

# Multiple Subject Constructions in Korean Reconsidered

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The 'multiple subject constructions' (=MSCs) in Korean and Japanese display peculiar language specific phenomena which can not be easily explained, either syntactically or semantically. These phenomena are syntactically interesting in that all NPs in MSCs have a unique syntactic case, namely nominative case. From the semantic point of view, it is also interesting to note that there are various semantic and pragmatic relationships between the NPs in MSCs. Until now we have seen no plausible explanation as to why there are MSCs in Korean and Japanese and why they are necessary. I propose in this paper that MSCs are derived due to the incompleteness of the meaning of the subject. If the subject is semantically incomplete, it requires another NP for semantic saturation. This process continues until no NP can be added upon past a certain point; at this stage the MSC has become semantically complete. I assume further that the nominative case of the NPs in MSCs is due to the case assignment of the AGR of INFL category in the underlying structure. This conflicts with the assumption of quite a few GB-grammarians, who treat the MSCs as a result of scrambling phenomena of NPs, i.e. via cyclic NP movement from the attribute position of the subject NP into the IP-adjunction position. Detailed descriptions of syntactic derivation and semantic interpretation of MSCs are given in this paper.

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Theo Vennemann suggested a discourse analysis on MSCs in Korean for which I am exceedingly grateful but which is unfortunately beyond the scope of this paper. I will treat this problem in a future work.



- c. Yǒngsu-ka      kudu-ka      padak-i  
 Yǒngsu-NOM    shoe-NOM      sole-NOM  
  
 kumǒng-i      nass-ta  
 hole-NOM    has been mde-DEC

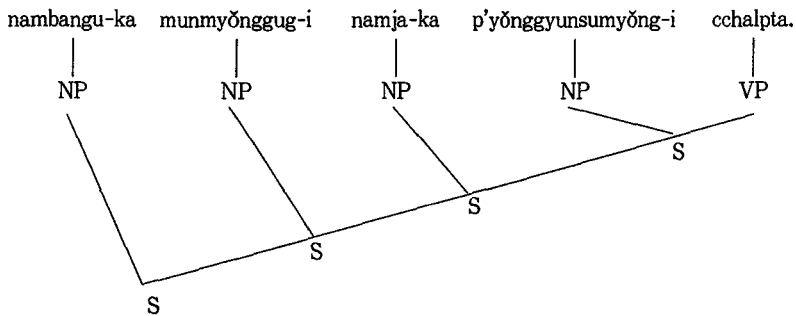
‘A hole has been made on the sole of Yǒngsu’s shoe.’

- d. Ch’ǒlsu-ka      mǒri-ka      hok-i      nass-ta.  
 Ch’ǒlsu-NOM    head-NOM    bump-NOM    was gotten-DEC

‘Ch’ǒlsu get a bump on his head.’

In Korean grammar these sentences are explained traditionally such that they have more than one predicate clause (Nam & Ko, 1985: 240), i.e. there are subject-predicate clause relationships as shown in the following structure.

(2)



In the recent Transformational Generative Grammar (GB theory), we find two kinds of approaches to these constructions. One is an explanation via cyclic NP movement out of the subject NP, the other is via predicate linking similar to (2) above. For instance, Choe (1987) suggest that (3)a. is derived through NP-movement from the underlying structure of (3)b.

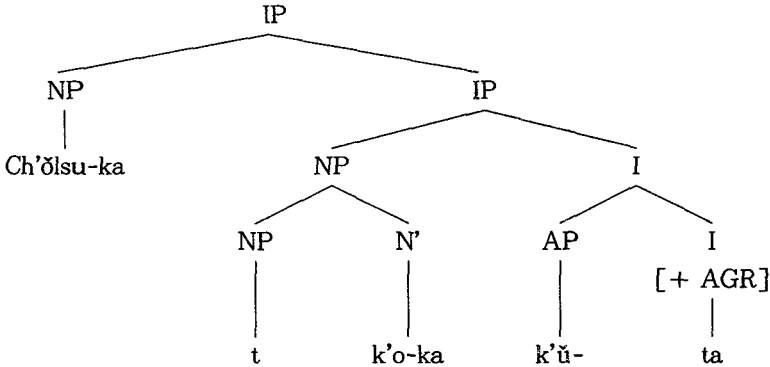
- (3) a. Ch’ǒlsu-ka                      k’o-ka                      k’ū-ta  
 Ch’ǒlsu-NOM                      nose-NOM                      big-DEC

‘Ch’ǒlsu’s nose is big.’

- b. Ch'òlsu-űy                      k'o-ka                      k'ű-ta.  
 Ch'òlsu-GEN(itive)    nose-NOM                      big-DEC

'Ch'òsu's nose is big.'

(4) a.



One may assume that (3)a. and b. have the same semantic truth value and the NP movement into the position of IP adjunction is motivated due to the pragmatic factors such as focus, theme, contrast etc. In (4)a. we might be able to explain, by way of I-government and N-government, why NP *Ch'òlsu-* has a nominative case marker '-ka' in (3)a. and a genitive case marker '-űy' in (3)b. The mechanism of the case assignment through the government and c- (or m-) commanding makes it possible that the AGR of I gives a NOM case to all of the NPs in the adjunction position of IP. There is, however, a very serious problem of case assignment in this kind of NP-movement. The NP movement to the position of the IP adjunction shows a nonargument movement. In (4)a. a genitive case is already assigned to the specifier NP *Ch'òlsu* by the head noun *k'o-* of the subject NP before the specifier NP moves to the position of IP adjunction. Thus if a nominative case is assigned again to the NP in the IP adjunction position by AGR, then a case conflict arises inevitably.<sup>1</sup>

Besides the problem of case conflict there are also significant differences in semantic relationship between the syntactic constituents on each surface

<sup>1</sup> Even if one may assume the derivation of the MSC by the NP-movement to the IP-adjunction the subadjacency condition should not be violated. The derivation of the following examples violates the subadjacency condition strongly and thus ungrammatical.



unsaturated. Second, within IP *k'o-ka k'ũ-ta*, NP *k'o-ka* is also linked to a predicate, AP *k'ũ-*. It can show at least structurally how more than two NPs with the nominative case marker '-i/-ka' appear in a sentence. That is, AGR of I in (4)b. can govern all the NPs that it c-commands so that it can assign nominative cases to them.

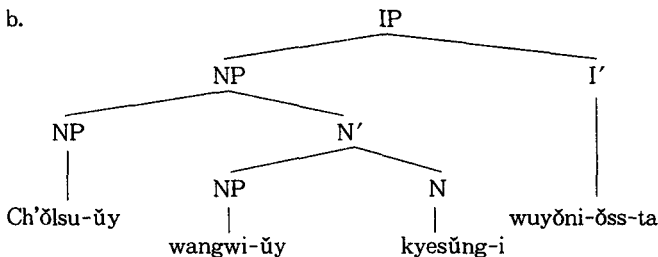
This analysis, however, should explain, how we can get the same semantic value of (3)a. and b. from their different DS-structures. It is clear for Korean that the two different sentences (3)a. and b. have the same semantic interpretation, i. e. the same truth condition, and we cannot imagine circumstances in which one is true while at the same time the other is false. I will come back to this problem again in Section 3 of this paper.

Let us summarize the approaches in the GB theory as follows:

- (5) (i) (3)a. and b. are derived from the same DS structure; (3)a. derived through NP movement from DS (4)a. on account of the pragmatic factors e.g. focus, and (3)b. is derived in case there is no such movement.<sup>2</sup>
- (ii) (3)a. and b. are derived respectively from the different DS structures. (3)a. reflects the argument-predicate clause relationship between the first NP marked with NOM case and the rest of the sentence, whereas (3)b. is lack of such kind of relationship.

<sup>2</sup> The counterpart of MSCs, namely the complex NP constructions marked with GEN case, which did not undergo the derivation of MSCs, must be differentiated from the nominalized NP structures marked with GEN case, even though they show the same surface word order with respect to the head noun of the subject. Yoon (1987:144) did not make such a distinction. Thus her structural description for the following sentence a. as b. is simply wrong.

- a. Ch'õlsu-ũy            wangwi-ũy            keysũng-i  
       -GEN            throne-GEN            succession-NOM  
 wuyõni-õss-ta.  
 happened by chance-DEC  
 'Ch'õlsu's succession to the throne happened by chance.'

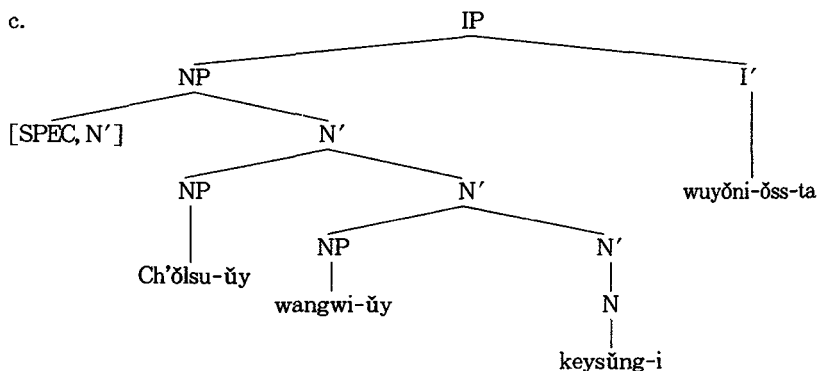


Among the recent works in GB theory, Fukui's version of X'-scheme looks very attractive for Japanese (and also for Korean). He argues that there are no functional categories like C, I, D and there is no SPEC to close off the projections of lexical categories in Japanese. Thus, lexical categories like N, V, A, P in Japanese may be projected only up to X' and can be iterated.

- (6) a. so-no koogi  
       the lecture
- b. Yamada-sensei-no so-no koogi  
       teacher-GRN the lecture  
       (Prof. Yamada's that/the lecture)

<sup>2</sup> continued

The a.-sentence should be described as c.:



In a nominalized NP structure we can not extract any argument NP from the head N because in this case the N' builds a strong barrier according to the minimality condition of Chomsky (1986a). All of the following sentences which are derived against the minimality condition are thus ungrammatical:

- a. \*Ch'olsu-ka wangwi-ũy keysũng-i wuyõniõss-ta.  
       -NOM -GEN -NOM -DEC
- b. \*wangwi-ka Ch'olsu-ũy keysũng-i wuyõniõss-ta.  
       -NOM -GEN -NOM -DEC
- c. \*Ch'olsu-ka wangwi-ka keysũng-i wuyõniõss-ta.  
       -NOM -GEN -NOM -DEC







Problems arise in that any of these two structures assumed in Fukui (1986, 1988) cannot explain why there is and should be MSCs in Japanese (and Korean) and why they are necessary. At first the syntactic structures (9)a. and b. are inappropriate for Korean MSCs since Japanese examples (10)a.-c. turn out to be grammatical when scrambled, but (11)a.-d. do not.

(10) = (6)

- a. Yamada-sensei-no kyonen-no so-no koogi
- b. kyonen-no tokyo taigakku-no Yamada-sensei-no so-no koogi
- c. tokyo taigakku-no Yamada-sensei-no kyonen-no so-no koogi

(11) = (8)

- a. (\*p'yŏnggyunsumyŏng-i namja-ka cchalpta.
- b. \*namja-ka munmyŏnggug-i p'yŏnggyunsumyŏng-i cchalpta.
- c. \*namja-ka p'ŏnggyunsumyŏng-i munmyŏnggug-i cchalpta.
- d. \*munmyŏnggug-i namja-ka nambu-ka p'yŏnggyunsumyŏng-i cchalpta.

The structure (9)a. and b. in which N's can be iterated infinitively are not appropriate because the following sentences are good counter examples. Which hint that Korean NPs should be closed off anyway.

- (12) a. (\*k'o-ka                    k'ŭ-ta.  
           nose-NOM                big-DEC  
           \* 'Nose is big.'

- b. Ch'ŏlsu-ka                    k'o-ka                    k'ŭ-ta.  
    Ch'ŏlsu-NOM                nose-NOM                big-DEC  
    'Ch'ŏlsu's nose is big.'

- (13) a. (\*pak'-ui-ka                jak-ta  
           wheel-NOM                small-DEC  
           \* 'Wheel is small.'

- b. Hyundaijadongch'a-ka        pak'ui-ka                jak-ta.  
    Hyundai car-NOM                -NOM                    -DEC  
    'Hyundai's car wheel is small.'

- (14) a. (\*)kumǒng-i                      nass-ta  
           hole-NOM                      has been made  
           \*‘Hole has been made.’
- b. (\*)padak-i                      kumǒng-i                      nass-ta  
           sole-NOM                      -NOM                      -DEC  
           \*‘Hole has been made on the sole.’
- c. (??) kudu-ka                      padak-i                      kumǒng-i                      nass-ta.  
           ‘shoe-NOM                      -NOM                      -NOM                      -DEC  
           ??‘A hole has been made on the sole of the shoe.’
- d. Ch’ǒlsu-ka                      kudu-ka                      padak-i                      kumǒng-i                      nass-ta.  
           Ch’ǒlsu-NOM                      -NOM                      -NOM                      -NOM                      -DEC  
           ‘A hole has been made on the sole of Ch’ǒlsu’s shoe.’

(12)a. could be understood in the special context. Otherwise some supplementary questions like “Whose nose?” would follow it. (12)b. is grammatical enough to get semantic value from it and it does not allow any more NPs with ‘-i/-ka’. (13)a. is as ungrammatical as (12)a., but it turns out to be grammatical when NP *Hyundaijadongch’a-ka* is added as in (13)b. (14)a.-c. are not grammatical and are semantically incomplete even though they have more than one NPs with ‘-i/-ka’. However, once NP *Ch’ǒlsu-ka* is added like (14)d., they form a grammatical sentence which allows no more NPs marked with nominative case.

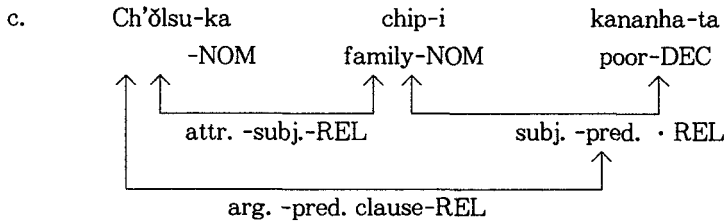
Here we can stipulate that NP (or N’) is not allowed to be iterated infinitely but it should be closed off in some way. As seen in (12)b., (13)b., and (14)d., NP with ‘-i/-ka’ can not be added upon past a certain point at which stage the sentence has become semantically complete so that we can get truth value for that sentence. Fukui’s phrase structures do not show why a particular sentence has two nominative case marked NPs ((8)b.), the other three ((8)c.) or four ((8)d.).

### 3. Syntactic and Semantic Restrictions on Deriving MSCs.

Up to now we have discussed the problems of the syntactic derivation mainly restricted on the GB-Theory. In this section I will treat the problems of the syntactic, semantic (and also some pragmatic) restrictions in deriv-







Roughly I have described in the above that semantically and pragmatically appropriate relationships might be given to the syntactic constituents. If there is no syntactic motivation which makes the MSC ungrammatical and nevertheless it would be ungrammatical, then we have to make clear how the semantic composition under the syntactic constituents has been performed. All of the MSC examples in (18)a.-c. show syntactically correct structures, thus I assume that the ungrammaticalness of (18)b. might be reduced to the wrong semantic composition. As a native speaker of Korean one might consider in (18)a. that there is a close semantic relationship between the attributive NP *Ch'ölsu-ka* and the subject NP *k'o-ka*. We call this relationship as 'attribute-subject-relationship'. On the other hand the subject NP *k'o-ka* must stand in a semantically compatible relationship with the predicative adjective *k'ö-ta*. We call this 'subject-predicate-relationship'. Besides these two relationships I assume some kind of pragmatic relationship such as focus, theme, etc. between the attributive NP marked with NOM case as an argument and the rest of the sentence as a predicate. We call this relationship as 'argument-pred. clause-relationship'. Under the condition that these three relationships are all satisfied, the MSCs are, as I assume, grammatical. (16)a.-c. are all ungrammatical because even though the attribute-subject-relationship and the subject-predicate-relationship are satisfied, we do not find any appropriate pragmatic relationship between the first NP *Ch'ölsu-ka* as an argument on the one hand and the predicate clause *kabang-i mugöp-ta*, *computer-ka bissa-ta*, and *Ch'eksang-i tungül-ta* on the other hand. (17)a.-c. are all correct because all of the three relationships above are satisfied. In (17)a., for instance, the attribute-subject-relationship of *Ch'ölsu-ka* and *ch'ek-i*, and the subject-predicate-relationship of *ch'ek-i* and *manh-ta* do not give any problem. The argument-pred. clause relationship is also quite all right because *Ch'ölsu-ka* and *ch'ek-i manh-ta* can stand in a semantically appropriate relationship (in English: As far as *Ch'ö*







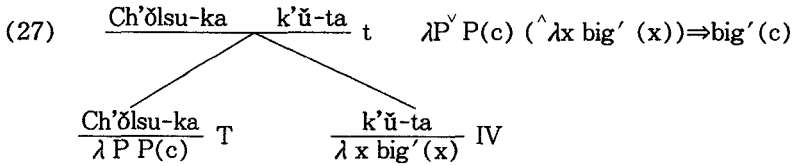
- |          |               |               |               |   |
|----------|---------------|---------------|---------------|---|
| (23) a . | Ch'ölsu-ka    | tongsaeng-eke | ch'ek-ül      | } chun-ta<br>give-DEC<br>ponen-ta<br>send-DEC<br>sonmulhan-ta<br>present-DEC<br>} . . . . . |
|          | -NOM          |               | -DAT book-ACC |   |
| b .      | tongsaeng-eke | Ch'ölsu-ka    | ch'ek-ül      |   |
|          | -DAT          | -NOM          | -ACC          |   |
| b' .     | *tongsaeng-i  | Ch'ölsu-ka    | ch'ek-ül      |   |
|          | -NOM          | -NOM          | -ACC          |   |
| c .      | ch'ek-ül      | Ch'ölsu-ka    | tongsaeng-eke | . . . . .   |
|          | -ACC          | -NOM          | -DAT          |   |
| c' .     | *ch'ek-i      | Ch'ölsu-ka    | tongsaeng-eke |   |
|          | -NOM          | -NOM          | -DAT          |   |
| d .      | *tongsaeng-i  | ch'ek-i       | Ch'ölsu-ka    |   |
|          | -NOM          | -NOM          | -NOM          |   |
| d' .     | *ch'ek-i      | tongsaeng-i   | Ch'ölsu-ka    |   |
|          | -NOM          | -NOM          | -NOM          |   |

By way of scrambling or movement of the subjects and complements of two place or three place transitive verbs we can not derive any grammatical MSCs as shown in (22) and (23). This phenomena indicate that each predicate assigns some syntactic case(s) to its complement according to its own lexical property and a nominative case indirectly through AGR once for all. Such syntactic case(s) can not be changed by any movement or scrambling except the topicalization which has some specific topic marker *nün*. In contrast to the case assignment of transitive verbs, adjectives and a few intransitive verbs show lexical properties assigning a unique syntactic case, namely a nominative case as shown in the following examples of psychological adjectives:<sup>4</sup>

- |         |            |            |               |
|---------|------------|------------|---------------|
| (24) a. | Ch'ölsu-ka | koyangi-ka | musöp-ta.     |
|         | -NOM       | cat-NOM    | be afraid-DEC |
- 'Ch'ölsu is afraid of cats.'

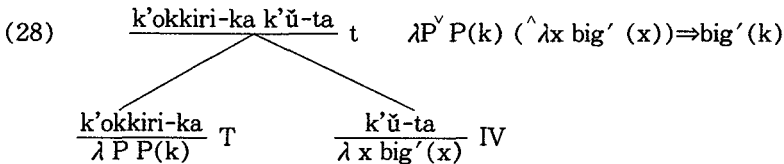
<sup>4</sup> I assume in this paper that the double NPs marked with NOM case in (24)a. - c. do not belong to MSCs. See Note (5) for detailed explanation of the property of psychological adjectives.





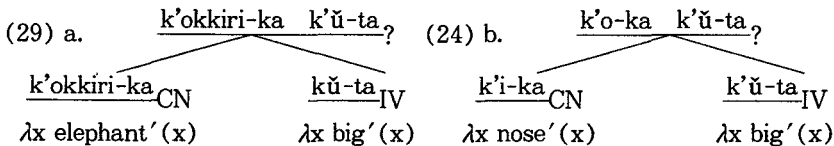
The result of the translation  $\text{big}'(c)$  can receive model theoretic interpretation.

Next we try to treat the other examples in (25). The sentence (25)b. can be understood as either grammatical or ungrammatical. We first see the derivation of the grammatical sentence (25)b.



In (28) we have a translation  $\lambda P^\vee P(k)$  for  $\text{k}'\text{okkiri-ka}$ , i. e. a set of properties which a generic entity  $k$  of elephants has. There seems to be no difference in the semantic type between the individual entity  $c$  for the proper noun  $\text{Ch}'\text{olsu-ka}$  and the generic entity  $k$  for the common noun  $\text{k}'\text{okkiri-ka}$ . Both show the same semantic type of a set of properties which either an individual entity or a generic entity has. Of course the individual entity  $c$  differs from the generic entity  $e$  in that the former indicates a rigid designator whereas the latter indicates a kind name representing an individual set whose elements can vary from index to index.

Finally we turn to the problem of the ungrammaticalness of the sentence (25)b. and c. The syntactic derivations are as follows:



The CN phrases and the IV phrases in (29)a. and b. have the same semantic type of the set of individuals. In this case we can not use a functional application for them, and thus we are not able to get the truth value for (25)b. and c. because of the type mismatching. Consequently a common

noun alone can not constitute a term phrase, and for that matter it must be modified by a determiner or quantifier phrase. In Montagues PTQ the determiner does not translate directly into the language of intensional logic, but it translates syncategorematically as follows:

(30) a. If  $\alpha \in P_{CN}$ , then  $F_1(\alpha), F_2(\alpha), F_3(\alpha) \in P_T$

where  $F_1(\alpha) = \text{every } \alpha$ ,

$F_2(\alpha) = \text{the } \alpha$ ,

$F_3(\alpha) = \text{a } \alpha$

b. If  $\alpha \in P_{CN}$  and  $\alpha$  translates into  $\alpha'$ , then

every  $\alpha$  translates into :  $\lambda P \lambda Q \forall x [ \overset{\vee}{P}(x) \rightarrow \overset{\vee}{Q}(c) ]$

a  $\alpha$  translates into :  $\lambda P \lambda Q \exists x [ \overset{\vee}{P}(x) \wedge \overset{\vee}{Q}(x) ]$

the  $\alpha$  translates into :  $\lambda P \lambda Q \exists x [ \forall y [ \overset{\vee}{P}(x) \leftrightarrow x=y ] \wedge \overset{\vee}{Q}(y) ]$

As there is no determiner category in Korean or in Japanese (according to Fukui (1986, 1988)) common nouns in these languages can be introduced into a syntactic structure if modified by an NP with the genitive case marker. Thus the following sentences are all grammatical.

(31) a. Indo-ũy                                      k'okkiri-ka                                      k'ũ-ta.  
Indo-GEN CASE                                      elephant-NOM CASE                                      big-DEC  
'Indian elephants are big.'

b. Ch'õlsu-ũy                                      k'o-ka                                      k'ũ-ta  
Ch'õlsu-GEN CASE                                      nose-NOM CASE                                      big-DEC  
'Ch'õlsu's nose is big.'

Apparently there is no semantic restriction in deriving (31)a. and b. the constructions of which are both grammatical in English and in Korean.

Let us now turn to the semantic problem of the synonymous sentence of (3)a. and b. I assume that both NPs *Ch'õlsu-ũy* and *Ch'õlsu-ka* belong to the syntactic category of term phrases and to the semantic type of the set of the properties of individuals, i. e.  $\langle\langle s, \langle e, t \rangle \rangle, t \rangle$ . I assume further that there is some kind of SPEC(ifier) which accepts both NPs *Ch'õlsu-ũy* and *Ch'õlsu-ka* and then accepts common nouns for forming a term phrase. And this term phrase seems in Korean and also in Japanese to close off the NP structure semantically as in English determiners or quantifier phrases syntactically do. As in Montague Grammar a determiner or a quantifier

phrase does not translate directly into the language of intensional logic but is introduced syncategorematically, the SPEC also has its own logical translation.

$$(32) \text{ SPEC(ifier) : } \lambda \not\!P \lambda P \lambda Q \exists S \not\! \{ \hat{\lambda} x \exists y [ \check{S}(x, y) \wedge \check{P}(y) \wedge \check{Q}(y) ] \}$$

We understand the SPEC as a complex function which makes the following translation for (3)b. into the language of intensional logic possible:

$$(33) \text{ a. } Ch'olsu \Rightarrow \lambda P \check{P}(c)$$

$$\text{ b. } Ch'olsu\text{-}\check{y} \Rightarrow \lambda \not\!P \lambda P \lambda Q \exists S \not\! \{ \hat{\lambda} x \exists y [ \check{S}(x, y) \wedge \check{P}(y) \wedge \check{Q}(y) ] \} (\hat{\lambda} P \check{P}(c))$$

$$\Rightarrow \lambda P \lambda Q \exists S \exists y [ \check{S}(c, y) \wedge \check{P}(y) \wedge \check{Q}(y) ]$$

$$\text{ c. } k'o\text{-}ka \Rightarrow \lambda x \text{ nose}'(x)$$

$$\text{ d. } Ch'olsu\text{-}\check{y} \text{ } k'o\text{-}ka \Rightarrow \lambda Q \exists S \exists y [ \check{S}(c, y) \wedge \text{ nose}'(y) \wedge \check{Q}(y) ]$$

$$\text{ e. } k'\check{u}\text{-}ta \Rightarrow \lambda x \text{ big}'(x)$$

$$\text{ f. } Ch'olsu\text{-}\check{y} \text{ } k'o\text{-}ka \text{ } k'\check{u}\text{-}ta \Rightarrow \exists S \exists y [ \check{S}(c, y) \wedge \text{ nose}'(y) \wedge \text{ big}'(y) ]$$

The result of the translation reflects our intuition correctly. It means roughly that there is some entity  $y$  of 'nose' which has some extensional relation with the entity  $c$  of *Ch'olsu* and this entity  $y$  is 'big'.

We try now to derive the sentence (3)a. which has the same truth value as (3). We have already discussed in the above that the subject NP of CN phrases can not stand alone in a sentence and needs another NP which should be semantically supplemented if it does not constitute a term phrase. In this case the supplemented NP with either GEN case or NOM case should be a term phrase in order for the whole NP structure to be closed off. Thus we have two different syntactic structures such as (3)a. and b. Now we are able to explain why the MSC exist in Korean and Japanese. The MSC-phenomena is dependent purely on the semantic reason, and concerning the morphological realization of a nominative case marker I will come back again in Section 3 of this paper.

Using the mechanism of Montague Grammar let us now test the grammaticalness of (1)a. and see what kind of semantic restrictions are given if we derive it.

- (34) a. \*p'yŏnggyunsumyŏng-i      cchalp-ta.  
           average-lifespan-NOM      short-DEC  
           'The average lifespan is short.'
- b. \*namja-ŷy/-ka              p'yŏnggyunsumyŏng-i      cchalp-ta.  
           man-GEN/-NOM      average-lifespan-NOM      short-DEC  
           'The average lifespan of man is short.'
- c. \*munmyŏnggug-ŷy/-i              namja-ŷy/-ka  
           civilized countries-GEN/-NOM      man-GEN/-NOM  
  
           p'yŏnggyunsumyŏng-i              cchalp-ta.  
           average-lifespan-NOM              short-DEC  
           'The average lifespan of man in the civilized countries is short.'
- d. nambangu-ŷy/-ka                      munmyŏnggug-ŷy/-i  
           southern hemisphere-NOM              civilized country-GEN/-NOM  
  
           namja-ŷy/ka      p'yŏnggyunsumyŏng-i      cchalp-ta.  
           man-GEN/NOM      average lifespan-NOM      short-DEC  
           'The average lifespan of man in the civilized countries in the  
           southern hemisphere is short'

The ungrammaticalness of (34)a. can be explained exactly as that of (25)b. and c. The CN phrase *p'yonggyunsumyong-i* and the IV phrase *cchalp-ta* have the same semantic type. Thus there is no possible way to obtain a truth value for (34)a. The CN phrase should be supplemented by another NP for a semantic reason. (34)b. can be understood as grammatical on the one hand and as ungrammatical on the other hand. In the grammatical case the supplemented NP *namja-ŷy/-ka* does not have a semantic type of the set of individuals '*namja*' but rather a semantic type of the set of the properties of individuals '*namja*'. It has a generic meaning and does not need any NP supplement for the semantic saturation of the subject. The translation of (34)b. into the language of intensional logic is as follows:

- (35) a. p'yŏnggyunsumyŏng-i  $\Rightarrow \lambda x$  average-lifespan'(x)  
       b. cchalpta  $\Rightarrow \lambda x$  short'(x)  
       c. namja  $\Rightarrow \lambda P^{\vee} P(m)$  (m=generic entity for 'man')  
       d. namja-ŷy/-ka  $\Rightarrow \lambda \mathcal{P} \lambda P \lambda Q \exists S \mathcal{P} \{ \wedge \lambda x \exists y [ \vee S(x, y) \wedge \vee P(y) \wedge \vee Q(y) ] \}$  ( $\wedge \lambda P^{\vee} P(m)$ )

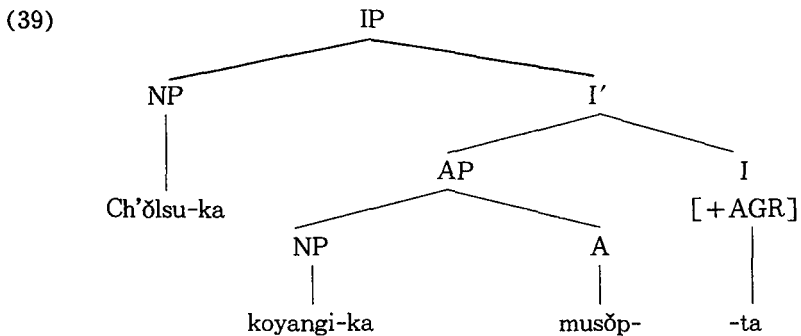


a very awkward or anomalous meaning for the sentence.

#### 4. Synthesis: Syntax and Semantics for MSCs in Korean

After the discussion of syntactic derivation of the MSCs by GB-Theory in Section 1. and the syntactic and semantic restrictions on the MSCs in Section 2. we try in this section to answer the following question: How can we develop a synthesis of the syntax and semantics for MSCs in Korean and Japanese? It is assumed in Section 1 that the truth value of (3)a. and b. must be the same, and thus the complex NP marked with GEN case and the '-i/-ka'-MSCs should be brought somehow into the same semantic representation. The syntactic derivation of the MSCs by NP movement to the position of IP adjunction (=“possessor raising”) discussed in Section 1. does not give a correct explanation for the MSC phenomena.

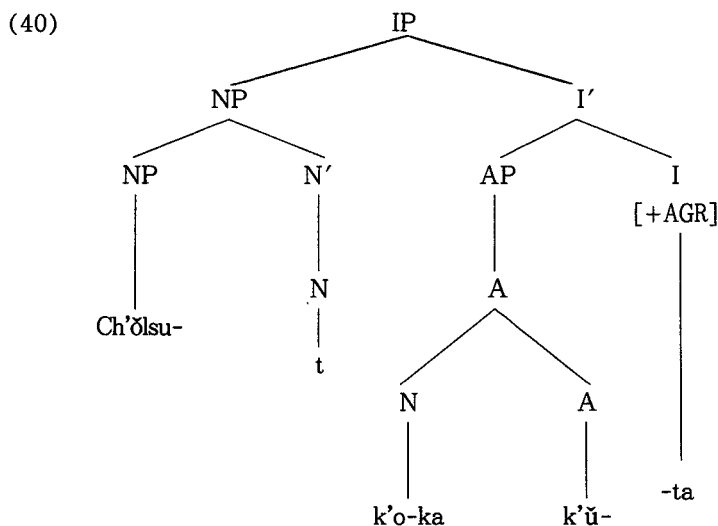
For solution we may suppose that there should be involved for MSCs some kind of category changing process such as an incorporation of Baker (1988). In (24) of Section 2. we briefly handled the psychological adjectives of Korean. We did not mention there what their syntactic structures look like. The following structure might be assumed for (23).



It is clear that the AGR assigns a NOM case to the subject NP *Ch'ölsu-ka*. Now we have to speculate where the NOM case of NP *koyangi-ka* comes from. As the psychological adjective *musöp-ta* needs two arguments semantically, namely one for its complement and the other for its subject, it might be plausible to describe the structure for (24) as that of (39). We could speculate then that the adjective *musöp-ta* directly assigns a NOM case to

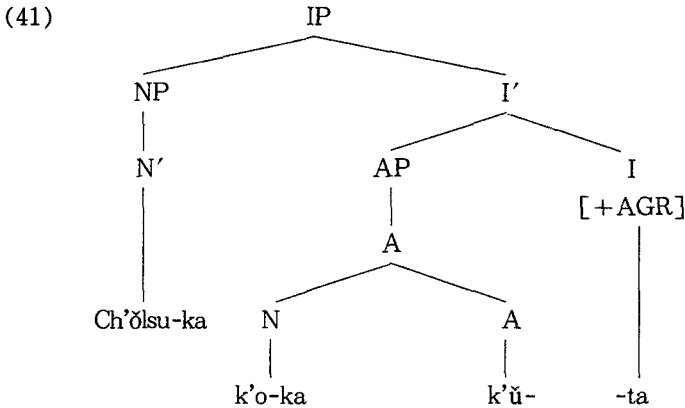


its complement. Now, as most of the MSCs which we have handled here have adjectives and few intransitive verbs with the aspectual feature [+stative] as their predicates, we might assume that a similar process of case assignment happens here as in the case of psychological adjectives.<sup>5</sup> For this purpose let us try to derive the following structure from the base structure (4)a. in Section 1. by incorporating the head noun of the subject NP with the adjective predicate.



If we assume further that after the incorporation of [<sub>A</sub>N+A] the trace of the head noun of the subject might be deleted by some convention, and the process of the syntactic case assignment goes on, then we have the following derived structure from (40).

<sup>5</sup> The psychological adjective predicates *musöp-ta* 'be afraid of', *gürip-ta* 'be longed for', *choh-ta* 'like' etc. take two arguments, one for their subject and the other for their complement. Thus they are semantically quite different from the adjective predicates of MSCs which take only one argument as their subject but no complement. The problem of the nominative case assignment to the complement of psychological adjectives in Korean is, as far as I know, not satisfactorily explained yet.



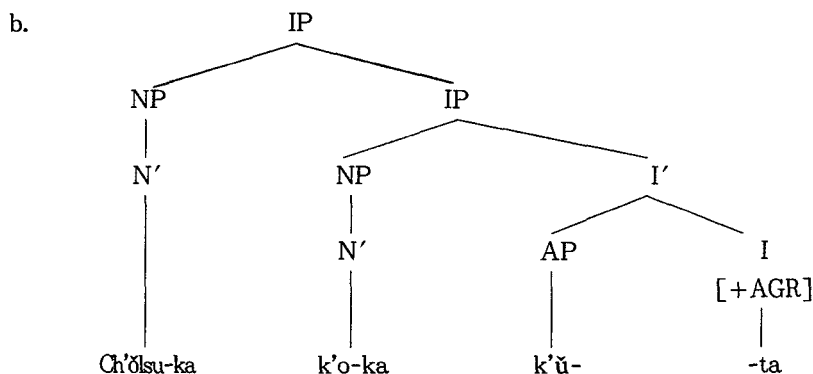
Now the AGR of I can assign a NOM CASE to the NP *Ch'ölsu-ka* by way of SPEC-HEAD-agreement, and adjective predicate *k'ü-* also assigns a NOM case to its complement according to its lexical property. Thus the case assignment of the MSCs could be motivated purely in accordance with that of the psychological adjectives.

The stipulation given above may look plausible, since most of the MSCs in Korean have adjectives or a few intransitive verbs as their predicates. Furthermore one might give some empirical evidence for incorporation of the subject with the adjective (or intransitive verb) predicate, for instance, *k'o-ka k'ü-ta* 'nose is big' can be abbreviated as *k'o k'ü-ta* or *ton- i manh-ta* 'a lot of moneny' can be abbreviated as *ton manh-ta*. However, these explanations prove themselves to be wrong. First of all, the trace of the head noun of the subject NP must violate the 'Head-Movement-Constraint' (HMC) of Baker (1988) which says that an  $X_0$  may only move into  $Y^0$  which properly governs it. According to this HMC the head *k'ü-* of the adjective predicate can not properly govern the head noun of the subject NP because of the barrier category AP. Secondly we cannot find any similar linguistic phenomena such as a subject-predicate incorporation in other languages. Let us see the following examples of Baker (1988):

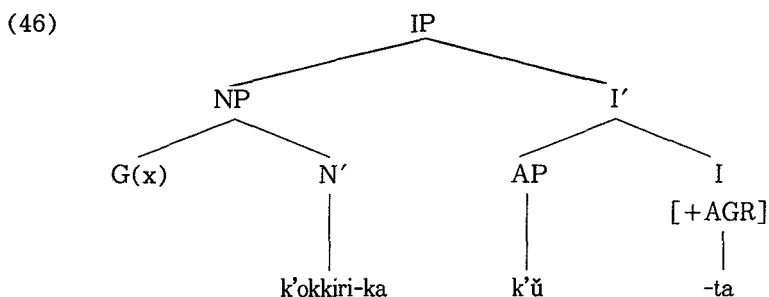
- (42) a. Mohawk (Baker, 1988: 20)  
 hrao-nuhs-rakv ne sawatis  
 3M-house white John  
 (John's house is white.)







(45)a. shows a correct syntactic structure in which the head noun *k'o* of the subject NP assigns a genitive case to its specifier NP *Ch'ölsu-üy*. Now, the head noun *k'o-ka* of the subject NP belongs to the common noun which semantically shows a type of 'a set of individuals', and thus it must be referentially specified by some term phrase as discussed in Section II. The appearance of the specifier NP within the subject NP construction is dependent solely on the semantic type of the head noun. If the head noun has a generic meaning and can alone constitute a term phrase, then it does not need any further specifier NP. In this case the head noun is bound by a generic operator as in the following structure:

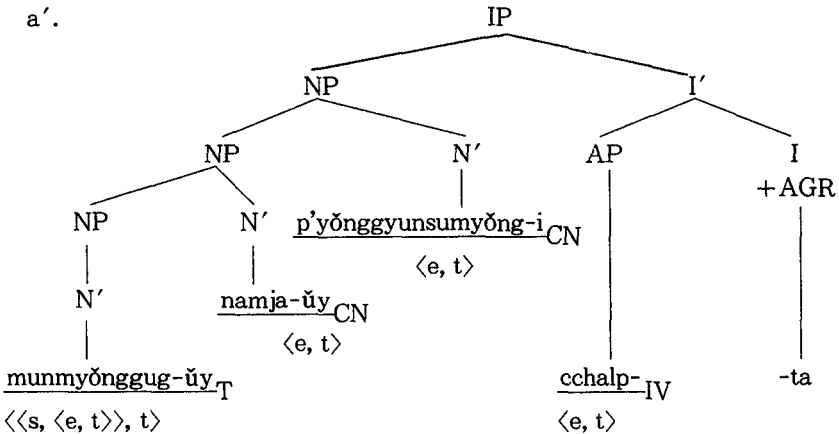


Because of the generic operator  $G(x)$  we can assume that the *k'okkiri-ka* 'elephant' accept the semantic type of the 'set of properties of individual' and can function not as a common noun but as a term phrase.

On the other hand, if the common noun *k'o-ka* as the head of subject NP can also be specified by some term phrase such as *Ch'ölsu-ka* in (3)a., then we can not but choose the adjunction position of IP such as in (45)b. for

that term phrase because of the following semantic and syntactic reason: Semantically the term phrase *Ch'ölsu-ka* stands in an attribute relationship with the head noun *k'o-ka* of the subject NP and it specifies some entity from the set of individuals indicated by the head noun of the subject NP. The term phrase *Ch'ölsu-ka* stands also pragmatically in an argument-pred. clause relationship with the rest of the sentence, which we have discussed in detail in Section II. Syntactically, however, the NOM case of the term phrase is assigned not by the head noun of the subject NP (which can only assign a genitive case to its specifier NP) but by the AGR of I-category through its c-commanding channel. Thus (45)b. shows a correct structure in which all the syntactic and semantic requirements of the MSC of (3)a. can be described appropriately. Let us turn to the problem of describing a little complicated MSC and its corresponding complex NP structure with GEN case. We write here again the example (34)c. from Section II, and this time we also introduce semantic types for each lexical item:

- (47) a. *munmyönggug-üy*      *namja-üy*      *p'yönggyunsumyöng-i*  
 civilized country-GEN    man-GEN      average-lifespan-NOM  
*cchalp-ta.*  
 short-DEC  
 'The average-lifespan of a man in the civilized country is short.'





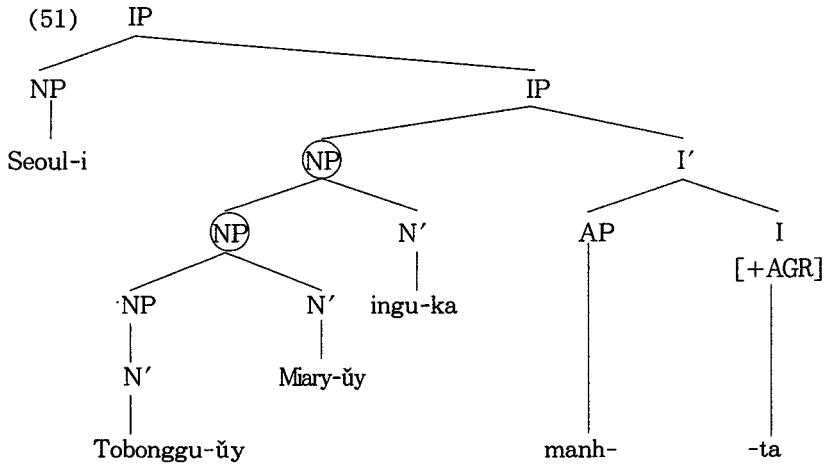
will be also possible. This process can be performed in accordance with an appropriate interpretation of the relational variables S, R, etc. in the semantic translation on the one hand and the attr.-subj.-relationship, subj.-pred.-relationship, and arg.-pred. clause-relationship among syntactic constituents on the other hand. The interpretation of the relational variable S or R lies, however, under strong syntactic constraints. The following sentences derived from the underlying structures from (1)b.-c. show different grades of grammaticalness:

- (49) a. \*Seoul-i            Tobonggu-ŭy    Miary-ŭy        ingu-ka  
               -NOM                -GEN            -GEN            people-NOM  
               manh-ta.  
               a lot-DEC  
               ‘There are lots of people at Miary in Tobonggu in Seoul.’
- b. <sup>??</sup>Seoul-i    Tobonggu-ŭy    Miary-ka    ingu-ka    manh-ta.  
               -NOM            -GEN            -NOM        -NOM        -DEC
- c. Seoul-ŭy    Tobonggu-ka    Miary-ka    ingu-ka    manh-ta.  
               -GEN            -NOM            -NOM        -NOM        -DEC
- (50) a. \*Yŏngsu-ka            kudu-ŭy        padak-ŭy        kumŏng-i  
               -NOM                shoe-GEN        sole-GEN        hole-NOM  
               nass-ta  
               has been made-DEC  
               ‘A hole has been made on the sole of Yongsu’s shoe.’
- b. <sup>??</sup>Yŏngsu-ka            kudu-ŭy        padak-i        kumŏng-i  
               -NOM                -GEN            -NOM            -NOM  
               nass-ta  
               -DEC
- c. Yŏngsu-ŭy    kudu-ka        padak-i        kumŏng-i    nass-ta.  
               -GEN        -NOM            -NOM            -NOM        -DEC

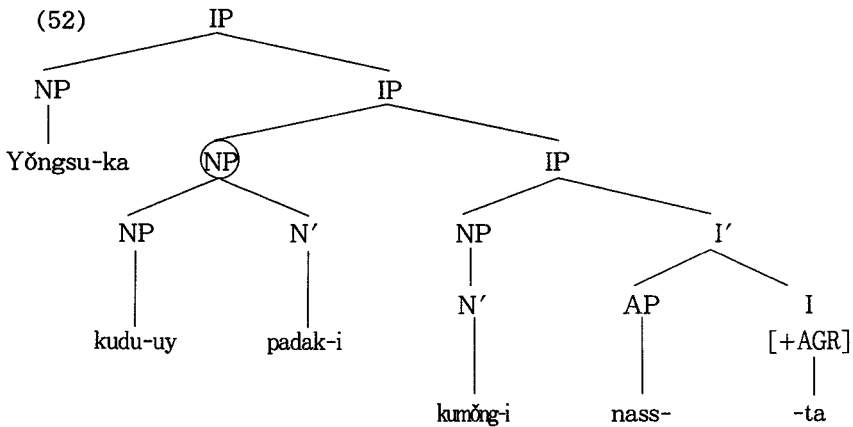
The a.-sentences in (49) and (50) has the following structure in which the attribute relationship between the first NP marked with NOM case and the second NP marked with GEN case can not be easily grasped because of the encircled double NP barriers (here we assume that IP is a defective catego-



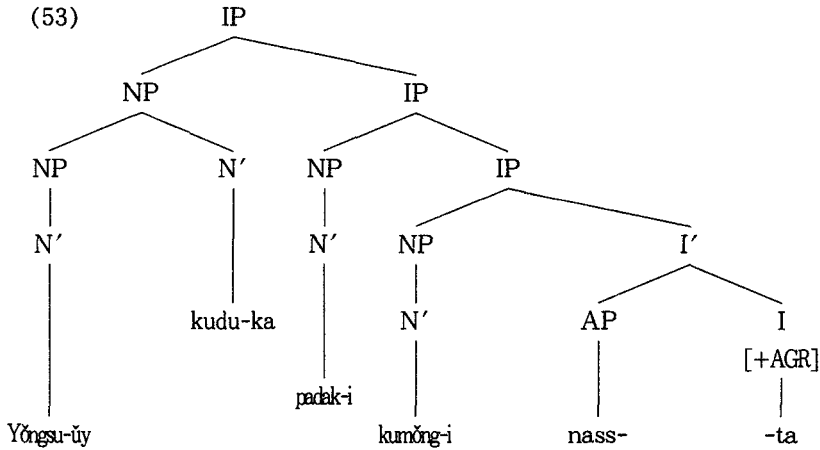
ry for barrierhood). Thus They are ungrammatical.



The grammaticality of b.-sentences in (49) and (50) is little better in comparison with the a.-sentences because there appears only one barrier between the first NP marked with NOM case and the second NP marked with GEN case. We look at the following structure:



Finally we do not see any violation of barrierhood between the NP categories in c.-sentences of (49) and (50) as the following structure shows. Thus there is no problem to interpret the relational variable S or R for those sentences in the sense of (48) and they are all grammatical.



In (53) The term phrase *yongsu-uy* stands in an attribute-relationship with *kudu-ka* 'shoe'. The *kudu-ka* stands in an attribute relationship with *padak-i* 'sole' which in turn stands also in an attribute relationship with the subject *kumong-i* 'hole'. We do not see any barrier category between these nominal categories, and thus structure (53) is grammatical.

Now we have answered all the three questions given in the introduction of this paper. The derivation of MSCs is described by a successive IP adjunction of NP category which can be marked with NOM case by way of c- or m-commanding channel. MSCs are derived only from adjectives and very few intransitive verbs, and their existence is due to the process of semantic saturation of the subject of the sentence. This process continues until the MSCs have become semantically complete. The MSCs represent the same semantic translation into the language of intensional logic as that of the corresponding complex NP structures marked with GEN case, even though they show quite different syntactic structures. Concerning other pragmatic factors such as focus, theme, intonation, contrast etc. which may influence on syntax and semantics of MSCs I just let them open for the future research.

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