

9-2018

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Recommended Citation

Rangachari, V., Dean, D. N., Rana, P., Vaidya, A., Ghosh, P. (2018). Cause and Consequence of A β : Lipid Interactions in Alzheimer Disease Pathogenesis. *Biochimica et Biophysica Acta (BBA): Biomembranes*, 1860(9), 1652-1662.

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Cause and Consequence of A β – Lipid Interactions in Alzheimer Disease Pathogenesis

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Abstract

Self-templating propagation of protein aggregate conformations is increasingly becoming a significant factor in many neurological diseases. In Alzheimer disease (AD), intrinsically disordered amyloid- β ($A\beta$) peptides undergo aggregation that is sensitive to environmental conditions. High-molecular weight aggregates of $A\beta$ that form insoluble fibrils are deposited as senile plaques in AD brains. However, low-molecular weight aggregates called soluble oligomers are known to be the primary toxic agents responsible for neuronal dysfunction. The aggregation process is highly stochastic, and involves both homotypic ($A\beta$ - $A\beta$) and heterotypic ($A\beta$ with interacting partners) interactions. Two of the important members of interacting partners are membrane lipids and surfactants, to which $A\beta$ shows a perpetual association. $A\beta$ -membrane interactions have been widely investigated for more than two decades, and this research has provided a wealth of information. Although this has greatly enriched our understanding, the objective of this review is to consolidate the information from the literature that collectively showcases the unique phenomenon of surfactant-mediated $A\beta$ oligomer generation, which has largely remained inconspicuous. This is especially important because $A\beta$ aggregate ‘strains’ are increasingly becoming relevant in light of the correlations between the structure of aggregates and AD phenotypes. Here, we will focus on aspects of $A\beta$ -surfactant interactions specifically from the context of how surfactant modulation generates a wide variety of biophysically and biochemically distinct oligomer sub-types. This, we believe, will refocus our thinking on the influence of surfactants and open new approaches in delineating the mechanisms of AD pathogenesis.

A β and Alzheimer disease pathology

Alzheimer disease (AD) is a fatal, progressive neurodegenerative disorder with clinical manifestations that include acute memory loss, cognitive decline and behavioral changes resulting in social inappropriateness. AD is the most common among all neurodegenerative disorders, with over five million people affected in the United States alone [1]. Without medicinal intervention, this number is expected to nearly triple by 2050 [1]. According to the US Centers for Disease Control (CDC), the death rates from AD dramatically climbed to 55% between 1999 and 2014, making viable diagnostic and therapeutic avenues a critical need [2]. Discovered by the Bavarian psychiatrist Alois Alzheimer in 1905, significant advancements in understanding AD only began to occur 7-8 decades later with the morphological understanding of two main pathological hallmark lesions in the AD brain: extracellular neuritic plaques and intracellular neurofibrillary tangles. During the 1990s it became clear that two proteins, amyloid- β (A β) and hyperphosphorylated tau, are the major components of plaques and tangles, respectively [3, 4].

A β is generated by the proteolytic processing of amyloid precursor protein (APP), which is abundant in the central nervous system but ubiquitously expressed in many cell types [5, 6]. APP is an integral membrane protein containing a single transmembrane domain, and the cleavage occurs sequentially by the aspartyl proteases, β - and γ -secretases (Figure 1). While β -secretase cleaves APP on the extracellular side, the γ -secretase complex hydrolyzes the protein within the transmembrane domain (Figure 1). The γ -secretase complex comprises the proteins Aph-1, presenelin-1 (PS1), presenelin-2 (PS2), and nicastrin, and has a broader specificity in cleavage that leads to the generation of multiple A β isoforms ranging from A β 1-38 to A β 1-43 [7]. The cleavage specificity of the γ -secretase complex is also controlled by genetic mutations in

APP; however, the precise mechanism of the enzyme remains uncertain [8]. Among the isoforms, A β 1-40 (A β 40) is the predominant form followed by A β 1-42 (A β 42), but the latter is initially observed in diffuse amyloid aggregates [9] and is believed to be responsible for early synaptic and neuronal dysfunction in AD brains.

Aggregation of A β and significance of pathways

Spatiotemporal profile of A β aggregation. Upon cleavage from APP by aspartyl proteases, A β peptide is mainly released from the membrane into the extracellular matrix. The newly generated A β is intrinsically disordered, which is reflected in its random coil conformation [10-12]. The monomeric A β is highly susceptible to aggregating with other A β molecules, and does so almost spontaneously upon its generation from APP. Aggregation of A β is a nucleation-dependent process that follows a sigmoidal growth kinetics with the formation of a critical mass of aggregates called nucleus, being obligatory for the emergence of mature fibrils (Figure 2). A schematic of homotypic A β aggregation shows three broadly-defined phases of aggregation, namely a lag phase (I), growth phase (II) and a saturation phase (III) (Figure 2). The lag phase of aggregation is perhaps the most important phase during aggregation from a physiological perspective, as elaborated later in this manuscript, and is mechanistically the most confounding of all the phases. Although there is consensus regarding the formation of a nucleus during the lag phase, uncertainty remains about the nature of nucleus; whether it is heterogeneous or homogenous [13], or whether there is a single nucleation event or multiple events [14-17]. In sum, one could describe the lag phase of aggregation as being in dynamic flux dominated by stochastic interactions between A β conformeric ensembles until an ordered, relatively stable nucleus (or nuclei) is (are) formed. Once the prerequisite and a critical aggregate

size is achieved (I in Figure 2), the propagation phase ensues with forward rates involving predominantly aggregate – monomer interactions that result in an exponential increase in the rates of aggregation towards high molecular weight protofibrils (II in Figure 2), which then form insoluble fibrils in the saturation phase (III in Figure 2) [18, 19].

This aggregation mechanism, although consistent with numerous reports, does not represent the complete picture of the aggregation landscape. As previously described by Radford and others [20, 21], protein aggregation and amyloid formation in itself is an alternate pathway during protein folding. In a typical aggregation pathway, smaller oligomers are formed transiently along the fibril formation pathway that ‘roll down’ the energy landscape towards energetically favorable fibrils. In reality, even within the energy landscape along the ‘aggregation funnel’ there are many different pathways containing several kinetic traps in which specific conformeric and structurally variant species could be present. Indeed, it has become evident that there are alternative pathways and kinetic traps along the aggregation pathway [22, 23], and that neurotoxic oligomers can also be populated via such alternate pathways [24-27]. As mentioned earlier, the early stages of aggregation (lag phase), being dominated by homotypic and heterotypic stochastic interactions, could likely contain numerous kinetic traps. An obvious characteristic of such traps is that the oligomeric aggregates that are formed may differ in their conformation, degree of aggregation (number of monomers within the assembly), heterogeneity, or all of these factors. Such differences within these aggregates could manifest in differences in their ability to seed the propagation of fibrils. Thus, depending on the number of such conformeric strains, fibrils are formed via different pathways displaying structural heterogeneity and polymorphism [28]. This has been further elaborated in the concluding sub-section of this manuscript.

A β aggregate structure and AD phenotypes. A β aggregation is strongly driven by both homotypic (A β -A β) and heterotypic (A β -solvent and/or A β -solute) interactions. Because of stochasticity, the lag phase is highly susceptible to heterotypic interactions with other solutes present in the system. From years of research, we have known that the A β ‘interactome’ includes a variety of agents such as metal ions [29, 30], protein binding partners [31, 32], natural products and metabolites [33-37], as well as lipids and surfactants (as elaborated in this article). Therefore, heterotypic interactions with any A β interactome molecules have the potential to change the conformation of A β during the lag phase and alter the pathway of aggregation, which could result in multiple conformational variants of aggregates with distinct biochemical and cellular properties. Manifestations of conformational and morphological differences in cellular toxicity have been established in the past [38, 39]. The significance of A β fibril structure and morphology in AD is becoming far more critical than originally thought. Somewhat similar to the observations in prion diseases [40, 41], structure-to-phenotype correlations are beginning to emerge. Recently, using brain-derived fibrils as seeds, the Tycko laboratory has elegantly established that clinical phenotypic differences, especially those leading to rapid-onset AD (rAD), could emerge in part from the structure and morphology of the propagating fibrils [42, 43]. Along similar lines, Cohen et al. have reported conformationally-distinct A β aggregates in rAD cases as compared with typical AD [44]. In recent reports by the Jucker and Prusiner laboratories, the authors revealed structural variations in etiological subtypes of AD brains on the basis of their ability to bind fluorescent probes [45, 46]. Prion-like propagation of A β has now been well understood from the demonstration that intracerebral injection of endogenous A β seeds induces widespread deposition of A β in transgenic mice [47-53]. Inoculations in such experiments either used A β -laden brain homogenates or exogenous fibrils as seeds, and

therefore, structural diversity of fibril seeds has mainly been attributed to propagation behavior of the seeds [51, 54, 55].

Lipids and membranes could be important members of the A β interactome.

The main focus of this review is to bring forth how lipids and surfactants are able to modulate the aggregation behavior of A β to generate a multitude of structurally and functionally different aggregates depending on the physiochemical nature of the surfactant. The affinity of A β peptides for membranes becomes obvious when one looks at the generation of the peptides, as described above. The C-terminal sequence of A β within APP is buried in the phospholipid bilayer, while the N-terminal remains outside. This is reflected in the sequence of the peptide with the N-terminal side of the peptide containing many polar amino acids and the C-terminus containing a majority of hydrophobic residues (Figure 2). The amphipathic nature of A β peptides uniquely presents itself to preferential interactions with interfaces such as liquid-gaseous (water-air), liquid-solid (solvent-surface) and liquid-liquid (phase separated). In this context, there is a high likelihood of amphipathic lipids and other surfactants to interact with A β by providing a template for aggregation. When A β is released as a soluble monomer, charged membranes act as two-dimensional aggregation-templates to initiate accumulation of surface-associated A β , typically to augment aggregation into neurotoxic structures [56].

Interaction and modulation of A β aggregation by lipids.

a. Interactions with bilayer-forming lipids

A wealth of information has been generated from over two decades of research on the interactions of A β with membrane surfactants. The amphipathic A β peptide shows strong affinity

for membranes, and such heterotypic interactions may affect early steps of A β aggregation significantly [57-62]. First, we will focus on the surfactants (lipids) containing two fatty acid acyl chains that predominantly form a lipid bilayer. In pathological contexts, lipid membranes, sub-cellular organelle surfaces, synaptic vesicles, lipid rafts as well as endo- and exosomes can significantly affect A β aggregation by accelerating and nucleating fibril formation, generating and stabilizing specific oligomers and even promoting polymorphic aggregates.

Interactions leading to pore formation and membrane disruption. One of the widely investigated phenomena of A β -membrane interactions is the insertion of A β into the membranes and subsequent disruption. Importantly, A β has been long known to form Ca(II) permeable channels in the membrane [63, 64]. Association of A β with anionic lipid monolayers has been observed leading to partial insertion of A β into the membrane [65]. Such an interaction consequently promotes aggregation to A β fibrils [66]. Investigation of such mechanisms by A β 40 using NMR spectroscopy indicated that the N-terminal region is unstructured while the C-terminal hydrophobic region adopts an α -helical conformation [67]. The two regions are separated by a kink, which is significant in membrane insertion [67]. Molecular dynamics (MD) simulations to model the interactions between A β oligomers and membranes showed formation of ion channels with two different topologies, suggesting a modulatory effect of the membranes on A β structure and conformation. More importantly, A β oligomers have now been widely understood to form pores in both synthetic as well as cellular membranes, capable of generating conductance and classical channel activity [64, 68, 69]. Both A β monomers reconstituted with lipids as well as pre-formed oligomers deposited on to synthetic or brain-derived lipids formed channels [70, 71]. In addition, experimental observation of the effect of this channel on Ca²⁺ selectivity was supported by such models [72, 73]. Another study using MD simulations on

A β 42 peptide monomers and oligomers identified insertion into the lipid bilayer in agreement with other reports [74]. These theoretical results were also consistent with the experimental observation that A β forms a cation selective channel in both synthetic lipid-bilayers [75] and cellular membranes[76]. However, it remains unknown whether the all oligomers are able to form channels in the membrane, and whether oligomer conformation capable of pore formation is induced by the interaction with lipid surfaces, which that precedes it. Such is the case with zwitterionic lipids, to which a conserved region (K16-E22) within the central region of A β bound to lipid surface prior to surface-catalyzed A β fibril formation and transmembrane pore formation [77].

Interactions on lipid surfaces. Interactions of A β with lipid surfaces have been investigated by using many different models including solid support, self-assembled monolayers, and membrane-mimics [78-80]. Many reports indicate that A β interactions with the anionic phospholipids on reconstituted liposomes and unilamillar vesicles are largely limited to the lipid surface at neutral pH [58, 81, 82]. In one report, anionic phospholipids increased the fibrillation of A β , while neutral, zwitterionic, and lipids lacking phosphate groups did not show significant effect on A β fibrillation rates [83]. Investigation into the effects of surface charges on A β aggregation using zwitterionic (2-dioleoylphosphatidylcholine (DPPC) or 1,2-dioleoyl-sn-glycero-3 -phosphocholine (DOPC)), anionic (1,2-dioleoyl-sn-glycero- 3-[phospho-rac-(3-lysyl(1-glycerol))] (DOPG)) and cationic (1,2- dioleoyl-sn-glycero-3-methylammonium-propane (DOTAP)) lipids led to the finding that A β aggregated in zwitterionic DPPC and anionic DOPG, while it inserted and disrupted the membranes of cationic DOTAP and zwitterionic DOPC [84]. In one report, aggregation of A β on zwitterionic DOPC LUVs by FRET analysis indicated that the liposomes were able to catalyze fibril formation via secondary nucleation mechanisms

involving aggregate fragmentation [85]. This report also revealed that the binding on the lipid surface was restricted to only aggregated A β and not the monomer, suggesting secondary pathways induced by oligomeric forms of A β on the membrane surface. In negatively charged lipids, A β 40 oligomer binding was dependent on surface charges, while membrane insertion was dictated by membrane rigidity, and both processes were clearly distinct [86]. MD simulations involving A β peptides and the phospholipid bilayer using DPPC and dioleoyl phosphatidylserine (DOPS) vesicles showed fibril promotion on the surface of the membrane lipids because of the peptide re-distribution on the surface via charged interactions, exhibiting an auto-regulation type behavior [87]. The interactions of A β with membranes depend not only on the former's structure and conformational state, but also on reaction conditions. Good et al. observed that A β -liposome interactions were higher for A β aggregates than monomeric A β [88]. They found that A β aggregates bind to both cortical homogenates and membranes, whereas A β monomers bind to homogenates only. However, another report using different experimental conditions revealed that monomeric A β bound rapidly to liposomes, while the A β aggregates bind slowly [89]. An MD simulation study on the interactions of a pre-formed A β 42 tetramer on POPC membranes showed that the tetramers were elongated, and changed their overall structure upon interaction with the membrane surface [90], suggesting that the membrane surface characteristics play an important role in A β aggregate structure. Overall, these reports indicate the surface characteristics of membranes, which are mainly dominated by the lipid head groups, behave as specificity inducing factors for A β oligomer conformations during aggregation.

b. Interactions of A β with micelle-forming lipids

As a rule of thumb, lipids containing a single acyl chain tend to form micelles as opposed to a bilayer. Similar to the liposomal systems, micelles as membrane-mimicking environments have also provided a wealth of information on a mechanistic understanding of A β – lipid interactions. Anionic surfactants such as sodium dodecylsulfate (SDS), often used as denaturants, have been used as model polar-nonpolar interfaces to investigate the aggregation of A β and other amyloid proteins [67, 91-95]. Yamamoto and colleagues investigated the effect of neutral, zwitterionic and anionic surfactants on A β aggregation and found that all three surfactants were able to augment A β 40 and A β 42 aggregation in a concentration-dependent manner [92]. The effects of surfactants on the two peptides varied, with A β 42 showing more pronounced aggregation than A β 40. More importantly, the aggregation behavior of the peptides showed strong dependence on surfactant concentration. Only in a narrow range of concentrations below its critical micelle concentration (CMC), SDS was able to augment A β 42 aggregation without any observable lag time [92]. Based on the idea that micellar interfaces uniquely affect A β aggregation, Nichols and coworkers demonstrated the phenomena of interfacial aggregation using model interfaces composed of dilute hexafluoro isopropanol (HFIP) as well as buffer-chloroform [96, 97]. They elegantly showed that A β aggregation is preferentially augmented at the polar – non-polar interfaces. Similar to Yamamoto and co-workers, Rangachari et al. also demonstrated that SDS accelerates A β 40 aggregation in a concentration-dependent manner and compared the similarities to aggregates formed in HFIP interfaces [93]. While near-CMC SDS promoted β -sheet conformations and fibrillar aggregates, micellar SDS above the CMC stabilized A β 40 in an α -helical conformation. Furthermore, near the CMC, A β 40 initially adopted an α -helical conformation corresponding to amorphous oligomers, which eventually

converted into β -sheet fibrils over time. Similar structural transitions from α -helix to β -sheet with a concomitant increase in fibril formation were also observed with A β 40 in the presence of DPC surfactants [98]. Rangachari and co-workers also demonstrated the effects of SDS on A β 42 aggregation, which was dependent on SDS concentration [99]. However, unlike A β 40, within a narrow range of SDS concentrations, soluble A β 42 oligomers were formed along a pathway different from fibril formation, which was strictly dependent on the CMC of SDS [99]. This observation parallels the one on well-characterized, 60 kDa 'A β globulomers' which are also formed along a pathway that is independent of the fibril formation pathway [100, 101]. It is noteworthy that globulomers are generated in the presence of 0.2% SDS, which is close to its CMC. Moreover, globulomers are shown to be neurotoxic by specifically blocking long-term potentiation in rat hippocampal slices [100]. Characterization of SDS-derived off-pathway oligomers showed a unique structure by NMR spectroscopy [102, 103]. Studies using DHPCs as micellar surfactants also showed modulation of aggregation based on their CMCs - enhancement of fibril formation was observed at submicellar concentrations (below CMC), while micellar concentrations (above CMC) stabilize A β in an α -helical conformation [104]. Non-esterified fatty acids (NEFAs) have been used as model surfactants to investigate their effects on A β 42 aggregation. Similar to those observed with SDS, NEFAs also induce concentration-dependent effects on A β . Three broadly categorized regimes based on the respective CMCs of NEFAs such as below, near and above CMC, either augmented or inhibited A β 42 aggregation [105]. Only at near and above the CMCs did NEFAs induce oligomers of A β 42 via alternative pathways, while enhancement of fibril formation was observed below the CMC [105, 106].

c. Modulation of aggregation by lipids and membrane constituents.

Evidence for lipid involvement in A β modulation. The effects of A β interaction with lipids are diverse. Perhaps the most significant of them all is the ability of membrane lipids to modulate A β aggregation pathways in generating polymorphic aggregates and many oligomer strains. Numerous reports over the last decade using reconstituted phospholipid vesicles indicate formation of aggregates along off-fibril forming pathways, paving a way for the generation of structurally-diverse oligomers [107-109]. Recently, A β 42 aggregation on SUV and large unilamellar vesicles (LUV) from POPC lipids showed formation of polymorphic aggregates with distinct biophysical characteristics [110]. In this study, LUVs with high POPC concentrations suppressed amyloid formation that affected fibrillation kinetics, as opposed to those with low POPC concentrations, demonstrating the effect of concentration gradients along the liposome surface in modulating aggregation pathways. To investigate the effect of membrane thickness on A β aggregation, Korshavn et al used bilayers formed by the short-chain 1,2-dilauroyl-*sn*-glycero-3-phosphocholine (DLPC) lipid as a model for disease bilayers, while 1,2-dioleoyl-*sn*-glycero-3-phosphocholine (DOPC) as a model of normal bilayers [111]. They found that DLPC-assisted oligomers could disrupt the membranes more effectively than those generated from POPC vesicles, providing evidence for the deleterious effects of reduced membrane thickness. In one report, zwitterionic lipid vesicles not only promoted A β 42 aggregation, they did so by interacting specifically with the growing fibrils, showing aggregate-specific interaction of these lipids [85]. Furthermore, these lipid vesicles were thought to augment monomer-dependent secondary nucleation at the surface of existing fibrils and facilitate monomer-independent catalytic processes consistent with fibril fragmentation and concomitant secondary aggregation pathways [85]. In a different study, it was also noted that A β aggregates formed in solution could present a

different structure and toxicity upon interacting with the membranes [112]. It appears as though PG lipids modify the aggregation process and induce toxic oligomers independently from the fibrils along ‘off-pathways’, and their formation is enhanced by the presence of OH groups on the membrane surface [113]. In our laboratory, we have demonstrated the generation of off-pathway oligomers in the presence of NEFAs, which show distinct biophysical and pathological properties from on-pathway fibrils [114-116]. We have also shown that the aggregation pathway is dependent on the surfactant-A β ratio [105, 117]. Thus, given the mobility of cell membranes and lipid rafts in cellular environments, because of which charge concentration variations develop along the cell surface, it is highly likely that such surfactant interfaces are able to induce similar effects on A β aggregation. Some of the aforementioned reports establish the fact that the physicochemical composition and concentration of the membranes lead to different specificities of interaction among A β aggregates, and promote aggregation in various ways. The data also suggest that oligomers formed along off-fibril formation pathways, which may structurally differ from their on-pathway counterparts, could exhibit different interaction regimes with the membrane.

Modulatory effects were also observed for physiological lipids derived from endogenous sources. First, toxic oligomeric A β has been observed in patients with AD [118], HCHWA-D [119], and after fatal head injury [120] were found to be associated with membrane lipids as complexes, suggesting a strong interaction between A β oligomers and lipids. A β deposition in a form bound to plasma membranes resulted in the formation of non-fibrillar diffuse aggregates [121]. In their investigation into the interactions of A β with GM1-containing liposomes, Hayashi and co-workers observed that A β peptides showed altered aggregation kinetics with detectable protofibrils [57]. They concluded that this is due to the altered conformation adopted by A β in

the presence of liposomes, which drives the aggregation into an alternate pathway. A β 42 interactions with brain-derived lipids also resulted in an exclusive formation of small aggregates without any fibrils, suggesting generation and stabilization of off-pathway oligomers. A similar observation was also made with the co-incubation of A β with lipid rafts isolated from brain tissues in which a rapid formation of tetrameric A β was identified without the appearance of fibrils even after long incubation times, indicating the generation and stabilization of oligomers potentially as an off-pathway species [122].

Evidence of modulation based on temporal models. Modulation of aggregation pathways to populate both oligomers and fibrils was also observed using non-physiological models of anionic, cationic and neutral surfactants, further emphasizing the potential role of surfactants in the modulation of aggregation [123, 124]. Figure 3 summarizes the dynamics of A β -surfactant interactions reported earlier [117]. One of the main signatures of oligomers formed along the off-fibril formation pathways is the temporal kinetics. ‘Off-pathway’ aggregates tend to have a longer half-life and are slow in converting to fibrils because of their potential stabilization in kinetics traps (Figure 4). To gain insights into the formation and decay of such aggregates, temporal modeling is necessary. Over the years, mathematical models and simulations have provided some important insights into the complex temporal behavior of A β aggregation and modulation, but such models largely remain sparse. Mathematical models have been applied to simulate the kinetics of different types of protein aggregation pathways including amyloid proteins [125-128]. Such models can be broadly categorized into kinetic, empirical and their variants such as the Finke-Watzky (F-W) kinetic model [129]. Unfortunately, very few models have considered amyloid and lipid/membrane interactions and their effects in protein aggregation. In her paper, Murphy stated the urgency and importance of such modeling

approaches[130]: “Elucidation of the intricate kinetic interplay between amyloidogenesis and membranes provides a challenge that will need to be addressed to completely ascertain the role of membranes in amyloid disease pathology.” To our knowledge, the first mathematical formulation to consider temporal modulation of aggregation involving competition between on- and off-pathway was presented by Powers et al [131]. The specific effects of lipids/membranes on the structure of A β oligomers were not studied in this work; however, the bulk effects of lipids/membranes on aggregation behavior were modeled through fitting the experimental data. In this model, the monomers were considered to aggregate via two different, mutually exclusive pathways involving fibril formation (on) and oligomer formation (off). The off-pathway considers structurally different monomers (formed by membrane/lipid interactions) that proceed to form higher molecular weight oligomers that are structurally different from their on-pathway counterparts. This study elegantly demonstrated the see-saw effect of two mutually competing pathways, laying a foundation for simulating such dynamics in A β aggregation. Friedman et al modeled dynamics of amphipathic peptide aggregation in the presence of surfactants [132]. They showed that there are two specific states, aggregation prone and aggregation protected, which correlate with the extent of aggregation. This work further supports the premise that by altering surfactant to peptide ratio one could generate different aggregates. Recently, our work on A β – NEFA interactions considers a modification of the Powers’ model to incorporate the effects of fatty acids on fibril formation and off-pathway micellar dynamics [117]. In this work, the combined on-pathway and off-pathway dynamics were modeled. The models from these computations establish a competition between the on- and off-pathway reactions with the outcome of aggregation pathway clearly dependent upon the surfactant concentration and phase transitions [117].

Membrane components involved in the modulation of A β aggregation. Despite the establishment of possible alternative pathways of aggregation and stabilization of oligomers, it remains unclear what molecular factors precisely control A β to adopt multiple aggregation pathways. However, many reports do suggest several key players that may play a role in the modulation of aggregation. An early report on A β aggregation in the presence of different sub-cellular organelle membranes showed accelerated fibril formation by endosomal and lysosomal membranes while it was inhibited by Golgi membranes, which promoted oligomers exclusively [133]. This report brought out the fact that lipid constitution could be key in dynamic regulation of A β aggregation pathways. In another report, the investigators show that the presence of cholesterol within membranes influences the membrane fluidity, which in turn could control the outcome of their interactions with A β leading to the adoption of alternate aggregation pathways and polymorphic aggregates [134]. Several other reports have indicated both GM1 and cholesterol as key factors affecting the A β fibrillation on membranes. A β is known to recognize cholesterol-dependent clusters of GM1-containing liposomes [135], and aggregation kinetics and pathways are found to be strictly controlled by cholesterol and ganglioside amounts within the liposomes [58]. Although the nature of GM1 ganglioside interaction with A β remains controversial, the involvement of GM1 gangliosides in A β aggregation was unambiguously established when pretreatment of brain-derived GM1-containing liposomes with CTxB, a GM1 ligand, showed inhibition of A β fibrillation [136]. Furthermore, liposome-containing clusters of highly dense GM1 transformed A β into toxic oligomers without noticeable fibrils, indicate the modulatory effects of GM1 on A β aggregation [137-140]. In a MD simulation study, GM1 interactions with A β and POPC and palmitoyl-oleoyl-phosphatidyl ethanolamine (POPE) membranes lead to the release of A β from the membranes, further envisaging the diverse effect

GM1 can cause on A β [141]. In addition, single molecule experiments on live neuroblastoma cells showed that interaction of gangliosides with A β 42 led to the formation of at least two oligomeric forms with distinct biophysical and structural differences that show different cytotoxicities [140]. Mechanistically, ganglioside clusters seem to offer a unique platform at their hydrophobic/hydrophilic interface for binding of A β molecules and confining their spatial movements to promote aggregation on the ganglioside clusters [142]. Furthermore, membrane curvature also seems to play a role in modulating aggregation [143], which parallels some of the observations on membrane rigidity and fluidity both as cause and consequence of A β interactions [144-146]. In a recent review, Cebeauer et al. elegantly presented a unifying view on the concentration-dependent modulatory effects of gangliosides on A β aggregation and oligomer formation [147]. Furthermore, simulations also reveal and support experimental observations that chemical composition of the membrane also affects the A β -lipid interactions. For example, low cholesterol content and increased membrane fluidity results in partial A β membrane insertion, while at high cholesterol content, A β diffuses into a more rigid membrane resulting in higher A β membrane associations [147]. In a separate study, Evengelisti et al studied the cytotoxic effects of protein oligomers on membranes and came to the conclusion that toxicity not only depends on the nature of oligomers but also on the chemical composition of the membranes that they interact with [148]. The same principle seems to hold true for other amyloid proteins including A β .

Consequence of A β -lipid interactions

a. Cellular toxicity

Three broadly categorized mechanisms of oligomer-induced neurotoxicity have been revealed by prior research [149]. a) extracellular A β aggregates can bind to various receptors

leading to the activation of signaling pathways. b) A β oligomers can form membrane pores or channels [64] leading to cell death, known as the “channel hypothesis” [150, 151]. c) Oligomers can be transported within the cells to induce dysfunction of mitochondria, lysosome and breakdown of other cellular processes. While these mechanisms have been recently reviewed by Kotler et al and Kaye et al [152] and [149], there are a few points worth noting here. First, the receptor-mediated mechanism postulated by many reports involving receptors such as advanced glycation end (AGE) products, nerve growth factor (NGF), NMDA, and NF- κ B, underscores the involvement and importance of structurally diverse oligomers in neurotoxicity. A report by Kourie et al. investigated over 100 channels formed by A β 40, which could be grouped into one of four categories based on conductance, kinetics, selectivity, and pharmacological properties of the pores [153]. This report showed that heterogeneity of A β can manifest in part to the pore forming structure of aggregates and their toxicity. More recently Serra-Batiste and co-workers generated a 60 kDa oligomer of A β 42 in high concentrations of DPC micelles, which upon addition to lipid bilayers formed β -sheet rich nanopores [154]. These oligomers were similar in size to the SDS-generated A β globulomers, which have been shown to inhibit long-term potentiation in hippocampal slices [100]. This reveals that lipids can mediate the formation of pore-forming oligomers, which are implicated in neurotoxicity. Another method by which A β induces toxicity is by modulating membrane fluidity and conductance. Increase of membrane conductance by several different soluble oligomers (and not monomers or fibrils) was reported, and occurred without the formation of pores [155]. A report by Kremer et al. on membrane fluidity changes during A β aggregation revealed that aggregates, but not monomers, decreased membrane fluidity, the extent of which could be correlated to aggregate growth [144], in agreement with other reports [156, 157]. Vestergaard et al. have investigated the effect that A β

aggregates have on modulating membrane morphology and fusion events, and revealed that A β 42 oligomers localize more closely than fibrils to mediate fusion of LUVs with GUVs [158]. Furthermore, A β 42 oligomers have also been shown to promote oxidative lipid damage [159] and disrupt membrane integrity [111, 113]. The C-terminal fragment (29-42) of A β has been shown to form β -sheet aggregates in the presence of lipid bilayers (PC/PE (2:1) SUVs), which induced cellular apoptosis by fragmenting DNA and activating Caspase-3 in PC-12 cells [160]. Along similar lines, Marzesco et al. have demonstrated the potency of membrane-associated A β through inoculation in transgenic mice [161]. Work from Wang and co-workers has shown that A β -induced toxicity can be reduced by inhibiting cholesterol and ganglioside synthesis in PC-12 cells [162]. Some of the aforementioned reports signify the roles of a wide variety of oligomer assemblies with distinct conformations, which were generated under the influence of membrane lipids that manifest in a wide variety of different cellular responses depending oligomer structure.

b. Oligomer strains and phenotypic outcomes

A wave of recent research, driven by pathologic similarities between prion diseases and AD [163], supports the hypothesis that *corruptive protein templating*, or *seeding*, is a prime mover of disease propagation. Although it is unclear whether AD is infectious as are prion diseases, A β , like prions, can form polymorphic and polyfunctional strains [51]. Several lines of evidence collectively argue that aggregated A β in the brain extract is critical for *in vivo* seeding and the phenotype of the induced A β deposits mirrors that of the deposits in the extract, suggesting an A β -templating mechanism [48]. Indeed, a large body of work has demonstrated that intracerebral injection of endogenous A β seeds induces widespread deposition of A β in

transgenic mice [47-53]. Inoculations in such experiments used either A β -laden brain homogenates or exogenous fibrils as seeds. Strain-specific propagation of aggregates and their eventual physiopathological fates are starting to correlate with the structure of the propagation units [164-167]. Recently, the Tycko laboratory elegantly established that phenotype differences could indeed emerge in part from A β fibril structure and morphology by propagating fibrils using brain derived fibrils as seeds [42, 43]. However, correlation between oligomer strains and fibril morphology vis-à-vis phenotypes remains elusive. Heterogeneity and diversity among oligomers, as well as the difficulty in their isolation as discrete units has, to a large extent, impeded our understanding of oligomeric structures and their behavior. This is especially true in the context of diverse strains generated in the presence of lipids, which remains largely unexplored. Other manifestations of oligomer strains may involve cross-propagation of other amyloidogenic proteins leading to exacerbation and/or clinically observed co-pathological phenotypes [168-171].

Summary and Perspectives

It has now been established that many interacting partners including lipids, metal ions, proteins and natural products influence the structure and aggregation of A β . Lipids are perhaps the most important and are the very first family of molecules to interact with A β mainly because of their perpetual association. As detailed above, lipids and A β can reciprocally affect each other. While A β can affect lipid structure and cause membrane disruption, pore formation and damage, lipid constitution and its physicochemical character can induce conformational changes to A β and catalyze the formation of diverse oligomers. Being an IDP, the structural plasticity of monomeric A β , together with its amphipathic character, makes it highly susceptible for structural

transitions and modifications by lipids with significant physiological consequences. Lipid-A β interactions have particularly become relevant in light of the recent findings that similar to prions, polymorphic aggregates could behave as distinct strains in imparting phenotypic differences in AD brains [167]. The conformationally-distinct oligomers seed A β aggregation by recruiting disordered monomers towards aggregates with specific morphology. One of the defining events in the generation of stable, structurally-distinct oligomer seeds during the early stages of aggregation is the interaction of monomers with lipids. As elaborated above, membranes play a crucial role in initial structural transitions of A β . Depending on the nature of interactions between A β and membranes, oligomer seed-dependent propagation of aggregates towards various different structural forms results in discrete, structure-based cellular responses.

We now can realign our ideas on how membranes and lipids could influence the aggregation and consequently AD pathogenesis. As schematically shown in Figure 4a, temporal evolution of purely homotypic A β aggregation displays a sigmoidal growth curve (orange trace; Figure 4a). Depending on parameters such as charge distribution, phase transition, rigidity, and hydrophathy, surfactants can alter this temporal evolution of aggregates either by augmenting or inhibiting it (dashed lines; Figure 4a). One obvious consequence of such effects is the generation of conformationally-changed aggregates, which may propagate their structure in many ways leading to distinct strains. This phenomenon could also be understood based on a thermodynamic perspective also as shown in Figure 4b. Here, the so called ‘aggregation funnel’ in orange represents purely homotypic A β aggregation without the influence of surfactants. The introduction of heterotypic interactions changes the free energy landscape to accommodate and direct many conformational strains into deeper kinetic pits (green area; Figure 4b). Scenarios by which surfactant-mediated strains can be generated are schematically depicted in Figure 5.

Monomer interaction with different surfactant composition and chemistry may lead to the formation of oligomer strains, which can propagate into morphologically-distinct fibril strains (Figure 5). Such strains could interact and propagate differently to generate a multitude of strains with potentially diverse pathological effects. Keeping such a picture in mind, one can see a correlation between dynamic changes in aggregation and diversity in cellular response of A β aggregates. The diverse cellular effects in turn emerge from diversity in aggregate structures, vis-à-vis strains. Systematic structure-receptor correlations are yet to be clearly established, which may provide a much deeper understanding into the emergence of phenotypic differences from conformational strains. Nevertheless, as described above, a careful analysis of the large volume of literature on A β -membrane interactions generated over 2-3 decades indicates a conspicuous pattern involving a modulatory role and a catalytic role of surfactants in generating key oligomer strains. However, more needs to be done to ascertain unambiguously a clear cause-and-effect role of surfactants on phenotypic divergence in AD, which perhaps holds the fundamental basis for all A β -related pathologies.

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FIGURES

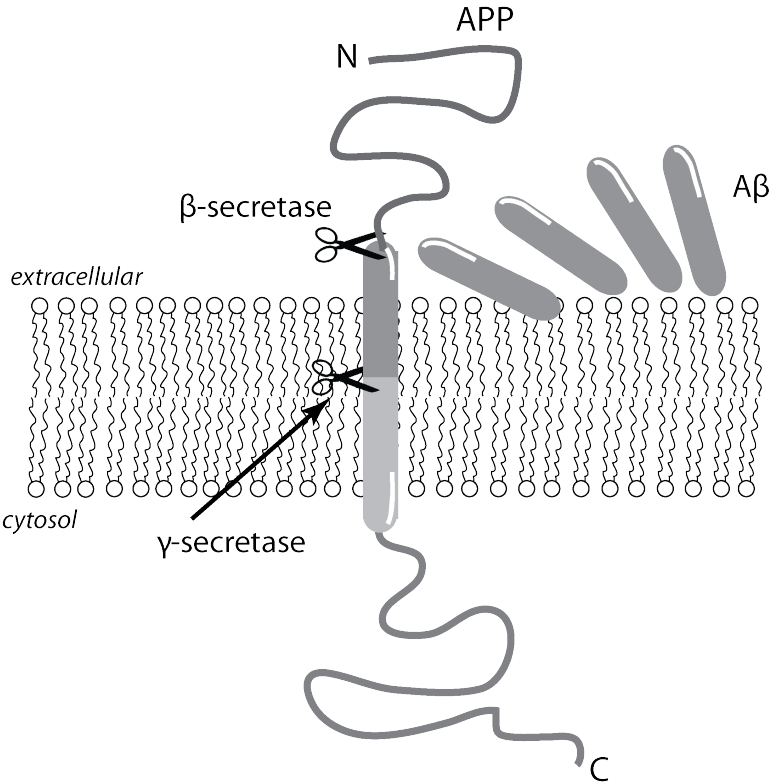


Figure 1: Aβ generation by sequential protease cleavage involving β- and γ-secretases.

a DAEFRHDSGY EVHHQKLVFF AEDVGSNKGA IIGLMVGGVV IA

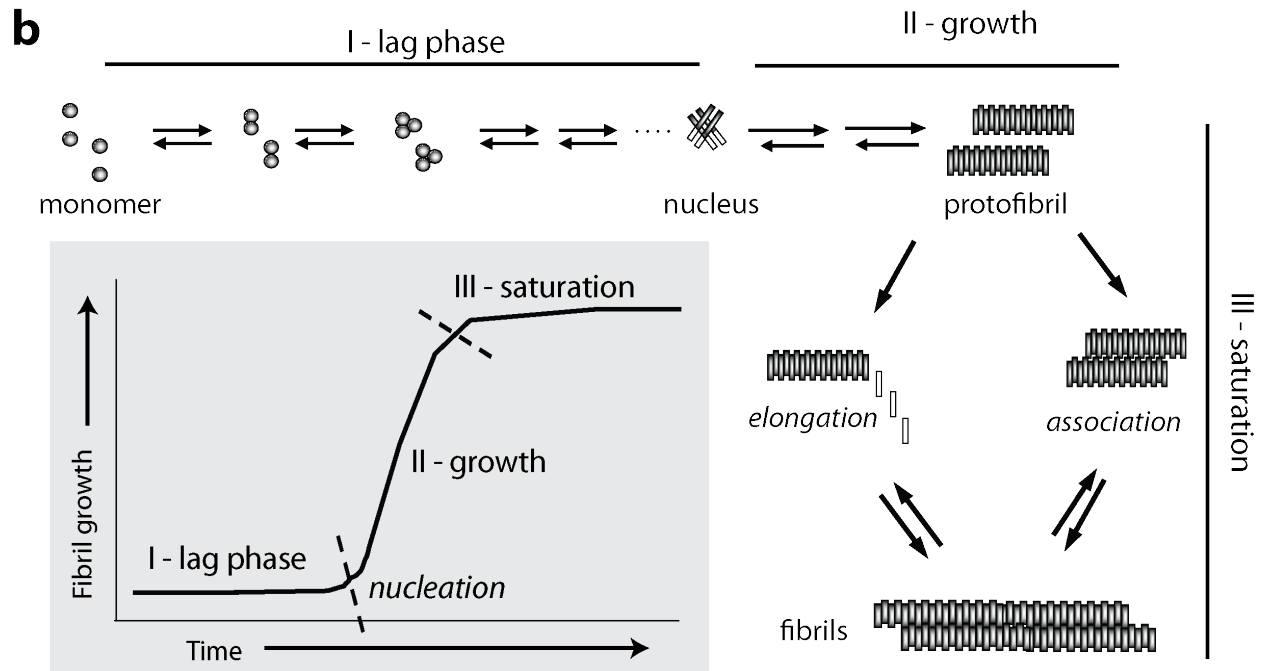


Figure 2: Protein sequence of Aβ1-42 protein (a) and schematic of aggregation reaction involving pure homotypic (Aβ-Aβ) interactions.

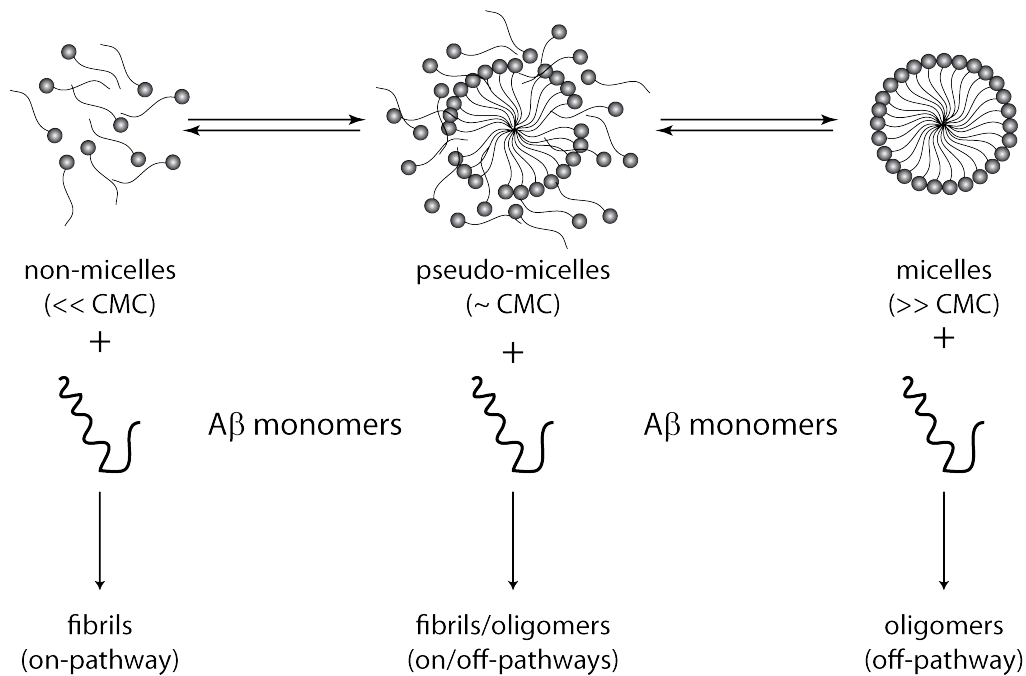


Figure 3. Schematic diagram showing modulation of Aβ aggregation pathways by micelle-forming lipids (adapted from [117]). Lipid and surfactants show dynamics involving three categorized phases depending on their equilibrium concentrations: below critical micelle concentrations (CMC), they exist predominantly in the non-micellar form while at high CMC they are present as micelles. Near their CMCs, they exist in unstable and dynamic “pseudo-micellar” form. Binding of Aβ to these forms could change the lipid phase-transition dynamics that result in modulation of aggregation pathways distinctly.

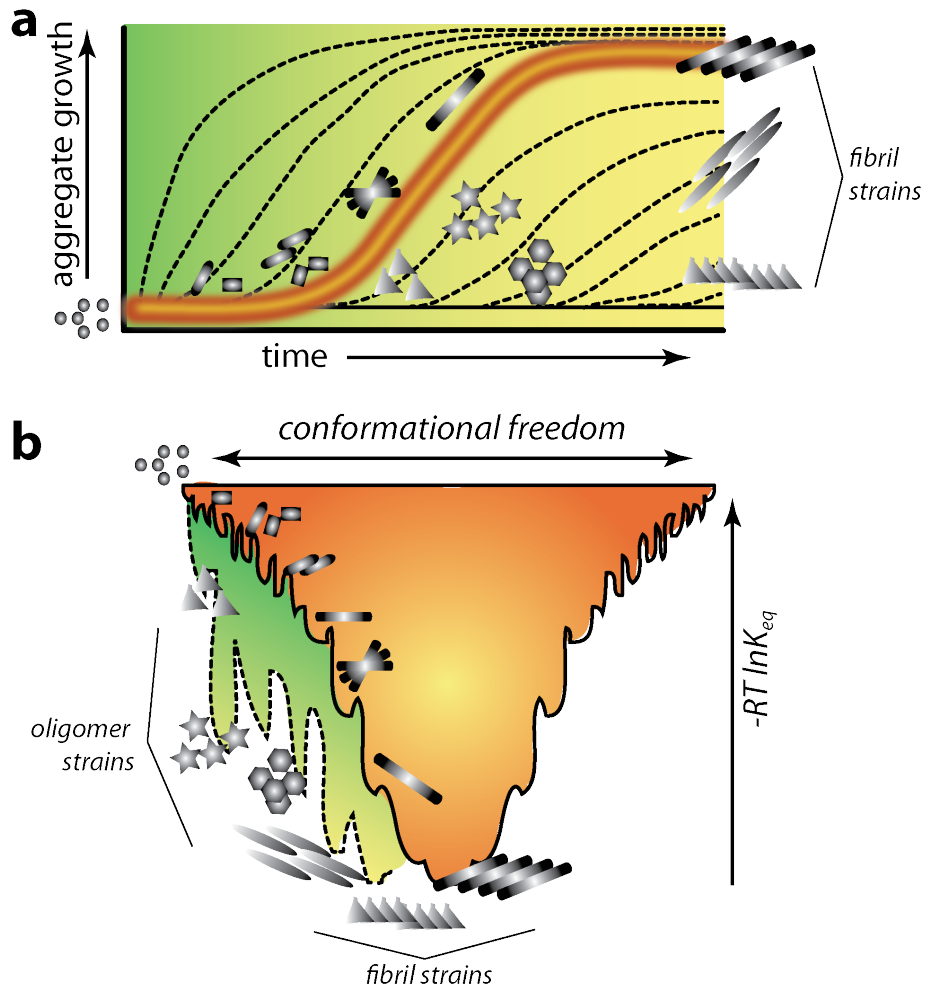


Figure 4. Kinetic and thermodynamic profiles of A β aggregation pathways in the absence (orange) and in the presence of (green) surfactants. a) Homotypic aggregation (A β -A β) in the absence of any interacting partners such as lipids, typically displays a sigmoidal growth curve (orange) with lag phase, growth phase and saturation (on-pathway). Heterotypic aggregation (A β -surfactants) is significantly altered (represented as dashed lines along a green background) depending on the nature of lipids (off-pathway). Such alteration of pathways leads to different oligomer and fibrils strains. b) Aggregation funnel represented in terms of energy landscape for homotypic (orange) and heterotypic (green) interactions.

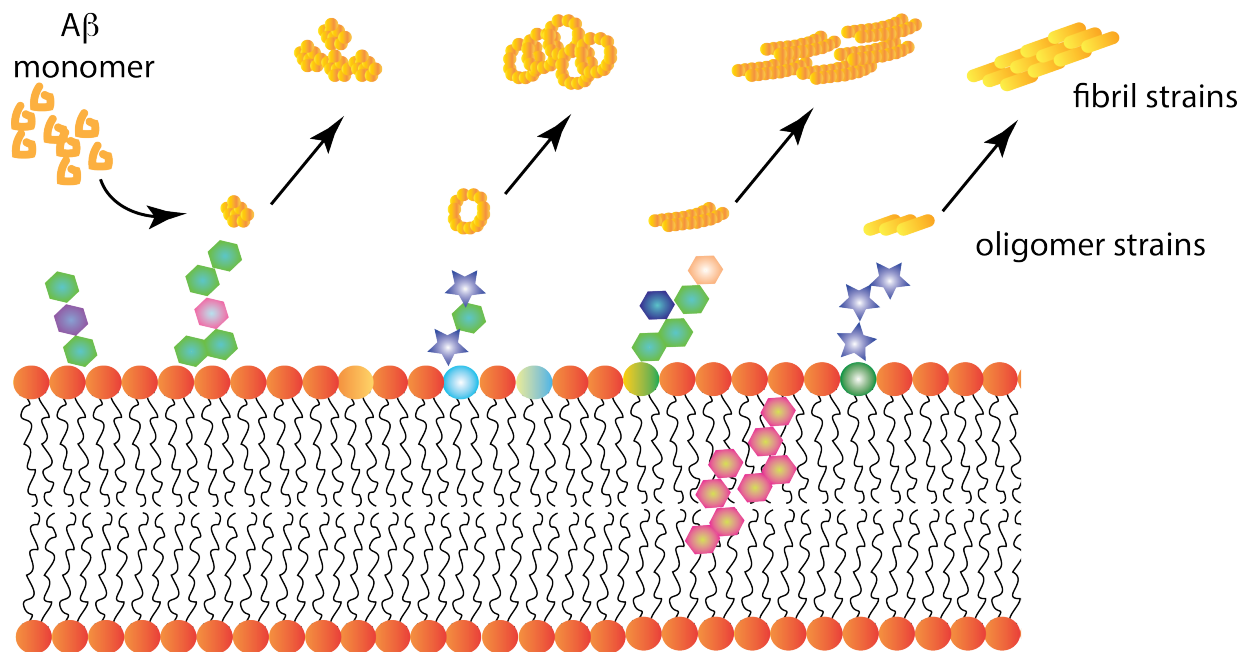


Figure 5: Schematic representation of interactions between A β and surfactants leading to strain generation. Based on the many reports detailed in this manuscript, the interaction of A β monomers with lipids are initiated by the surface interactions, which are dictated by the physicochemical characteristics of lipid head groups and other molecule present on the membrane surface. Various hexagonal structures on the surface indicate this aspect. The hexagonal structures embedded in the membrane represent cholesterol. A β undergoes conformational changes based on the nature of the lipid which generates different conformational oligomer strains (denoted here as different shapes of yellow structures). Each strain can propagate their structures resulting in polymorphic fibrils.