

# ASYMPTOTIC DECAY UNDER NONLINEAR AND NONCOERCIVE DISSIPATIVE EFFECTS FOR ELECTRICAL CONDUCTION IN BIOLOGICAL TISSUES

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**ABSTRACT.** We study the asymptotic convergence to a periodic steady state of the solution of a nonlinear system of equations modelling electric conduction in biological tissues. Such model keeps into account both the resistive behaviour of the intracellular and extracellular domain and the capacitive/resistive behaviour of the lipidic cellular membrane. Because of the large number of cells involved in the model an homogenized version of the problem is also available. Also for the homogenized problem asymptotic convergence to a periodic steady is proved. The rate of convergence is analyzed, moreover the systems of equations satisfied by the limits are exhibited.

**KEYWORDS:** Asymptotic decay, stability, nonlinear homogenization, two-scale techniques, electrical impedance tomography.

**AMS-MSC:** 35B40, 35B27, 45K05, 92C55

1: introduction

## 1. INTRODUCTION

Composite materials have widespread applications in science and technology and, for this reason, have been extensively studied especially using homogenization techniques. In this framework the authors have deeply investigated a problem arising in electric conduction in biological tissues (with the purpose of obtaining some useful results for applications in electrical tomography), see [?], [?], [?], [?], [?], [?], [?], [?], [?].

From a physical point of view the problem consists in the study of the electric currents crossing a living tissue when an electrical potential is applied at the boundary (see [?], [?], [?], [?], [?]). Here the living tissue is regarded as a composite periodic domain made of extracellular and intracellular materials (both assumed to be conductive, possibly with different conductivities) separated by a lipidic membrane which experiments prove to exhibit both conductive (due to ionic channels in the membrane) and capacitive behavior. In this regard the large number of cells contained in the biological sample allows us and even imposes to use an homogenization technique. Such technique yields the system of partial differential equations satisfied by the macroscopic electric potential  $u$ , i.e. the limit of the electric potential  $u_\varepsilon$  in the tissue as  $\varepsilon$  (the characteristic length of the cell) tends to zero.

Naturally, if we want the capacitive and the conductive behavior of the membranes to be maintained when  $\varepsilon \rightarrow 0$  we must properly rescale the capacity and the conductivity of such membranes with respect to  $\varepsilon$ . In [?] and [?] the authors have shown that, essentially, only three scalings are physically sensible. One of these scalings seems to be the more suitable to describe the behavior of the membranes at radiofrequency range (which is the standard frequency used in electric tomography) and for this reason it has been widely studied by the authors in [?], [?], [?], [?], [?], [?]. In this peculiar model the magnetic field is neglected (as suggested by experimental evidence) and the potential  $u_\varepsilon$  is assumed to satisfy an elliptic equation both in the intracellular and in the extracellular domain while, on the membranes it satisfies the equation

$$\frac{\alpha}{\varepsilon} \frac{\partial}{\partial t} [u_\varepsilon] + f\left(\frac{[u_\varepsilon]}{\varepsilon}\right) = \sigma^\varepsilon \nabla u_\varepsilon \cdot \nu_\varepsilon$$

where  $[u_\varepsilon]$  denotes the jump of the potential across the membranes and  $\sigma^\varepsilon \nabla u_\varepsilon \cdot \nu_\varepsilon$  is the current crossing the membranes. Note how the scaling parameter  $\varepsilon$  appears in our model. The previous condition on the membranes was rigorously obtained by the authors by means of a concentrated capacity technique in [?].

From a mathematical point of view a big difference does exist between the case of linear  $f$  and the nonlinear case. Homogenization limits have been rigorously found in both cases. In the linear case the result has been obtained in [?], [?] and [?], via asymptotic expansion in  $\varepsilon$  and it has been proved that the limit potential  $u$  satisfies an elliptic equation with memory for which an existence and uniqueness theorem has been proved in [?]. In the nonlinear case the approach is much more complicated and relies on the two-scale convergence technique. The final result is that, in this case, a memory effect is still present but it is not possible to find a single partial, differential equation satisfied by  $u$  (see [?]). Indeed, in this situation,  $u$  is coupled with another function  $u_1$  and together they satisfy a system of partial differential equations where  $u_1$  keeps into account the microscopic properties of the material and depends both on the macroscopic variable  $x$  and the microscopic variable  $y$  (also for this case existence and uniqueness results have been proved in [?]).

Going back to the technical applications of bioimpedance tomography it must be noticed that usually a time harmonic boundary data is applied and it is assumed that the resulting potential inside the biological material is time harmonic too. Under this assumption the behavior of the biological tissue is modeled by means of complex elliptic equations (one for every harmonic frequency). The correctness of this model has been proved by the authors in the linear case in [?], [?] and [?], investigating the time limit, as  $t \rightarrow +\infty$ , of the solution  $u$  of the homogenized problem. The authors were able to prove that the equations presently used in electric tomography can be rigorously obtained by means of an asymptotic limit with respect to  $t$  (when time periodic boundary data are assigned). They proved that  $u$  tends exponentially to a limit  $u^p$  and such a limit satisfies the partial differential equation currently used in applications. Also, as a new input, they were able to find the relation linking the complex admittivity of the limiting partial differential equation to the frequency of the assigned boundary data.

It is remarkable that an elliptic equation with memory has no, in principle, asymptotic stability even if the memory kernel decreases to zero exponentially when  $t \rightarrow +\infty$  (see [?]). For this reason, in [?], [?] and [?], the result is obtained proving an asymptotic exponential convergence in  $t$  for the problem of level  $\varepsilon$  (i.e. before homogenization) and observing that such a convergence is stable with respect to  $\varepsilon$ , so that it passes to the limit and holds also for  $u$ . The proof relies on an appropriate eigenvalue theorem for the problem of level  $\varepsilon$  satisfied in a periodic unitary cell. See, for instance, [?] and [?] for an alternative approach relying on some extra-assumptions on the structures of the kernel. Nevertheless the problem at level  $\varepsilon$  is not in general asymptotically stable in  $t$ . In fact, if  $f$  is identically equal to zero,  $u_\varepsilon$  does not tend to zero exponentially in  $t$  even if a homogeneous boundary condition is assigned. To get such a result the initial jumps of the potential across the cellular membranes must have zero mean value on each membrane. However this fact will have major consequences when the nonlinear case will be treated. Indeed, in the linear case we can always assume that the initial jumps have zero mean value by subtracting from the initial potential a piecewise constant function, while in the nonlinear one this is not possible and we must proceed in a different way. However such a pathology does not appear in the homogenized problem.

Motivated by the previous considerations, in this paper we investigate the behavior as  $t \rightarrow +\infty$  of the nonlinear problem introduced in [?]. We will prove that, if periodic boundary data are assigned and  $f$  is coercive in the sense of (??), then the solution of the  $\varepsilon$ -problem converges as  $t \rightarrow +\infty$  to a periodic function solving a suitable system of equations. In this case then such a convergence is exponential. Moreover, if we have homogeneous boundary data, then at least the homogenized solution tends exponentially to zero for a much more general class of functions  $f$ ; for example  $f$  may be not increasing, provided it is Lipschitz-continuous and

$$f(s_1) - f(s_2) > -L_-(s_1 - s_2), \quad \forall s_1, s_2 \in \mathbf{R}$$

with  $L_-$  sufficiently small (see Remark ??).

Analogously, the asymptotic convergence of the solution  $(u, u_1)$  of the homogenized problem to a periodic solution  $(u^p, u^{1p})$  solving a suitable system of equations is proved when periodic boundary data is assigned. Such convergence is exponential if the boundary data is identically equal to zero. If this is not the case, as before,  $f$  must be assumed to satisfy (??).

It is important to note that in [?], [?] and [?] our approach was based on eigenvalue estimates which made it possible to keep into account (as far as the asymptotic rate of convergence is concerned) both the dissipative properties of the intra/extra cellular phases and the dissipative properties of the membranes. Here, for technical reasons, we are able to use this method only in the case of homogeneous boundary data. Instead, in the general case, we proceed by exploiting the coercivity of  $f$ , hence the electrical properties of the intra/extra cellular phases do not appear in the rate of convergence.

If  $f$  is not coercive it must be assumed to be monotone increasing and we can proceed in two different ways: with the first one the asymptotic convergence of  $(u, u^1)$  as  $t \rightarrow +\infty$  is proved via a Liapunov-style technique and the rate of convergence is not

quantified, with the second one &SPERIAMO CHE FUNZIONI the convergence of  $(u, u^1)$  is, again, proved to be exponential.

The paper is organized as follows: in Section 2 we present the geometrical setting and the nonlinear differential model governing our problem at the microscale  $\varepsilon$ . In Section 3 we prove the exponential decay in time of the solution of the microscopic problem, both in the case of homogeneous boundary data and in the case of time-periodic boundary data. In this last case, we need the additional coercivity assumption on the nonlinear function  $f$ , governing the dissipative properties of the cell membrane. Finally, in Section 4, we recall the definition and some useful results concerning the two-scale convergence on bulks and on surfaces and we prove the exponential decay in time of the solution of the macroscopic (or homogenized) problem, providing also the differential system satisfied by the limit function.

## 2. PRELIMINARIES

s:prel

Let  $\Omega$  be an open bounded subset of  $\mathbf{R}^N$ . In the sequel  $\gamma$  or  $\tilde{\gamma}$  will denote constants which may vary from line to line and which depend on the characteristic parameters of the problem, but which are independent of the quantities tending to zero, such as  $\varepsilon$ ,  $\delta$  and so on, unless explicitly specified.

ss:geometry

**2.1. The geometrical setting.** The typical geometry we have in mind is depicted in Figure 1. In order to be more specific, assume  $N \geq 3$  and let us introduce a

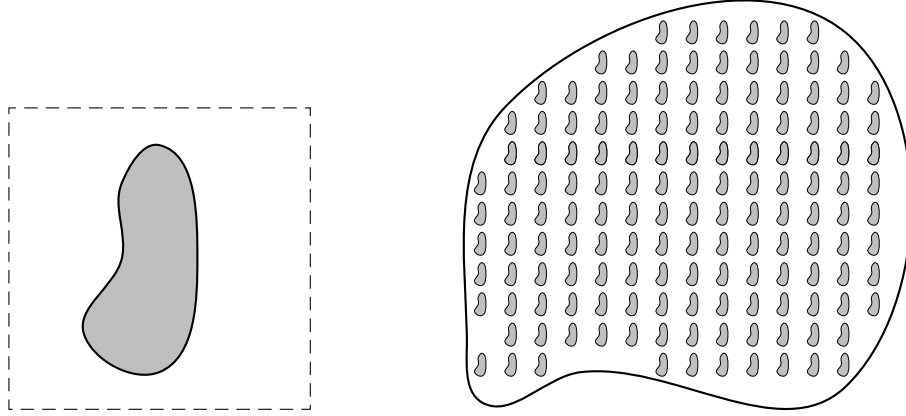


FIGURE 1. On the left: an example of admissible periodic unit cell  $Y = E_1 \cup E_2 \cup \Gamma$  in  $\mathbf{R}^2$ . Here  $E_1$  is the shaded region and  $\Gamma$  is its boundary. The remaining part of  $Y$  (the white region) is  $E_2$ . On the right: the corresponding domain  $\Omega = \Omega_1^\varepsilon \cup \Omega_2^\varepsilon \cup \Gamma^\varepsilon$ . Here  $\Omega_1^\varepsilon$  is the shaded region and  $\Gamma^\varepsilon$  is its boundary. The remaining part of  $\Omega$  (the white region) is  $\Omega_2^\varepsilon$ .

fig:omega

periodic open subset  $E$  of  $\mathbf{R}^N$ , so that  $E + z = E$  for all  $z \in \mathbf{Z}^N$ . For all  $\varepsilon > 0$  define  $\Omega_1^\varepsilon = \Omega \cap \varepsilon E$ ,  $\Omega_2^\varepsilon = \Omega \setminus \varepsilon \bar{E}$ . We assume that  $\Omega$ ,  $E$  have regular boundary, say of class  $\mathcal{C}^\infty$  for the sake of simplicity, that  $\Omega_2^\varepsilon$  is a connected subset of  $\Omega$  and  $\text{dist}(\Gamma^\varepsilon, \partial\Omega) \geq \gamma\varepsilon$ , where  $\Gamma^\varepsilon = \partial\Omega_1^\varepsilon$ . We also employ the notation  $Y = (0, 1)^N$ , and

$E_1 = E \cap Y$ ,  $E_2 = Y \setminus \overline{E}$ ,  $\Gamma = \partial E \cap \overline{Y}$ . As a simplifying assumption, we stipulate that  $\Gamma \cap \partial Y = \emptyset$ . We denote by  $\nu$  the normal unit vector to  $\Gamma$  pointing into  $E_2$ , so that  $\nu_\varepsilon(x) = \nu(\varepsilon^{-1}x)$ .

For later use, we denote also

$$\sigma(y) = \begin{cases} \sigma_1 & \text{if } y \in E_1, \\ \sigma_2 & \text{if } y \in E_2, \end{cases} \quad \text{and} \quad \sigma_0 = |E_1|\sigma_1 + |E_2|\sigma_2,$$

where  $\sigma_1, \sigma_2$  are positive constants, and we also set  $\sigma^\varepsilon(x) = \sigma(\varepsilon^{-1}x)$ . Moreover, let us set

$$\mathfrak{C}_{\#}^1(Y) := \{u : Y \rightarrow \mathbf{R} \mid u|_{E_1} \in \mathcal{C}^1(\overline{E_1}), u|_{E_2} \in \mathcal{C}^1(\overline{E_2}), \text{ and } u \text{ is } Y\text{-periodic}\},$$

$$\mathcal{X}_{\#}^1(Y) := \{u \in L^2(Y) \mid u|_{E_1} \in H^1(E_1), u|_{E_2} \in H^1(E_2), \text{ and } u \text{ is } Y\text{-periodic}\},$$

and

$$\mathcal{X}^1(\Omega_\varepsilon) := \{u \in L^2(\Omega) \mid u|_{\Omega_1^\varepsilon} \in H^1(\Omega_1^\varepsilon), u|_{\Omega_2^\varepsilon} \in H^1(\Omega_2^\varepsilon)\}.$$

We note that, if  $u \in \mathcal{X}_{\#}^1(Y)$  then the traces of  $u|_{E_i}$  on  $\Gamma$ , for  $i = 1, 2$ , belong to  $H^{1/2}(\Gamma)$ , as well as  $u \in \mathcal{X}^1(\Omega_\varepsilon)$  implies that the traces of  $u|_{\Omega_i^\varepsilon}$  on  $\Gamma^\varepsilon$ , for  $i = 1, 2$ , belong to  $H^{1/2}(\Gamma^\varepsilon)$ .

ss:statement

**2.2. Statement of the problem.** We write down the model problem:

$$-\operatorname{div}(\sigma_1 \nabla u_\varepsilon) = 0, \quad \text{in } \Omega_1^\varepsilon \times (0, T); \quad (2.1) \quad \text{eq:PDEin}$$

$$-\operatorname{div}(\sigma_2 \nabla u_\varepsilon) = 0, \quad \text{in } \Omega_2^\varepsilon \times (0, T); \quad (2.2) \quad \text{eq:PDEout}$$

$$[\sigma^\varepsilon \nabla u_\varepsilon \cdot \nu_\varepsilon] = 0, \quad \text{on } \Gamma^\varepsilon \times (0, T); \quad (2.3) \quad \text{eq:FluxCont}$$

$$\frac{\alpha}{\varepsilon} \frac{\partial}{\partial t} [u_\varepsilon] + f \left( \frac{[u_\varepsilon]}{\varepsilon} \right) = \sigma^\varepsilon \nabla u_\varepsilon \cdot \nu_\varepsilon, \quad \text{on } \Gamma^\varepsilon \times (0, T); \quad (2.4) \quad \text{eq:Circuit}$$

$$[u_\varepsilon](x, 0) = S_\varepsilon(x), \quad \text{on } \Gamma^\varepsilon; \quad (2.5) \quad \text{eq:InitData}$$

$$u_\varepsilon(x) = \Psi(x, t), \quad \text{on } \partial\Omega \times (0, T), \quad (2.6) \quad \text{eq:BoundDat}$$

where  $\sigma_1, \sigma_2$  are defined in the previous subsection and  $\alpha > 0$  is a constant; moreover, we note that, by the definition already given in the previous section,  $\nu_\varepsilon$  is the normal unit vector to  $\Gamma^\varepsilon$  pointing into  $\Omega_2^\varepsilon$ . Since  $u_\varepsilon$  is not in general continuous across  $\Gamma^\varepsilon$  we set

$$u_\varepsilon^{(1)} := \text{trace of } u_\varepsilon|_{\Omega_1^\varepsilon} \text{ on } \Gamma^\varepsilon \times (0, T); \quad u_\varepsilon^{(2)} := \text{trace of } u_\varepsilon|_{\Omega_2^\varepsilon} \text{ on } \Gamma^\varepsilon \times (0, T).$$

Indeed we refer conventionally to  $\Omega_1^\varepsilon$  as to the *interior domain*, and to  $\Omega_2^\varepsilon$  as to the *outer domain*. We also denote

$$[u_\varepsilon] := u_\varepsilon^{(2)} - u_\varepsilon^{(1)}.$$

Similar conventions are employed for other quantities, for example in (2.3). In this framework we will assume that

$$S_\varepsilon \in H^{1/2}(\Gamma^\varepsilon), \quad \int_{\Gamma^\varepsilon} S_\varepsilon^2(x) \, d\sigma \leq \gamma \varepsilon. \quad (2.7) \quad \text{eq:assumpt2}$$

Moreover,  $f : \mathbf{R} \rightarrow \mathbf{R}$  satisfies

$$f \text{ is a Lipschitz-continuous function with Lipschitz constant } L, \quad (2.8) \quad \text{eq:assumpt1}$$

$$f \text{ is a strictly monotone function and } f'(s) \geq 0, \quad \forall s \in \mathbf{R}; \quad (2.9) \quad \text{eq:a37}$$

$$f(0) = 0, \quad (2.10) \quad \text{eq:assumpt1}$$

$$f'(s) \geq \delta_0, \quad \text{for a suitable } \delta_0 > 0 \text{ and } \forall s \text{ sufficiently large.} \quad (2.11) \quad \text{eq:a38}$$

Previous assumptions imply also

$$f(s)s \geq \lambda_1 s^2 - \lambda_2 |s|, \quad \text{for some constants } \lambda_1 > 0 \text{ and } \lambda_2 \geq 0. \quad (2.12) \quad \text{eq:a36}$$

Finally,  $\Psi : \Omega \times \mathbf{R} \rightarrow \mathbf{R}$  is a function satisfying the following assumption

$$\begin{aligned} i) \quad & \Psi \in L^2_{loc}(\mathbf{R}; H^2(\Omega)); \\ ii) \quad & \Psi_t \in L^2_{loc}(\mathbf{R}; H^1(\Omega)); \\ iii) \quad & \Psi(x, \cdot) \text{ is 1-periodic} \quad \text{for a.e. } x \in \Omega. \end{aligned} \quad (2.13) \quad \text{eq:h1}$$

These assumptions will guarantee the time asymptotic decay of the solution  $u_\varepsilon$ , when  $\varepsilon$  is fixed. The set of equations (2.1)–(2.6) models electrical conduction in a biological tissue. It is important to notice that the first term in the left hand side of (2.4) models the behavior of the lipidic cell membrane which acts mainly as a capacitor, while the second term in the left hand side keeps into account the resistive behavior of the membrane which is caused by channels allowing charged molecules to go through. Here the resistive behavior is assumed to be nonlinear and it is relevant that the small parameter  $\varepsilon$ , which is of the order of magnitude of the cell width, appears inside the argument of  $f$ . Existence of such solution has been proved in [?]. Moreover, by [?, Lemma 3.6 and Remark 3.8] it follows that  $u_\varepsilon \in \mathcal{C}^0((0, T]; \mathcal{X}^1(\Omega_\varepsilon))$  and  $[u_\varepsilon] \in \mathcal{C}^0((0, T]; L^2(\Gamma^\varepsilon))$ .

### 3. ASYMPTOTIC CONVERGENCE TO A PERIODIC SOLUTION OF THE $\varepsilon$ -PROBLEM

The purpose of this section is to prove the asymptotic convergence of the solution of problem (2.1)–(2.6) to a periodic function  $u_\varepsilon^\#$  when  $t \rightarrow +\infty$ . The function  $u_\varepsilon^\#$  is, in turn, a solution of the system

$$-\operatorname{div}(\sigma^\varepsilon \nabla u_\varepsilon^\#) = 0, \quad \text{in } (\Omega_1^\varepsilon \cup \Omega_2^\varepsilon) \times \mathbf{R}; \quad (3.1) \quad \text{eq:per_PDE}$$

$$[\sigma^\varepsilon \nabla u_\varepsilon^\# \cdot \nu_\varepsilon] = 0, \quad \text{on } \Gamma^\varepsilon \times \mathbf{R}; \quad (3.2) \quad \text{eq:per_Flux}$$

$$\frac{\alpha}{\varepsilon} \frac{\partial}{\partial t} [u_\varepsilon^\#] + f\left(\frac{[u_\varepsilon^\#]}{\varepsilon}\right) = (\sigma^\varepsilon \nabla u_\varepsilon^\# \cdot \nu_\varepsilon), \quad \text{on } \Gamma^\varepsilon \times \mathbf{R}; \quad (3.3) \quad \text{eq:per_Circ}$$

$$u_\varepsilon^\#(x, t) = \Psi(x, t), \quad \text{on } \partial\Omega \times \mathbf{R}; \quad (3.4) \quad \text{eq:per_Bound}$$

$$u_\varepsilon^\#(x, \cdot) \text{ is 1-periodic,} \quad \text{in } \Omega. \quad (3.5) \quad \text{eq:per_peri}$$

Indeed, this problem is derived from (2.1)–(2.6) replacing equation (2.5) with (3.5). As a first step we will prove the following result.

**p:prop6**

**Proposition 3.1.** *Under the assumptions (2.9)–(2.12), problem (3.1)–(3.4) admits a 1-periodic solution  $u_\varepsilon^\# \in \mathcal{C}^0([0, 1]; \mathcal{X}^1(\Omega_\varepsilon))$ .*

*Proof.* For  $\delta > 0$ , let us denote by  $f_\delta(s) := f(s) + \delta s$ , for every  $s \in \mathbf{R}$ , and consider the problem

$$-\operatorname{div}(\sigma^\varepsilon \nabla u_{\varepsilon,\delta}^\#) = 0, \quad \text{in } (\Omega_1^\varepsilon \cup \Omega_2^\varepsilon) \times \mathbf{R}; \quad (3.6)$$

$$[\sigma^\varepsilon \nabla u_{\varepsilon,\delta}^\# \cdot \nu_\varepsilon] = 0, \quad \text{on } \Gamma^\varepsilon \times \mathbf{R}; \quad (3.7)$$

$$\frac{\alpha}{\varepsilon} \frac{\partial}{\partial t} [u_{\varepsilon,\delta}^\#] + f_\delta \left( \frac{[u_{\varepsilon,\delta}^\#]}{\varepsilon} \right) = \sigma^\varepsilon \nabla u_{\varepsilon,\delta}^\# \cdot \nu_\varepsilon, \quad \text{on } \Gamma^\varepsilon \times \mathbf{R}; \quad (3.8)$$

$$u_{\varepsilon,\delta}^\#(x, t) = \Psi(x, t), \quad \text{on } \partial\Omega \times \mathbf{R}; \quad (3.9)$$

$$u_{\varepsilon,\delta}^\#(x, \cdot) \text{ is 1-periodic,} \quad \text{in } \Omega. \quad (3.10)$$

For any positive  $\varepsilon$  and  $\delta$ , the preceding problem admits an unique periodic solution because of the results already proved in [?].

On the other hand, denoting with  $u_{\varepsilon,\delta}$  such a solution, multiplying equation (3.6) by  $u_{\varepsilon,\delta}^\# - \Psi$ , integrating by parts on  $\Omega \times [0, 1]$ , using the periodicity and taking into account equations (3.7)–(3.9), we get

$$\int_0^1 \int_\Omega \frac{\sigma^\varepsilon}{2} |\nabla u_{\varepsilon,\delta}^\#|^2 dx dt + \int_0^1 \int_{\Gamma^\varepsilon} f_\delta \left( \frac{[u_{\varepsilon,\delta}^\#]}{\varepsilon} \right) [u_{\varepsilon,\delta}^\#] d\sigma dt \leq \int_0^1 \int_\Omega \frac{\sigma^\varepsilon}{2} |\nabla \Psi|^2 dx dt. \quad (3.11)$$

Finally, using (2.12), we obtain

$$\int_0^1 \int_\Omega \frac{\sigma^\varepsilon}{2} |\nabla u_{\varepsilon,\delta}^\#|^2 dx dt + \int_0^1 \int_{\Gamma^\varepsilon} \frac{\lambda_1}{2\varepsilon} [u_{\varepsilon,\delta}^\#]^2 d\sigma dt \leq \int_0^1 \int_\Omega \frac{\sigma^\varepsilon}{2} |\nabla \Psi|^2 dx dt + \frac{\varepsilon}{2\lambda_1} \lambda_2^2 |\Gamma^\varepsilon|. \quad (3.12)$$

Multiplying now equation (3.6) by  $u_{\varepsilon,\delta,t}^\# - \Psi_t$ , integrating by parts on  $\Omega \times [0, 1]$ , using the periodicity and taking into account equations (3.7)–(3.9), we get

$$\begin{aligned} & \frac{\alpha}{\varepsilon} \int_0^1 \int_{\Gamma^\varepsilon} [u_{\varepsilon,\delta,t}^\#]^2 d\sigma dt + \int_0^1 \int_{\Gamma^\varepsilon} f_\delta \left( \frac{[u_{\varepsilon,\delta}^\#]}{\varepsilon} \right) [u_{\varepsilon,\delta,t}^\#] d\sigma dt \\ & \leq \int_0^1 \int_\Omega \sigma^\varepsilon \nabla u_{\varepsilon,\delta}^\# \nabla \Psi_t dx dt \leq \int_0^1 \int_\Omega \frac{\sigma^\varepsilon}{2} |\nabla u_{\varepsilon,\delta}^\#|^2 dx dt + \int_0^1 \int_\Omega \frac{\sigma^\varepsilon}{2} |\nabla \Psi_t|^2 dx dt \\ & \leq \int_0^1 \int_\Omega \frac{\sigma^\varepsilon}{2} |\nabla \Psi|^2 dx dt + \frac{\varepsilon}{2\lambda_1} \lambda_2^2 |\Gamma^\varepsilon| + \int_0^1 \int_\Omega \frac{\sigma^\varepsilon}{2} |\nabla \Psi_t|^2 dx dt, \end{aligned} \quad (3.13)$$

where we used (3.12). Hence

$$\begin{aligned}
& \frac{\alpha}{2\varepsilon} \int_0^1 \int_{\Gamma^\varepsilon} [u_{\varepsilon,\delta,t}^\#]^2 \, d\sigma \, dt \\
& \leq \int_0^1 \int_{\Gamma^\varepsilon} \frac{(L+\delta)^2}{2\alpha\varepsilon} [u_{\varepsilon,\delta}^\#]^2 \, d\sigma \, dt + \int_0^1 \int_{\Omega} \frac{\sigma^\varepsilon}{2} |\nabla \Psi_t|^2 \, dx \, dt + \int_0^1 \int_{\Omega} \frac{\sigma^\varepsilon}{2} |\nabla \Psi|^2 \, dx \, dt + \frac{\varepsilon}{2\lambda_1} \lambda_2^2 |\Gamma^\varepsilon| \\
& \leq \left( \int_0^1 \int_{\Omega} \frac{\sigma^\varepsilon}{2} |\nabla \Psi|^2 \, dx \, dt + \frac{\varepsilon}{2\lambda_1} \lambda_2^2 |\Gamma^\varepsilon| \right) \left( \frac{(L+\delta)^2 \lambda_1}{\alpha} + 1 \right) + \int_0^1 \int_{\Omega} \frac{\sigma^\varepsilon}{2} |\nabla \Psi_t|^2 \, dx \, dt,
\end{aligned} \tag{3.14}$$

eq:a42

where we used again (3.12). Inequalities (3.12) and respectively (3.14), for  $\varepsilon > 0$  fixed, yield the weak convergence of  $u_{\varepsilon,\delta}^\#$  and  $\nabla u_{\varepsilon,\delta}^\#$  in  $L^2(\Omega_i^\varepsilon \times (0, 1))$ ,  $i = 1, 2$ , and respectively the strong convergence of  $[u_{\varepsilon,\delta}^\#]$  in  $L^2(\Gamma^\varepsilon \times (0, 1))$ , for  $\delta \rightarrow 0$ . Since all the functions  $u_{\varepsilon,\delta}^\#$  are 1-periodic, denoting as usual with  $u_\varepsilon^\#$  the limit of  $u_{\varepsilon,\delta}^\#$  we have that the same periodicity holds true for  $u_\varepsilon^\#$ . Moreover we can pass to the limit, as  $\delta \rightarrow 0$ , in problem (3.6)–(3.9), thus obtaining that  $u_\varepsilon^\#$  is a 1-periodic solution of problem (3.1)–(3.4), under the assumptions (2.9)–(2.11).

Since the estimates above, and the ones for  $\nabla u_{\varepsilon,\delta,t}$  are uniform in  $\delta$ , we have that  $u_\varepsilon^\#$  belongs to the class claimed in the statement.  $\square$

r:rem1

*Remark 3.2.* The uniformity of the above estimates is a result similar to the one obtained in Lemma 3.6 of [?].  $\square$

Given  $\varepsilon > 0$ , it remains to prove the asymptotic convergence of a solution  $u_\varepsilon$  of (2.1)–(2.6) to  $u_\varepsilon^\#$ , for  $t \rightarrow +\infty$ , also in this case, as stated in the following theorem.

t:t5

**Theorem 3.3.** *Let  $\varepsilon > 0$  be fixed and let  $u_\varepsilon$  be the solution of problem (2.1)–(2.6). Then, for  $t \rightarrow +\infty$ ,  $u_\varepsilon \rightarrow u_\varepsilon^\#$  in the following sense:*

$$\lim_{t \rightarrow +\infty} \|u_\varepsilon(\cdot, t) - u_\varepsilon^\#(\cdot, t)\|_{L^2(\Omega)} = 0; \tag{3.15}$$

eq:decayper

$$\lim_{t \rightarrow +\infty} \|\nabla u_\varepsilon(\cdot, t) - \nabla u_\varepsilon^\#(\cdot, t)\|_{L^2(\Omega)} = 0; \tag{3.16}$$

eq:decayper

$$\lim_{t \rightarrow +\infty} \frac{1}{\varepsilon} \|[u_\varepsilon](\cdot, t) - [u_\varepsilon^\#](\cdot, t)\|_{L^2(\Gamma^\varepsilon)} = 0. \tag{3.17}$$

eq:decayper

*Proof.* Setting  $r_\varepsilon := u_\varepsilon^\# - u_\varepsilon$ , we obtain that  $r_\varepsilon$  satisfies

$$-\operatorname{div}(\sigma^\varepsilon \nabla r_\varepsilon) = 0, \quad \text{in } (\Omega_1^\varepsilon \cup \Omega_2^\varepsilon) \times (0, +\infty); \tag{3.18}$$

eq:PDEboth1

$$[\sigma^\varepsilon \nabla r_\varepsilon \cdot \nu_\varepsilon] = 0, \quad \text{on } \Gamma^\varepsilon \times (0, +\infty); \tag{3.19}$$

eq:FluxCont

$$\frac{\alpha}{\varepsilon} \frac{\partial}{\partial t} [r_\varepsilon] + g_\varepsilon(x, t) \frac{[r_\varepsilon]}{\varepsilon} = \sigma^\varepsilon \nabla r_\varepsilon \cdot \nu_\varepsilon, \quad \text{on } \Gamma^\varepsilon \times (0, +\infty); \tag{3.20}$$

eq:Circuit1

$$[r_\varepsilon](x, 0) = [u_\varepsilon^\#(x, 0)] - S_\varepsilon(x) =: \widehat{S}_\varepsilon(x), \quad \text{on } \Gamma^\varepsilon; \tag{3.21}$$

eq:InitData

$$r_\varepsilon(x) = 0, \quad \text{on } \partial\Omega \times (0, +\infty); \tag{3.22}$$

eq:BoundDat



where  $g_\varepsilon(x, t) := \frac{f\left(\frac{[u_\varepsilon^\#]}{\varepsilon}(x, t)\right) - f\left(\frac{[u_\varepsilon]}{\varepsilon}(x, t)\right)}{\frac{[u_\varepsilon^\#]}{\varepsilon}(x, t) - \frac{[u_\varepsilon]}{\varepsilon}(x, t)} \geq 0$ , and  $\widehat{S}_\varepsilon(x)$  still satisfies assumption (2.7)

because of the energy inequality satisfied by  $u_\varepsilon^\#$  and by (3.12). Multiplying equation (3.18) by  $r_\varepsilon$  and integrating by parts we have

$$\int_{\Omega} \sigma^\varepsilon |\nabla r_\varepsilon|^2 dx + \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_{\varepsilon, t}] [r_\varepsilon] d\sigma + \int_{\Gamma^\varepsilon} \frac{g_\varepsilon(x, t)}{\varepsilon} [r_\varepsilon]^2 d\sigma = 0. \quad (3.23) \quad \boxed{\text{eq: a43}}$$

Equation (3.23) implies that the function  $t \mapsto \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma$  is a positive, decreasing function of  $t$ ; hence, it tends to a limit value  $\bar{r}_\varepsilon \geq 0$  as  $t \rightarrow +\infty$ . We claim that the value  $\bar{r}_\varepsilon$  must be zero. Otherwise, there exists  $\bar{t} > 0$ , such that, for  $t \geq \bar{t}$ ,  $\frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma \geq \frac{\bar{r}_\varepsilon}{2}$ . On the other hand, given  $t > \bar{t}$  and setting  $\Gamma_{\bar{r}_\varepsilon}^\varepsilon(t) := \{x \in \Gamma^\varepsilon : [r_\varepsilon(x, t)]^2 \leq \frac{\bar{r}_\varepsilon \varepsilon}{4\alpha |\Gamma^\varepsilon|}\}$ , it is evident that

$$\frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon \setminus \Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)} [r_\varepsilon(x, t)]^2 d\sigma \geq \frac{\bar{r}_\varepsilon}{4}, \quad \forall t \geq \bar{t}. \quad (3.24) \quad \boxed{\text{eq: a44}}$$

Indeed, for every  $t \geq \bar{t}$ , by definition,

$$\begin{aligned} \frac{\bar{r}_\varepsilon}{2} &\leq \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma \leq \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon \setminus \Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)} [r_\varepsilon(x, t)]^2 d\sigma + \frac{\alpha}{\varepsilon} \int_{\Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)} [r_\varepsilon(x, t)]^2 d\sigma \\ &\leq \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon \setminus \Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)} [r_\varepsilon(x, t)]^2 d\sigma + \frac{\alpha}{\varepsilon} \frac{\bar{r}_\varepsilon}{4\alpha |\Gamma^\varepsilon|} |\Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)| \leq \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon \setminus \Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)} [r_\varepsilon(x, t)]^2 d\sigma + \frac{\bar{r}_\varepsilon}{4}, \end{aligned}$$

which implies (3.24). Moreover, for  $t \geq \bar{t}$ , we have that, on  $\Gamma^\varepsilon \setminus \Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)$ ,  $g_\varepsilon(x, t) \geq \chi > 0$ , where  $\chi$  is a suitable positive constant depending only on  $(\bar{r}_\varepsilon, \varepsilon, \alpha, |\Gamma^\varepsilon|)$  (this last result follows from assumption (2.9)–(2.12)). Hence, using (3.23), it follows

$$\begin{aligned} \frac{d}{dt} \left( \frac{\alpha}{2\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma \right) &\leq - \int_{\Gamma^\varepsilon \setminus \Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)} \frac{g_\varepsilon(x, t)}{\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma \\ &\leq -\chi \int_{\Gamma^\varepsilon \setminus \Gamma_{\bar{r}_\varepsilon}^\varepsilon(t)} \frac{1}{\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma \leq -\frac{\bar{r}_\varepsilon}{4\alpha} \chi < 0. \quad (3.25) \quad \boxed{\text{eq: a45}} \end{aligned}$$

Inequality (3.25) clearly contradicts the asymptotic convergence in  $t$  of the function  $t \mapsto \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma$ , hence

$$\lim_{t \rightarrow +\infty} \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma = 0. \quad (3.26) \quad \boxed{\text{eq: a46}}$$

In particular, this gives (3.17). Integrating (3.23) in  $[t, \infty)$  and taking into account (3.26), we get

$$\int_t^{+\infty} \int_{\Omega} \sigma^\varepsilon |\nabla r_\varepsilon|^2 dx dt \leq \frac{\alpha}{2\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma, \quad (3.27) \quad \boxed{\text{eq:a47}}$$

which implies

$$\lim_{t \rightarrow +\infty} \int_t^{+\infty} \int_{\Omega} \sigma^\varepsilon |\nabla r_\varepsilon|^2 dx dt = 0. \quad (3.28) \quad \boxed{\text{eq:a48}}$$

Condition (3.28) guarantees that for every positive  $\eta$  there exists a  $\widehat{t}(\eta) > 0$ , such that

$$\int_{\widehat{t}}^{+\infty} \int_{\Omega} \sigma^\varepsilon |\nabla r_\varepsilon|^2 dx dt \leq \eta,$$

which, in turn implies that, for every natural number  $n$ , there exists a  $t_n \in (\widehat{t} + n, \widehat{t} + (n + 1))$ , such that

$$\int_{\Omega} \sigma^\varepsilon |\nabla r_\varepsilon(x, t_n)|^2 dx \leq \eta. \quad (3.29) \quad \boxed{\text{eq:a49}}$$

Now, we multiply (3.18) by  $r_{\varepsilon, t}$  and integrate in  $\Omega$ , so that

$$\int_{\Omega} \sigma^\varepsilon \nabla r_\varepsilon \nabla r_{\varepsilon, t}(x, t) dx + \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_{\varepsilon, t}(x, t)]^2 d\sigma + \int_{\Gamma^\varepsilon} \frac{g_\varepsilon(x, t)}{\varepsilon} [r_\varepsilon(x, t)] [r_{\varepsilon, t}(x, t)] d\sigma = 0, \quad (3.30) \quad \boxed{\text{eq:a50}}$$

which implies

$$\int_{\Omega} \sigma^\varepsilon \nabla r_\varepsilon \nabla r_{\varepsilon, t} dx \leq \int_{\Gamma^\varepsilon} \frac{g_\varepsilon^2(x, t)}{2\alpha\varepsilon} [r_\varepsilon]^2 d\sigma. \quad (3.31) \quad \boxed{\text{eq:a51}}$$

Moreover, integrating (3.31) in  $[t_n, t^*]$  with  $t^* \in [t_n, t_n + 2]$  and using (3.29), we have

$$\sup_{t \in [t_n, t_n + 2]} \left( \int_{\Omega} \frac{\sigma^\varepsilon}{2} |\nabla r_\varepsilon(x, t)|^2 dx \right) \leq \frac{\eta}{2} + \frac{L^2}{2\alpha^2} \sup_{t \in [t_n, +\infty)} \left( \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon(x, t)]^2 d\sigma \right), \quad \forall n \in \mathbf{N}.$$

Since  $t_{n+1} - t_n < 2$ , the intervals of the form  $[t_n, t_n + 2]$ , when  $n$  varies in  $\mathbf{N}$ , are overlapping; hence, we obtain

$$\sup_{t \in [\widehat{t} + 1, +\infty)} \left( \int_{\Omega} \frac{\sigma^\varepsilon}{2} |\nabla r_\varepsilon(x, t)|^2 dx \right) \leq \frac{\eta}{2} + \frac{2L^2}{\alpha^2} \sup_{t \in [\widehat{t}, +\infty)} \left( \frac{\alpha}{\varepsilon} \int_{\Gamma^\varepsilon} [r_\varepsilon]^2 d\sigma \right). \quad (3.32) \quad \boxed{\text{eq:a52}}$$

Because of (3.26) the integral in the right-hand side of (3.32) can be made smaller than  $\frac{\eta}{2} \left( \frac{L^2}{\alpha^2} \right)^{-1}$ , provided  $\widehat{t}$  is chosen sufficiently large in dependence of  $\eta$ . This means

that

$$\sup_{t \in [\ell+1, +\infty)} \left( \int_{\Omega} \frac{\sigma^\varepsilon}{2} |\nabla r_\varepsilon(x, t)|^2 dx \right) \leq \eta, \quad (3.33) \quad \boxed{\text{eq:a53}}$$

hence

$$\lim_{t \rightarrow +\infty} \int_{\Omega} \sigma^\varepsilon |\nabla r_\varepsilon(x, t)|^2 dx = 0. \quad (3.34) \quad \boxed{\text{eq:a54}}$$

In particular, this gives (3.16). Finally, Poincaré's inequality together with (3.26) and (3.34) yield

$$\lim_{t \rightarrow +\infty} \int_{\Omega} |r_\varepsilon(x, t)|^2 dx = 0, \quad (3.35) \quad \boxed{\text{eq:a55}}$$

which gives (3.15).  $\square$

**r:rem10bis**

*Remark 3.4.* Observe that this asymptotic convergence result implies uniqueness of the periodic solution (in the class of functions specified above).  $\square$

#### 4. EXPONENTIAL DECAY OF THE SOLUTION OF THE HOMOGENIZED PROBLEM

**asymptotic\_hom**

The aim of this section is to prove a result similar to the one proved in the previous section; i.e., the convergence of the solution to a periodic steady state, for the homogenized problem. We will employ a slightly different technique which is maybe simpler than the one displayed above. To this purpose we will make use of some fundamental properties of two-scale convergence, which we recall in the following.

**ss:twoscale**

**4.1. Two-scale convergence.** In this subsection we recall some definitions and properties concerning two-scale convergence in the time-dependent case (for a survey in this topic see, for instance, [?, Section 4]).

We firstly recall the following definition ([?, Definition 2.1]).

**d:2scale\_test2**

**Definition 4.1.** A function  $\varphi \in L^2((0, T); L^2(\Omega \times Y))$ , which is  $Y$ -periodic in  $y$  and which satisfies

$$\lim_{\varepsilon \rightarrow 0} \int_0^T \int_{\Omega} \varphi^2 \left( x, \frac{x}{\varepsilon}, t \right) dx dt = \int_0^T \int_{\Omega \times Y} \varphi^2(x, y, t) dx dy dt, \quad (4.1) \quad \boxed{\text{eq:test3}}$$

is called *admissible* test function for the two-scale convergence on  $L^2((0, T); L^2(\Omega))$ .

**r:test1bis**

*Remark 4.2.* We recall that any function  $\varphi \in \mathcal{C}^0(\overline{\Omega} \times [0, T]; \mathcal{C}_{\#}^0(Y))$  is an admissible test function as well as any function  $\varphi \in L^2_{\#}(Y; \mathcal{C}^0(\overline{\Omega} \times [0, T]))$  (see [?, Remark 1.5]).  $\square$

**d:2scale\_new**

**Definition 4.3.** Given a sequence  $\{h_\varepsilon\} \in L^2((0, T); L^2(\Omega))$  and a function  $h_0 \in L^2((0, T); L^2(\Omega \times Y))$ , we say that  $h_\varepsilon$  two-scale converges to  $h_0$  in  $L^2((0, T); L^2(\Omega \times Y))$  for  $\varepsilon \rightarrow 0$  (and we write  $h_\varepsilon \xrightarrow{2\text{-sc}} h_0$ ) if

$$\lim_{\varepsilon \rightarrow 0} \int_0^T \int_{\Omega} h_\varepsilon(x, t) \varphi \left( x, \frac{x}{\varepsilon}, t \right) dx dt = \int_0^T \int_{\Omega \times Y} h_0(x, y, t) \varphi(x, y, t) dx dy dt$$

for any admissible test function.

th:2scale\_new

**Theorem 4.4.** (See [?], [?])

- From any bounded sequence in  $L^2((0, T); L^2(\Omega))$ , it is possible to extract a two-scale converging subsequence.
- If  $h_\varepsilon \xrightarrow{2-sc} h_0$  then, setting  $h(x, t) = \int_Y h_0(x, y, t) dy$ , it follows that  $h_\varepsilon \rightharpoonup h$  weakly in  $L^2((0, T); L^2(\Omega))$ .
- If  $h_\varepsilon \xrightarrow{2-sc} h_0$  then, setting  $h(x, t) = \int_Y h_0(x, y, t) dy$ , it follows that

$$\liminf_{\varepsilon \rightarrow 0} \|h_\varepsilon\|_{L^2((0, T); L^2(\Omega))} \geq \|h_0\|_{L^2((0, T); L^2(\Omega \times Y))} \geq \|h\|_{L^2((0, T); L^2(\Omega))}.$$

p:dafare1

**Proposition 4.5.** Let  $\{h_\varepsilon\} \subseteq L^2(\Omega \times (0, T))$  be a sequence of functions converging to a function  $h \in L^2(\Omega \times (0, T))$  strongly in  $L^2_{loc}((0, T); L^2(\Omega))$ . Assume also that there exists a constant  $\gamma > 0$  such that  $\|h_\varepsilon\|_{L^2(\Omega \times (0, T))} \leq \gamma$ . Then  $h_\varepsilon \xrightarrow{2-sc} h$ .

Recalling [?], we extend the notion of two-scale convergence to sequences of functions defined on periodic surfaces and depending on the time  $t$ .

2scale\_all\_new

**Definition 4.6.** Given a sequence  $\{\widehat{h}_\varepsilon\} \in L^2((0, T); L^2(\Gamma^\varepsilon))$  and a function  $\widehat{h}_0 \in L^2(\Omega \times (0, T); L^2(\Gamma))$ , we say that  $\widehat{h}_\varepsilon$  two-scale converges to  $\widehat{h}_0$  in  $L^2(\Omega \times (0, T); L^2(\Gamma))$  for  $\varepsilon \rightarrow 0$  (and we write  $\widehat{h}_\varepsilon \xrightarrow{2-sc} \widehat{h}_0$ ) if

$$\lim_{\varepsilon \rightarrow 0} \varepsilon \int_0^T \int_{\Gamma^\varepsilon} \widehat{h}_\varepsilon(x, t) \widehat{\varphi}\left(x, \frac{x}{\varepsilon}, t\right) d\sigma dt = \int_0^T \int_\Omega \int_\Gamma \widehat{h}_0(x, y, t) \widehat{\varphi}(x, y, t) dx d\sigma(y) dt$$

for any test function  $\widehat{\varphi} \in \mathcal{C}^0(\overline{\Omega} \times [0, T]; \mathcal{C}^0_\#(Y))$ .

r:rem3

*Remark 4.7.* In some part of the paper we will choose as test function  $\widehat{\varphi}\nu$ , with  $\widehat{\varphi} \in \mathcal{C}^0(\overline{\Omega} \times [0, T]; \mathcal{C}^0_\#(Y))$ . In this regard,  $\nu$  will denote a continuous extension of the normal vector to the whole  $Y$ .  $\square$

2scale\_allnew

**Theorem 4.8.** (See [?], [?])

- From any sequence  $\{\widehat{h}_\varepsilon\}$  in  $L^2((0, T); L^2(\Gamma^\varepsilon))$  bounded in the following sense

$$\varepsilon \int_0^T \int_{\Gamma^\varepsilon} |\widehat{h}_\varepsilon(x, t)|^2 d\sigma dt \leq \gamma,$$

where  $\gamma$  is a positive constant, it is possible to extract a two-scale converging subsequence.

- If  $\{\widehat{h}_\varepsilon\}$  is a sequence in  $L^2((0, T); L^2(\Gamma^\varepsilon))$  which two-scale converges to  $\widehat{h}_0 \in L^2(\Omega \times (0, T); L^2(\Gamma))$ , then the measure  $\varepsilon \widehat{h}_\varepsilon d\sigma$  converges in the sense of distribution in  $\Omega \times (0, T)$ , to the function  $\widehat{h}(x, t) = \int_\Gamma \widehat{h}_0(x, y, t) d\sigma(y)$ , with  $\widehat{h} \in L^2(\Omega \times (0, T))$ .

- If  $\{\widehat{h}_\varepsilon\}$  is a sequence in  $L^2((0, T); L^2(\Gamma^\varepsilon))$  which two-scale converges to  $\widehat{h}_0 \in L^2(\Omega \times (0, T); L^2(\Gamma))$  then, setting  $\widehat{h}(x, t) = \int_\Gamma \widehat{h}_0(x, y, t) d\sigma(y)$ , it follows that

$$\liminf_{\varepsilon \rightarrow 0} \sqrt{\varepsilon} \|\widehat{h}_\varepsilon\|_{L^2((0, T); L^2(\Gamma^\varepsilon))} \geq \|\widehat{h}_0\|_{L^2(\Omega \times (0, T); L^2(\Gamma))} \geq \|\widehat{h}\|_{L^2((0, T); L^2(\Omega))}.$$

2scale\_humnew

**Theorem 4.9.** Assume that  $\{u_\varepsilon\} \subseteq L^2((0, T); \mathcal{X}^1(\Omega_\varepsilon))$ , be a sequence of functions such that  $u_\varepsilon = \Psi$  on  $\partial\Omega$  and

$$\int_0^T \int_\Omega |\nabla u_\varepsilon|^2 dx dt + \frac{1}{\varepsilon} \int_0^T \int_{\Gamma^\varepsilon} [u_\varepsilon]^2(x, t) d\sigma dt \leq \gamma, \quad (4.2)$$

eq:hum1bis3

then there exist two functions  $u \in L^2((0, T); H^1(\Omega))$ ,  $u = \Psi$  on  $\partial\Omega$ , and  $u^1 \in L^2(\Omega \times (0, T); \mathcal{X}_\#^1(Y))$  such that, up to a subsequence,  $u_\varepsilon \xrightarrow{2-sc} u$ ,  $1_{\Omega \setminus \Gamma^\varepsilon} \nabla u_\varepsilon \xrightarrow{2-sc} \nabla u + \nabla_y u^1$  in  $L^2((0, T); L^2(\Omega \times Y))$  and  $\varepsilon^{-1}[u_\varepsilon] \nu_\varepsilon \xrightarrow{2-sc} [u^1] \nu$  in  $L^2(\Omega \times (0, T); L^2(\Gamma))$  for  $\varepsilon \rightarrow 0$ .

r:rem4

**Remark 4.10.** Since the normal  $\nu_\varepsilon$  can be included in the test function for the two-scale convergence in  $L^2(\Omega \times (0, T); L^2(\Gamma))$  (see Remark 4.7), by Theorem 4.9 we obtain also that  $\varepsilon^{-1}[u_\varepsilon] \xrightarrow{2-sc} [u^1]$  in  $L^2(\Omega \times (0, T); L^2(\Gamma))$ . □

ss:asympt1

**4.2. Asymptotic convergence to a periodic steady state.** Let  $(u, u^1) \in L^2((0, T); H^1(\Omega)) \times L^2(\Omega \times (0, T); \mathcal{X}_\#^1(Y))$  be the two-scale limit obtained in Theorem 4.9, when  $u_\varepsilon$  is the solution of problem (2.1)–(2.6) and the initial data  $S_\varepsilon$  satisfies the additional condition that  $S_\varepsilon/\varepsilon$  two-scale converges in  $L^2(\Omega; L^2(\Gamma))$  to a function  $S_1$  such that  $S_1(x, \cdot) = S_{1\Gamma}(x, \cdot)$  for some  $S \in \mathcal{C}(\overline{\Omega}; \mathcal{C}_\#^1(Y))$ , and

$$\lim_{\varepsilon \rightarrow 0} \varepsilon \int_{\Gamma^\varepsilon} \left( \frac{S_\varepsilon}{\varepsilon} \right)^2(x) d\sigma = \int_\Omega \int_\Gamma S_1^2(x, y) dx d\sigma(y). \quad (4.3)$$

eq:init\_asy

We recall that, by [?, Theorem 2.1], the pair  $(u, u^1)$  is the solution of problem

$$-\operatorname{div} \left( \sigma_0 \nabla u + \int_Y \sigma \nabla_y u^1 dy \right) = 0, \quad \text{in } \Omega \times (0, T); \quad (4.4)$$

$$-\operatorname{div}_y (\sigma \nabla u + \sigma \nabla_y u^1) = 0, \quad \text{in } \Omega \times (E_1 \cup E_2) \times (0, T); \quad (4.5)$$

$$[\sigma (\nabla u + \nabla_y u^1) \cdot \nu] = 0, \quad \text{on } \Omega \times \Gamma \times (0, T); \quad (4.6)$$

$$\alpha \frac{\partial}{\partial t} [u^1] + f([u^1]) = \sigma (\nabla u + \nabla_y u^1) \cdot \nu, \quad \text{on } \Omega \times \Gamma \times (0, T); \quad (4.7)$$

$$[u^1](x, y, 0) = S_1(x, y), \quad \text{on } \Omega \times \Gamma; \quad (4.8)$$

$$u(x, t) = \Psi(x, t), \quad \text{on } \partial\Omega \times (0, T). \quad (4.9)$$

eq:PDE\_limi

eq:PDEper\_1

eq:FluxCont

eq:Circuit\_

eq:InitData

eq:BoundDat

$$\int_{\Omega \times Y} \sigma_0 \nabla u \nabla u + \int_{\Omega \times Y} \sigma \nabla_y u^1 \nabla_y u^1$$

$$\int_{\Omega \times Y} \sigma \nabla u \nabla u_1 + \int_{\Omega \times Y} \sigma \nabla_y u_1 \nabla_y u_1$$

Note that the variational formulation of problem (4.4)–(4.9) is the following:

$$\begin{aligned} & \int_0^T \int_{\Omega} \int_Y \sigma (\nabla u + \nabla_y u^1) (\nabla \phi + \nabla_y \Phi) \, dx \, dy \, dt + \int_0^T \int_{\Omega} \int_{\Gamma} f([u^1]) [\Phi] \, dx \, d\sigma \, dt \\ & - \alpha \int_0^T \int_{\Omega} \int_{\Gamma} [u^1] \frac{\partial}{\partial t} [\Phi] \, dx \, d\sigma \, dt - \alpha \int_{\Omega} \int_{\Gamma} [\Phi] S_1 \, dx \, d\sigma = 0. \end{aligned} \quad (4.10) \quad \boxed{\text{eq:a76}}$$

Moreover we assume that  $u$  satisfies the boundary condition on  $\partial\Omega \times [0, T]$  in the trace sense (i.e.  $u(x, t) = \Psi(x, t)$  a.e.) and  $u^1$  is periodic in  $Y$  and has zero mean value in  $Y$  for every  $(x, t) \in \Omega \times (0, T)$ .

Here  $\phi$  is any regular function depending on  $(x, t)$ , with compact support in  $\Omega$ , and  $\Phi$  is any  $Y$ -periodic function on  $\Omega \times Y \times [0, T]$ , which may jump across  $\Gamma$ , is zero when  $t = T$  and is regular elsewhere.

For later use, let us define

$$\begin{aligned} & |||(h(\cdot, t), h^1(\cdot, t))||| := \|h\|_{C^0([0,1];L^2(\Omega))} + \|\nabla h\|_{C^0([0,1];L^2(\Omega))} \\ & + \|h^1\|_{C^0([0,1];L^2(\Omega \times Y))} + \|\nabla_y h^1\|_{C^0([0,1];L^2(\Omega \times Y))} + \|[h^1]\|_{C^0([0,1];L^2(\Omega \times \Gamma))}, \end{aligned} \quad (4.11) \quad \boxed{\text{eq:a86}}$$

where  $(h, h^1) \in C^0([0, T]; H^1(\Omega)) \times C^0([0, T]; L^2(\Omega; \mathcal{X}_{\#}^1(Y))$ , and

$$|||(\tilde{h}, \tilde{h}^1)||| := \|\tilde{h}\|_{H^1(\Omega)} + \|\tilde{h}^1\|_{L^2(\Omega \times Y)} + \|\nabla_y \tilde{h}^1\|_{L^2(\Omega \times Y)} + \|\tilde{h}^1\|_{L^2(\Omega \times \Gamma)}, \quad (4.12) \quad \boxed{\text{eq:a85}}$$

where  $(\tilde{h}, \tilde{h}^1) \in H^1(\Omega) \times L^2(\Omega; \mathcal{X}_{\#}^1(Y))$ .

As in the previous section, we firstly prove that there exists a periodic solution of the homogenized problem

$$-\operatorname{div} \left( \sigma_0 \nabla u^{\#} + \int_Y \sigma \nabla_y u^{1,\#} \, dy \right) = 0, \quad \text{in } \Omega \times \mathbf{R}; \quad (4.13) \quad \boxed{\text{eq:PDE_limit}}$$

$$-\operatorname{div}_y (\sigma \nabla u^{\#} + \sigma \nabla_y u^{1,\#}) = 0, \quad \text{in } \Omega \times (E_1 \cup E_2) \times \mathbf{R}; \quad (4.14) \quad \boxed{\text{eq:PDEper_1}}$$

$$[\sigma (\nabla u^{\#} + \nabla_y u^{1,\#}) \cdot \nu] = 0, \quad \text{on } \Omega \times \Gamma \times \mathbf{R}; \quad (4.15) \quad \boxed{\text{eq:FluxCont}}$$

$$\alpha \frac{\partial}{\partial t} [u^{1,\#}] + f([u^{1,\#}]) = \sigma (\nabla u^{\#} + \nabla_y u^{1,\#}) \cdot \nu, \quad \text{on } \Omega \times \Gamma \times \mathbf{R}; \quad (4.16) \quad \boxed{\text{eq:Circuit_}}$$

$$[u^{1,\#}](x, y, \cdot) \text{ is 1-periodic,} \quad \text{on } \Omega \times \Gamma; \quad (4.17) \quad \boxed{\text{eq:InitData}}$$

$$u^{\#}(x, t) = \Psi(x, t), \quad \text{on } \partial\Omega \times \mathbf{R}. \quad (4.18) \quad \boxed{\text{eq:BoundDat}}$$

**p:prop7**

**Proposition 4.11.** *Under the assumptions (2.9)–(2.11), problem (4.13)–(4.18) admits a 1-periodic in time solution.*

*Proof.* For  $\delta > 0$ , let us denote by  $f_\delta(s) := f(s) + \delta s$ , for every  $s \in \mathbf{R}$ , and consider the problem

$$-\operatorname{div} \left( \sigma_0 \nabla u_\delta^\# + \int_Y \sigma \nabla_y u_\delta^{1,\#} dy \right) = 0, \quad \text{in } \Omega \times \mathbf{R}; \quad (4.19)$$

$$-\operatorname{div}_y (\sigma \nabla u_\delta^\# + \sigma \nabla_y u_\delta^{1,\#}) = 0, \quad \text{in } \Omega \times (E_1 \cup E_2) \times \mathbf{R}; \quad (4.20)$$

$$[\sigma (\nabla u_\delta^\# + \nabla_y u_\delta^{1,\#}) \nu] = 0, \quad \text{on } \Omega \times \Gamma \times \mathbf{R}; \quad (4.21)$$

$$\alpha \frac{\partial}{\partial t} [u_\delta^{1,\#}] + f_\delta ([u_\delta^{1,\#}]) = \sigma (\nabla u_\delta^\# + \nabla_y u_\delta^{1,\#}) \cdot \nu, \quad \text{on } \Omega \times \Gamma \times \mathbf{R}; \quad (4.22)$$

$$[u_\delta^{1,\#}](x, y, \cdot) \text{ is 1-periodic,} \quad \text{on } \Omega \times \Gamma; \quad (4.23)$$

$$u_\delta^\#(x, t) = \Psi(x, t), \quad \text{on } \partial\Omega \times \mathbf{R}. \quad (4.24)$$

Since  $f_\delta$  has a strictly positive derivative on  $\mathbf{R}$ , by the results proved in [?, Subsection 4.2], a unique periodic solution  $(u_\delta^\#, u_\delta^{1,\#})$  of problem (4.19)–(4.24) does exist. Recalling equation (4.40) in the proof of Theorem 4.14 of [?]; i.e.

$$\begin{aligned} & \int_{t_1}^{t_2} \int_{\Omega} \int_Y \sigma \left( \nabla u_\delta^\#(x, t) + \nabla_y u_\delta^{1,\#}(x, y, t) \right) (\nabla \phi(x, t) + \nabla_y \Phi(x, y, t)) dx dy dt \\ & + \int_{t_1}^{t_2} \int_{\Omega} \int_{\Gamma} f_\delta([u_\delta^{1,\#}(x, y, t)]) [\Phi(x, y, t)] dx d\sigma dt \\ & - \alpha \int_{t_1}^{t_2} \int_{\Omega} \int_{\Gamma} [u_\delta^{1,\#}(x, y, t)] \frac{\partial}{\partial t} [\Phi(x, y, t)] dx d\sigma dt = 0; \quad (4.25) \end{aligned}$$

we obtain that  $(u_\delta^\#, u_\delta^{1,\#})$  satisfies an energy inequality, easily obtained replacing  $(\phi, \Phi)$  in (4.25) with  $(u_\delta^\# - \Psi, u_\delta^{1,\#})$ , which implies

$$\begin{aligned} & \int_0^1 \int_{\Omega} \int_Y \frac{\sigma}{2} |\nabla u_\delta^\# + \nabla_y u_\delta^{1,\#}|^2 dx dy dt + \int_0^1 \int_{\Omega} \int_{\Gamma} f_\delta([u_\delta^{1,\#}]) [u_\delta^{1,\#}] dx d\sigma dt \\ & = \int_0^1 \int_{\Omega} \int_Y \frac{\sigma}{2} |\nabla \Psi|^2 dx dy dt. \quad (4.26) \end{aligned}$$

Note that  $(u_\delta^\#, u_\delta^{1,\#})$  because of their periodicity, can be used as test functions, even if they have not compact support in  $[0, 1]$ ; indeed, it is enough to apply a routine approximation procedure.

From (4.26), working as done in (3.11)–(3.12) of Section 3 and taking into account (2.12) and the fact that

$$\int_0^1 [u_{\delta,t}^{1,\#}][u_\delta^{1,\#}] dt = \frac{1}{2} \int_0^1 \frac{\partial}{\partial t} [u_\delta^{1,\#}]^2 dt = 0$$

because of the periodicity, we get

$$\int_0^1 \int_\Omega \int_Y \sigma |\nabla u_\delta^\# + \nabla_y u_\delta^{1,\#}|^2 dx dy dt + \int_0^1 \int_\Omega \int_\Gamma \lambda_1 [u_\delta^{1,\#}]^2 d\sigma dt \leq \gamma, \quad (4.27) \quad \boxed{\text{eq:a57}}$$

where  $\gamma$  is a constant depending on  $\lambda_1, \lambda_2, |\Gamma|$  and the  $H^1$ -norm of  $\Psi$ .

Replacing  $(\phi, \Phi)$  in (4.25) with  $(u_\delta^\# - \Psi_t, u_\delta^{1,\#})$ , by (4.27), (2.12) and taking into account the fact that

$$\int_0^1 (\nabla u_\delta^\# + \nabla_y u_\delta^{1,\#})(\nabla u_\delta^\# + \nabla_y u_\delta^{1,\#}) dt = \frac{1}{2} \int_0^1 \frac{\partial}{\partial t} |\nabla u_\delta^\# + \nabla_y u_\delta^{1,\#}|^2 dt = 0$$

because of the periodicity, we get

$$\int_0^1 \int_\Omega \int_\Gamma f_\delta([u_\delta^{1,\#}])[u_{\delta,t}^{1,\#}] dx d\sigma dt + \alpha \int_0^1 \int_\Omega \int_\Gamma [u_{\delta,t}^{1,\#}]^2 dx d\sigma dt \leq \gamma, \quad (4.28) \quad \boxed{\text{eq:a58}}$$

where, again  $\gamma$  depends on  $\lambda_1, \lambda_2, |\Gamma|$  and the  $H^1$ -norms of  $\Psi$  and  $\Psi_t$ .

Inequality (4.28), together with (4.27), gives

$$\frac{\alpha}{2} \int_0^1 \int_\Omega \int_\Gamma [u_{\delta,t}^{1,\#}]^2 dx d\sigma dt \leq \gamma, \quad (4.29) \quad \boxed{\text{eq:a59}}$$

$\gamma$  depends on  $\lambda_1, \lambda_2, |\Gamma|, L$  and the  $H^1$ -norms of  $\Psi$  and  $\Psi_t$ . Moreover, from (4.26), we obtain

$$\int_0^1 \int_\Omega |\nabla u_\delta^\#|^2 dx dt \leq \gamma, \quad (4.30) \quad \boxed{\text{eq:a60}}$$

$$\int_0^1 \int_\Omega \int_Y |\nabla_y u_\delta^{1,\#}|^2 dx dy dt \leq \gamma. \quad (4.31) \quad \boxed{\text{eq:a61}}$$



Indeed,

$$\begin{aligned}
& \int_0^1 \int_{\Omega} \int_Y |\nabla_y u_{\delta}^{1,\#}(x, y, t)|^2 dy dx dt + \int_0^1 \int_{\Omega} |\nabla u_{\delta}^{\#}|^2 dx dt \\
& \leq \gamma - 2 \int_0^1 \int_{\Omega} \int_Y \nabla_y u_{\delta}^{1,\#}(x, y, t) \nabla u_{\delta}^{\#}(x, t) dy dx dt \\
& = \gamma - 2 \int_0^1 \int_{\Omega} \nabla u_{\delta}^{\#} \left( \int_Y \nabla_y u_{\delta}^{1,\#}(x, y, t) dy \right) dx dt \\
& \leq \gamma + 2 \int_0^1 \int_{\Omega} |\nabla u_{\delta}^{\#}| \left( \int_{\Gamma} |[u_{\delta}^{1,\#}(x, y, t)]| d\sigma \right) dx dt \\
& \leq \gamma + \frac{1}{2|\Gamma|} |\Gamma| \int_0^1 \int_{\Omega} |\nabla u_{\delta}^{\#}|^2 dx dt + 2|\Gamma| \int_0^1 \int_{\Omega} \int_{\Gamma} [u_{\delta}^{1,\#}(x, y, t)]^2 d\sigma dx dt \\
& \leq \gamma + \frac{1}{2} \int_0^1 \int_{\Omega} |\nabla u_{\delta}^{\#}(x, t)|^2 dx dt + \gamma.
\end{aligned} \tag{4.32} \quad \boxed{\text{eq: a20}}$$

Finally, (4.27) and (4.29)–(4.31) allow us to pass to the limit with respect to  $\delta$  in the weak formulation of problem (4.19)–(4.24), thus proving that there exists a periodic (in time) solution  $(u^{\#}, u^{1,\#})$  of the homogenized problem

$$\begin{aligned}
& \int_0^T \int_{\Omega \times Y} \sigma(\nabla u^{\#} + \nabla_y u^{1,\#}) \cdot \nabla \varphi dx dy dt + \int_0^T \int_{\Omega \times Y} \sigma(\nabla u^{\#} + \nabla_y u^{1,\#}) \cdot \nabla_y \Phi dx dy dt + \\
& \int_0^T \int_{\Omega} \int_{\Gamma} \mu[\Phi] dx d\sigma(y) dt - \alpha \int_0^T \int_{\Omega} \int_{\Gamma} [u^{1,\#}] \frac{\partial}{\partial t} [\Phi] dx d\sigma(y) dt = 0 \quad (4.33) \quad \boxed{\text{eq: a88}}
\end{aligned}$$

for every test function  $\varphi \in \mathcal{C}_c^1(\Omega \times (0, T))$  and  $\Phi \in \mathcal{C}^1(\overline{\Omega} \times [0, T]; \mathfrak{C}_{\#}^1(Y))$  1-periodic in time (recall the definition of  $\mathfrak{C}_{\#}^1(Y)$  given in Subsection 2.1). It remains to identify  $\mu$ . To this purpose, we follow the Minty monotone operators method. Let us consider a sequence of 1-periodic in time test functions  $\psi_k(x, y, t) = \phi_0^k(x, t) + \phi_1^k(x, y, t) + \lambda \phi_2(x, y, t)$ , with  $\phi_0^k \in \mathcal{C}^1(\Omega \times \mathbf{R})$ ,  $\phi_1^k \in \mathcal{C}^1(\overline{\Omega} \times \mathbf{R}; \mathfrak{C}_{\#}^1(Y))$ ,  $\phi_2 \in \mathcal{C}_c^1(\Omega \times \mathbf{R}; \mathfrak{C}_{\#}^1(Y))$ , with  $\phi_0^k(\cdot, t), \phi_1^k(\cdot, y, t)$  vanishing on  $\partial\Omega$  for every  $t \in \mathbf{R}$  and every  $y \in Y$ ,  $\phi_0^k \rightarrow u^{\#}$  strongly in  $L_{loc}^2(\mathbf{R}; H^1(\Omega))$ ,  $\phi_1^k \rightarrow u^{1,\#}$  strongly in  $L_{loc}^2(\mathbf{R}; L^2(\Omega; \mathcal{X}_{\#}^1(Y)))$  and  $[\phi_{1,t}^k]$

stable in  $L^2([0, 1]; L^2(\Omega \times \Gamma))$  because of inequality (4.28), i.e.

$$\begin{aligned} & \int_0^1 \int_{\Omega} \|\phi_1^k(x, \cdot, t) - u^{1,\#}(x, \cdot, t)\|_{H^1(E_i)}^2 dt dx \\ & + \int_0^1 \int_{\Omega} \|[\phi_1^k(x, \cdot, t)] - [u^{1,\#}(x, \cdot, t)]\|_{L^2(\Gamma)}^2 dt dx \rightarrow 0, \quad \text{for } k \rightarrow +\infty, i = 1, 2; \end{aligned}$$

and

$$\int_0^1 \int_{\Omega} \|[\phi_{1,t}^k(x, \cdot, t)]\|_{L^2(\Gamma)}^2 dt dx \leq \gamma,$$

with  $\gamma$  independent of  $k$ . Taking only into account the monotonicity assumption on  $f$  and the periodicity in time of  $\phi_0^k$  and  $\phi_1^k$ , we obtain

$$\begin{aligned} & \int_0^1 \int_{\Omega \times Y} \sigma(\nabla u_{\delta}^{\#} + \nabla_y u_{\delta}^{1,\#} - \nabla \phi_0^k - \nabla_y \phi_1^k - \lambda \nabla_y \phi_2) \cdot (\nabla u_{\delta}^{\#} - \nabla \phi_0^k) dx dy dt \\ & + \int_0^1 \int_{\Omega \times Y} \sigma(\nabla u_{\delta}^{\#} + \nabla_y u_{\delta}^{1,\#} - \nabla \phi_0^k - \nabla_y \phi_1^k - \lambda \nabla_y \phi_2) \cdot (\nabla_y u_{\delta}^{1,\#} - \nabla_y \phi_1^k - \lambda \nabla_y \phi_2) dx dy dt \\ & + \alpha \int_0^1 \int_{\Omega} \int_{\Omega \times \Gamma} \frac{\partial}{\partial t} \left( [u_{\delta}^{1,\#}] - [\phi_1^k + \lambda \phi_2^k] \right) \left( [u_{\delta}^{1,\#}] - [\phi_1^k + \lambda \phi_2^k] \right) dx d\sigma dt \\ & + \int_0^1 \int_{\Omega \times \Gamma} \left( f_{\delta}([u_{\delta}^{1,\#}]) - f_{\delta}([\phi_1^k + \lambda \phi_2^k]) \right) \left( [u_{\delta}^{1,\#}] - [\phi_1^k + \lambda \phi_2^k] \right) dx d\sigma dt \\ & = \int_0^1 \int_{\Omega \times Y} \sigma |\nabla u_{\delta}^{\#} + \nabla_y u_{\delta}^{1,\#} - \nabla \phi_0^k - \nabla_y \phi_1^k - \lambda \nabla_y \phi_2|^2 dx dy dt \\ & + \alpha \int_0^1 \int_{\Omega} \int_{\Omega \times \Gamma} \frac{\partial}{\partial t} \left( [u_{\delta}^{1,\#}] - [\phi_1^k + \lambda \phi_2^k] \right) \left( [u_{\delta}^{1,\#}] - [\phi_1^k + \lambda \phi_2^k] \right) dx d\sigma dt \\ & + \int_0^1 \int_{\Omega \times \Gamma} \left( f_{\delta}([u_{\delta}^{1,\#}]) - f_{\delta}([\phi_1^k + \lambda \phi_2^k]) \right) \left( [u_{\delta}^{1,\#}] - [\phi_1^k + \lambda \phi_2^k] \right) dx d\sigma dt \geq 0. \quad (4.34) \end{aligned}$$

eq:monotoni

Taking the function  $(u_\delta^\# - \phi_0^k, u_\delta^{1,\#} - \phi_1^k - \lambda\phi_2)$  as a test function  $(\varphi, \Phi)$  in the weak formulation of problem (4.19)–(4.24), inequality (4.34) can be rewritten as

$$\begin{aligned}
& - \int_0^1 \int_{\Omega \times Y} \sigma(\nabla \phi_0^k + \nabla_y \phi_1^k + \lambda \nabla_y \phi_2) \cdot (\nabla u_\delta^\# - \nabla \phi_0^k) \, dx \, dy \, dt \\
& - \int_0^1 \int_{\Omega \times Y} \sigma(\nabla \phi_0^k + \nabla_y \phi_1^k + \lambda \nabla_y \phi_2) \cdot (\nabla_y u_\delta^{1,\#} - \nabla_y \phi_1^k - \lambda \nabla_y \phi_2) \, dx \, dy \, dt \\
& - \alpha \int_0^1 \int_{\Omega} \int_{\Omega \times \Gamma} \frac{\partial}{\partial t} [\phi_1^k + \lambda \phi_2] \left( [u_\delta^{1,\#}] - [\phi_1^k + \lambda \phi_2] \right) \, dx \, d\sigma \, dt \\
& - \int_0^1 \int_{\Omega \times \Gamma} f_\delta([\phi_1^k + \lambda \phi_2]) \left( [u_\delta^{1,\#}] - [\phi_1^k + \lambda \phi_2] \right) \, dx \, d\sigma \, dt \geq 0. \quad (4.35)
\end{aligned}$$

eq:monotoni

Hence, passing to the limit as  $\delta \rightarrow 0$  and using (4.28), it follows

$$\begin{aligned}
& - \int_0^1 \int_{\Omega \times Y} \sigma(\nabla \phi_0^k + \nabla_y \phi_1^k + \lambda \nabla_y \phi_2) \cdot (\nabla u^\# - \nabla \phi_0^k) \, dx \, dy \, dt \\
& - \int_0^1 \int_{\Omega \times Y} \sigma(\nabla \phi_0^k + \nabla_y \phi_1^k + \lambda \nabla_y \phi_2) \cdot (\nabla_y u^{1,\#} - \nabla_y \phi_1^k - \lambda \nabla_y \phi_2) \, dx \, dy \, dt \\
& - \alpha \int_0^1 \int_{\Omega} \int_{\Omega \times \Gamma} \frac{\partial}{\partial t} [\phi_1^k + \lambda \phi_2] \left( [u^{1,\#}] - [\phi_1^k + \lambda \phi_2] \right) \, dx \, d\sigma \, dt \\
& - \int_0^1 \int_{\Omega \times \Gamma} f([\phi_1^k + \lambda \phi_2]) \left( [u^{1,\#}] - [\phi_1^k + \lambda \phi_2] \right) \, dx \, d\sigma \, dt \geq 0. \quad (4.36)
\end{aligned}$$

eq:monotoni

Now, letting  $k \rightarrow +\infty$ , we obtain

$$\begin{aligned}
& \int_0^1 \int_{\Omega} \int_Y \sigma(\nabla u^\# + \nabla_y u^{1,\#} + \lambda \nabla_y \phi_2) \cdot \lambda \nabla_y \phi_2 \, dx \, dy \, dt + \\
& \alpha \int_0^1 \int_{\Omega} \int_{\Gamma} \frac{\partial}{\partial t} [u^{1,\#} + \lambda \phi_2] \lambda [\phi_2] \, dx \, d\sigma(y) \, dt \\
& + \int_0^1 \int_{\Omega} \int_{\Gamma} f([u^{1,\#} + \lambda \phi_2]) \lambda [\phi_2] \, dx \, d\sigma(y) \, dt \geq 0. \quad (4.37)
\end{aligned}$$

eq:monotoni

Taking into account (4.33) with  $\varphi \equiv 0$  and  $\Phi = \phi_2$ , it follows

$$\begin{aligned} & \lambda^2 \int_0^1 \int_{\Omega} \int_Y \sigma \nabla_y \phi_2 \cdot \nabla_y \phi_2 \, dx \, dy \, dt + \alpha \lambda^2 \int_0^1 \int_{\Omega} \int_{\Gamma} \frac{\partial}{\partial t} [\phi_2] [\phi_2] \, dx \, d\sigma(y) \, dt \\ & - \lambda \int_0^1 \int_{\Omega} \int_{\Gamma} \mu [\phi_2] \, dx \, d\sigma(y) \, dt + \lambda \int_0^1 \int_{\Omega} \int_{\Gamma} f([u^{1,\#} + \lambda \phi_2]) [\phi_2] \, dx \, d\sigma(y) \, dt \geq 0. \end{aligned} \quad (4.38)$$

eq:monotoni

Assuming firstly that  $\lambda > 0$  and then  $\lambda < 0$ , dividing by  $\lambda$  the previous equation and then letting  $\lambda \rightarrow 0$ , we obtain

$$\int_0^1 \int_{\Omega} \int_{\Gamma} \mu [\phi_2] \, dx \, d\sigma(y) \, dt = \int_0^1 \int_{\Omega} \int_{\Gamma} f([u^{1,\#}]) [\phi_2] \, dx \, d\sigma(y) \, dt,$$

which gives

$$\mu = f([u^{1,\#}]), \quad (4.39)$$

eq:identifi

so that (4.33) becomes exactly the weak formulation of problem (4.13)–(4.18). Therefore the thesis is achieved.  $\square$

r:rem10

*Remark 4.12.* Note that (4.29) is uniform with respect to  $\delta$ . Moreover, we can obtain also estimates for  $\nabla u_{\delta,t}^{\#}$  and  $\nabla_y u_{\delta,t}^{1,\#}$  uniform in  $\delta$ . Indeed, differentiating formally with respect to  $t$  problem (4.19)–(4.24), multiplying equation (4.19) (differentiated with respect to  $t$ ) by  $((u_{\delta,t}^{\#} - \Psi_t) \widehat{v}^{\tau}, u_{\delta,t}^{1,\#} \widehat{v}^{\tau})$ , where  $\tau \in (0, 1/4)$  and  $\widehat{v}^{\tau} : [0, +\infty) \rightarrow \mathbf{R}$  is a function such that  $0 \leq \widehat{v}^{\tau} \leq 1$ ,  $\widehat{v}^{\tau}(t) = 1$ , for  $t \geq 2\tau$ ,  $\widehat{v}^{\tau}(t) = 0$ , for  $0 \leq t \leq \tau$ , and finally integrating by parts, we obtain

$$\begin{aligned} & \int_{2\tau}^1 \int_{\Omega} \int_Y |\sigma \nabla u_{\delta,t}^{\#} + \sigma \nabla_y u_{\delta,t}^{1,\#}|^2 \, dx \, dy \, dt + \alpha \sup_{t \in (2\tau, 1)} \int_{\Omega} \int_{\Gamma} [u_{\delta,t}^{1,\#}]^2 \, dx \, d\sigma \\ & \leq \int_0^1 \int_{\Omega} \int_Y |\sigma \nabla u_{\delta,t}^{\#} + \sigma \nabla_y u_{\delta,t}^{1,\#}|^2 \widehat{v}^{\tau}(t) \, dx \, dy \, dt \\ & \quad + \alpha \sup_{t \in (0, 1)} \int_{\Omega} \int_{\Gamma} [u_{\delta,t}^{1,\#}]^2 \widehat{v}^{\tau}(t) \, dx \, d\sigma \leq \gamma(\tau) \end{aligned} \quad (4.40)$$

eq:a81

where we used assumption (2.8), (2.13) and (4.29). Now, proceeding as in the proof of (4.30) and (4.31), we obtain

$$\int_{2\tau}^1 \int_{\Omega} |\nabla u_{\delta,t}^{\#}|^2 dx dt \leq \gamma, \quad (4.41) \quad \text{eq:a60bis}$$

$$\int_{2\tau}^1 \int_{\Omega} \int_Y |\nabla_y u_{\delta,t}^{1,\#}|^2 dx dy dt \leq \gamma. \quad (4.42) \quad \text{eq:a61bis}$$

Therefore, passing to the limit for  $\delta \rightarrow 0^+$ , in (4.29), (4.41) and (4.42), we obtain that the same estimates hold for  $(u^{\#}, u^{1,\#})$ .

This implies that  $(u^{\#}, u^{1,\#})$  belongs to  $\mathcal{C}^0([0, 1]; H^1(\Omega)) \times \mathcal{C}^0([0, 1]; L^2(\Omega; \mathcal{X}_{\#}^1(Y)))$ .  $\square$

It remains to prove that any solution  $(u, u^1)$  of the homogenized problem converges to  $(u^{\#}, u^{1,\#})$  as  $t \rightarrow \infty$ . This will be stated in the next theorem.

**t:t6** **Theorem 4.13.** *Let  $(u, u^1)$  be the solution of problem (4.5)–(4.9). Then, for  $t \rightarrow +\infty$ ,  $(u, u^1) \rightarrow (u^{\#}, u^{1,\#})$  in the following sense:*

$$\lim_{t \rightarrow +\infty} \|(u(\cdot, t), u^1(\cdot, \cdot, t)) - (u^{\#}(\cdot, t), u^{1,\#}(\cdot, \cdot, t))\|_{L^2(\Omega \times Y)} = 0; \quad (4.43) \quad \text{eq:decayper}$$

$$\lim_{t \rightarrow +\infty} \|(\nabla u(\cdot, t), \nabla_y u^1(\cdot, \cdot, t)) - (\nabla u_{\varepsilon}^{\#}(\cdot, t), \nabla_y u_{\varepsilon}^{1,\#}(\cdot, \cdot, t))\|_{L^2(\Omega \times Y)} = 0; \quad (4.44) \quad \text{eq:decayper}$$

$$\lim_{t \rightarrow +\infty} \|[u^1](\cdot, \cdot, t) - [u^{1,\#}](\cdot, \cdot, t)\|_{L^2(\Omega \times \Gamma)} = 0. \quad (4.45) \quad \text{eq:decayper}$$

*Proof.* As usual, let  $(r, r^1) := (u^{\#}, u^{1,\#}) - (u, u^1)$ , so that the pair  $(r, r^1)$  satisfies:

$$\begin{aligned} & \int_0^t \int_{\Omega} \int_Y \sigma (\nabla r + \nabla_y r^1) (\nabla \phi + \nabla_y \Phi) dx dy dt \\ & + \int_0^t \int_{\Omega} \int_{\Gamma} \frac{f([u^{1,\#}]) - f([u^1])}{[u^{1,\#}] - [u^1]} [r^1][\Phi] dx d\sigma dt + \alpha \int_0^t \int_{\Omega} \int_{\Gamma} [r_t^1][\Phi] dx d\sigma dt = 0, \quad \forall t > 0, \end{aligned} \quad (4.46) \quad \text{eq:a62}$$

where  $u = 0$  on  $\partial\Omega \times [0, T]$  in the trace sense,  $u^1$  is periodic in  $Y$  and has zero mean value in  $Y$  for every  $t$ . Here  $\phi$  is a any regular function depending on  $(x, t)$ , with compact support in  $\Omega$  and  $\Phi$  is a any function depending on  $(x, y, t)$  which jumps across  $\Gamma$ , is zero when  $t = T$  and is regular elsewhere. Differentiating (4.46) with respect to  $t$ , we get

$$\begin{aligned} & \int_{\Omega} \int_Y \sigma (\nabla r + \nabla_y r^1) (\nabla \phi + \nabla_y \Phi) dx dy + \int_{\Omega} \int_{\Gamma} \frac{f([u^{1,\#}]) - f([u^1])}{[u^{1,\#}] - [u^1]} [r^1][\Phi] dx d\sigma \\ & + \alpha \int_{\Omega} \int_{\Gamma} [r_t^1][\Phi] dx d\sigma = 0. \end{aligned} \quad (4.47) \quad \text{eq:a63}$$

Replacing  $(\phi, \Phi)$  with  $(r, r^1)$  in (4.47), we get

$$\int_{\Omega} \int_Y \sigma |\nabla r + \nabla_y r^1|^2 dx dy + \int_{\Omega} \int_{\Gamma} \frac{f([u^{1,\#}]) - f([u^1])}{[u^{1,\#}] - [u^1]} [r^1]^2 dx d\sigma + \alpha \int_{\Omega} \int_{\Gamma} [r_t^1] [r^1] dx d\sigma = 0. \quad (4.48) \quad \boxed{\text{eq: a64}}$$

As in Section 3, equation (4.48) implies that the function  $t \mapsto \alpha \int_{\Omega} \int_{\Gamma} [r^1(x, t)]^2 d\sigma dx$  is a positive, decreasing function of  $t$ , hence it tends to a limit value  $\bar{r}^1 \geq 0$  as  $t \rightarrow +\infty$ . The value  $\bar{r}^1$  must be zero otherwise  $\alpha \int_{\Omega} \int_{\Gamma} [r^1]^2 d\sigma dx \geq \frac{\bar{r}^1}{2}$  for  $t \geq \bar{t}$ , for a suitable  $\bar{t} > 0$ . On the other hand, fixed  $t > 0$  and setting  $\Gamma_{\bar{r}^1}(t) := \left\{ (x, y) \in \Omega \times \Gamma : [r^1]^2(x, y, t) \leq \frac{\bar{r}^1}{4\alpha|\Gamma||\Omega|} \right\}$ , reasoning as in the proof of Theorem 3.3, it follows that

$$\alpha \int_{\Omega} \int_{\Gamma \setminus \Gamma_{\bar{r}^1}(t)} [r^1(x, y, t)]^2 d\sigma dx \geq \frac{\bar{r}^1}{4}.$$

However, on  $\Gamma \setminus \Gamma_{\bar{r}^1}$ ,  $g(x, y, t) := \frac{f([u^{1,\#}]) - f([u^1])}{[u^{1,\#}] - [u^1]} \geq \chi > 0$ , where  $\chi$  is a suitable positive constant depending only on  $\bar{r}^1, \alpha, |\Gamma|$  (this last result follows from the assumptions (2.9)–(2.11)). Hence, using (4.48), we get

$$\begin{aligned} \frac{d}{dt} \left( \frac{\alpha}{2} \int_{\Omega} \int_{\Gamma} [r^1(x, y, t)]^2 d\sigma dx \right) &\leq - \int_{\Omega} \int_{\Gamma \setminus \Gamma_{\bar{r}^1}(t)} g(x, y, t) [r^1(x, y, t)]^2 d\sigma dx \\ &\leq -\chi \int_{\Omega} \int_{\Gamma \setminus \Gamma_{\bar{r}^1}(t)} [r^1(x, y, t)]^2 d\sigma dx \leq -\frac{\bar{r}^1}{4\alpha} \chi < 0. \end{aligned} \quad (4.49) \quad \boxed{\text{eq: a65}}$$

Inequality (4.49) clearly contradicts the asymptotic convergence for  $t \rightarrow +\infty$  of  $\alpha \int_{\Omega} \int_{\Gamma} [r^1]^2(x, y, t) d\sigma dx$ , hence

$$\lim_{t \rightarrow +\infty} \alpha \int_{\Omega} \int_{\Gamma} [r^1(x, y, t)]^2 d\sigma dx = 0, \quad (4.50) \quad \boxed{\text{eq: a66}}$$

which is exactly (4.45). Integrating (4.48) in  $[t, \infty)$  and taking into account (4.50), we get

$$\int_t^{+\infty} \int_{\Omega} \int_Y \sigma |\nabla r + \nabla_y r^1|^2 dx dy dt \leq \frac{\alpha}{2} \int_{\Omega} \int_{\Gamma} [r^1(x, y, t)]^2 d\sigma dx, \quad (4.51) \quad \boxed{\text{eq: a67}}$$

which implies

$$\lim_{t \rightarrow +\infty} \int_t^{+\infty} \int_Y \int_{\Omega} \sigma |\nabla r + \nabla_y r^1|^2 dx dy dt = 0. \quad (4.52) \quad \boxed{\text{eq: a68}}$$

This last condition guarantees that for every positive  $\eta$  there exists a  $\widehat{t}(\eta) > 0$ , such that

$$\int_{\widehat{t}}^{+\infty} \int_{\Omega} \int_Y \sigma |\nabla r + \nabla_y r^1|^2 dx dy dt \leq \eta,$$

which in turn implies that, for every  $n \in \mathbf{N}$ , there exists a  $t_n \in (\widehat{t} + n, \widehat{t} + (n + 1))$ , such that

$$\int_{\Omega} \int_Y \sigma |\nabla r(x, t_n) + \nabla_y r^1(x, y, t_n)|^2 dx dy \leq \eta. \quad (4.53) \quad \boxed{\text{eq:a69}}$$

Hence, replacing  $(\phi, \Phi)$  with  $(r_t, r_t^1)$  in (4.47), we get

$$\begin{aligned} \int_{\Omega} \int_Y \sigma (\nabla r^0 + \nabla_y r^1) (\nabla r_t + \nabla_y r_t^1) dx dy + \int_{\Omega} \int_{\Gamma} g(x, y, t) [r^1] [r_t^1] d\sigma dx \\ + \alpha \int_{\Omega} \int_{\Gamma} [r_t^1(x, y, t)]^2 d\sigma dx = 0, \end{aligned} \quad (4.54) \quad \boxed{\text{eq:a70}}$$

and

$$\int_{\Omega} \int_Y \sigma (\nabla r + \nabla_y r^1) (\nabla r_t + \nabla_y r_t^1) dx dy \leq \int_{\Omega} \int_{\Gamma} \frac{g^2(x, y, t)}{2\alpha} [r^1(x, y, t)]^2 d\sigma dx. \quad (4.55) \quad \boxed{\text{eq:a71}}$$

Moreover, integrating (4.55) in  $[t_n, t^*]$ , with  $t^* \in [t_n, t_n + 2]$ , we have

$$\begin{aligned} \sup_{t \in [t_n, t_n + 2]} \left( \int_{\Omega} \int_Y \frac{\sigma}{2} |\nabla r(x, t) + \nabla_y r^1(x, y, t)|^2 dx dy \right) \\ \leq \frac{\eta}{2} + \frac{2L^2}{2\alpha^2} \sup_{t \in [t_n, +\infty)} \left( \alpha \int_{\Omega} \int_{\Gamma} [r^1(x, y, t)]^2 d\sigma dx \right), \quad \forall n \in \mathbf{N}; \end{aligned} \quad (4.56) \quad \boxed{\text{eq:a72}}$$

i.e.,

$$\begin{aligned} \sup_{t \in [\widehat{t} + 1, +\infty)} \left( \int_{\Omega} \int_Y \frac{\sigma}{2} |\nabla r(x, t) + \nabla_y r^1(x, y, t)|^2 dx dy \right) \\ \leq \frac{\eta}{2} + \frac{L^2}{\alpha^2} \sup_{t \in [\widehat{t}, +\infty)} \left( \alpha \int_{\Omega} \int_{\Gamma} [r^1(x, y, t)]^2 d\sigma \right). \end{aligned} \quad (4.57) \quad \boxed{\text{eq:a73}}$$

Because of (4.50) the integral in the right-hand side of (4.57) can be made smaller than  $\frac{\eta}{2} \left( \frac{L^2}{\alpha^2} \right)^{-1}$ , provided  $\widehat{t}$  is chosen sufficiently large in dependence of  $\eta$ . This means that

$$\sup_{t \in [\widehat{t} + 1, +\infty)} \left( \int_{\Omega} \int_Y \frac{\sigma}{2} |\nabla r(x, t) + \nabla_y r^1(x, y, t)|^2 dx dy \right) \leq \eta. \quad (4.58) \quad \boxed{\text{eq:a74}}$$

Inequality (4.58) implies

$$\lim_{t \rightarrow +\infty} \int_{\Omega} \int_Y \sigma |\nabla r(x, t) + \nabla_y r^1(x, y, t)|^2 dx dy = 0. \quad (4.59) \quad \boxed{\text{eq: a75}}$$

Now, working as done in (4.32), we get

$$\lim_{t \rightarrow +\infty} \int_{\Omega} |\nabla r(x, t)|^2 dx dy = 0; \quad \text{and} \quad \lim_{t \rightarrow +\infty} \int_{\Omega} \int_Y |\nabla_y r^1(x, y, t)|^2 dx dy = 0,$$

which gives (4.44). Finally, the previous results together with (4.50) and Poincaré's inequality yield

$$\lim_{t \rightarrow +\infty} \int_{\Omega} |r(x, t)|^2 dx = 0; \quad \text{and} \quad \lim_{t \rightarrow +\infty} \int_{\Omega} \int_Y |r^1(x, y, t)|^2 dx dy = 0,$$

which gives (4.43) and concludes the proof.  $\square$

**r:rem11**

*Remark 4.14.* Observe that this asymptotic convergence results implies uniqueness of the periodic solution  $(u^\#, u^{1,\#})$  (in the class of functions specified above).  $\square$

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