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Gut microbiota differs between children with inflammatory bowel disease and healthy siblings in taxonomic and functional composition: a metagenomic analysis

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- Running Title: Metagenomic analysis of the pediatric IBD microbiome 4
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Abstract

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30 Current treatment for pediatric IBD patients is often ineffective, with serious side effects. 31 Manipulating the gut microbiota via fecal microbiota transplantation (FMT) is an emerging 32 treatment approach but remains controversial. We aimed to assess the composition of the fecal microbiome through a comparison of pediatric IBD patients to their healthy siblings, evaluating 33 risks and prospects for FMT in this setting. A Case-Control (Sibling) Study was conducted 34 35 analyzing fecal samples of six children with Crohn's Disease (CD), six children with Ulcerative 36 Colitis (UC) and 12 healthy siblings by metagenomic sequencing. In addition, lifetime antibiotic 37 intake was retrospectively determined. Species richness and diversity were significantly reduced in 38 UC patients compared to control (MWU FDR = 0.011). In UC, bacteria positively influencing gut homeostasis e.g. Eubacterium rectale and Faecalibacterium prausnitzii were significantly reduced 39 40 in abundance (MWU FDR = 0.05). Known pathobionts like Escherichia coli were enriched in UC patients (MWU FDR = 0.084). Moreover, E. coli abundance correlated positively with that of 41 42 several virulence genes (SCC > 0.65, FDR < 0.1). A shift towards antibiotic resistant taxa in both 43 IBD groups distinguished them from controls (MWU BY FDR = 0.062 in UC, MWU BY FDR = 44 0.019 in CD). The collected results confirm a microbial dysbiosis in pediatric UC, and to a lesser 45 extent in CD patients, replicating associations found previously using different methods. Taken 46 together, these observations suggest microbiotal remodeling therapy from family donors, at least for children with UC, as a viable option. 47

New and Noteworthy

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In this sibling study, prior reports of microbial dysbiosis in IBD patients from 16S rRNA
sequencing was verified using deep shotgun sequencing and augmented with insights into the
abundance of bacterial virulence genes and bacterial antibiotic resistance determinants, seen against
the background of data on the specific antibiotic intake of each of the study participants. The
observed dysbiosis, which distinguishes patients from siblings, highlights such siblings as potential
donors for microbiotal remodeling therapy in IBD.

- 56 Keywords: Metagenomics, Microbiome, pediatric gastroenterology, Inflammatory Bowel Diseases, Fecal
- 57 Microbiota Transplantation (FMT)

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59 Abbreviations:

BY FDR Benjamini-Hochberg-Yekutieli procedure False Discovery rate (adjustment)

CD Crohn's Disease

CTRL Control

(BH) FDR Benjamini-Hochberg procedure False Discovery Rate (adjustment)

FMT Fecal Microbiota Transplantation

GABA gamma-Aminobutyric acid

IBD Inflammatory Bowel Disease

KW Kruskal-Wallis test LRT likelihood ratio test

mOTUs metagenomic Operational Taxonomic Units

MWU Mann-Whitney-U test

PCDAI Pediatric Crohn's Disease Activity Index
PUCAI Pediatric Ulcerative Colitis Activity Index

rRNA ribosomal RNA

SCC Spearman rank Correlation Coefficient

UC Ulcerative Colitis

Introduction

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62 The gut microbiota plays a crucial role in human physiology and host development (41), and 63 maintaining equilibrium between the commensal microbiota and the host immune system is required for a healthy gut homeostasis. 64 There is increasing evidence for a contribution of the gut microbiome to the etiology of IBD (18). In 65 the past, genome-wide association studies have revealed multiple host gene loci in both UC and 66 67 CD, with alleles associated with functional aberrations of the intestinal immune system (18). Recent studies based on novel DNA sequencing methods have revealed major differences in bacterial 68 69 taxonomic composition between IBD patients and healthy individuals (see Appendix Table 1). Still, 70 it remains unclear whether these observed alterations are the cause or result of inflammation. 71 Since most studies on the IBD microbiome to date have relied on 16S rRNA sequencing, the 72 functional impact of the reported dysbiosis is not well understood (23), and reported associations are largely limited to identifying more general taxa (ranging from bacterial phyla to genera) as 73 74 being associated with disease, given the limitations of 16S for reliable species identification Whole 75 genome sequencing allows higher resolution and sensitivity than the more common and less 76 expensive 16S rRNA sequencing and offers new insights into the functional context of the IBD 77 microbiome (e.g. abundance of metabolic pathways and the distribution of genes determining virulence or resistance to antibiotics). 78 79 Current treatment strategies for pediatric IBD patients often come with serious side effects or provide insufficient treatment responses. Therefore, there is a need for novel treatment approaches. 80 81 Manipulating the gut microbiota via fecal microbiota transplantation (FMT) appears as an 82 intriguingly facile and harmless therapy option for children with IBD. Efficacy in the treatment of pediatric UC via fecal enemas has been suggested (22), and the administration of FMT via 83 nasogastric tube has led to improved well-being in pediatric CD patients (44). Still, its outcome 84 remains controversial, since results from the first two randomized placebo-controlled trials in adults 85

are in contradiction (29, 36). While no serious adverse events for FMT in children have been reported, data on feasibility and safety in a long-term perspective (e.g. the risk of transferring a pathogenic disease state via FMT) are missing (12). Microbiome-based validation of suitable donors might help better predict treatment outcome (11). The present pilot study therefore aims to elucidate microbiome correlates of juvenile IBD so as to help in designing criteria for when FMT might be employed, using a pediatric cohort of CD and IBD patients as well as their healthy siblings.

Methods

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Cohort recruitment

Patients were selected from the IBD patient collective at the Zentrum für Kinder- und Jugendmedizin (University Children's Hospital) Mainz in Mainz, Germany. In all patients IBD diagnosis was histologically confirmed by prior endoscopy. Disease activity was assessed by the Pediatric Ulcerative Colitis Activity Index (PUCAI) (45) and the Pediatric Crohn's Disease Activity Index (PCDAI) (14). In total, fecal samples from six Ulcerative Colitis (UC) patients and six Crohn's Disease (CD) patients and from 12 controls were collected from August 2013 to July 2014. Controls were healthy siblings of the IBD patients, sharing the same parents and living in the same households, thereby sharing genetic background, environment and diet. In addition, study participants completed a questionnaire about health/disease status, living conditions, and alimentary habits. Children who had taken antibiotics during the last 2 months prior to sampling were excluded from the study. For additional cohort characteristics see Table 1. Since all study participants are older than 8 years, and thus should have relatively stable microbiomes, we do not anticipate age differences between patients and healthy siblings to have a strong impact on results. The study design was approved by the ethics committee of the State Chamber of Physicians of Rhineland-Palatinate (Ethikkomission der Landesärztekammer Rheinland-Pfalz, reference number 837.292.13 (8977-F)).

110 Written informed consent was given by both parents and the study participants prior to sample 111 collection and medical assessment. 112 Assessment of lifetime antibiotic intake 113 Antibiotic intake of the study population was retrospectively recorded, starting from birth. 114 Therefore, all physicians/hospitals who have treated the study participants were contacted and asked 115 to transfer their antibiotic prescription data for each child. Out of 306 person years of total 116 participant lifetime to date, only 16 (5 %) could not be covered by this assessment. 117 Sample collection 118 Fecal samples were collected at home with help of a stool sampling kit. The stool sampling kit 119 consisted of a plastic lining to cover the toilet, two stool sample tubes with spoons, two plastic bags 120 and a clipping system for safe closure of the outer bag. Collected samples were stored at home 121 between 4°C and 8°C and transferred to the laboratory within 24h. In the laboratory of the Zentrum 122 für Kinder- und Jugendmedizin Mainz, Germany, the samples were frozen at -80° C. All samples 123 were gathered there and then shipped on dry ice (- 78.5°C) to the European Molecular Biology 124 Laboratory (EMBL) in Heidelberg, Germany. 125 Probe processing 126 All probe processing was conducted by the Genomics Core Facility, EMBL, Heidelberg. DNA-127 Extraction and Library preparation were performed according to the protocol from Zeller et al. (49). 128 Whole genome shotgun sequencing was executed on the Illumina HiSeq 2000/2500 (Illumina, San 129 Diego, USA) platform. All samples were paired-end sequenced with a read length of 100 bp and a 130 targeted sequencing depth of 5 Gbp (49). 131 Data analysis 132 Data analysis was performed at the Structural and Computational Biology Unit, EMBL, Heidelberg. 133 Taxonomic profiling

Using the MOCAT pipeline (21), gene sequences were annotated to their bacterial taxonomy. Since many gut bacteria are known to belong to species for which no genome yet exists in public databases, two different alignment procedures were used. The first procedure was based on metagenomic operational taxonomic units (mOTUs) (43) which also encompass uncharacterized bacteria identified in metagenomic dataset. The second procedure used species clusters defined from bacterial genomes for which publicly deposited genomes do exist (27). In this manner as complete coverage of the taxonomic composition of the samples as possible was achieved. Analysis of bacterial diversity, species richness and evenness Based on the mOTU taxonomic composition, Shannon diversity index, species richness and evenness were calculated using the vegan R package (http://cran.rproject.org/web/packages/vegan/index.html), for details see Zeller et al. 2014 (49). Functional profiling To gain insight into metabolic functions of the microbiome, the metagenomic catalogue was aligned to the Kyoto Encyclopedia of Genes and Genomes (KEGG) Database (16). For further details see Zeller et al., 2014 (49). *Identifying virulence factors* For virulence factor profiling of the metagenome samples, reads were mapped to virulence factor gene families via an annotated gene catalog as described in Kultima et al., 2016 (20). For each gene catalog entry annotated to a virulence factor gene family, we traced which sequenced taxa have genes similar enough to the reference that reads originating from them could map to it. For the virulence genes found significantly different under IBD in this study, from sequence alone their specific origin cannot be determined; on average each virulence gene family is found in 87 taxa (See Supplementary Table 2).

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Identifying antibiotic resistance genes

To estimate the abundance and prevalence antibiotic resistance genes in the studied microbiomes, the reference gene catalogue was aligned to the Antibiotic Resistance Genes Database (ARDB)(25), as described in Forslund et al. (9). Metronidazole resistance genes (nim, nimA, nimB, nimC, nimD, nimF) were further annotated through bidirectional best hits between the reference gene catalog and all annotated such sequences from UniProt, otherwise as previously described. Only antibiotic classes which had been taken by at least one individual in the study cohort were considered. For each sample, three measures of antibiotic resistance gene carriage were determined: the raw relative abundance of antibiotic resistance genes, the relative abundance of potentially resistance gene-carrying bacterial species, and the antibiotic resistance potential (abundance of antibiotic resistance genes relative to the abundance of potentially resistance gene-carrying species). The abundance of potentially resistant species was calculated as the fraction of genetic material from each sample that map to species belonging to genera with known examples of species carrying resistance genes of the appropriate type, in the reference genome database used (see Forslund et al. (9)).Statistical analysis In subsequent analyses, all read/base counts were transformed into relative abundances (by division by the total number of reads/bases sequenced per sample) as described previously by Zeller et al., 2014 (49). In order to identify significant differences between sample categories for each metagenomic feature, a nonparametric Kruskal-Wallis-test (KW) was performed whenever the UC-, CD- and controlgroup were compared. This was followed by pairwise Mann-Whitney-U-tests (MWU) as a post-hoc procedure. For data sets not normally distributed, the Wilcoxon signed-rank test was employed to compare sib pairs directly. Correlation analyses were performed using Spearman rank's correlation coefficient

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(SCC), in order to fit potentially non-normal data.

In cases of multiple testing p-value correction via the Benjamini-Hochberg false discovery rate (FDR)(2) or the Benjamini-Hochberg-Yekutieli procedure (BY) (3) was performed. For corrected pvalues significance threshold was set at < 0.5; corrected p-values ranging 0.05 < FDR < 0.1 we refer to as approaching significance. To reduce the number of tests for taxonomic abundances and thereby increase statistical power, a pre-selection of hypotheses was applied by collecting microbiome associations described in the literature, including taxa directly associated with IBD or associations projected from such reports by phylogenetic relationships. This collection consisted of all directly reported IBD-associated taxa in the referenced works and their containing taxonomic superclades (see Appendix Table 1). In addition, thus far uncharacterized taxa sorting immediately under each clade previously reported associated with IBD were added, to account for incompleteness of sequenced genomes (e.g. unknown Firmicutes for class analysis, as Firmicutes were reported to be different on the Phylum level, or unknown Clostridia for analysis on the order level, as Clostridia were previously reported to be associated with IBD on the class level); the mOTU technology used here was explicitly designed to allow detection of unculturable human gut taxa. A likelihood ratio test (LRT) was conducted to test whether the abundance of potentially resistant species can be explained by antibiotic selection pressure (as represented through lifetime antibiotic intake) or not. To calculate these likelihood ratios, mixed-effect linear models taking into account 1) overall lifetime antibiotic intake and disease status, 2) lifetime antibiotic intake alone, 3) disease status alone and 4) solely a background constant model were compared to each other. Prior to this analysis in each case the general assumptions for linear models were tested (independence, absence of co-linearity, homoscedasticity, linearity and normality of the residuals and absence of influential data points) using graphpad (http://graphpad.com/quickcalcs/PValue1.cfm) with p-values calculated

Results

for a χ^2 -distribution with one degree of freedom.

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208 Pediatric UC patients have reduced microbial biodiversity compared to their healthy siblings Tests were conducted on the Shannon diversity index to characterize overall gut biodiversity. Only 209 210 in UC patients reduction of biodiversity reached significance compared to controls (MWU, n_{UC} = 6/n_{Control} = 12, FDR = .011) (Figure 1). Analyzing the data on aggregate, children with UC and CD 211 both displayed significantly reduced species richness (MWU, $n_{UC} = 6/n_{CD} = 6/n_{Control} = 12$, FDR = 212 213 .011, FDR= .045 respectively) (Figure 1) compared to the set of control children. This reduction 214 was significant also when matching each IBD patient to their control sibling in a pairwise test (WT, $n_{UC} = 6/n_{CD} = 5/n_{Control} = 11$, FDR= .048). Similar observations could be made for microbial 215 216 community evenness (see Figure 1). 217 Differences in taxonomic abundances from former 16S rRNA analyses are validated by WGS 218 Considering those bacterial taxa previously noted as being altered in prevalence or abundance in 219 IBD (cf Appendix Table 1), many of these findings could be recaptured as significant in the present 220 cohort even with its limited size, comparing the set of all controls to the set of each subset of IBD 221 subjects (Figure 2). Pairwise testing of siblings (Wilcoxon rank test) showed the same trends as the 222 aggregated case-control comparison, though due to limited sample numbers, approached 223 significance only for associations of Clostridium (Clostridiacae) and Eubacterium rectale to IBD 224 (Supplementary Table 1). If we do not restrict analysis to validation of 16S results only, power is reduced due to the increased 225 226 number of tested hypotheses. However, 55% (17 out of 31) of the findings described above also 227 show associations with IBD approaching significance without such preselection and with 228 subsequently more stringent FDR correction (Supplementary Table 3). The previously described 229 associations constitute the majority of significant associations found in the unrestricted search, 230 suggesting most obvious associations already were reported at least once from 16S data. However, 231 another four taxa approached significance in the unrestricted test, which had not previously been 232 linked to IBD. On the taxonomic order level, a group of uncharacterized Firmicutes were

233 diminished in the UC population compared to the healthy cohort. At the level of bacterial genera, 234 Anaerococcus (MR (Control) 10.83, MR (UC) 18.33, MR (CD) 10.00, KW FDR = .093) and a thus far 235 uncharacterized genus belonging to Clostridiales (MR (Control) 17.08, MR (UC) 5.83, MR (CD) 10.00, 236 KW FDR = .093), differed in abundance between IBD subjects and controls. Most importantly, 237 Clostridium ramosum was significantly enriched in UC patients compared to the set of controls 238 (FDR < .001). 239 *Enrichment of pathobiont species and depletion of commensals* 240 Reduction of gut homeostasis positively influencing E. rectale and Faecalibacterium prausnitzii 241 were observed in UC and CD samples compared to the set of controls samples, but only the 242 comparison between control and UC samples approached significance (Figure 2). Similarly, the 243 pathobiont Escherichia coli was increased in abundance in both IBD conditions, but approached 244 significance only for UC samples (Figure 2). An increase in Ruminoccus gnavus approached 245 significance (at the species cluster level, not significant at mOTU level). Bilophila wadsworthia was 246 decreased in UC, also approaching significance. The pathogen Fusobacterium nucleatum (resolved 247 at the species cluster level, not significant at mOTU level) was found in IBD patients but not in the healthy siblings, with this difference approaching significance for UC patients (Figure 2). 248 249 The abundance of specific virulence factors correlates with the abundance of certain bacterial 250 species 251 Figure 3 gives an overview of the specific virulence genes, which were significantly increased in 252 the UC population (CD and UC samples compared to the full set of control samples) of the present 253 study. To identify correlations with species present in the study cohort, a Spearman correlation 254 analysis was conducted. Most virulence factors were correlated (Spearman test FDR < 0.1) with 255 abundance of E. coli. All of these genes, except hslT, were previously described and identified as 256 occurring in strains of *E. coli* (see Supplementary Table 2).

257 Abundance of certain families of genes facilitating the survival of bacteria within macrophages and 258 the evasion of immune response correlated with abundance of particular gut microbial species 259 (Bacteroides vulgatus/Gemella morbillorum). Virulence genes adhD, aslA, sitA, Ndk, fur, gevT and 260 fepA had no significant correlation with any species found significantly different in abundance 261 between controls and IBD patients. These observations suggest a broader involvement of those genes in UC pathogenesis, such that they may play a role which is not reducible to a simple 262 taxonomic difference. 263 264 Abundance of GABA shunt genes is elevated in the IBD microbiome A Kruskal-Wallis test was performed to analyze KEGG pathways and KEGG modules for 265 266 significant differences in gene abundance comparing all IBD cases to all controls. Only the KEGG 267 module representing the GABA (gamma-Aminobutyric acid) shunt, considered a potential virulence 268 factor by some investigators (8), showed such a significant difference with a mean rank of 6.67 for 269 the Control group, 18.67 for UC and 18.00 for CD; BY <.000. 270 Little difference in antibiotic intake 271 Neither the cumulative lifetime antibiotic intake per person nor the mean antibiotic intake per life 272 year was significantly different comparing the full groups of control, UC and CD. 273 In all three groups cephalosporin was the most commonly prescribed antibiotic, followed by 274 penicillin, with highest intake for both in the CD group (Figure 4). Metronidazole was only taken 275 by IBD patients, and a tetracycline antibiotic was only taken once by one subject in the control 276 group. 277 The resistome of IBD patients and their healthy siblings 278 In accordance with previous studies (9), tetracycline was the antibiotic for which the most resistance capacity was found in the microbiomes of the study cohort (Figure 5), followed by 279 280 cephalosporin. No significant difference in the abundance of resistance genes against any antibiotic

class was observed comparing the full groups of control, UC and CD samples on aggregate (See Appendix Figure 1). While we augment the ARDB antibiotic resistance gene database with known resistance genes for metronidazole, the antibiotic most commonly prescribed to IBD patients, such genes are still largely uncharacterized. As a result, it is possible we fail to observe an association between such resistance and metronidazole exposure history. The high relative fraction of potentially resistant bacterial species does distinguish the gut microbiome of IBD patients from the microbiomes of controls. Higher abundances of bacterial species where strains with resistance genes have been sequenced were observed for all antibiotic classes (BY FDR = 0.062 for UC; BY FDR = 0.019 for CD) (Fig. 5, see also Appendix Figure 1). To evaluate whether this high abundance of potentially resistant species was due to antibiotic selection pressure, a likelihood ratio test was performed testing fits of the data to nested mixedeffects linear models. The total abundance of potentially resistant species was modeled from overall lifetime antibiotic intake of each individual together with disease status (Control, UC, CD). A model including both antibiotic intake and disease status did not fit significantly better than a model including group affiliation alone (LRT test, P > 0.4). We therefore at this point cannot refer the greater relative prevalence of potentially resistant microbial taxa in the IBD patients than in their healthy siblings' microbiomes to any higher lifetime antibiotic consumption in these children.

Discussion

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Our results from comparatively analyzing the microbiome of pediatric IBD patients constitute a deep sequencing-based consolidated validation of findings from previous research which relied mostly on 16S rRNA sequencing (see Appendix Table 1 and Supplementary Table 1). To our knowledge, this is the first study which contrasts metagenomic analysis of antibiotic resistance genes in relation to lifetime antibiotic intake of study participants in an IBD cohort. We also provide the first direct metagenomic functional profiling of pediatric IBD samples, including analysis of virulence factor differential abundance in cases versus controls. By a study design using as controls

healthy siblings of IBD participants sharing similar diet and living circumstances, we are able to minimize potential confounding factors. In addition, although we expected higher antibiotic consumption within the patient population, which could have provided an alternate interpretation of the observed dysbiosis, antibiotic intake was not significantly higher among pediatric IBD patients than other children. Dysbiosis of the intestinal microbiome may involve reduced microbial diversity, enrichment of potentially pathogenic taxa and/or depletion of beneficial microbiota (31). Microbial dysbiosis has been observed in numerous disease conditions, e.g. colorectal carcinoma (40), hypertension (48), psoriatic arthritis (37), diabetes mellitus type 1 (42) and type 2 (17) and especially in pediatric IBD (see Appendix Table 1). Several research groups observed how microbial diversity and the amount of beneficial commensals is reduced in the microbiome of both pediatric IBD conditions (10, 28, 39). At the same time, potential pathobionts like E. coli are more prevalent in the IBD microbiome. These findings are quantifiable using the present setup and we were able to validate them. While in the present study, we find more microbiome associations of UC than of CD, previous studies found stronger such associations to CD (see Appendix Table 1 and Supplementary Table 1). As we observe similar trends in both IBD subtypes for most comparison, we interpret this as that our small sample size may make us underpowered to detect many of the associations to CD, which larger studies using similar methodology may be able to recover. Decrease of gut homeostasis-promoting species in the IBD microbiome The gut homeostasis-promoting bacterial species F. prausnitzii and E. rectale were depleted in both IBD conditions in the present cohort. This observation is in consensus with former microbiome analysis of UC and CD patients relying on 16S rRNA sequencing (6, 10, 26). Both species are important producers of short-chain fatty acids (SCFA), including propionate and butyrate (34).

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330 SCFAs are among the most central metabolites produced by microbes, influencing both colon 331 physiology and the intestinal immune system (30). 332 Increase of E. coli and F. nucleatum in pediatric IBD 333 In contrast, pathobionts such as E. coli and F. nucleatum were enriched in the IBD microbiome. 334 This is in agreement with former 16S rRNA analyses, where E. coli was increased in the IBD 335 microbiome (6, 28, 39). E. coli, especially its subspecies adherent-invasive E. coli (AIEC), has been 336 directly implicated in IBD development (23). A strong correlation was observed between E. coli 337 abundance and that of genes implicated in the expression of fimbriae or leading to the adhesion of 338 bacteria to the intestinal mucosa. Those genes are characteristic of pathogenic E. coli. subspecies 339 (e.g. enterohaemorrhagic, uropathogenic E. coli) (1, 5). However, most of these genes are found 340 also in many other bacterial taxa, and as such it cannot be ruled out that other bacterial species also 341 may contribute to the observed increase of virulence capacity in IBD patients (see Supplementary 342 Table 2). 343 The strong correlation of the abundance of virulence genes enriched in the UC microbiome with E. 344 coli abundance suggests that this species is a central driver of a functional shift towards virulence in 345 UC patients of the present cohort, underscoring its potential role as an IBD partial cause. 346 *Survival strategies of bacteria – relating virulence capacity to pathology* 347 The virulence genes enriched in the UC microbiome included TonB, sitA, IroN, fepA and Fur. They 348 are all genes implicated in metal acquisition of Enterobacteria, where the last is the key regulator of 349 iron transport systems (33). The enriched iron uptake/transport systems contribute to the virulence 350 of their host bacteria by counteracting the so called nutritional immunity which deprives bacteria of 351 iron. The homeostasis of intracellular iron concentrations is maintained by Fur (the ferric uptake 352 regulator), since high intracellular accumulation also is toxic for the bacteria (32). In its role as a 353 sensor for iron availability, Fur is also able to regulate directly or indirectly (through iron 354 concentration) the expression of several other virulence factors in pathogenic bacteria.

Adaptation of microbes in IBD to a lower environmental pH, as a feature of inflammation, is reflected by higher abundance of the genes encoding the GABA shunt module in the IBD microbiomes studied here (Figure 4B). The GABA shunt supplies bacteria with nitrogen and promotes their survival in acidic conditions and other environmental stresses (8). Feehily and Karatzas therefore suggested that this module could facilitate microbial pathogenicity. Exploration of the IBD resistome in correlation with antibiotic consumption Several studies have displayed a significant relation of antibiotic intake in childhood with the later development of IBD, particularly Crohn's Disease (13, 19, 47). Analogously, antibiotic consumption often exacerbates dysbiosis, seen as a reduced species richness and the bloom of pathogens (e.g. Clostridium difficile) in the gut microbiome (46). In addition, administration of antibiotics not only leads to shifts in taxonomic composition, but also induces the lateral transfer and spread of antibiotic resistance genes in a microbial ecosystem (38). Notably, the quantification of antibiotic resistance genes in the microbiomes of the present cohort revealed no significant difference in the relative abundance of these genes between IBD patients and their healthy siblings, and similar retrospective assessments of antibiotic intake in both groups. In other words, while it seems unlikely the IBD discordance within the sib pairs can be explained by differences between siblings in antibiotic exposure, the possibility remains that the families themselves differ from other families in both antibiotic exposure and IBD prevalence, highlighting the inter-sibling differences in microbiome composition as rather reflecting IBD risk keeping antibiotic exposure constant. It is further conceivable that resistant bacteria acquired from intra-host adaptation to antibiotic treatment or from healthcare setting exposure may propagate between family members living in close contact. In contrast, a significant shift in both IBD conditions towards a higher abundance of species potentially carrying antibiotic resistance genes was revealed. This measure could not be reliably predicted from individual antibiotics use history within the present dataset, however.

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Besides antibiotic treatment, intake of IBD medications has recently been lined out as a covariate of microbiome variation in a large-scale study (7). Due to cohort size, we had not the power to detect such effects, although they need to be assumed (Table 1).

Clinical implementation of study results – are siblings suitable donors in FMT?

It has been argued whether or not siblings or near relatives are suitable choices for donors in FMT, because they share genetic and environmental risk factors with the patients (4). A recent study on the IBD microbiome in pediatric patients and their first-grade family members suggested a disease-related microbial and metabolomic state in some relatives, suggesting this risk may be relevant (15). Moreover, Li et al. (24) recently outlined the importance of the compatibility of the donor and recipient microbiomes to receive persistent responses from FMT treatment. They concluded that each recipient will need an idiosyncratic donor to attain successful colonization by allogenic strains. Donor strains will colonize more successfully when the species is already present in the recipient microbiome. In the present study, gut microbial diversity (Shannon diversity index) and particularly species richness was significantly diminished in IBD patients when comparing each patient with their sibling. This observation of overall dysbiosis in pediatric IBD suggests that healthy siblings, who have more analogy than total strangers, but still provide a healthier microbiome composition, may indeed be suitable as donors from an efficacy point of view.

Future outlook

This study must be considered a pilot effort, by design and given the limited number of subjects included. A future study with more pediatric IBD patients and healthy siblings would yield greater statistical power and will hereby provide both further validation (esp. intra-sibling disparity) and the potential discovery of novel associations, which was very limited at the present time for gut microbial taxonomic correlates of IBD, as our research was mainly based on previous 16S rRNA findings (Appendix Table 1). Likewise, it would be very interesting to further employ direct sib pair comparisons, e.g. regarding the analyses of virulence and resistance genes. However, in the present

study the efficacy of direct sib pair comparison was limited for reasons of statistical power, and most analysis therefore relied on aggregated analysis (comparing the sets of all control samples to the samples in the UC/CD-cohorts), obscuring intra-sibling disparity. The additional analysis of tissue samples should be considered in the future, as recent research has demonstrated a discrepancy between luminal and mucosal samples in microbial composition (35) and as deep sequencing of bioptic samples is becoming more feasible. Today, a crucial limitation inherent to all functional metagenomic studies, excluding those using direct selection, is that only known gene families can be quantified for generation of functional profiles. Consequently, it is possible that results are reflecting research biases e.g. virulence factors to be better known in some taxa than others. With databases of curated resistance determinants growing in scope, and with technologies for large-scale functional screen metagenomics gradually maturing, these difficulties should decrease in coming years. We anticipate further studies building on top of what is reported here.

Conclusion

Our observations of dysbiosis, higher abundance of virulence factors and a shift towards gut bacterial taxa with known resistance gene carrying strains in the IBD population provide evidence for siblings as appropriate potential stool donors for FMT treatment of afflicted children. Pediatric IBD patients differ from their healthy siblings in several regards that can be linked to the disease. Employing such siblings as donors would further facilitate the possibility of "home-done" transplantation. Furthermore, the ethical, esthetic and clinical barriers against stool transplants likely are easier to overcome with respect to a close relative donor than in the case of an outside / adult stool donor. The same holds regarding considerations of the potential transmission of infectious diseases and the adaptations of the microflora to dietary habits, where siblings dwelling in the same home already should be similar.

- Finally, our observations suggest utility of taking metagenomic measurements of microbial
- dysbiosis in both donor and recipient pre- and post-procedure in order to correlate FMT outcome
- with microbiome characteristics.

432 Appendix

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Appendix Table 1: Bacteria found associated with inflammatory bowel diseases by previous work groups = literature selection.

 \uparrow/\downarrow = increase/decrease in patients with IBD in comparison with healthy controls; \uparrow CD / \downarrow CD = increase/decrease in patients with Crohn's Disease; \uparrow UC / \downarrow UC = increase/decrease in patients with Ulcerative Colitis; Superscripted numbers are referring to the authors, see reference table below.

Phylum	Class	Order	Family	Genus	Species
Bacteroidetes ↓ ² ↑ ^{4,8} ↑CD ⁵	Bacteroidetes	Bacteroidales ↓CD ⁶	Porphyromonadaceae	Odoribacter ↓¹ Parabacteroides ↓CD ⁶	
			Bacteroidaceae	Bacteroides ↑ ⁸ ↓ ¹⁷ ↓CD ⁶	B. thetaiotaomicron ↓ ² B. vulgatus ↓CD ⁶ B. caccae ↓CD ⁶
			Prevotellaceae	Prevotella ↓UC ³	·
			Rikenellaceae ↓CD ⁶	Alistipes	
Firmicutes ↓ ^{2,4} ↓UC ¹⁴	Clostridia ↓UC ¹⁴ ↓CD ^{3,17}	Clostridiales ↓CD ^{3,6}	Lachnospiraceae↓ ^{1, 2} ↓CD ⁶	Roseburia ↓¹↓CD ^{3,6}	R. hominis ↓UC [/] R. faecis ↓CD ⁵ R. intestinalis ↓CD ⁶ s
				Coprococcus ↓CD ⁶	C. eutactus ↓CD⁵ C. comes ↓CD ⁶ s
			Clostridiaceae	Clostridium ↓ ⁸	C. leptum↓ ⁹ C. nexile↓CD ⁶ s C. bolteae ↓CD ⁶ s
				Blautia ↓CD ⁶ s	B. coccoides↓ ⁹ B. hanseni↓CD ⁶
				Dorea ↓CD ⁶	·
				Butyricicoccus	B. pullicaecorum ¹ ↓¹6
			Ruminococcaceae ↓CD ^{1,3,5,6}	Acetivibrio 1	
				Ruminococcus ↓CD¹ ↓CD ⁶	R. gnavus ↑CD³↓CD ⁶ R. torques ↓CD ⁶
				Faecalibacterium \(\backslash \cdot CD^{3,6,11,17,19} \) \(\backslash UC^{12} \)	<i>F. prausnitzii</i> ↓UC ′↓CD ⁶ ↓CD ⁹
				Oscillospira ↓CD ^{5,6}	
				Subdoligranulum ↓CD⁵	
			Peptococcaceae↓CD ³	Peptococcus ↓CD ³	
				Phascolactobacterium	

Phylum	Class	Order	Family	Genus	Species
			Eubacteriaceae	Eubacterium	E. rectale ↓CD ⁶
	Bacilli ↑CD³	Lactobacillales↓ ^{1/} ↑CD ³	Leuconostocaceae		
			UC ¹ ↑CD ³		
			Lactobacillaceae	Lactobacillus ↑ ¹³ ↑ CD ^{3,6}	
			Streptococcaceae↓UC ³	Streptococcus↑CD ⁶ ↓UC ³	
			Enterococcaceae	Enterococcus ↑ CD ⁶	
		Gemellales	Gemellaceae ↑ CD ⁶	Gemella	G. morbillorum ↑ CD ⁶
	Erysipelotrichi ↑UC ¹⁴	Erysipelotrichiales	Erysipelotrichiaceae ↓CD ⁶ ↑ CD ⁶ s	Catenibacterium ↓UC ³	
	Negativicutes	Selenomonadales	Acidaminococcaceae	Acidaminococcus ↑CD³	
			Veillonellaceae↑ CD ⁶	Veillonella ↑CD³	V. parvula ↑ CD ⁶
				Dialister ↓CD ⁶	
Actinobacteria ↑²	Actinobacteridae	Bifidobacteriales	Bifidobacteriaceae↓CD ⁶ ↑CD ⁶	Bifidobacterium ↓ ^{1,11} ↑ ¹³	B. bifidum ↓CD ⁶ B. longum ↓CD ⁶
					B. adolescentis↓CD ⁶ B. dentum ↓CD ⁶ B. infantis
	Coriobacteridae	Coribacteriales	Coriobacteriaceae 1		
Proteobacteria ↑²↑UC14 ↑CD ^{3,5}	Gammaproteobacteria ↑UC ¹⁴ ↑CD ³	Enterobacteriales ↑ ¹⁸ ↑CD ³	Enterobacteriaceae ↑CD ^{3,4,6}	Escherichia ↑ ¹ ↑CD ¹⁹	E. coli ↑CD ⁶ s ↑ ^{9,11} ↑UC ¹⁴ AIEC↑ ↑CD ¹⁵
			'	Shigella ↑¹ ↑CD³,¹9	
		Aermonadales	Aeromonadaceae ↑CD³	Aeromonas ↑CD³	
		Pasteurellales	Pasteurellaceae↑ CD ⁶	Haemophilus (spp.) ↑ CD ⁶	H. parainfluenzae↑ CD ⁶
	Betaproteobacteria	Neisseriales	Neisseriaceae ↑CD ⁶	Eikenella	E. corrodens ↑ CD ⁶
		Burkholderiales	Sutterellaceae	Sutterella ↓CD ⁶	
	Deltaproteobacteria	Desulfovibrionales	Desulfovibrionaceae	Bilophila ↓CD ⁶	B. wadsworthia ↓ ²⁰
				Desulfovibrio	
	Alphaproteobacteria	Rhizobiales ↑CD⁵	Bradyrhizobiaceae↑CD ⁵		
Fusobacteria ↑CD ³ ↑UC ¹⁴	Fusobacteria ↑CD³	Fusobacteriales ↑CD ³	Fusobacteriaceae ↑CD ^{3,6} ↓CD ⁶	Fusobacterium ↑CD³	F. nucleatum ↑ CD ⁶
Spirochaetae	Spirochaetes ↑UC ¹⁴	Spirochaetales			
Verrucomicrobia↓UC ^{14,18}	Verrucomicrobiae ↓UC ¹⁸	Verrucomicrobiales ↓UC ¹⁸	Verrucomicrobiaceae ↓UC ¹⁸		
Lentisphaerae ↓UC ¹⁴					
Tenericutes ↓ ³	Mollicutes ↓ ³	Anaeroplasmatales ↓ ³	Anaeroplasmataceae ↓ ³	Asteroleplasma ↓³	

Ref-Nr.	Author	Methods	Study cohort
1	Morgan et al., 2012	16S rRNA-sequencing WGS of 11 fecal samples	121 CD75 UC27 ControlsAge: 13-45 y
2	Frank et al., 2007	Q-PCR -rRNA sequencing	190 CD, UC, Controls
3	Willing et al., 2010	16S rRNA-sequencing	29 CD16 UC35 ControlsAge: 40-67 y
4	Walker et al., 2011	16S rRNA- sequencing	6 CD6 UC5 ControlsAge: 24-73 y
5	Kaakoush et al., 2012	16S rRNA- sequencing	19 CD (n.o.)21 ControlsAge: 5-15 y
6	Gevers et al., 2014	16S rRNA-sequencing WGS of 43 Fecal samples	447 CD (n.o.)221 ControlsAge: 3-17 y
7	Machiels et al., 2013	16S rRNA sequencing	127 UC87 Controls
8	Andoh et al., 2011	16S rRNA sequencing	31CD31 UC30 Controls
9	Duboc et al., 2013	16S rRNA-sequencing	12 CD30 UC29 ControlsAge: 20-60 y
10	Hansen et al., 2012	16S rRNA-sequencing	13 CD12 UC12 ControlsAge: Children
11	Schwiertz et al., 2010	16S rRNA-sequencing	69 IBD25 ControlsAge: 1-20
12	Varela et al., 2013	qRT-PCR	 116 UC patients 29 first degree relatives 31 Controls Age 18–75 y
13	Wang et al., 2014	16S rRNA-sequencing	36 CD63 UC21 Controls
14	Michail et al., 2012	16S rRNA-sequencing	27 UC26 ControlsMean Age: 13,5 y
15	Martinez-Medina et al., 2009	Colony dependent Rep-PCRPulsed field gel electrophoresisAdhesion and invasion assays	20 CD28 Controls
16	Eeckhaut et al., 2013	16S rRNA-sequencing	51 CD91 UC88 ControlsMean Age: 40 y
17	Aomatsu et al., 2012	16S rRNA gene sequencing T-RFLP- analysis	 Niearr Age: 40 y 10 CD 14 UC 27 Controls

Ref-Nr.	Author	Methods	Study cohort
			• Age: 1-18 y
18	Papa et al., 2012	16S rRNA-sequencing	• 23 CD
			• 43 UC
			• 24 Controls
			Mean Age: 13 y
19	Thorkildsen et al.,	16S rRNA-sequencing	• 30 CD
	2013		• 33 UC
			33 Controls
			Mean Age: 33 y
20	Jia W et al., 2012	PCR of the dsrAB gene	• 20 CD
			• 14 UC
			18 Controls

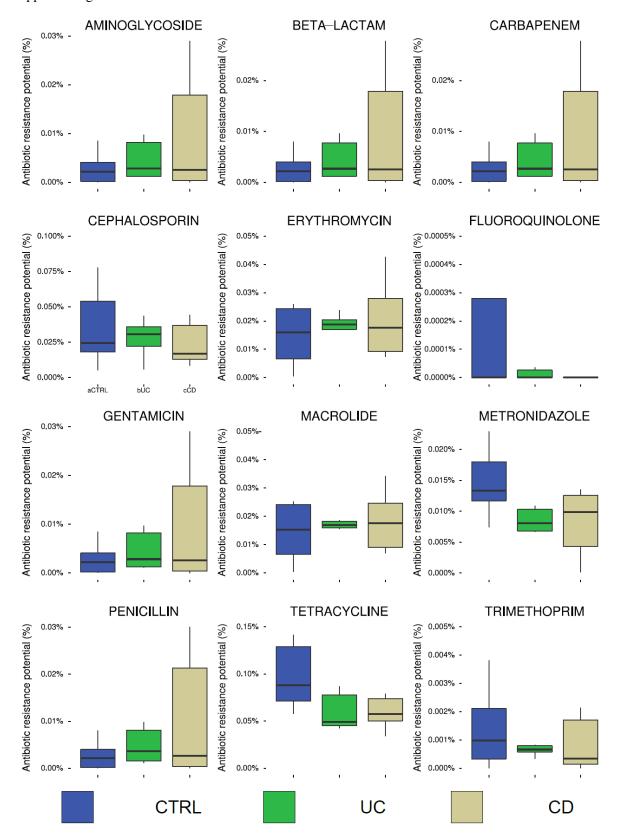
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492 Appendix Figure 1



Appendix Figure 1: Antibiotic resistance potential for the different classes of antibiotics which have been used by the study population. The antibiotic resistance potential is shown as box plots. No significant difference in antibiotic resistance potential was observed.

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660 **Figure Captions** 661 Figure 1: Shannon diversity index, species richness and evenness in comparison between groups (CTRL, UC, CD). Boxplots show all samples contrasted with MWU tests, showing significances as +: FDR < 0.1, *: 662 663 FDR < 0.05. Scatterplots show each sib pair, revealing significantly higher diversity of each type in controls 664 than in siblings with IBD. 665 Figure 2: 666 A) Taxonomic distribution of bacterial taxa in relation to their relative abundance compared between the 667 control cohort and both IBD populations (UC = Ulcerative colitis; CD = Crohn's disease). Nodes represent 668 taxa. Node color indicates direction of associations, with white nodes marking cases where an association 669 from Appendix Table 1 could not be validated in the novel dataset (see bottom of figure for colour key). 670 Coloured nodes with a narrow edge represent associations from the literature set (Appendix Table 1) which 671 approached significance (MWU FDR < 0.1) when testing only hypotheses from this set. Coloured nodes with 672 a bold edge represent such associations which also approached significance (MWU or KW FDR < 0.1) 673 without restricting the hypothesis space. Coloured nodes with a bold, dashed outer edge represent IBD 674 associations approaching significance (MWU or KW FDR < 0.1) when testing all possible associations, 675 which are novel relative to the set of previous literature-derived findings. Detailed FDR scores and results 676 from sibpair testing are given in Supplementary Table 1. Abbreviations: E. rectale = Eubacterium rectale, R. 677 gnavus = Ruminococcus gnavus, F. prausnitzii = Faecalibacterium prausnitzii, C. ramosum = Clostridium 678 ramosum, F. nucleatum = Fusobacterium nucleatum, E. coli = Escherichia coli, B. wadsworthia = Bilophila 679 wadsworthia. 680 B) Box plots of relative abundance of species in % (square root scale for visibility) for which differences in 681 abundance approached significance (* indicates MWU FDR < 0.1) in this cohort, comparing the sets of 682 UC or CD samples to the set of Controls. Detailed FDR scores and results from sibpair testing are given in 683 Supplementary Table 1. The observed species-level increase of C. ramosum in UC compared to controls is 684 novel relative to the set of literature-derived findings. 685 Figure 3: Correlation of species abundance with the abundance of virulence genes significantly increased 686 in the microbiomes of UC patients. Dark colored = positive correlation of species abundance and the 687 abundance of the corresponding virulence gene (SCC > 0.65, FDR < 0.1), red = increase of the species

688	abundance in UC patients (MWU, FDR < 0.1), blue = no significant difference of abundance of species
689	between UC and Control.
690	<u>Figure 4:</u> Mean lifetime number of antibiotic courses in comparison between Control, Ulcerative colitis
691	(UC) and Crohn's disease (CD). Bar charts are divided into segments representing the different antibiotic
692	classes covered. For the most frequently used antibiotics, mean lifetime number of therapies are indicated.
693	<u>Figure 5:</u> Fraction of potential carrier species: For relative abundance of potentially resistant species,
694	significant difference was observed for each antibiotic class between control and Ulcerative colitis (UC) (BY
695	FDR = 0.062) and control and Crohn's disease (BY FDR = 0.019).

Table 1: Cohort characteristics

Characteristics	UC	CD	Control
n =	6	6	12
Demographics			
male: female	2:4	3:3	6:6
Age (years)			
Median ± SD	13 ± 2.7	14 ± 2.0	12.5 ± 3.6
Range	10 - 17	11 - 16	8 – 20
BMI (z-score)			
Median ± SD	-0.09 ± 0.73	-0.10 ± 1.09	0.26 ± 1.10
< -1 (%)	0	34	25
> +1 (%)	17	0	34
Age at onset (years)			
Median ± SD	9.5 ± 2.8	10 ± 1.8	
Range	4 - 12	9 - 14	
Disease duration (months)			
Median ± SD	22.5 ± 54.4	29 ± 31.6	
Range	13 - 144	0 - 82	
Disease activity			
inactive	3	1	
mild	1	2	
moderate-severe	2	3	
Medications (%)			
Steroids	67	50	
Anti-TNF	17	50	
Azathioprine	50	34	
Mesalazine	50	17	
TGF-β2	0	34	
UDCA	34	0	
Colchizine	17	0	

UC = Ulcerative colitis, CD = Crohn's disease; % = per group (UC, CD, Control); Disease activity based on PUCAI, PCDAI, respectively; Anti-TNF = infliximab/adalimumab; TGF- β 2 = transforming growth factor beta 2 nutritional support formula; UDCA = ursodeoxycholic acid

