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Evaluating a Microbial Water Quality Prediction Model for Beach Management Under the Revised EU Bathing Water Directive

Zeinab Bedri

Technological University Dublin, zeinab.bedri@tudublin.ie

Aisling Corkery

University College Dublin

John O'Sullivan

University College Dublin, jj.osullivan@ucd.ie

Louise Deering

University College Dublin

Katalin Demeter

University College Dublin

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Authors

Zeinab Bedri, Aisling Corkery, John O'Sullivan, Louise Deering, Katalin Demeter, Wim Meijer, Gregory O'Hare, and Bartholomew Masterson



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1
2 **Evaluating a microbial water quality prediction model for beach management under the revised**
3 **EU Bathing Water Directive**
4

5 Zeinab Bedri ^{a*}, Aisling Corkery^a, John J. O’Sullivan^{a,d}, Louise A. Deering^b, Katalin Demeter^b, Wim
6 G.Meijer^{b,d}, Gregory O’Hare^{c,d}, and Bartholomew Masterson^b
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8
9

10
11 a Centre for Water Resources Research, School of Civil, Structural, and Environmental Engineering,
12 University College Dublin, Belfield, Dublin 4, Ireland
13

14
15 b School of Biomolecular and Biomedical Science, University College Dublin, Belfield, Dublin 4,
16 Ireland
17

18
19 c Clarity Centre, UCD School of Computer Science and Informatics, University College Dublin,
20 Belfield, Dublin 4, Ireland
21

22
23 d UCD Earth Institute, University College Dublin, Belfield, Dublin 4
24

25 * Corresponding author: Tel. +353 1 716 3228, Fax: +353 1 7163297, E-mail: zeinab.bedri@ucd.ie
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28 **Abstract**
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31 The revised Bathing Water Directive (2006/7/EC) requires EU member states to minimise the risk to
32 public health from faecal pollution at bathing waters through improved monitoring and management
33 approaches. While increasingly sophisticated measurement methods (such as microbial source
34 tracking) assist in the management of bathing water resources, the use of deterministic predictive
35 models for this purpose, while having the potential to provide decision making support, remains less
36 common.
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40 This study explores an integrated, deterministic catchment-coastal hydro-environmental model as a
41 decision-making tool for beach management which, based on advance predictions of bathing water
42 quality, can inform beach managers on appropriate management actions (to prohibit bathing or advise
43 the public not to bathe) in the event of a poor water quality forecast. The model provides a ‘moving
44 window’ five-day forecast of *E. coli* at a bathing water compliance point off the Irish coast and the
45 accuracy of bathing water management decisions were investigated for model predictions under two
46 scenarios over the period from the 11th August to the 5th September, 2012. Decisions for Scenario 1
47 were based on model predictions where rainfall forecasts from a meteorological source (www.yr.no)
48 were used to drive the rainfall-runoff processes in the catchment component of the model, and for
49 Scenario 2, were based on predictions that were improved by incorporating real-time rainfall data
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2 from a sensor network within the catchment into the forecasted meteorological input data. The
3 accuracy of the model in the decision-making process was assessed using the contingency table and
4 its metrics.
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7 The predictive model gave reasonable outputs to support appropriate decision making for public
8 health protection. Scenario 1 provided real-time predictions that, on 77% of instances during the study
9 period where both predicted and *E. coli* concentrations were available, would correctly inform a beach
10 manager to either take action to mitigate for poor bathing water quality or take no action. However,
11 Scenario 1 also provided data to support a decision to take action (when none was necessary – a type I
12 error) in 4% of instances and to take no action (when action was required – a type II error) in 19%
13 of the instances analysed. Type II errors are critical in terms of public health protection given that for
14 this error, bathers can be exposed to risks from poor bathing water quality. Scenario 2, on the other
15 hand, provided predictions that would support correct management actions for 79% of the instances
16 but would result in type I and type II errors for 4% and 17% of the instances respectively.
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19 Comparison of Scenarios 1 and 2 for this study indicate that Scenario 2 gave a marginally better
20 overall performance in terms of supporting correct management decisions, as it provided data that
21 could result in a lower occurrence of the more critical type II errors.
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24 Given that the 28 member states of the European Union are required to engage with the public health
25 provisions of the revised Bathing Water Directive, issues of compliance, pertaining particularly to the
26 management of bathing water resources, remain topical. Decision supports for managing bathing
27 waters in the context of the Directive are likely to become the focus of much attention and although,
28 the current study has been validated in bathing waters off the east coast of Ireland, the approach of
29 using a deterministic and integrated catchment-coastal model for such purposes is easily transferable
30 to other bathing water jurisdictions.
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40 **Keywords:** Faecal indicator bacteria (FIB); prediction; bathing water quality management; coastal
41 and catchment modelling; revised EU Bathing Water Directive
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45 **1. Introduction**

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47 Coastal waters have long been recognised for their recreational and social benefits to communities
48 within Europe and elsewhere. Safe participation in water-based recreational activities relies heavily on
49 the water quality of these waters as there is considerable epidemiological evidence in the literature
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2 (for example Fleisher et al., 1996; Haile et al., 1999; Wade et al., 2008) that confirms that contact
3 with faecal-contaminated recreational waters poses serious health risks to bathers.
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6 In Europe, the quality of coastal recreational waters is safe-guarded by the European Union (EU)
7 Bathing Water Directives 76/160/EEC (CEC, 1976) and 2006/7/EC (EC, 2006) which has as its goal
8 the protection of public health and the environment from faecal pollution in bathing waters.
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11 The Bathing Water Directive 2006/7/EC (referred to hereafter as the revised Bathing Water Directive)
12 was adopted in 2006, and will fully replace Directive 76/160/EEC in December 2015 to place a
13 stronger emphasis on the protection of public health in bathing waters through improved monitoring
14 and management approaches (EC, 2006). It specifies tighter microbiological standards that use more
15 reliable faecal indicator bacterial (FIB) parameters, namely intestinal enterococci (IE) and
16 *Escherichia coli* (*E. coli*) for predicting microbiological health risk associated with bathing in marine
17 and fresh waters. The revised Directive requires beach managers to predict in advance, the
18 exceedence of IE and *E. coli* concentrations of the threshold levels set in the revised Directive (see
19 Table 1), take the necessary management actions to restrict their occurrence (if possible) and reduce
20 the health risk by warning and informing of the public. This increased provision of public
21 information is intended to allow beach users to make an informed choice on whether to use the
22 bathing water at any particular time (Stidson et al., 2012).
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29 **Table 1 here**
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32 However, the revised Directive recognises that elevated levels of FIB in bathing waters may occur
33 naturally from rainfall-related runoff in agricultural catchments and allows for a temporary relaxation
34 of the standards during short-term pollution incidents, where up to 15% of samples can be disregarded
35 or ‘discounted’ from the 4-year water quality record used to assess compliance. However, the
36 provision of discounting samples is only permitted at bathing sites where a beach manager can
37 demonstrate adequate knowledge of the environmental system affecting the bathing water quality,
38 predict in advance the occurrence of short-term pollution events, and prohibit bathing or issue
39 ‘advisory’ notices to enable the public make an ‘informed choice’ with regard to bathing. Although a
40 recently adopted position for member states (ETC, 2012) allows for a somewhat intuitive approach to
41 the predictability of short-term pollution, in which prior knowledge of factors or hazards that trigger
42 microbial contamination of bathing waters is recognised, more robust strategies may benefit from
43 predictive modelling tools to help understand the environmental processes affecting the coastal water
44 quality and to provide advance predictions of known accuracy of the bathing water quality for the
45 protection of public health.
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52 The principle of beach water quality management using a predictive modelling approach was first
53 suggested by the World Health Organisation (WHO, 2003) as a rapid and inexpensive tool for
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2 providing information to the public. This has largely contributed to the increasing popularity of
3 predictive water quality models as tools for beach water quality management in Hong Kong and the
4 US. Thoe et al. (2012) developed two data-driven models; multiple linear regression (MLR) and
5 artificial neural network (ANN) to correlate FIB levels with a number of hydro-environmental factors
6 (rainfall, solar radiation, wind speed, tide level, etc.) at four beaches in Hong Kong. MLR models
7 have been also successfully applied as beach management tools in many parts of the US (see for
8 examples USEPA, 2010b, Thoe et al., 2014; Frick et al., 2008; Francy, 2009; Olyphant and Whitman,
9 2004; Nevers and Whitman, 2005). ANN approaches have also been widely used in the US for beach
10 water quality management (see for examples He and He, 2008; Zhang et al., 2012; Thoe et al., 2014).
11 Categorical models such as decision trees were applied in some studies (see for examples Parkhurst et
12 al., 2005; Bae et al., 2010). The results of these modelling studies indicate that predictive models have
13 generally out-performed traditional beach monitoring methods to capture beach pollution as beach
14 monitoring relies only on outdated/previous-day measurements of FIB (Frick et al., 2008; Nevers and
15 Whitman, 2011; Hou et al., 2006). While reasonable results are reported in the literature using the
16 above MLR, ANN, and decision tree approaches, the performance of such-data driven approaches
17 will continue to be questionable if they are utilised to extrapolate water quality predictions outside the
18 range of data that was used in their development and training (see USEPA, 2010a).

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27 The use of predictive models as decision support tools for managing bathing waters in the context of
28 the revised EU Bathing Water Directive are likely to become the focus of much attention. Of the few
29 available such models in Europe, McPhail and Stidson (2009) developed an Excel spreadsheet-based
30 water quality prediction tool for 10 bathing sites in Scotland. The tool uses antecedent rainfall data
31 and subsequent river flow to predict FIB levels at these beaches. In a later study, Stidson et al. (2012)
32 developed the tool further into a decision tree approach to categorise the available hydro-
33 environmental variables and provide a ‘family tree’ style view of the relationships between variables.
34 Bathing water quality predictions of both Scottish approaches are communicated to the public via an
35 Electronic Signage Post system at the concerned beaches.

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41 In Ireland, an Excel-based regional prediction model similar to that of McPhail and Stidson (2009)
42 has been developed for the 63 of the 136 coastal and inland bathing waters that needed it. The model
43 also uses hydro-environmental variables (e.g. antecedent rainfall and river flow) to predict FIB levels
44 at these bathing sites. While preliminary results of this model indicate satisfactory FIB predictions at
45 many of these bathing sites, the model failed to perform at sites in more complex environmental
46 settings. This is due to the fact that data-driven models do not represent the physical processes/
47 changes affecting the environmental system and therefore such models can be of limited use in
48 catchment-coastal systems subjected to systemic changes in background pollution levels.
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2 Therefore, priority for the provision of bathing water quality predictions in these more complex
3 settings should be given to the use of deterministic predictive models that are based on knowledge of
4 the physical properties of the coastal environmental system. The development of one such model that
5 comprises an integrated, deterministic catchment-coastal model for both real-time and short-term
6 predictions of coastal water quality is described in Bedri et al. (2014).
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10 This study explores the potential use of this deterministic integrated catchment-coastal prediction
11 model as a decision-support tool to assist beach managers in the issuing of public warnings as
12 required by the revised Bathing Water Directive (2006/7/EC). The model has the advantage of
13 providing water quality predictions well in advance of the occurrence of elevated FIB levels and this
14 can inform beach managers in the decision making process on whether to prohibit bathing or advise
15 the public not to bathe. The study also assesses the efficacy of the prediction model in minimising the
16 ‘errors’ in beach management decisions. Such errors occur when the concentrations of predicted FIB
17 are not equal to the actual concentrations and may result in either inadvertent exposure of the public to
18 high concentrations of FIB or the unnecessary exclusion of swimmers from bathing waters that meet
19 acceptable standards of Directive 2006/7/EC. Notably, the Irish Health Service Executive (HSE) has
20 required regulations to be set to cater for the public health when excessively high levels of indicator
21 organisms are found at designated bathing waters in Ireland (EPA, 2013). Although, the revised
22 Bathing Water Directive defines water quality in terms of two FIB, namely *E. coli* and IE, *E. coli* is
23 the predicted parameter adopted in this study.
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31 ~~The paper is divided into four sections. Section 2 presents the study area, the Dargle-Bray catchment-~~
32 ~~coastal system and briefly describes the structure of the prediction tool and its components. The ap-~~
33 ~~plication of the prediction tool to the study area, and the scenarios tested are also presented. The~~
34 ~~findings of the numerical experiments and their significance are discussed in Sections 3 and 4 and~~
35 ~~following this, Section 5 summarises the conclusions of the study.~~
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40 41 **2. Materials and Methods**

42 43 **2.1 Study site**

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45 Bray beach is a seaside resort located on the east coast of Ireland (Longitude 6.10°W, Latitude
46 53.22°N) and is a designated European Union (EU) bathing site (see Figure 1). The beach,
47 approximately 1.5 km long and 0.5 km² in area, is a common recreational destination for an average
48 of 500 visitors per day during the Irish bathing season (June – September). The beach is considered
49 shallow and falls gradually in the seaward direction (to the East) from the low water mark to a depth
50 of 8 m, after which it slopes more steeply to reach depths from 20 to 25 m at a distance approximately
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2 2 km offshore. Tides, which are semi-diurnal, are the main forces driving the dynamics of the coastal
3 waters. These run north on a flood tide and south on an ebb tide, roughly parallel to the coastline.
4 Tides in the region vary between 4.1 m and 0.7 m (above chart datum) for mean high water and mean
5 low water spring tides respectively, and between 3.4 m and 1.5 m (above chart datum) for mean high
6 water and mean low water neap tides (Mansfield, 1992).
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10 The Dargle River forms the main freshwater inflow into the coastal waters of Bray beach. The river
11 has an average dry weather flow of 3 m³/s which can rise rapidly to 300 m³/s during extreme flood
12 events. The Dargle River is relatively short (20 km in length) but has a steep slope of approximately
13 2.7%. The river together with its tributary network drains a contributing catchment of circa 133 km²
14 and flows into the Irish Sea at Bray Harbour which is just north of Bray beach (see Figure 1). The
15 Dargle catchment, although small, is comprised of urban areas in the lower coastal zone of the
16 catchment, but this differs from a more diverse land-use mix of the upper catchment which includes
17 tillage, pasture/sheep farming, forestry and peat boglands (Bruen et al., 2001).
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22 **Figure 1 here**

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25 The 30-year rainfall record to the present date, obtained from the Irish meteorological service (Met
26 Éireann, www.met.ie), indicates that the mean annual rainfall in Bray is circa. 800 mm/year with over
27 a third of the annual rainfall occurring during the Irish bathing season (June – September). In addition,
28 long-term rainfall records show that the months of June and August exhibit the greatest daily totals.
29 This, together with the relatively steep slope of the catchment topography, is of particular concern to
30 the bathing water managers of Bray beach as intense rainfall events in the upland Dargle catchment
31 can produce runoff that is a source of episodic short-term pollution incidents in the near-shore coastal
32 waters of the beach.
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39 2.2 Description of the prediction tool

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41 The current study uses the real-time water quality prediction tool developed in Bedri et al. (2014). The
42 prediction tool comprises an integrated catchment-coastal model to simulate flow and contaminant
43 transport from the catchment into marine waters. The integrated model utilises three DHI software;
44 the NAM rainfall-runoff model (DHI, 2013a), river flow and water quality model MIKE11 (DHI,
45 2013a), and the three-dimensional, flexible mesh coastal model MIKE3 FM (DHI, 2013b). The
46 models, interfaced to the core of the prediction tool, run sequentially, i.e., in the form of a cascade
47 with the forcing of each downstream model being the result of the model upstream of it (see Figure 1
48 in Bedri et al., 2014) (Figure 2). Rainfall is the main driver of the hydrological processes in NAM
49 (which is the first model in the cascade) and is drawn from two sources: (i) the Norwegian
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Comment [ZB2]: Reference made to Figure 1 in Bedri et al. (2014)

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2 meteorological source (www.yr.no) which delivers rainfall forecasts for up to 10 days in advance, and
3 (ii) real-time data, when available, to be used by the NAM model to improve predictions of the water
4 quality variables. Using rainfall (forecasted and/ or measured) to drive the rainfall-runoff processes in
5 NAM, the model produces flow at the sub-catchment outlets which serve as inputs into the MIKE11
6 model which routes the flow and water quality variables in the river network to the coastal waters.
7 Finally, the MIKE3 FM coastal model uses flow and water quality outputs from MIKE11, together
8 with tidal and meteorological forcings, to simulate the current flow, transport and fate of water quality
9 variables (*E. coli* in the current study) in the marine environment.
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14 The prediction tool incorporates a Microsoft SQL server database management system for the
15 handling and management of the predicted and real-time data both used and generated by the
16 prediction tool. The database is also the primary tool for archiving and backing-up these data sets.
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19 **Figure 2 here**

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21 During the operation of the prediction tool, the integrated model is run to produce water quality
22 predictions for a specified forecasting period (T_{for}) using rainfall forecasts from the meteorological
23 website (www.yr.no) to drive the model. The forecasts are routinely updated every T_{up} hours in which
24 real-time rainfall observations of the last T_{up} hours are used to improve the predictions of the water
25 quality variables. Therefore each model execution is conducted for the period ($T_{up} + T_{for}$) to include
26 both the updated and forecasted rainfall information. The prediction tool thus operates in a sliding
27 window fashion with the window size being the routine update period (T_{up}). For the simulations of the
28 coastal modelling component using MIKE3 FM, the simulation period ($T_{up} + T_{for}$) is discretised into
29 time-packets of duration (T_m) to facilitate the production of intermediate results files (time- and space-
30 varying hydrodynamic and water quality variables over the simulation period T_m). This was necessary
31 to allow intervention in the model execution to incorporate real-time observations without loss of
32 completed predictions. This mechanism for active merging of real-time rainfall information is enabled
33 by a routine scheduler that triggers the execution of emergency updates when a user-defined
34 difference in value (or threshold) between observed and forecasted rainfall amounts is detected. In
35 such cases, the model execution is halted and repeated to include updates in the observed rainfall
36 information.
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45 2.3 Application of the prediction tool

46 2.3.1 Data Requirements

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48 An extensive data set was required for the set-up and calibration of the catchment and coastal
49 modelling components of the prediction tool and its application to the Dargle-Bray system (see Bedri
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2 et al., 2014). Data was also needed to support the tests conducted for the study that is the focus of this
3 paper. These consisted of:
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6 (i) Weather forecasts: the weather forecasts that form inputs to the catchment model for the
7 operation of the prediction tool were extracted from www.yr.no, a joint online weather
8 service maintained by the Norwegian Meteorological Institute and the Norwegian
9 Broadcasting Corporation. The data comprises precipitation, air temperatures, wind
10 speeds and directions. Scripts were coded in order to automate the access of the forecasts
11 from the web source, conduct automatic checks for the coherence of forecasts, fill any
12 gaps with linearly interpolated data, extract the required weather variables and import
13 them to the prediction tool.
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16 (ii) Real-time weather and flow data within the Dargle catchment: this was obtained from an
17 Environmental Wireless Sensor Network (EWSN) of automatic sensors for two weather
18 stations measuring rainfall, air temperature and wind speed and direction, six rain gauges
19 and eight river stations comprising water level recorders and temperature sensors (Figure
20 1). This EWSN adopts a centralised topology to automate the continuous collection of
21 data remotely, and to transmit the sensed data to a database via a General Packet Radio
22 Service (GPRS) connection.
23
24 (iii) Water quality data at Bray beach: this consisted of enumerations of *E. coli* taken at the
25 bathing water compliance point at Bray beach on five days between 11th August and 5th
26 September, 2012. These were hourly samples taken at a depth of 0.5 m below the water
27 surface throughout the duration of a tidal cycle (approximately 12 hours). Such a
28 sampling strategy was essential in order to capture the tidal variations of the bacterial
29 levels at Bray Beach and the sampling dates were selected according to tidal conditions
30 (spring, mean and neap tides) in the Bray coastal zone. The number of samples collected
31 over the five sampling days was 52 (average of circa 10 samples per sampling day).
32 The *E. coli* enumerations covered the full range of tidal cycles (spring, mean and neap
33 tides) in the Bray coastal zone. Once collected from the water surface, the water quality
34 samples were stored in 1litre bottles and preserved in ice-packed containers until they had
35 been analysed for *E. coli*. Microbial enumeration commenced within 24 hours of the
36 sample being taken using the membrane filtration method (ISO, 2000).
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46 2.3.2 Application

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48 The simulations in the current study explore the suitability of the water quality prediction tool
49 developed by Bedri et al. (2014) as a beach management tool to inform bathing water managers
50 whether to prohibit bathing or advise the public not to bathe. In so doing, the study assesses the
51 efficacy of the tool in minimising the “errors” in beach management where the public are often either
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2 unknowingly swimming in contaminated beach water or are prohibited from/ or advised against
3 swimming in water that meets the public health criteria.
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6 Using the calibrated catchment-coastal model of the Dargle-Bray system, simulations were performed
7 by the forecasting system to provide short-term and real-time predictions of *E. coli* concentrations at
8 Bray beach. A short-term forecast period of five days was selected based on the length of the
9 ‘sampling window’ reported in the revised Bathing Water Directive. The simulations covered the
10 period from the 11th August to the 5th September, 2012 and the forecasts were updated every 12 hours
11 in a sliding window fashion.
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15 The initial/background conditions of the integrated model were established by initially running the
16 catchment modelling component for a six-month period and the coastal component for a period of
17 three days. Using the ‘hot start’ result files produced at the end of the initial conditions simulations,
18 the prediction tool was run for a further initial period of three days (from 00:00 on the 8th August to
19 00:00 on the 11th August) before performing simulations for the required period (00:00 on the 11th
20 August to 00:00 on the 6th September, 2012).
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24 For the purpose of the current study, the prediction tool was used to simulate two scenarios:
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27 (i) Forecasts only (Scenario 1): in which the prediction tool provides water quality
28 predictions based on the forecasted sub-hourly rainfall amounts in the catchment obtained
29 from the meteorological website www.yr.no. A scheduler coded within the forecasting
30 system, checks the meteorological website for updates in rainfall forecasts and parses
31 them to the database when available. The database is queried for updates of these
32 forecasts every 12 hours and these updates replace the original weather forecast record in
33 the subsequent update simulation, and;
34
35 (ii) Improved forecasts using real-time data (Scenario 2): in which the rainfall forecasts in
36 Scenario 1 are improved by incorporating real-time rainfall data recorded by the
37 Environmental Wireless Sensor Network (EWSN) deployed in the Dargle catchment (see
38 Figure 1).
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43 Over the course of the simulation, hourly queries are scheduled to check the database for updates of
44 observed rainfall data. Emergency updates are scheduled to take place when a pre-defined
45 accumulated difference is detected between the observed and forecasted rainfall amounts (defined in
46 the current study as 10 mm over a six hour period). Otherwise, the observed rainfall information of
47 the previous 12 hours is stored in the database until the time of the next scheduled update where it is
48 augmented to the forecasted rainfall of the next forecasted period (T_{for}) in an advanced sliding
49 window fashion.
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4 2.4 Performance evaluation of the prediction tool
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6 The accuracy or success of the tool in predicting *E. coli* concentrations at Bray beach was assessed
7 using two approaches: (i) evaluation of the fit between observed and predicted *E. coli* concentrations,
8 and (ii) analysis of the contingency table and a number of key metrics derived from it (see Bennett et
9 al., 2013; Manzato, 2007).
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12 The observed *E. coli* concentrations at Bray beach taken between the 11th August and 5th September,
13 2012 were used for the assessment and analysis in the two approaches.
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16 2.4.1 Model fit
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18 For the evaluation of the fit between observed and predicted *E. coli* concentrations over the simulated
19 period, two statistical criteria were utilised: the Root Mean Square of Errors - standard deviation ratio
20 (RSR) developed by Moriasi et al. (2007), and the Willmott (1981) index of agreement *d*:
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$$RSR = \frac{\sqrt{\sum_{i=1}^n (O-P)^2}}{\sqrt{\sum_{i=1}^n (O-\bar{O})^2}} \quad (1)$$

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$$d = 1 - \frac{\sum |P-O|^2}{\sum (|P-\bar{O}| + |O-\bar{O}|)^2} \quad (2)$$

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31 where *P* and *O* in Equations (1) and (2) are the predicted and observed values for a simulated variable
32 (*E. coli* concentrations) respectively and where \bar{O} is the mean of the observed values.
33

34 The RSR metric standardises the Root Mean Square of Errors using the standard deviation of
35 observed values thereby producing a normalisation factor which ranges from a value of 0, indicating
36 zero residual variation or a perfect model, to a large positive value. The index of agreement was
37 developed by Willmott (1981) as a standardised measure of the degree of model prediction error and
38 varies between 0 and 1 (Moriasi et al., 2007). Perfect agreement between model results and
39 observations will yield a skill-score of one and complete disagreement results in a skill-score of zero.
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Comment [ZB5]: R#3_C4: the term skill
has been replaced by score

43 The statistical criteria were used to assess the fit between observed and predicted *E. coli*
44 concentrations for Scenarios 1 and 2 on days D-2 (2-days in advance forecast of water quality) and D
45 (same day forecast or the day in which water quality samples were taken at Bray beach), lead-in days
46 in which accurate water quality predictions in the context of informing a beach management decision
47 on Day D, are particularly significant.
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51 2.4.2 Accuracy of prediction for decision support/beach management
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2 The contingency table and its metrics (see Tables 2 and 3) were employed to assess the accuracy of
3 the model in predicting the compliance and or exceedence of the *E. coli* concentrations to the
4 Sufficient *E. coli* standard values in the revised Bathing Water Directive (see Table 1) in order to
5 support the beach management decisions at Bray.
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8 Contingency tables were developed for *E. coli* to establish the number of occurrences where the
9 model has correctly predicted: (i) the exceedence of the Sufficient standard values (referred to as *hits*
10 in the contingency table), (ii) the occurrences of *correct negatives* in which both observed and
11 predicted *E. coli* concentrations are below the standard value), (iii) the number of *alarms* missed by
12 the model and (iv), the number of *false alarms* (Table 2). The lesser the occurrences of *misses* and
13 *false alarms*, the more robust is the model as a bathing management tool. Therefore, an ideal model
14 would have data only in the *hits* and *correct negatives* categories. Table 3 lists the metrics of the
15 contingency table used in the current study, along with their limits and ideal values. These are the
16 Accuracy, Hit Rate, False Alarm Rate, False Alarm Ratio and the Success Index. The contingency
17 table and its metrics were computed for Scenarios 1 and 2 on days D-2 and D.
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23 For the assessment of the efficacy of the prediction model in supporting the decision-making process
24 for beach management, a ‘binary approach’ that is based on the contingency table was adopted in
25 which beach managers either: (i) ‘take action’ by either prohibiting bathing or advising the public not
26 to bathe, or (ii) ‘take no action’ or do nothing, depending on how *E. coli* concentrations compare to
27 the Sufficient standard values of Directive 2006/7/EC (Table 2c). Errors in the decision-making
28 process result in either inadvertent exposure of the public to high/unacceptable concentrations of
29 faecal indicator bacteria (type II error in Table 2b) or the exclusion of swimmers from water that
30 meets the exposure standard (type I error). More type II errors result in more swimmers being exposed
31 to high concentrations of faecal indicator bacteria and therefore increased risk levels (Nevers and
32 Whitman, 2011). On this basis, decreasing the occurrences of type II is of paramount importance for
33 ensuring the protection of public health. Type I errors on the other hand would result in bathing
34 prohibitions in waters that meet the standards of Directive 2006/7/EC, and while these may impact on
35 the local economy of a bathing water area, they do not present a risk to public health (Table 2d).
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42 Based on the contingency table and its metrics computed for model predictions of *E. coli* on days D-2
43 and D, the management outcomes resulting from the predictions of Scenarios 1 and 2 were compared.
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46 **Table 2 here**

47 **Table 3 here**

50 **3. Results**

51 **3.1 Operation and speed**

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2 All simulations were executed on an Intel Xeon, 64-bit, 2.4 GHz machine. Simulations for five-day
3 forecast periods of Scenario 1, which provides predictions based on forecasted rainfall only, took
4 approximately 7.25 hours. The scheduled update for the forecasts was every 12 hours in the current
5 study. This allowed the machine a down-time of approximately five hours before the commencement
6 of a subsequent scheduled update for water quality forecasts. Simulations of Scenario 1 were
7 completed for a period of 26 days (11th August – 5th September, 2012) with a total model run-time of
8 approximately 15.4 days.
9

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11
12 Simulations of Scenario 2 covered a period of 5.5 days at each scheduled update and comprised a 5-
13 day forecast (using forecasted rainfall amounts) together with a 12 hours hind-cast (using observed
14 rainfall of the previous 12 hours obtained from the ESWN network within the Dargle catchment).
15 Therefore, the corresponding simulations of Scenario 2 took a somewhat longer time than for
16 Scenario 1 (eight hours), the additional time being required to execute the model re-runs necessary to
17 incorporate real-time rainfall observations. The total model run-time taken by Scenario 2 to complete
18 the 26-day simulation was approximately 17.1 days.
19

20
21 It is worth noting that the simulations of Scenario 2 in the period investigated did not include
22 emergency updates since the rainfall totals accumulated over a 6 hour period did not exceed the
23 threshold limit of 10 mm (as set in the current study) necessary to trigger emergency updates. The
24 inclusion of emergency updates would have significantly increased the total model run-time since
25 these would entail halting and repeating simulations to actively incorporate the ‘new’ information on
26 rainfall.
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32 33 34 35 3.2 Performance of the prediction tool

36 37 3.2.1 Model fit

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39 Table 4 summarises the statistics of the two metrics, RSR and index of agreement (*d*) used for the
40 evaluation of the fit between observed and predicted *E. coli* concentrations on days D-2 (2 days in
41 advance forecast of the water quality) and D (same day forecast, or the day in which water quality
42 samples were taken at Bray beach).
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46 Computed RSR values between measured and predicted *E. coli* concentrations for Scenarios 1 and 2
47 exhibited a 15% drop in values on day D in comparison to day D-2, demonstrating that simulations of
48 day D give an improved fit to measured *E. coli* concentrations than simulations for day D-2. The RSR
49 values for days D-2 and D also show that predictions of Scenario 2, the scenario with improved
50 rainfall forecasts, has presented a better fit to observed *E. coli* concentrations than Scenario 1.
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Table 4 here

The index of agreement, d (Table 4), between predicted and measured *E. coli* concentrations of Scenario 1 is shown to increase from 0.61 on day D-2 to 0.66 on day D, again indicating a better fit between predicted and measured *E. coli* concentrations due to improved rainfall forecasts as the sampling day (D) approaches. The index of agreement for Scenario 2 has indicated a better agreement than Scenario 1 and was also shown to be improved from 0.62 on day D-2 to 0.67 on day D.

3.2.2 Accuracy of prediction for decision support/beach management

Table 5 shows the computed metrics of the contingency table for the predictions of Scenarios 1 and 2 on days D-2 and D. These assess the accuracy of the model in predicting the compliance and or exceedence of *E. coli* concentrations to the Sufficient *E. coli* standard values in the revised Bathing Water Directive. The results show that the accuracy of the predictions for Scenarios 1 and 2 on day D-2 are similar at 77%. This has increased to 79% for Scenario 2 on day D while it remained unchanged for Scenario 1. This indicates that predictions of Scenario 2 provide an improvement in the accuracy of predicted *E. coli* concentrations when compared to Scenario 1. The results also indicate that the hit rate for Scenario 1 has dropped from 0.38 to 0.31. This is reflected in Figure 2a by the percentage of the instances in which the beach was correctly closed on days D-2 and D (11% and 9% of the instances respectively). For Scenario 2 the hit rate remains unchanged at 0.38 (11% of the instances where the beach is correctly closed in Figure 2b). Also, the false alarm rate for both Scenarios 1 and 2 exhibit a decrease from 0.09 to 0.06 indicating an improvement in the predictions of both Scenarios from day D-2 to day D due to the drop in the occurrences of false alarms coupled with the increase in the occurrences of correct negatives (correct no action in Figure 2). Both Scenarios 1 and 2 have shown a decrease in values of false alarm ratio from day D-2 to day D by 13% and 24% for Scenarios 1 and 2 respectively. The success index has dropped for Scenario 1 but slightly increased in Scenario 2 indicating the superiority of Scenario 2 over Scenario 1 in predicting the compliance and exceedence occurrences of the Sufficient standard values of the revised Directive.

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Table 5 here

Results in Table 5 indicate that Scenario 2 performed marginally better than Scenario 1 in predicting the compliance/ exceedence of *E. coli* concentrations to the Sufficient standard values of the Bathing Water Directive. In terms of the false alarm rate and false alarm ratio, both scenarios have shown a decrease from day D-2 to day D but the decrease in false alarm ratio is more significant for Scenario 2.

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2 Figures 2(a) and (b) compare the management outcomes resulting from the predictions of Scenarios 1
3 and 2 on forecasting days D-2 and D respectively. Scenarios 1 and 2 for *E. coli* on day D-2 are shown
4 to exhibit a similar record of occurrences of *hits*, *misses*, *correct negatives* and *false alarms* resulting
5 in similar management outcomes in which 'no action' was correctly taken for 66% of the predictions
6 and 'action' was correctly taken for 11% of the predictions. Type I and type II errors on day D-2 were
7 6% and 17% respectively.
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11 **Figure 2 here**
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13 The results on day D show that the real-time component of the model has correctly predicted the
14 compliance/ failures of Bray beach in meeting the Sufficient standard values of the revised Directive
15 for 77% and 79% of the predictions for Scenarios 1 and 2 respectively (based on the combined
16 'correct no action taken' and 'correct action taken' categories). By extension, relying on predictions
17 from the prediction tool to support the beach management decision making for the investigated period
18 from the 11th August to the 5th Sep, 2012, would result in management decisions being correctly
19 made between 77 and 79% of the time.
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24 When compared to predictions on day D-2, those for day D of Scenario 1 (Figure 2a) potentially
25 result in a 2% decrease in the number of occasions where an 'action' should be taken by managers of
26 Bray beach together with an increase in the occurrences of type II errors from predictions on day D.
27 This has potentially serious implications for the management of bathing waters under Directive
28 2006/7/EC as such errors indicate instances where exceedances to the Sufficient standard values of
29 the Directive are missed (not predicted by the model) and may result in a risk exposure to the bathing
30 public (see Table 2d) given that beach managers would not have been informed of the need to take
31 measures to mitigate this risk.
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36 Conversely, predictions on day D for Scenario 2 (Figure 2b) show no real change in the occurrences
37 of *hits* (where an 'action' was correctly taken by managers) and also no change in the occurrences of
38 type II errors. When compared to those on day D-2, predictions indicate a 2% decrease in the
39 occurrences of *type I errors* and a 2% increase in the occurrences where 'no action' should be taken.
40 The reduction in type I error reflects an improvement in the predictions for Scenario 2 on day D given
41 that such errors misinform beach managers and potentially result in the taking of unnecessary actions
42 (prohibition of bathing or the issuing of an advisory against bathing) in situations where bathing
43 waters continue to meet the Sufficient standard values of the revised Directive.
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48 In summary therefore, the deterministic predictive model in the current study has facilitated positive
49 decision making in terms of public health protection. The real-time model has correctly predicted the
50 compliance/ failures of Bray beach in meeting the Sufficient standard values of the revised Directive
51 for 77% and 79% of the predictions for Scenarios 1 and 2 respectively (based on the combined
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2 ‘correct no action taken’ and ‘correct action taken’ categories). Conversely, the real-time component
3 has produced data that would unnecessarily prohibit bathing for 4% of the predictions (type I errors)
4 and would unnecessarily expose bathers to public health risks from poor bathing water quality (type II
5 errors) for 19% and 17% of the predictions for Scenarios 1 and 2 respectively.
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8 The results of the study highlight the marginal superiority of Scenario 2, where real-time rainfall data
9 is utilised to improve the water quality predictive capacity in the study area. Nevertheless, the
10 reasonably accurate predictions of Scenario 1 indicate that the prediction tool can still produce
11 acceptable predictions based on rainfall forecasts only and this is of particular note in the context of
12 applying deterministic modelling approaches of the type presented for water quality prediction and
13 decision making in other catchment-coastal systems with limited or no real-time rainfall data
14 available.
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18 While the results indicate a reasonable accuracy in *E. coli* prediction using the real-time prediction
19 tool, inconsistencies between measured and predicted *E. coli* concentrations may still occur due to
20 model accuracy limits and the well-recognised high spatial and temporal variability inherent in
21 observed *E. coli* concentrations (Boehm, 2007; Rosenfeld et al., 2006; Whitman and Nevers, 2004;
22 Quilliam et al., 2011; Cui et al., 2013). Therefore the authors are of the view that beach managers
23 should be judicious when interpreting results from such models for decisions regarding the public’s
24 use of bathing waters.
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29 Finally, the revised Bathing Water Directive defines water quality in terms of both *E. coli* and IE, and
30 therefore decisions for beach management such as the ones shown in Figure 2 would be typically
31 based on both water quality parameters. However, measured and predicted IE concentrations for both
32 Scenarios 1 and 2 in the current study were below the Sufficient standard values of the Bathing Water
33 Directive (i.e., in the correct negatives category) and given therefore, that the inclusion of an IE
34 analysis would not contribute to the objective of this paper, its presentation was not included.
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41 **4. Conclusion**

42 Under the revised Bathing Water Directive (2006/7/EC), EU member states are obliged to maximise
43 the protection of public health against faecal pollution at bathing waters through improved monitoring
44 and management approaches. In Europe, the use of predictive models as beach management tools are
45 less common than elsewhere in the world, despite the role they can play in the implementation of the
46 revised EU Bathing Water Directive.
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51 This study explores the potential use of a deterministic coastal water quality prediction model as a
52 beach management tool for the improved protection of public health as required by Directive
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2 2006/7/EC. Such a tool provides water quality predictions well in advance of the occurrence of
3 elevated FIB levels and this can inform beach managers in the decision making process on whether to
4 prohibit bathing or advise the public not to bathe. The work assesses the efficacy of the tool in
5 minimising the “errors” in beach management decisions. Such errors occur when the concentrations
6 of predicted FIB are not equal to the actual concentrations and may result in either inadvertent
7 exposure of the public to high concentrations of FIB or exclusion of swimmers from bathing water
8 that meet acceptable standards under Directive 2006/7/EC.
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12 Using a calibrated catchment-coastal model of the Dargle-Bray system, Co. Wicklow, Ireland, the
13 study presented results of simulations that provided short-term and real-time predictions of *E. coli* at
14 Bray beach. Two scenarios were studied: (i) Scenario 1, where rainfall forecasts from a
15 meteorological source (www.yr.no) was used to drive the rainfall-runoff processes in the catchment
16 component of the prediction tool, and (ii) Scenario 2, where the rainfall forecasts of Scenario 1 were
17 improved by incorporating real-time rainfall data from within the catchment.
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22 The RSR and d index of agreement values for days D-2 and D show that predictions of Scenario 2, the
23 scenario with improved rainfall forecasts, offers a superior fit to observed *E. coli* concentrations than
24 for Scenario 1. In addition, the analysis of the beach management decisions of the contingency table
25 and metrics show that the real-time model has correctly predicted the compliance/ failures of Bray
26 beach in meeting the Sufficient standard value of the revised Directive for 77% and 79% of the
27 predictions of Scenarios 1 and 2 respectively. Relying on outputs from the prediction tool to support
28 the beach management decision making for the investigated period from the 11th August to the 5th
29 Sep, 2012 was shown to result in correct management decisions being made for Bray beach for 77-
30 79% of the occasions. Conversely, the real-time component has produced data that would
31 unnecessarily prohibit bathing for 4% of the predictions (type I errors) and would unnecessarily
32 expose bathers to public health risks from poor bathing water quality (type II errors) for 19% and 17%
33 of the predictions for Scenarios 1 and 2 respectively.
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40 The results highlight the marginal superiority of Scenario 2, with the benefit of the inclusion of real-
41 time rainfall data, in predicting bathing water quality at Bray beach. Nevertheless, the reasonable
42 results for Scenario 1 indicate that the prediction tool may still produce acceptable predictions based
43 on rainfall forecasts only. This may benefit catchment-coastal systems where limited or no real-time
44 rainfall data is available.
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48 The results provide support to the prediction tool for having the capacity to predict, with reasonable
49 accuracy, the *E. coli* concentrations at Bray beach. However, inconsistencies between measured and
50 predicted *E. coli* concentrations may still occur due to model accuracy limits and the inherent high
51 spatial and temporal variability of measured *E. coli* concentrations. Therefore beach managers should
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1
2 be judicious when interpreting such results for decision making regarding an appropriate course of
3 action for effective management of bathing water resources.
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7 **Acknowledgements**

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14 information provided by Irish EPA, Bray Town Council, Wicklow County Council, and the staff of
15 Shanganagh Wastewater Treatment works.
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21 Figure 1: Study area. (a) Location of sensors within the Dargle catchment, (b) Inflow of the Dargle
22 River to Bray Harbour.
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25 Figure 2: Management outcomes: performance of Scenarios 1 and 2 in predicting the exceedence/
26 compliance of *E. coli* concentrations with the Sufficient standard values of Directive 2006/6/EC.
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29 Table 1: Revised Bathing Water Directive (2006/7/EC) classification category limits (cfu/100 ml) for
30 intestinal enterococci (IE) and *Escherichia coli* (*E. coli*).
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32 Table 2: Contingency table: Management errors, outcomes, and health risk implications using the
33 model for the prediction of *E. coli* concentrations.
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36 Table 3: Metrics of the contingency table (Bennett et al., 2013).
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38 Table 4: Computed RSR and *d* index for the assessment of the performance of the prediction tool in
39 predicting *E. coli* concentrations.
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41 Table 5: Computed metrics for the assessment of the performance of the prediction tool in predicting
42 compliance/exceedence of the *E. coli* with the Sufficient standard values of Directive 2006/7/EC.
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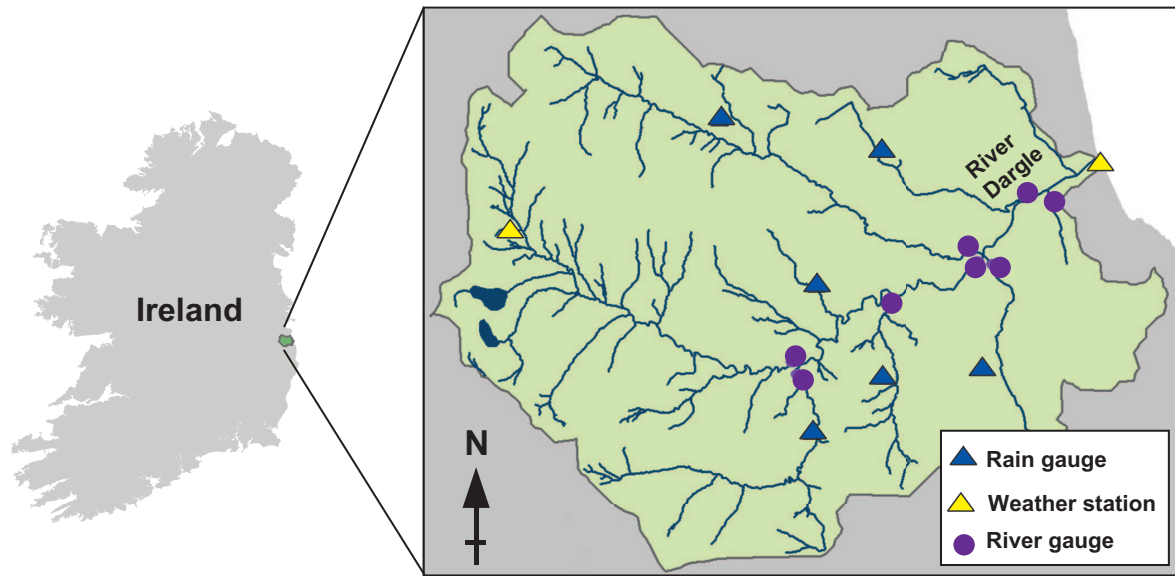
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Figure 1

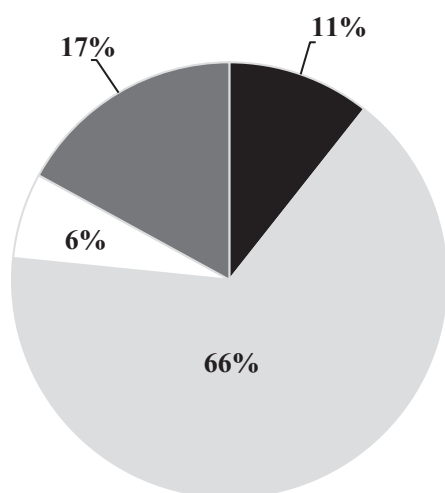
[Click here to download Figure: Figure1.studyarea.eps](#)



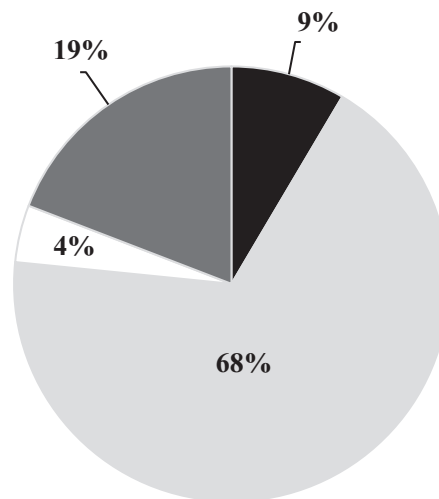
(a) Dargle catchment: Location of sensors



(b) Inflow of Dargle River to Bray Harbour

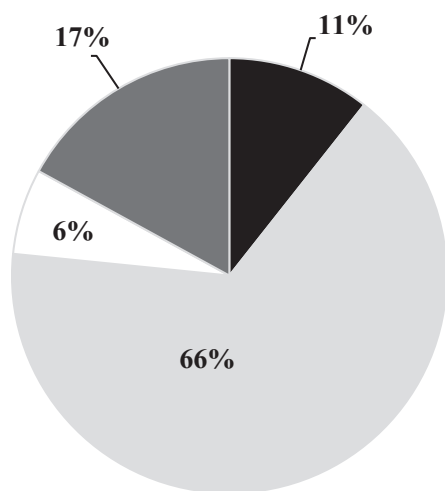
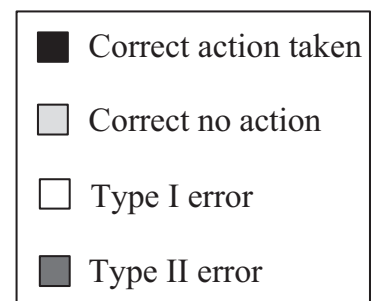


Forecasting day: D-2

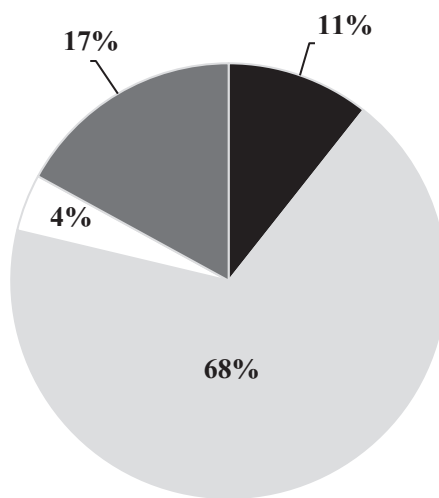


Forecasting day: D

(a) Scenario 1: Forecasts only



Forecasting day: D-2



Forecasting day: D

(b) Scenario 2: Improved forecasts

Table 1[Click here to download Table: Table 1.docx](#)

Table 1. Revised Bathing Water Directive (2006/7/EC) classification category limits (cfu/100 ml) for intestinal enterococci (IE) and *Escherichia coli* (*E. coli*)

Parameter	Classification		
	Excellent	Good	Sufficient
<i>E. coli</i>	250 ^a	500 ^a	500 ^b
IE	100 ^a	200 ^a	185 ^b

a: based on 95th percentiles, b: based on 90th percentiles.

Table 2: Contingency Table: Management errors, outcomes, and health risk implications using the model for the prediction of *E. coli* concentrations.

		Observed Exceedences		
		yes	no	
Predicted Exceedences	yes	Hits	False alarms	Predicted yes
	no	Misses	Correct negatives	Predicted no
		Observed yes	Observed no	Total

(a) Contingency Table

		Observed Exceedences		
		yes	no	
Predicted Exceedences	yes	None	Type I	
	no	Type II	None	

(b) Management error

		Observed Exceedences		
		yes	no	
Predicted Exceedences	yes	Correct close	Incorrect close	
	no	Incorrect open	Correct open	

(c) Management outcomes

		Observed Exceedences		
		yes	no	
Predicted Exceedences	yes	High	Low	
	no	High	Low	

(d) Possible health risk

Table 3[Click here to download Table: Table 3.docx](#)

Table 3: Metrics of the contingency table (Bennett et al., 2013).

Metric	Formula	Range of values	Ideal value
Accuracy	$\frac{Hits + Correct\ negatives}{Total}$	0 - 1	1
Bias score	$\frac{Hits + False\ alarms}{Hits + Misses}$	0 - ∞	1
Hit rate	$\frac{Hits}{Hits + Misses}$	0 - 1	1
False alarm rate	$\frac{False\ alarms}{False\ alarms + Correct\ negatives}$	0 - 1	0
False alarm ratio	$\frac{False\ alarms}{Hits + False\ alarms}$	0 - 1	0
Success index	$\frac{1}{2} \left[\frac{Hits}{Hits + Misses} + \frac{Correct\ negatives}{Total} \right]$	0 - 1	1
Threat score	$\frac{Hits}{Hits + Misses + False\ alarms}$	0 - 1	1

Table 4: Computed RSR and d index for the assessment of the performance of the prediction tool in predicting *E. coli* concentrations.

Day	Forecasting day			
	D-2		D	
Metric	Scenario 1	Scenario 2	Scenario 1	Scenario 2
RSR	1.23	1.17	1.04	0.99
d index	0.61	0.62	0.66	0.67

Table 5[Click here to download Table: Table 5.docx](#)

Table 5: Computed metrics for the assessment of the performance of the prediction tool in predicting compliance/exceedence of the Sufficient *E. coli* standard values of Directive 2006/7/EC.

Sufficient Standard				
Scenario	Forecasting day: D-2		Forecasting day: D	
	Scenario 1	Scenario 2	Scenario 1	Scenario 2
Accuracy	0.77	0.77	0.77	0.79
Hit rate	0.38	0.38	0.31	0.38
False alarm rate	0.09	0.09	0.06	0.06
False alarm ratio	0.38	0.38	0.33	0.29
Success index	0.52	0.52	0.49	0.53