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## Effects of Metal Compounds With Distinct Physicochemical Properties on Iron Homeostasis and Anti-Bacterial Activity in the Lungs: Cr and V

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### Abstract

*In situ* reactions of metal ions or their compounds are important mechanisms by which particles alter lung immune responses. We hypothesized that major determinants of the immunomodulatory effect of any metal include its redox behavior/properties, oxidation state, and/or solubility, and that the toxicities arising from differences in physicochemical parameters are manifest, in part, via differential shifts in lung iron (Fe) homeostasis. To test the hypotheses, immunomodulatory potentials for both penta-valent vanadium ( $V^V$ ; as soluble metavanadate or insoluble vanadium pentoxide) and hexavalent chromium ( $Cr^{VI}$ ; as soluble sodium chromate or insoluble calcium chromate) were quantified in rats after inhalation (5 hr/d for 5 d) of each at 100  $\mu\text{g}$  metal/ $\text{m}^3$ . Differences in effects on local bacterial resistance between the two  $V^V$ , and between each  $Cr^{VI}$ , agents suggested that solubility might be a determinant of *in situ* immunotoxicity. For the soluble forms,  $V^V$  had a greater impact on resistance than  $Cr^{VI}$ , indicating that redox behavior/properties was likely also a determinant. The soluble  $V^V$  agent was the strongest immunomodulant. Regarding Fe homeostasis, both  $V^V$  agents had dramatic effects on airway Fe levels. Both also impacted local immune/airway epithelial cell Fe levels in there were significant increases in production of select cytokines/chemokines). Our findings contribute to a better understanding of the role that metal compound properties play in respiratory disease pathogenesis and provide a rationale for differing pulmonary immunotoxicities of commonly-encountered ambient metal pollutants.

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## Keywords

vanadium; chromium; iron; transferrin; ferritin; *Listeria*; pulmonary; immunomodulation

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## Introduction

Select metal ions or their compounds in polluted air may be responsible for development of respiratory diseases (EPA, 2004). Local cell populations in the lung are exposed to many of these metals that, in turn, can trigger a variety of biological effects involved in disease pathogenesis. For example, modifications of alveolar macrophage [PAM] or neutrophil [PMN] properties could lead to impaired immunocompetence and, ultimately, increases in infectious disease (Cohen et al., 2000; Cohen, 2004). Many *in vivo* and *in vitro* studies have addressed the extent and the means by which individual metals induce these effects (reviewed in Cohen, 2004, 2005). While those studies showed that dose was a determinant in the extent of immunomodulation, the potential for effects also depended on the agent itself. This suggested that inherent physicochemical properties of the metals or their complexes (i.e., solubility, redox behavior/properties, valency, electrophilicity, structural geometry, or hydrolytic activity) could determine *in vitro/in situ* toxicities.

Solubility of a metal agent depends on molecule size, ligand type, charge, and nuclearity. Historically, most inhalation studies with metals have generally investigated only soluble compounds. Several studies have reported that solubility can have a dramatic effect on the biological effect and toxicity of a metal (i.e., Cohen et al., 1997, 1998; Tanaka, 2004). Similarly, redox behavior and valency are also likely to impact toxicities of metals that can exist in several oxidation states. Though the fundamental difference between an  $M^n$  and an  $M^{n+1}$  or  $M^{n-1}$  valence state is only an electron, effects on properties are profound (McCleverty and Meyer, 2004). The availability of ligands can also affect which valence state predominates. Metals change valence (i.e. redox behavior) during shuttling or unidirectional processes; the latter are abundant in biology as metals have one preferred valence. The oxidation number (valency) also determines types of coordinating ligands, solubility, extra-/intracellular reactivity, and means of cell entry.

In defining immunotoxic potentials of any inhaled metal agent, evaluating changes in lung immune cell functions is essential. For example, changes in phagocytosis, intracellular killing, reactive oxygen intermediate (ROI)/nitric oxide production, and cytokine/chemokine formation will impact on the incidence or severity of infections in an exposed host's lungs. Still, rather than solely pursuing a traditional approach to define which physicochemical property of a metal agent affects one or more of these functions, our recent research has also begun to examine the extent to which an induced altered iron homeostasis (AIH) in the lungs (which can lead to altered host resistance and immune cell function) is also related to a metal agent's properties. During AIH, modifications in iron ( $Fe^{3+}$ ) transport functions (via either oxidation/reduction events or competition with Fe for carrier protein binding) lead to changes in Fe delivery/uptake by immune (and epithelial) cells (see review by Ghio and Cohen, 2005).

In the airways and lung cells, iron (as  $\text{Fe}^{3+}$ ) is sequestered primarily in ferritin to limit generation of free radicals. As Fe in the airways must first be transported across the cell membrane prior to sequestration in ferritin, functional Fe-carrier proteins, i.e., transferrin (Tf) and lactoferrin (Lf) are critical (Ward et al., 2005). Like ferritin, both Tf and Lf are present in lining fluid; however, the Fe-carrying capacity of Lf is limited (compared to Tf) due to its lower levels (Ghio et al., 1999). There are also alternate means of Fe transport into lung cells (e.g., anion exchange proteins, divalent metal transporters, etc.). Should a metal agent affect Fe transport at any/all of these levels, this could lead to AIH in the lung and, accordingly, decreased Fe being delivered to local immune cells as well as an increased presence of free (available) catalytically-active Fe in the airways.

We tested here the hypotheses that metal compounds that differ in redox behaviors and solubilities (1) induce distinct effects on pulmonary immune responses and (2) cause concurrent shifts in Fe homeostasis in the lungs that might contribute to changes in resistance to infection. Among metals routinely found at significant levels in urban atmospheres (as well as defined occupational settings), vanadium (V) and chromium (Cr) have been among the most studied for pulmonary immunotoxicologic effects *in vivo* and *in vitro*. By examining the extent to which physicochemical properties of these metals might influence toxicities in the lung in general, and in Fe homeostasis in particular, these studies contribute to understanding the role that compound properties play in respiratory disease pathogenesis, provided a rationale for differing pulmonary immunotoxicities of commonly-encountered ambient metal pollutants, and yielded clues that may lead to a better appreciation for potential reactions of metals in living systems.

## Materials and Methods

### Experimental Animals

Ten-week-old pathogen-free male F344 rats ( $\approx$  225 g, Charles River, Wilmington, MA) were used in all exposures. On arrival, rats were quarantined 2 wk and then housed individually in plastic cages in temperature (20°C)- and humidity (50% RH)-controlled rooms, and provided Purina Rodent Chow and water *ad libitum*. Rats underwent routine clinical screening under veterinary supervision prior to initiation of exposures. All facilities and experimental protocols were approved by the NYU Medical Committee on Animal Care and Use.

For each study, rats were exposed to each agent or filtered air for 5 hr/d on five consecutive days. Twenty-four hr after the final exposure, cohorts of rats in each regimen were either euthanized to permit assessment of several pre-infection endpoints or infected with bacteria to subsequently determine any induced shifts in antibacterial functions in the lungs.

### Chemical Agents

To analyze effects of soluble vs. an insoluble pentavalent V ( $\text{V}^{\text{V}}$ ) agents, sodium metavanadate ( $\text{NaVO}_3$ ) and vanadium pentoxide ( $\text{V}_2\text{O}_5$ ) were employed. To analyze effects from soluble vs. insoluble hexavalent Cr ( $\text{Cr}^{\text{VI}}$ ) agents, sodium chromate ( $\text{Na}_2\text{CrO}_4$ ) and calcium chromate ( $\text{CaCrO}_4$ ) were used. Solubility values were: 0.70 g/L (in  $\text{H}_2\text{O}$  at 25°C; NTP,

2002) for  $V_2O_5$ ; 211 g/L for  $NaVO_3$ ; 0.11 g/L (in  $H_2O$  at 25°C; Katz and Salem, 1993) for  $CaCrO_4$ ; and, 843 g/L (Dean, 1999) for  $Na_2CrO_4$ . All compounds were purchased from Sigma (St. Louis, MO).

### Generation and Characterization of Exposure Atmospheres and Exposure System

Atmospheres of each soluble agent were generated by nebulizing a dilute solution (pH 7.2–7.4) via a Collision nebulizer (BGI, Waltham, MA) as described previously (Cohen et al., 2006, 2007). Insoluble Cr particle atmospheres were similarly generated using  $CaCrO_4$  bought in the appropriate size; insoluble  $V_2O_5$  atmospheres were generated via a Wright dust feeder. As  $V_2O_5$  was not placed in water to facilitate nebulization, the majority of material reaching each rat's nose was  $V_2O_5$  and not a mixture of  $V_2O_5$  and associated vanadates (data not shown).

Each aerosol was mixed with filtered air and directly introduced into the radial, flow-past design nose-only exposure system. Because of the design, all particles reaching the nose of rats only differed in parent chemical composition and not in any overt way due to size, moisture content, or agglomeration. Target concentration was 100  $\mu\text{g metal/m}^3$ ; if needed, subsequent exposures atmospheres down to 0.001  $\mu\text{g/m}^3$  would be employed. This range encompassed metal levels representative of those measured in urban air (Cohen et al., 2007; Doherty et al., 2007; Prophete et al., 2006). Aerodynamic size distribution of each aerosol was confirmed via 8-stage multiple orifice impactors (MSP, St. Paul, MN) after exposure (due to flow requirements). Mass concentration was assessed during exposure from particles collected on 47 mm filters (Type FG, 0.2  $\mu\text{m}$  pore, Millipore, Bedford, MA). Rats were housed in plastic restraint tubes during each exposure; earlier work has shown the rats do not undergo undue stress under these conditions. Delivery of aerosol to each port was highly reproducible within and between groups (a 2% CV).

### Studies of Pre-Infection Status of BAL Total Iron and Iron-Binding Protein(s) Levels

One day after the final exposure (this timepoint = 24 hr following the final exposure, and heretofore called Day 0), five rats/regimen were euthanized by pentobarbital (Nembutal; 100 mg/kg, IP) overdose and their lungs processed (without lavaging) for metal analyses; another five had their lungs lavaged to obtain concentrated BAL and free (immune) cells. Each of the latter rats had its trachea cannulated and lungs lavaged once with 7 ml warm (37°C) PBS/instillation (4–5 infusion-recovery exchanges). This first BAL was centrifuged (400  $\times$  g, 15 min, 4°C) and the resulting acellular supernatant was frozen at  $-70^\circ\text{C}$  for later use in measures of the products detailed below. The lungs were then lavaged six more times to maximize recovery of immune cells. These lavages were pooled and centrifuged to recover cells present. This cell pellet was combined with that of the first BAL preparation, washed with PBS, and characterized by differential staining with Diff-Quick (Sigma) and assessed for viability by trypan blue exclusion.

### Assessment of Lung Metal Burden

NIEHS Center Analytical Core procedures used earlier (Cohen et al., 2006, 2007) were applied to determine the amount of each metal in the lungs at Day 0 and at 72 hr post-infection. Each final isolate was analyzed using inductively coupled plasma optical emission

spectroscopy (ICPOES-Model Optima 4300-DV; Perkin Elmer, Norwalk, CT). All materials used were reagent grade. All standards were made up in ultrapure water. Standard curves consisted of 6-point calibration with a standard blank to assure accurate baselines.

### Assessment of BAL Total Iron Burden

Aliquots of BAL recovered on Day 0 (i.e., pre-infection) were analyzed using ICPOES operating at 238.204 nm. Minimal detectable Fe in this system was less than 1 ppb.

### Assessment of BAL Ferritin and Transferrin

BAL recovered on Day 0 (i.e., pre-infection) was analyzed for total ferritin using an ELISA kit (R&D Systems, Minneapolis, MN). Total Tf levels in BAL samples were determined using an immunoprecipitin analysis kit (INCSTAR, Stillwater, MN).

### Assessment of BAL TNF $\alpha$ , MIP-2, and MCP-1 Levels

BAL recovered on Day 0 (i.e., pre-infection) was analyzed for total tumor necrosis factor (TNF)- $\alpha$ , macrophage inflammatory protein [MIP]-2, and monocyte chemoattractant protein [MCP]-1 levels using ELISA kits (R&D Systems). Additional ELISAs were performed to measure levels of interleukin (IL)-6, -10, and -12 in the BAL aliquots (R&D Systems).

### Host Resistance/*In Situ* Bacterial (Listerial) Clearance After Agent Exposure

Gram-positive *Listeria monocytogenes* (strain L242/73 Type 4b) was used for assessing changes in *in situ* antibacterial responses. *Listeria* was grown 16 hr in trypticase soy (TS) broth at 37°C, its concentration was determined spectrophotometrically at 540 nm, and an aliquot then diluted with saline to the needed concentration for intratracheal instillation (110  $\mu$ l/rat) under light halothane anesthesia. Extrapolation to predict *Listeria* concentration is known to be within 90% of predicted values (Cohen et al., 2002, 2006).

A day after their final exposure, 10 metal- and 5 air-exposed controls rats were infected with  $4 \times 10^6$  bacteria/rat (LD<sub>10</sub> in F344 rats of this age). Six naïve rats were also infected; three were analyzed immediately to establish baseline bacterial burdens and the rest 72 hr later to monitor virulence. At 72 hr post-infection, each infected rat was euthanized and its lungs isolated *en bloc*; the trachea and extrapulmonary bronchi were removed and the tissue weighed and processed for estimation of listerial burden (i.e., homogenization and plating of serial dilutions on TS agar/0.6% yeast extract plates for 24 hr incubation at 37°C). The remaining homogenate was placed at 4°C for later use in determining lung metal burden at sacrifice. Differences in total *Listeria*/lung (vs. control rat lung values) at 72 hr were used as an index of modulated resistance.

### Data Analysis

Effects from each agent on each test endpoint were analyzed by one-way ANOVA (analysis of variance) with the individual factor being the exposure group (air or metal agent). All data were tested to assure assumptions of normality and homogeneity of variance were met, and transformations applied as needed. Data were also screened for outliers using Dixon and

Grubb's analyses (Taylor, 1990). Significant effects were also sub-tested using t-tests corrected for multiple comparisons. Outcomes were considered significant at  $p < 0.05$ .

## Results

### Lung Metal Burdens after Exposures to the Cr or V Compounds

Analyses of filter samples collected over the 5-d exposure period indicated that rats provided  $V_2O_5$  received an equivalent amount of  $V^V$  relative to  $NaVO_3$ -exposed rats (Table 1). Rats provided  $CaCrO_4$  received slightly more  $Cr^{VI}$  than those exposed to  $Na_2CrO_4$ . Mass median aerodynamic diameters [MMAD] of each agent's particles were consistent during the studies.

On Days 0 and 3 of infection, rats in each group were analyzed for lung metal burdens. On Day 0, rats exposed to  $V_2O_5$  had a 7.0-fold higher lung V content than rats in the  $NaVO_3$  group (Figure 1). On Day 3,  $V_2O_5$ -exposed rats had levels 7.6-fold greater than  $NaVO_3$ -exposed hosts. Net changes in V levels (reflecting retention over 3 d period) were very similar between the groups (i.e., average change 52–56%).  $CaCrO_4$ -Exposed rats had a higher (96%) lung Cr content on Day 0 than  $Na_2CrO_4$ -treated rats. After 3 d of infection, Cr levels in the lungs of rats in the  $CaCrO_4$  group were still significantly greater (108%) than in rats that inhaled  $Na_2CrO_4$ . As with the V agents, retention of Cr was similar between the groups (i.e., 48–52%).

For the air-exposed control rats, average lung V levels never were at/above the minimal detectable limit of the detection system (i.e.,  $\approx 1$  ppb). In contrast, levels of Cr in the lungs of these rats were measurable, but quite low, and did not differ between Days 0 and 3; average Cr levels in these lungs were  $\approx 55$  ng ( $\pm \approx 26$  [SD]).

### BAL Total Iron (Fe) Burden as a Function of the Metal Compound

BAL Fe levels were assessed on Day 0 to determine compound-related effects on airway Fe content at time of infection. Studies on Day 3 were not done due to potential effects from the bacteria itself. Among Day 0 rats, exposure to either  $V^V$  agent caused significant increases in BAL Fe levels (Figure 2);  $NaVO_3$  and  $V_2O_5$  led to, respectively, 82 and 72% higher values than in air controls. While the soluble  $Cr^{VI}$  agent also had a significant effect on BAL Fe levels (36% rise), the effect from its insoluble counterpart did not (31% rise,  $p = 0.07$ ). Comparisons between the soluble agents indicated that the  $V^V$  effect was significantly greater (33%) than that of  $Cr^{VI}$ . The effect from insoluble  $V^V$  was also significantly greater (31%) than that from insoluble  $Cr^{VI}$ .

### BAL Ferritin and Transferrin Levels as Function of the Metal Compound

To assess any compound-related differences in the pre-infection airway presence of the Fe-related proteins transferrin (Tf) and ferritin, levels of each were assessed in the BAL from rats on Day 0. Each insoluble  $V^V$  and  $Cr^{VI}$  agent caused significant increases in Tf levels (47 and 53%, respectively) compared to those in control rats (Figure 3); neither soluble form induced significant increases. Comparisons between the soluble agents indicated the  $V^V$ -induced level was significantly greater (119%) than that from  $Cr^{VI}$ . The level of Tf after



insoluble V<sup>V</sup> was not different from insoluble Cr<sup>VI</sup>. Thus, with respect to effects upon lung Tf, the role of solubility was found to be significant for these two metals.

Effects of the metal agents on BAL ferritin levels trended somewhat differently, i.e., with the Cr<sup>VI</sup> agents, there were consistent (albeit not significant) decrements relative to control rat values (Figure 3). In contrast, NaVO<sub>3</sub> and V<sub>2</sub>O<sub>5</sub> caused significant respective 133 and 75% increases in the amounts of ferritin present. Analyses of the data among each metal compound indicated that each V<sup>V</sup> effect was significantly different from that due to its corresponding Cr<sup>VI</sup> counterpart. Specifically, values attributable to NaVO<sub>3</sub> and V<sub>2</sub>O<sub>5</sub> were 237 and 138% greater than those associated with Na<sub>2</sub>CrO<sub>4</sub> and Na<sub>2</sub>CrO<sub>4</sub>, respectively.

### **BAL TNF $\alpha$ , MIP-2, and MCP-1 Levels as Function of the Metal Compound**

To assess any compound-related differences in pre-infection amounts of select cytokines and/or chemokines critical to antibacterial responses against *Listeria*, airway levels of TNF $\alpha$ , MIP-2, MCP-1, IL-6, -10, and -12 were assessed in BAL from rats on Day 0. In these rats, it was seen that levels of each interleukin were consistently below kit levels of detection. In contrast, measurable levels of MIP-2 and TNF $\alpha$  were seen in the majority of rat samples.

In conjunction with their significant effects on airway Fe levels, both NaVO<sub>3</sub> and V<sub>2</sub>O<sub>5</sub> exposures caused significant increases in BAL TNF $\alpha$  (4.5- and 5.3-fold, respectively) and MIP-2 (1.9- and 2.5-fold, respectively) relative to air control levels (Figure 4). The data also indicate that for V<sup>V</sup>, the role of solubility with regard to MIP-2 induction was significant. Unexpectedly, the Cr<sup>VI</sup> exposures led to no change in TNF $\alpha$  and MIP-2 levels. It is interesting to note that the V<sup>V</sup> agents were the only ones that induced measurable amounts of MCP-1 (data not shown).

### **Pre-Infection Lung Immune Cell Profiles as Function of the Metal Compound**

Day 0 BAL immune cell profiles were examined. The results indicated that while each Cr<sup>VI</sup> agent caused decreases in AM percentages relative to control (and V<sup>V</sup> counterpart) levels, only the Na<sub>2</sub>CrO<sub>4</sub>-induced reduction was significant (i.e., 88% vs. 94–96%). In all Cr<sup>VI</sup>-exposed rats, PMN levels were also increased; here, only CaCrO<sub>4</sub> yielded values significantly different from controls (i.e., 1.92% vs. 0.77%). Oddly, though the CaCrO<sub>4</sub>-treated rats had the highest PMN levels, their AM levels did not significantly differ from the controls. In contrast to the Cr<sup>VI</sup> effects, rats exposed to either V<sup>V</sup> agent had the lowest PMN levels (i.e., 0.08–0.22%). As a function of solubility, significant differences were only found to occur between the two Cr<sup>VI</sup> forms.

### **Lung *Listeria* Burdens (3-Day Post-Infection) as Function of the Metal Compound**

Following the 5 d of exposures and subsequent infection with *Listeria*, rats in each group were assessed for bacterial burdens at 72 hr post-infection. All data were then compared to burdens in the lungs of air-exposed infected rats to determine if a particular metal compound induced significant immunomodulation. In no cases were significant differences in mortality over the 72 hr period or in body weight due to pre-infection regimen detected between the air- or rats treated with metal compounds.

While exposures to either  $V^V$  agent caused no significant effects on *Listeria* burdens in the first 48 hr post-infection (Cohen et al., 2006), at 72 hr it became clear that soluble  $NaVO_3$  had caused greatly reduced pathogen clearance (Figure 5). These rats had significantly greater (435%) total lung *Listeria* burdens than air controls; this percent change was also significantly different from that due to soluble  $Cr^{VI}$ . At  $10 \mu g V/m^3$ , the effect was lost. Exposure to insoluble  $V_2O_5$  led to a non-significant 24% decrease in *Listeria* levels; this outcome significantly differed from that of soluble  $NaVO_3$ . Corresponding patterns were apparent when data were analyzed in the context of lung weight at sacrifice (data not shown). These analyses accounted for changes in lung size reflecting increased mass due to bacterial presence, edema, immune cell migration, etc.

Neither  $Na_2CrO_4$  nor  $CaCrO_4$  caused any significant effect on bacterial burdens the first 48 hr post-infection (Cohen et al., 2007). However, each did induce significant reductions in pathogen clearance by 72 hr. Rats that received the insoluble  $Cr^{VI}$  displayed a significantly (i.e., 286%) greater total lung burden of *Listeria* compared to air control counterparts. These levels were also significantly greater than those in the soluble  $Cr^{VI}$ -exposed rats that displayed a 92% increase compared to controls. Neither agent retained its effect at a dose of  $10 \mu g Cr/m^3$ . Again, corresponding patterns were apparent when data were analyzed in the context of lung weight at sacrifice.

### Estimation of Relative Immunomodulatory Potential of Each Metal Compound

Analyses for each rat of the percentage change in bacterial burden at 72 hr (as either total burden or burden/g lung compared to that in infected control counterparts) in the context of the amount of Cr or V present in the lungs yielded data that permitted estimates of the relative immunomodulatory potentials of each agent (Figure 6).

For reasons outlined previously (Cohen et al., 2006, 2007), we found that use of Day 3 lung Cr or V burdens as a factor in assessing each agent's relative immunomodulatory potential was unreliable. Specifically, we arrived at that conclusion because there: (A) appeared to be a weak negative correlation between lung Cr or V burden on Day 3 and relative change in *Listeria* burden, bacterial burden/g lung, or lung weight on Day 3; (B) was a correlation between the extent of infection and lung weight; and, (C) was an increase in the loss of Cr or V as the mass of/damage to the lung during the infection-to-resolution process. In contrast, if the initial burden (i.e., ng V or Cr on Day 0) was used as a predictor for ultimate change in host resistance to the *Listeria* challenge, very clear patterns of potential immunomodulation become apparent.

When the percentage change in bacterial burdens from air control levels were estimated in the context of pre-infection burdens of each metal, the results clearly showed that soluble  $NaVO_3$  had the greatest effect on resistance of all four compounds tested. Among the remaining three agents, insoluble  $CaCrO_4$  had a greater effect upon resistance (at a per ng pre-infection Cr burden) than soluble  $Na_2CrO_4$  as well as a significantly greater effect than insoluble  $V_2O_5$ . From this data, it seems likely that certain attributes of  $NaVO_3$  contribute to the strong immunotoxic potency of this metal compound (as a potential model for other soluble  $V^V$  agents) in the lungs.

## Discussion

We hypothesized that physicochemical properties are likely determinants of the immunomodulatory potentials of metal agents in the lung and that the extent to which each metal could induce altered iron homeostasis (AIH) was a factor contributing to altered responses to bacteria. Using soluble and insoluble forms of vanadium and chromium in their commonly-encountered oxidation states (i.e., V<sup>V</sup> and Cr<sup>VI</sup>), we sought to ascertain the extent to which solubility and redox behavior/properties might govern each agent's modulating potential and ability to induce AIH.

### Solubility as Determinant for Induction of Immunomodulation in the Lungs

Soluble and insoluble particles are handled differently in the lungs. Here, following ingestion by local AM, the V<sub>2</sub>O<sub>5</sub> or CaCrO<sub>4</sub> particles localize in phagosomes and undergo slow dissolution to free ions. Thus, rapid diffusion by the particles through epithelia does not occur, and their clearance relies on mucociliary transport. The soluble V<sup>V</sup> and Cr<sup>VI</sup> ions, if not reduced or complexed by lining fluid constituents, can readily enter epithelia/AM via portals used by anions like phosphate (PO<sub>4</sub><sup>3-</sup>) or sulfate (SO<sub>4</sub><sup>2-</sup>). Our retention results reflect these differences, i.e., rats provided insoluble agents had greater lung metal levels than rats inhaling soluble forms.

Still, other data here suggest an inconsistent impact of solubility on induction of immunomodulation. For V<sup>V</sup>, though V<sub>2</sub>O<sub>5</sub> led to a greater lung V level at time of infection, NaVO<sub>3</sub> had a far greater effect on resistance. These differing impacts on resistance are in keeping with earlier findings comparing each form's ability to affect: (1) AM cytokine formation; (2) lung inflammation; and, (3) AM phagocytic activity (reviewed in Cohen, 2004 and NTP, 2002). With regard to adverse effects on lung immune cell profiles, this predisposing factor for altered resistance was, unexpectedly, not induced by either V<sup>V</sup> agent. For Cr<sup>VI</sup>, CaCrO<sub>4</sub> exposure led to both a greater lung Cr level and change in resistance than Na<sub>2</sub>CrO<sub>4</sub>. However, when data were normalized to reflect Day 0 lung Cr burdens, solubility-associated differences were no longer evident. These observations run counter to earlier findings that showed that, for Cr<sup>VI</sup>, solubility influences the ability to induce inflammation and affect AM functions (Cohen et al., 1998), including several critical to host resistance to *Listeria*.

Why V<sub>2</sub>O<sub>5</sub> did not affect resistance while CaCrO<sub>4</sub> did is curious. Both could induce reductions in AM phagocytic function (i.e., as phagosomes "fill" with particles, the cell cannot readily ingest *Listeria*) and killing, (i.e., after ions are liberated from particles, the cell can ingest but not eliminate the bacteria). The lower effect of V<sub>2</sub>O<sub>5</sub> is even more odd given that it is more soluble in water than CaCrO<sub>4</sub> and should be "easier" to solubilize to its toxic ion in AM. We surmise that since our V<sub>2</sub>O<sub>5</sub> particles: had an MMAD ≈3X that of CaCrO<sub>4</sub>; yielded lung metal levels about half that from CaCrO<sub>4</sub>; and, induced *reductions* in *Listeria* levels, the AM in V<sub>2</sub>O<sub>5</sub>-exposed rats likely ingested fewer particles than AM in CaCrO<sub>4</sub>-exposed rats. With fewer particles engulfed, more V<sub>2</sub>O<sub>5</sub> remained in the airways (and was lethal to the *Listeria* as it can create acidic microenvirons [Bell et al., 2004]) and less V<sup>V</sup> overall was able to enter AM and eventually exert toxicity.

Due to this lack of a consistent pattern among the Cr<sup>VI</sup> and V<sup>V</sup> agents (and with soluble/insoluble lead (Pb) and zinc (Zn) agents as well [data not shown]), it is not possible to firmly declare (for now) solubility as a determinant of *in situ* immunotoxic potential for all airborne metals.

### Redox Behavior as Determinant for Induction of Immunomodulation in the Lungs

In cells, V<sup>V</sup> ions are recycled (shuttled) through cellular redox processes while Cr<sup>VI</sup> ions are unidirectionally-reduced. On entering cells, soluble V<sup>V</sup> ions interact with reductants (e.g., NAD(P)H and GSH [glutathione]; Baran, 2000; Crans et al., 2004) and are reduced to V<sup>IV</sup> ions; these, in turn, can interact with O<sub>2</sub>/ROI (Stern et al., 1992) and revert to V<sup>V</sup>. Apart from redox-related damage from those events, V<sup>V</sup> and V<sup>IV</sup> ions both affect key enzymes and signal transduction pathways critical to cell function and viability (Chien et al., 2006; Prophete et al., 2006; Riley et al., 2003; Wang et al., 2003). Fortunately, the detrimental cycling process can be broken via (1) V<sup>IV</sup> stabilization by PO<sub>4</sub><sup>3-</sup>-based ligands that prevent reduction of O<sub>2</sub>/ROI (Nechay et al., 1986) and/or (2) loss of V<sup>V</sup> from the cell (Barac-Nieto et al., 2002; Elmariah and Gunn, 2003). In contrast to the V<sup>V</sup>, soluble Cr<sup>VI</sup> ions that enter cells are quickly reduced (Standeven and Wetterhan, 1989). As the Cr<sup>V</sup> and Cr<sup>IV</sup> species formed are unstable intracellularly (Lay and Lavina, 2004; Nag and Bose, 1985), the ion ultimately present is Cr<sup>III</sup>. Nevertheless, though unidirectionally-reduced, Cr<sup>VI</sup> ions are strong oxidants and can undergo redox/ligand displacement reactions with GSH, NAD(P)H, or other HS-bearing entities.

As both V<sup>V</sup> and Cr<sup>VI</sup> could induce these effects in AM, it is fair to compare the oxidative potential or redox process displayed by each metal is a better determinant of immunomodulatory potential. Electrochemical potentials clearly predict that Cr<sup>VI</sup> is the stronger oxidant. Under physiological conditions, the electrochemical potential of Cr<sup>VI</sup> (as it reduces to Cr<sup>III</sup>) is ( $E^\circ(\text{Cr}^{\text{VI}}/\text{Cr}^{\text{III}}) = +1.41\text{ V}$ ;  $E^\circ(\text{Cr}^{\text{V}}/\text{Cr}^{\text{IV}}) = +1.34\text{ V}$ ;  $E^\circ(\text{Cr}^{\text{IV}}/\text{Cr}^{\text{III}}) = +2.10\text{ V}$ ; Katz and Salem, 1993); in contrast, the potential of V<sup>V</sup> (depending on parent compound) is  $E^\circ(\text{V}^{\text{V}}/\text{V}^{\text{IV}}) = +1.02\text{ to }+1.31\text{ V}$ ; Rehder, 1992). However, while V<sup>V</sup> is a ‘good’ oxidant, unlike with Cr<sup>VI</sup>, there is an *added* risk of toxicity due to reducing reactions as V<sup>IV</sup> reconverts to V<sup>V</sup>. This “double impact” from redox shuttling may be amplified by self-perpetuating ROS $\leftarrow$   $\rightarrow$ V<sup>V</sup> reactions (unless cycling is broken). These dual potential redox effects of V<sup>V</sup> suggest that - at equal burdens of each metal - V<sup>V</sup> would likely present a greater risk to an AM, and therefore be more immunomodulatory, than Cr<sup>VI</sup>. Indeed, our data indicates this is so.

When our results with V<sup>V</sup> and Cr<sup>VI</sup> are analyzed in the context of other studies showing that neither soluble Pb<sup>II</sup> (“weakly-changeable” behavior) nor Zn<sup>II</sup> (“redox inert”) affected resistance to *Listeria* (data not shown), we can conclude that *redox behavior/properties* is likely to be a critical determinant of *in situ* immunotoxicity for soluble forms of metals that may be in the air.

### Ability to Induce AIH as Determinant for Induction of Immunomodulation in the Lungs

Metal ions or their compounds can disrupt normal Fe homeostasis in the lungs and its cells via several pathways. Some can compete with endogenous Fe<sup>III</sup> ions for reducing

equivalents prior to cell uptake or binding to/transport by transferrin (Tf) or lactoferrin (Lf). Some may also compete with Fe<sup>II</sup> for: alternate import pathways (e.g., DMT1); storage in ferritin; export pathways (e.g., ferroportin); and, oxidation (i.e., ferrooxidation). Such disruptions could lead to accumulation of Fe in cells to metabolically unsound levels or significant decreases in Fe delivery to the cells. In AM, while the former would lead to increased oxidative stress, cell signaling/transcription factor activation, and mediator release, the latter would yield Fe-deficient AM less able to generate ROI.

Both Cr and V agents here caused increased airway Fe levels, with the effect of the V<sup>V</sup> compounds being far greater than the Cr<sup>VI</sup> agents. Analyses of Tf, a protein released (above basal levels) into airways in response to elevated Fe levels, showed that soluble and insoluble V<sup>V</sup> - but only insoluble Cr<sup>VI</sup> - caused significant increases in this parameter. Conversely, airway ferritin levels were only significantly increased by V<sup>V</sup>. Similar selective effects by V<sup>V</sup> were also noted regarding levels of TNF $\alpha$ , MIP-2, and MCP-1, whose genes bear a hypoxia-responsive element (HRE).

Effects of both V<sup>V</sup> agents on each Fe-based parameter analyzed were anticipated. Among defined metal immunomodulants, vanadium (Harrington, 1992; Mazurier, et al., 1983; Nagaoka et al., 2004) is known to compete with Fe for binding with, or to displace Fe from, Tf (and Lf) *in vivo* or *in vitro*. Our recent *in vitro* studies clearly demonstrated this competition and subsequent reductions in Fe delivery to AM (Doherty et al., 2007; Prophete et al., 2006). Chromium also is known to bind with Tf *in vivo* and *in vitro* (Ani and Moshtaghie, 1992; Moshtaghie et al., 1992). However, it is unclear if it is Cr<sup>VI</sup> that is binding; studies have indicated that, in fact, it is Cr<sup>III</sup> that is actually bound (Clodfelder et al., 2001; Harris, 1967). Thus, for induction of AIH to have occurred here via reduced Tf transport activity, the entrained Cr<sup>VI</sup> would have to have first undergone reduction in the airways. As the airways would then have had increased levels of Cr<sup>III</sup> ions (that do not readily enter cells), observed effects on AM function would likely not be so much attributable to Cr<sup>VI</sup> but, instead, to an Fe insufficiency. Lastly, a basic critical difference in the abilities of V and Cr to potentially modify Tf function provides a basis to explain why AIH would more likely to evolve after a V<sup>V</sup> (rather than Cr<sup>VI</sup>) exposure. Harris (1967) showed that with Tf, most Fe<sup>III</sup> binds at "Site A" ( $\approx$ 90% selectivity) and then at "Site B" only during states of Fe excess. Harris also showed that when V or Cr was present, Fe<sup>III</sup> and V - *individually* - preferentially bind at Site A, while Cr does so at Site B. Thus, while V and Cr each could bind to Tf, V would have a more detrimental impact as it blocks Fe<sup>III</sup> binding at its preferential site.

While both V<sup>V</sup> and Cr<sup>VI</sup> might "eventually" bind with Tf and so alter Fe delivery, it was still important to verify if AM Fe levels were affected here. Direct analysis by ICPOES was found to be technically difficult; therefore, product levels of HRE-bearing genes were analyzed to indirectly verify any Fe-deficiencies. We recognize that there are many factors that could lead to the transcription of pro-inflammatory mediators (such as TNF $\alpha$ , MIP-2, and MCP-1) by these (and other types of lung) cells. However, in the context of AIH, any agent-induced Fe insufficiency would lead to an increased presence of HIF-1 (hypoxia-inducible factor) in the cells that, in turn, would lead to the activation of multiple HRE-

containing genes, including several coding for these three/other key pro-inflammatory cytokines/chemokines (Jeong et al., 2003, 2005; Lee et al., 2004).

That the V<sup>V</sup> agents affected levels of TNF $\alpha$ , MIP-2, and MCP-1 was in keeping with other observations here regarding induced AIH. In contrast, a lack of any increase in TNF $\alpha$ /MIP-2 in the Cr<sup>VI</sup>-exposed rats was puzzling. As a similar confounding outcome was noted in earlier studies of hosts that had inhaled Pb (Cohen et al., 1994), concern arose that a “lack” of increase in BAL levels of both proteins may have been attributable to our use of ELISA kits. In a follow-on study performed using TNF $\alpha$  standards incubated with Cr<sup>VI</sup> (250  $\mu$ M Na<sub>2</sub>CrO<sub>4</sub>; a level equal to that of entrained Cr<sup>VI</sup> expected in lining fluid after single exposure), incubations of even 5 min led to 25–30% reductions in expected absorbance values. We thus conclude that the lack of any *measurable* change in TNF $\alpha$ /MIP-2 levels in Cr<sup>VI</sup>-exposed rats’ BAL was likely a result of the entrained Cr<sup>VI</sup> reacting with the proteins present during the exposure and/or with these/newly-secreted entities after an exposure was complete, rather than a failure of the Cr<sup>VI</sup> agents to induce effects predicted to evolve from the AM receiving less Fe.

Despite the increased levels of these three assayed pro-inflammatory mediators following the V agent exposures, an obvious PMN influx was not evident in those exposed rats. This may reflect the time of sampling or uncertainties in dose-response relationships. Further, as our own studies have shown that leukocyte responsiveness to response modifiers (both protein and non-protein) following *in vivo* or *in vitro* exposures to V are reduced through effects on receptors and/or post-modifier binding/processing steps (Cohen et al., 1996, 1999), it is also plausible that PMN in the lungs of these rats may simply not have been able to respond to the increased presence of the chemokines. Lastly, Wang et al. (2002) showed that PMN in the lungs of V-exposed hosts undergo significant apoptosis within 24 hr of any given exposure; as our sampling occurred 24 hr after the final exposure, a “lack” of increased PMN numbers in the presence of increased chemokine levels could just be a reflection of this apoptotic process having occurred during that interim period.

After analyzing the data regarding effects of each agent on Fe transport-/status-associated endpoints in the context of concurrent changes in resistance to *Listeria*, and accounting for the earlier-noted *Listeria* survival with V<sub>2</sub>O<sub>5</sub> “problem”, we conclude that an ability to induce AIH in the lungs is a determinant of *in situ* immunotoxic potential for an airborne metal (compound).

## Conclusion

Our studies show that the ability of an inhaled metal agent to induce AIH and its redox behavior/properties (but not electrochemical potential, per se) are likely critical determinants of its capability to induce immunomodulation in the lungs. We are unable to firmly conclude that solubility is also a critical determinant at this time. These assignments are made while viewing each characteristic as a stand-alone entity; we are mindful that it is important to recognize that no metal agent evinces only one characteristic at a time. Our ongoing studies will further refine the role that each property/ability plays in determining the *in situ* potential for immunomodulation by these four agents, and determine if our findings here are

applicable to other Cr or V agents, as well as to other metals (in varying forms) that might be inhaled as constituents of air pollution.

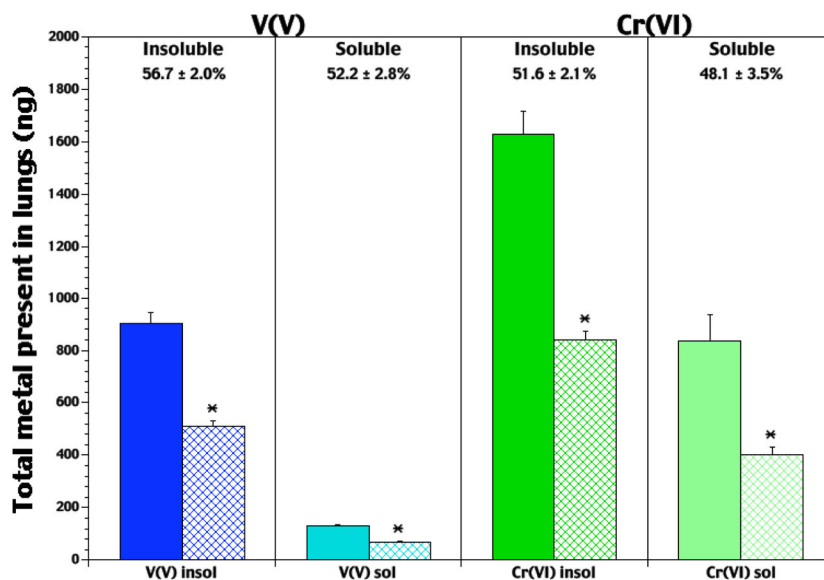
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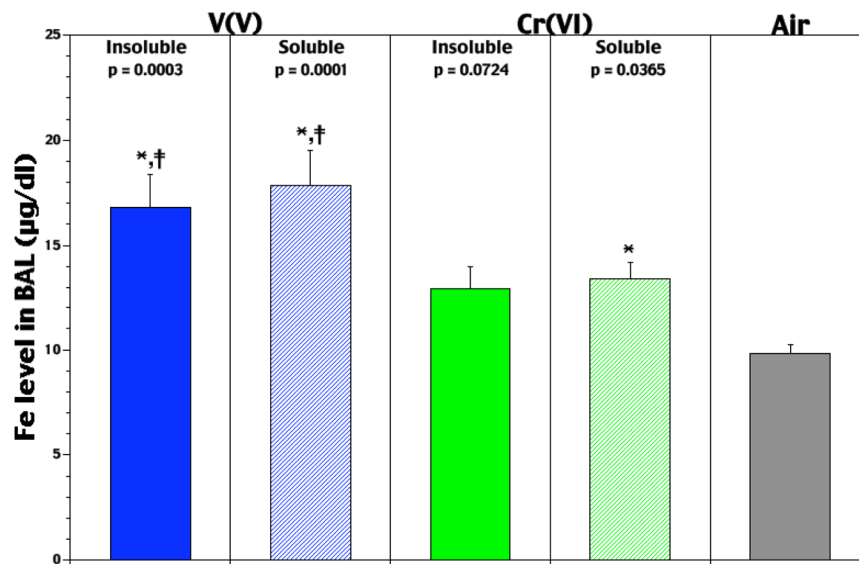
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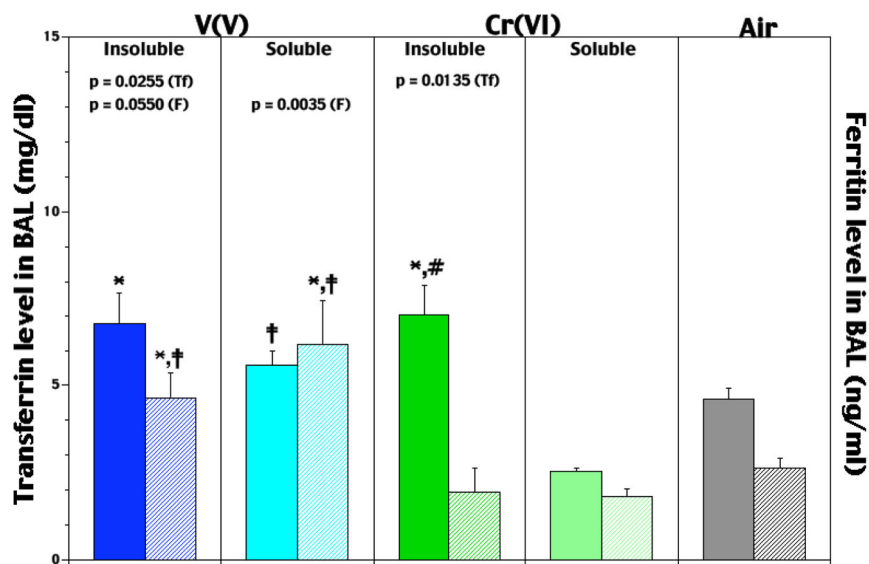
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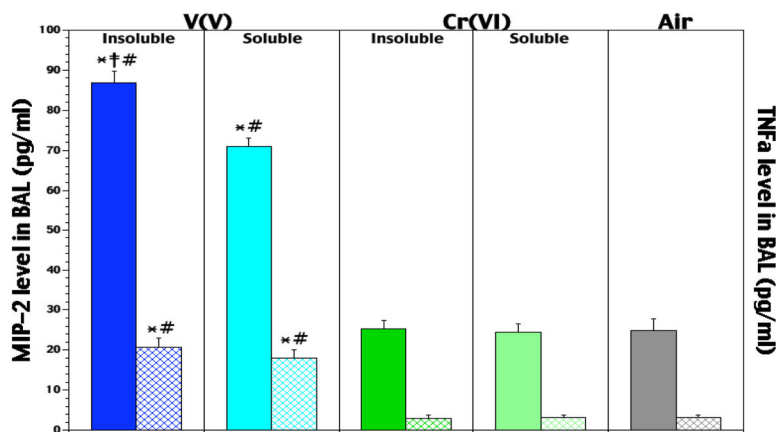
**Figure 1.** Lung V and Cr burdens at Day 0 (i.e., pre-infection) and Day 3 of infection with *Listeria*. Each bar represents the average burden (ng V or ng Cr;  $\pm$  SE) in the lungs of  $n = 5$  (Day 0; **solid bar**) or  $n = 8-10$  (Day 3; **hatched bar**) rats/exposure (5 hr/d, for 5 consecutive days) to insoluble  $V_2O_5$ , soluble  $NaVO_3$ , insoluble  $CaCrO_4$ , or soluble  $Na_2CrO_4$ . \*Value significantly ( $p < 0.05$ ) different from that in rats analyzed on Day 0.



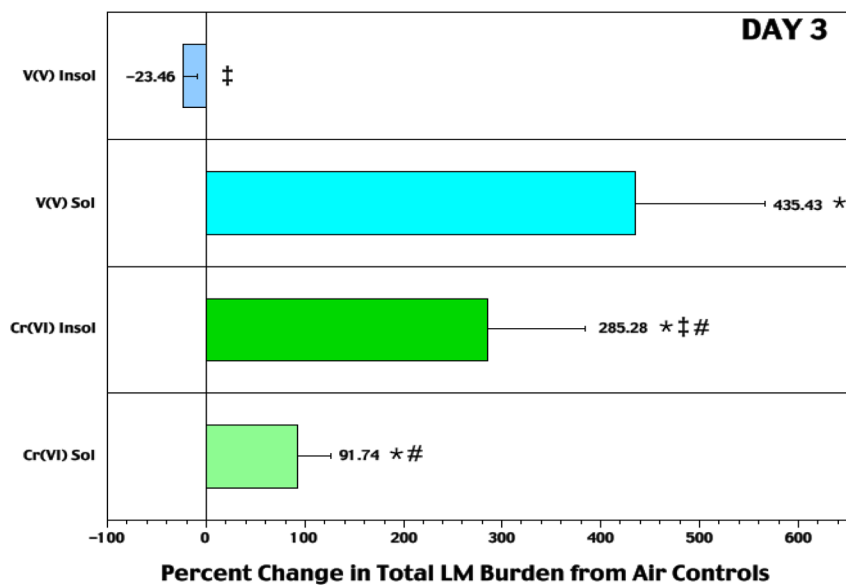
**Figure 2.** Pre-infection levels of lavagable Fe in lungs of rats that had been exposed for 5 hr/d for 5 days to soluble or insoluble forms of V or Cr. Each value reported is the mean ( $\pm$  SE) obtained from 5–10 rats/subset. \*Level significantly differs from air control value (p-value indicated above each bar); †level significantly differs from solubility-matched Cr counterpart at  $p < 0.05$ .



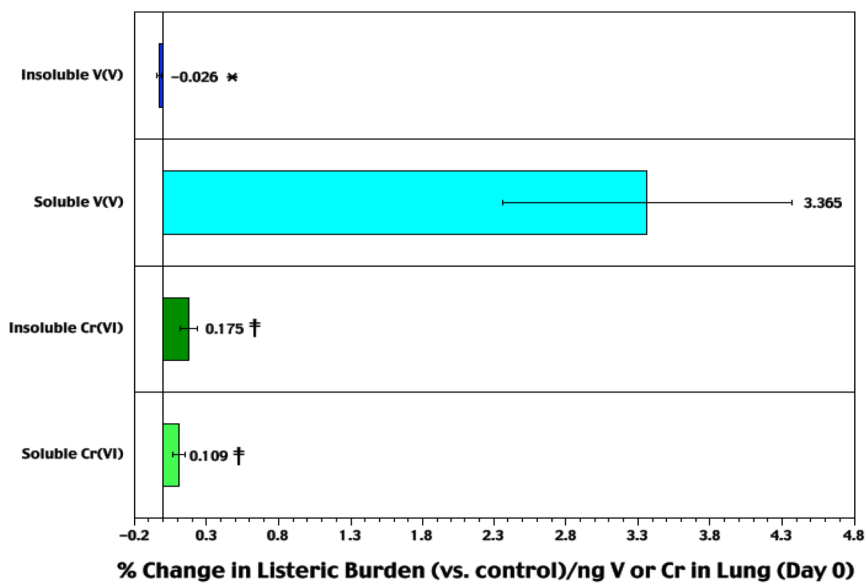
**Figure 3.** Pre-infection levels of lavagable transferrin (solid bar/specific agent set) and ferritin (hatched bar/specific agent set) in lungs of rats that had been exposed for 5 hr/d for 5 days to soluble or insoluble forms of V or Cr. Each value reported is the mean ( $\pm$  SE) obtained from 5–10 rats/subset. At  $p < 0.05$ , \*level significantly differs from air control value; †level significantly differs from solubility-matched Cr counterpart; #level significantly differs from soluble counterpart.



**Figure 4.** Pre-infection levels of MIP-2 (solid bar/specific agent set) and TNF- $\alpha$  (hatched bar/specific agent set) in lungs of rats that had been exposed for 5 hr/d for 5 days to soluble or insoluble forms of V or Cr. Each value reported is the mean ( $\pm$  SE) obtained from 5–10 rats/subset. At  $p < 0.05$ , \*level significantly differs from air control levels; †level significantly differs from soluble counterpart; #level significantly differs from solubility-matched Cr counterpart



**Figure 5.** Relative change (at 72 hr) from air control rat bacterial levels in lungs of rats that had been exposed 5 hr/d for 5 days to soluble or insoluble forms of V or Cr prior to infection. Each bar represents mean ( $\pm$  SE) of 10 rats/subset. At  $p < 0.05$ : \*percent (%) change from air control levels was significant; ‡% change significantly differs from opposing solubility counterpart (within metal set); #% change significantly differs from solubility-matched V counterpart.



**Figure 6.** Relative difference in *Listeria* burden in lungs of rats at Day 3 post-infection as a function of Day 0 lung metal burdens. Each bar represents mean ( $\pm$  SE) (from  $n = 10$  Day 3 rats/agent) average percentage difference in *Listeria* levels compared to respective values in air controls, in the context of ng V (or Cr) in lungs at Day 0. ‡Value significantly ( $p < 0.05$ ) different from that in rats in V counterparts matched for relative solubilities; \*value significantly different from that of opposing solubility counterpart (within metal set).

**Table 1**

Exposure Parameters from Each Study.

Exposure Regimen	<sup>a</sup> Actual Exposure Level	<sup>b</sup> MMAD
Sodium Vanadate (NaVO <sub>3</sub> )	110.14 ± 3.59	0.21 μm (σ <sub>g</sub> =2.1)
Vanadium Pentoxide (V <sub>2</sub> O <sub>5</sub> )	132.62 ± 37.19	0.74 μm (σ <sub>g</sub> =2.8)
Sodium Chromate (Na <sub>2</sub> CrO <sub>4</sub> )	110.08 ± 3.52	0.34 μm (σ <sub>g</sub> =1.7)
Calcium Chromate (CaCrO <sub>4</sub> )	118.57 ± 2.88	0.27 μm (σ <sub>g</sub> =2.7)

<sup>a</sup>Values in terms of μg parent metal/m<sup>3</sup> over the entire 5-d exposure period (mean ± SE).

<sup>b</sup>Mass median aerodynamic diameters.