

**FAS Promoter Polymorphism: Etiology and Outcome of Childhood  
Acute Myeloid Leukemia. A Children's Oncology Group Report.**

Parinda A Mehta<sup>1</sup>, Robert B Gerbing<sup>3</sup>, Todd A Alonzo<sup>2</sup>, James S Elliott  
Tiffany A Zamzow<sup>1</sup>, Michelle Combs<sup>1</sup>, John P Perentesis<sup>1</sup>, Soheil Meschinch, Beverly J  
Lange<sup>4</sup> and Stella M Davies<sup>1</sup>

<sup>1</sup>Division of Hematology/Oncology, Cincinnati Children's Hospital Medical Center,  
Cincinnati, Ohio, <sup>2</sup>University of Southern California Keck School of Medicine,  
<sup>3</sup>Children's Oncology Group, Arcadia, California, <sup>4</sup>Department of Pediatrics, The  
Children's Hospital of Philadelphia, Philadelphia

Correspondance: Parinda A Mehta, M.D.  
MLC 7015  
Cincinnati Children's Hospital and Medical Center  
3333 Burnet Ave,  
Cincinnati, OH 45229  
Phone: (513) 636 4913  
Fax: (513) 636 3549  
Email: [parinda.mehta@cchmc.org](mailto:parinda.mehta@cchmc.org)

Running title: FAS genotype and outcome of childhood AML

Supported by: RO1 CA 76326-01

Word counts: Abstract - \_\_\_\_\_ words.

Total text \_\_\_\_\_ words (exclusive of references and tables).

**Abstract:**

FAS is a cell surface receptor involved in apoptotic signal transmission. Deregulation of this pathway results in down regulation of apoptosis and subsequent persistence of a malignant clone. A single nucleotide polymorphism resulting in guanine-to-adenine (G→A) transition in the FAS promoter region (position -1377) is thought to reduce stimulatory protein 1 (SP1) transcription factor binding and decrease FAS expression. Previous work has shown increased risk of developing acute myeloid leukemia (AML) in adult patients with a variant allele at this site. We hypothesized that FAS genotype would also increase risk of childhood AML and, by altering susceptibility to apoptosis might also impact outcome of AML therapy. 440 children treated for de novo AML on a uniform protocol were genotyped for FAS 1377. Similar to adult AML data, genotype frequencies in our study were significantly different between white patients and white controls, suggesting that the variant allele at this site increases susceptibility to developing childhood AML. There were no significant differences in overall survival (OS), event-free survival (EFS), treatment-related mortality (TRM), or relapse rate between patients with FAS 1377GG genotype vs. 1377GA/1377AA genotypes. There was a trend towards improved OS and EFS in children homozygous for the variant genotype (AA cases) but small numbers (n=12 AA) make interpretation of this observation difficult.

## **Introduction**

Human FAS (TNFRSF6/CD95/APO-1) protein is a cell surface receptor, belonging to the family of tumor necrosis factor receptors, and is involved in apoptotic signal transmission (Sameshima 1991, Oehm 1992). It is a 48-kDa Type I membrane glycoprotein composed of 3 domains; an extracellular domain comprising of 3 cysteine-rich motif subdomains characteristic of the superfamily, a transmembrane domain, and a highly conserved intracellular domain known as a death domain (Itoh 1993). Binding to the receptor by the FAS ligand (CD95L) triggers receptor trimerization and subsequent assembly of the death-inducing signaling complex (Muschen 2000, Yonehara, 1989). Germline mutations or deletions within FAS, resulting in a loss or a reduction in receptor function, have been shown to cause autoimmune lymphoproliferative syndrome as well as an overall increased risk of hematological malignancies (Rieux et al 1995). Dysregulation of this pathway is believed to result in down regulation of apoptosis, allowing subsequent persistence of a malignant clone.

FAS expression levels may also be affected by mutations or polymorphisms in the promoter region of FAS, particularly when they affect the transcription binding sites. A single nucleotide polymorphism resulting in guanine-to-adenine (G→A) transition in the FAS promoter region occurs at position -1377, affecting a SP1 transcription factor binding site. An adenine residue at this position significantly reduces SP1 binding compared to guanine residue, causing a decrease in FAS expression. Functional germline and somatic mutations in the FAS gene and perhaps also in the FASL gene that lead to

decreased expression of FAS and/or increased expression of FAS Ligand (FASL) favors malignant transformation and progression (Muschen M 2000) by impairing apoptotic signal transduction and are associated with an increased risk of cancer (Davidson WF, 1998; Peter AM 1999; Lee SH 1999; Takahashi T 1994). A recent case-control study in adults indicated increased risk of developing AML in patients with variant allele (A) at this site (Sibley et al 2003). In addition, reduced expression of Fas-associated protein with death domain (FADD), the main adaptor for transmission of FAS signaling, is associated with decreased response to chemotherapy in AML cells (Tourneur et al, 2004). We hypothesized that FAS -1377 polymorphism would increase risk of childhood AML and, by altering susceptibility to apoptosis might impact outcome of AML therapy.

## **Patients and Methods**

### *Patients*

The study population included 440 children with de novo AML treated on Children's Cancer Group (CCG) therapeutic studies CCG-2941(n=36) and CCG-2961(n=404) between 1995 and 2002. Clinical data, including age, sex, white blood cell (WBC) count at diagnosis, race, presence of chloroma, presence of CNS disease, and immunophenotype were collected prospectively (Table 1). Cases were classified on the basis of criteria established and revised by the French-American-British (FAB) Cooperative Study Group by central pathology review. All FAB categories except acute promyelocytic leukemia (APL – AML M3) were eligible for enrollment and were treated with the same chemotherapy regimens.

Six hundred and forty two normal blood donors of known age and race (359 white and 147 black) were randomly selected and used to determine control genotype frequencies.

### *Chemotherapy Treatment Regimen*

CCG-2961 study was a randomized phase III trial of intensively timed induction, consolidation, and intensification therapy for pediatric patients with previously untreated AML or MDS (Lange 2005). The study was conducted between August 1996 and December 2002. CCG 2941 was a feasibility pilot of the same chemotherapy regimen that preceded the randomized study. Induction included 5 drugs: idarubicin, etoposide, dexamethasone, cytarabine, and 6-thioguanine (IDA DCTER) given on days 0-3 followed by 5 drugs (daunorubicin, etoposide, dexamethasone, Ara-C and 6-thioguanine) (DCTER) given on days 10-13 (Lange 2004). Upon recovery of white blood cell and platelet counts, patients were randomly assigned to consolidation therapy consisting of the same sequence of drugs or to fludarabine/ cytarabine /idarubicin. Intrathecal cytarabine was used for CNS prophylaxis. Patients with matched-related donors were assigned to allogeneic marrow transplant intensification. Pre-transplant cytoreduction was busulfan and cyclophosphamide. Patients without a related donor received high-dose cytarabine/L-asparaginase (Capizzi II), and additional intrathecal cytarabine. After recovery from chemotherapy, patients were randomized again to either receive Interleukin-2 or standard follow-up care. Transplanted patients were not eligible for randomization to interleukin-2.

### *FAS genotyping*

DNA extracted from diagnostic marrow samples using standard methods was normalized to 10 ng/ $\mu$ l. Primers were synthesized based on the sequence of the Apo-1/FAS gene reported in Gene Bank (X87625, Table 2).

For each sample testing, two PCR reactions in different wells were performed; one reaction detected the wild-type allele and the other detected the mutant allele using mismatch amplification assay coupled with real time PCR (MAMA assay). This assay is based on the concept that two mismatched nucleotides instead of a single mismatch at the 3' end of the primer effectively abrogates PCR amplification efficiency.

For each reaction, a 20 ng DNA template was added to the reaction mixture, containing a final concentration of 0.2  $\mu$ M of each primer - specific forward primer (F1 or F2) and the common reverse primer and sybergreen mastermix (Applied biosystems, CA, USA). RT-PCR was performed using an ABI 7700 Thermocycler for 40 cycles, with annealing temperature of 62°C and a total of 50  $\mu$ l reaction volume.

DNA from normal controls was extracted using standard techniques and genotyped as described for cases. Genotyping results were duplicated in 10% of samples; concordance between repeats was 100%. Furthermore, 10% of the samples were also genotyped using direct sequencing; concordance with MAMA genotyping was 100%.

### *Statistical Analysis*

Data were analyzed from CCG-2941 and CCG-2961 through April 2005 and October 2006, respectively. The significance of observed differences in proportions was tested using the Chi-squared test and Fisher's exact test when data were sparse. The Mann-Whitney test was used to determine the significance between differences in medians (Mann 1947). The Kaplan-Meier method was used to calculate estimates of overall survival (OS), event-free survival (EFS) and disease-free survival (DFS) (Kaplan 1958). Estimates are reported with their Greenwood standard errors (Greenwood 1926). Differences in these estimates were tested for significance using the log-rank statistic (Peto 1972). OS is defined as time from study entry to death from any cause. EFS is defined as time from study entry to failure at the end of two courses, relapse or death from any cause. DFS is defined as time from the end of one course of therapy to failure at the end of two courses, relapse or death from any cause. Cumulative incidence estimates were used to determine relapse rate (RR) and treatment-related mortality (TRM). RR is defined as time from the end of one course of therapy to failure at the end of two courses, relapse or death from progressive disease where deaths from non-progressive disease were competing events. TRM is defined as time from study entry to death from non-progressive disease where failures at the end of two courses, relapses and deaths from progressive disease were competing events. Differences between RR or TRM estimates were tested for significance using Gray's test (Gray 1988). Children lost to follow-up were censored at their date of last known contact or at a cutoff 6 months prior to April 2005 (CCG-2941) or October 2006 (CCG-2961). Cox regression was used for multivariate models that looked at differences between groups adjusting for study



assignment, age, gender, race, and WBC count. Reported p-values represent comparisons between patients with FAS 1377GG genotype vs. those with FAS 1377GA or 1377AA genotypes.

## **Results**

Allele and genotype frequencies for cases and controls for white patients are shown in Table 3. The GA and AA genotypes were significantly more frequent in white cases compared to controls (80.4% GG, 17.6% GA, 2.0% AA in patients vs. 87.6% GG, 11.3% GA and 1.1% AA in controls;  $p=0.03$ ). Comparison of genotype frequencies in black cases and controls did not show a significant difference (86.1% GG, 11.1% GA, 2.8% AA in patients vs. 90.5% GG, 8.2% GA and 1.4% AA in controls;  $p=0.70$ ), although this analysis was limited by small numbers of black cases ( $n=36$ ). The distributions of FAS genotypes in cases and controls were consistent with the Hardy–Weinberg equilibrium. Stratification of cases by median age at diagnosis, median WBC count at diagnosis, AML subtype, or cytogenetics revealed no difference in genotype frequencies.

## **FAS Genotype and Outcome**

There was a trend towards improved survival (OS at 5 years  $75 \pm 25\%$  AA,  $57 \pm 11\%$  GA,  $50 \pm 6\%$  GG;  $p=0.16$ ) and EFS ( $67 \pm 27\%$  AA,  $44 \pm 11\%$  GA,  $40 \pm 5\%$  GG;  $p=0.12$ ) in AA cases relative to the other genotypes, but small numbers ( $n=12$  AA) may have contributed to the results not being statistically significant (Figure 1). Analysis of EFS,

DFS, treatment related mortality and relapse rate from study entry by genotype also showed similar estimates between the three genotypes (Table 4).

Multivariate analyses that adjusted for study assignment, age, gender, race, and WBC also suggested that FAS genotype did not modify OS or EFS. Thus, FAS genotype was not significantly associated with either resistant disease or treatment-related toxicity.

## **Discussion**

In this pediatric study we found that FAS1377 genotype alters susceptibility to de novo AML in white children, similar to results reported in adult AML. Sibley et al. (2003) showed a significantly increased risk of AML associated with FAS heterozygotes (GA) and homozygote variants (AA) at position -1377 bp, in a case-control study of adult AML, in agreement with the findings in our study. We did not find any statistically significant difference in genotype frequency between black patients and black controls, although similar to white cases, point estimates indicated an increased frequency of GA and AA genotypes in cases compared to controls, likely reflecting the small number of black cases included in the study. Also, our study showed a trend towards improved survival (OS and EFS) in AA cases, small numbers (n=12 AA) again may have contributed to the results not being statistically significant. This finding will need to be replicated in future studies to determine its significance.

Our observation that FAS variants contribute to leukemogenesis supports studies by other investigators that report increased risk of lymphoproliferative disease and certain

hematologic malignancies associated with defects in the FAS pathway. Down-regulation of apoptosis prolongs the normal cellular life span, allows cells to acquire mutations and facilitates tumor progression, for example, BCL2 transgenic mice with targeted expression in myeloid cells develop myeloproliferation similar to human chronic myelomonocytic leukemia; however, they rarely go on to develop acute leukemia. Interestingly, when mice constitutively expressing BCL2 are crossed onto a FAS<sup>-/-</sup> background, approximately 15% develop AML, implicating FAS-mediated apoptosis in the pathogenesis of AML (Traver 1998).

In humans, germline mutations or deletions within FAS have been shown to cause autoimmune lymphoproliferative syndrome, a condition associated with generalized lymphoproliferation and systemic autoimmunity, as well as an overall increased risk of hematological malignancies (Rieux-Laucat, 1995). Also, aberrant apoptosis is known to be important in the pathogenesis of AML (Rieux-Laucat, 1995) because up-regulation of the antiapoptotic protein BCL2 is a common feature of leukemic blasts and may be a critical event in myeloid transformation (Delia, D, 1992). Myeloblasts are known to express high levels of FAS, and functional deficiencies of FAS signaling have been shown to be important in several subtypes of AML, providing further evidence for FAS-mediated apoptosis in the etiology of AML (Komada, 1995).

Further studies are needed to evaluate a potentially important role of FAS genotype in the outcome of AML therapy. Also, mechanistic studies further defining the functionality of this FAS polymorphism will help clarify the importance of variants at this site.

## References:

Sameshima M, Hase A, Seto Y, et al. The polypeptide encoded by the cDNA for human cell surface antigen FAS can mediate apoptosis. *Cell* 1991; 66:233 –

Oehm A, Behrmann I, Falk W, et al. PH Purification and molecular cloning of the MO-1 cell surface antigen, a member of the tumor necrosis factor nerve growth factor receptor family. *J Biol Chem* 1992; 267:10709 -

Itoh N, Nagata S. A novel protein domain required for apoptosis: mutational analysis of human FAS antigen. *J Biol Chem* 1993; 268:10932-10937

Muschen, M., Warskulat, U., and Beckmann, M. W. Defining CD95 as a tumor suppressor gene. *J. Mol. Med.*, 2000; 78: 312–325

Yonehara S, Ishii A, Yonehara M: A cell-killing monoclonal antibody (anti-FAS) to a cell surface antigen co-downregulated with the receptor of tumor necrosis factor. *J Exp Med* 169:1747, 1989

Rieux-Laucat, F., Le Deist, F., Hivroz, C., Roberts, I. A., Debatin, K. M., Fischer, A., and de Villartay, J. P. Mutations in Fas associated with human lymphoproliferative syndrome and autoimmunity. *Science (Wash. DC)*, 268: 1347–1349, 1995.

Huang QR, Morris D, Manolios N. Identification and characterization of polymorphisms in the promoter region of the human Apo-1/FAS (CD95) gene. *Mol Immunol* 1997; 34: 577– 82.

Muschen M, Warskulat U, Beckmann MW. Defining CD95 as a tumor suppressor gene. *J Mol Med* 2000;78:312–25.

Davidson WF, Giese T, Fredrickson TN. Spontaneous development of plasmacytoid tumors in mice with defective FAS-FAS ligand interactions. *J Exp Med* 1998;187:1825-8.

Peter AM, Kohfink B, Martin H, Griesinger F, Wormann B, Gahr M, et al. Defective apoptosis due to a point mutation in the death domain of CD95 associated with autoimmune lymphoproliferative syndrome, T-cell lymphoma, and Hodgkin's disease. *Exp Hematol* 1999;27:868–74.

Lee SH, Shin MS, Park WS, Kim SY, Dong SM, Pi JH, et al. Alterations of FAS (Apo-1/CD95) gene in transitional cell carcinomas of urinary bladder. *Cancer Res* 1999;27:3068–72.

Lee SH, Shin MS, Park WS, Kim SY, Kim SH, Han JY, et al. Alterations of FAS (Apo-1/CD95) gene in non-small cell lung cancer. *Oncogene* 1999; 18:3754–60.

Takahashi T, Tanaka M, Brannan CI, Jenkins NA, Copeland NG, Suda T, et al. Generalized lymphoproliferative disease in mice, caused by a point mutation in the FAS ligand. *Cell* 1994;76:969–76.

Sibley K, Rollinson S, Allan JM, et al. Functional FAS promoter polymorphisms are associated with increased risk of acute myeloid leukemia. *Cancer Research* 2003; 63, 4327-4330.

Tourneur et al, 2004 – need full reference.

Lange BJ, Gerbing RB, Feusner J, et al. Mortality in overweight and underweight children with acute myeloid leukemia. *JAMA*. 2005;293:203-211.

Lange BJ, Dinndorf P, Smith FO, et al. Pilot study of idarubicin-based intensive timing induction therapy for children with previously untreated acute myeloid leukemia in Children's Cancer Group (CCG) Study 2941. *J Clin Oncol*. 2004;22:150-156.

Mann HB, Whitney DR. On a test of whether one of two random variables is stochastically larger than the other. *Ann Math Statistics*. 1947;18:50-60.

Kaplan EL, Meier P. Nonparametric estimation from incomplete observations. *J Am Stat Assoc*. 1958;53:457-481.

Greenwood M. The natural duration of cancer: Reports on Public Health and Medical Subjects, 33. London, United Kingdom: Her Majesty's Stationery Office; 1926:1.

Peto R, Peto J. Asymptotically efficient rank in variant text procedures. J R Stat Soc. 1972; (A) 135:185-206.

Gray RJ. A class of K-sample tests for comparing the cumulative incidence of a competing risk. The Annals of Statistics. 1988;16:1141-1154.

Traver, D., Akashi, K., Weissman, I. L., and Lagasse, E. Mice defective in two apoptosis pathways in the myeloid lineage develop acute myeloblastic leukemia. Immunity, 9: 47–57, 1998.

Delia, D., Aiello, A., Soligo, D., Fontanella, E., Melani, C., Pezzella, F., Pierotti, M. A., and Della Porta, G. bcl-2 proto-oncogene expression in normal and neoplastic human myeloid cells. Blood, 79: 1291–1298, 1992.

Komada, Y., Zhou, Y. W., Zhang, X. L., Xue, H. L., Sakai, H., Tanaka, S., Sakatoku, H., and Sakurai, M. FAS receptor (CD95)-mediated apoptosis is induced in leukemic cells entering G1B compartment of the cell cycle. Blood, 86: 3848–3860, 1995

Sibley K, Rollinson S, Allan JM, Smith AG, Law GR, Roddam PL, Skibola CF, Smith MT, Morgan GJ. Functional FAS promoter polymorphisms are

associated with increased risk of acute myeloid leukemia. *Cancer Res* 2003;63:4327–30.

Dastugue N, Payen C, Lafage-Pochitaloff M, et al. Prognostic significance of karyotype in de novo adult myeloid leukemia. *Leukemia*. 1995;9:1491-1498.

Leith CP, Kopecky KJ, Godwin J, et al. Acute myeloid leukemia in the elderly: assessment of multidrug resistance (MDR1) and cytogenetics distinguishes biological subgroups with remarkably distinct responses to standard chemotherapy: a Southwest Oncology Group study. *Blood*. 1997; 89:3323-3329.



**Table 1. Demographics of patients and distribution of FAS genotypes**

Characteristic	GG (N=347)		GA (N=81)		AA (N=12)		GG vs	GA vs	GG vs
	N	%	N	%	N	%	GA P	AA P	AA P
Age: median & range (yrs)	9.4	(0.01 – 20.9)	11.5	(0.47 - 19.0)	10.1	(1.64 - 16.2)	0.12	0.99	0.50
WBC: median & range	20600	(1000 – 860000)	18400	(300 - 373300)	17700	(1500 - 848000)	0.67	0.52	0.38
Study									
CCG-2941	30	9%	6	7%	0	0%	0.89	1.00	0.61
CCG-2961	317	91%	75	93%	12	100%			
Gender									
Male	189	55%	49	61%	6	50%	0.39	0.54	0.99
Female	158	45%	32	39%	6	50%			
Race									
White	246	71%	54	67%	6	50%	0.52	0.34	0.19
Black	31	9%	4	5%	1	8%	0.34	0.51	1.00
Hispanic	49	14%	18	22%	1	8%	0.10	0.45	1.00
Asian	5	1%	4	5%	4	33%	0.07	0.01	<0.01
Other	15	4%	1	1%	0	0%	0.33	1.00	1.00
Unknown	1		0		0				
FAB									
M0	20	6%	5	6%	0	0%	0.80	1.00	1.00
M1	44	13%	21	26%	1	8%	0.01	0.28	1.00
M2	101	29%	19	23%	6	50%	0.37	0.08	0.19
M4	92	27%	20	25%	3	25%	0.83	1.00	1.00
M5	61	18%	13	16%	2	17%	0.86	1.00	1.00
M6	8	2%	2	2%	0	0%	1.00	1.00	1.00
M7	15	4%	1	1%	0	0%	0.33	1.00	1.00
Other	5	1%	0	0%	0	0%	0.59	1.00	1.00
Unknown	1		0		0				
Cytogenetics									
Normal	46	22%	13	25%	2	29%	0.77	1.00	0.66
t(8;21)	35	17%	9	18%	0	0%	0.92	0.58	0.60
Abn 16	19	9%	4	8%	3	43%	1.00	0.03	0.03
Abn 11	46	22%	10	20%	0	0%	0.82	0.34	0.35
t(6;9)	3	1%	2	4%	0	0%	0.26	1.00	1.00
-7/7q-	8	4%	0	0%	0	0%	0.36	1.00	1.00
-5/5q-	4	2%	0	0%	0	0%	0.59	1.00	1.00
+8	14	7%	4	8%	0	0%	0.76	1.00	1.00
+21	2	1%	0	0%	0	0%	1.00	1.00	1.00
Pseudodiploid	20	10%	5	10%	2	29%	1.00	0.20	0.16
Hyperdiploid	6	3%	2	4%	0	0%	0.66	1.00	1.00
Hypodiploid	3	1%	2	4%	0	0%	0.26	1.00	1.00
Unknown	141		30		5				

Response at end of first course										
Remission	290	87%	71	90%	12	100%	0.55	0.59	0.38	
PD	23	7%	7	9%	0	0%	0.71	0.59	1.00	
Die	22	7%	1	1%	0	0%	0.10	1.00	1.00	
W/D or unevaluable	12		2		0					

**Table 2. Allele specific Primers**

Primer sequences for allele-specific amplification	
Primer	Primer sequence and position
	-1399 <span style="float: right;">-1377</span>
F1 – (specific for wild-type allele):	5' -AGTGTGTGCACAAGGCTGGCAAG-3'
F2 – (specific for mutant allele):	5' -AGTGTGTGCACAAGGCTGGCAA-3'
Reverse primer:	5' GAACCTGAATTTGGATGAAGTTCC-3'
	-1006 <span style="float: right;">-1029</span>

**Table 3. FAS genotype in white cases versus white controls, p=0.03**

	Cases (White)		Controls (White)		White P
	N	%	N	%	
FAS 1377					
GG	246	80.4	402	87.6	<0.01
GA	54	17.6	52	11.3	0.02
AA	6	2.0	5	1.1	0.36

**Table 4. Treatment outcomes according to FAS genotype.**

	FAS 1377 GG	FAS 1377 GA	FAS 1377 AA	p-value
5 year OS	50 ± 6%	57 ± 11%	75 ± 25%	0.21
5 year EFS	40 ± 5%	44 ± 11%	67 ± 27%	0.36
5 year DFS	46 ± 6%	50 ± 12%	67 ± 27%	0.63
5 year TRM	18 ± 4%	14 ± 8%	17 ± 22%	0.42
5 year RR	41 ± 6%	38 ± 12%	19 ± 24%	0.47

**Figure 1. Overall survival of pediatric AML patients according to FAS 1377 polymorphism status.**

Difference in OS from study entry between patients with FAS1377GG vs. 1377GA vs. 1377AA genotypes ( $p=0.21$ ).

