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# Closed-Range Composition Operators on $\mathbb{A}^2$ and the Bloch Space

John R. Akeroyd, Pratibha G. Ghatage and Maria Tjani

**Abstract.** For any analytic self-map  $\varphi$  of  $\{z: |z| < 1\}$  we give four separate conditions, each of which is necessary and sufficient for the composition operator  $C_{\varphi}$  to be closed-range on the Bloch space  $\mathcal{B}$ . Among these conditions are some that appear in the literature, where we provide new proofs. We further show that if  $C_{\varphi}$  is closed-range on the Bergman space  $\mathbb{A}^2$ , then it is closed-range on  $\mathcal{B}$ , but that the converse of this fails with a vengeance. Our analysis involves an extension of the Julia-Carathéodory Theorem.

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**Keywords.** Composition operator, analytic self-map, Blaschke product, univalent map, angular derivative, nontangential limit, Bergman space, Bloch space.

#### **Preliminaries**

Let  $\mathbb D$  denote the unit disk  $\{z:|z|<1\}$  and let  $\mathbb T$  denote the unit circle  $\{z:|z|=1\}$ . We let A denote two-dimensional Lebesgue measure on  $\mathbb D$ . The Bergman space  $\mathbb A^2$  is the collection of functions f that are analytic in  $\mathbb D$  such that

$$||f||_{\mathbb{A}^2}^2:=\int\limits_{\mathbb{D}}|f|^2\,dA<\infty.$$

As a closed subspace of  $L^2(A)$ ,  $\mathbb{A}^2$  forms a Hilbert space with respect to the inner product  $\langle f,g\rangle := \int_{\mathbb{D}} f\overline{g}dA$ . The Bloch space  $\mathcal{B}$  is the collection of functions f that are analytic in  $\mathbb{D}$  such that

$$||f||_{\mathcal{B}} := |f(0)| + \sup_{z \in \mathbb{D}} (1 - |z|^2)|f'(z)| < \infty.$$

Now  $||\cdot||_{\mathcal{B}}$  defines a norm on  $\mathcal{B}$ , and under this norm  $\mathcal{B}$  forms a Banach space. Moreover,  $||f||_{\mathbb{A}^2} \leq 3||f||_{\mathcal{B}}$  for any function f that is analytic in  $\mathbb{D}$ , and hence  $\mathcal{B} \subseteq \mathbb{A}^2$ . A function  $\varphi$  that is analytic in  $\mathbb{D}$  and that satisfies  $\varphi(\mathbb{D}) \subseteq \mathbb{D}$  is called an analytic self-map of  $\mathbb D$ . Analytic automorphisms of  $\mathbb D$  are Möbius transformations of the form  $z\mapsto c\frac{\alpha-z}{1-\bar\alpha z}$ , where c is some unimodular constant and  $\alpha$  is some point in  $\mathbb D$ ; we let  $\varphi_\alpha(z)=\frac{\alpha-z}{1-\bar\alpha z}$ . The so-called pseudohyperbolic metric on  $\mathbb D$  is given by  $\rho(z,w)=|\varphi_w(z)|$ ; and is indeed a metric. For any z in  $\mathbb D$  and any r,0< r<1, we let D(z,r) denote the pseudohyperbolic disk of radius r about z, namely,  $\{w\in\mathbb D:\rho(z,w)< r\}$ . Now if  $\varphi$  is an analytic self-map of  $\mathbb D$ , then the composition operator  $C_\varphi$ , given by  $C_\varphi(f):=f\circ\varphi$ , is a bounded operator on both  $\mathbb A^2$  and  $\mathcal B$ . This result for the Bloch space is a simple consequence of the Schwarz-Pick Lemma (cf., [7, page 2]), and for the Bergman space case one may consult [13, page 17]. Moreover, if  $\varphi$  is not constant, then  $C_\varphi$  is one-to-one on these spaces and hence, by the Open Mapping Theorem, is closed-range if and only if it is bounded below. For any analytic self-map  $\varphi$  of  $\mathbb D$ , define  $\tau_\varphi$  on  $\mathbb D$  by

$$\tau_{\varphi}(z) := \frac{(1 - |z|^2)\varphi'(z)}{1 - |\varphi(z)|^2}.$$

For  $\varepsilon > 0$ , let  $\Lambda_{\varepsilon} = \{z \in \mathbb{D} : |\tau_{\varphi}(z)| > \varepsilon\}$  and let  $F_{\varepsilon} = \varphi(\Lambda_{\varepsilon})$ . We say that  $F_{\varepsilon}$  satisfies the reverse Carleson condition if there exist s and c, 0 < s, c < 1, such that

$$A(F_{\varepsilon} \cap D(z,s)) \ge cA(D(z,s)),$$

$$A(G_{\varepsilon} \cap D(z,s)) \ge cA(D(z,s)),$$

for all z in  $\mathbb{D}$ . Here, we establish an extension of the Julia-Carathéodory Theorem (see Theorem 3.4) and use it to show that if  $C_{\varphi}$  is closed-range on  $\mathbb{A}^2$ , then there exist  $\varepsilon$  and s,  $0 < \varepsilon$ , s < 1, such that  $\{z : s \le |z| < 1\} \subseteq F_{\varepsilon}$ ; see Theorem 3.5. From this we easily have the implication that if  $C_{\varphi}$  is closed-range on  $\mathbb{A}^2$ , then it is also closed-range on  $\mathcal{B}$ ; see Corollary 3.6. We also (by examples) show that the converse of Corollary 3.6 fails, without remedy. Indeed, we construct a *thin* Blaschke product that fixes zero and that has no angular derivative anywhere on  $\mathbb{T}$ , whence  $C_B$  is norm preserving on  $\mathcal{B}$  and

yet is compact on  $\mathbb{A}^2$ ; see Example 3.8. And we also construct a univalent analytic self-map h of  $\mathbb{D}$  that has no unimodular nontangential boundary values on  $\mathbb{T}$ , and thus has no angular derivative anywhere on  $\mathbb{T}$  (whence,  $C_h$  is compact on  $\mathbb{A}^2$ ), such that  $C_h$  is closed-range on  $\mathcal{B}$ ; see Example 3.10. We close the paper with a result that follows easily from work done in [1] and a remark concerning Fredholm operators; see Sect. 4.

#### Regarding the Bloch Space

Recall that, for any analytic self-map  $\varphi$  of  $\mathbb{D}$  and any  $\varepsilon > 0$ ,

$$\tau_{\varphi}(z):=\frac{(1-|z|^2)\varphi'(z)}{1-|\varphi(z)|^2},\quad \text{and}\quad \Lambda_{\varepsilon}:=\{z\in\mathbb{D}: |\tau_{\varphi}(z)|>\varepsilon\}.$$

**Lemma 2.1.** For any  $\varepsilon > 0$  there exist r and s, 0 < r, s < 1, such that if  $z \in \Lambda_{\varepsilon}$ , then

- i)  $D(z,r) \subseteq \Lambda_{\frac{\varepsilon}{2}}$ ,
- ii)  $\varphi$  is univalent in D(z,r) and
- iii)  $D(\varphi(z), s) \subseteq \varphi(D(z, r)).$

*Proof.* (i) By [8],  $\tau_{\varphi}$  is Lipschitz with respect to the pseudohyperbolic metric. Indeed, there is a positive constant c, independent of  $\varphi$  and of z and w in  $\mathbb{D}$ , such that

$$|\tau_{\varphi}(z) - \tau_{\varphi}(w)| \le c\rho(z, w).$$

Let  $r = \frac{\varepsilon}{2c}$  and suppose that  $|\tau_{\varphi}(w)| \leq \frac{\varepsilon}{2}$ . Then, for z in  $\Lambda_{\varepsilon}$ ,

$$\frac{\varepsilon}{2} < ||\tau_{\varphi}(z)| - |\tau_{\varphi}(w)|| \le |\tau_{\varphi}(z) - \tau_{\varphi}(w)| \le c\rho(z, w).$$

Therefore, if  $z \in \Lambda_{\varepsilon}$  and  $\rho(z, w) < \frac{\varepsilon}{2c}$ , then  $w \in \Lambda_{\frac{\varepsilon}{2}}$ .

(ii) Suppose that  $a \in \Lambda_{\varepsilon}$  and  $\alpha := \varphi(a)$ . Notice that  $\varphi_{\alpha} \circ \varphi \circ \varphi_{a}$  is an analytic self-map of the unit disk that maps 0 to 0 and that

$$|(\varphi_\alpha\circ\varphi\circ\varphi_a)'(0)|=|\tau_{\varphi_\alpha\circ\varphi\circ\varphi_a}(0)|=|\tau_{\varphi\circ\varphi_a}(0)|=|\tau_\varphi(a)|>\varepsilon.$$

We argue that  $\varphi_{\alpha} \circ \varphi \circ \varphi_{a}$  is univalent in  $\{z: |z| < r\}$ ; where, as in (i),  $r:=\frac{\varepsilon}{2c}$ . Multiplying  $\varphi_{\alpha} \circ \varphi \circ \varphi_{a}$  by an appropriate unimodular constant we may assume that  $(\varphi_{\alpha} \circ \varphi \circ \varphi_{a})'(0)$  is a positive real number (greater than  $\varepsilon$ ). And using the facts that  $\tau_{\varphi_{\alpha} \circ \varphi \circ \varphi_{a}}$  is Lipschitz with respect to the pseudohyperbolic metric, with the same Lipschitz constant c, and that  $\varphi_{\alpha} \circ \varphi \circ \varphi_{a}$  maps 0 to 0, we find that

$$\operatorname{Re}((\varphi_{\alpha} \circ \varphi \circ \varphi_{a})'(z)) > \frac{\varepsilon}{2},$$
 (2.1.1)

whenever |z| < r. Now let z and w be distinct points both of which have modulus less than r, and define  $\gamma$  on [0,1] by  $\gamma(t) = (1-t)z + tw$ . Then, by (2.1.1),

$$0 \neq (w-z) \cdot \int_{0}^{1} (\varphi_{\alpha} \circ \varphi \circ \varphi_{a})'(\gamma(t))dt = (\varphi_{\alpha} \circ \varphi \circ \varphi_{a})(w) - (\varphi_{\alpha} \circ \varphi \circ \varphi_{a})(z),$$

and hence  $\varphi_{\alpha} \circ \varphi \circ \varphi_a$  is univalent in  $\{z : |z| < r\}$ . It now follows that  $\varphi$  is univalent in D(a, r).

(iii) Given the terminology of part (ii),  $h(z) := \frac{1}{r\varepsilon} (\varphi_{\alpha} \circ \varphi \circ \varphi_{a})(rz)$  is analytic and univalent in  $\mathbb{D}$ , h(0) = 0 and |h'(0)| > 1. Therefore, by the Koebe One-Quarter Theorem (cf., [13, page 154]),

$$\left\{z:|z|<\frac{1}{4}\right\}\subseteq h(\mathbb{D}).$$

From this it follows that

$$\left\{z:|z|<\frac{r\varepsilon}{4}\right\}\subseteq (\varphi_{\alpha}\circ\varphi\circ\varphi_{a})(\{z:|z|< r\}).$$

With  $s:=\frac{r\varepsilon}{4}$  we then find that  $D(\varphi(a),s)\subseteq \varphi(D(a,r)).$ 

As before, let  $\varphi$  be an analytic self-map of  $\mathbb{D}$ , let  $\tau_{\varphi}(z) = \frac{(1-|z|^2)\varphi'(z)}{1-|\varphi(z)|^2}$  and for  $\varepsilon > 0$ , let  $\Lambda_{\varepsilon} = \{z \in \mathbb{D} : |\tau_{\varphi}(z)| > \varepsilon\}$  and let  $F_{\varepsilon} = \varphi(\Lambda_{\varepsilon})$ . We now give two conditions, each of which is equivalent to  $C_{\varphi}$  being closed-range on  $\mathcal{B}$ ; cf., [9] and [3], or Theorem 2.2 below.

- (\*) There exist  $\varepsilon > 0$  and constants c and s, 0 < c, s < 1, such that  $A(F_{\varepsilon} \cap D(z,s)) \geq cA(D(z,s))$  for all z in  $\mathbb{D}$ .
- (#) There exist  $\varepsilon > 0$  and s, 0 < s < 1, such that  $F_{\varepsilon} \cap D(z, s) \neq \emptyset$  for all z in  $\mathbb{D}$ .

**Theorem 2.2.** Let  $\varphi$  be an analytic self-map of  $\mathbb{D}$ . Then the following are equivalent.

- i)  $C_{\varphi}$  is closed-range on  $\mathcal{B}$ .
- ii) Condition (\*) holds.
- iii) Condition (#) holds.
- iv) There are constants r, s and c, 0 < r, s, c < 1, such that, for any w in  $\mathbb{D}$ , there exists  $z_w$  in  $\mathbb{D}$  with the property:  $\varphi$  is univalent on  $D(z_w, s)$ ,  $\varphi(D(z_w, s)) \subseteq D(w, r)$  and  $A(\varphi(D(z_w, s))) \ge c(1 |w|^2)^2$ .

Proof. (i)  $\Longrightarrow$  (iii). Since any Frostman shift of  $\varphi$  (i.e.,  $\varphi_{\alpha} \circ \varphi$ , where  $\alpha \in \mathbb{D}$ ) gives rise to a closed-range composition operator on  $\mathcal{B}$  if and only if  $\varphi$  does, we may assume that  $\varphi(0) = 0$ . Now suppose that (iii) does not hold. Then we can find sequences  $\{r_n\}_{n=1}^{\infty}$ , where  $0 < r_n < 1$  and  $\lim_{r \to \infty} r_n = 1$ , and  $\{w_n\}_{n=1}^{\infty}$  in  $\mathbb{D}$ , where  $\lim_{n \to \infty} |w_n| = 1$ , such that

$$\sup\{|\tau_{\varphi}(z)|: z \in \varphi^{-1}(D(w_n, r_n))\} \longrightarrow 0,$$

as  $n \to \infty$ . Let  $\Delta_n = \varphi^{-1}(D(w_n, r_n))$  and let  $D_n = \mathbb{D} \setminus \Delta_n$ ; for n = 1, 2, 3, .... Now

$$\begin{aligned} ||\varphi_{w_n} \circ \varphi||_{\mathcal{B}/C} &:= \sup\{ (1 - |z|^2) |(\varphi_{w_n} \circ \varphi)'(z)| : z \in \mathbb{D} \} \\ &= \sup\{ [1 - \rho^2(w_n, \varphi(z))] |\tau_{\varphi}(z)| : z \in \mathbb{D} \} \\ &\leq \sup\{ [1 - \rho^2(w_n, \varphi(z))] |\tau_{\varphi}(z)| : z \in \Delta_n \} \\ &+ \sup\{ [1 - \rho^2(w_n, \varphi(z))] |\tau_{\varphi}(z)| : z \in D_n \} \longrightarrow 0, \end{aligned}$$

as  $n \to \infty$ . Yet  $||\varphi_{w_n}||_{\mathcal{B}/C} = 1$ , for all n. By Theorem 0 of [9] it now follows that  $C_{\varphi}$  is not closed-range on  $\mathcal{B}$ .

- (iii)  $\Longrightarrow$  (ii). We assume (iii), that (#) holds. Then, by Lemma 2.1, (\*) holds for  $\frac{\varepsilon}{2}$ .
- (ii)  $\Longrightarrow$  (i). This follows immediately from Proposition 1 and Theorem 1 of [9].

At this point we have established the equivalence of (i), (ii) and (iii).

- (iii)  $\implies$  (iv). This follows immediately from Lemma 2.1.
- $(iv) \implies (iii)$ . Assuming (iv),

$$\int_{D(z_w,s)} |\varphi'(z)|^2 dA(z) \ge c(1-|w|^2)^2,$$

and hence

$$\int\limits_{D(z_w,s)} \frac{|\varphi'(z)|^2}{(1-|w|^2)^2} \, dA(z) \geq c.$$

Thus we can find a positive constant  $\varepsilon$ , dependent only on r and s, such that

$$\int_{D(z_w,s)} |\tau_{\varphi}(z)|^2 dA(z) \ge \varepsilon^2 A(D(z_w,s)).$$

Therefore,  $|\tau_{\varphi}(z)| \geq \varepsilon$  for some z in  $D(z_w, s)$ , and hence  $F_{\varepsilon} \cap D(w, r) \neq \emptyset$  for each w in  $\mathbb{D}$ ; which gives us (iii). The proof is now complete.

A special case of our next result is given by Theorem 2 of [9]; namely, the case that  $\varphi$  is a univalent, analytic self-map of  $\mathbb{D}$ . As is indicated in the proof of Theorem 2.2, if  $f \in \mathcal{B}$ , then  $||f||_{\mathcal{B}/C} := \sup_{z \in \mathbb{D}} (1 - |z|^2)|f'(z)|$ .

**Corollary 2.3.** Let  $\varphi$  be an analytic self-map of  $\mathbb{D}$ . Then  $C_{\varphi}$  is closed-range on  $\mathcal{B}$  if and only if there exists  $\delta > 0$  such that, for all  $\alpha$  in  $\mathbb{D}$ ,  $||\varphi_{\alpha} \circ \varphi||_{\mathcal{B}/C} \geq \delta$ .

Proof. We may assume that  $\varphi(0) = 0$  here since any Frostman shift of  $\varphi$  gives rise to a closed-range composition operator on  $\mathcal{B}$  if and only if  $\varphi$  does, and since the collection of analytic automorphisms of  $\mathbb{D}$  forms a group under the operation of composition. Moreover, notice that  $||\varphi_{\alpha}||_{\mathcal{B}/C} = 1$  for all  $\alpha$  in  $\mathbb{D}$ . So, if  $C_{\varphi}$  is closed-range on  $\mathcal{B}$ , then, by Theorem 0 of [9], there exists  $\delta > 0$  such that  $||\varphi_{\alpha} \circ \varphi||_{\mathcal{B}/C} \geq \delta$  for all  $\alpha$  in  $\mathbb{D}$ . Conversely, suppose that there exists  $\delta > 0$  such that  $||\varphi_{\alpha} \circ \varphi||_{\mathcal{B}/C} \geq \delta$  for all  $\alpha$  in  $\mathbb{D}$ . Then, by Proposition 2 of [9], (iii) of Theorem 2.2 holds and hence  $C_{\varphi}$  is closed-range on  $\mathcal{B}$ .

#### The Context of $\mathbb{A}^2$ Versus that of $\mathcal{B}$

Let  $\varphi$  be an analytic self-map of  $\mathbb D$  and, for  $\varepsilon > 0$ , let  $\Omega_{\varepsilon} := \{z \in \mathbb D : \frac{1-|z|^2}{1-|\varphi(z)|^2} > \varepsilon\}$ , let  $G_{\varepsilon} = \varphi(\Omega_{\varepsilon})$  and let  $K = \mathbb T \cap \overline{\Omega}_{\varepsilon}$ . By the Julia-Carathéodory Theorem (cf., [13, page 57]),  $\varphi$  has an angular derivative at each point  $\xi$  in K, which we denote by  $\varphi'(\xi)$ . Indeed,  $\varphi'(\xi) = \zeta \overline{\xi} d$ , where  $\zeta := \varphi(\xi) := \angle \lim_{z \to \xi} \varphi(z)$  and d is given by

$$d:=\liminf_{z\to\xi}\frac{1-|\varphi(z)|}{1-|z|}\ \left(=\liminf_{z\to\xi}\frac{1-|\varphi(z)|^2}{1-|z|^2}\right).$$

The Julia-Carathéodory Theorem tells us that d>0. And since  $\xi\in K,$   $d\leq \frac{1}{\varepsilon}.$ 

**Proposition 3.1.** Given the terminology of the above discussion,  $\varphi$  is continuous on  $\overline{\Omega}_{\varepsilon}$  and  $\varphi'$  is continuous on K.

Proof. The continuity of  $\varphi$  on  $\overline{\Omega}_{\varepsilon}$  was established in [1]; see Remark 2.6 in this reference. Now let  $\{\xi_n\}_{n=1}^{\infty}$  be a sequence in K that converges to  $\xi_0$  in K, and let  $d_n = |\varphi'(\xi_n)|$ , for  $n = 0, 1, 2, \ldots$  Since  $\varphi$  is continuous on K, the continuity of  $\varphi'$  on K will follow if we show that  $d_n \longrightarrow d_0$ , as  $n \to \infty$ . Now by the discussion just prior to this proposition,  $\{d_n\}_{n=1}^{\infty}$  is bounded. And so, passing to a subsequence if necessary, we may assume that  $d_n \longrightarrow d$ , as  $n \to \infty$ . Thus our goal here is to show that  $d = d_0$ . To this end, by the Julia-Carathéodory Theorem we can find a sequence  $\{r_n\}_{n=1}^{\infty}$  in (0,1), such that  $\lim_{n\to\infty} r_n = 1$  and  $|d_n - \frac{1-|\varphi(r_n\xi_n)|}{1-r_n}| < \frac{1}{n}$ , for  $n = 1, 2, 3, \ldots$ . Hence,  $\{r_n\xi_n\}_{n=1}^{\infty}$  is a sequence in  $\mathbb D$  that converges to  $\xi_0$  and  $\{\frac{1-|\varphi(r_n\xi_n)|}{1-r_n}\}_{n=1}^{\infty}$  converges to d. Julia's Theorem (cf., [13, page 63]) now tells us that  $d = d_0$ .  $\square$ 

We now set the stage for two subsequent results.

**Discussion 3.2.** For any point  $\xi$  in  $\mathbb T$  and any  $\theta$ ,  $0 < \theta < \pi$ , we let  $S(\xi,\theta)$  denote the interior of closed convex hull of  $\{\xi\} \cup \{z : |z| \leq \sin(\frac{\theta}{2})\}$ . We call  $S(\xi,\theta)$  the *Stolz region* based at  $\xi$  with vertex angle  $\theta$ . For our purposes here it is sufficient that we keep the vertex angles of our Stolz regions fixed at  $\frac{\pi}{2}$ , though our arguments carry through for any fixed  $\theta$  in the aforementioned range. Let  $\varphi$  be an analytic self-map of  $\mathbb D$  and, for  $\varepsilon > 0$ , let  $\Omega_{\varepsilon} = \{z \in \mathbb D : \frac{1-|z|^2}{1-|\varphi(z)|^2} > \varepsilon\}$  and let  $K = \mathbb T \cap \overline{\Omega}_{\varepsilon}$ . Define  $W_{\varepsilon}$  by

$$W_{\varepsilon} = \bigcup_{\xi \in K} S(\xi, \frac{\pi}{2}).$$

Suppose that  $\{z_n\}_{n=1}^{\infty}$  is a sequence in  $W_{\varepsilon}$  that converges to a point  $\xi_0$  in K. So, we can find a sequence  $\{\xi_n\}_{n=1}^{\infty}$  in K such that  $z_n \in S(\xi_n, \frac{\pi}{2})$  (for  $n = 1, 2, 3, \ldots$ ) and  $\lim_{n \to \infty} \xi_n = \xi_0$ . Now

- $\zeta_n := \varphi(\xi_n) := \angle \lim_{z \to \xi_n} \varphi(z)$ , and
- $\angle \lim_{z \to \xi_n} \varphi'(z) =: \varphi'(\xi_n) = \zeta_n \overline{\xi}_n d_n$  the angular derivative of  $\varphi$  at  $\xi_n$ , where  $d_n := |\varphi'(\xi_n)|$ .

By Proposition 3.1,  $\varphi'(\xi_n) \longrightarrow \varphi'(\xi_0) = \zeta_0 \overline{\xi}_0 d_0$ , as  $n \to \infty$ , where  $\zeta_0 := \varphi(\xi_0) := \angle \lim_{z \to \xi_0} \varphi(z)$  and  $d_0 := |\varphi'(\xi_0)|$ . Since  $0 < d_0 < \infty$ , we can find M > 1 such that  $\frac{1}{M} \le d_n \le M$  for all n.

**Lemma 3.3.** Assuming the terminology of Discussion 3.2, for any  $\varepsilon > 0$ , there exist s, 0 < s < 1, and N (in  $\mathbb{N}$ ) such that

$$\left| d_n - \frac{1 - |\varphi(z)|}{1 - |z|} \right| < \varepsilon,$$

whenever  $z \in S(\xi_n, \frac{\pi}{2}), |z| > s$  and  $n \geq N$ .

*Proof.* If not, then we can find  $d \neq d_0$ , a subsequence  $\{\xi_{n_k}\}_{k=1}^{\infty}$  of  $\{\xi_n\}_{n=1}^{\infty}$  and a sequence  $\{z'_k\}_{k=1}^{\infty}$  such that

- $z'_k \in S(\xi_{n_k}, \frac{\pi}{2})$  for all k,
- $|z'_k \xi_{n_k}| \xrightarrow{\sim} 0$  and hence  $|z'_k \xi_0| \longrightarrow 0$  (as  $k \to \infty$ ), and
- $(1-|\varphi(z'_k)|)/(1-|z'_k|) \longrightarrow d$ , as  $k \to \infty$ .

By Julia's Theorem this would then tell us that

$$d = |\varphi'(\xi_0)| = d_0;$$

a contradiction.

**Theorem 3.4.** Assuming the terminology of Discussion 3.2,  $\varphi'$  is continuous on  $\overline{W}_{\varepsilon}$ .

*Proof.* Our proof here is based on Lemma 3.3 and some observations concerning the proof of the Julia-Carathéodory Theorem in [13]. By Proposition 3.1, all we need to show is that, given the hypothesis of Discussion 3.2,  $\varphi'(z_n) \longrightarrow \varphi'(\xi_0)$ , as  $n \to \infty$ .

**Claim A.** For any  $\varepsilon > 0$  there exist s, 0 < s < 1, and N (in  $\mathbb{N}$ ) such that

$$\left| \zeta_n \bar{\xi}_n d_n - \frac{\zeta_n - \varphi(z)}{\xi_n - z} \right| < \varepsilon,$$

whenever  $z \in S(\xi_n, \frac{\pi}{2}), |z| > s$  and  $n \ge N$ .

To justify this claim we first observe that, by Lemma 3.3, for any  $\eta > 0$ , there exist  $\sigma$ ,  $0 < \sigma < 1$ , and  $\nu$  (in  $\mathbb{N}$ ) such that

$$\left| d_n - \frac{1 - |\varphi(r\xi_n)|}{1 - r} \right| < \eta \quad \text{and} \quad \left| d_n - \frac{1 - |\varphi(r\xi_n)|^2}{1 - r^2} \right| < \eta \quad (3.4.1)$$

provided  $\sigma \leq r < 1$  and  $n \geq \nu$ . Mimicking the proof of JC (1)  $\Longrightarrow$  JC (2) (in Sect. 4.5 of [13]), for  $n \geq \nu$  we carry the discussion to the right half-plane  $\{w : \operatorname{Re}(w) > 0\}$ . Let  $\varphi_n$  and  $\psi_n$  be the Möbius transformations given by  $\varphi_n(z) := \frac{\xi_n + z}{\xi_n - z}$  and  $\psi_n(z) := \frac{\zeta_n + z}{\zeta_n - z}$ . Define  $\Phi_n$  and  $\gamma_n$  on  $\{w : \operatorname{Re}(w) > 0\}$  by  $\Phi_n(w) := (\psi_n \circ \varphi \circ \varphi_n^{-1})(w)$  and  $\gamma_n(w) := \Phi_n(w) - c_n w$ , where  $c_n := \frac{1}{d_n}$ . Now by (3.4.1), if  $n \geq \nu$  and  $\sigma \leq r < 1$ , then

$$d_n - \eta < \frac{1 - |\varphi(r\xi_n)|}{1 - r}, \frac{1 - |\varphi(r\xi_n)|^2}{1 - r^2} < d_n + \eta$$

and hence, by Julia's Theorem and with  $w_{n,r} := \varphi_n(r\xi_n) \ (= \frac{1+r}{1-r}),$ 

$$\frac{1}{d_n} \le \frac{\operatorname{Re}(\Phi_n(w_{n,r}))}{\operatorname{Re}(w_{n,r})} = \left(\frac{1 - |\varphi(r\xi_n)|^2}{1 - r^2}\right) \frac{(1 - r)^2}{|\zeta_n - \varphi(r\xi_n)|^2} < \frac{d_n + \eta}{(d_n - \eta)^2}.$$

Therefore, if n is sufficiently large (allowing  $\eta$  to be sufficiently small), one can force

$$\frac{\operatorname{Re}(\gamma_n(w_{n,\sigma}))}{\operatorname{Re}(w_{n,\sigma})}$$

to be less than any prescribed positive real number; and  $w_{n,\sigma} = \frac{1+\sigma}{1-\sigma}$ , which clearly does not vary with n. We let  $w_{n,\sigma}$  play the role of  $w_0$  in the proof of JC (1)  $\Longrightarrow$  JC (2) (in Sect. 4.5 of [13]). And since the image under  $\varphi$  of any compact subset of  $\mathbb{D}$  is a compact subset of  $\mathbb{D}$ ,  $\{|\gamma_n(w_{n,\sigma})|\}_{n=1}^{\infty}$  is bounded. Thus, following through with the argument in [13], we find that, for any

 $\tau > 0$ , there is a positive real number R such that if  $w \in \varphi_n(S(\xi_n, \frac{\pi}{2}))$  and |w| > R, then

$$\left| \frac{\gamma_n(w)}{w} \right| < \tau, \tag{3.4.2}$$

provided n is sufficiently large. Now, via the correspondence  $w = \varphi_n(z)$ , routine calculations give that

$$\frac{w+1}{\Phi_n(w)+1} = \xi_n \bar{\zeta}_n \left( \frac{\zeta_n - \varphi(z)}{\xi_n - z} \right),$$

and hence,

$$\left| \frac{\gamma_n(w) + 1}{w + 1} \right| = \left| \frac{\xi_n - z}{\zeta_n - \varphi(z)} - \frac{\xi_n \bar{\zeta}_n c_n w}{w + 1} \right|.$$

We now find that Claim (A) follows from (3.4.2).

**Claim B.** For any  $\varepsilon > 0$  there exist s, 0 < s < 1, and N (in  $\mathbb{N}$ ) such that

$$|\zeta_n \bar{\xi}_n d_n - \varphi'(z)| < \varepsilon,$$

whenever  $z \in S(\xi_n, \frac{\pi}{2}), |z| > s \text{ and } n \geq N.$ 

Now Claim (B) follows directly from Claim (A) and the proof of JC (2)  $\Longrightarrow$  JC (3) (in Sect. 4.6 of [13]). And by Claim (B) and the fact that  $\varphi'(\xi_n) \longrightarrow \varphi'(\xi_0)$ , as  $n \to \infty$ , we find that

$$\varphi'(z_n) \longrightarrow \varphi'(\xi_0),$$

as  $n \to \infty$ ; which completes our proof.

**Theorem 3.5.** Let  $\varphi$  be an analytic self-map of  $\mathbb{D}$ . If  $C_{\varphi}$  is closed-range on  $\mathbb{A}^2$ , then there exist  $\varepsilon$  and s,  $0 < \varepsilon$ , s < 1, such that  $\{z : s \le |z| < 1\} \subseteq F_{\varepsilon}$ .

Proof. Suppose that  $C_{\varphi}$  is closed-range on  $\mathbb{A}^2$ . Then there exists  $\varepsilon > 0$  such that  $G_{\varepsilon} := \varphi(\Omega_{\varepsilon})$  satisfies the reverse Carleson condition; cf., [1]. In particular,  $\mathbb{T} \subseteq \overline{G}_{\varepsilon}$ . So, for each point  $v_0$  in  $\mathbb{T}$ , we can find a sequence  $\{w_n\}_{n=1}^{\infty}$  in  $\Omega_{\varepsilon}$  such that  $\{\varphi(w_n)\}_{n=1}^{\infty}$  converges to  $v_0$ . Passing to a subsequence if necessary, we may assume that  $\{w_n\}_{n=1}^{\infty}$  converges to some point  $\omega_0$  in  $K := \mathbb{T} \cap \overline{\Omega}_{\varepsilon}$ . Therefore, by Julia's Theorem,  $v_0 = \varphi(\omega_0) := \angle \lim_{w \to \omega_0} \varphi(w)$ . Thus,  $\varphi(K) = \mathbb{T}$ . We proceed indirectly and suppose that the conclusion of this theorem fails. Then we can find a sequence  $\{z_n\}_{n=1}^{\infty}$  in  $\mathbb{D} \setminus \{0\}$ , such that  $\{|z_n|\}_{n=1}^{\infty}$  converges to 1 and

$$\sup\{|\tau_{\varphi}(w)|: \varphi(w) = z_n\} \longrightarrow 0, \tag{3.5.1}$$

as  $n \to \infty$ . Since  $\varphi(K) = \mathbb{T}$ , there exists  $\{\xi_n\}_{n=1}^{\infty}$  in K such that  $\varphi(\xi_n) = \zeta_n := \frac{z_n}{|z_n|}$ , for  $n = 1, 2, 3, \ldots$  Passing to a subsequence if need be, we may assume that  $\{\xi_n\}_{n=1}^{\infty}$  converges to some point  $\xi_0$  in K. Since, by Proposition 3.1,  $\varphi$  is continuous on K, indeed, continuous on  $\overline{\Omega}_{\varepsilon}$ , we find that  $\{\zeta_n\}_{n=1}^{\infty}$  converges to  $\zeta_0 := \varphi(\xi_0)$ . Now, by Theorem 3.4 and its proof, there exist  $\delta$  and  $s, 0 < \delta, s < 1$ , and N in  $\mathbb{N}$  such that

$$|\tau_{\varphi}(z)| \geq \delta$$
,

whenever  $z \in S(\xi_n, \frac{\pi}{2})$ , |z| > s and  $n \ge N$ . Moreover, by Claim (A) in the proof of Theorem 3.4 (that speaks to the conformality of  $\varphi$  at  $\xi_n$ ), we can find  $\sigma$ ,  $0 < \sigma < 1$ , and  $\nu$  in  $\mathbb{N}$  such that

$$\{r\zeta_n: \sigma \leq r < 1\} \subseteq \varphi(\{z \in S(\xi_n, \frac{\pi}{2}): |z| > s\}),$$

whenever  $n \ge \nu$ . Since  $z_n \in \{r\zeta_n : \sigma \le r < 1\}$ , if n is sufficiently large, we find that (3.5.1) above cannot occur; and our proof is complete.  $\square$ 

Our next result is an immediate consequence of Theorem 3.5 and Theorem 2.2; and so we state it without proof.

**Corollary 3.6.** Let  $\varphi$  be an analytic self-map of  $\mathbb{D}$ . If  $C_{\varphi}$  is closed-range on  $\mathbb{A}^2$ , then it is also closed-range on  $\mathcal{B}$ .

A slight modification of the proof of Theorem 3.5 gives us the following rather surprising result. It also can be viewed as a byproduct of the nice behavior of  $\varphi$  on  $\overline{W}_{\varepsilon}$ , as indicated by Theorem 3.4.

**Theorem 3.7.** Let  $\varphi$  be an analytic self-map of  $\mathbb{D}$ . Then the following are equivalent.

- i)  $C_{\varphi}$  is closed-range on  $\mathbb{A}^2$ .
- ii) There exist  $\varepsilon$ , s and c,  $0 < \varepsilon$ , s, c < 1, such that

$$A(G_{\varepsilon} \cap D(z,s)) \ge cA(D(z,s)),$$

for all z in  $\mathbb{D}$ .

iii) There exist  $\varepsilon$  and s,  $0 < \varepsilon$ , s < 1, such that  $\{z : s \le |z| < 1\} \subseteq G_{\varepsilon}$ .

*Proof.* The equivalence between (i) and (ii) was established in [1]. And clearly (iii) implies (ii). So we need only establish that (i) implies (iii). To this end, assume that  $C_{\varphi}$  is closed-range on  $\mathbb{A}^2$  and mimic the proof of Theorem 3.5, replacing  $|\tau_{\varphi}(z)|$  by  $\frac{1-|z|^2}{1-|\varphi(z)|^2}$ , throughout. The argument carries over with this modification to gives us (iii).

By Theorem 2.5 of [1], the only univalent analytic self-maps of  $\mathbb D$  that give rise to closed-range composition operators on  $\mathbb A^2$  are the analytic automorphisms of  $\mathbb D$ . This is in contrast with the Bloch space setting. Indeed, if  $\psi$  is any conformal mapping from  $\mathbb D$  one-to-one and onto  $\mathbb D\setminus [0,1)$ , then  $C_\psi$  is closed-range on  $\mathcal B$ ; cf., Example 2 of [9]. So, the converse of Corollary 3.6 fails. Our next two examples show that the converse fails with a vengeance. Our first is an example of a thin Blaschke product B that fixes zero and has no angular derivative at any point of the unit circle  $\mathbb T$ ; and by thin we mean that  $(1-|a_n|^2)|B'(a_n)|\longrightarrow 1$ , as  $n\to\infty$ , where  $\{a_n\}_{n=1}^\infty$  are the zeros of B. Therefore,  $C_B$  is norm preserving on  $\mathcal B$  (cf., [5], or [11]) and yet is compact on  $\mathbb A^2$  (cf., [13, pages 52 and 195]). And since  $C_B$  is compact and not of finite rank on  $\mathbb A^2$ , it is not closed-range on  $\mathbb A^2$ . This first example is a factor of the one produced by J. Shapiro on page 185 of [13].

**Example 3.8.** Let  $B^*$  be the Blaschke product constructed by J. Shapiro on page 185 of [13] and let  $\{a_n\}_{n=1}^{\infty}$  be the zeros of  $B^*$ . Associated with each  $a_n$  is an arc  $I_n$  of length  $\frac{1}{n}$  of the form  $I_n = \{e^{i\theta} : \theta_n \leq \theta \leq \theta_{n+1}\}$ . The zeros  $a_n$ 

are given by:  $a_n := r_n e^{i\omega_n}$ , where  $r_n := 1 - \frac{1}{n^2}$  and  $\omega_n := \frac{1}{2}(\theta_n + \theta_{n+1})$ . A theorem of O. Frostman (cf., [13, page 183]) is then used to show that  $B^*$  has no angular derivative anywhere on  $\mathbb{T}$ . For each positive integer  $\nu$ , we define the  $\nu^{th}$  "layer" of zeros of  $B^*$  as  $[a_{\nu}] := \{a_{\nu}, a_{\nu+1}, \dots, a_{N_{\nu}}\}$ , where  $N_{\nu}$  is the unique positive integer that satisfies:

$$\mathbb{T} \subseteq \bigcup_{n=\nu}^{N_{\nu}} I_n, \text{ yet } \mathbb{T} \not\subseteq \bigcup_{n=\nu}^{N_{\nu}-1} I_n.$$

Since  $\sum_{n=\nu}^{N_{\nu}-1} \frac{1}{n} < 2\pi$ , it follows that  $N_{\nu} < 540\nu$ . For any positive integer  $\nu$ , let  $B_{\nu}$  be the Blaschke product with (simple) zeros  $[a_{\nu}]$ . For any  $a_k$  in  $[a_{\nu}]$ , let  $B_{\nu}^{3}$  denote  $B_{\nu}$  with the Blaschke factor involving  $a_k$  deleted. And choose  $a_{k^*}$  in  $[a_{\nu}] \setminus \{a_k\}$  such that  $\rho(a_k, a_{k^*}) \leq \rho(a_k, a_l)$ , whenever  $a_l \in [a_{\nu}] \setminus \{a_k\}$ . Then, for such l,

$$\left| \frac{a_k - a_l}{1 - \bar{a}_l a_k} \right|^2 - 1 \ge -\frac{(1 - r_k^2)(1 - r_{k^*}^2)}{1 - 2r_k r_{k^*} \cos(\theta_k - \theta_{k^*}) + r_k^2 r_{k^*}^2}.$$

Now,  $|\theta_k - \theta_{k^*}| \geq \frac{1}{4k}$  and so, for  $\nu$  sufficiently large,

$$1 - 2r_k r_{k^*} \cos(\theta_k - \theta_{k^*}) + r_k^2 r_{k^*}^2 \ge \frac{1}{20k^2}.$$

Hence,

$$\left| \frac{a_k - a_l}{1 - \bar{a}_l a_k} \right|^2 - 1 \ge -\frac{80}{(k^*)^2} \ge -\frac{80}{\nu^2},$$

independent of k and l in our range here. Therefore,

$$0 > \sum_{k \neq l = \nu}^{N_{\nu}} \left( \left| \frac{a_k - a_l}{1 - \bar{a_l} a_k} \right|^2 - 1 \right)$$
  
 
$$\geq (540\nu)(-\frac{80}{\nu^2}) = -\frac{43,200}{\nu} \longrightarrow 0,$$

as  $\nu \to \infty$ ; uniformly in  $k, \nu \le k \le N_{\nu}$ . From this it follows that

$$|B_{\nu}^{k}(a_{k})| \longrightarrow 1, \tag{3.7.1}$$

as  $\nu \to \infty$ ; uniformly in  $k, \nu \le k \le N_{\nu}$ . Now since  $B^*$  is a Blaschke product,

$$|B_{\nu}| \longrightarrow 1$$
 (3.7.2)

uniformly on compact subsets of  $\mathbb{D}$ , as  $\nu \to \infty$ . And since, for any fixed  $\nu$ ,  $B_{\nu}$  is a finite Blaschke product,

$$|B_{\nu}(z)| \longrightarrow 1$$
 (3.7.3)

uniformly in z, as  $|z| \to 1^-$ . Using (3.7.1)–(3.7.3), one can find a (rapidly) increasing sequence  $\{\nu_j\}_{j=1}^{\infty}$  of positive integers such that  $[a_{\nu_k}] \cap [a_{\nu_l}] = \emptyset$  if  $k \neq l$ , and such that

$$B:=\prod_{i=1}^{\infty}B_{\nu_j},$$

whose (simple) zeros we enumerate as  $\{\alpha_n\}_{n=1}^{\infty}$ , satisfies

$$|B^{\hat{n}}(\alpha_n)| \longrightarrow 1,$$

as  $n \to \infty$ ; where  $B^n$  denotes B with the Blaschke factor involving  $\alpha_n$  deleted. And we may assume that  $\nu_1 = 1$ . Hence, B is a thin Blaschke product that fixes zero. Since the zeros of B consist of infinitely many disjoint layers of the zeros of  $B^*$ , one can argue as in [13, page 185], and find that

$$\sum_{n=1}^{\infty} \frac{1 - |\alpha_n|}{|\zeta - \alpha_n|^2} = \infty,$$

for each  $\zeta$  in  $\mathbb{T}$ . Thus, by a theorem of O. Frostman (cf., [13, page 183]), we conclude that B has no angular derivative at any point in  $\mathbb{T}$ .

**Remark 3.9.** The converse of Theorem 3.5 does not hold. Indeed, by Theorem 2.7 of [4], if B is the Blaschke product that we produced in Example 3.8, then

$$\mathbb{D}\subseteq F_{\frac{1}{2}};$$

and yet  $C_B$  is far from closed-range on  $\mathbb{A}^2$ .

We now produce a univalent analytic self-map h of  $\mathbb{D}$  that has no angular derivative at any point of  $\mathbb{T}$  (whence,  $C_h$  is compact on  $\mathbb{A}^2$ ) such that  $C_h$  is closed-range on  $\mathcal{B}$ . This dramatically improves upon our understanding of what is possible in the univalent case; cf., Example 2 of [9]. And since  $h(\mathbb{D})$  contains no annulus with outer boundary equal to  $\mathbb{T}$  (and similarly for Example 2 of [9]), there is no analogue of Theorem 3.7 in the context of the Bloch space.

**Example 3.10.** Here we construct a conformal mapping h from  $\mathbb{D}$  one-to-one and onto an *infinite ribbon* G that spirals out to  $\mathbb{T}$  such that  $C_h$  is closed-range on  $\mathcal{B}$ . So h will have no unimodular nontangential boundary values on  $\mathbb{T}$ , and thus no angular derivative anywhere on  $\mathbb{T}$ . We write h as the composition of three conformal mappings:

- $\zeta = i\left(\frac{1+z}{1-z} + e\right)$ , which maps  $\mathbb D$  univalently onto  $G_1 := \{\zeta : \operatorname{Im}(\zeta) > e\}$ ,
- $\xi = \log(\zeta)$ , which maps  $G_1$  univalently onto a smoothly bounded subregion  $G_2$  of the swath  $\{\xi : \operatorname{Re}(\xi) > 1 \text{ and } 0 < \operatorname{Im}(\xi) < \pi\}$  that asymptotically approximates this swath, and
- $w = \xi^i$ , which maps  $G_2$  univalently onto an infinite ribbon G that spirals out to  $\mathbb{T}$ .

  $\Gamma \cap D(z,s) \neq \emptyset$  for all z in  $\mathbb{D}$ . Theorem 2.2 then gives us the conclusion. In what follows we use the symbol  $\sim$  between real-valued functions f and g defined on [0,1) (viz.,  $f \sim g$ ) to indicate that there is a constant M>1 such that  $\frac{1}{M}f(x) \leq g(x) \leq Mf(x)$  for all x in [0,1). Now, for x in [0,1),

$$h(x) = \left[\log\left(i\left(\frac{1+x}{1-x} + e\right)\right)\right]^{i}$$
$$= \left[\log\left(\frac{1+x}{1-x} + e\right) + \frac{i\pi}{2}\right]^{i}.$$

Denoting  $\log \left(\frac{1+x}{1-x}+e\right)+\frac{i\pi}{2}$  by  $\xi_x$ , we have:

$$h(x) = e^{i \log(\xi_x)} = e^{-\arg(\xi_x)} \cdot e^{i \log|\xi_x|}.$$

Hence,

$$1 - |h(x)| \sim \arg(\xi_x) \sim \frac{1}{\log\left(\frac{1+x}{1-x} + e\right)}.$$

Thus, for x in [0,1),

$$\frac{1-x}{1-|h(x)|} \sim (1-x)\log\left(\frac{1+x}{1-x}+e\right).$$

And, for such x,  $h'(x) = \frac{e^{i\log(\xi_x)}}{\log(\frac{1+x}{1-x}+e)+\frac{i\pi}{2}} \cdot \frac{2}{(1-x^2)+e(1-x)^2}$ ; whence

$$|h'(x)| \sim \frac{1}{(1-x)\log(\frac{1+x}{1-x}+e)}.$$

Evidently,  $|\tau_h(x)| \sim 1$ , and so there exists  $\varepsilon > 0$  such that  $\Gamma \subseteq F_{\varepsilon}$ . Now, as x increases to 1 in [0,1), h(x) traverses  $\Gamma$  through infinitely many counterclockwise rotations about 0 as it works its way toward  $\mathbb{T}$ . To complete our argument here it is important that we obtain a good estimate on the ratio between 1 - |h(x')| and 1 - |h(x)|, if [x, x'] is a subinterval of [0, 1) over which h makes precisely one rotation about 0. Recalling that  $h(x) = e^{-\arg(\xi_x)} \cdot e^{i\log|\xi_x|}$ , we find that this reduces to an examination of

$$h^*(y) := e^{-\frac{1}{y}} \cdot e^{i\log(y)},$$

as y in  $[1,\infty)$  increases to  $\infty$ . Notice that  $h^*$  winds through  $2\pi$  radians on any subinterval of  $[1,\infty)$  of the form  $[y,e^{2\pi}y]$ . And, independent of  $y,\frac{1-|h^*(e^{2\pi}y)|}{1-|h^*(y)|}$  is boundedly equivalent to  $\frac{1}{e^{2\pi}}$ . This then tells us that  $\mathbb{D}\setminus\Gamma$  does not contain pseudohyperbolic disks of radius arbitrarily near 1. Hence, there exists s, 0 < s < 1, such that  $\Gamma \cap D(z,s) \neq \emptyset$ , for all z in  $\mathbb{D}$ . Since, as we have shown,  $\Gamma \subset F_{\varepsilon}$ , for some  $\varepsilon > 0$ , we can now refer to Theorem 2.2 and conclude that  $C_h$  is closed-range on  $\mathcal{B}$ .

#### **Closing Remarks**

In this final section we give a result in the context of  $\mathbb{A}^2$  for singular inner functions and we point out some implications of our work here to the theory of Fredholm operators. In our discussion we let m denote normalized Lebesgue measure on  $\mathbb{T}$ . Recall that a compact subset E of  $\mathbb{T}$  is said to be porous if there exists  $\varepsilon$ ,  $0 < \varepsilon < 1$ , such that whenever I is a arc of  $\mathbb{T}$  with  $I \cap E \neq \emptyset$ , then there is a subarc I of I where I where I is an anomaly if I has the property: For any singular measure I supported on I if and only if I has the property: For any singular measure I supported on I is a Carleson–Newman Blaschke product; that is, a finite product of interpolating Blaschke products. The proof of Corollary 3.11 in [1] also establishes our next result.

**Proposition 4.1.** Let E be a porous subset of  $\mathbb{T}$ . If  $\mu$  is any singular measure with support in E, then  $C_{S_{\mu}}$  is closed-range on  $\mathbb{A}^2$ .

**Remark 4.2.** We close the paper with some thoughts concerning Fredholm operators. We first recall that the little Bloch space  $\mathcal{B}_0$  is the collection of functions f in  $\mathcal{B}$  for which

$$\lim_{r \to 1} \sup_{r < |z| < 1} (1 - |z|^2) |f'(z)| = 0.$$

And the Dirichlet space  $\mathcal{D}$  is the collection of functions  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ , analytic in  $\mathbb{D}$ , such that

$$||f||_{\mathcal{D}}^2 := \sum_{n=0}^{\infty} (n+1)|a_n|^2 < \infty.$$

An operator between two Banach spaces is called a *Fredholm operator* if its range is closed and both the operator and its adjoint have finite dimensional kernel. If  $\varphi$  is an analytic self-map of  $\mathbb{D}$  and  $C_{\varphi}$  is a Fredholm operator on a Hilbert space of analytic functions that contains  $\mathcal{D}$ , then  $\varphi$  is a disk automorphism; cf., [6, page 153]. Now  $\mathcal{D} \subseteq \mathcal{B}_0$ , but we will show that the situation is different for  $\mathcal{B}_0$ . Indeed, there exists Fredholm composition operators on  $\mathcal{B}_0$  whose symbols are not disk automorphisms. The *minimal Besov space*  $B_1$  is the collection of all functions f that are analytic in  $\mathbb{D}$  of the form

$$f(z) = a_0 + \sum_{n=1}^{\infty} a_n \varphi_{w_n}(z), \tag{4.2.1}$$

where  $\{w_n\}_{n=1}^{\infty}\subseteq \overline{\mathbb{D}}$ , and  $\{a_n\}_{n=1}^{\infty}\in l^1$ . The norm on  $B_1$  is given by

$$||f||_{B_1} := \inf \left\{ \sum_{n=0}^{\infty} |a_n| : (4.2.1) \text{ holds} \right\}.$$

Now  $B_1$  is a Banach space with respect to this norm and is invariant under disk automorphisms. Under the pairing  $(f,g) = \int_{\mathbb{D}} f'(z)\overline{g'(z)}dA(z)$ , the dual

of  $\mathcal{B}_0$  is  $B_1$  and the dual of  $B_1$  is  $\mathcal{B}$ ; cf., [2]. Notice that, for g in  $\mathcal{B}_0$  and w in  $\mathbb{D}$ .

$$(g, \varphi_w) = -\int_{\mathbb{D}} g'(z) \frac{1 - |w|^2}{(1 - w\overline{z})^2} dA(z) = -(1 - |w|^2)g'(w),$$

and therefore,

$$(g, C_{\wp}^*(\varphi_w)) = \langle C_{\wp}(g), \varphi_w \rangle = -(1 - |w|^2) (g \circ \varphi)'(w) = -\tau_{\wp}(w)(g, \varphi_{\wp(w)}).$$

If  $w \in \mathbb{D}$ , then

$$C_{\varphi}^*(\varphi_w) = -\tau_{\varphi}(w)\varphi_{\varphi(w)}, \tag{4.2.2}$$

and if |w| = 1, then  $\varphi_w = w$  and

$$C_{\varphi}^* \varphi_w = 0. \tag{4.2.3}$$

By (4.2.2) and (4.2.3) it is easy to see that the kernel of  $C_{\varphi}^*: B_1 \to B_1$  consists of the constant functions. Also, a non-constant composition operator is always one-to-one, and therefore  $C_{\varphi}: \mathcal{B}_0 \longrightarrow \mathcal{B}_0$  will be a Fredholm operator if it is closed-range. It is shown in [9] that if  $\psi$  is a conformal mapping from  $\mathbb{D}$  onto  $\mathbb{D} \setminus [0,1)$ , then  $C_{\psi}$  is bounded below on  $\mathcal{B}$ . Any univalent self-map of  $\mathbb{D}$  is in  $\mathcal{B}_0$ , and thus  $\psi \in \mathcal{B}_0$  and  $C_{\psi}$  is a Fredholm operator on  $\mathcal{B}_0$ .

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