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1 Implementation of a Quality by Design approach in the potato chips
2 frying process

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4 Pierre A. Picouet², Pere Gou¹, Valerio Pruneri³, Isabel Diaz¹, and Massimo Castellari¹

5

6 ¹ Institute of Agriculture and Food Research and Technology (IRTA), Finca Camps i Armet s/n,
7 17121, Monells (Spain)

8 ² USC 1422 GRAPPE, INRA, Ecole Supérieure d'Agricultures, SFR 4207 QUASAV, 55 rue Rabelais
9 49100 Angers (France).

10 ³ Institute of Photonic Science (ICFO), Mediterranean Technology Park, Av. Carl Friedrich Gauss, 3
11 08860 Castelldefels, (Spain)

12

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14 Abstract:

15 The purpose of the article is to implement a holistic concept namely Quality by Design (QbD)
16 approach for assessment of deep frying of potatoes chips. Critical quality attributes (CQAs),
17 critical process parameters (CPPs) and quality target parameters (QTPs) were identified and
18 measured all along the chips processing chain in 98 independent experiments. Temperature,
19 time and oil quality usually used in the food industry were applied. Multilinear regression
20 (MLR) was conducted to identify the variables (CQAs and CPPs) that could explain variation
21 of the QTPs. An aggregation of significant QTPs was also performed in order to determine a
22 single value that could express final products quality coupled to MLR analysis. It was possible
23 to identify the main CQAs and CPPs that can explain the variation of some QTPs (colour a*,
24 “flavour roast” sensory attribute, pentylfuran content and acrylamide content) as well as
25 aggregated data.

26

27

28 Keywords: Quality by Design, Potatoe Chips, Deep frying, Multilinear regressions, temperature

29 1. INTRODUCTION

30 Food consumer and retailer expectations are incessantly increasing, market requires safe and
31 nutritious food that looks appetizing, tastes good, at an affordable price and with a minimal
32 environmental impact. To achieve consistency in all the product properties the process
33 conditions (path to endpoint or process signature) must also be kept under statistical control
34 [Kourti, 2006]. However, food materials are complex biological matrices, and the variability
35 introduced by the sequence of unit operations in food processing directly influences the
36 compositional and sensorial properties as well as the safety and the shelf-life of the final food
37 products. To reduce this variability, the strategies based on Quality Assurance can be quite
38 effective but are expensive and not flawless (Chen et al., 2011).

39 Therefore, the food producers must frequently manage poor repeatability of food quality
40 attributes and batch failures; unsuitable or noncompliant batches must be discarded or reworked
41 with high additional costs. To overcome these problems, the food industry is trying to shift to
42 a novel holistic concept, the Quality by Design (QbD), which initially has been implemented
43 by the pharmaceutical industry in 2004 by the United States Food and Drug Administration
44 (FDA, 2004; Bakeev, 2010; van den Berg et al. 2013; Tajmmal Munir et al. 2015). The QbD
45 hypothesis is that the quality of the food products should be incorporated during their
46 development by precisely designing and controlling the process, and not by post-production
47 quality testing (Rathore & Kapoor, 2017). Adoption of such innovative process concept can
48 also give a broader view of the parameters to be optimized to ensure safe and high-quality food
49 products (Cullen et al. 2014).

50 Examples of QbD applications in the food industry are increasing, even if examples of real
51 industrial during-production monitoring are rare in the scientific literature because it might
52 reveal confidential product and process information. In many cases there is, however, a clear

53 need to bridge the gap between the many promising scientific reports and actual use of these
54 methods in the food industry (van den Berg et al. 2013; Panikuttira & O'Donnell 2018).

55 Among the industrial food processes, deep-frying is a common, but complex, multifunctional
56 unit operation for fast dewatering, texturing or cooking foods, which simultaneously involves
57 heat and mass transfer. One of the most widespread fried products are the potato chips, whose
58 production embraces different steps, such as washing and peeling of raw materials, slicing,
59 blanching and dewatering, etc. Deep frying is considered the more critical step, because the
60 quality and safety of the final fried products are influenced by many factors, such as the nature
61 and composition of fried materials, the combination of processing time and temperature, the
62 heating profile, the oxidation status of frying oil, etc. (Rojo & Perkins, 1987; Vitrac et al., 2003;
63 González-Martínez et al., 2004; Chatzilazarou et al., 2006; Romani et al., 2009; Kalogianni et
64 al., 2010; Zhang et al., 2012; Kalogianni et al. 2017).

65 The main objective of the present study is to establish a Quality by Design approach in order to
66 identify main quality parameters of the final products related with safety, taste and colour and
67 to identified the useful quality and process parameters that can explain variation during
68 production of deep-fried potatoes “chips”. Another objective is the evaluation of suitable data
69 aggregation strategies that could predict the quality and safety parameters of the final product.

70

71 2. MATERIAL AND METHODS

72

73 2.1 Fresh potatoes and frying oils

74 Homogenous 30 kg batches of potatoes (cultivar Agria) suitable for deep frying (Yang et al.,
75 2016) were provided by Frufesc (Disbesa Grup, Barcelona, Spain) during a period of 5 month
76 (from October to February). Each batch was used to carry out five frying experiments during

77 the same working day. The potato batch was randomly divided in 5 aliquots of 5 kg each, which
78 were processed sequentially along the same working day. Commercial fresh and exhaust
79 sunflower oil, commonly used in the industry were both provided by an industrial manufacturer
80 of potatoes chips (Grupo Siro, Palencia, Spain).

81

82 2.1 Frying equipment

83 The frying process was carried out with a continuous fryer model Frymatic24 (Nilma S.p.a.,
84 Parma, Italy), with a maximum capacity of 40 kg/h, and equipped with an original Distribute
85 Temperature Sensor (DTS) made by the Institute of Photonic Sciences (ICFO, Casteldefelds,
86 Spain). The DTS probes were based on Fibre Bragg Gratings (FBG) written in two optical
87 fibres. Each of the two probes consisted of five single FBGs, equi-spaced (15 cm) on the same
88 optical fibre, protected by a stainless tube and connected only at one end, on an armoured patch-
89 cord terminated with a FC/UPC connector. Therefore DTS probes recorded simultaneously,
90 each second, oil temperature in ten points along the frying tank (Figure 1). Temperature values
91 recorded by the two probes in the same position along the tank were aggregated to define five
92 temperature zones called E, M1, M2, M3 and Ex, where “E” zone corresponded to the entrance
93 of the potatoes in the frying tank, and the “Ex” zone corresponded to the exit (Figure 1). Oil
94 temperature was measured before starting (TO_{av}) and during (TC°_E , TC°_{M1} , TC°_{M2} , TC°_{M3} and
95 TC°_{Ex}) frying process. The average temperature of the oil (TC°_{av}) was also calculated as the
96 average of all the values recorded in the five zones at the same time.

97

98 2.2 Frying experiments

99 A specific design of experiment (DoE) was defined, based on 65 independent frying
100 experiments for the calibration set and 33 independent frying experiments for the validation set.

101 Independent variables considered in the DoE were: *i*) frying temperature (ranging from 150 °C
102 to 175 °C; n = 5 levels), *ii*) time of frying (ranging from 150 to 180 seconds, n= 5 levels) and
103 *iii*) oil quality (ranging from 100% fresh oil to 100% exhaust oil defined as used oil with a level
104 of total polar material above 12%, n= 5 levels).

105 For all the frying experiments the same protocol was followed, which included: *i*) washing of
106 the fresh potatoes with cold water and peeling (potato peeler M5, Sammic S.L., Azkoita - Spain)
107 *ii*) immersion of peeled potatoes in cold-water, *iii*) slicing (Robot Coupe CL50 with a 1 mm
108 disk, Dijon, France), and *iv*) final washing with cold water (5 °C).

109 Oil temperature and time of frying were precisely adjusted to the DoE by the controller of the
110 continuous fryer. The frying tank was filled with 100 L of sunflower oil and oil quality was
111 modified by mixing fresh with exhaust sunflower oil in established proportions according to
112 the DoE. When oil reached the target temperature, a batch of about 4 kg sliced potatoes was
113 loaded in the fryer.

114

115 2.4 Process monitoring and sampling

116 For each one of the 98 independent frying experiments (Calibration and Validation sets), nine
117 CQAs of the raw material and nineteen CQAs (related to oil quality), were monitored during
118 the frying process in addition to three critical process parameters (CPPs). Every day, before
119 starting the frying experiments, ten potatoes were randomly selected from the potato batch, in
120 order to assess the CQAs of the raw material before frying. Each sampled potato was cut in two
121 halves; the first one was used to immediately measure the colour, the second one was divided
122 in five aliquots, which were separately packed in multilayer PP-aluminium bags and
123 immediately stored at -80 °C.

124 Oil samples were taken during each frying process with a stainless spoon; samples were
125 immediately transferred in a 100 mL aluminium bottle (ISO Al 99.5; Bürkle, Bad Bellingen,
126 Germany), refrigerated with liquid nitrogen and stored at -80 °C for chemical analyses.

127 After processing, and taking out the first kg of sliced potatoes to stabilize the fryer, an aliquot
128 of chips was taken for each one of the frying experiments, then packaged in multilayer PP-
129 aluminium bags and immediately stored at -80 °C for analysis of twelve QTPs (Quality target
130 Parameters), including both chemical and sensorial parameters related with quality and safety.

131 *Average, standard deviation, maximum and minimum of all parameters (CQAs and CPPs) for*
132 *calibration and validation sets are presented in table 1, while QTPs are presented in table 2.*

133

134 *2.4.1 Colour measurement*

135 Instrumental colour parameters in fresh potatoes samples, before frying, were measured with a
136 Konica Minolta chromameter Model CR-400 HS (Minolta, Tokyo, Japan) with an aperture of
137 8 mm. In potatoes chips, after frying, a Konica Minolta chromameter Model CR-410 HS
138 (Minolta, Tokyo, Japan) with an aperture of 50 mm was used. In both cases, the equipment was
139 set up for illuminate D65 (2° observer angle) and calibrated using a standard white reflector
140 plate. On the Model CR-400 HS, 5 points were measured for each samples while for the Model
141 CR-410 HS, 3 measurements were taken in succession on a batch of chips. Readings were
142 obtained applying the standard CIE 1976 L^* , a^* and b^* (1976) colour system-space.

143 *2.4.2 Total Soluble Solids Content*

144 Total Soluble Solids (TSS) content in fresh potatoes was determined by using a Quick Brix
145 TM90 (Mettler Toledo GmbH, Giessen, Germany). Potatoes samples were smashed, and one
146 drop placed on the refractometer glass, measurements were done in triplicate.

147 *2.4.3 Sugars Content*

148 Sucrose, Glucose and Fructose content in fresh potatoes were quantified by HPLC-RI following
149 the method of Folgado et al., (2014). Briefly, fresh potato samples (4 grams) were homogenised
150 and extracted two times with cold (-20 °C) ethanol 95%. After centrifugation, an aliquot of the
151 ethanolic fractions was evaporated with N₂, re-dissolved in 0.5 mL of ultrapure water,
152 membrane filtered (pore size 0.2 µm) and injected in the HPLC system (20 µL).
153 Chromatographic separation was carried out with a binary pump 515 equipped with a 2414
154 Refractive Index detector (Waters, Milford MA, USA) and an Aminex HPX-87C 300 x 7.8 mm
155 column (Bio-Rad, CA, USA) thermostated at 80 °C. Isocratic elution was carried out with
156 ultrapure MilliQ[®] water (Merck KGaA, Darmstadt, Germany) at a flow of 0.6 mL/min., and
157 quantification was made with an external calibration curve.

158

159 *2.4.4 Oil oxidation parameters*

160 Total Polar Material (TPM) in oil was quantified during frying with a cooking oil tester mod.
161 270 (Testo, Lenzkirch, Germany). Results were express in percentage (%) of Total Polar
162 Material. Data was collected in triplicate during each frying process. Peroxide Index, Acidity
163 Index and p-anisidine value in frying oil were assessed with a FoodLab Fat system (CDR s.r.l,
164 Florence – Italy) following the protocols and the reactants provided by the fabricant.

165

166 *2.4.5. Fatty acids profile*

167 Fatty acids profile in frying oil was analysed according to Mach et al. (2006). Fatty acid methyl
168 esters (FAMES) were obtained by following the ISO method 5509E (ISO 5509E, 1978) and
169 analysed using an HP 5890 Series II gas chromatograph (Hewlett Packard SA, Barcelona,
170 Spain). Individual fatty acids (FA) were identified by comparison of their retention times with

171 those of pure standards. Quantification was made by using an internal standard calibration with
172 glyceryl tritridecanoate.

173

174 *2.4.6. Volatile compounds*

175 Furan, acrolein, hexanal, pentylfuran and 2,4-decadienal in sunflower oils and chips were
176 analysed by SPME-GC/MS with a 6850 Network GC system equipped with a 5975C VL MS
177 axis detector (Agilent Technologies, Santa Clara, CA, U.S.A.) and a Combi Pal autosampler
178 (CTC Analytics AG, Zwingen, Switzerland). One gram of sample was added with 1 μ L of
179 mixed internal standard solution (acrolein- ^{13}C and hexanal- d_{12} , both at 100 mg/L in
180 isopropanol) in a 10 mL glass vial, vortexed for 30 seconds and pre-incubated at 50 $^{\circ}\text{C}$ for 2
181 min at a speed of 500 rpm. A SPME DVB/CAR/PDMS fibre assembly (Supelco, Bellefonte -
182 USA) was used with an extraction time of 30 min and constant agitation at 40 $^{\circ}\text{C}$. The
183 chromatographic separation was carried out on a DB-5MS column (30 m, 0.250 mm ID, 1.00
184 μm film thickness; Agilent J&W GC Columns, Santa Clara CA, USA) with helium as carrier
185 gas at a flow of 0.8 mL/min. Initial temperature of the oven was set at 33 $^{\circ}\text{C}$, then followed by
186 a 2 $^{\circ}\text{C}/\text{min}$ ramp up to 50 $^{\circ}\text{C}$, a 3 $^{\circ}\text{C}/\text{min}$ ramp up to 72 $^{\circ}\text{C}$, a 6 $^{\circ}\text{C}/\text{min}$ ramp up to 180 $^{\circ}\text{C}$ and a
187 10 $^{\circ}\text{C}/\text{min}$ ramp up to 220 $^{\circ}\text{C}$. For quantification purposes, aliquots of samples were spiked with
188 defined amounts of labelled (acrolein- ^{13}C and hexanal- d_{12}) and unlabelled compounds in
189 different mass ratios. The ratios of the area counts for the specific ions of the analytes and the
190 labelled standards were plotted against the ratio of the corresponding concentrations, and the
191 response factors were calculated according to Ewert et al. (2011).

192

193 *2.4.7 Acrylamide assessment*

194 Acrylamide was quantified in frying oil and chips by HPLC-MS. One gram of frying oil or
195 potato chips were extracted following the protocol of Al-Taher (2012) based on Quechers. Ten

196 μL of the purified extracts were injected in the Agilent 1200 Series HPLC system, equipped
197 with an Agilent 6100 Series Single Quadrupole MS detector (Agilent Technologies, Inc., CA,
198 USA) and a reverse phase C_{18} column (2.1 i.d. x 100 mm, 3 μm). Elution was carried out
199 isocratically with mobile phase A (water: methanol:formic acid 97.4:2.5:0.1) at a flow rate of
200 0.2 mL/min. MS detector was operated in positive electrospray ionization mode, and the ion
201 with $m/z = 72$, corresponding to the $[\text{M}-\text{H}]^+$ of the acrylamide, was monitored. Quantification
202 was made considering the response of the ion with $m/z = 75$, corresponding to the molecular
203 ion of the internal standard (acrylamide ^{13}C -3).

204

205 *2.4.8 Quantitative Descriptive Analyses*

206 Five Sensory descriptors (“odour roast”, “flavour rancid”, “flavour roast”, “crunchy” and “oil
207 mouth feel”) were generated by open discussion in two preliminary sessions by eight trained
208 assessors. A non-structured scoring scale was used, where 0 meant the absence of the descriptor
209 and 10 meant the highest intensity of the descriptor. Sensory evaluation was performed for each
210 session time in two sessions (per sampling time) using chips samples corresponding to a frying
211 experiment. Samples were coded using three random numbers and presented to assessors. The
212 first order and the carry-over effects were balanced according to MacFie et al., (1989). For each
213 frying experiment, the average score of the assessors and sessions have been calculated.

214

215 2.5. Modelling, Statistics and Aggregation

216 *2.5.1 Multilinear regression and statistic values*

217 Multilinear regression (MLR) coupled to a Step-Wise model (probability for entry: 0.1 and
218 probability for removal: 0.1) was used to develop calibration models on the QTP values from
219 65 experiments. Two parameters, coefficient of determination of calibration (R^2_{cal}) and

220 probability ($\text{Pr} > |t|$) for each explanatory variables (CQAs and CPPs) were reported. Models
221 were determined using the XLSTAT Premium software version 2018.1 (Addinsoft, France).

222 The different model gives also a predictive equation and a root mean square error of calibration
223 (RMSEC).

$$224 \quad \text{RMSEC} = \sqrt{\frac{1}{M-1} \times \sum_{i=1}^M (y_i^{\text{ref}} - y_i)^2} \quad \text{EQ.01}$$

225 Where:

226 M is the number of samples

227 y_i^{ref} is the reference value for sample i

228 y_i is the predicted value for sample i

229 The different models were tested on a validation set of 33 experiments and the quality of the
230 models on each QTP values was assessed with the root mean square error of prediction
231 (RMSEP), coefficient of determination (R^2_{val}), Bias and range error ratio (RER):

$$232 \quad \text{RMSEP} = \sqrt{\frac{1}{M} \times \sum_{i=1}^M (y_i^{\text{ref}} - y_i)^2} \quad \text{EQ.02}$$

$$233 \quad \text{Bias} = \frac{\sum_{i=1}^M (y_i^{\text{ref}} - y_i)}{M} \quad \text{EQ.03}$$

$$234 \quad \text{RER} = \frac{y_{\text{max}}^{\text{ref}} - y_{\text{min}}^{\text{ref}}}{\text{RMSEP}} \quad \text{EQ.04}$$

235 Where:

236 $y_{\text{max}}^{\text{ref}}$ and $y_{\text{min}}^{\text{ref}}$ are respectively the maximum and minimum values of the validation set

237 2.5.2 Data aggregation

238 The idea to aggregate QTPs parameters is to have only one data to describe the quality of our
239 potatoes chips product using a mid-level fusion approach (Borràs et al. 2015). To do so a min-

240 max normalisation of selected quality target product profile was done using equation EQ. 05
241 followed by the weighting of normalised data (y_i^{norm}) before calculation of the aggregated data
242 (CDF_i) with EQ. 06.

$$243 \quad y_i^{norm} = \frac{(y_i - y_{min})}{(y_{max} - y_{min})} \quad \text{EQ.05}$$

$$244 \quad CDF_i = \sum_{i=1}^{MN} \beta_i \times y_i^{norm} \quad \text{EQ.06}$$

245 where $M N$ is the number of selected QTPs, β_i is the weight a number between 0 and 1 and
246 have been selected by authors to give more importance to some QTP parameters.

247 Four “negative” quality attributes, colour parameter a^* , sensory descriptor “flavour roast”,
248 acrylamide content and ~~volatiles content~~ pentylfuran content, have been selected to be
249 aggregated. Four aggregated indexes CDF_{I1} , CDF_{I2} , CDF_{I3} and CDF_{I4} have been calculated
250 using EQ. 06 and different weights β_i . ~~In the first aggregation CDF_{I1} , all quality attributes had~~
251 ~~the same weight [0.25, 0.25, 0.25, 0.25]. For the second one CDF_{I2} , the weights of volatile~~
252 ~~quality attribute have been reduced to 0.1 and the others increase to 0.3 in order to take more~~
253 ~~into accounts safety attribute and attributes related with consumer perception. For the third~~
254 ~~CDF_{I3} [0.2, 0.3, 0.4, 0.1] and fourth CDF_{I4} [0.2, 0.2, 0.5, 0.1] aggregation more emphasis was~~
255 ~~given to safety issues really with acrylamide content. In the first aggregation index, CDF_{I1} , all~~
256 ~~quality attributes [a^* , roast, acrylamide, pentylfuran] had the same weight [0.25, 0.25, 0.25,~~
257 ~~0.25]. For the second index, CDF_{I2} , the weight of pentylfuran content has been reduced to 0.1~~
258 ~~and the others increased to 0.3 in order to highlight safety (acrylamide content) and consumer~~
259 ~~perception. For the third CDF_{I3} [0.2, 0.3, 0.4, 0.1] and fourth CDF_{I4} [0.2, 0.2, 0.5, 0.1] indexes~~
260 ~~more emphasis was given to safety issues related with acrylamide content. Weights for a^* ,~~
261 ~~flavour roast, acrylamide and pentylfuran are [0.25, 0.25, 0.25, 0.25] for CDF_{I1} , [0.3, 0.3, 0.3,~~
262 ~~0.1] for CDF_{I2} , [0.2, 0.3, 0.4, 0.1], for CDF_{I3} and [0.2, 0.2, 0.5, 0.1] for CDF_{I4} . A principal~~

263 ~~component analysis (PCA) has been carried out on the four quality parameters and the first~~
264 ~~PCA factor was retained as an additional aggregated index (PCA factor 1).~~

265

266 3. RESULTS

267 Table 1 shows the average, standard deviation, maximum and minimum values for the selected
268 CQAs as well as for CPPs for the calibration and validation sets. Most of the CQAs display
269 important standard deviations indicating substantial variations in the composition of the raw
270 materials and deep frying conditions and, therefore, including in the predictive models sources
271 of variations usually found in the real processes.

272

273 3.1. Multilinear analysis on single QTPs parameters

274 The coefficient of determination from calibration set (R^2_{cal}), the root mean square error of
275 calibration (RMSEC), the standardized regression coefficients and the p-values from the
276 multilinear regressions calculation are presented in table 2. R^2_{cal} gives the strength of a
277 relationship between exploratory variables and QTPs and it is generally admitted (Moore et al.
278 2013) that a coefficient above 0.7 indicates that the proposed model explains correctly the
279 variation of the QTPs. Colour parameters a^* and b^* , sensory descriptors “Odour roast” and
280 “Flavour roast” and volatile parameters hexanal and pentylfuran presented coefficients of
281 determination above 0.7. Others QTPs such as sensory descriptor “Flavour rancid”, acrylamide
282 content and 2.4 decadienal content, showed R^2_{cal} between 0.5 and 0.7, indicating that the
283 predictive models do not explain completely their variations. L^* , sensory descriptors “crunchy”
284 and “oil mouth feel” had R^2_{cal} below 0.5, indicating that our models do not explain their
285 variation. Table 2 shows that, out of 29 explanatory variables, 2 to 8 have been retained to
286 explain the variation of each QTPs. On the opposite, 7 explanatory variables (Fructose content,

287 ~~reducing sugars content~~, TPM, p-anisidine value, fatty acid (FA) 18:2 cis-9 trans-12, \sum FA ω 6,
288 \sum FA trans and monosaturated fatty acids or MUFA) have ~~not~~ been retained by none of the
289 models to explain variation of the QTPs and were discarded.

290 MLR models describing QTPs a^* and b^* , retained respectively 4 and 8 exploratory variables
291 related with raw materials, oil quality, volatile, fatty acids, variables related with oil temperature
292 and process time. For sensory descriptors “odour roast” and “flavour roast”, 5 and 4 explanatory
293 variable were respectively retained, related with Sucrose content, L^* , hexanal content, saturated
294 FA, oil temperature TC°_E and time. For acrylamide content, the MLR model retained 4
295 explanatory variables related with red colour, volatile, ratio ω 6/ ω 3 and TC°_E oil temperature.
296 For QTPs volatiles pentylfuran and 2.4 decadienal, MLR model did not retain any explanatory
297 variable of raw materials, but it retained oil quality parameters, volatile parameter, Saturated
298 FA and TC°_E oil temperature for the first. For QTP 2.4 decadienal only 4 explanatory variables
299 related with oil quality, volatiles and fatty acids. For QTP hexanal, 3 explanatory variables are
300 related with raw materials and 4 with oil characteristics (volatile and fatty acids).

301 In 5 of the 6 QTPs with a R^2_{cal} ~~above superior to~~ 0.7, exploratory variables related with CPPs
302 have a positive standardized regression coefficients indicating that an increase of temperature
303 or time will increase the different QTPs. Only sensory descriptor “flavour rancid” presents a
304 negative standardized regression coefficient for the exploratory variables TC°_{av} . Considering
305 raw materials and oil exploratory variables, positive and negative standardized regression
306 coefficients have been calculated by the model for QTPs a^* , b^* , “odour Roast”, “flavour
307 rancid” and “flavour roast”. For volatiles, all QTPs present positive standardized regression
308 coefficients indicating that an increase of all exploratory variables will lead to an increase of
309 the volatiles in the chips. For acrylamide content, an increase of exploratory variable a^* will
310 lead to an increase of acrylamide content while an increase of hexanal and ratio ω 6/ ω 3 will
311 have the opposite effect.

312

313 3.2 Prediction with multilinear models

314 Multilinear model have been used to predict the evolution of selected QTPs with a validation
315 set of 33 experiments. Quality parameters of the prediction are reported in table 3. Taking into
316 account colour parameters of the potatoes chips, only a^* presents a coefficient of determination
317 of validation (R^2_{val}) superior to 0.7. For colour parameter b^* , results are disappointing with R^2_{val}
318 below 0.5. Models for the sensory descriptors “odour roast” and “flavour rancid” have a R^2_{val}
319 between 0.6 and 0.7, and “flavour roast” has a R^2_{val} above 0.7. For the acrylamide content, when
320 2 outliers are removed from the analysis, R^2_{val} are between 0.5 and 0.7. Concerning the volatile
321 parameter hexanal, the step-wise model give a R^2_{val} below 0.5, while for volatile parameters
322 pentylfuran and 2-4 decadienal, R^2_{val} are between 0.5 and 0.7.

323 To summarise, only 2 QTPs (a^* and “flavour roast”) have a R^2_{val} above 0.7, while others 5
324 (“odour roast”, acrylamide content; hexanal, pentylfuran and 2.4-decadienal) have a R^2_{val}
325 between 0.5 and 0.7. The quality of the models could also be provided by the RER parameters.
326 The QTP acrylamide gives a value of RER of 5.0, while our best predictive models were
327 obtained for sensory descriptors “flavour rancid” and “odour roast” with a respective RER of
328 6.9 and 6.6. The best RER values ranged between 4.0 and 10.0 indicating that our models have
329 a performance corresponding to screening target (AACC Method 39-00.01).

330

331 3.3 Aggregation of QTPs parameters

332 ~~The contribution of each QTPs to the first PCA factor was 37.2% for a^* , 27.8% for “flavour~~
333 ~~roast”, 27.4% for acrylamide content and 7.6% for pentylfuran.~~ Multilinear regression analyses
334 were conducted on different aggregated indexes and results on the calibration set are shown in
335 Table 4. R^2_{cal} is ~~above superior to~~ 0.7 for 3 of the 4 indexes, CDF_{14} being the exception with a

336 value of 0.692, ~~and for the first PCA factor~~, thus indicating that our models can explain the
337 variation of aggregated chips quality parameters. It can be noted that, an increase of the weight
338 of acrylamide content in aggregated indexes, had the effect to reduce R^2_{cal} . Number of
339 explanatory variables retained by the MLR model have been reduced to 7: a^* in CDF_{12} , CDF_{13}
340 and CDF_{14} ; b^* in only one case (CDF_{11}), when all selected QTPs have the same weight; glucose
341 content in only one case (CDF_{14}), when the weight of acrylamide content has been set up at 0.5;
342 hexanal volatile content of the oil in CDF_{12} , CDF_{13} and CDF_{14} ; u_6 content of the oil in only one
343 case (CDF_{11}); MUFA in CDF_{12} and CDF_{13} ; Oil temperature TC°_E in all aggregated index. It is
344 significant that all oil quality parameters (TPM, acidity, p-anisidine and peroxide value) have
345 been discarded by the model as well as Time. All standardized regression coefficients of oil
346 temperature TC°_E are positive as well as MUFA and a^* and glucose when they are retained by
347 the model. On the contrary, b^* , hexanal and u_6 present a negative standardized regression
348 coefficients when they are retained.

349 Models have been applied to the validation data set to explain the variation of our aggregated
350 indexes (table 5). Predictive results of the variation of CDF_{11} , CDF_{12} and CDF_{13} are encouraging
351 with R^2_{val} between 0.668 and 0.728. RER values are between 6.2 and 7.8, indicating a
352 performance target corresponding to screening target. Although first PCA factor shows the best
353 coefficient of determination of validation R^2_{val} with one outlier, the aggregated index CDF_{12}
354 explained by the Step-Wise model seems to be a good option (Figure 2). Model for the
355 aggregated index CDF_{12} used only 4 explanatory variables (colour a^* , hexanal content, MUFA
356 and oil temperature TC°_E), had a R^2_{val} of 0.718 and no outliers in the validation set.

357

358 4. DISCUSSION

359 In order to define the final chips product a total of 12 QTPs, including 3 colour parameters, 5
360 sensory attributes, 3 volatiles parameters and acrylamide content, have been used. Usually,

361 research works evaluate the impact of some processing parameters on single compounds, like
362 the acrylamide content (Zhang et al. 2015) or texture and oil intake in the potatoes (Pedeschi et
363 al. 2005) but few had a more global approach (Yang et al 2016; Santos et al. 2018).

364 In the present study only results from MLR algorithm are presented even if non-linear
365 algorithms (Random forest regression and log-linear regression models) have been tested on
366 our dataset. Results of non-linear algorithms have proven to be disappointing. The limited
367 number of independent experiments seems to be a limiting factor to use such non-linear
368 approaches.

369 Our results show that colour parameters L^* and a^* had a significant variation that can be
370 explained by CPPs parameters such as the average oil temperature. Yang et al. (2016) ~~had~~ have
371 compared the evolution of colour of potatoes strips ~~retrieved issue~~ from Agria, Kennebec and
372 Red Pontiac cultivars regarding oil temperatures and frying time 190°C / 160 s, 170°C / 240 s,
373 150°C / 330 s. In contrast with our results, few colour variations of the final products have been
374 measured for Agria cultivar, much more have been detected for the other two cultivars.
375 Pedreschi et al. (2005) proved that the oil temperature and time of frying is related to the colour
376 a^* parameter of the potato and the acrylamide formation. Our predictive results for acrylamide
377 are lower than expected but some positive points could be extracted. Yang et al. (2016)
378 established that the correlations between selected studied factors of raw materials (such as
379 asparagine, fructose, glucose, sucrose, reducing sugar, oil uptake, colour L^* , colour b^* and
380 shear force) were significant to explain the acrylamide content in the final product. Some of the
381 parameters have been measured in our study and the explanatory variables colour a^* , hexanal
382 content, ratio w_6/w_3 and average frying temperature have been used by the MLR model to
383 explain and predict the variation of acrylamide content. Our study, as a new approach, took into
384 account sensory attributes, because chip taste is related with Maillard reactions, which is the
385 main responsible for the formation of acrylamide (Lee & Shibamoto, 2002). However, no clear

386 relationship ($R^2 < 0.5$) could be found between measured acrylamide content and sensory
387 descriptors or other compositional parameters of potatoes chips. Even if such results are in
388 discrepancy with finding of Pedreschi et al. (2005), it should be pointed out that a different
389 cultivar was used (Agria versus Panda) and that our experiment was carried out with a
390 continuous semi-industrial fryer and using oil at different degree of oxidation to mimic the
391 industrial condition. On the other hand, formation of acrylamide involves complex mechanism
392 reactions that probably the CQAs and CPPs included in the model cannot describe completely
393 (Purlis, 2010).

394 Aggregated indexes with different QTPs parameters describing potatoes chips characteristics
395 have also been analysed, in order to predict a global potatoes chips quality. In food science, low
396 and mid-level data fusion have been undertaken for a wide range of applications such as quality
397 parameters correlation, sensory properties assessment, cultivar selection or origin
398 authentication (Borras et al., 2015). In our case, four parameters describing potatoes chips have
399 been used, and different weight has been given to acrylamide content. Using aggregated data
400 indexes a compromise have been found between the need to obtain safe products with lower
401 acrylamide contents, but taking into accounts the sensory profile. **Whatever the aggregated**
402 **index selected to obtain the "best product", within the experimental domain here studied and**
403 **with our frying equipment, we should use fresh potatoes with highest intensity of yellow/green**
404 **colour (highest b^* and lowest a^* values) and the lowest frying oil temperature (150 °C). As time**
405 **did not appear as an explanatory variable in aggregated indexes, we could use the shortest time**
406 **(150 seconds) to achieve the maximum production efficiency. If we consider CDF_{14} , which**
407 **gives more importance to acrylamide content, fresh potatoes with the lowest glucose content**
408 **should be selected. MUFA, hexanal and u_6 oil contents are indicators of the oil quality. The**
409 **variation of these parameters with respect to those of the fresh oil could be used to establish the**
410 **oil turnover, which will depend on the aggregated index selected.**

411 In the present work, online measurements were possible for some of the attributes, such as
412 colour parameters (L^* , a^* and b^*) in raw materials, oil quality (TPM) and process parameters
413 (time and temperature), but others key parameters (sugar content of raw materials, volatiles,
414 fatty acids) were analysed off-line at laboratory scale. So, future improvements of Quality by
415 Design approach are also strictly linked to the implementation of suitable online analytical
416 methods for a comprehensive monitoring of the process.

417

418 5. CONCLUSION

419 The Quality by Design approach has been used to identify the main quality and process
420 parameters that can be modified for the production of deep-fried potatoes “chips”. To conduct
421 processing, specific target parameters related with sensory descriptors could be predicted with
422 MLR models with some accuracy by measurement of few explanatory variables related with
423 potatoes brightness, oil volatile, saturated fatty acid and oil temperature, but for safety issues
424 such as acrylamide content the predictive models are far from satisfactory. A general aggregated
425 index incorporating 4 different quality parameters of the chips can be predicted with a
426 reasonable accuracy, **and can be used to establish the optimal process conditions**. They are still
427 a number of complex mechanisms and factors to be identified that can influence the quality
428 parameters of potatoes chips. The work had shown the need of further studies to explore the
429 data fusion strategies for quality parameters of the final products to define single parameter that
430 can be easily predicted and still full fit the goal to optimise sustainable processing.

431

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438

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523

524

Table 1: Mean \pm standard deviation (SD), maximum and minimum of the different critical quality attributes (CQAs) and Quality Process Parameters (CPPs) measured for the calibration set (N=65) and Validation Set (N=33). TPM stands for total polar materials; FA stands for fatty acid; MUFA stands for monosaturated fatty acids; PUFA stands for polysaturated fatty acids.

		Calibration Set (N = 65)			Validation Set (N=33)		
		Mean	Max	Min	Mean	Max	Min
Potatoes CQAs	L*(CIELAB)	66.4 \pm 1.1	68.5	62.7	66.4 \pm 1.6	68.5	62.7
	a*(CIELAB)	-3.6 \pm 0.8	-2.7	-5.6	-3.6 \pm 0.9	-2.7	-5.6
	b*(CIELAB)	14.4 \pm 5.0	25.1	10.2	14.4 \pm 5.4	25.1	10.2
	TSS ($^{\circ}$ Brix)	1.8 \pm 0.3	2.5	1.2	1.8 \pm 0.4	2.5	1.2
	Sucrose (mg/100L)	575 \pm 157	759	217	633 \pm 124	759	217
	Glucose (mg/100 L)	215 \pm 128	500	26	236 \pm 146	500	26
	Fructose (mg/100 L)	299 \pm 60	447	198	319 \pm 64	447	198
Oil CQAs	TPM (%)	8.6 \pm 3.6	15.1	1.1	8.3 \pm 3.6	14.3	1.7
	Acidity index (%)	0.30 \pm 0.22	0.81	0.03	0.26 \pm 0.19	0.73	0.04
	p-anisidine value	14.2 \pm 15.3	46.9	0.5	13.3 \pm 15.7	48.6	0.5
	Peroxide index (meqO2/kg)	4.8 \pm 2.8	14.5	1.0	4.2 \pm 2.2	10.4	1.2
	Acrolein (ppb)	499 \pm 245	1205	150	548 \pm 237	1017	155
	Furan (ppb)	38 \pm 28	139	1	35 \pm 25	133	4
	Hexanal (ppm)	2.15 \pm 0.77	5.21	0.59	2.26 \pm 0.78	4.40	1.24
	Pentylfuran (ppm)	1.71 \pm 0.68	3.69	0.12	1.76 \pm 0.81	5.10	0.51
	2,4-decadienal (ppm)	137 \pm 96	445	0	158 \pm 115	553	23
	FA 18:1 trans ω 9 (%)	0.13 \pm 0.08	0.28	0	0.11 \pm 0.07	0.27	0.00
	FA 18:2 cis-9 trans-12 (%)	0.07 \pm 0.02	0.16	0.04	0.07 \pm 0.01	0.09	0.02
	FA 18:2 trans-9 cis-12 (%)	0.07 \pm 0.01	0.11	0.05	0.07 \pm 0.01	0.10	0.04
	Σ FA ω 6 (%)	8.4 \pm 1.1	10.0	6.5	8.5 \pm 1.0	9.8	6.5
	Σ FA trans (%)	0.27 \pm 0.07	0.41	0.13	0.25 \pm 0.07	0.38	0.14
	Ratio ω 6/ ω 3	152 \pm 64	414	40	147 \pm 56	229	26
	Σ FA ω 3 (%)	0.06 \pm 0.03	0.24	0.02	0.07 \pm 0.05	0.32	0.04
	Saturated FA (%)	9.3 \pm 0.2	9.8	8.9	9.3 \pm 0.3	9.8	8.8
	MUFA (%)	82 \pm 1	84	80	82 \pm 1	84	80
	PUFA (%)	8.4 \pm 1.1	10.0	6.5	8.5 \pm 1.0	9.9	6.6
	CPPs	Time (s)	164 \pm 10	180	150	164 \pm 10	180
TC $^{\circ}$ _{av} ($^{\circ}$ C)		159 \pm 7	172	147	158 \pm 8	172	147
TC $^{\circ}$ _E ($^{\circ}$ C)		157 \pm 7	170	142	156 \pm 8	169	144

Table 2: Standardized regression coefficients and p-value ($Pr > |t|$) in parenthesis of the F statistic from an analysis of variance (ANOVA) and coefficient of determination R^2_{cal} , Root Mean Square Error of calibration (RMSEC) of the multi linear regression (MLR) using the model Step-wise (probability for entry: 0.1 and probability for removal: 0.1) for the different QTPs of potatoes chips. FA 18:2 trans(2) stands for FA 18:2 trans-9 cis-12; FA stands for fatty acid; PUFA stands or polysaturated fatty acids.

	Quality Target Parameters (QTPs) of potatoes chips											
	Colour			Sensory					Safety	Volatiles		
	$L^*_{(CIELAB)}$	$a^*_{(CIELAB)}$	$b^*_{(CIELAB)}$	Odour Roast	Flavour rancid	Flavour Roast	Crunchy	Oil Mouth feel	Acrylamide	Hexanal	Pentylfuran	2.4decadienal
R^2_{Cal}	0.375	0.711	0.739	0.777	0.633	0.764	0.439	0.480	0.539	0.729	0.755	0.642
RMSEC	3.6	1.4	1.7	0.7	0.7	0.8	0.5	0.6	0.68 ppm	99 ppb	82 ppb	10 ppm
$L^*_{(CIELAB)}$						0.12 (0.066)		0.33 (0.004)		0.26 (0.004)		
$a^*_{(CIELAB)}$									0.46 (<0.001)			
$b^*_{(CIELAB)}$		-0.20 (0.037)	0.51 (< 0.001)									
TSS			-0.17 (0.020)					-0.23 (0.031)		-0.22 (0.010)		
Sucrose				-0.17 (0.056)	-0.16 (0.070)					-0.35 (<0.001)		
Glucose		0.39 (< 0.001)			0.39 (<0.001)							
Acidity			-0.49 (<0.001)				-0.45 (< 0.001)	-0.28 (0.095)			0.36 (0.006)	
peroxide											0.16 (0.089)	0.21 (0.018)
Acrolein			-0.17 (0.042)					-0.22 (0.034)				
Furan				-0.32 (0.002)	-0.30 (0.024)	-0.14 (0.039)	0.18 (0.093)					
Hexanal	0.41 (<0.001)	-0.19 (0.017)					0.26 (0.009)		-0.35 (<0.001)	0.20 (0.028)		0.37 (<0.001)
Pentylfuran					0.36 (0.007)					0.43 (< 0.001)	0.47 (< 0.001)	
2.4-decadienal										-0.21 (0.013)		0.30 (0.001)
FA 18:1 trans ω 9				0.21 (0.043)				-0.36 (0.320)				
FA 18:2 trans(2)										0.33 (<0.001)		
\sum FA trans												
Ratio ω 6/ ω 3									-0.21 (0.063)			0.30 (0.001)
\sum FA ω 3			0.17 (0.025)		-0.20 (0.037)							
Saturated FA						-0.16 (0.020)					0.33 (< 0.001)	
PUFA			-0.34 (0.015)									
Time (s)			0.14 (0.063)	0.13 (0.083)	0.20 (0.027)							
TC°_{av} (°C)			0.40 (< 0.001)		-0.44 (< 0.0001)		0.42 (< 0.0001)					
TC°_{E} (°C)	-0.48 (< 0.001)	0.79 (< 0.001)		0.77 (< 0.001)		0.83 (< 0.001)			0.54 (< 0.001)		0.23 (0.002)	

Table 3: Validation of the different models used to explain the variability of selected QTPs. N_v : number of experiments from the validation set; R^2_{val} : coefficient of determination of the validation set; RMSEP: root mean square error of prediction; Bias: model bias; RER: range error ratio.

QTPs	N_v	R^2_{val}	RMSEP	Bias	RER
$a^*_{(CIELAB)}$	33	0.789	1.6	0.0	5.1
$b^*_{(CIELAB)}$	31	0.316	2.5	-0.4	4.8
Odour Roast	32	0.656	0.8	0.0	6.4
Flavour Rancid	33	0.614	0.7	0.0	6.9
Flavour Roast	33	0.736	0.9	0.0	6.6
Acrylamide (ppm)	31	0.520	0.9	0.0	5.0
Hexanal (ppb)	32	0.319	137	13	4.1
Pentylfuran (ppb)	32	0.613	91	25	5.7
2.4decadienal (ppm)	32	0.514	10	1.4	5.5

Table 4: Standardized regression coefficients and p-value ($\Pr > |t|$) in parenthesis of the F statistic from an analysis of variance (ANOVA) and coefficient of determination R^2_{cal} , Root Mean Square Error of calibration (RMSEC) of the multi linear regression (MLR) using the model Step-wise (probability for entry: 0.1 and probability for removal: 0.1) for PCA factor 1 and aggregated indexes CDF_{11} , CDF_{12} , CDF_{13} and CDF_{14} . MUFA stands for monosaturated fatty acids

	CDF_{11}	CDF_{12}	CDF_{13}	CDF_{14}
R^2_{Cal}	0.778	0.747	0.719	0.692
RMSEC	0.08	0.09	0.09	0.10
$a^*_{(\text{CIELAB})}$		0.29 (<0.001)	0.33 (<0.001)	0.39 (< 0.001)
$b^*_{(\text{CIELAB})}$	-0.27 (0.001)			
Glucose				0.23 (0.010)
Hexanal		-0.16 (0.028)	-0.19 (0.015)	-0.25 (0.002)
$\sum \text{FA } w_6$	-0.37 (< 0.001)			
MUFA		0.24 (0.003)	0.21 (0.010)	
TC°_E	0.81 (< 0.001)	0.84 (< 0.001)	0.82 (< 0.001)	0.81 (< 0.001)

Table 5: Validation of the different models used to explain the variability of PCA factor 1 and aggregated indexes (CDF₁₁, CDF₁₂, CDF₁₃ and CDF₁₄). N_v: number of experiments from the validation set; R²_{val}: coefficient of determination of the validation set; RMSEP: root mean square error of prediction; Bias: model bias; RER: range error ratio.

	N _v	R ² _{val}	RMSEP	Bias	RER
PCA factor 1	32	0.747	0.84	-0.07	7.1
CDF ₁₁	32	0.728	0.09	0.00	6.9
CDF ₁₂	33	0.718	0.11	-0.01	6.6
CDF ₁₃	33	0.668	0.12	0.00	6.2
CDF ₁₄	32	0.650	0.14	0.00	5.5

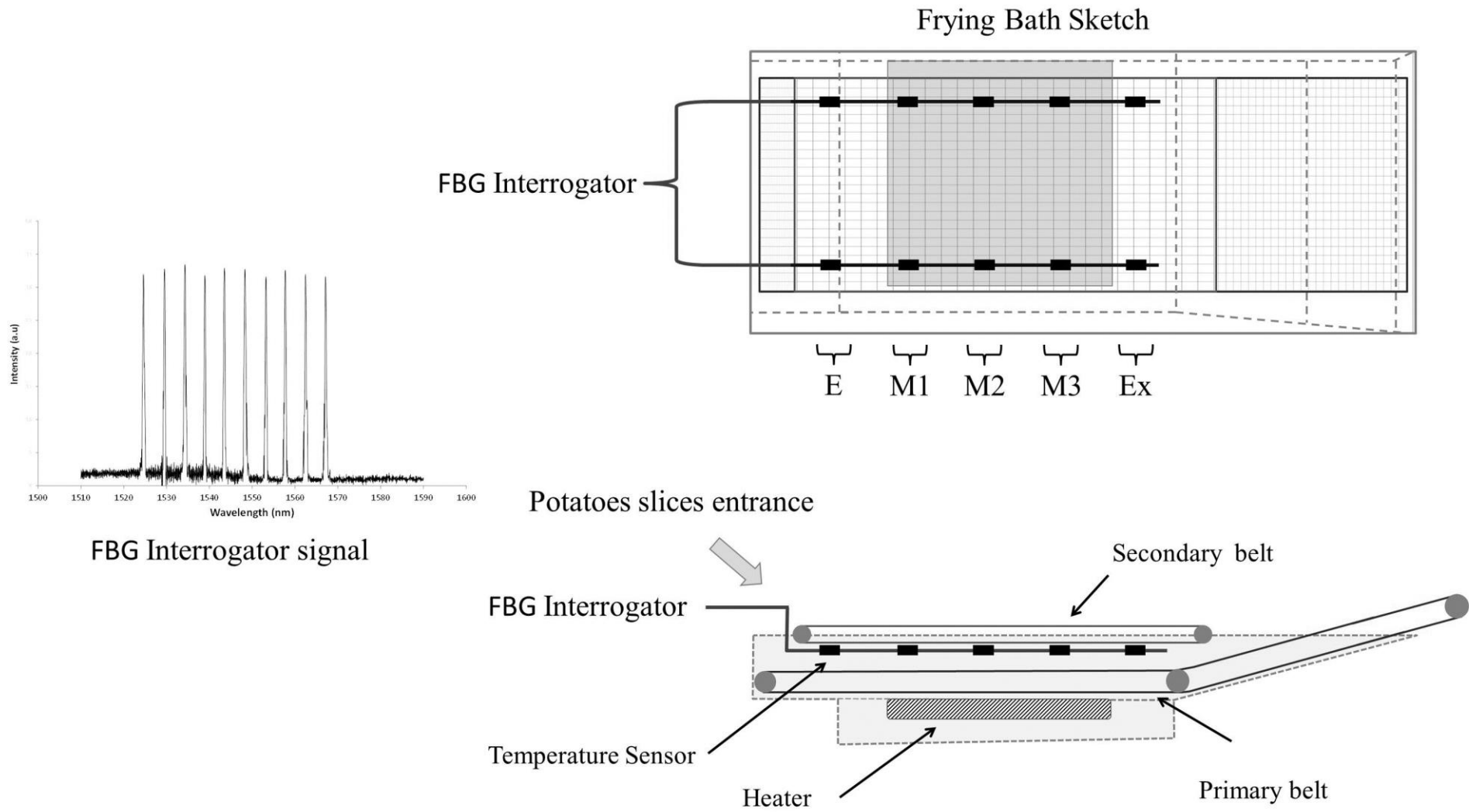


Figure 1

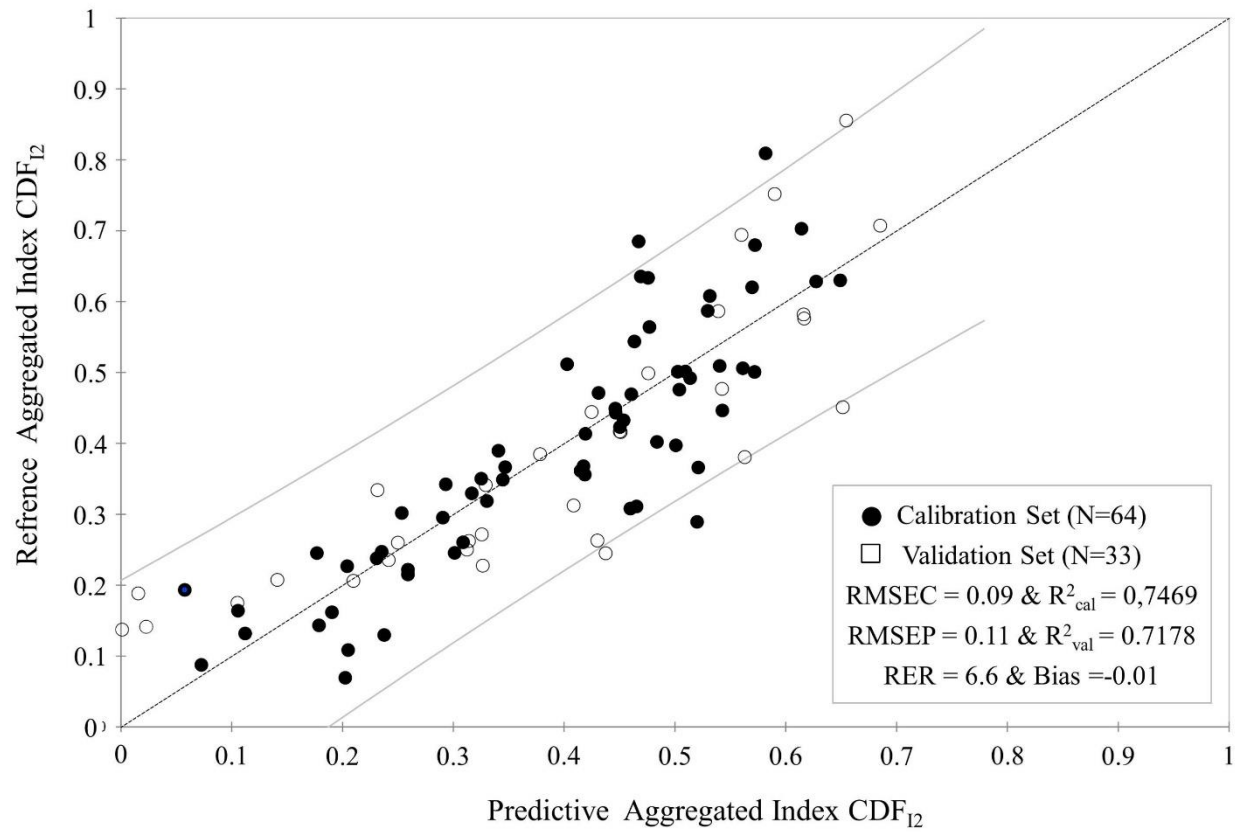


Figure 2