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2019

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A. Dita

University of Agriculture, Faisalabad, Pakistan

H. Nawaz

University of Agriculture, Faisalabad, Pakistan

T. Mahmood

University of Agriculture, Faisalabad, Pakistan

M. Tahir

University of Agriculture, Faisalabad, Pakistan

N. Rashid

University of Central Punjab

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Ditta, A., Nawaz, H., Mahood, T., Majeed, M., Tahir, M., Rashid, N., Muddassar, Al-Saadi, A. & Byrne, H.J. (2019). Principal components analysis of Raman spectral data for screening of Hepatitis C infection. *Spectrochimica Acta Part A: Molecular and Biomolecular Spectroscopy*, 221, 117173. doi:10.1016/j.saa.2019.117173

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Authors

A. Dita, H. Nawaz, T. Mahmood, M. Tahir, N. Rashid, Muhammad Mudassar, A.A.H. Alsaadi, and Hugh J. Byrne

Title:

Principal Components Analysis of Raman spectral data for screening of Hepatitis C infection

Authors:

A. Ditta^a, H. Nawaz^{a*}, T. Mahmood^a, M. I. Majeed^a, M. Tahir^a, N. Rashid^b, Muhammad Mudassar^c, A. A. H Alsaadi^d and H.J. Byrne^e

^a Department of Chemistry, University of Agriculture, Faisalabad, Pakistan.

^b University of Central Punjab, Faisalabad campus, Faisalabad, Pakistan.

^c COMSATS University, Islamabad, Pakistan.

^d Department of Chemistry, King Fahd University of Petroleum & Minerals, Dhahran 31261, KSA.

^e FOCAS Research Institute, Dublin Institute of Technology, Kevin Street, Dublin 8, Ireland.

*Corresponding Author: DrHaq Nawaz

E-mail: haqchemist@yahoo.com

Abstract

In the current study, Raman spectroscopy is employed for the identification of the biochemical changes taking place during the development of Hepatitis C. The Raman spectral data acquired from the human blood plasma samples of infected and healthy individuals is analysed by Principal Components Analysis and the Raman spectral markers of the Hepatitis C Virus (HCV) infection are identified. Spectral changes include those associated with nucleic acids at 720 cm^{-1} , 1077 cm^{-1} , 1678 cm^{-1} (C=O stretching mode of dGTP of RNA), 1778 cm^{-1} (RNA), with proteins at 1641 cm^{-1} (amide-I), 1721 cm^{-1} (C=C stretching of proteins) and lipids at 1738 cm^{-1} (C=O of ester group in lipids). These differences in Raman spectral features of blood plasma samples of the patients and healthy volunteers can be associated with the development of the biochemical changes during HCV infection.

Keywords: Hepatitis C, blood plasma, Raman spectroscopy; Principal components analysis;

Introduction:

Hepatitis C is caused by the infection of a virus and almost 3% of the world and 4.8 % Pakistani population is affected by this disease (Shepard, Finelli et al. 2005; Lavanchy 2009; Ford, Kirby et al. 2012). Currently available screening techniques, like Enzyme Linked Immunosorbent Assay (ELISA), involve the detection of the antibodies and pathogens in the blood of the patients infected by Hepatitis C virus (HCV) (Kesli, Polat et al. 2011). Although, ELISA is very commonly employed, observation of the false positive and false negative results indicates difficulties in blood screening (Gretch 1997; Martin, Fabrizi et al. 1998; Schiff, de Medina et al. 1998).

Polymerase Chain Reaction (PCR) is currently considered as the gold standard technique for the direct detection of the HCV RNA, the most reliable disease marker, in blood serum or plasma (Raeymaekers 1993; Pawlotsky 2003). To achieve accurate results from this method, the reagents and the instrumentation employed must be of high quality and sensitivity, respectively. In this regard, it is important to mention that PCR based methods are considered more reliable than ELISA based methods (Enomoto, Nishiguchi et al. 2001; Swellam, Mahmoud et al. 2011), but the former technique is time consuming, less commonly available, laborious and expensive. This demands the development of more reliable, rapid, biochemical label free and automated diagnosis method which may be helpful for effective disease management.

Raman spectroscopy has been used for determining the biochemical changes in the bio-macromolecules of cells and tissues, including lipids, proteins and DNA, simultaneously (Nawaz, Bonnier et al. 2010; Nawaz, Bonnier et al. 2011; Nawaz, Garcia et al. 2013). Moreover, the technique has been employed for the analysis of the body fluids, like blood plasma/serum and urine, for the diagnosis of the diseases (Neugebauer, Trenkmann et al. 2014; Pachaiappan,

Prakasarao et al. 2017; Pappu, Prakasarao et al. 2017). The potential of Raman spectroscopy for the diagnosis of diseases which are caused by viral infections, such as dengue (Saleem, Bilal et al. 2013) and HPV (Ostrowska, Malkin et al. 2010; Ostrowska, Garcia et al. 2011) has also been demonstrated.

Although the diagnosis of HCV infection in blood serum/plasma samples from infected patients by employing Raman spectroscopy has been reported, these studies used Principal Component Analysis (PCA) for the comparison of normal versus HCV positive samples (Saade, Pacheco et al. 2008) and a Partial Least Squares Regression (PLSR) model for the prediction of the viral load (Nawaz, Rashid et al. 2017). In the present study, serologically characterised HCV positive blood plasma samples are divided into three different groups, for the purpose of comparison, namely low, medium and high viral load samples, and their Raman spectral data is compared with that of control/healthy samples by using PCA of these three degrees of infection of disease. The analysis identifies specific spectral markers which may be associated with the presence, as well as degree of infection.

Materials and methods

Sample preparation

Blood plasma samples from 10 healthy/control and 11 Hepatitis C patients with different viral load values, as described in **Table 1**, were collected from Allied Hospital, Faisalabad, Pakistan. These patients were diagnosed as infected with HCV by the Polymerase Chain Reaction (PCR) technique, and classified into three groups on the basis of viral load values, currently a gold standard for the diagnosis of Hepatitis, which quantified the HCV loads in the patient samples.

Raman spectral acquisition

A 20 μ l drop of each plasma sample was placed on an aluminium slide at room temperature and Raman spectra were acquired before the plasma sample had dried. The process of placing the

20 μ l drop on the aluminium slide was repeated 3 times by employing a clean aluminium slide each time for acquiring 20-25 spectra in total from each sample.

Raman spectral acquisition from all of the 10 healthy/control and 11 HCV infected blood plasma samples was performed using a Raman spectrometer (Peak Seeker Pro-785; Agiltron, USA). The Peak Seeker Pro-785 utilizes a 785nm diode laser as the excitation source, delivering a laser power of ~60 mW at the sample. The laser was delivered to the sample through a 10 \times objective. The acquisition of the Raman spectra for all the samples was executed from 600 to 1800 cm^{-1} and 20–25 Raman spectra per sample were acquired with an acquisition time of 30 seconds.

Data pre-processing

All data processing of the Raman spectra was performed using MatLab 7.8 and established protocols (Nawaz, Bonnier et al. 2010). Data pre-processing included baseline correction, smoothing, vector normalisation, and substrate removal. All spectra, including substrate backgrounds, were vector normalised and smoothed using a Savitzky–Golay smoothing method (order 3, 17 point window). A rubber band correction for baseline removal for all the spectra was carried out and the substrate spectra were subtracted from each spectrum.

Data analysis

The Raman spectral features were analysed by comparing the mean Raman spectra of HCV infected blood plasma samples with healthy ones. The Raman peak assignments were taken from the literature, as detailed in **Table 2**. PCA was used to differentiate groups of the spectral data acquired for the different blood plasma samples determined by PCR to be positive for HCV infection, and to identify their characteristic spectroscopic signatures. PCA is a mathematical procedure involving the transformation of possibly correlated variables into a smaller number of uncorrelated variables, known as principal components (PC), basically to reduce the dimensionality of the data whilst maintaining their variability. The first principal component accounts for the dominant source of variability in the data, and each successive principal component accounts for the next highest source of the remaining variability. The loadings of the PC can be understood as the orthogonal dimensions of biochemical differences which facilitate separation of different groups of spectra of Raman data along their variability as each spectrum scores along these dimensions.

Sample name	Viral load value	Category
HCV-1	20,718	Low
HCV-2	120,533	Low
HCV-3	132,152	Low
HCV-4	189,531	Low
HCV-5	387,823	Medium
HCV-6	410,358	Medium
HCV-7	442,365	Medium
HCV-8	846,668	High
HCV-9	873,417	High
HCV-10	907,874	High
HCV-11	1,718,359	High

Table 1: Viral load values of the HCV samples.

Wave numbers (cm ⁻¹)	Peak assignments	References
616	C-C twisting (protein)	(Chan, Taylor et al. 2006)
630	n(C-S) gauche (aminoacid methionine)	(Shetty, Kendall et al. 2006)
651	n(C-S) gauche (aminoacid methionine)	(Shetty, Kendall et al. 2006)
674	Ring breathing modes in the DNA bases G/T	(Chan, Taylor et al.

		2006)
703	Cholesterol, cholesterol ester	(Krafft, Neudert et al. 2005)
720	Adenine	(Jess, Smith et al. 2007)
727	Adenine base/DNA	(Kline and Treado 1997)
751	Symmetric breathing of tryptophan (protein assignment)	(Stone, Kendall et al. 2002; Huang, McWilliams et al. 2003; Cheng, Liu et al. 2005)
761	Tryptophan, d (ring)	(Shetty, Kendall et al. 2006)
737-73	Lactic acid , DNA, Tryptophan, d (ring)	(Binoy, Abraham et al. 2004; Shetty, Kendall et al. 2006)
785/788	Phosphodiester bands in DNA/RNA	(Notingher, Green et al. 2004)
810/815	Proline, hydroxyproline, tyrosine, RNA	(Cheng, Liu et al. 2005)
830	Proline	(Cheng, Liu et al. 2005)

850	Most probably due to single bond stretching vibrations for the amino acids and valine and polysaccharides	(Cheng, Liu et al. 2005)
857	Tyrosine, collagen	(Stone, Kendall et al. 2004)
880	Tryptophan, d(ring)	(Shetty, Kendall et al. 2006)
900	Monosaccharides (b-glucose), (C-O-C) skeletal Mode	(Shetty, Kendall et al. 2006)
911	Glucose	(Krafft, Neudert et al. 2005)
922	Collagen	(Frank, McCreery et al. 1995)
934	C-C stretching/protein assignment	(Stone, Kendall et al. 2004)
951	ns(CH ₃) of proteins	(Lakshmi, Kartha et al. 2002)
958	Hydroxyapatite, carotenoid, cholesterol	(Stone, Kendall et al. 2004)
991	C-O ribose, C-C	(Dukor 2002)
1005	Phenylalanine, C-C skeletal	(Su, Ho et al. 2006)

1031	d(C-H), phenylalanine (protein assignment)	(Huang, McWilliams et al. 2003)
1045	(symmetric stretching vibration of PO ₄)	(Cheng, Liu et al. 2005)
1059	Lipids	(Dukor 2002)
1077	PO ₂ RNA	(Huang, McWilliams et al. 2003)
1089	Phospholipids	(Huang, McWilliams et al. 2003)
1157	In-plane vibrations of the conjugated C=C-C	(Puppels, Garritsen et al. 1993)
1175	C-H bending, tyrosine	(Ruiz-Chica, Medina et al. 2004)
1200-50	Amide III (proteins)	(Notingher, Green et al. 2004)
1214	Stretching of C-N	(Naumann 1998)
1222	(PO ₂), nucleic acids	(Huang, McWilliams et al. 2003)
1311-1361	CH ₃ CH ₂ wagging mode of collagen	(Stone, Kendall et al. 2004)
1319	Guanine	(Ruiz-Chica, Medina et al. 2004)

		al. 2004)
1322	CH ₃ CH ₂ twisting	(Huang, McWilliams et al. 2003)
1344	CH stretching	(Farquharson, Shende et al. 2005)
1355	Guanine	(Ruiz-Chica, Medina et al. 2004)
1390	CH rocking	(Schulz and Baranska 2007)
1424	Deoxyribose	(Ruiz-Chica, Medina et al. 2004)
1440	CH ₂ and CH ₃ deformation vibrations	(Hanlon, Manoharan et al. 2000)
1465	Lipids	(Lakshmi, Kartha et al. 2002)
1481-1562	Amide II	(Dukor 2002)
1484	G, A (ring breathing modes in the DNA bases)	(Chan, Taylor et al. 2006)
1489	DNA	(Malini, Venkatakrishna et al. 2006)
1502	C=C stretching in benzenoid ring	(Naumann

		1998)
1522	C=C- carotenoid	(Stone, Kendall et al. 2004)
1537	Amide carbonyl group vibrations	(Wood, Quinn et al. 1998)
1578	Guanine (N3)	(Ruiz-Chica, Medina et al. 2004)
1602	Amide I band of proteins	(Sigurdsson, Philipsen et al. 2004; Chan, Taylor et al. 2006)
1620	n(C=C), tryptophan (protein assignment)	(Huang, McWilliams et al. 2003)
1641	Amide I (alpha-helix)	(Stone, Kendall et al. 2004)
1676	Amide I (beta-sheet)	(Lakshmi, Kartha et al. 2002).
1678	C=O stretching mode of dGTP of RNA	(D'Amico, Cammisuli et al. 2015)
1708	n(C=O)OH (amino acids aspartic & glutamic acid)	(Shetty, Kendall et al. 2006)
1721	C=O stretching/proteins	(Movasaghi, Rehman et

		al. 2007)
1729	Ester group	(Krafft, Neudert et al. 2005)
1738	Lipids	(Dukor 2002)
1749	C=O stretching	(Malini, Venkatakrishna et al. 2006)
1765	C=O sym stretch; part of doublet	(Schrader 2008)
1778	C=O stretch	(Schrader 2008)
1783	C=O stretch	(Schrader 2008)

Table 2: Peak assignments of the Raman spectral features.

Results:

Figure 1 shows the mean Raman spectra of samples of healthy (a) and HCV positive blood plasma samples with low (b), medium (c) and high (d) viral load values. The Raman spectral features present in mean Raman spectra of blood plasma samples of healthy individuals (a) include those assigned to proteins, at 651 cm^{-1} (C-S stretching of amino acid), 674 cm^{-1} (C-S stretching), 727 cm^{-1} (C-C stretching), 737-773 cm^{-1} (C-S stretching, tryptophan), 1311-1361 cm^{-1} (amide, tryptophan), 880 cm^{-1} (tryptophan ring), 934 cm^{-1} (C-C backbone of proteins /alpha helix stretching), 1175 cm^{-1} (C-H bending, tyrosine), 1481-1562 cm^{-1} (Amide II), 1602 cm^{-1} (phenylalanine), 1641 cm^{-1} (amide-I). Moreover, other Raman features present in healthy spectra can be assigned to lipids, including those at 958 cm^{-1} (C-C stretching of lipids), 1059 cm^{-1} (C-C

str./lipids), 1390 (C-N rocking), 1620 cm^{-1} (C-C stretching), 1729 cm^{-1} (ester group) and 1738 cm^{-1} (C=O of ester group in lipids). Notably, no Raman features associated with DNA/RNA are evident in the healthy blood plasma samples.

To highlight the changes in the mean Raman spectra of HCV positive samples, the peak assignments have been labelled in Figures 1 (b-c) with; red boxes for prominent and striking differences in different viral load values, rectangles for intensity changes and vertical lines for other differences.

The peak intensities of the above mentioned protein and lipids related peaks are increasing in (b), (c) and (d) with increase in degree of infection. Now, the most striking differences, observed in the mean Raman spectra, are the Raman spectral features which are solely observed for the HCV positive samples including those at 720 cm^{-1} (adenine), 1077 cm^{-1} (PO_2 stretching of RNA), 1678 cm^{-1} (C=O stretching mode of dGTP of RNA), 1778 cm^{-1} (RNA), 1641 (amide-I), 1721 cm^{-1} (C=C stretching of proteins) and 1738 cm^{-1} (C=O of ester group in lipids). These Raman features are potentially spectral markers for the presence of infection of HCV in the blood plasma samples.

c

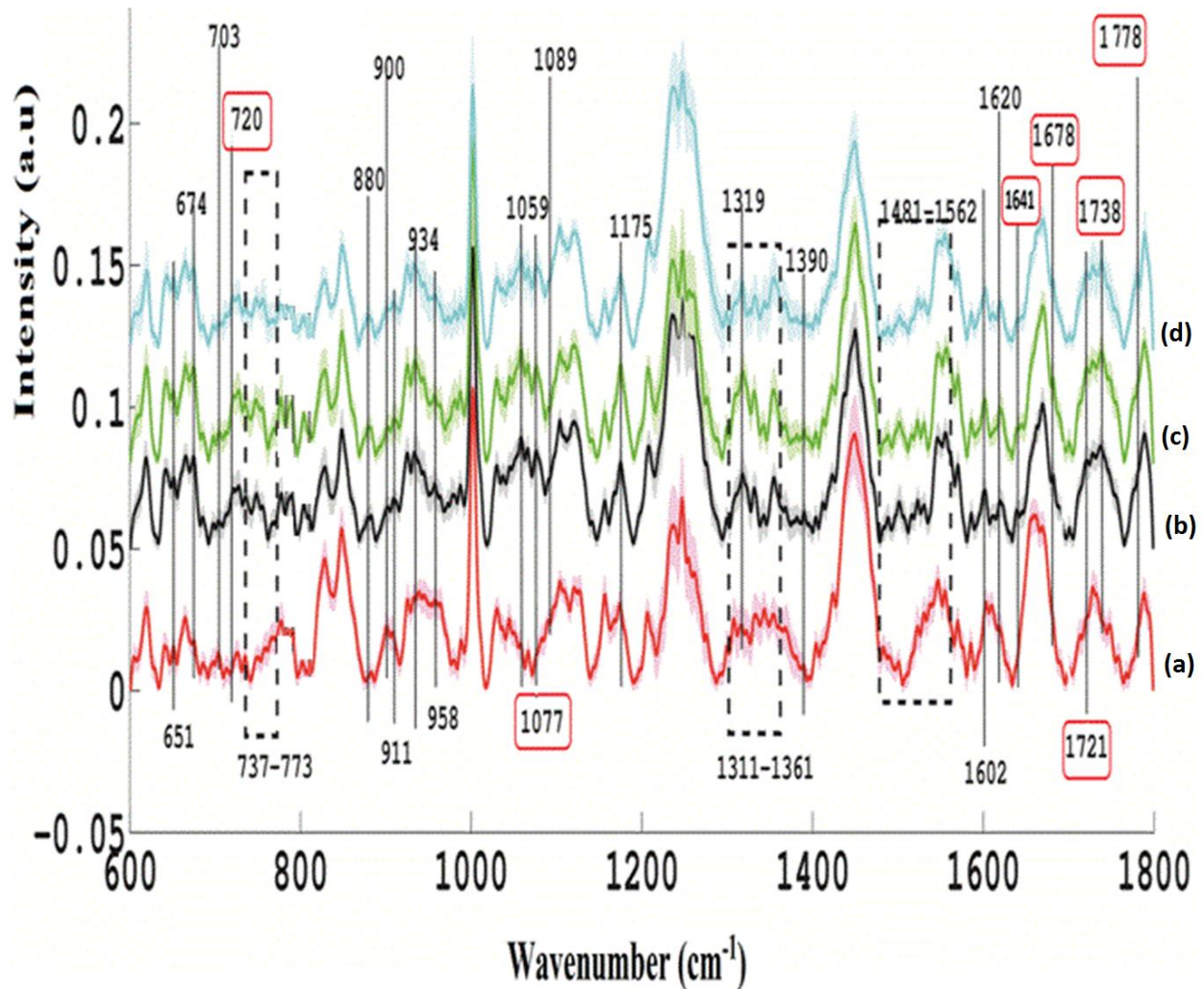


Figure 1: Mean Raman spectra of healthy and HCV positive blood plasma samples with increasing viral load values; healthy (a), low viral load (b), medium viral load (c) and high viral load (d) as described in Table 1. (Vertical lines with red box are for striking differences, without red box are showing intensity changes and dotted box for bands and pattern change).

In **Figure 2**, mean difference spectra, healthy minus HCV positive blood plasma samples, of different viral load values which, for the purpose of comparison (**Table-1**), are divided into three classes including low (a), medium (b) and high (c), are shown. In the mean difference spectra, the differences in Raman spectral features associated with 3 different ranges of infection levels of HCV have been observed, and labeled. The difference spectra confirm the observations of **Figure 1**, as the Raman spectral features which feature prominently in the HCV positive samples,

including those at 720 cm^{-1} (adenine), and 1077 cm^{-1} (PO_2 stretching of RNA), 1678 C=O stretching mode of dGTP of RNA, 1778 cm^{-1} (RNA), 1641 (amide-I), 1721 cm^{-1} (C=C stretching of proteins) and 1738 cm^{-1} (C=O of ester group in lipids), appear only in the HCV positive samples and hence maybe attributable to RNA stretching of the hepatitis C virus itself. These spectral features can be considered as direct markers of the viral infection in addition to those which are associated with the development of the biochemical changes during HCV infection. Clear differences in peak intensities at 616 (C-C twisting of proteins), 630 (C-S of amino acid methionine), 751 (symmetric breathing of tryptophan), 857 (Tyrosine), 951 (CH stretching of protein alpha-helix), 1031 , 1045 , 1214 (stretching of C-N), 1440 (CH_2 and CH_3 deformation), 1465 (CH stretching of lipids), 1484 (G, A/RNA bases), 1522 (C=C - carotenoid), 1708 and 1765 (C=O of amino acids), and 1783 cm^{-1} are observed. These differences indicate the progression of the HCV infection from low to medium and high viral loads

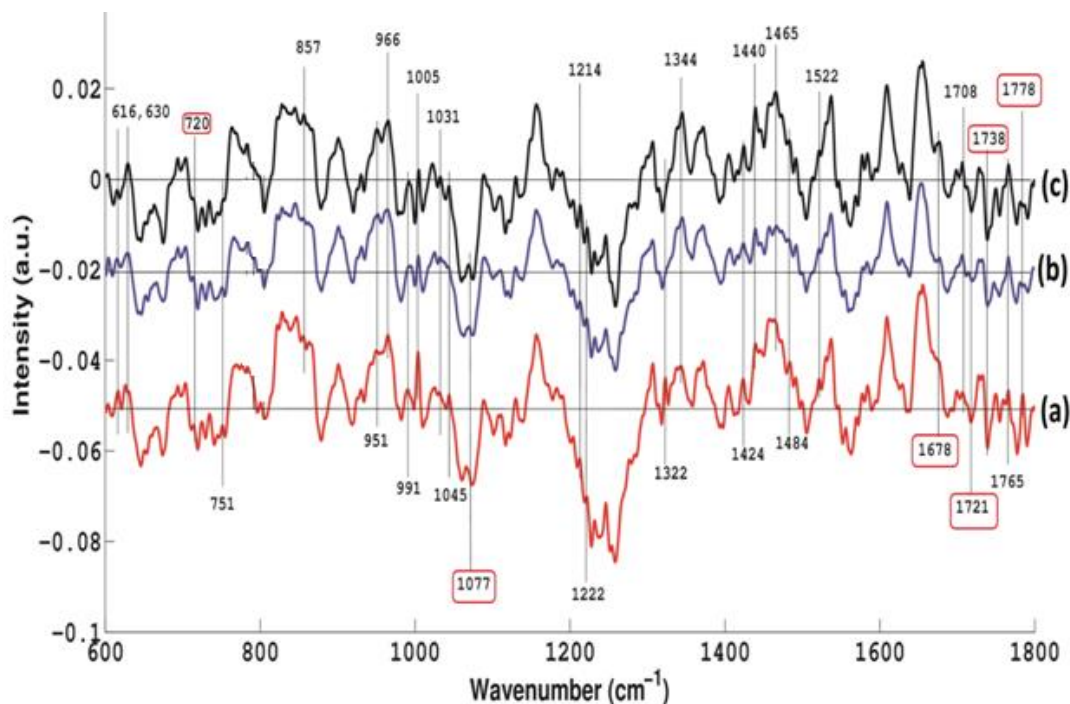


Figure 2: Mean difference Raman spectra of three classes of HCV samples including low (a), medium (b) and high (c) viral loads as described in Table-1. Spectra are off-set for clarity.

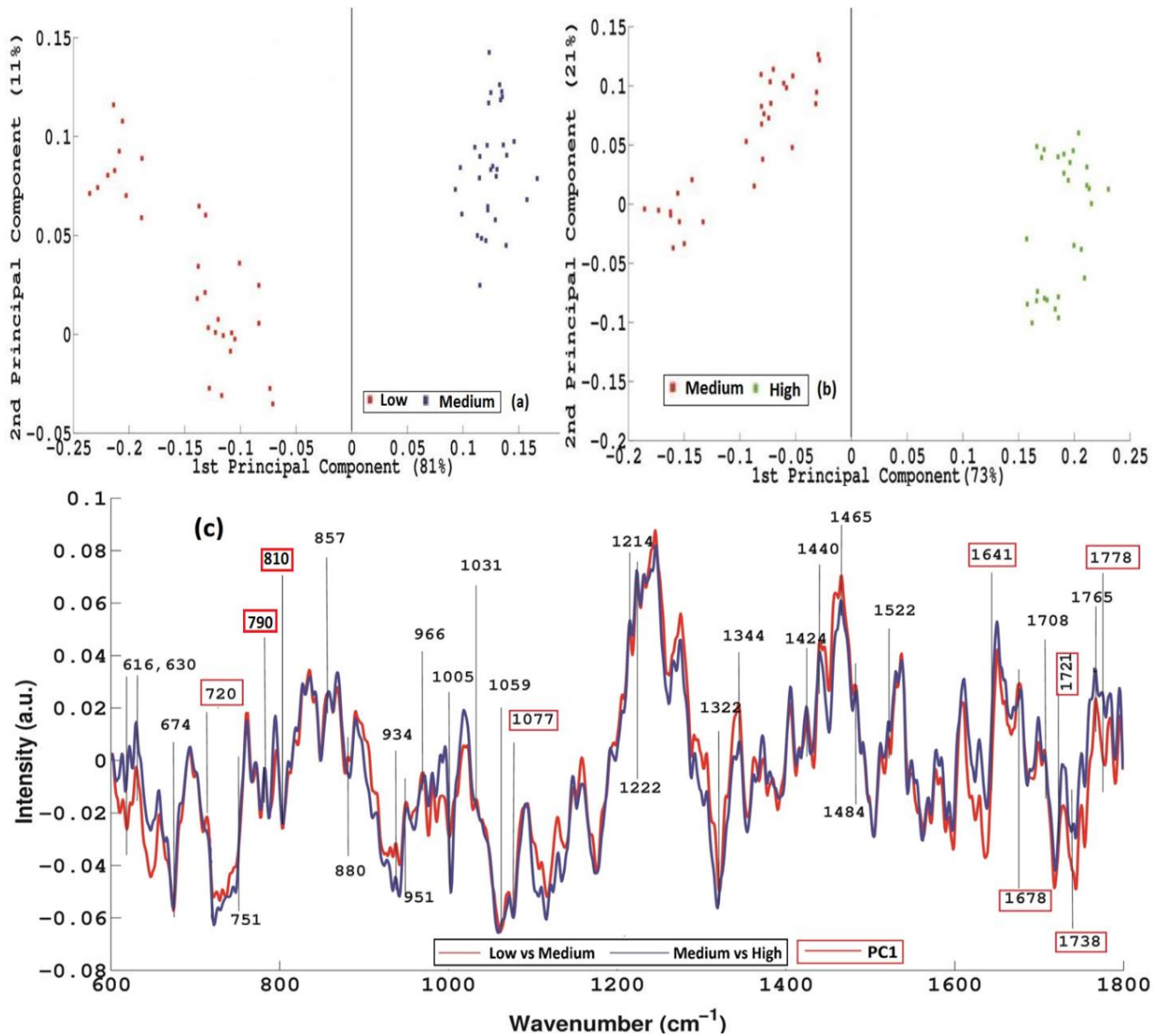


Figure 3 PCA scatter plots of Raman spectral data of different categories of HCV samples according to viral load values including low versus medium (a), medium versus high (b) and their respective PCA loadings.

In **Figure 3 (a, b and c)** PCA scatter plots and loadings of Raman spectral data of different categories of HCV samples according to viral load values including low (HCV-2), medium (HCV-5 and HCV-7) and high (HCV-11), as detailed in **Table-1**, are presented. The separation between the Raman spectra of HCV confirmed blood plasma samples of different categories of HCV samples according to viral load values is observed along PC-1. The Raman spectral features

which are on the positive side are associated with low to high viral loads. In this regard, those Raman spectral features which have higher intensities with the severity of disease include those at 720 cm^{-1} (adenine), 1077 cm^{-1} (PO_2 stretching of RNA), 1678 cm^{-1} C=O stretching mode of dGTP of RNA, 1778 cm^{-1} (RNA), 1641 (amide-I), 1721 cm^{-1} (C=C stretching of proteins) and 1738 cm^{-1} (C=O of ester group in lipids).

Principal components analysis (PCA) was performed on the Raman spectral data of the ten controls/ healthy and eleven confirmed HCV samples, having different viral load values. In the PCA scatter plot, **Figure 4 (a)**, the blue and red dots represent Raman spectra of HCV positive and healthy blood plasma samples, respectively, which are largely clustered on the positive and negative sides of PC-1 respectively, which accounts for 79% of the variance, while PC-2 accounts for only 7%. The differentiation between these two different groups of Raman spectra supports the proposal that Raman spectroscopy has great potential to differentiate the blood plasma samples of the healthy and HCV positive individuals on the basis of the biochemical changes with reference to their viral load values of HCV.

To understand the basis of the discrimination in the PCA scatter plot, the loadings of PC-1 are presented in **Figure 4 (b)**. The positive loadings (positive side from separating line at zero) are associated with the Raman spectral data of the HCV positive samples while the negative loadings are associated with the healthy samples. Notably, (in Figure 4) the PCA loadings including those at 674 cm^{-1} , associated with the T/G of RNA, 720 cm^{-1} (adenine) and 1077 cm^{-1} (PO_2 stretching of RNA), 1678 cm^{-1} C=O stretching mode of dGTP of RNA, 1778 cm^{-1} (RNA), 1641 (amide-I), 1721 cm^{-1} (C=C stretching of proteins) and 1738 cm^{-1} (C=O of ester group in lipids) are associated with the HCV positive samples. These observations once again confirm the results explained in the previous Figures and prove the ability of the Raman spectroscopy to identify the biochemical changes taking place during HCV infection. The observation of these Raman features as positive loadings indicate their association with the HCV RNA hence to the development of the HCV infection due to increasing viral load. Moreover, some other Raman spectral features/changes are also observed with a reduction in their peak intensity or are not present in the control/healthy samples and are well developed in positive blood plasma samples indicated by the wave numbers including 880 cm^{-1} (associated with tryptophan), 1089 cm^{-1} (C-C stretching), 1390 cm^{-1} (C-N

rocking), 1502cm^{-1} (cytosine), 1641cm^{-1} (Amide-I), 1728cm^{-1} (ester) and 1738cm^{-1} (lipids)(Movasaghi, Rehman et al. 2007). Furthermore, in comparison of loading with experimental Raman spectrum of pure RNA some peaks are observed which are similar in loadings of HCV samples including 720cm^{-1} , 813cm^{-1} , 880cm^{-1} , 922cm^{-1} , 1105cm^{-1} , 1489cm^{-1} , and 1578cm^{-1} . These Raman spectral features observed in the **Figure 4** indicate that all these biochemical changes/Raman spectral features can be considered as markers of HCV infection as they are also present in experimental Raman spectra of pure RNA and absent in the healthy/control Raman spectra.

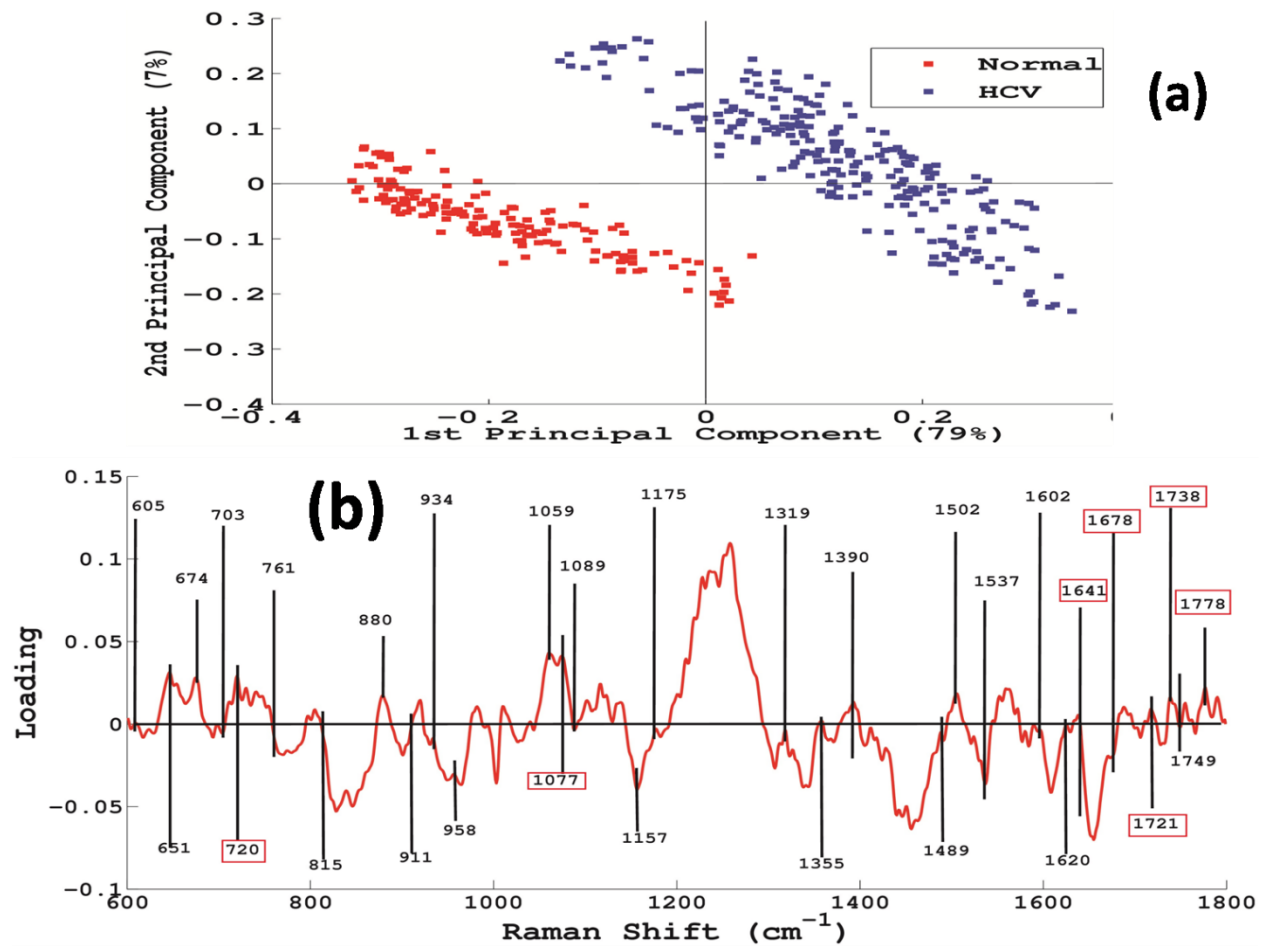


Figure 4 PCA scatter plot (a) and loadings of Raman spectral data acquired from healthy versus confirm HCV samples (b).

Conclusions

In order to identify the biochemical changes occurring during the process of Hepatitis C development, Raman spectroscopy along with Principal Component analysis is employed. Raman spectral features as indicated by Principal Component analysis are hence established which can be associated with the human blood plasma samples of the infected and healthy individuals. Among others, the Raman spectral features which are observed only for the HCV positive samples include 720 cm^{-1} (adenine), 1077 cm^{-1} (PO_2 stretching of RNA), 1678 cm^{-1} (C=O stretching mode of dGTP of RNA), 1778 cm^{-1} (RNA), 1641 cm^{-1} (amide-I), 1721 cm^{-1} (C=C stretching of proteins) and 1738 cm^{-1} (C=O of ester group in lipids). These differences in Raman spectral features of blood plasma samples of the patients and healthy volunteers can be associated with the development of the biochemical changes during HCV infection.

Conflict of Interest

The authors declare that there is no conflict of interest for this research work.

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