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Tomo-seq identifies SOX9 as a key regulator of cardiac fibrosis

during ischemic injury

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1 Abstract

Background Cardiac ischemic injury induces a pathological remodeling response, which can ultimately lead to heart failure. Detailed mechanistic insights into molecular signaling pathways relevant for different aspects of cardiac remodeling will support the identification of novel therapeutic targets.

6 **Methods** While genome-wide transcriptome analysis on diseased tissues has greatly advanced 7 our understanding of the regulatory networks that drive pathological changes in the heart, this 8 approach has been disadvantaged by the fact that the signals are derived from tissue 9 homogenates. Here we used tomo-seq to obtain a genome-wide gene expression signature with 10 high spatial resolution spanning from the infarcted area to the remote to identify new regulators 11 of cardiac remodeling. Cardiac tissue samples from patients suffering from ischemic heart 12 disease were used to validate our findings.

13 **Results** Tracing transcriptional differences with a high spatial resolution across the infarcted 14 heart enabled us to identify gene clusters that share a comparable expression profile. The spatial 15 distribution patterns indicated a separation of expressional changes for genes involved in specific 16 aspects of cardiac remodeling, like fibrosis, cardiomyocyte hypertrophy, and calcium-handling 17 (Colla2, Nppa, and Serca2). Subsequent correlation analysis allowed for the identification of 18 novel factors that share a comparable transcriptional regulation pattern across the infarcted 19 tissue. The strong correlation between the expression levels of these known marker genes and the 20 expression of the co-regulated genes could be confirmed in human ischemic cardiac tissue 21 samples.

Follow-up analysis identified SOX9 as common transcriptional regulator of a large portion of the
 fibrosis-related genes that become activated under conditions of ischemic injury. Lineage-tracing

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experiments indicated the majority of COL1-positive fibroblasts to stem from a pool of SOX9 expressing cells and *in vivo* loss of *Sox9* blunted the cardiac fibrotic response upon ischemic
 injury. The co-localization between SOX9 and COL1 could also be confirmed in patients
 suffering from ischemic heart disease.

5 Conclusions Based on the exact local expression cues, tomo-seq can serve to reveal novel genes 6 and key transcription factors involved in specific aspects of cardiac remodeling. Using tomo-seq 7 we were able to unveil the unknown relevance of SOX9 as key regulator of cardiac fibrosis, 8 pointing to SOX9 as potential therapeutic target for cardiac fibrosis.

9

10 Keywords remodeling; ischemic heart disease; fibrosis; Sox9

1 Clinical Perspective

2 What Is New?

- SOX9 is a key regulator of cardiac fibrosis after ischemic injury in mice by regulating the
 expression of many extracellular matrix-related proteins.
- SOX9 is induced in cardiac tissue from patients suffering from ischemic heart disease
 and co-localizes with COL1 expression.
- Reduced levels of SOX9 lead to less cardiac fibrosis after ischemic injury in mice.
- Tomo-seq can be used to identify new players in cardiac biology and disease.

9 What Are the Clinical Implications?

- Our data suggest that therapeutic inhibition of SOX9 in the diseased heart could lead to a
 reduction in cardiac fibrosis.
- 12

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1 Introduction

Ischemic heart disease induces a heterogeneous remodeling response across the damaged area that involves fibroblast activation, cardiomyocyte hypertrophy and changes in calcium handling, all of which are eventually detrimental for cardiac function.^{1, 2} Fibroblast activation and cardiomyocyte hypertrophy occur as a direct effect of the local stress signals caused by the loss of viable tissue in the infarcted area. Subsequently, there is a decline in contractility of the surviving cardiomyocytes, which is caused by a change in metabolism and calcium handling genes.³

9 Genome-wide transcriptome analysis on extracts from diseased tissues has significantly 10 enhanced our understanding of the gene regulatory networks that drive these pathological changes in the heart.^{4, 5} However, to date, these approaches have been disadvantaged by the fact 11 12 that the signals are derived from tissue homogenates, which inherently causes the loss of spatial 13 information and dilutes out more localized expression signatures. Recent developments in RNA 14 amplification strategies provide the opportunity to use small amounts of input RNA for genomewide sequencing. Here we use tomo-seq 6 to obtain a genome-wide gene expression signature 15 16 with high spatial resolution spanning from the infarcted area to the remote. Tracing 17 transcriptional differences across the infarcted heart enabled us to identify clusters of genes with 18 a comparable gene expression profile. In these individual clusters we recognized genes with 19 well-known functions in specific aspects of heart remodeling, such as *Colla2* for fibrosis, *Nppa* 20 for cardiomyocyte hypertrophy, or *Serca2* for contractility. Correlation analyses using the spatial 21 distribution patterns of these marker genes allowed for the identification of novel factors that 22 share a comparable transcriptional regulation pattern across the infarcted tissue. Subsequent 23 functional annotation analysis indicated that these genes could be linked to the known gene

function of their reference gene. The strong correlation between the expression levels of the
 markers genes and the expression of the co-regulated genes could be confirmed in human
 ischemic tissue samples.

4 Our data show that the high spatial resolution in gene expression signatures obtained by tomo-5 seq reveals new regulators, genetic pathways and transcription factors that are active in well-6 defined regions of the heart and potentially involved in specific aspects of heart disease. Using 7 this technique, we identified SOX9 as a potent regulator of many of the *Colla2* co-regulated 8 genes. In vivo loss of Sox9 reduced the expression of many extracellular matrix (ECM) genes 9 which coincided with a blunted cardiac fibrotic response upon ischemic injury. These data unveil 10 the currently unknown relevance of SOX9 as key regulator of cardiac fibrosis and underscores 11 that tomo-seq can be used to increase our mechanistic insights into cardiac remodeling to help 12 guide the identification of novel therapeutic candidates.

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14

15 Methods

An expanded Methods section is available in the Supplemental Material online. Primers used to
 create ISH probes and for real-time PCR analysis are listed in the Supplemental Tables 7 and 8,
 respectively.

19

20 Ischemia reperfusion model

Animal experiments were performed in accordance with the institutional review committee at the
Hubrecht Institute. Mice were randomly subjected to either sham or ischemia reperfusion surgery

as previously described.⁷ Two weeks after surgery, cardiac tissue was collected for further
 analysis.

3

4 Tomo-seq

Tomo-seq experiments were performed as described elsewhere.⁶ In short, 2.5 mm wide portions 5 6 of cardiac mouse tissue spanning from the infarct towards the remote region of the left 7 ventricular anterior wall were embedded in tissue freezing medium, frozen on dry ice, and 8 cryosectioned into 48 slices of 80 µm thickness. We extracted RNA from individual slices and prepared barcoded Illumina sequencing libraries according to the CEL-seq protocol.⁸ Paired-end 9 reads obtained by Illumina sequencing were aligned to the transcriptome using BWA.⁹ The 5' 10 11 mate of each pair was used for mapping, discarding all reads that mapped equally well to 12 multiple loci. The 3' mate was used for barcode information. Reads counts were normalized to 13 the same number of total reads per section. Tomo-seq data analysis was performed in MATLAB 14 (MathWorks) using custom-written code. For data analysis we used an expression cut-off of >4 15 reads in >1 section. In differential expression analysis (Figure 1C), we determined the boundary 16 between remote and infarcted zone based on the spatial partitioning detected by pairwise comparison of sections across all genes in one biological replicate (Figure 1B). For the infarcted 17 18 zone, we used sections 1-26, and for the remote zone we used sections 29-47. The border zone 19 (sections 27-29) was omitted in order to reduce ambiguity in assignment of sections to zones. We 20 then compared the sections within and outside the infarcted zone and assessed statistical 21 significance with Wilcoxon rank sum test. For this analysis, each section was considered as an 22 independent measurement. Furthermore, filtering was applied for genes that showed at least a 23 two-fold expression difference between remote and infarcted zone. For this analysis, the mean

expression levels for each gene in the two zones was calculated. Concerning the hierarchical clustering, expression traces of the genes that passed the differential expression filter in Figure 1C were used for analysis. The data was standardized by *Z*-score normalization (along rows of data) so that the mean expression is zero and the standard deviation is 1 in order to remove differences in expression level between genes. Euclidean distance was used as distance metric. The assignment of genes to clusters I-III (Figure 1D) was determined manually considering the similarity in gene expression pattern across the ischemic heart.

8

9 SOX9 animal models

Sox9 (Sox9^{*fl/fl*}) mutant mice harboring two *loxP* sites flanking the exons 2-3¹⁰ were crossed with 10 Rosa26-CreERT2 mice $(R26^{CreERT2})$ to obtain an inducible Sox9 loss-of-functional model 11 $(Sox9^{fl/+}; R26^{CreERT2})$. For lineage tracing studies, mice expressing *CreERT2* under the control of 12 the Sox9 promoter ¹¹ were bred with the Rosa26-tdTomato reporter mouse ($R26R^{TdT}$) to obtain 13 $Sox9^{CreERT2}$: R26R^{TdT} mice. To induce the CreERT2 protein. $Sox9^{fl/+}$: R26^{CreERT2} 14 and $Sox9^{CreERT2}$; $R26R^{TdT}$ mice were injected with Tamoxifen (corn oil/ethanol) intraperitoneally (2) 15 16 mg at the day of surgery and 2 and 4 days after injury). Control mice (referred to as $Sox9^{f/+}$; R26^{CreERT2} Vehicle) received an equal volume of the vehicle that was used to deliver 17 18 Tamoxifen.

19

20 Pathway and transcription factor binding site enrichment

21 To investigate whether genes share a similar biological function, we searched for over-22 representation in the Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway using DAVID.¹² The enriched genes in the KEGG pathway are shown as *p* values corrected for
multiple hypothesis testing using the Benjamini-Hochberg method.

3 Detection of over-represented conserved transcription factor binding sites in the set of genes 4 spatially co-regulated to *Col1a2* was determined using single site analysis in oPOSSUM 3.0 5 (online software). The enrichment of SOX9 binding sites was determined using the Z-score, 6 which uses the normal approximation to the binomial distribution to compare the rate of 7 occurrence of a TFBS in the set of target genes to the expected rate estimated from the pre-8 computed background set.

9

10 Human heart samples

11 Approval for studies on human tissue samples was obtained from the Medical Ethics Committee 12 of the University Medical Center Utrecht, The Netherlands (12#387). Written informed consent 13 was obtained or in certain cases waived by the ethics committee when obtaining informed 14 consent was not possible due to death of the patient. In this study, we included tissue from the 15 left ventricular free wall of patients with end-stage heart failure secondary to ischemic heart 16 disease. This end-stage heart failure tissue was obtained at explanation of the failing heart during 17 heart transplantation or at autopsy. For each case, three areas of the infarcted heart tissue were 18 included; 1) infarct zone, 2) border zone, and 3) remote area. For *in situ* hybridization (ISH) 19 analysis, three patients were included. From these patients, the border zone of the infarcted 20 hearts was used for ISH to verify tomo-seq. Gene expression values in infarct zone, border zone, 21 and remote area obtained by real-time PCR were plotted for correlation analysis. Left ventricular 22 free wall of non-failing donor hearts that could not be transplanted for technical reasons, were

used for comparison. In these cases, neither donor patient histories, nor echocardiography
 revealed signs of heart disease.

3

4 Statistical analysis

5 Values are presented as mean \pm s.e.m. Previous studies were used to predetermine sample size. 6 Statistical analyses between two groups were conducted using the two-tailed unpaired or paired 7 Student's *t*-test or a Mann-Whitney test when the normality assumption was not met. Comparison 8 among more than two groups was performed using a two-way ANOVA with Bonferroni's post-9 hoc test. Pearson's correlation coefficients were used to calculate gene pair correlation based on gene expression in human samples. KEGG pathways are ranked by their respective p value 10 11 corrected for multiple hypothesis testing using the Benjamini-Hochberg method. p value <0.05 12 was interpreted to denote statistical significance. Prism 6 (GraphPad Software, Inc.) was used for 13 statistical analyses.

14

15 **Results**

16 **Tomo-seq performed on the infarcted mouse heart.**

17 To obtain precise spatial information on local gene expression changes occurring in the heart in 18 response to ischemic injury, we collected cardiac tissue from infarcted mice exposed to one hour 19 of ischemia followed by either one or fourteen days of reperfusion (1 and 14 dpIR) and harvested tissue from sham-operated mice as control (Sham) (Figure 1A and Supplemental Figure 1).⁷ 20 21 Histological and molecular analysis confirmed a classical cardiac remodeling response in our 22 model of ischemic injury, as exemplified by cardiac hypertrophy (Hematoxylin and Eosin 23 staining, H&E), fibrosis (Sirius Red staining) and a change in expression of cardiac markers (Supplemental Figure 1).⁷ Using microdissection, a small portion of the anterior wall of the left 24

1 ventricle spanning from the infarct towards the remote (2.5 mm wide and 4.0 mm long) was 2 processed into \sim 50 consecutive cryosections with a thickness of 80 µm (Figure 1A). Subsequent 3 RNA extraction from individual slices followed by RNA amplification, barcoding strategies and RNA sequencing ⁶ provided genome-wide data about the spatial distribution in gene expression 4 5 across the injured heart (Supplemental Databases 1 through 3). A spatial partitioning between 6 infarcted and remote area was visible at 1 and 14 dpIR, but not in the sham-operated samples 7 when performing pairwise comparison of sections across all expressed genes (Figure 1B and 8 Supplemental Figure 2A). The spatial separation became considerably more pronounced after 9 filtering for genes that showed an at least two-fold and statistically significant differential 10 expression between the infarct and remote zone by tomo-seq (Figure 1C and Supplemental 11 Figure 2B). The number of regulated genes was found to be the highest 14 dpIR, which included 12 2357 coding genes and 134 non-coding transcripts (Figure 1D, Supplemental Figure 2C and 13 Supplemental Databases 4 through 6). KEGG analysis on these regulated genes showed an 14 enrichment for inflammatory pathway activation at day 1 after injury, while pathways involved 15 in ECM, disease and cardiomyocyte remodeling were found to be regulated 14 dpIR 16 (Supplemental Figure 2D and Supplemental Tables 1 and 2).

17

18 Gene expression patterns reveal localized remodeling responses.

19 Tracing transcriptional differences across the infarcted heart enabled us to identify clusters of 20 genes with a comparable differential regulation throughout the infarcted heart at 14 dpIR (Figure 21 1D). The individual clusters contained well-known marker genes for specific aspects of heart 22 remodeling, Collagen type I alpha 2 (*Col1a2*) (identified in cluster I), Natriuretic peptide A 23 (*Nppa*) (identified in cluster II) and sarco/endoplasmic reticulum Ca²⁺-ATPase (*Serca2*) (located

in cluster III). Colla2 is expressed in activated fibroblasts and important for cardiac fibrosis.¹³ 1 2 while *Nppa* is a cardiomyocyte-specific stress marker involved in myocyte hypertrophy.¹⁴ 3 Cardiomyocyte contractility is regulated by calcium fluxes to and from the sarcoplasmic reticulum and is impaired during heart disease. Serca2 is a key regulator of Ca^{2+} transfer into the 4 5 sarcoplasmic reticulum in muscle cells that is decreased during heart failure, which contributes to the decline in function.³ The expression traces for *Colla2*, *Nppa* and *Serca2* confirmed a gene-6 7 specific differential regulation from the infarcted area to the remote (Figure 1E). As expected 8 *Colla2* and *Nppa* were more abundantly expressed in the infarcted region 14 dpIR, while *Serca2* 9 actually showed a decrease in expression towards the infarcted region (Figure 1E). ISH on 10 cardiac tissue 14 dpIR confirmed the Colla2 expression to originate from activated fibroblasts, 11 while the transcriptional peaks for Nppa stemmed from the stressed, hypertrophic 12 cardiomyocytes immediately flanking the fibrotic regions (Figure 1F). We observed a decline in 13 Serca2 expression more towards the injured area, which is likely due to both a loss in 14 cardiomyocytes as well as a decrease in transcriptional activation since the Nppa signals clearly 15 indicate the presence of viable myocytes in this region (Figure 1F). The reproducibility of the 16 obtained gene expression profiles was confirmed on a second set of samples (Supplemental 17 Figure 3).

18

19 Tomo-seq identifies potential new players for cardiac remodeling and function.

An important advantage of tomo-seq over genome-wide sequencing techniques on tissue homogenates is that the local information on gene regulation allows for correlation analysis to identify genes with a comparable spatial distribution in transcriptional regulation.⁶ Since we observed a gene-specific expression profile throughout the infarcted tissue for *Col1a2*, *Nppa* and

12

Serca2, we used the Euclidean distance of Z-score transformed spatial expression traces 6 to 1 2 measure pattern similarity between genes 14 dpIR using Colla2, Nppa and Serca2 as reference 3 genes. In doing so, we obtained a gene list that showed the greatest similarity in expressional 4 differences across the infarcted tissue with our reference genes (Table 1, Figure 1G and 5 Supplemental Databases 7 and 8), a vast majority of which could be identified within the 6 corresponding gene cluster identified in Figure 1D. Interestingly, next to Colla2, Nppa or 7 Serca2, these lists also contained other well-known genes related to the biological function of the 8 reference genes. Among the Colla2 co-expressed genes, we recognized additional genes known for their function in ECM deposition (like Sparc and Col3a1),² while many of the genes co-9 10 regulated with Nppa encode for proteins involved in cardiomyocyte hypertrophy (Nppb and 11 *Myh7*). The gene list for *Serca2* contained *Pln* and *Ryr2*, both well known for their importance in cardiac calcium handling and contractility³ (Table 1, Supplemental Databases 7 and 8). This co-12 13 expression of genes could be confirmed by ISH and indicated the signals to stem from the same 14 cell population (Figure 1H). The connection between the spatially co-regulated genes and their 15 biological function was underscored by KEGG pathway analysis. The one hundred fifty genes 16 with the highest similarity in expressional changes with either Colla2, Nppa or Serca2 17 throughout the infarcted heart at 14 dpIR, indicated an enrichment for the cellular function 18 known to be associated with the reference genes (Figure 1I through K, Supplemental Tables 3 19 through 5, and Supplemental Databases 7 and 8). The known biological link of several of the 20 listed genes and the functional connection based on gene ontology analysis suggests that the 21 correlation analysis can serve to identify genes that are functionally related to the biological 22 function of the reference genes.

23 RNA sequencing (RNA-seq) on whole tissue homogenates from the infarcted area from three

1 independent mice 14 dpIR showed a comparable directional regulation in gene expression, with 2 the Colla2- and Nppa-related genes going up after infarct, while the Serca2-related genes are 3 going down compared to sham-operated mice (Supplemental Figure 4). However, in contrast 4 with the data obtained by tomo-seq, the changes observed by RNA-seq on tissue homogenates 5 failed to provide spatial information on co-expression of genes and showed smaller changes with 6 a high inter-animal variation (Supplemental Figure 4). Since tomo-seq analysis is based on the 7 correlation in gene expression within a single sample, the variation between animals is of lesser 8 importance.

9 Tomo-seq analysis for lncRNAs specifically, showed localized expression changes, albeit far 10 less pronounced and specific than for coding genes (Supplemental Figure 5), which is likely due 11 to the low abundance of lncRNA transcripts.

12

The correlation in expression of novel genes linked to cardiac remodeling and function is conserved in humans.

15 While multiple well-known markers of fibrosis, hypertrophy and calcium handling could be 16 identified among the genes with a similar transcriptional activation pattern, we also found 17 multiple ill-studied genes that so far have not been linked to aspects of cardiac remodeling 18 (Supplemental Databases 7 and 8). To confirm the correlation in transcriptional activation, we 19 randomly chose one candidate from each list to explore in more detail. The Z-score transformed 20 expression traces at 14 dpIR indicated a close correlation in expressional regulation between 21 Colla2 with Fstll, Nppa with Pmepal, and Serca2 with Chchd2 (Figure 2A), which could be 22 confirmed by ISH on murine cardiac tissue 14 dpIR (Figure 2B). Further confirmation for a correlation in expression of these novel factors with *COL1A2*, *NPPA* and *SERCA2* was obtained
 by ISH on ischemic human heart tissue (Figure 2C).

3 The validity of using tomo-seq to identify genes that are expressionally linked was strengthened 4 by the observation that real-time PCR analysis on cardiac tissue from patients suffering from 5 ischemic heart disease confirmed the correlation between the expression levels of COLIA2, 6 NPPA, SERCA2 and the newly identified genes (Figure 2D through F). The correlation was 7 strongly reduced when we cross-referenced genes from different lists (Supplemental Figure 6). 8 The density plot for the cumulative Pearson correlation coefficients validates the shift towards a 9 higher correlation between genes that belong to the same list (*co-regulated*) compared to the 10 genes that were not shown to be co-regulated (randomized) by tomo-seq (Figure 2G).

While it remains to be determined which of the newly defined genes are relevant for cardiac remodeling, the functional link between the co-regulated genes and the fact that we can validate the co-regulation in both mice and human, implies that tomo-seq allows for the identification of novel genes that are potentially relevant for specific aspects of pathological remodeling of the infarcted heart.

16

17 Tomo-seq identifies SOX9 as key transcription factor for cardiac fibrosis.

The overlap in differential expression throughout the infarcted heart triggered us to explore whether a common transcription factor (TF) could be responsible for the synchrony in transcriptional regulation of the different gene clusters. Using an *in silico* approach, we searched for TFs (using oPOSSUM 3.0) that contain one or more predicted binding site(s) in the promoter regions of the top thirty *Col1a2* co-regulated genes (Table 1 and Supplemental Table 6). Among these factors, we identified SOX9 as a potential candidate. SOX9 is a TF that has been
recognized for its role in chondrocyte differentiation.¹⁰

3 While so far unstudied in the adult heart, previous work showed that SOX9 has a potent function in fibrosis.¹⁵ Expression trace analysis for *Sox9* revealed a strong spatial correlation with *Colla2* 4 5 (Figure 3A). ISH indicated Sox9 to be expressed in the same region of the infarcted area as 6 Colla2, although at a much lower level (Figure 3B). Real-time PCR on tissues from infarcted 7 mouse heart further confirmed Sox9 upregulation in the infarct zone (Figure 3C). Based on the 8 predicted binding site(s) in the promoter regions of multiple Colla2 co-regulated genes, its 9 proposed function in liver fibrosis and the overlap in transcriptional regulation with *Colla2* in 10 the infarcted heart, we decided to further pursue SOX9 in cardiac fibrosis. The induction in Sox9 11 expression was only observed 14 dpIR and restricted to the infarcted area (Supplemental Figure 12 7A and B). Staining for both SOX9 and COL1 indicated SOX9 protein to be detectable in the 13 same region as COL1 (Figure 3D).

14 To start exploring the fate of SOX9-expressing cells in the infarcted heart, we employed a 15 lineage tracing approach using a TdTomato reporter mouse model driven by the promoter of the Sox9 gene $(Sox9^{CreERT2}; R26R^{TdT})$ (Figure 3E). Fluorescence-activated cell sorting (FACS) 16 performed on single cells isolated from the left ventricle indicated a significant elevation of the 17 18 SOX9-TdT⁺ cell population 14 dpIR compared to Sham (Figure 3F). Immunostaining clearly showed a co-localization between SOX9-TdT⁺ and COL1-expressing cells, which were 19 20 surrounded by cardiomyocytes (marked by alpha-actinin-2, ACTN2) (Figure 3G and H). A 21 similar overlap in expression was observed between SOX9-TdT⁺ and cells labelled with two other fibroblast markers; periostin and vimentin (Supplemental Figure 8A and B, respectively).^{16,} 22

¹⁷ These data demonstrated that SOX9 is predominantly active in the fibroblast population that
 repopulates the infarcted area after injury.

To further explore whether SOX9 is involved in the transcriptional activation of the *Col1a2* coregulated genes, we treated fibroblasts with TGFβ1 after we exposed them to either an siRNA against *Sox9* or a control. In addition to a strong repressive effect on *Sox9* expression, we also observed a significant repressive effect on 6 out of 15 potential SOX9 targets listed as *Col1a2* co-regulated genes, with a general downward trend for the remaining genes (Supplemental Figure 7C). This was also true for additional fibrosis-related genes (Supplemental Figure 7D), indicating a global function for SOX9 in fibroblast activation.

10 Real-time PCR analysis in tissue samples from human ischemic hearts showed a significant 11 correlation between the levels of expression of *COL1A2* and *SOX9* (Figure 4A). In agreement 12 with our mouse data, real-time PCR indicated an expressional increase in *SOX9* expression 13 towards the infarcted area (Figure 4B), where the majority of the fibrosis is located. ISH showed 14 that a sub-population of *COL1A2*-positive cells was also positive for *SOX9* in human ischemic 15 hearts (Figure 4C). Immunostaining further confirmed that SOX9-expressing cells were also 16 positive for COL1 (Figure 4D and E).

17

18 SOX9 regulates cardiac fibrosis during ischemia reperfusion injury.

To examine the effect of SOX9 *in vivo*, we generated inducible *Sox9* heterozygous knockout mice $(Sox9^{fl/+};R26^{CreERT2})$ (Figure 5A). Tamoxifen injection at the day of surgery and 2 and 4 days after injury resulted in a disruption of the *Sox9* allele as confirmed by PCR on genomic DNA (Figure 5B), and further quantified by real-time PCR and immunofluorescence after IR in the infarcted region (Figure 5C through E). *Sox9* loss of function was accompanied with a

1 profound reduction in fibrosis, which was quantified by the amount of Sirius Red staining in the infarcted region (Figure 5F and G). Periostin (PSTN), a protein marking activated fibroblasts,¹⁷ 2 was also reduced in the infarcted $Sox9^{fl/+}$; $R26^{CreERT2}$ mice treated with Tamoxifen, further 3 4 confirming the importance of SOX9 as a key driver for fibrosis in the ischemic heart (Figure 5 5H). Expression analysis for 15 randomly selected Colla2 co-expressed genes showed an 6 increase in expression in response to ischemic injury. Loss of Sox9 resulted in a significant 7 reduction in expression for 13 out of 15 genes 14 dpIR compared to control animals (Figure 51 8 and Supplemental Database 7: highlighted in yellow).

9 High expression levels of SOX9 have previously been described in chondrocytes and publically available SOX9 ChIP-seq data in this cell type¹⁸ showed that 15 out of the 30 genes that were co-10 11 expressed with *Colla2* in our study have at least one of their predicted binding sites directly 12 occupied by SOX9 (for instance: Colla2, Fn1, Lum and Vim; Table 1 and Supplemental Figure 13 9). Importantly, these sites were found enriched for the histone mark H3K27ac in the adult 14 mouse heart (ENCODE dataset), which further demonstrates that these regions are active and 15 open for transcription factors like SOX9 in vivo. Altogether, these data demonstrate that SOX9 16 has the ability to occupy the promoter region of ECM-related genes and may actively regulate 17 these genes in the heart.

18

19 **Discussion**

Here we applied tomo-seq to obtain a genome-wide gene expression profile with a high spatial resolution throughout the mammalian heart after ischemic injury. Cardiac ischemia reperfusion damage induces a heterogeneous remodeling response that involves several key processes, like cardiac fibrosis, cardiomyocyte hypertrophy, and a change in calcium handling within the heart

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1 muscle cells.^{2, 3, 13, 14} Localized expressional differences of well-known markers genes for these 2 remodeling processes allowed us to uncover novel genes that showed a comparable 3 transcriptional regulation and that are linked to specific aspects of cardiac remodeling. Using this 4 data set, we identified SOX9 as a key transcriptional regulator of ECM-related genes and showed 5 that *in vivo* loss of *Sox9* after myocardial infarction blunted the cardiac fibrotic response upon 6 ischemic injury.

7 While RNA sequencing techniques on tissue samples have been instrumental in defining genes relevant for cardiac remodeling and repair,^{4, 5} so far these approaches have been disadvantaged 8 9 by the fact that the signals are derived from tissue homogenates, which inherently causes the loss 10 of spatial information and dilutes out more localized expression signatures. Additionally, 11 conventional methods for defining localized changes in genes expression, like ISH or 12 immunohistochemistry, are limited to a defined set candidate genes and do not allow for 13 genome-wide screening for novel relevant gene candidates. Recent developments in RNA 14 amplification strategies provide the opportunity to use small amounts of input RNA for genomewide sequencing, as exemplified by tomo-seq.⁶ While recent studies showed this method to 15 provide insightful data for both the developing and injured zebrafish heart,^{6, 19} our study for the 16 first time shows the relevance for the mammalian heart after ischemic injury. Especially the 17 18 transcriptional differences, introduced by the localized heterogeneity in remodeling throughout 19 an individual infarcted heart, appeared to be valuable for the identification of clusters of genes 20 that showed a comparable regulation in expression. For this study we focussed on genes that 21 showed an equivalent transcriptional regulation pattern across the infarcted tissue as well-known functions in fibrosis, cardiomyocyte hypertrophy or contractility (Colla2, Nppa or Serca2).^{2, 3, 13,} 22 ¹⁴ Based on subsequent functional annotation analysis, expressional confirmation in human 23

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1 ischemic tissue samples and functional *in vitro* and *in vivo* assays, we conclude that the high 2 spatial resolution in gene expression signatures obtained by tomo-seq allows for the 3 identification of new relevant factors for specific aspects of heart disease. While we were 4 preparing our manuscript, it was also reported that *Fstl1*, one of our top *Col1a2* co-regulated 5 genes, is important for cardiac fibroblast activation,²⁰ which further underscores the relevance of 6 our approach for identifying new players in specific cardiac remodeling responses.

7 Using our tomo-seq data, we identified SOX9 as common transcription factor able to regulate the 8 expression of the majority of the *Colla2* co-regulated genes. SOX9 is a transcription factor essential for chrondrogenesis via the activation of many ECM genes.²¹ In the heart, SOX9 is 9 10 highly expressed during development where it promotes epithelial-to-mesenchymal transition and ECM organization during heart valve development.^{22, 23} In the adult heart, SOX9 has been 11 shown to play a role in valve calcification.^{24, 25} While SOX9 has been implicated in the fibrotic 12 response of the liver,¹⁵ so far it was unknown to play a role in cardiac fibrosis. We show that 13 14 SOX9 is induced in response to ischemic injury and that *in vivo* loss of SOX9 after myocardial 15 infarction blunts the cardiac fibrotic response upon damage, revealing a previously unknown 16 function for SOX9 in cardiac fibrosis. In addition, we show that SOX9 is mainly active in the 17 fibroblast population that repopulates the infarcted area after injury.

In our efficacy studies, we make use of a reduction in SOX9 levels instead of complete deletion, which is sufficient to cause an effect on cardiac fibrosis after injury. An equally profound phenotype in the heart has been reported by others upon heterozygous deletion of *Klf6* and *Rock1*, two other key regulators of fibrosis. $^{26, 27}$ This suggests that the molecular mechanism that drive cardiac fibrosis are sensitive to small perturbations in gene expression. Since therapeutical targeting of SOX9 would also moderately lower expression levels, we think this genetic model gives a good representation of what would happen when using an inhibitor of SOX9 in the clinic
 as a therapy for cardiac fibrosis.

Here we show that the high spatial resolution in gene expression signatures obtained by tomo-seq reveals new regulators, genetic pathways, and transcription factors that are active in well-defined regions of the heart and potentially involved in specific aspects of heart disease. This knowledge increases our mechanistic insights into cardiac remodeling and function, and will help guide the identification of novel therapeutic candidates. However, the applicability of this approach is far greater than ischemic heart disease and the remodeling aspects we now focused on, and can also serve to identify new relevant factors for many different biological processes and disease states.

10

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14

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20 Disclosures

21 None

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23 **References**

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1 Figure legends

2 Figure 1. High resolution gene expression atlas of the infarcted heart by tomo-seq. A, 3 Schematic representation of a mouse heart after sham surgery (Sham) and 14 days post ischemia 4 reperfusion (14 dpIR). **B**, Pairwise correlation for all sections across all genes showing clusters 5 of correlated sections 14 dpIR in one biological replicate. C, Pairwise correlation for all sections 6 across genes exhibiting at least two-fold and statistically significant differential expression 7 between the infarct and remote zones 14 dpIR. D, Hierarchical clustering of expression traces for 8 all genes that were found to be differentially expressed in C. E, Spatial expression pattern of 9 three reference genes Colla2, Nppa, and Serca2 in the hearts from Sham, 1 dpIR, and 14 dpIR 10 mice. F, Validation of the expression pattern by ISH 14 dpIR. Four chamber view (left) and 11 higher magnification (right) are shown. Scale bars, 1 mm (left) and 200 µm (right). G, Spatial 12 expression traces of ten co-regulated genes 14 dpIR. Reference genes are shown in red, and ten 13 most similar genes are shown in grey. Black bold traces show other known markers involved in 14 fibrosis, hypertrophy, and contractility (Sparc, Nppb, and Pln, respectively). H, Validation of the 15 co-expression pattern of *Col1a2/Sparc*, *Nppa/Nppb*, and *Serca2/Pln* by ISH. Scale bars, 200 µm. 16 I through K, KEGG analysis showing the enriched pathways the top one hundred fifty genes 17 Colla2 (I), Nppa (J), and Serca2 (K) co-regulated genes are involved in.

18 Figure 2. Identification of novel genes involved in remodeling and function of the ischemic

heart. A, Spatial expression traces of three selected novel genes co-regulated with *Colla2*(*Fstl1*), *Nppa* (*Pmepa1*), or *Serca2* (*Chchd2*) in mice 14 dpIR in one biological replicate.
Expression traces were normalized by *Z*-score transformation. B, Validation of co-expression of

22 Colla2/Fstl1, Nppa/Pmepa1, and Serca2/Chchd2 by ISH in mice 14 dpIR. Scale bars, 200 μm.

1 C, Validation of the co-expression pattern of COL1A2/FSTL1, NPPA/PMEPA1, and 2 SERCA2/CHCHD2 by ISH on human ischemic heart tissue. Scale bars, 100 µm. D through F, 3 Real-time PCR analysis of genes that are spatially co-regulated in mice 14 dpIR (see 4 Supplemental Database 7) using human cardiac tissue from ischemic heart disease patients. 5 Control hearts and remote, border-zone and infarct zones from ischemic hearts are plotted. Data 6 are presented as $\log 2$ transformed values. Pearson correlation (r) and significance of co-7 regulated gene expression is shown (n=27-34; p<0.05 is considered significant). G, Kernel 8 density plot of Pearson r values of the correlation in expression between the four corresponding 9 COL1A2, NPPA, and SERCA2 co-regulated genes (co-regulated; n=12) vs genes that are not co-10 regulated, i.e., genes cross-referenced from different lists (randomized; n=24) (see Supplemental 11 Figure 6). Dotted lines depict the mean of the *r* values of all correlated and non-correlated genes.

12 Figure 3. Identification of Sox9 as a key regulator of fibrosis-related genes. A, Spatial coexpression of Colla2 and Sox9 determined by tomo-seq in the heart 14 dpIR in one biological 13 14 replicate. **B**, Validation of the co-expression of Sox9 and Colla2 in mice determined by ISH 14 15 dpIR. Scale bars, 100 µm. C, Real-time PCR analysis of Sox9 expression in infarct (I) and 16 remote (R) cardiac regions. Data are presented as fold change over sham-operated control 17 hearts (n=5-6; *p<0.05 vs sham). **D**, Validation of the co-expression of SOX9 and COL1 in the 18 infarct/border-zone in mice determined by co-immunostaining 14 dpIR. Nuclei were 19 counterstained with DAPI. White arrows point to cells expressing SOX9 in their nuclei (purple). 20 Scale bars, 50 µm. E, Schematic representation of the lineage tracing strategy of Sox9 expressing 21 cells. Reporter mice conditionally expressing TdTomato driven by the Sox9 promotor (Sox9^{CreERT2}; R26R^{TdT}) were subjected to sham surgery or IR, injected with Tamoxifen at day 0, 22

2, and 4 days post-surgery and analysed after 14 days. **F**, FACS quantification of cardiac SOX9-TdT⁺ cells in the hearts from Sham and 14 dpIR mice (n=3-4; *p<0.05 vs healthy sham control hearts). **G** and **H**, Co-immunostaining against TdTomato (TdT) and ACTN2 (**G**) or COL1 (**H**) in the hearts from sham-operated mice and 14 dpIR. White stars in the Merge field indicate SOX9-TdT-positive regions. Scale bars, 1 mm (4-chamber view) and 50 µm (higher magnification).

6

7 Figure 4. SOX9 is expressed in the fibrotic region in human cardiac tissue. A, Pearson 8 correlation of SOX9 and COL1A2 expression determined by real-time PCR analysis on cardiac 9 patient tissue (n=30). Data are presented as log 2 transformed values. **B**, Real-time PCR analysis 10 of SOX9 expression in infarct (I) and remote (R) cardiac regions of ischemic tissue samples. Data 11 are presented as fold change over healthy control hearts (n=3-10; *p<0.05). C, Validation of the 12 co-expression pattern of SOX9/COL1A2 by ISH in human ischemic cardiac tissue. Scale bars, 13 100 µm (*left*) and 50 µm (*right*). **D** and **E**, Co-immunostaining against SOX9 and ACTN2 (**D**) or 14 COL1 (E) in the hearts from control individuals or patients suffering from ischemic heart disease 15 (IHD). White arrows point to cells expressing SOX9. Scale bars, 50 µm.

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Figure 5. Loss of *Sox9* in mice protects against cardiac fibrosis. A, Schematic representation of the targeting strategy for conditional *Sox9* deletion. $Sox9^{fl/+};R26^{CreERT2}$ were subjected to sham surgery or IR, injected with Tamoxifen at day 0, 2, and 4 days post-surgery and analysed after 14 days. **B**, PCR genotyping for *Sox9* floxed deleted allele (*Sox9fl del*) and *Cre* transgene. Genomic DNA isolated from $Sox9^{fl/+};R26^{CreERT2}$ treated with vehicle or Tamoxifen. Used forward (P1) and reverse primers (P2) are indicated as demi-arrowheads in **A**. M, Marker. **C**, Real-time PCR analysis of *Sox9* in the hearts (infarct zone) from $Sox9^{fl/+};R26^{CreERT2}$ mice 14

dpIR injected with either vehicle or Tamoxifen (n=4-7; *p<0.05 vs the indicated groups). **D**, 1 2 Co-immunostaining against SOX9 and ACTN2 on corresponding infarcted heart tissue 14 dpIR. Right panel shows the same section including bright field (BF). Scale bars, 50 µm. E, 3 4 Quantification of SOX9 expression 14dpIR in the fibrotic region of the left ventricle or the 5 corresponding region in Sham mice (n=3; **p<0.01, *p<0.05 vs Sham). **F**, Histological sections 6 of infarcted hearts stained for Sirius Red (collagen) 14 dpIR. Scale bars, 1 mm (left) and 400 µm 7 (right). G, Quantification of Sirius Red-positive area in infarcted area or corresponding Sham 8 region (n=3-7; **p<0.01; *p<0.05 vs corresponding Sham). H, Western blot analysis of the fibrotic protein periostin (PSTN) in the hearts from $Sox9^{fl/+}$; $R26^{CreERT2}$ mice 14 dpIR. GAPDH 9 10 was used as a loading control (n=4-5; *p<0.05 vs MI vehicle injected mice). I, Real-time PCR 11 analysis of *Colla2* co-expressed genes in the mouse hearts 14 dpIR (n=6; **p<0.01; *p<0.05 vs 12 Sham injected with Vehicle).

l	Table 1. Top	thirty genes sl	nowing the most	similar expression	pattern to Colla2.
	· · · · · · · · · · · · · · · · · · ·			········	T

Col1a2 similar genes	SOX9 predicted binding site	SOX9 validated binding site
Col1a2	Х	Х
Sparc	Х	
Fstl1	Х	Х
Serping1	Х	
Pdgfrl	Х	
Tmem45a	Х	
Col3a1	Х	Х
Sfrp1	Х	Х
Lox	Х	Х
Ecrg4		
Dkk3	Х	
Collal	Х	
Itgbl1	Х	
Fnl	Х	Х
Thbs2		
Cthrc1	Х	
Col8a1	Х	
Col5a2	Х	
Lum	Х	Х
Fbln2	Х	
Gasl	Х	Х
Antxr1	Х	Х
Thbs1	Х	X
Ogn	Х	
Col16a1	Х	X
Vim	Х	Х
Cxcl16	Х	
Timp1	Х	Х
Rnase4	Х	Х
Ddah1	Х	Х

Genes that contain a predicted/validated SOX9 binding site in their promoter region are marked.

Figure 1



10⁻²⁵ 10⁻²⁰ 10-15 10-10 10-5 P-values (log 10)

100

Figure 2



Figure 3



Figure 4



Figure 5



Figur