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# Current and Future Technologies for Microbiological Decontamination of Cereal Grains

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- 1 Current and Future Technologies for Microbiological Decontamination of Cereal Grains
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# 14 ABSTRACT

15 Cereal grains are the most important staple foods for mankind worldwide. The constantly 16 increasing annual production and yield is matched by demand for cereals, which is expected 17 to increase drastically along with the global population growth. A critical food safety and quality issue is to minimize the microbiological contamination of grains as it affects cereals 18 19 both quantitatively and qualitatively. Microorganisms present in cereals can affect the safety, 20 quality and functional properties of grains. Some molds have the potential to produce harmful 21 mycotoxins and pose a serious health risk for consumers. Therefore, it is essential to reduce 22 cereal grain contamination to the minimum to ensure safety both for human and animal 23 consumption. Current production of cereals relies heavily on pesticides input, however, 24 numerous harmful effects on human health and on the environment highlight the need for more 25 sustainable pest management and agricultural methods. This review evaluates microbiological 26 risks, as well as currently used and potential technologies for microbiological decontamination 27 of cereal grains.

- 28
- 29 Keywords: cereal grains, microflora, microbial inactivation, decontamination, food safety

#### 30 1. Introduction

31 Cereals are one of the most important agricultural products in the world, both as human foods 32 and as the main constituent of animal feed. Development of agriculture in prehistoric times was 33 heavily associated with domestication of cereal grains and since their first cultivation most 34 civilizations have become dependent upon cereals for the majority of its food supply (Cordain, 35 1999). Cereal grains are the most commonly consumed food group worldwide and they are 36 grown on about 60% of the cultivated land in the world (Harlan 1992, Koehler & Wieser, 37 2013). In order to meet the requirements of a growing world population, worldwide production 38 and yield of cereals has been increased for the last 50 years (Fig. 1.) (Food and Agriculture 39 Organization [FAO], 2017). Major types of cereal grains include maize, rice, wheat, barley, 40 sorghum, millet, oats, and rye (Fig. 2.) (FAO, 2017).

41 Worldwide significance and extensive use of cereal grains and their products makes cereals 42 preservation and decontamination one of the most important food safety issues. Contamination 43 of stored grain with insects and microorganisms is a major concern of the grain industry as it 44 affects the grains both quantitatively and qualitatively (Yadav, Anand, Sharma & Gupta, 2014). 45 Microorganisms present in cereals constitute a principle control point since their development 46 may affect the safety, quality and properties of the grains. Some molds can potentially produce 47 harmful mycotoxins and pose a serious health risk for consumers (Laca, Mousia, Díaz, Webb, 48 & Pandiella, 2006). Losses of cereal grains during storage are estimated between 5 and 30% 49 due to molds and mycotoxins, 5% for insects and 2% for rodents, with an average yield loss of 50 1% for developed and 10-30% for developing countries (Rajendran, 2002). It is essential 51 to reduce cereal grain contamination to the minimum and ensure safety both for human and 52 animal consumption.

53 Currently, industrial production of cereals relies heavily on chemical input of pesticides, which
54 brings high economic benefits, minimizes labor input and improves yield and quality of

55 agricultural products. However, pesticides can be harmful also to non-target organisms and 56 have negative effects on human health and the environment. Resistance to pesticides in most 57 major pest species is also increasing. In 2009, the Directive on the sustainable use of pesticides 58 was adopted by the EU and its overall objective is "to achieve the sustainable use of pesticides 59 by reducing the risks and impacts of pesticides use on human health and environment and 60 promoting the use of integrated pest management and other non-chemical alternatives to pesticides" (European Commission [EC], 2009). Within the next decade, the new 61 62 regulations will drastically reduce the number of active substances permitted in crop 63 production, which drives the research to develop new disruptive technologies that are 64 environmentally and societally acceptable control methods for cereal grains preservation. This 65 review compares currently used technologies with novel and potential methods for microbial 66 decontamination of cereal grains.

# 67 2. Microbial challenges associated with cereal grains

#### 68 2.1 The sources of microbial contamination of cereals

69 Microbial contamination of cereal grains occurs during crop growth, harvesting and post-70 harvest drying and storage (Magan & Aldred, 2006) and it derives from several sources, 71 including air, dust, water, soil, insects, birds and rodents feces as well as contaminated 72 equipment and unsanitary handling (Fig. 3). The type of microbial contamination varies 73 according to the growing region and is heavily influenced by environmental conditions such as 74 drought, rainfall, temperature and sunlight, as well as unsanitary handling, harvesting and 75 processing equipment, and poor storage conditions (Nierop, 2006; Bullerman & Bianchini, 76 2009). High rainfall just before harvest is a factor inducing extensive colonization of the grain 77 ears by Alternaria spp., causing black fungi discoloration, that can be observable both on 78 the surface of the kernels and as beneath the pericarp (Kosiak, Torp, Skjerve, & Andersen, 79 2004). Doohan, Brennan, & Cooke (2003) investigated the influence of climatic factors on 80 *Fusarium* species pathogenic to cereal grains and found that they differ significantly in their 81 climatic distribution as well as in the optimum climatic conditions required for their 82 persistence.

# 83 2.2 The field microflora

The field microflora consists of microorganisms that occur on or in grains until the time of harvest and depends on the conditions under which the crops were grown. The kernels are numerically dominated by bacteria, with yeasts as the next most abundant component. The number of filamentous fungi increases during the later stage of ripening (Noots, Delcour & Michiels, 1999; Flannigan, 2003; Nierop, 2006).

#### 89 **2.2.1 Bacteria**

90 Levels of cereal grains contamination with bacterial pathogens are usually very low and 91 although contamination with species such as Salmonella, Escherichia coli and Bacillus cereus 92 can occur, bacteria associated with cereals are generally non-pathogenic. The most often they to the families *Pseudomonadaceae*, *Micrococcaceae*, *Lactobacillaceae* 93 belong and 94 Bacillaceae (Laca et al., 2006; Hocking, 2003). Some species of enteric bacteria that are found 95 on cereal grains are plant saprophytes and their presence is not related to fecal contamination (Harris, Shebuski, Danyluk, Palumbo, & Beuchat, 2013). Gram-negative bacteria numerically 96 97 dominate the microflora of pre-harvest barley, with Erwinia herbicola (now: Pantoea 98 agglomerans) and Xanthomonas campestris as predominant bacterial species (Noots et al., 99 1999; Flannigan, 1996). Numerous bacteria belonging to Streptomyces genus were recently 100 found on barley and spring wheat grains. The authors also reported the presence of antimycin 101 A toxin-producing strains in barley, which is the first report of antimycin A in a food substance 102 (Rasimus-sahari, Mikkola, Andersson, Jestoi, & Salkinoja-salonen, 2016).

#### 103 **2.2.2 Filamentous fungi and yeasts**

The fungi growing on crops have been traditionally divided into two groups – "field" and "storage" fungi (Pitt & Hocking, 2009). The main difference between these groups is the time at which they invade the grains and growth conditions, however, the distinction between field and storage fungi is not absolute. It was found that although some field fungi invade the grains on the field, they are still able to grow in storage conditions. Similarly, some fungi commonly classified as storage fungi may invade the grains at earlier stages (Christensen & Meronuck, 1086).

Field fungi, including species such as *Alternaria*, *Cladosporium*, *Fusarium*, and *Helminthosporium*, invade grain in the field at high relative humidities (90 to 100%) when the grain is high in moisture (18 to 30%) (i.e., at high  $a_w$ ) (Bullerman & Bianchini, 2009) Christensen & Meronuck, 1986). Significant increase in a number of infections with *Fusarium* species is often observed when ripening is performed during wet periods. Also, phyllosphere fungi are responsible for pre-harvest fungal contamination (Magan & Aldred, 2006).

As high moisture content is required for field fungi, conditions during the storage are not favorable for their growth, however, some fungi including *Penicillium* and *Fusarium* species and various species of yeasts are able to invade seeds before harvest and continue to grow during storage (Christensen & Meronuck, 1986). Although most *Penicillium* species are xerophiles and they usually are considered to be storage fungi, at certain conditions they can also attack the grains before harvest – it was found that *P. oxalicum* can infect pre-harvest maize due to insect damage or wounding (Pitt & Hocking, 2009).

Similarly, *A. flavus*, usually regarded as a storage fungus, was found in freshly harvested maize
(Lillehoj et al., 1976). Insect damage to cobs and fungal invasion down the silks are the main
factors increasing the risk of *A. flavus* contamination of corn (Lillehoj et al., 1980;
Williams et al., 2006).

128 The field fungus most frequently present in both barley and wheat kernels is Alternaria 129 (Christensen & Meronuck, 1986; Flannigan, 1996). Kosiak et al. (2004) reported that 130 the distribution of field fungi contamination varied significantly in wheat, barley and oats samples of reduced quality with dominant Fusarium species as compared to acceptable 131 samples for which Alternaria was the most numerous. Fusarium species are a common 132 133 contaminant of various cereal grains. While F. graminearum, F. culmorum, F. poae, 134 F. avenaceum and Microdochium nivale (formerly known as F. nivale) cause diseases of small-135 grain cereals such as wheat and barley, corn is usually attacked by F. graminearum, 136 F. moniliforme, F. proliferatum and F. subglutinans (Doohan et al., 2003).

Hill and Lacey (1983) reported that by harvest even 50-85% of barley kernels may be colonized
by yeasts, with pink yeasts, such as *Sporobolomyces* and *Rhodotorula*, being predominant
(Flannigan, 1996). Other species found on barley are *Hansenula*, *Torulopsis*, *Candida* and *Saccharomyces*, while *Cryptococcus* and *Trichosporon* were isolated from pre-harvest wheat
(Flannigan, 1996). Flannigan (1996) suggested that because of the strong resemblance between
other components of wheat and barley microfloras, similar yeasts species are likely to be found
on both cereals.

# 144 **2.3** The storage microflora

Modern methods used for harvesting and proper storage practices ensuring the exclusion of water and lack of possibility for birds, insects and rodents to contaminate the grain, are considered sufficient to prevent the microbial growth. However, these conditions are not always met.

149 **2.3.1 Bacteria** 

Generally, bacteria are not significantly involved in the spoilage of dry grain due to storage conditions unfavorable for their growth. However, it was found that some bacterial pathogens and spore-forming species are able to survive during storage and may contaminate processed products. Lactic acid bacteria present in the raw grain may be carried over through the processing and spoil doughs prepared from flour and cornmeal (Bullerman & Bianchini, 2009; Justé et al., 2011). Gram-negative coliforms, pseudomonads and actinomycetes were also found on dry-stored cereals (Hill & Lacey, 1983). Wachowska, Stasiulewicz-Paluch, Glowacka, Mikolajczyk, & Kucharska (2013) reported that the number of bacteria of the genus *Azotobacter* colonizing winter wheat grain was relatively low at harvest, but the counts increased after six months of storage.

# 160 **2.3.2 Filamentous fungi and yeasts**

Spoilage of grains with filamentous fungi during storage occurs usually due to inefficient drying, what favors microbial growth and may result in increased mycotoxins levels (Magan & Aldred, 2006; Harris et al., 2006). If drying is delayed and the moisture content of the harvested grain is suitable, growth of the field fungi, e.g. *Fusarium* spp., may occur (Flannigan, 1996).

166 Storage fungi including species of Eurotium, Aspergillus, Penicillium, Rhizopus, Mucor and 167 Wallemia, invade stored-grains at low relative humidity's (65 to 90%) and lower moisture 168 contents (14 to 16%) of the grains (Bullerman & Bianchini, 2009). Storage temperature heavily 169 influences the types and rates of microbial spoilage - Penicillium species are dominant at 170 cooler temperatures, while Aspergillus and Eurotium species are more common at ambient 171 temperatures (20-25°C). Species of Rhizopus (common bread mold) and Neurospora (red bread 172 mold) can also be found on grains at ambient temperatures, however, they are much more 173 common on baking products (Magan & Aldred, 2006).

Among *Penicillium* species, *P. verrucosum* is important as contamination with this fungus may result in the production of carcinogenic mycotoxin - ochratoxin a (OTA), especially in cool climates (Lund & Frisvad, 2003). It was shown that *P. verrucosum* attacks wheat and barley only after harvest (Magan & Aldred, 2006; Pitt & Hocking, 2009). In tropical conditions other *Penicillium* species are more common – *P. citrinum* is a fungus commonly detected in rice (Tonon, Marucci, Jerke & Garcia, 2007, Trung, Bailly, Querin, Le Bars & Guerre, 2001; Park, Choi, Hwang & Kim, 2005). In maize, different *Penicillium* species occur depending on the stage of processing – some of them invade only pre-harvest grains (*P. funiculosum*), other are associated only with the stored grain (*P. aurantiogriseum*, *P. viridicatum*), whereas some species, such as *P. citrinum* and *P. oxalicum*, are present all the time (Mislivec and Tuite, 1970a, b).

185 Birck, Lorini & Scussel (2005) compared fungal contamination in wheat grain during 180 days 186 of storage. It was observed that Fusarium spp. was the most numerous fungus after harvest and 187 after 30 days of storage, however, the counts decreased gradually until the end of the storage 188 period. After 180 days of storage Aspergillus, Fusarium and Penicillium were found in 96.7%, 189 46.7% and 80.0% of wheat samples, respectively. Krnjaja et al. (2015) investigated mycobiota 190 of maize - mycological analyses showed the presence of Aspergillus, Fusarium and 191 Penicillium on both freshly harvested and stored grains, however, the predominant species 192 varied for each stage of processing. Similar observations were noted for maize – samples 193 analyzed immediately after harvest showed predominance species of Alternaria, followed by 194 species of *Cladosporium* and *Penicillium*, whereas after 120 days of storage the maize grains 195 mycoflora consisted of various fungi belonging with predominance to Fusarium, Aspegillus 196 and Penicillum genera (Dudoiu, Cristea, Lupu, Popa and Oprea, 2016).

Yeasts found on cereal grains during storage are often amylolytic yeasts (Magan & Aldred,
2006). Similarly to lactic acid and spore-forming bacteria, yeasts present on cereals may also
be carried through into processed products (Bullerman & Bianchini, 2009).

# 200 **2.4 Distribution of microorganisms within cereal grains**

The typical structure of a cereal grain constitutes of three edible parts: the bran which consists of the outer coat (pericarp, testa and aleurone layers), the germ (the embryo) and the starchy endosperm, and an inedible husk that protects the kernel (Fig. 4.) (Dexter & Wood, 1996;
Merali et al., 2013). Microbial colonization is generally restricted to the outer layers of cereal
grains, i.e. the husk, between the husk and pericarp, and within the pericarp tissue (Briggs,
1998). Several studies showed that after debranning – a controlled process in which the outer
layers of the grains are removed, cereals are microbiologically purer (Bainotti & Perez, 2000;
Laca et al., 2006). However, there are species able to invade the inner part of the grains and
penetrate into the endosperm, causing internal infections (Nierop, 2006).

210 Distribution of the microbial populations on various cereal grains has been studied by several 211 authors. Microscopic observations of barley revealed a high number of microorganisms 212 between the husk and pericarp. It was observed that bacteria clustered as randomly distributed 213 micro-colonies with up to 200 cells (Petters, Flannigan & Austin, 1988). Laca et al. (2006) 214 studied distribution of microorganisms within wheat grains and found that most of bacteria and 215 molds were concentrated on the surface of the grain in the pericarp surrounding the endosperm 216 and the germ, therefore, removing some of the outer layers of the grains may be used 217 to substantially reduce the microbial contamination. According to the study, most of 218 the contamination is located in the outer layers, i.e. the first and second pearling fractions, 219 which corresponds to a layer thickness of approximately 30 µm. Colonisation of the grains by 220 Alternaria spp. (black fungi discoloration) is observable on the surface of the kernels as well 221 as beneath the pericarp of wheat, barley and oats, and is believed to be a result of rainfall just 222 before harvest (Kosiak et al., 2004). Andersen and Thrane (2006) reported that wheat and 223 barley surface disinfection with sodium hypochlorite removed only 10-15% of Alternaria and 224 *Bipolaris*, which indicates that the grains were contaminated beneath the pericarp. A common 225 result of invasion of the germs by Aspergillus species, such as A. restrictus, A. glaucus and A. candidus, is germ-damaged wheat (the fungi grow only in the germ) that can often develop 226 227 without visible sign of moldiness (Christensen & Meronuck, 1986). Bacon and Williamson

(1992) investigated the interactions of *F. moniliforme* with corn. Studies based on scanning
electron microscopy showed distribution of the fungus mostly over the pericarp, however,
contamination of the embryo and endosperm also occurred.

231

# **3.** Current and potential techniques for control of microbial spoilage of cereal grains

# 232 **3.1** Current techniques and their limitations

Current technologies applied to control microbial spoilage of cereals successfully reduce the microbial load, however, they can negatively affect the quality and technological properties of cereals, as well as generate harmful environmental impacts. A brief description of current techniques and their limitations used for cereal grains preservation is summarized in Table 1.

#### 237 **3.1.1 Pesticides**

Worldwide cereal production heavily relies on pesticides input, including fungicides, 238 239 herbicides and insecticides. The primary benefits of pesticides application are crop protection 240 from the damaging influences of pests, higher yields and better quality of cereals. However, 241 pesticides use raises several concerns, related especially to its environmental impacts such as 242 biodiversity reduction, surface and ground water pollution, soil contamination and decrease of 243 fertility, as well as direct harmful impact on humans and other non-target species (Aktar, 244 Sengupta & Chowdhury, 2009; Liu, Pan & Li, 2014). Repeated pesticide use may lead 245 to development of pesticides resistance in pest populations previously susceptible to active agents used (Jess et al., 2014). To reduce pesticides inputs and therefore the risks and impacts 246 247 on human health and the environment, in 2009, the European Union introduced a strategy (EC, 248 2009) on the sustainable use of pesticides through the use of Integrated Pest Management 249 (IPM), i.e. combining chemical and non-chemical control methods and use alternative 250 approaches such as non-chemical alternatives to reduce the reliability on pesticides (EC, 2009), 251 which is the most effective strategy to prevent the evolution of pesticide resistance.

#### 252 **3.1.2 Drying**

253 Moisture content in the harvested cereal grains is naturally high. Drying is the phase of 254 the post-harvest processing during which the grains are dried until the moisture content level 255 guaranteeing safe storage conditions, i.e. equivalent to  $<0.70 a_w$ , is reached. A typical moisture 256 content level of properly dried grains is between 10 - 14 percent. Effective drying permits 257 a reduction of losses during storage, as it creates unfavorable conditions for molds growth and 258 proliferation of insects. However, these conditions are not always met. Heat and moisture 259 produced as a result of biological activity and respiration of grains during storage, are major 260 factors influencing spoilage. Damp or warm spots of grain favor fungal growth, which leads 261 to further production of heat and moisture, creating a self-generating process (Magan & 262 Aldred, 2006; Mrema, Gumbe, Chepete & Agullo, 2011).

263 One of the important limitations of grains drying is a difficulty to achieve a sufficient 264 uniformity of the process as under- or over-dried areas leads to grains with different moisture contents to be found in the same batch (Raghavan, 1993; Magan & Aldred, 2006). Using 265 266 excessive temperatures damages grains, e.g. cracking and loss of viability, as well as may cause 267 economic losses. For instance, one kilogram of grains at 15 percent moisture content weighs 268 885.4 g at 4 percent moisture content, causing a loss in the value in the market (Yaciuk, 1980; 269 Mrema et al., 2011). Also, it increases the risk of growth of mycotoxin producing molds, which 270 usually colonize only damaged parts of plants (Varga, Kocsube, Peteri, Vagvolgyi, & Toth, 271 2010).

272 **3.1.3 Mechanical debranning** 

273 Debranning is an advanced milling process during which the bran layers of a grain are 274 separated from the endosperm and removed by friction and abrasion. This technique can 275 improve the yield and degree of refinement of flour, as well as allowing the production of good-276 quality milled products from lower quality grains (Dexter & Wood, 1996). Laca et al., (2006) showed that after debranning, grains are microbiologically purer. It was reported that by removal from the surface of 4% of the total weight of the grain, that the total microbial contamination was reduced up to 87%. Due to the complex anatomy of a wheat kernel which has a longitudinal crease that extends to the center of the kernel, complete separation of the bran from starchy endosperm is difficult to achieve in the debranning process (Dexter & Wood, 1996).

# 283 **3.1.4 Chlorine and hypochlorite**

Due to their oxidizing capacity, chlorine-based methods are widely used in the industry for food produce disinfection and microbial control. These techniques are inexpensive and easy to use; however, they bring concerns due to generating toxic by-products as well as off-tastes and odours after the treatment (Richardson et al., 2001; Virto, Manas, Alvarez, Condon, & Raso, 2005). The need to reduce environmental chlorine emissions has led to the consideration of non-chlorinated alternatives.

It was found that using chlorine for inactivation of microorganisms on cereal grains was ineffective for highly contaminated products - 0.4% chlorine solution did not inactivate sufficient fungal spores to produce less than 20% contamination when initial contamination levels were greater than 10<sup>4</sup> per gram of barley (Delaquis & Bach, 2012; Andrews, Pardoel, Harun, & Treloar, 1997). Sodium hypochlorite has also been used frequently, however, studies show that this kind of treatment does not completely inactivate fungal spores neither on the surface of corn nor wheat (Sauer & Burroughs, 1986; Sun et al., 2017).

# 297 **3.1.5 Irradiation**

Irradiation in food processing is a process that involves exposing food to a certain amount of ionizing radiation. Three major types of this technology are: (a) gamma-rays generated from the radioactive isotopes of cobalt-60 (<sup>60</sup>Co) or cesium-137 (<sup>137</sup>Cs); (b) electron beam processing; (c) X-rays created by electron accelerators. The mechanism of microbial inactivation by irradiation includes direct DNA damage and the production of reactive
molecules, such as hydrogen peroxide, hydroxyl radicals and hydrogen atoms. These molecules
can damage cellular metabolic pathways inside the cells, promote intracellular oxidation and
consequently lead to cell lysis (Farkas, Ehlermann, & Mohácsi-Farkas, 2014; Lung et al.,
2015).

Irradiation has been successfully used for control of microorganisms on cereals and flours since
1950s (comprehensively reviewed by Lorenz & Miller, 1975). The use of 0.5 kGy radiation for
the prevention of pest contamination in wheat and flour was approved by the United States
Food and Drug Administration (USFDA) in 1963 and the technology has been applied for
preservation and decontamination of various crops (Lung et al., 2015).

312 **3.1.6 Ozone** 

313 The use of ozone in food processing has become increasingly important since it gained GRAS 314 (Generally Recognized as Safe) status in 1997 (Graham et al., 1997) and four years later it was 315 approved by US Food and Drug Administration (FDA) as a secondary direct food additive and 316 antimicrobial agent for all food types (O'Donnell, Tiwari, Cullen, & Rice, 2012). Ozone (O<sub>3</sub>) 317 is the triatomic oxygen formed by addition of a free radical of oxygen to molecular oxygen. 318 When generated from dried air, ozone is a blue gas. Ozone generation from high-purity oxygen 319 leads to formation of a colorless gas (Greene, Guzel-Seydim, & Seydim, 2012). Ozone can be 320 applied in the gaseous or aqueous state. It has been demonstrated that after treatment ozone 321 decomposes into molecular oxygen and hence does not leave hazardous residues on the food 322 product (Graham et al., 1997).

Microbial inactivation by ozone have been studied against a wide variety of microorganisms. The bactericidal effect of ozone has been studied against both Gram-positive and Gramnegative bacteria as well as spores and vegetative cells. Microbial inactivation by ozone is a complex process - Victorin, (1992) identified two major mechanisms of ozone destruction of

microorganisms: (1) oxidation of sulfhydryl groups and amino acids of enzymes, peptides and
proteins to shorter peptides; and (2) oxidation of polyunsaturated fatty acids to acid peroxides.
Disruption or disintegration of the cell envelope leads to cell lysis and inactivation of
microorganisms (Greene et al., 2012).

331 The use of ozone as a fungicide for decontamination of cereal grains has been investigated in 332 several studies. Kells, Mason, Maier and Woloshuk (2001) used gaseous ozone to reduce 333 the contamination level of Aspergillus parasiticus on the kernel surface of corn by 63%. In 334 another study (Allen, Wu, & Doan, 2003), 96% of inactivation was achieved for spores or 335 a mixture of spores and a small number of mycelia on barley after 5 minutes of treatment. It 336 was observed that increases in water activity and temperature of grains enhanced the fungicidal 337 efficacy of ozone. Kottapalli, Wolf-Hall, and Schwarz (2005) reported a significant decrease 338 (24 to 36%) of Fusarium survival after 15 min of exposure at either 11 000 or 26 000 ppm 339 ozone concentration in naturally infected malting barley. Wu, Doan, and Cuenca (2006) used 340 gaseous ozone to preserve stored wheat and found that ozone treatment was a very effective 341 method for inactivation of 96.9% of fungal spores associated with wheat. In this study, higher 342 treatment efficacy was achieved when temperature and water activity of wheat were increased, 343 what confirms the results obtained by Allen et al. (2003). Bactericidal effect of ozone was 344 observed by Naito, Okada, and Sakai (1988) - gaseous ozone inactivated up to 3 log units of 345 Bacillus spp. and Micrococcus spp. on cereal grains, peas, beans and spices. It was also found 346 that the treatment efficacy depends on ozone concentration, relative humidity and treatment 347 temperature. Dodd et al. (2011) investigated the effect of ozonation on malting barley -348 the treatment did not lead to significant reductions in aerobic plate counts, but it decreased 349 mold and yeast counts by 1.5-log in the final malt. In the study, gaseous ozone did not 350 negatively influence any aspect of malt quality.

351 Ozonated water was also reported to be effective for microbial inactivation of a range of foods, 352 including grains, and can be an alternative to chlorinated water before milling. Dhillon, 353 Wiesenborn, Dhillon, and Wolf-Hall (2010) found that although washing durum wheat grains with ozonated water did not show high antimicrobial efficacy when used alone, it was effective 354 355 in combination with acetic acid. Similarly, a combination of gaseous ozone, acetic acid, and 356 ozonated water, using a fluidized bed system, was the most effective in reducing microbial 357 counts on durum wheat in another study (Dhillon et al., 2010). In both studies, however, grain 358 moisture content increased after the treatment.

# **359 3.2** Future trends for decontamination of cereal grains

Limitations of conventional methods used for inactivation of microorganisms associated with cereals suggest that there is a huge demand for new technologies, which will be rapid and cost effective. An ideal method should reduce microbial loads uniformly on all the treated grains, without formation of toxic, non-target residues and by-products after the treatment. Potential techniques for cereals preservation (Table 2) should not affect their quality as the consumers expect high-quality processed foods with minimal changes in nutritional and sensory properties.

#### 367 3.2.1 Microwave (MW) treatment

Microwaves are electromagnetic waves with frequency within 300 MHz to 300 GHz 368 369 (Chandrasekaran, Ramanathan, & Basak, 2013). Microwave inactivation of microorganisms is 370 achieved at temperatures lower than that of conventional pasteurization, however, many studies 371 suggest that microwaves inactivate microbes mainly by a thermal effect, including irreversible 372 heat-denaturation of enzymes, proteins, nucleic acids or other cellular constituents, leading 373 to cell death. a second possible mode of action are non-thermal ("athermal") effects, caused by 374 the intrinsic nature of microwaves and not related to increase of the temperature during the MW treatment (Heddleson & Doores, 1993). It was found that higher microbial reduction 375

levels of microwave treatment are achieved in presence of other stresses, such as acidic pH or
increased temperature (Kozempel, Annous, Cook, Scullen, & Whiting, 1998). Kozempel et al.
(1998) emphasizes that efficacy of using microwave energy for microbial inactivation depends
on the type of microorganism-food system.

The number of studies investigating microwave treatment for inactivation of microorganisms associated with cereal grains is limited. Reddy, Raghavan, Kushalappa, & Paulitz (1998) successfully reduced the seedborne *F. graminearum* infection of wheat to below 7%, maintaining the commercially acceptable seed germination threshold, i.e. 85%. It was observed that seed viability and seedling vigour decreased after the microwave treatment. Microwave energy can also be used for control of stored-grain insects (Vadivambal, Jayas, & White, 2007).

# 386 3.2.2 Pulsed ultraviolet (UV) light treatment

387 Pulsed UV light treatment is an emerging non-thermal technology that can be used both for 388 decontamination of foods and food contact surfaces. It involves the use of short-duration, high-389 power pulses of a broad spectrum of white light from the ultraviolet (UV), which makes 50% 390 of the total spectrum, to the near infrared region (Keklik, Krishnamurthy, & Demirci, 2012). 391 Pulsed UV light is considered to be more efficient in microbial inactivation than continuous 392 UV light, offering a safer and faster decontamination (Krishnamurthy, Tewari, Irudayaraj, & 393 Demirci, 2010). Microbial inactivation by UV light, which can be classified into 4 spectrum 394 regions, is primarily due to DNA structure alternation. UV-C light, with the peak of maximum 395 effectiveness at wavelengths of about 260-265 nm what corresponds with the peak of 396 maximum DNA absorption, is the most effective for inactivating microorganisms. Formation 397 of cyclobutane pyrimidine dimers during UV light treatment leads to mutagenesis and cell 398 death (Gayán, Condón, & Álvarez, 2014). Although the technology is able to kill vegetative 399 cells and bacterial spores, as well as fungal spores and viruses, it has not been applied yet at 400 industrial scale in food processing (Keklik et al., 2012; Ortega – Rivas, 2012).

401 Although it is believed that pulsed UV light is not an adequate technology for cereals due 402 to their rough and uneven surfaces (Oms-oliu, Martín-belloso, & Soliva-fortuny, 2010), 403 the antimicrobial efficacy of this technology against microorganisms occurring on stored cereal 404 grains has been demonstrated. Maftei, Ramos-villarroel, Nicolau, Mart, & Soliva-fortuny 405 (2013) studied the potential of pulsed light technology for the decontamination of naturally 406 occurring molds on wheat grains and achieved a reduction of about 4 log CFU/g, with the seed 407 germination percentage slightly decreased. It was also found that the initial mold load of grains 408 is an important factor for the treatment efficacy.

## 409 3.2.3 Non-thermal (cold) plasma

Plasma, considered as a fourth state of matter, is a partially or fully-ionized gas. The terminology "cold" or "nonthermal" describing plasmas refers to the physical parameter. As compared to thermal plasmas generated at high temperatures, cold plasmas are generated at or near room temperature, therefore, mechanism of microbial inactivation does not rely on thermal destruction of microorganisms. As a nonthermal process, cold plasma causes little or no thermal damage to the food product after treatment (Niemira, 2012; Niemira, Boyd, & Sites, 2014).

Cold plasma can be generated at atmospheric as well as low pressure and consists of UV photons, neutral or excited atoms and molecules, negative and positive ions, free radicals and free electrons. The technique has recently found an extensive range of applications for microbiological decontamination due to chemical and bioactive radicals generated during electrical discharge, including reactive oxygen species (ROS) and reactive nitrogen species (RNS) (Laroussi & Leipold, 2004; Scholtz et al., 2015).

423 Application of cold plasma for decontamination of cereal grains has been studied recently.
424 Selcuk, Oksuz, and Basaran (2008) studied the low pressure cold plasma inactivation of two
425 pathogenic fungi, *Aspergillus* spp. and *Penicillum* spp. artificially inoculated on surface of

426 various seeds, including wheat, barley, rye and corn. Within 15 min of plasma treatment 427 the fungal attachment to seeds was reduced below 1% of initial load. The treatment efficacy 428 was dependent on the initial contamination level of the seeds. In the study, the germination 429 quality of the seeds remained unaffected after the treatment. Filatova et al. (2013) used RF air plasma for treatment of maize, spring wheat and lupinus seeds. The results showed that 430 431 the treatment reduced both bacterial and fungal contamination of tested seeds, as well as 432 positively influenced their germination. Kordas, Pusz, Czapka, & Kacprzyk (2015) 433 investigated the effect of "packed bed" low temperature plasma on fungi colonizing winter 434 wheat grain. It was found that plasma treatment resulted in the reduction of the number of 435 colonies of fungi on grains, however, the reduction varied heavily for the fungal species 436 examined. Brasoveanu, Nemtanu, Surdu-Bob, Karaca, & Erper (2015) applied glow discharge 437 plasma to barley and corn seeds to reduce the number of seed-borne fungi and found that 438 the fungal loads decreased with the increasing plasma treatment times. After 20 min treatment 439 the initial number of fungi was decreased by 25% for barley seeds. In the same study, treatment 440 of 10 min reduced the fungal load on corn seeds by 40%. In different study, wheat grains 441 artificially contaminated with Bacillus amyloliquefaciens endospores, were treated using low 442 pressure circulating fluidized bed reactor. Within 30 s of treatment, the reduction by over two 443 logarithmic units was achieved (Butscher et al., 2015). Butscher, Zimmermann, Schuppler, & 444 Rudolf von Rohr (2016) investigated the inactivation of Geobacillus stearothermophilus 445 endospores deposited on either polypropylene substrates or wheat grains. It was observed that 446 endospore inactivation is possible on wheat grains, however, it is much more challenging than 447 the treatment of PP granules, possibly due to a grain anatomy - a rough surface and a deep 448 ventral furrow. Cold plasma effect on various microorganisms on wheat seeds has also been 449 investigated by Zahoranová et al. (2016). Treatment of 120 s reduced the natural microflora of 450 wheat seeds - reduction of 1 log CFU/g was achieved for bacteria, while yeasts and filamentous fungi were completely inactivated. Inactivation levels of wheat seeds artificially contaminated with filamentous fungi (*F. nivale, F. culmorum, T. roseum, A. flavus* and *A. clavatus*) were hugely dependent on the fungal type, with *Aspergillus* spp. as the most resistant to the treatment. Dasan, Boyaci, & Mutlu (2017) achieved significant reductions of 5.48 and 5.20 log (CFU/g) of *A. flavus* and *A. parasiticus* inoculated on maize after 5 min air plasma treatment, as well as more than 3 log reduction after 3 min of native microbial flora of maize grains.

Except of cereal grains, cold plasma has been also used for decontamination of grain-like
granular particles (Basaran et al., 2008; Dasan et al., 2017; Dasan, Boyaci, & Mutlu, 2016;
Deng et al., 2006; Hertwig et al., 2015a; Hertwig, Reineke, Ehlbeck, Knorr, et al., 2015b;
Vleugels et al., 2005) and bacterial contaminants in grain model media (Los, Ziuzina, Boehm,
Cullen, & Bourke, 2017).

#### 463 **3.2.4 Organic acids**

Organic acids are used as food additives and preservatives due to the reduction of 464 465 the environmental pH, what prevents food deterioration (Ölmez & Kretzschmar, 466 2009). Addition of organic acids such as propionic, sorbic and acetic acids, as well as their 467 salts, prevent the mold spoilage of bakery products, however, relatively high concentrations 468 are needed due to low efficacy (Magan & Aldred, 2006). Organic acids can also be used for 469 grain preservation. Sabillon, Stratton, Rose, Flores, & Bianchini (2016) evaluated the efficacy 470 of adding organic acids (acetic, citric, lactic, or propionic) or combination of organic acids and 471 NaCl added to tempering water to reduce microbial contamination in hard wheat and noted a significant reduction of microbial load after the treatment. It was reported that 472 473 the combination lactic acid (5.0%) and NaCl (52%) was the most effective against aerobic plate 474 count (APC) and Enterobacteriaceae (Eb), achieving an average reduction of 4.3 and 4.7 log CFU/g, respectively. In a further study, the impact of tempering solutions on 475

the functional properties of resulting whole-grain (WGF) and straight-grade flours (SGF) was evaluated and it was found that tested solutions had different effects on the properties of each type of flour. While tempering solutions did not have significant overall effects on pasting or mixing properties of SGF, treatment of WGF resulted in a numeric decrease in several pasting parameters and an increase in bread grittiness, indicating limited penetration of the organic acids into the endosperm of the grain (Sabillon, Bianchini, Stratton, & Rose, 2017).

#### 482 Conclusions

483 Potential microbiological risks associated with cereal grains remain a major concern of 484 the grain industry as they may hugely affect the quality and properties of the grains. Current 485 technologies applied for control of microbial spoilage of cereals successfully reduce 486 the microbial load, however, they can negatively affect the quality and technological properties 487 of cereals, as well as generate harmful environmental impacts. In order to overcome 488 the limitations of conventional technologies, recent works have been focused on developing 489 new techniques, such as microwave treatment, pulsed UV light, cold plasma and organic acids, 490 that can be used for microbial decontamination of cereals. Further studies are needed to ensure 491 that these potential technologies could provide an efficient microbial inactivation and rapid, 492 uniform treatment, whilst at the same time do not affect the grains quality. Prevention of 493 contamination with fungi is the most rational and economical approach to reduce the risks 494 associated with the presence of mycotoxins in cereal food and feed products. However, in 495 current production systems even the best agricultural and manufacturing practices cannot fully 496 prevent mycotoxin contamination, therefore, potential technologies will need to be used for 497 degradation and elimination of these toxic metabolites.

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Method/technology	Description	Limitations	References
Pesticides	Chemicals designed	high environmental	Liu et al. (2014);
	to prevent and control	impacts	Jess et al. (2014);
	the occurrence of pests	• direct negative impact	Aktar et al. (2009)
	causing harm to crops	on human health	
	- molds (fungicides),	• increasing resistance	
	weeds (herbicides) and	against pesticides	
	insects (insecticides)		
Drying	Grains are dried to	• lack of uniformity of	Varga et al.
	a low moisture content	the process	(2010); Magan and
		• over-drying may	Aldred, 2006
		damage the grains and	
		cause economic losses	
		as well as increase	
		mycotoxin	
		contamination	
Debranning	Process during which	• not completely	Laca et al. (2006);
	the bran layers are	suitable for wheat due	Dexter and Wood
	removed from	to the crease on	(1996)
	the endosperm by	the wheat kernels	
	friction and abrasion		

Table 1-Current methods and technologies used for cereal grains preservation.

		• whole-grain demand	
		in the market	
Chlorine and	Due to their oxidizing	• low inactivation of	Delaquis and Bach
hypochlorite	capacity, chlorine and	fungal spores on	(2012); Virto et al.
	hypochlorite	cereal grains	(2005);
	treatments are one of	• generation of toxic	Andrews et al.
	the most widely used	by-products after	(1995); Sauer and
	processes for	the treatment	Burroughs (1986)
	microbial control		
Irradiation	Exposing food to	• can negatively modify	Lung et al. (2015);
	a certain amount of	the quality and	Farkas et al.
	ionizing radiation	technological	(2014);
		properties of cereals	Lynch et al. (2009)
		and cereal products	
Ozone	Triatomic oxygen	• the cost of treatment	Greene et al.
	formed by addition of	can be relatively high	(2012);
	a free radical of	due to complex	Environmental
	oxygen to molecular	technology	Protection Agency
	oxygen		[EPA] (1999)

Method/technology	Description	Limitations	References
Microwave (MW)	Electromagnetic •	seed viability and	Chandrasekaran et al.
treatment	waves with	seedling vigour can	(2013);
	frequency within 300	be decreased after	Reddy et al. (1998);
	MHz to 300 GHz;	the treatment	Kozempel et al.
	microbial .	higher microbial	(1998); Heddleson
	inactivation based	reduction levels in	and Doores (1993)
	mainly on thermal	presence of other	
	effect	stresses, such as	
		acidic pH or	
		increased	
		temperature	
Pulsed UV light	Short-duration, high-	low ability	Barbosa-Canovas,
	power pulses of	to penetrate grains	Schaffner, Pierson,
	a broad spectrum of	because of their	and Zhang (2000);
	white light from	irregular and	Maftei et al. (2013);
	the UV (50% of	complex surface	Keklik et al. (2012);
	the spectrum),	can decrease	Keklik et al. (2010)
	to the near infrared	germination rate of	
	region	the seeds	
Non-thermal (cold)	Partially ionized gas	efficiency of	Schlüter et al.
plasma	consisting of highly	the method depends	(2013); Niemira
		on the specific	(2012)

Table 2-Potential methods and technologies for cereal grains preservation.

		es of	propertie	mical	reactive chemical	
		product and	the food		species	
		ce	its surfac			
on et al.	Sabillon e	ease	can incre	al agents	Antimicrobial age	Organic acids
);	(2017);	e content and	moisture	eduction	due to the reduction	
on et al.	Sabillon e	e	penetrate	onmental	of the environmen	
); Ölmez and	(2016); Ö	endosperm	into the e		pН	
schmar (2009	Kretzschn	5	of grains			
	Kretz	3	of grains			

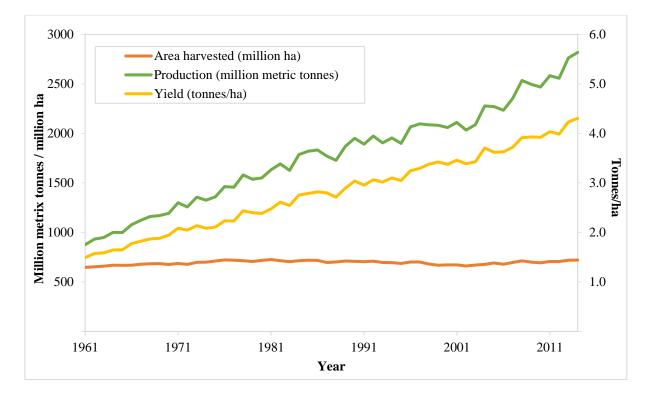


Figure 1-Worldwide production and yields of cereals in 1961 - 2014 (FAO, 2017).

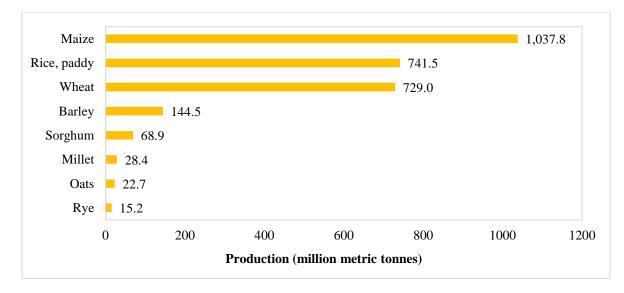


Figure 2-Most commonly cultivated cereal grains in 2014, by type (FAO, 2017).

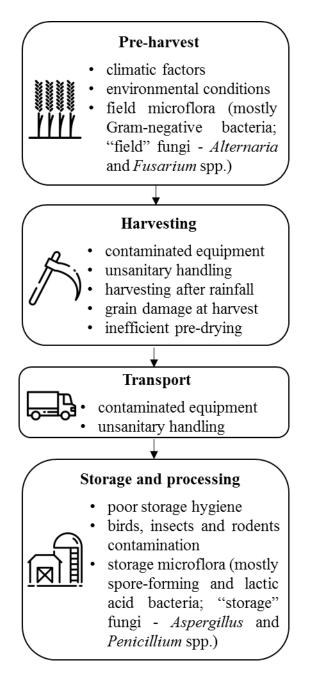


Figure 3-Sources and factors of microbial contamination during cereal grain processing.

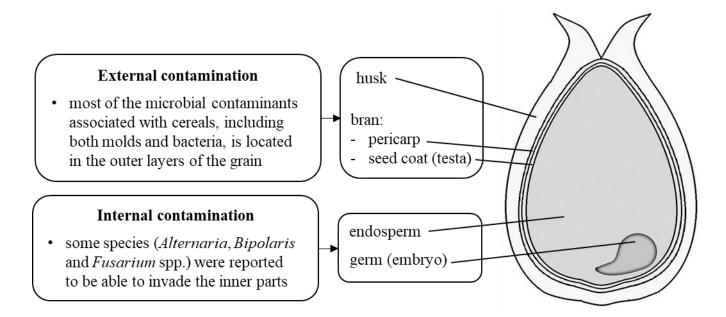


Figure 4-Microbial contamination within a cereal grain.