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1 *For publication in: Science of the Total Environment. Research paper.*

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3 **Do humans spread zoonotic enteric bacteria in Antarctica?**

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5 Marta Cerdà-Cuéllar¹, Elisabet Moré¹, Teresa Ayats¹, Mònica Aguilera¹, Sara Muñoz-González¹, Noelia
6 Antilles¹, Peter G. Ryan², and Jacob González-Solís³

7

8 ¹Centre de Recerca en Sanitat Animal (CRESA), IRTA, Campus Universitat Autònoma de Barcelona,
9 08193-Bellaterra, Barcelona, Spain

10 ² FitzPatrick Institute of African Ornithology, DST-NRF Centre of Excellence, University of Cape Town,
11 Rondebosch 7701, South Africa

12 ³Institut de Recerca de la Biodiversitat (IRBio) and Departament de Biologia Evolutiva, Ecologia i Ciències
13 Ambientals, Universitat de Barcelona, 08028-Barcelona, Spain

14

15 Corresponding author: Marta Cerdà-Cuéllar, marta.cerda@irta.cat

16

17 **Abstract**

18 Reports of enteric bacteria in Antarctic wildlife have suggested its spread from people to seabirds
19 and seals, but evidence is scarce and fragmentary. We investigated the occurrence of zoonotic enteric
20 bacteria in seabirds across the Antarctic and subantarctic region; for comparison purposes, in addition
21 to seabirds, poultry in a subantarctic island was also sampled. Three findings suggest reverse zoonosis
22 from humans to seabirds: the detection of a zoonotic *Salmonella* serovar (ser. Enteritidis) and
23 *Campylobacter* species (e.g. *C. jejuni*), typical of human infections; the resistance of *C. lari* isolates to
24 ciprofloxacin and enrofloxacin, antibiotics commonly used in human and veterinary medicine; and most
25 importantly, the presence of *C. jejuni* genotypes mostly found in humans and domestic animals but
26 rarely or never found in wild birds so far. We also show further spread of zoonotic agents among
27 Antarctic wildlife is facilitated by substantial connectivity among populations of opportunistic seabirds,
28 notably skuas (*Stercorarius*). Our results highlight the need for even stricter biosecurity measures to
29 limit human impacts in Antarctica.

30

31

32 **Keywords:** Antarctica, *Campylobacter*, *Salmonella*, seabirds, Southern Ocean, reverse zoonosis.

33

34 **1. Introduction**

35 The global spread of pathogens is a growing conservation concern because their introduction into
36 novel environments can have dramatic effects on wildlife (Paxton et al., 2016; Van Riper et al., 1986).
37 Pathogens have been dispersed by migratory birds, fish, mammals and other taxa for millions of years,
38 but in recent centuries humans have also contributed to their dispersal (Altizer et al., 2011; Fuller et al.,
39 2012). Antarctica is the only continent where reverse zoonosis transmission has not been documented
40 (Messenger et al., 2014). Despite ongoing concern about human impacts in the region, diseases have
41 not been identified as significant threats (Chown et al., 2012b, 2012a).

42 To date, the presence of pathogens in Antarctic wildlife has received limited attention (Barbosa and
43 Palacios, 2009; Kerry and Riddle, 2009). It has been assumed that the region's isolation and relatively
44 recent exploration by humans have protected Antarctic wildlife from novel pathogens, although there
45 have been several outbreaks of infectious diseases at Southern Ocean islands (Cooper et al., 2009; Kane
46 et al., 2012; Weimerskirch, 2004). The few surveys of pathogens in Antarctica have been opportunistic,
47 and investigations of occasional mass mortality events to date have not established clear evidence of
48 human-to-animal transmission (Frenot et al., 2005; Gardner et al., 1997; Hernandez et al., 2012; Iveson
49 et al., 2009; Kerry and Riddle, 2009; Vigo et al., 2011).

50 The mechanisms by which pathogens invaded the Southern Ocean wildlife remain uncertain. Some
51 infectious agents may have invaded the Antarctic and subantarctic region well before the arrival of
52 humans, through migratory birds and their parasites. This is likely to be the case for some pathogens
53 vectored by seabird ticks, such as *Borrelia* spp., as suggested by some authors (McCoy et al 2012; Olsen
54 et al., 1995). However, for other pathogens this may not be the case and humans may be increasing the
55 income of pathogenic agents into that region. Whilst human-mediated transport may be a legacy of
56 exposure in the last few centuries to sealers and whalers or to their domestic animals (Gardner et al.,
57 1997; Griekspoor et al., 2010), several studies indicate that the main risk of pathogen invasion is the

58 increase in tourism and research activities, which currently account for tens of thousands of visitors
59 each year (Curry et al., 2002; Hughes and Convey, 2010). In this regard, the Protocol on Environmental
60 Protection to the Antarctic Treaty (1991), which came into force in 1996, included a number of
61 measures to prevent the introduction of novel pathogens (Committee for Environmental Protection,
62 2011). However, it may be of limited value if Antarctic wildlife migrates to areas outside the Antarctic
63 region, where they can be exposed to a wide range of pathogens during their broad scale movements.
64 Many Antarctic seabirds disperse across the Southern Ocean, coming into contact with domestic species
65 in populated areas, and some species that visit the region during the Antarctic summer spend the winter
66 in the northern hemisphere (e.g. Arctic Terns *Sterna paradisaea* and South Polar Skuas *Stercorarius*
67 *maccormicki*). Such large-scale movements may introduce pathogens to Antarctica, and disperse them
68 within the region. Climate change also may alter the migratory habits of animals, increasing the spread
69 and contact between Antarctic, subantarctic and temperate wildlife (Altizer et al., 2013).

70 The zoonotic bacteria *Salmonella* spp. and thermotolerant *Campylobacter* spp. are amongst the
71 most important foodborne diarrheal pathogens worldwide (Havelaar et al., 2015). Both agents can
72 spread rapidly in the environment through faecal contamination and can persist in soil or water for long
73 enough to infect wild fauna. We explore the transfer of these zoonotic bacteria from humans and
74 poultry to the subantarctic and Antarctic region by sampling 24 seabird species over a broad
75 geographical range, identifying bacterial species and comparing serovars and genotypes in seabirds with
76 those commonly found in humans and domestic animals, and by testing their resistance to antibiotics
77 commonly used in human and veterinary medicine. We also evaluate whether these pathogens are
78 spreading across wildlife of the Southern Ocean.

79

80 **2. Materials and methods**

81 *2.1. Sampling*

82 From 2008 to 2011 we collected faecal samples from adult seabirds at four Southern Ocean
83 localities: Livingston (Antarctica), Marion, Gough and the Falkland Islands (Figure 1A, Table 1).
84 Additionally, we also sampled backyard poultry at the Falklands, which support a permanent human
85 settlement with a number of farms in close contact with subantarctic and Antarctic wildlife. Birds were
86 caught by hand and faecal samples were collected in duplicate using sterile swabs inserted into the
87 cloaca. Samples were stored refrigerated in Amies transport medium with charcoal (Deltalab, Barcelona,
88 Spain), transported to Spain within two to five weeks after the day of collection and cultured
89 immediately upon arrival to the laboratory.

90

91 2.2. Bacterial isolation and identification

92 We performed *Salmonella* and *Campylobacter* isolation and identification by standard culture
93 methods (Antilles et al., 2015). *Salmonella* serotyping was performed according to the Kauffmann-White
94 scheme (Grimont and Weill, 2007) and carried out at the Laboratori Agroalimentari (Cabrils, Spain) of
95 the Departament d'Agricultura, Ramaderia, Pesca, Alimentació i Medi Natural. We identified
96 *Campylobacter* isolates to species level by PCR using primers based on the *lpxA* gene (Klena et al., 2004).
97 A multiplex PCR for *C. jejuni* and *C. coli* identification was performed using forward primers *lpxA-Cjejuni*
98 (5'-ACA ACT TGG TGA CGA TGT TGTA-3') and *lpxA-Ccoli* (5'-AGA CAA ATA AGA GAG AGA ATC AG-3') and
99 a common reverse primer (*lpxARKK2m*: 5'-CAA TCA TGD GCD ATA TGA SAA TAH GCC AT-3'). *C. lari*
100 identification was performed with a monoplex PCR using primers *lpxA-Clari* (5'-TRC CAA ATG TTA AAA
101 TAG GCG A-3') and *lpxARKK2m*. The type strains of *C. jejuni*, *C. coli* and *C. lari* were used as positive
102 controls in the corresponding species-specific PCRs, and as negative control DNA was replaced by PCR-
103 grade water.

104

105 2.3. Antimicrobial susceptibility testing

106 We performed antimicrobial susceptibility testing for both *Salmonella* and *Campylobacter* isolates
107 following the Clinical Laboratory and Standard Institute disc diffusion method (M100-S18) (CLSI, 2016)
108 using Neo-Sensitabs™ (Rosco Diagnostica, Denmark) with CLSI potencies according to the
109 manufacturer's instructions. For *Salmonella* isolates, we used Mueller-Hinton agar (Difco, Madrid, Spain)
110 and plates were incubated at 37°C for 24 h. For *Campylobacter* isolates, we used Mueller-Hinton II agar
111 supplemented with 5% defibrinated sheep blood (BioMérieux, Marcy l'Etoile, France) and plates were
112 incubated at 37°C for 48 h under microaerobic conditions. *E. coli* ATCC 25922 and *C. jejuni* ATCC 33560
113 were used as a quality control for *Salmonella* and *Campylobacter* susceptibility assays, respectively.

114 *Salmonella* isolates were tested against 18 antimicrobials: ampicillin (33 µg), amoxicillin (30 µg),
115 amoxicillin clavulanic (30+15 µg), ceftiofur (30 µg), apramycin (40 µg), streptomycin (100 µg), gentamicin
116 (40 µg), neomycin (120 µg), ciprofloxacin (10 µg), enrofloxacin (10 µg), nalidixic acid (130 µg),
117 norfloxacin (10 µg), colistin (150 µg), chloramphenicol (60 µg), lincomycin + spectinomycin (15 + 200 µg),
118 nitrofurantoin (260 µg), tetracycline (80 µg) and trimethoprim + sulfonamide (5.2 + 240 µg).
119 *Campylobacter* isolates were tested against seven antimicrobials: nalidixic acid (30 µg), ciprofloxacin (5
120 µg), enrofloxacin (10 µg), tetracycline (80 µg), chloramphenicol (60 µg), erythromycin (15 µg) and
121 gentamicin (10 µg).

122

123 2.4. *Salmonella* and *Campylobacter* genotyping

124 We typed representative bacterial isolates with pulsed-field gel electrophoresis (PFGE) and
125 multilocus sequence typing (MLST). PFGE was carried out according to the standard operating procedure
126 of PulseNet (www.pulsenetinternational.org). We performed restriction enzyme digests for PFGE with
127 XbaI and BlnI enzymes for *Salmonella*, and with SmaI and KpnI enzymes for *Campylobacter* (Roche
128 Applied Science, Indianapolis, IN, USA). *Salmonella* Braenderup H9812 restricted with XbaI was used as
129 molecular size standard for both *Campylobacter* and *Salmonella*. We analysed the resulting PFGE

130 patterns using Fingerprinting II v3.0 software (Bio-Rad, Hercules, CA, USA). Banding patterns were
131 compared with the UPGMA (Unweighted Pair Group Method with Arithmetic averages) clustering
132 method using the Dice correlation coefficient with a band position tolerance of 1%.

133 We further characterized *S. enterica* and thermotolerant *Campylobacter* using MLST, which is based
134 on sequencing of seven housekeeping genes (Achtman et al., 2012; Dingle et al., 2001; Miller et al.,
135 2005). Primers used for *Salmonella* were those described in the MLST public database
136 (<http://mlst.warwick.ac.uk/mlst>) and those used for *Campylobacter* species are indicated in the
137 corresponding MLST database (www.pubmlst.org/campylobacter) and in Miller *et al.* (2005). The
138 sequence types were determined according to the scheme provided on these sites.

139 To explore potential spill-over from domestic to wild birds, we compared *C. jejuni* and *C. lari*
140 isolates found in the present study with others from ducks and hens from Falkland Is., using PFGE and
141 MLST.

142

143 **3. Results**

144 *3.1. Salmonella and Campylobacter spp. in seabirds*

145 We sampled 666 seabirds from 24 species at Livingston (n= 139), Gough (n= 138), Marion (n= 125)
146 and the Falkland Islands (n= 264) (Figure 1A; Table 1), and isolated three *Salmonella ser. Enteritidis*, 10
147 *C. jejuni* and 35 *C. lari*. The only other *Salmonella* serovar detected was one Oakey; no other
148 thermotolerant *Campylobacter* species were found.

149 We isolated *Salmonella* Enteritidis from two kelp gulls (*Larus dominicanus*) and one southern giant
150 petrel (*Macronectes giganteus*) from Livingston Is.; *C. jejuni* from one macaroni penguin (*Eudyptes*
151 *chrysolophus*), one king penguin (*Aptenodytes patagonicus*), and six brown skuas (*Catharacta antarctica*)
152 at Marion Is. and from single brown skuas at Gough and the Falkland Is (Figure 1B); and *C. lari* from one
153 gentoo penguin (*Pygoscelis papua*), one southern giant petrel and 10 brown skuas at Livingston Is.; from

154 one macaroni penguin, two southern giant petrels and seven brown skuas at Marion Is.; from 10 brown
155 skuas at Gough Is.; and from three brown skuas at the Falkland Is. Marion Is. showed the highest
156 diversity of positive seabird species to *Campylobacter* and was the only locality where co-infections
157 occurred of both *C. jejuni* and *C. lari* (n=3 skuas).

158

159 3.2. Antimicrobial resistance

160 We did not detect any antimicrobial resistance in isolates of *Salmonella* or *C. jejuni*. Among *C. lari*
161 isolates, besides nalidixic acid resistance, which is characteristic of this species and was found in all
162 tested isolates, we found ciprofloxacin resistance in isolates from one macaroni penguin and two skuas
163 from Marion Is., and from three skuas from Gough Is. Ciprofloxacin and enrofloxacin resistance was
164 detected in two *C. lari* from skuas at Livingston Is.

165

166 3.3. Genetic diversity

167 All three *Salmonella* Enteritidis isolates exhibited identical PFGE patterns and MLST sequence type
168 (ST11). Among *C. jejuni* isolates, PFGE analysis clustered together three isolates: two from brown skuas
169 from the Falklands and Marion Is. and one from a domestic duck from the Falklands. MLST showed
170 these isolates to belong to the widespread ST45. Four other *C. jejuni* ST (ST137, ST227, ST696 and ST883)
171 were isolated from skuas and penguins at Gough and Marion Is. (Figure 2). These ST have been reported
172 in several hosts in developed countries of the northern hemisphere and Australia (Figure 1C).

173 Among *C. lari* isolates, PFGE genotyping showed highly similar isolates (> 80% similarity) from
174 several skuas at Livingston, Marion and Gough Is. and from a giant petrel at Marion Is. One cluster was
175 formed by three (GH128-C1, GH131-C1 and MAR5-C1) nearly identical isolates (\geq 95% similarity) found
176 in skuas from Gough and Marion Is. belonging to the same novel ST (Figure 3). In addition, the same
177 genotype was found in two different seabird species, a brown skua and a gentoo penguin from

178 Livingston Is. (isolates AN138-C7 and AN32-C1), which were closely related (81% similarity) to an isolate
179 from a duck (FK72-C1) from the Falklands. One cluster grouped isolates from distant localities, i.e. one
180 isolate from a skua at the Falklands and one from a penguin at Marion Is. (FK54-C1 and MAR18-C1), with
181 an 88% similarity.

182

183 **4. Discussion**

184 Three lines of evidence suggest a reverse zoonosis in Antarctica, whereby zoonotic enteric bacteria
185 have been introduced by humans to Southern Ocean ecosystems: the detection in seabirds of
186 *Salmonella* serovars (e.g. Enteritidis) or *Campylobacter* species (e.g. *C. jejuni*) typically associated with
187 humans (Figure 1B), the antibiotic resistance of some strains, and most importantly, the occurrence of
188 several *Campylobacter* genotypes (ST45, ST137, ST227, ST696 and ST883) previously reported almost
189 exclusively in humans and domestic animals from developed countries. *Salmonella* was only isolated
190 from a few seabirds at Livingston Is. (Antarctic Peninsula), suggesting *Salmonella* is not indigenous to
191 seabirds in the region. *Salmonella* Enteritidis serovar is, together with Typhimurium, the most common
192 serovar causing salmonellosis in humans worldwide (Hendriksen et al., 2011). Our results agree with the
193 scarcity of *Salmonella* isolates previously reported in seabirds and mammals of the Southern Ocean,
194 which mainly belong to serovars commonly found in humans (Figure 1B) (Dougnaç et al., 2015; Iveson et
195 al., 2009; Olsen et al., 1996; Palmgren et al., 2000; Retamal et al., 2017; Vigo et al., 2011). The
196 *Salmonella* serovar we found typically occurs in scavenging birds associated with urban areas, such as
197 gulls and raptors, and is relatively uncommon in wildlife from less transformed areas (Čížek et al., 1994;
198 Jurado-Tarifa et al., 2016; Ramos et al., 2010). All our *Salmonella* isolates had the same PFGE
199 macrorestriction profile and the same MLST type (ST11), which has also been reported from seabirds
200 and seals in the Antarctic Peninsula (Vigo et al., 2011), and it is the most abundant and widespread ST of

201 ser. Enteritidis worldwide, further suggesting the clonal spread of this serovar from other continents to
202 Antarctica.

203 We found thermophilic *Campylobacter* species in all sampled localities, mainly *C. lari*, but also *C.*
204 *jejuni*, which is a major cause of foodborne diarrhoeal illness in humans worldwide (Havelaar et al.,
205 2015). *C. jejuni* has been isolated only once in penguins from the same colony (3/100; 3/446 of all
206 sampled birds) in the broader Antarctic region, at South Georgia (Broman et al., 2000). In non-remote
207 areas, prevalence of this *Campylobacter* species from scavenging seabirds has been reported at much
208 higher rates (authors, unpublished data) (Kapperud and Rosef, 1983; Keller et al., 2011). We found *C.*
209 *jejuni* mainly in brown skuas, one of the main opportunistic seabird species of the Southern Ocean.
210 When given the chance, skuas often scavenge on human waste, providing a plausible mechanism for the
211 transfer of *C. jejuni* to this species.

212 Antimicrobial resistance was generally low, but the presence of at least certain resistance is
213 worrying given that they were found in some of the most remote areas on Earth. A few *C. jejuni* and *C.*
214 *lari* isolates from poultry at the Falklands (authors, unpublished data) and some *C. lari* isolates from a
215 macaroni penguin and skuas from three islands were resistant to fluoroquinolones (ciprofloxacin,
216 enrofloxacin). These agents belong to the so-called critically important antimicrobials and are therefore
217 seldom used in human or veterinary medicine (WHO AGISAR, 2012). As a result, the development of
218 resistance in backyard poultry or wild seabird populations is very unlikely, strongly suggesting
219 contamination by a resistant strain of anthropogenic origin. Interestingly, the domestic duck which
220 carried a *C. lari* resistant isolate was free ranging most of the day, a practice that may facilitate
221 transmission between the domestic and the wildlife compartments. Resistance also may have
222 developed through spontaneous mutation, acquired by horizontal gene transfer from other
223 microorganisms that constitute natural sources of drug-resistant genes, or may have been imported into
224 the Southern Ocean through bird migration. However, the detection in skuas of several *C. jejuni*

225 genotypes almost exclusively found in humans and livestock supports the likelihood of reverse zoonosis.
226 MLST analysis showed some strains from skuas from Marion Is. to belong to new STs. They could
227 represent host specific strains or strains endemic of the Southern Ocean. However, several other
228 genotypes belonged to STs almost exclusively associated with human disease and asymptomatic
229 infection in livestock (ST45, ST137, ST227, ST696 and ST883) from northern developed countries,
230 strongly supporting their human origin. That is, 70%-85% of the isolates belonging to those STs have
231 been isolated previously from human gastroenteritis cases, and some of them also from chicken or
232 chicken products, but rarely (1-9% of the isolates) or never from wild birds
233 (<https://pubmlst.org/campylobacter/>). At Gough and Marion Is., introduction likely occurred through
234 personnel based at the South African scientific stations, despite strict biosecurity controls for more than
235 two decades. The introduction of these human-associated strains to these remote islands by migrating
236 birds infected during migrating movements cannot be ruled out, but seems less plausible.

237 The case of the Falkland Is. is particularly relevant, since ST45 was isolated from a skua and a
238 domestic duck. This ST is very common in humans and livestock but has only been reported once in a
239 single bird in the Southern Ocean in a remote site of the Subantarctic region (Griekspoor et al., 2010;
240 Olsen et al., 1996), suggesting movement from the domestic to the wildlife compartment. Inhabited
241 areas close to the Antarctic region with free-ranging livestock, such as Patagonia, the Falklands and
242 Tristan da Cunha, are of particular concern, since in these localities domestic animals come in close
243 contact with Antarctic wildlife, potentially facilitating the spread of infectious diseases. Many Antarctic
244 birds and mammals regularly visit these areas or mix with the local fauna in common wintering grounds
245 (Shirihai, 2007).

246 It is also plausible that zoonotic enteric bacteria and other pathogens can spread and circulate
247 through wildlife across the Southern Ocean. *C. lari*, the most abundant *Campylobacter* species recovered
248 at all four sites, has been reported previously in Southern Ocean penguins, gulls, skuas and seals

249 (Bonnedahl et al., 2005; García-Peña et al., 2017, 2010; Leotta et al., 2006). The widespread distribution
250 of *C. lari* among host species and localities and its high genetic diversity suggest that it has long been
251 circulating in the region. The genetic similarities among isolates from skuas, penguins and gulls in our
252 study also suggest substantial connectivity across Southern Ocean localities and therefore potential for
253 spreading new pathogens.

254 Our results provide compelling evidence for reverse zoonosis of pathogens in Antarctica and
255 suggest that zoonotic enteric bacteria can be spread by wildlife across the Southern Ocean. The
256 increasing spread of pathogens, underpinned by globalization and climate change, now affects the most
257 remote areas on Earth. Strict measures to limit human impacts in Antarctica (Chown et al., 2012b,
258 2012a) should be expanded to zoonotic bacteria and to settled areas in the peri-Antarctic region.

259

260 **Acknowledgments**

261 Logistic support and financial funding during fieldwork was provided by the South African
262 Department of Environment Affairs, through the South African National Antarctic Programme and
263 *Ministerio de Educación y Ciencia* (POL2006-06635) and *Ministerio de Ciencia e Innovación* from the
264 Spanish Government (CGL2006-01315/BOS, CGL2009-11278/BOS). We thank Rob Ronconi and Antje
265 Steinfurth for their help during fieldwork at Gough and Marion Islands; Antonio Quesada and José
266 Antonio Gil-Delgado for their support in logistics and sampling at Byers; the Falkland Islands
267 Government, the South Atlantic Environmental Research Institute, Mike Brooke and many kind farmers
268 for permits and logistic support at Falklands/Malvinas. We acknowledge the support of Virginia Aragón
269 in the initial analyses of samples from Gough Is, and the comments on the manuscript. CERCA
270 Programme from the Generalitat de Catalunya is also acknowledged.

271 **Conflict of interest statement**

272 The authors declare they have no conflicts of interest.

273

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