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Handheld surface-enhanced Raman scattering identification of dye chemical composition in felt-tip pen drawings

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Abstract

A handheld Raman spectrometer is used for the first time to perform surfaceenhanced Raman scattering (SERS) analysis of felt-tip pen drawings on paper. The use of SERS combined with near-infrared excitation wavelength allows overcoming the strong fluorescence background interference so that diagnostic spectra are obtained from drawings made by 18 pens of 10 different colors and five different brands. The analysis reveals that often mixtures of two or more dyes are used by pen manufactures in order to obtain the desired color hue and also that same colors from different pen brands often display different dye composition. Handheld SERS is also used for revealing the dye content of historical felt tip pens extensively used by the Italian film director Federico Fellini. The results from this work open the way to the use of handheld instrumentation for analysis of ink-based art works and documents and also provide a starting point towards the creation of a reference SERS database for dye identification.

KEYWORDS

drawing, Federico Fellini, felt-tip pens, handheld Raman, SERS

1 | INTRODUCTION

Since their introduction in the market in 1953, felt-tip pens have become ubiquitous, being used for a wide range of purposes including educational, professional, commercial and classification/cataloguing. Interestingly, because of their low cost, combined with widespread commercial availability and large variety of color hues, felt-tip pens have also been adopted by many artists as preferred production medium. Consequently, a large cultural heritage of ink-based documents and drawings is today hosted by many modern art museums and galleries around the world. In contrast with organic pigments of natural origin constituting classical artworks, the colorant components of felt-tip pens are industrially produced artificial dyes. The high chemical lability (water solubility and easy photo-degradation) of such dyes and their general incompatibility to classical solvent conservation treatments makes modern artworks easily prone to damage and degradation and poses great challenges to their conservation.^[1] Because of the different physicochemical properties of artificial dyes compared with pigments, the long term preservation of modern inkbased artworks requires the development of tailored conservation protocols, which in turn requires knowledge of the chemical dye content in commercial ink mixtures. At present, the acquisition of this information is complicated by the complex composition of felt-tip pens,

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comprising a mixture of organic colorants, solvents, additives, and stabilisers.^[2] Additional challenges include the fragmented nature of information available, limited by trademark protection, the variation of chemical composition between brands and year of production, and the absence of a database of information. Last but not least, the design of conservation protocols tailored to modern artworks would benefit from the availability of sensitive analytical techniques allowing in situ characterization in order to (a) investigate the behavior of inks on relevant matrices, (b) test the impact of novel designed conservation treatments, and (c) anticipate precisely the reaction to conservation treatments.

A number of optical techniques mainly based on Fourier transform infrared spectroscopy (FTIR) and Raman spectroscopy have been applied to the characterization of inks and pigments in artworks.^[3-5] However. such methods are generally not suitable to the analysis in situ (i.e., no extraction) of the small concentrations of fugitive dyes present in modern paper-based artistic productions. In fact, on one hand, long acquisition times or high laser powers or relatively large (mg) amount of sample would be required in order to obtain acceptable signal/ noise level by FTIR. On the other hand, the large fluorescence interference from both dyes and paper substrates would obscure diagnostic Raman signals. Recently, Sodo et al. applied Raman spectroscopy to investigate the dye content of commercial felt-tip pen drawings.^[6] Although some information was achieved, strong background signals from the paper required the complementary use of chromatography techniques to reach reliable conclusions on the chemical composition of the analyzed drawings.

In recent years, these limitations were overcome by the use of surface-enhanced Raman scattering (SERS), a phenomenon associated with the enhancement of Raman signals (up to a factor 10^{6} – 10^{8}) experienced by an analyte into close proximity to a roughened noble metal surface.^[7] The SERS phenomenon arises mainly from the generation of intensified local electromagnetic fields resulting from localized surface plasmon resonances (LSPR) of the nanoscale surfaces (electromagnetic effect [EM]).^[8,9] However, additional charge transfer effects (chemical effects [CM]) between the analyte and the nanoscale surface can also contribute to the final observed enhancement.^[9] Recently, the applicability of SERS for identification of natural organic colorants used in paints, glazes, and textiles on a variety of works of art was demonstrated.^[10-12] SERS was also used by our group and others for the identification of dye content in ballpoint pens.^[13-16] Recently, SERS was also used in combination with other analytical techniques for the identification of dyes in commercial felt-tip pens^[17,18] and used to identify colorants in colored inks used by

artists such as Lucio Fontana and Federico Fellini.^[19,20] As an increasing number of modern artworks are already entering a state of high degradation, the inherent noninvasiveness, high sensitivity, and efficient quenching of interfering fluorescence signals could make SERS the analytical technique of choice to support the development of long-term conservation methods for modern artworks.

In this work, we present for the first time the use of Raman handheld instrumentation for the elucidation of dye composition in commercial felt-tip pen ink mixtures. Colored squared areas were drawn on commercial paper with felt-tip pens. Drawings were analyzed in situ by normal Raman (NR) and SERS solely by the use of a handheld Raman spectrograph with excitation wavelength at 785 nm. Such wavelength was selected as it was shown to minimize fluorescence interference from binding media and most of the organic dyes in art applications.^[21] In order to prove the wide applicability of the method, nine colors and five marker brands were purchased and analyzed. In the case of red colors, NR was effective in obtaining diagnostic spectra and was used for chemical identification. However, in all other cases, NR signals were obscured from interference fluorescence and only SERS gave successful chemical identification. The analysis revealed that in some cases, same color pens of different brands displayed different dye compositions and that often a blend of dyes was used to obtain the desired color hue. Handheld SERS was also used for the identification of dye composition in felt-tip pens belonging to the late Italian film director Federico Fellini. These data suggest that SERS with excitation in the near-infrared can be applied to sensitive analysis of modern pens. The effective suppression of fluorescence interference allowed the use of light-weight handheld Raman instrumentation, which has great implications for analysis of unmovable and irregularly shaped art objects. Additionally, the data collected from this work constitute an important step in the development of SERS spectral libraries for dye identification, which would nicely complement corresponding databases already available for natural colorants used in art applications.^[22]

2 | RESULTS AND DISCUSSION

Ten felt-tip pens from the popular commercial Stabilo brand were selected for this work (see Table 1 for a list of all analyzed pens). The colors ranged from red and pink to blue and green.

Ag nanoinks used as SERS probes were synthesized following the synthesis reported by Polavarapu et al.^[23] The method started with the synthesis of high volume

TABLE 1	List of all	analyzed	Stabil	o felt	tip	pens
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Brand	Name	Color
Stabilo	R_68-48	Red
Stabilo	W_68-19	Wine
Stabilo	P_68-056	Pink
Stabilo	M_68-56	Magenta
Stabilo	N_68-22	Navy
Stabilo	G_68-36	Green
Stabilo	L_ 68-58	Lilac
Stabilo	PR_68-55	Purple
Stabilo	LB_68-41	Light blue
Stabilo	C_68-31	Cyan

Ag nanoparticle solutions, which were subsequently concentrated up to 100 times in order to obtain highly viscous solutions. The characterization of Ag nanoinks was carried out by Scanning electron microscopy (SEM) and UV-vis spectroscopy and showed formation of particles mostly spherical in shape, with an average size of 100 ± 5 nm and a plasmonic peak centered at 416 nm (see Figure S1). Such ink-like suspensions were inserted -WILEY-RAMAN SPECTROSCOPY

in commercial fountain pen cartridges and deposited on analytical areas by a so called pen-on-paper (POP) method, which consisted in pen writing of Ag nanoink features on paper areas colored by felt-tip pens.^[23] The POP approach was essential to obtain reproducible SERS features for reliable Raman portable instrumentation analysis directly on colored paper. In fact, the felt-tip pens analyzed in this work were highly water soluble and direct deposition (by syringe or Gilson pipette) of Ag nanoink aqueous suspension on colored paper caused dissolution of the pen and discoloration of the SERS adjacent area (Figure 1a,b). This in turn drastically decreased the concentration of the dye in contact with the Ag nanoink and made impossible the recording of SERS spectra. In contrast, POP deposition of Ag nanoinks on colored paper allowed to minimize the amount of solvent deposited on paper, ensured precise and controlled nanoink deposition, and generated size-, shape-, and location-customized SERS active areas (Figure 1c,d). Furthermore, POP deposition was essential to obtain reliable SERS spectra by portable instrumentation whereby a microscope was not used to locate the impinging position of the laser, and SERS detection was greatly facilitated by the controlled deposition of relatively large (millimeter size) SERS-active areas.



FIGURE 1 Photographs of (a) Ag nanoink suspension, (b) Stabilo R_68-48 colored paper square with drop deposited Ag nanoink, (c) fountain pen containing Ag nanoinks, (d) Stabilo R_6848 colored square paper and "NANOINK" written by pen on paper approach

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Figure 2 shows the NR and SERS spectra of six selected colored areas (red, wine, pink, magenta, navy, and green; four additional colors are shown in Figure S2) directly measured on paper substrates. For each spectrum, also, the SERS spectrum of Ag nanoinks deposited on paper is shown (SERS blank), to highlight the SERS response of the uncolored paper substrate. For all colors (with the exception of red R_68-48, Figure 2a) the NR spectra measured with handheld instrumentation at illumination wavelength 785 nm were saturated or showed low intensity peaks, mostly attributable to the paper substrate. In contrast, SERS spectra measured under the same experimental conditions showed higher intensity

and diagnostic peaks. The position of the peaks differed from sample to sample and also from the blank, further demonstrating that the achieved spectra were diagnostic of the analyzed pen colors.

The data of Figure 2 showed that SERS was (in general) effective in enhancing the Raman response of felttip pens and could be therefore used for dye identification in pen color mixtures. In order to prove this point, the spectra of Figure 2b–f were baseline subtracted and plotted against the SERS spectra of red, blue, and yellow reference dyes. The spectrum of the wine color W_68-19 (Figure 3a) showed peaks at 1626 cm⁻¹ (aromatic C—C bending and C=C stretching), 1506 cm⁻¹ (aromatic



FIGURE 2 normal Raman (NR), surface-enhanced Raman scattering (SERS), and blank SERS spectra recorded at 785 nm for colored squares on paper drawn by Stabilo felt-tip pens: (a) red square R_68-48 , acquisition time 10 s, (b) wine square, A_68-19 , acquisition time 10 s, (c) pink square, P_68-056 , acquisition time 7.41 s, (d) magenta square, M_68-56 , acquisition time 7.41 s, (e) navy square, N_68-22 , acquisition time 0.31 s, (f) green square, G_68-36 , acquisition time 0.5 s. For each spectrum a blank SERS spectrum was recorded under the same acquisition time of NR and SERS spectra. Inset: Photographs of analyzed colored paper squares



FIGURE 3 Identification of felt-tip pens dye composition. Surface-enhanced Raman scattering spectra of Stabilo colored squares on paper against surface-enhanced Raman scattering spectra of references: (a) wine square W_68-19 pen, erioglaucine, and rhodamine B references; (b) pink square P_68-056 pen and rhodamine 6G reference; (c) magenta square M_68-56 pen and pink eosin Y reference; (d) navy square N_62-22 pen and erioglaucine reference; (e) green square G_68-36 pen, erioglaucine, and tartrazine references

C—H bending), 1277 cm⁻¹ (aromatic C—C bending), 1193 cm⁻¹ (C—C bridge band stretching and aromatic C—H bending) diagnostic of red rhodamine B dye^[24] and peaks at 1587 cm⁻¹ (aromatic C—C stretching), and 914 cm⁻¹ (C—C ring stretching) diagnostic of the blue dye erioglaucine.^[25] The spectrum of pink P_68-056 (Figure 3b) showed good overlap with the spectrum of rhodamine 6G. Specifically, peaks at 1503 cm⁻¹ (C—N stretching, C—H bending, N—H bending), 1358 cm⁻¹ (C-H bending, 1306 N—H bending, CH₂ wagging), 769 and 614 cm⁻¹ (C—H bending) overlapped with corresponding peaks of rhodamine 6G. Interestingly, although similar in color to pink, magenta M_68-56 showed chemical composition different than P_68-056. In fact (Figure 3c), peaks at 1626 cm⁻¹ (xanthene ring C—C stretching) and 1506 cm⁻¹ (xanthene and benzene ring C—C stretching) diagnostic of the presence of eosin Y were found for this color felt-tip pen.^[21,26] Navy N_68-22 color (Figure 3d) showed diagnostic peaks of erioglaucine. Specifically, vibrational bands were observed at 1618–1587 cm⁻¹ (aromatic C—C stretching), 1481–1452 cm⁻¹ (ring deformation), 1420–1370 cm⁻¹ (N—C aromatic stretching), 1279 cm⁻¹ (aromatic C—C stretching), and 1185 cm⁻¹ (in-plane ring CH bending). Finally (Figure 3e), green G_38-36 was a mixture of blue erioglaucine (1618 and 1185 cm⁻¹ peaks) and yellow tartrazine (1505 cm⁻¹, C=C pyrazole bending, 1364 cm⁻¹, azo group stretching and symmetrical



FIGURE 4 (a) Normal Raman spectra of red Caran D'Ache, Giotto, and Tombow colored paper squares; (b) surface-enhanced Raman scattering (SERS) spectra of pink carioca doodles colored paper squares; (c) SERS spectra of blue Caran D'Ache, Giotto, and Tombow colored paper squares; (d) SERS spectra of green Caran D'Ache and Giotto colored paper squares



FIGURE 5 Identification of pen dye composition. Surface-enhanced Raman scattering spectra of red colored squares drawn by different red color brands against surface-enhanced Raman scattering spectra of references: (a) Stabilo 68-48 square and poinceau 4R, (b) Tombow ABT-555 square and eosin Y, (c) Caran D'Ache 185-070 square and poinceau 4R, (d) Giotto_Tcolor square, poinceau 4R, and rhodamine B

stretching of the carboxyl group) dyes.^[27] Regarding the additional analyzed colors (Figure S2), no diagnostic peaks were obtained for the lilac color L_68-58, whereas purple, light blue, and cyan colors showed the same spectral features as navy N_68-22 and were therefore considered to be constituted mainly by erioglaucine.

In order to show wider applicability, the analysis was extended to other pen brands. Specifically, Figure 4 shows the result of NR and SERS analysis performed on selected colors of Caran D'Ache, Giotto, Tombow, and Carioca pens. Interestingly, red Caran D'Ache, Giotto, Tombow (Figure 4a) showed the same behavior as the red Stabilo R 68-48 of Figure 1a, showing more diagnostic NR spectra than SERS spectra. For pink Carioca, blue (Caran D'Ache, Giotto, and Tombow) and green (Caran D'Ache and Giotto) colors SERS spectra were more intense and diagnostic than NR spectra. Spectra of different colors showed different spectral features, again showing evidence of different chemical composition. Interestingly, also among the same color, red and blue pens showed different peaks, indicative of different chemical compositions used by different brands.

In order to better illustrate this point, Figure 5 show SERS spectra of the four analyzed red colors (Stabilo, Caran D'Ache, Giotto, and Tombow) plotted together with red reference dyes. Dye poinceau 4R constituted the main component of reds Stabilo R 68-48, Caran D'Ache 185-070 and Giotto Tcolor (Figure 5a,c,d). Specifically, peaks at 1238, 1362, 1436, 1517, and 1580 cm ⁻¹ were found in red Stabilo and Giotto pens and reference poinceau 4R. These findings were in agreement with data reported in literature obtained by Raman and chromatographic methods.^[6,28] As well as poinceau 4R (1516-, 1436-, 1367-, 1235-, and 1200-cm⁻¹ peaks), additional peaks were found in the spectrum of Giotto Tcolor (1456 and 1281 cm⁻¹) attributed to the presence of rhodamine B. Tombow ABT 555 revealed presence of eosin Y (Figure 5b), clearly visible by the overlapping of peaks at 1622, 1504, 1283, 1174, 715, 645, and 612 cm^{-1} . It should be pointed out that the strong peak at 1080 cm⁻¹ visible in all red pen spectra of Figure 5 belongs to the paper substrate. Regarding the other colors, rhodamine B was identified as main component in pink Carioca Doodles (Figure S3a). Analysis of blue pens (Figure S3b,c) allowed identification of erioglaucine, crystal violet, and rhodamine B in the blue Caran D'Ache ink mixture, whereas erioglaucine and crystal violet were identified in Tombow ABT-555 and Giotto Tcolor pens. Both green pens (Figure S3d) showed diagnostic peaks of erioglaucine and tartrazine, as also found in Stabilo G_ 68_36.

Finally, the ability of Raman handheld instrumentation for analysis of ink-based modern art was tested with



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pseudo-real art works. Pink and blue pen lines (from Tombow and Caran D'Ache brands) were traced on paper with felt-tip pens used by Italian artist Federico Fellini and made available by the Foundation Fellini in Cinecitta' (Italy). Figure 6 shows the SERS spectra of the pen lines against the SERS spectra of relevant reference dyes. The pink Tombow SERS spectrum (Figure 6a) showed peaks at 1605, 1537, and 1484 cm⁻¹ that revealed the presence of eosin Y. The blue Caran D'Ache SERS spectrum (Figure 6b) showed peaks attributable to both erioglaucine and crystal violet dyes. Specifically peaks at 1607, 1581, 1176, 910, and 413 cm^{-1} could be attributed to either dye; peaks at 1535, 1386, and 726 cm⁻¹ were specific to crystal violet. The spectrum appeared different than the other contemporary Caran D'Ache blue examined (Figure S5b). This could be due to the fact that slightly different blues were compared (Caran D'Ache 555 against Fellini Caran D'Ache 100) or that the composition of the blue Caran D'Ache used by Fellini in 1960s has changed with time. The comparison of results obtained with benchtop Raman instrumentation previously carried out in our group^[20]



FIGURE 6 Surface-enhanced Raman scattering spectra of colored lines drawn with Federico Fellini's felt-tip pens and surface-enhanced Raman scattering of references: (a) pink Tombow and eosin Y; (b) blue Caran D'Ache, erioglaucine, and crystal violet

 TABLE 2
 Summary of all analyzed felt-tip pens and associated identified main dye content

Brand	Name	Color	Identification
Stabilo	R_68-48	Red	Poinceau 4R
Stabilo	A_ 68-19	Wine	Rhodamine B, erioglaucine
Stabilo	P_68-056	Pink	Rhodamine 6G
Stabilo	M_68-56	Magenta	Eosin Y
Stabilo	N_68-22	Navy	Erioglaucine
Stabilo	G_68-36	Green	Erioglaucine, tartrazine
Stabilo	L_ 68-58	Lilac	No peaks
Stabilo	PR_ 68-55	Purple	Erioglaucine
Stabilo	LB_ 68-41	Light blue	Erioglaucine
Stabilo	C_ 68-31	Cyan dark	Erioglaucine
Tombow	ABT-885	Red	Eosin Y
Tombow	ABT-555	Blue	Erioglaucine, crystal violet
Giotto	Turbocolor	Red	Poinceau 4R
Giotto	Turbocolor	Blue	Erioglaucine, crystal violet
Giotto	Turbocolor	Green	Erioglaucine, tartrazine
Caran D'Ache	Fibralo 185-070	Red	Poinceau 4 R
Caran D'Ache	Fibralo 185-160	Blue	Erioglaucine, crystal violet, rhodamine B
Carioca		Pink	Rhodamine B
Tombow (Fellini)	TB-FF	Pink	Eosin Y
Caran D'Ache (Fellini)	CDA-FF	Blue	Erioglaucine, crystal violet

showed that comparable results were obtained under the two experimental conditions (benchtop illumination wavelength 514 nm, resolution $3-5 \text{ cm}^{-1}$, spot size $2-5\,\mu\text{m}$, laser power <2 mW, handheld illumination wavelength 514 nm, $10-12 \text{ cm}^{-1}$, resolution, 1 mm spot size, laser power 50 mW). In blue Fellini, additional traces of rhodamine were detected by benchtop Raman illumination. This could be ascribed to a combination of instrumental parameters but also to the different illumination conditions used. In fact, rhodamine's molecular absorbance is very close to the benchtop Raman illumination of 514 nm, leading to high signals due to surface-enhanced resonance Raman scattering (SERRS) conditions. In contrast, the Raman/SERS response of rhodamine at 785-nm illumination is very low.

The summary of all analyzed felt-tip pens and the dye composition attribution is presented in Table 2. Interestingly, some of the pens analyzed in this work were also previously analyzed by a combined bench-size SERS/UV vis spectroscopic approach.^[18] The direct comparison between spectra recorded at 514-nm illumination with benchtop instrumentation and spectra recorded in this work at 785-nm illumination with handheld instrumentation showed that sharper peaks with better signal-to-noise ratios were obtained with benchtop instrumentation. Nevertheless, in general, comparable results were obtained with the two analysis. However, due to the higher sensitivity of bench-size instrumentation, the use of SERRS conditions and the combined use of UV-vis spectroscopy, some dye components probably present in small percentages were revealed that could not be detected by handheld measurements. Specifically, rhodamine could not be detected in magenta Stabilo M_68-22, red Tombow and Caran D'Ache, and blue Giotto Tcolor; amaranth and poinceau 4R could not be detected in red Giotto Tcolor and pink Carioca, respectively.

3 | CONCLUSION

In conclusion, this work constitutes the first example of characterization of modern ink dye composition by Raman handheld instrumentation. A total of 18 pens of different colors from the commercial brands Stabilo, Caran D'Ache, Giotto, Carioca, and Tombow were analyzed. Red colors could be analyzed by simple NR, but for all remaining colors, the strong interference fluorescence caused by the dyes required the use of SERS. Red colors mainly showed presence of poinceau 4R dye, and pink colors were constituted either by rhodamine B or eosin Y dyes. Blue colors in general showed presence of erioglaucine and crystal violet although some traces of red dyes were also found in some brands. Green colors were always a mix of blue (erioglaucine) and yellow (tartrazine) dyes. The wider applicability of the method was proven by its successful application to different commercial brands and to the analysis of historical pens used by the Italian film director Federico Fellini.

Considering the paramount importance in development of sensitive, cost effective, and deployable instrumentation, this work constitutes an important step beyond the state of the art in the field of diagnostics for modern art conservation. The deposition of nanoinks as SERS probes on works of art is still prohibited by the inherent invasive nature of the process. Nevertheless, this work considerably expands the applicability and versatility of NR and SERS in heritage science and opens up possibilities for the future analysis in situ of large and/or irregularly shaped and/or immovable works of art.

4 | EXPERIMENTAL SECTION

4.1 | Materials

Silver nitrate, sodium citrate, and MeOH were purchased from Sigma-Aldrich. Reference dyes rhodamine B, rhodamine 6G, eosin Y, poinceau 4R, crystal violet, erioglaucine, and tartrazine were also purchased from Sigma-Aldrich and used without modification. All glasswares were cleaned with *aqua regia* prior to Ag nanoink synthesis. Milli-Q water (resistivity >18 M Ω cm-1) was used throughout the experiments.

4.2 | Synthesis

Ag nanoinks were synthesized by a modification of the Lee and Meisel method reported by Polavarapu et al.^[21]

4.3 | Characterization

UV-vis spectra of Ag nanoinks were acquired using an Agilent/HP 8453 UV-vis Spectrophotometer (200 nm < λ <1100 nm). SEM images of nanoinks deposited on SiO2 substrates were acquired using a field emission SEM (JSM-6700F, JEOL UK Ltd.) operating at beam voltages of 2 kV. Raman spectra at 785 nm were obtained from a handheld PharmaID spectrometer. The laser power was around 50 mW, and typical acquisition time was between 0.2 and 10 s. To obtain SERS spectra, the POP method developed by Polavarapu et al. was used.^[21] Briefly, the cartridge of a commercial fountain pen was filled with Ag nanoink suspension and used to "write" a



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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

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