $\alpha$ -ultracompact.

Evidently, the converse implication of Corollary 1 does not hold (the space  $T_{\omega_1}$  of all countable ordinals with order-topology is both  $\omega_2$ -compact and  $\omega_2$ -ultracompact (see [6], [25]) and has no complete uniformity).

Corollary 2. If P has a complete uniformity and  $\alpha_{\xi} \geq \alpha > \alpha_{\eta}$  for all  $\eta < \xi$ , then the following conditions are equivalent:

- (a) P is  $\alpha$ -compact;
- (b) P is  $\alpha_{\xi}$ -compact;
- (c) P is  $\sup \{\alpha_n^+ | \eta < \xi\} \underline{\text{compact}};$
- (d) P is pseudo- $\alpha_{\xi}$ -compact.

Proof. The implication (a)  $\Rightarrow$  (b) is obvious, (b)  $\Rightarrow$  (c) follows by Theorem 4, (c)  $\Rightarrow$  (d) is Corollary 1 of Proposition 3 and (d)  $\Rightarrow$  (a) follows at once from Theorem 2, implication (5)  $\Rightarrow$  (1), and from Theorem 4, implication (a)  $\Rightarrow$  (b).

The same assertion can be stated for  $\alpha$ -ultracompact spaces.

As an application of Theorem 4 we shall give another proof of a van der Slot's result proved in [25]:

## Corollary 3. A coutably paracompact normal $\omega_1$ -ultracompact space is realcompact.

Proof. By Theorem 4 or by its Corollary 1 it suffices to prove that an arbitrary countably paracompact normal  $\omega_1$ -ultracompact space P has a complete uniformity. A space P is countably paracompact normal if and only if all its countable open coverings form a base for a uniformity on P. Denote this uniformity by U. We shall prove that U is complete. Let X be an ultrafilter on P which is U-Cauchy and assume that X does not converge. Since P is  $\omega_1$ -ultracompact, there are countably many closed sets  $A_1 \in X$  with an empty intersection. But this is a contradiction because the open cover  $\{P-A_1\}$  is a member of U and no its element belongs to the U-Cauchy filter X.

Now we shall summarize our results for the case  $\xi = 1$ . All the conditions in the next theorem which contain a direct assumption on completeness can be regarded as modifications of the Shirota's theorem mentioned at the beginning of this paper. Of course, some of them are well-known - see [4], [16], [17], [23].

### Theorem 5. The following conditions are equivalent for a space P:

- (a) P is realcompact;
- (b) P can be embedded as a closed subspace into a power  $S^{A}(\omega_{0})$  of the metrizable hedgehog  $S(\omega_{0})$ ;

- (c) P has a complete uniformity and each of its closed discrete subspaces is of nonmeasurable cardinality;
- (d) P has a complete uniformity and each of its closed discrete C\*embedded subspaces is of nonmeasurable cardinality;
- (e) P has a complete uniformity and each of its closed discrete C-embedded subspaces is of nonmeasurable cardinality;
- (f) P has a complete uniformity and each of its uniformly discrete subspaces is of nonmeasurable cardinality (i.e., P has a complete uniformity and is pseudo-α<sub>1</sub>-compact;
- (g) P has a complete uniformity with covering character at most  $\alpha_1$ :
- (h) P has a complete uniformity with covering character at most  $\omega_1$ ;
- (i) P has a complete uniformity and each maximal filter of zero-sets
   in P with nonmeasurable intersection property is fixed (i.e., P
   has a complete uniformity and is α-compact);
- (j) P has a complete uniformity and each ultrafilter with countable intersection property for closed sets converges (i.e., P has a complete uniformity and is ω<sub>1</sub>-ultracompact);
- (k) P has a complete uniformity and each ultrafilter with nonmeasurable intersection property for closed sets converges (i.e., P has a complete uniformity and is α<sub>1</sub>-ultracompact).

#### References.

- [1] E. Čech, <u>Topological spaces</u>, revised edition, Academia Prague, 1966.
- [2] R. Engelking and S. Mrówka, On E-compact spaces, <u>Bull.Acad.</u> Pol.Sci.Ser.Math.Astr.Phys. 6(1958), 429-436.
- [3] P. Erdős and A. Tarski, On some problems involving inaccessible cardinals, Essays on the foundations of mathematics, Jerusalem (1961), 50-82.
- [4] L. Gillman and M. Jerison, Rings of continuous functions, Van Nostrand, Princeton 1960.

- [5] Z. Frolik, Generalizations of compact and Lindelöf spaces,

  <u>Czechoslovak Math. J. 9(1959)</u>, 172-217.
- [6] H. Herrlich, Fortsetzbarkeit stetiger Abbildungen und Kompaktheidsgrad topologischer Räume, <u>Math. Zeitschr.</u> 96(1967), 228-255.
- [7] ----, E-kompakte Räume, <u>Math. Zeitschr.</u> 96(1967), 228-255.
- [8] ----, Topologische Reflexionen und Coreflexionen,

  <u>Lecture Notes 78</u>, Springer Berlin, 1968.
- [9] ----, Categorical topology, Pittsburgh Conference on General Topology, June 1970.
- [10] H. Herrlich and J. van der Slot, Properties which are closely related to compactness, <u>Indag. Math.</u> 29(1967), 524-529.
- [11] E. Hewitt, Rings of real-valued continuous functions, I., Trans.Amer.Math.Soc. 64(1948), 54-99.
- [12] H. Husek, The class of k-compact spaces is simple, Math.

  Zeitschr. 110(1969), 123-126.
- [13] ----, Generalization of Kaplansky's and Shirota's theorems to k-compact spaces, Math.Zeitschr.

  111(1969), 214-220.
- [14] ----, Pseudo-m-compactness and v(P×Q), to appear in Indag.Math.
- [15] ----, Perfect images of E-compact spaces, submitted to Bull. Acad. Pol. Sci. Ser. Math. Astr. Phys.
- [16] J.R. Isbell, <u>Uniform spaces</u>, Math. Surveys, No. 12, <u>Amer.Math.</u>
  <u>Soc.</u>, Providence, R.I., 1964.

- [17] M. Katetov, Measures in fully normal spaces, <u>Fund.Math.</u>
  38(1951), 73-84.
- [18] H.J. Keisler and A. Tarski, From accessible to inaccessible cardinals, <u>Fund.Math.</u> 53(1964), 225-308.

  Correction <u>Fund.Math.</u> 57(1965), 119.
- [19] E. Michael, Paracompactness and the Lindelöf property in finite and countable carksian products, to appear.
- [20] S. Mrówka, On the unions of Q-spaces, <u>Bull.Acad.Pol.Sci.Ser.</u>
  <u>Sci.Math.Astr.Phys.</u> 6(1958), 365-368.
- [21] ----, Some comments on the author's example of a non-R-compact space, <u>Bull.Acad.Pol.Sci.Ser.Sci.Math.</u>

  <u>Astr.Phys.</u> 18(1970), 443-448.
- [22] N. Noble, Products with closed projections, <u>Trans.Amer.Math.</u>
  <u>Soc.</u> 140(1969), 381-391.
- [23] T. Shirota, A class of topological spaces, Osaka Math.J. 4(1952), 23-40.
- [24] J. van der Slot, Universal topological properties, ZW1966-011, Math.Centrum, Amsterdam, 1966.
- [25] ----, Some properties related to compactness, Thesis, Math. Centrum, Amsterdam, 1968.
- [26] ----, An elementary proof of the Hewitt-Shirota theorem, Compos.Math. 21(1969), 182-184.