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A Single-System Model Predicts Recognition Memory and Repetition Priming in Amnesia

**Running title:**

Modeling Recognition and Priming in Amnesia

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## Abstract

1  
2 We challenge the claim that there are distinct neural systems for explicit and implicit memory  
3 by demonstrating that a formal single-system model predicts the pattern of recognition  
4 memory (explicit) and repetition priming (implicit) in amnesia. In the current investigation,  
5 human participants with amnesia categorized pictures of objects at study and then, at test,  
6 identified fragmented versions of studied (old) and non-studied (new) objects (providing a  
7 measure of priming) and made a recognition memory judgment (old vs. new) for each object.  
8 Numerous results in the amnesic patients were predicted in advance by the single-system  
9 model: 1) deficits in recognition memory and priming were evident relative to a control group;  
10 2) items judged as old were identified at greater levels of fragmentation than items judged  
11 new, regardless of whether the items were actually old or new; 3) the magnitude of the  
12 priming effect (the identification advantage for old vs. new items) overall was greater than  
13 that of items judged new. Model evidence measures also favored the single-system model  
14 over two formal multiple-systems models. The findings support the single-system model,  
15 which explains the pattern of recognition and priming in amnesia primarily as a reduction in  
16 the strength of a single dimension of memory strength, rather than a selective explicit  
17 memory system deficit.

18

## Introduction

19           One of the most influential distinctions in the cognitive neuroscience of memory is  
20 between explicit and implicit long-term memory. Explicit memory refers to conscious  
21 recollection of prior experiences. Implicit memory refers to changes in behaviour that are due  
22 to prior experience, but are unaccompanied by conscious recollection of those experiences  
23 (Schacter, 1987). Implicit memory is commonly shown via repetition priming, which is a  
24 change or facilitation in identification, production, or detection of an item (e.g., a picture of  
25 an object) as a result of prior exposure to the same or a similar item. Strikingly, despite  
26 profound deficits in explicit memory tasks such as recognition—in which participants judge  
27 whether items have been presented before in a certain context—individuals with amnesia can  
28 show normal levels of repetition priming (Hamann and Squire, 1997). This dissociation is  
29 widely regarded as some of the strongest evidence for the proposal that functionally and  
30 neurally distinct explicit and implicit memory systems exist in the brain: Recognition is  
31 driven by an explicit (declarative/conscious) memory system located in the medial temporal  
32 lobes (damaged in amnesia), whereas priming is driven by implicit (non-  
33 declarative/unconscious) memory systems in modality-specific neocortical regions (Tulving  
34 and Schacter, 1990; Gabrieli, 1998; Squire, 2009). Of primary interest here is the proposal  
35 that recognition and priming are driven by distinct explicit and implicit memory sources  
36 (Squire, 2009).

37           An alternative perspective is that recognition and repetition priming are driven by the  
38 same memory system or source. This view has been formalised in a single-system (SS) model  
39 of recognition and priming (Berry et al., 2006, 2008a, 2008b, 2010, 2012; Shanks and Berry,  
40 2012). Surprisingly, this model can explain numerous results in healthy adults that on the  
41 surface appear to be indicative of multiple systems; it even predicts results that are not

42 predicted by multiple-systems versions of the model and can provide better fits to data (Berry  
43 et al., 2012).

44 Here we provide a critical test of the SS model by applying it to data from amnesia.  
45 We also compare its fit to two formal multiple-systems models. We test a relatively  
46 homogeneous and well-characterized group of amnesic patients that is atypically large ( $n =$   
47 24) (Hayes et al., 2012). The patients had Korsakoff's syndrome (KS), a chronic disorder that  
48 is often caused by severe alcoholism and thiamine deficiency that results in diencephalic,  
49 frontal, and hippocampal brain damage (Le Berre et al., in press). It is characterized by  
50 anterograde and retrograde amnesia (Kopelman et al., 2009; Fama et al., 2012; Kessels and  
51 Kopelman, 2012; Race and Verfaellie, 2012). Findings from patients with KS have played a  
52 central role in the formulation of multiple-systems views (Hayes et al., 2012) and implicit  
53 memory is widely regarded to be preserved in KS (Kopelman et al., 2009; Oudman et al.,  
54 2011). In the current investigation, participants categorized pictures of familiar objects (e.g.,  
55 a guitar) at study. At test, participants identified fragmented versions of old (studied) and new  
56 objects (providing a measure of priming) and made a recognition memory judgment (old/new)  
57 after identifying each object.

58

## 59 **Materials and Methods**

### 60 **Participants**

61 Twenty-four patients (16 male;  $M$  age = 50.2 years,  $SD = 7.7$ ) with Korsakoff's  
62 amnesia were recruited via the Korsakoff Clinic of the Vincent van Gogh Institute for  
63 Psychiatry, Venray, The Netherlands (KOR group). All patients fulfilled the criteria for  
64 alcohol-induced persisting amnesic disorder (American Psychiatric Association, 2000) and  
65 Korsakoff's syndrome (Kopelman, 2002). The diagnoses were supported by the patients'  
66 medical history and neuropsychological assessment, and all participants had anterograde

67 amnesia, performing in the impaired range on the Rivermead Behavioural Memory Test  
68 (RBMT) (Wilson et al., 1989; Van Balen et al., 1996) (Total Profile Score  $M = 6.7$ ,  $SD = 4.0$ ;  
69 where 17-21 = poor memory, 10-16 = mildly impaired, 0-9 = severely impaired), as well as  
70 retrograde amnesia for their biographical history. Premorbid intelligence was estimated using  
71 the Dutch version of the National Adult Reading Test (Schmand et al., 1991) (NART), with  
72 IQs in the below-average to average range, in agreement with the patients' educational levels  
73 ( $M$  NART-IQ = 93.8,  $SD = 12.5$ ;  $M$  educational level = 3.9,  $SD = 1.1$ , where education level  
74 was assessed in 7 categories based on the Dutch educational system, where 1 = primary  
75 school, and 7 = academic degree, Verhage, 1964). Neuroradiological findings (CT or MRI)  
76 showed abnormalities associated with KS, such as (diencephalic) atrophy or white-matter  
77 lesions (Pitel et al., 2012). No brain abnormalities were found that countered the clinical  
78 diagnosis (e.g., large strokes, tumors). All patients were abstinent from alcohol since their  
79 admittance to the clinic (> 3 months prior to testing), none was in the acute Wernicke phase  
80 of the syndrome, and none fulfilled the criteria for alcohol-related dementia (Oslin et al.,  
81 1998).

82         The control group (CON group) also consisted of 24 individuals, matched in terms of  
83 age ( $M = 50.2$  years,  $SD = 13.6$ ;  $t(46) = 0.59$ ,  $P = .56$ ), premorbid IQ ( $M$  NART-IQ = 96.4,  
84  $SD = 12.6$ ;  $t(46) = 0.72$ ,  $P = .47$ ), and proportion of males and females. Exclusion criteria for  
85 the controls were a self-reported history of neurologic or psychiatric disorder, or subjective  
86 cognitive complaints. Level of education ( $M = 5.3$ ,  $SD = 0.8$ ) was significantly higher in the  
87 CON group than the KOR group,  $U = 90.50$ ,  $P < .01$ ; however, this variable was not found to  
88 be significantly correlated with subsequent measures of recognition or priming performance  
89 at test within each group ( $r$ s ranged from -0.14 to 0.23).

90

## 91 **Materials**

92           The stimuli were 80 color photographs of familiar objects (e.g., a bicycle, a guitar).  
93 All stimuli were presented on a computer monitor against a white background. Each object  
94 subtended approximately 7.5 degrees of visual angle in the horizontal and vertical. Stimuli  
95 were arranged into two 40 item lists. Each list acted as the studied or new stimuli equally  
96 often across participants. Approximately half of the objects in each list were larger than a  
97 shoebox. All instructions were presented in Dutch.

98

## 99 **Procedure**

100           At study, participants were told that they would be presented with pictures of objects  
101 and that they must decide whether each object is smaller or larger in size than a shoebox,  
102 indicating their response with a button press. The sequence of events on each trial was as  
103 follows: a) a central fixation ‘+’ was presented for 2000 ms, b) the object was then presented  
104 for 2000 ms, c) if a response had been made, the next trial then commenced; if a response had  
105 not been made, a blank screen was presented until a response was made. For the duration of  
106 the study phase, the reminder cue “Is the object smaller or larger than a shoebox? Z = smaller,  
107 M = larger” remained visible towards the bottom of the screen. The order of presentation of  
108 items was randomly determined for each participant. There was a short (maximum 5 minutes)  
109 retention interval before the test phase commenced, during which standardised tests (e.g.,  
110 NART) were administered.

111           A continuous identification with recognition (CID-R; Stark and McClelland, 2000)  
112 procedure was used to present each item at test. On each trial an item was initially presented  
113 in an extremely fragmented form. The test phase instructions informed participants that the  
114 object would initially be difficult to identify, but that each press of the spacebar would reveal  
115 a less fragmented version of the object (up to 10 levels, see Fig. 1). Their task was to identify  
116 each object at the most fragmented level that they could. Participants were told not to try to

117 identify the object until they were sure that they could do so. Identification accuracy was near  
118 ceiling in both groups, although higher in the CON group: proportion of trials correct, CON  
119 group,  $M = 0.998$ ; KOR group,  $M = 0.958$  (excluding one outlier in the KOR group who only  
120 identified 0.49 proportion of trials correctly; the recognition/priming results reported later are  
121 not affected if this participant is excluded). Trials on which an incorrect identification  
122 occurred were not excluded from the analysis in order to preserve recognition data; however,  
123 the qualitative pattern of results did not differ when they were excluded (one exception to this  
124 was that Prediction 3 in the KOR group was only significant on a one-tailed test). The prompt  
125 “Press SPACE to reveal more of the drawing, and press ENTER at the earliest point that you  
126 can identify the item correctly” remained on screen during the clarification procedure. When  
127 participants pressed enter, a black outlined box and prompt (“Type your response and then  
128 press ENTER”) appeared beneath the fragmented object. After a response was typed, the  
129 non-fragmented version of the object was then presented with the prompt, “Was the object  
130 presented in the first stage? 1 = sure no, 2 = probably no, 3 = probably yes, 4 = sure yes”.  
131 After participants made their recognition response, a blank screen was presented for 2000 ms  
132 before the next test trial was presented. There were 80 trials in total (40 old and 40 new). To  
133 evenly distribute old and new trial types across the test phase, trials were randomly arranged  
134 into four blocks with an equal number of old and new trials in each block (there was no  
135 indication of block transition to participants).

136 To create fragmented versions of each image, each  $400 \times 400$  pixel image was  
137 divided into  $400 \times 20 \times 20$  pixel squares. At each of ten possible fragmentation levels, a fixed  
138 proportion of the squares containing the target image were displayed. The proportion of  
139 squares displayed at each fragmentation level  $x$ , was calculated as  $0.75^{(10-x)}$ ,  $x \in [1, 10]$ . Thus,  
140 the fragmentation procedure was such that the rate of clarification was relatively slow across



141 the initial fragmentation levels and more rapid in the later stages. This was done to increase  
142 the difficulty of the task in the early stages of the procedure.

143 Recognition responses were collapsed across confidence ratings “1” and “2” for “new”  
144 judgments, and “3” and “4” ratings for “old” judgments. This was done because a large  
145 proportion of participants made no responses in at least one of the confidence (1 to 4) × item  
146 status (old, new) response categories (79% of individuals in the KOR group, and 71% of  
147 individuals in the CON group). Recognition performance was measured with  $P_r$  and  $d'$ .  $P_r$   
148 was calculated as,  $H - F$ , where  $H = p(\text{hit})$ , and  $F = p(\text{false alarm})$ ;  $d'$  was calculated as  $z(H) -$   
149  $z(F)$ ; a “hit” is an old judgment to an old item, a “false alarm” is an old judgment to a new  
150 item. Response bias was measured with  $C$  ( $C = -0.5[z(H) + z(F)]$ ). For the calculation of  $d'$   
151 and  $C$ , a correction was applied when calculating  $H$  and  $F$  for each individual (i.e.,  $H = (\text{no.}$   
152  $\text{hits} + 0.5) / (\text{no. possible hits} + 1)$ , and  $F = (\text{no. false alarms} + 0.5) / (\text{no. possible false}$   
153  $\text{alarms})$ ) (Snodgrass and Corwin, 1988). This enabled calculation of  $d'$  and  $C$  for participants  
154 whose  $H$  or  $F$  equalled 1 or zero. An alpha level of .05 was used for all statistical tests, and all  
155  $t$  tests were two-tailed unless indicated. Effect sizes are indicated by Cohen’s  $d$  (for  $t$  tests)  
156 and  $\eta_p^2$  (for ANOVA).

157

### 158 **Reliability of the recognition and priming measures**

159 Prior research has shown that it is important to take into account the reliability of the  
160 tasks used to measure recognition and priming when comparing performance (e.g., Buchner  
161 and Wippich, 2000). Accordingly, the reliability of the recognition and priming measures was  
162 calculated using split-half correlations. Each participant’s dataset was split into odd and even  
163 trials, and then recognition ( $P_r$ ) and priming measures were calculated for the trials in each of  
164 these halves. The split-half correlation for recognition/priming is the Pearson correlation of  
165 the recognition/priming measures for each half, across participants. Importantly, both

166 recognition and priming were highly reliable: recognition,  $r(46) = .91, P < .001$ ; priming,  
167  $r(46) = .56, P < .001$ . The greater reliability of the recognition task is consistent with  
168 previous research (Buchner and Wippich, 2000), however when each group was analysed  
169 individually, the reliability of recognition was only greater than that of priming in the KOR  
170 group and not the CON group (where the reliability of recognition and priming was  
171 approximately equal): KOR group, recognition,  $r(22) = .84, P < .001$ , priming,  $r(22) = .47, P$   
172  $= .02$ ; CON group, recognition,  $r(22) = .50, P = .013$ , priming,  $r(22) = .58, P = .003$ .

173

#### 174 **Formal single- and multiple-systems models**

175 Full details of the models are given in Berry et al. (2012). The single-system SS  
176 model is based on signal detection theory (Green and Swets, 1966) and assumes that at test  
177 each item is associated with a memory strength value,  $f$ , which is a normally distributed,  
178 random variable with mean ( $\mu$ ) and standard deviation  $\sigma_f$  (i.e.,  $f \sim N(\mu, \sigma_f)$ ). The mean  $f$  of  
179 old items can be greater than of new items because of prior study (i.e.,  $\mu_{\text{old}} \geq \mu_{\text{new}}$ ). An item's  
180 value of  $f$  is used to derive its recognition judgment *and* its measure of priming. To generate a  
181 recognition judgment, random, normally-distributed noise,  $e_r$ , is first added to  $f$  to produce the  
182 judgment measure  $J_r$  (i.e.,  $J_r = f + e_r$ , where  $e_r \sim N(0, \sigma_r)$ ). If  $J_r$  exceeds a particular threshold  
183 of strength,  $C$ , the item will be judged old, otherwise it will be judged new. For the priming  
184 task, greater values of  $f$  will tend to result in better performance in the task. For example, if  
185 the task is to identify fragmented versions of an object (fragment identification), the greater  
186 the value of  $f$  of an item, the greater the level of fragmentation at which it will be identified.  
187 Importantly, however,  $f$  is combined with another independent source of random normally-  
188 distributed noise,  $e_p$ , to derive the priming measure (i.e.,  $ID = b - sf + e_p$ , where ID is the  
189 level of fragmentation at which identification occurs;  $b$  and  $s$  are scaling parameters,  $b$  is the

190 ID intercept,  $s$  is the rate of change in ID with  $f$ ; and  $e_p \sim N(0, \sigma_p)$ ). Both of the task-specific  
191 noise variables  $e_r$  and  $e_p$  have means equal to zero.

192 The SS model can be modified to create two “multiple-systems” versions of the  
193 model—the MS1 and MS2 models. The MS1 model is the same as the SS model except that  
194 one “explicit” memory strength signal,  $f_r$ , drives recognition (where  $f_r \sim N(\mu_r, \sigma_f)$ ), whereas a  
195 separate “implicit” memory signal,  $f_p$ , drives priming (where  $f_p \sim N(\mu_p, \sigma_f)$ ). As in the SS  
196 model,  $f_r$  and  $f_p$  are combined with task-specific sources of noise ( $e_r$  and  $e_p$ ) to produce the  
197 recognition judgment (i.e.,  $J_r = f_r + e_r$ ) and priming measure (i.e.,  $ID = b - sf_p + e_p$ ).  
198 Importantly, however,  $f_r$  and  $f_p$  are uncorrelated (i.e.,  $r(f_r, f_p) = 0$ ) and the mean explicit  
199 strength of old items ( $\mu_{r|old}$ ) can vary independently of the mean implicit strength of old items  
200 ( $\mu_{p|old}$ ) across individuals/conditions. This allows the model to produce dissociations at the  
201 level of individual items (e.g., stochastic independence, Tulving et al., 1982; Poldrack, 1996)  
202 and also at the level of group/condition (e.g., independent effects of a variable upon  
203 recognition and priming, such as the dissociation in amnesia). Thus, this model represents a  
204 relatively strong interpretation of the idea that explicit and implicit memory systems are  
205 independent (Tulving et al., 1982).

206 Another model, the MS2 model, represents a weaker interpretation of the idea that  
207 there is independence between systems (Berry et al., 2012). This model is identical to the  
208 MS1 model except that the explicit and implicit strengths of individual items may be  
209 positively correlated (with correlation  $w$ ). A correlation could arise, for example, via  
210 distinctiveness: a more distinctive item may be better encoded into both the explicit and  
211 implicit memory systems. This gives the MS2 model greater flexibility, allowing it to  
212 reproduce associations between recognition and priming measures at the level of individual  
213 items (like the SS model). In fact, the MS2 model subsumes the SS and MS1 models as  
214 special cases of it, and the MS2 model can therefore, in principle, produce any result that the

215 SS and MS1 models can (Berry et al., 2012). When the correlation between  $f_r$  and  $f_p$  is 1 (i.e.,  
 216  $r(f_r, f_p) = 1$ ) and the mean  $f_r$ , and  $f_p$  of old items are equal (i.e.,  $\mu_{r|old} = \mu_{p|old}$ ),  $f_r = f_p$ , and so the  
 217 model reduces to the SS model; when the correlation between  $f_r$  and  $f_p$  is zero (i.e.,  $r(f_r, f_p) =$   
 218 0), the model reduces to the MS1 model (Berry et al., 2012).

219

## 220 **Model fitting**

221 Models were fit using maximum likelihood estimation (full details are given in Berry  
 222 et al., 2012). The likelihood of each identification level (ID) and judgment (Z) combination is  
 223 given by the following function:

224

$$225 \quad L(Z, ID|X) = \left[ \Phi \left( C_j \middle| \mu_{J_r|ID,X}, \sigma_{J_r|ID}^2 \right) - \Phi \left( C_{j-1} \middle| \mu_{J_r|ID,X}, \sigma_{J_r|ID}^2 \right) \right] \times \phi \left( ID \middle| b - s\mu_{p|X}, \sigma_{ID}^2 \right)$$

226

227 where  $X = \text{old, new}$ ;  $\Phi$  is the cumulative normal distribution function;  $\phi$  is the normal density  
 228 function;  $\sigma_{ID}^2 = s^2\sigma_f^2 + \sigma_p^2$ ;  $\mu_{J_r|ID,X}$  and  $\sigma_{J_r|ID}^2$  are the mean and variance of the conditional  
 229 distribution of  $J_r$  given ID,  $j = 1$  when  $Z = \text{“new” (N)}$ , and  $j = 2$  when  $Z = \text{“old” (O)}$ ;  $C_0 = -\infty$ ,  
 230  $C_1 = C$  and  $C_2 = \infty$ .  $\mu_{J_r|ID,X}$  and  $\sigma_{J_r|ID}^2$  are calculated as:

231

$$\mu_{J_r|ID,X} = \mu_{r|X} - \frac{ws\sigma_f^2(ID - b + s\mu_{p|X})}{s^2\sigma_f^2 + \sigma_p^2}$$

232 and

$$\sigma_{J_r|ID}^2 = \sigma_f^2 + \sigma_r^2 - \frac{w^2 s^2 \sigma_f^4}{s^2 \sigma_f^2 + \sigma_p^2},$$

233

234 where  $\mu_{r|new} = 0$  when  $X = new$ , and  $\mu_{r|old} \geq 0$  when  $X = old$ ;  $\mu_{p|new} = 0$  when  $X = new$ , and  $\mu_{p|old} \geq 0$  when  $X = old$ . In the SS model,  $\mu_{r|old} = \mu_{p|old} = \mu_{old}$ , and  $w = 1$ . In the MS1 model,  $w = 0$ ; in the MS2 model,  $0 \leq w \leq 1$ .

237 In fitting the models to the data, an automated procedure was used to find the  
 238 parameter values that maximise the summed log likelihood across trials. A full list of  
 239 parameters (both free and fixed) is given in Table 1. Certain parameter values are non-  
 240 identifiable and their value was therefore fixed such that they act as scaling parameters (as in  
 241 Berry et al., 2012): SS model,  $\mu_{new} = 0$ ; MS1/MS2 models,  $\mu_{r|new} = \mu_{p|new} = 0$ ;  $M(e_p) = M(e_r)$   
 242  $= 0$ ;  $\sigma_f = \sigma_r = \sqrt{0.5}$ ; finally, the value of  $s$  in the MS1 and MS2 models was fixed to that of the  
 243 SS model. Fixing  $\sigma_f$  and  $\sigma_r$  to  $\sqrt{0.5}$  means that the standard deviation of  $J_r$  is equal to one  
 244 (because  $\sigma_{J_r} = \sqrt{(\sigma_f^2 + \sigma_r^2)}$ ), and  $\mu_{r|old}$  can therefore be interpreted as  $d'$ . We have previously  
 245 shown that whether  $s$  is fixed or free to vary in the MS1 and MS2 models does not affect their  
 246 fit (Berry et al., 2012).

247 This leaves five free parameters in the SS model:  $\mu_{old}$ , the mean strength of the old  
 248 item distribution;  $C$ , the “old” judgment criterion;  $b$ , the ID intercept;  $s$  the rate of change in  
 249 the ID level with changes in  $f$ ; and  $\sigma_p$ , the variance of  $e_p$ , the noise associated with the  
 250 priming task. The MS1 model also has five free parameters:  $\mu_{r|old}$ , the mean explicit memory  
 251 strength of the old item distribution;  $\mu_{p|old}$ , the mean implicit memory strength of the old item  
 252 distribution;  $C$ , the “old” judgment criterion;  $b$ , the ID intercept; and  $\sigma_p$ , the variance of  $e_p$ .  
 253 The MS2 model has six free parameters:  $\mu_{r|old}$ , the mean explicit memory strength of the old  
 254 item distribution;  $\mu_{p|old}$ , the mean implicit memory strength of the old item distribution;  $C$ ,

255 the “old” judgment criterion;  $b$ , the ID intercept;  $\sigma_p$ , the variance of  $e_p$ ; and  $w$ , the correlation  
256 between  $f_r$  and  $f_p$ .

257 It is usually preferable to fit the models to each participant’s data, however, this was  
258 not possible for all participants because the model parameters could not be estimated for  
259 participants who did not make at least one hit, miss, false alarm, or correct rejection response.  
260 Accordingly, the models were fit to 1) the data aggregated across the 24 participants within  
261 each group, and also 2) to each individual’s data, providing that the individual made at least  
262 one hit, miss, false alarm and correct rejection response ( $n$  CON group = 19;  $n$  KOR group =  
263 15). We report the AIC and BIC measures of fit because both are frequently reported in  
264 model comparisons. We place more emphasis on the AIC because our previous investigations  
265 indicate that the true generative model can be more reliably identified with this measure  
266 (Berry et al., 2012).

267 Given the best fitting parameter values for a model, the expected model results can be  
268 calculated analytically as

269

$$P(\text{hit}) = 1 - \Phi(C - \mu_{r|\text{old}})$$

$$P(\text{false alarm}) = 1 - \Phi(C)$$

$$d' = \mu_{r|\text{old}}$$

$$E[\text{ID} | \text{new}] = b$$

$$E[\text{ID} | \text{old}] = b - s\mu_{p|\text{old}}$$

$$\text{Priming effect} = s\mu_{p|\text{old}}$$

270

271 The expected values of ID conditional on judgment  $Z$  are given by the following  
272 function:

$$E[\text{ID} | Z, X] = b - s\mu_{p|X} + \frac{sw\sigma_f^2}{\sigma_{Jr}} \frac{\phi\left(\frac{C_j - \mu_{r|X}}{\sigma_{Jr}}\right) - \phi\left(\frac{C_{j-1} - \mu_{r|X}}{\sigma_{Jr}}\right)}{\Phi\left(\frac{C_j - \mu_{r|X}}{\sigma_{Jr}}\right) - \Phi\left(\frac{C_{j-1} - \mu_{r|X}}{\sigma_{Jr}}\right)}$$

273 where  $\sigma_{Jr} = \sqrt{(\sigma_f^2 + \sigma_r^2)}$ .  $j = 1$  when  $Z = \text{N}$ , and  $j = 2$  when  $Z = \text{O}$ ;  $C_0 = -\infty$ ,  $C_1 = C$  and  $C_2 = \infty$ .

274 Thus, the equation gives the expected ID of hits ( $E[\text{ID} | \text{H}]$ ) when  $X = \text{old}$  and  $Z = \text{O}$ ; it gives

275 the expected ID of false alarms ( $E[\text{ID} | \text{F}]$ ) when  $X = \text{new}$  and  $Z = \text{O}$ . Similarly, the equation

276 gives the expected ID of misses ( $E[\text{ID} | \text{M}]$ ) when  $X = \text{old}$  and  $Z = \text{N}$ ; and gives the expected

277 RT of correct rejections ( $E[\text{ID} | \text{CR}]$ ) when  $X = \text{new}$  and  $Z = \text{N}$ .

278 In the data, because the mean ID for items judged old/new are weighted means, the

279 expected ID for items judged old/new are given by the weighted expected IDs to hits and

280 false alarms (items judged old), or misses and correct rejections (items judged old); hence

281

$$E[\text{ID} | Z = \text{O}] = \frac{P(\text{H})E[\text{ID} | \text{H}] + P(\text{F})E[\text{ID} | \text{F}]}{P(\text{H}) + P(\text{F})},$$

282 and

$$E[\text{ID} | Z = \text{N}] = \frac{[(1 - P(\text{H}))E[\text{ID} | \text{M}] + [1 - P(\text{F})]E[\text{ID} | \text{CR}]]}{2 - P(\text{H}) - P(\text{F})}.$$

283

284 The overall fluency effect (see below) can be calculated as  $E[\text{ID} | Z = \text{N}] - E[\text{ID} | Z = \text{O}]$ .

285 We should note that the ID response variable is discrete, but is modeled here as

286 continuous (because  $f_p \sim N(\mu_p, \sigma_f)$  and  $\text{ID} = b - sf_p + e_p$ ). To justify this way of modeling ID,

287 parameter recovery simulations were carried out. In these simulations, first, recognition

288 judgment and ID data (for 10,000 old/new items) was simulated from a given model. The

289 parameter values used for this were the mean estimated parameter values for the KOR group  
290 (given on the right-hand side of Table 1). The simulated ID values were then rounded to the  
291 nearest integer; if the value was less than 1 or greater than 10 then it was rounded to 1 or 10,  
292 respectively, thereby producing discretized ID data. The simulated ID and judgment data  
293 were then fit by the models as described above and the estimates of the free parameters were  
294 compared to the values of the parameters that were originally used to simulate the data (i.e.,  
295 the true parameter values). For all models, the estimated parameter values matched the true  
296 parameter values. This demonstrates that the parameters of the models can still be recovered,  
297 even though the ID data are discrete.

298 Another issue concerns the function used to relate  $f_p$  to ID level. The amount of a test  
299 picture revealed across levels varies by an exponential function whereas the equation relating  
300 ID level to  $f_p$  in the models is linear. It is possible that an alternative function relating ID to  $f_p$   
301 would provide a more complete characterisation of the ID data and improve the performance  
302 of all of the models. However, most important for current purposes is that ID is modeled as a  
303 monotonically decreasing function of  $f_p$  in all models. We chose to model the ID variable in  
304 this way for consistency with previous applications of the models, and for ease of model  
305 specification.

306

### 307 **Model predictions**

308 Three key predictions are made by the SS model. These predictions follow from the  
309 assumption that greater values of  $f$  tend to lead to a greater likelihood of an old judgment and  
310 also better performance in the priming task (i.e., greater values of  $J_r$  and lower values of ID,  
311 see Fig. 2). Prediction 1 is that, given a deficit in recognition in amnesic individuals, a deficit  
312 in priming should also be evident. This is because changes in the mean  $f$  of old items ( $\mu_{old}$ )  
313 will tend to affect overall levels of both recognition and priming. However, the effect on



314 priming can be smaller in magnitude than for recognition because of the greater variance of  
315 the noise associated with the priming task that is typically assumed (Berry et al., 2006). The  
316 MS1 and MS2 models can reproduce any pattern of recognition and priming, and so do not  
317 make this prediction in advance.

318         Predictions 2 and 3 concern performance in the priming task when broken down by  
319 recognition response (Fig. 2). Prediction 2 is that, within old and new items, items that are  
320 judged old are likely to be identified at greater levels of fragmentation than items judged new  
321 (this is often referred to as a *fluency effect*, Conroy et al., 2005): Items with values of  $J_r$  that  
322 exceed the criterion  $C$  are judged old and tend to have larger  $f$ s than items judged new.  
323 Because the same  $f$  drives identification, items judged old will tend to be identified at more  
324 fragmented levels. Prediction 3 concerns the priming effect for items judged new. This effect  
325 has been reported in numerous studies and on the surface appears to indicate that recognition  
326 and priming have distinct memorial bases since priming occurs in the absence of overt  
327 recognition (Berry et al., 2008a). The SS model predicts that the magnitude of the priming  
328 effect (i.e., the identification advantage of all old items relative to new items) will be greater  
329 than the priming effect within the subset of items judged new (i.e., the identification  
330 advantage for old items judged new relative to new items judged new). This is because values  
331 of  $J_r$  tend to be greater for old items than new items, even within the subset of items judged  
332 new. However, the difference in  $J_r$  between all old and new items is greater than the  
333 difference in  $J_r$  between old and new items within the subset of items judged new (see Fig. 2).  
334 Because differences in  $J_r$  tend to reflect differences in  $f$ , the priming effect across all items  
335 will tend to be greater than the priming effect within the subset of items judged new. (Though  
336 differences in  $J_r$  do not always reflect differences in  $f$  as is the case, for example, with false-  
337 alarm and miss responses, see Berry et al., 2008a.) Predictions 2 and 3 are not made by the  
338 MS1 model because the identification RT and  $J_r$  are uncorrelated within item type (see Figure

339 2). The MS2 model can produce the same results as the SS model with regard to Predictions 2  
340 and 3, but the greater flexibility of this model means that it does not make these predictions in  
341 advance.

342

343

## Results

### 344 SS model prediction 1

345 Recognition memory was significantly lower in the Korsakoff (KOR) group ( $n = 24$ )  
346 than the control (CON) group ( $n = 24$ ) (Figs. 3a and 4a):  $P_r$ ,  $t(46) = 9.31$ ,  $P < .001$  (Cohen's  
347  $d = 2.69$ );  $d'$ ,  $t(46) = 8.21$ ,  $P < .001$  (KOR group,  $d' = 1.00$ ,  $SE = 0.17$ ; CON group,  $d' = 2.64$ ,  
348  $SE = 0.11$ ), consistent with the memory disorder in these individuals. Recognition was  
349 reliably greater than chance (i.e.,  $d'$  or  $P_r > 0$ ) in both groups ( $ts > 5.31$ ,  $ds > 1.08$ ), and there  
350 was no significant difference in response bias ( $C$ ) between the groups,  $t(46) = 1.23$ ,  $P = .23$ ,  $d$   
351  $= 0.36$ :  $M C$ , KOR group = 0.50,  $SE = 0.21$ ;  $M C$ , CON group = 0.23,  $SE = 0.08$ .

352 Priming was calculated as the mean identification level for new items minus the mean  
353 identification level for old items. Both groups showed reliable (i.e., greater than zero) levels  
354 of priming: KOR group,  $M = 0.35$ ,  $SE = 0.11$ ,  $t(23) = 3.18$ ,  $P = .004$ ,  $d = 0.65$ ; CON group,  $M$   
355  $= 0.68$ ,  $SE = 0.14$ ,  $t(23) = 4.78$ ,  $P < .001$ ,  $d = 0.98$  (Fig. 3b and 4a). Crucially, priming was  
356 significantly lower in the KOR group than the CON group,  $t(46) = 1.84$ ,  $P = .036$  (one-tailed;  
357  $d = 0.53$ ), as predicted by the SS model. Furthermore, there was no significant difference in  
358 the mean identification level for new items across groups (Fig. 3b),  $t(46) = 0.74$ ,  $P = .47$ ,  $d =$   
359  $0.21$ , which indicated that any difference in priming across groups could not be attributed to  
360 differences in baseline levels of performance in the task. Identifications were made at all  
361 possible fragmentation levels (Range = 1-10 in both groups; interquartile range, KOR group  
362 = 5-8; CON group = 4-8).

363

364 **SS model predictions 2 and 3**

365 To test Predictions 2 and 3, the identification level of each item at test was analysed  
366 according to the four possible recognition responses: a correct rejection is a “new” judgment  
367 to a new item, a false alarm is an “old” judgment to a new item, a miss is a “new” judgment  
368 to an old item, and a hit is an “old” judgment to an old item (Fig. 3c). A subset of participants  
369 made no responses in at least one of the four response categories, and so they were not  
370 included in the following analyses. There were five participants from the CON group: one  
371 had a hit rate of 1 and four had a false alarm rate of 0. Nine participants were also excluded  
372 from the KOR group on this basis: one had a hit rate of 1, one had a false alarm rate of 1, and  
373 seven had a false alarm rate of 0. The priming scores in the excluded participants were  
374 slightly higher than in the full set of participants (KOR group,  $M = 0.45$ ; CON group,  $M =$   
375  $0.89$ ). In the CON group, the excluded participants tended to have slightly higher recognition  
376 scores ( $d' = 3.17$ ,  $P_r = 0.82$ ), however, in the KOR group, the recognition scores were similar  
377 to the pre-exclusion group mean ( $d' = 1.07$ ,  $P_r = 0.17$ ). The excluded KOR participants did  
378 not appreciably differ from the pre-exclusion KOR group in terms of age ( $M = 49.33$  years),  
379 NART-IQ ( $M = 89.00$ ), RBMT ( $M = 6.22$ ), or education ( $M = 4.11$ ). Listwise removal of  
380 these participants did not result in any qualitative changes in the recognition and priming  
381 differences reported, with the exception that the difference in the priming effects between the  
382 groups was only marginal,  $t(32) = 1.51$ ,  $P = .07$ ,  $d = 0.53$  (one-tailed) (KOR group:  $M = 0.30$ ,  
383  $SE = 0.14$ ; CON group:  $M = 0.64$ ,  $SE = 0.16$ ); thus, there is a need for a little caution in the  
384 claim of a deficit in priming in this KOR group. However, the priming effect in the subsetted  
385 KOR group ( $d = 0.52$ ) was still smaller than that of that of the CON group ( $d = 0.90$ ) and was  
386 only marginally significantly different from chance,  $t(14) = 2.09$ ,  $P = .055$ , which is, at least,  
387 still consistent with a deficit.

388 As predicted by the SS model (Prediction 2), in the KOR group, mean identification  
389 levels for items judged old were lower than those of items judged new within new and old  
390 items: ID(correct rejection) vs. ID(false alarm),  $t(14) = 3.04$ ,  $P = .009$ ,  $d = 0.42$ ; ID(miss) vs.  
391 ID(hit),  $t(14) = 3.98$ ,  $P = .001$ ,  $d = 0.74$  (Figure 4b). Furthermore, as predicted by the SS  
392 model (Prediction 3), the magnitude of the priming effect for items judged new (calculated as  
393 ID(correct rejection) – ID(miss)) was significantly lower than the priming effect for items  
394 judged new in the KOR group,  $t(14) = 2.51$ ,  $P = .025$ ,  $d = 0.51$ . However, the priming effect  
395 for items judged new was not reliable in this group,  $t(14) = 0.083$ ,  $P = 0.94$ ,  $d = 0.02$ . Similar  
396 trends regarding Predictions 2 and 3 were evident in the CON group, however, these were not  
397 reliable (Figure 4b): Prediction 2, ID(correct rejection) vs. ID(false alarm),  $t(18) = 1.50$ ,  $P$   
398  $= .15$ ,  $d = 0.23$ ; ID(miss) vs. ID(hit),  $t(18) = 1.29$ ,  $P = .21$ ,  $d = 0.15$ ; Prediction 3,  $t(18) = 1.18$ ,  
399  $P = .25$ ,  $d = 0.28$ . The priming effect for items judged new was, however, reliable in the CON  
400 group,  $t(18) = 2.89$ ,  $P = .01$ ,  $d = 0.29$ . A 2 (Item Type: old, new)  $\times$  2 (Judgment: old, new)  $\times$   
401 2 (Group: CON, KOR) ANOVA was also conducted on the identification levels. There was a  
402 significant main effect of Judgment,  $F(1, 32) = 21.23$ ,  $p < .001$ ,  $\eta_p^2 = .40$ , indicating that  
403 identification levels tended be lower for items judged old versus new. No other main effects  
404 or interactions were significant (main effect of Item Type:  $F(1, 32) = 3.28$ ,  $p = .08$ ; all other  
405  $F$ s  $< 2.33$ ,  $p$ s  $> .137$ ,  $\eta_p^2$ s  $< .09$ ).

406

### 407 **Model fits**

408 Table 2 shows the fit of the models to the data and Table 1 shows the best fitting  
409 parameter estimates of the SS, MS1, and MS2 models. When fit to the data aggregated across  
410 participants, the SS model provided the best fit to the CON group (indicated by the lowest  
411 AIC value in Table 2), but the MS2 model provided the best fit to the KOR group. However,  
412 the differences in AIC between the SS and MS2 models are very small (a difference of 1.2 for

413 the CON group, and 0.3 for the KOR group) indicating that both models fit the data almost as  
414 well as each other (Burnham and Anderson, 2002). Furthermore, as shown in Table 1, the  
415 best-fitting value of  $w$  in the MS2 model was equal to 1, and the values of  $\mu_{r|old}$  and  $\mu_{p|old}$   
416 were also very similar within groups, suggesting that the MS2 model fits the data best when it  
417 behaves more like the SS model. When the models were fit to each individual, the SS model  
418 provided the best fit to both groups (Table 2), and the AIC was substantially smaller for the  
419 SS model compared to the MS1 and MS2 models (i.e.,  $> 10$ ), indicating substantial support  
420 for the SS model (Burnham and Anderson, 2002). The majority of participants in each group  
421 were best fit by the SS model, with the remainder being best fit by the MS1 model (Fig. 5).  
422 The BIC results also tended to support the SS model (Table 2 and Fig. 5).

423 The expected model results are indicated by the symbols in Figures 3 and 4. All  
424 models closely reproduced the key trends in the data: recognition and priming were lower in  
425 the KOR group than the CON group (Prediction 1); the SS and MS2 models predicted non-  
426 zero differences between ID(correct rejection) and ID(false alarm), ID(miss) and ID(hit)  
427 (Prediction 2), and also between priming overall and for items judged new (Prediction 3) (Fig.  
428 4). The MS1 model did not, however, predict any of these differences (Fig. 4).

429 Data from individual patients who show normal priming despite a complete absence  
430 of recognition memory (e.g., patient E.P., Hamann and Squire, 1997; Stefanacci et al., 2000;  
431 Conroy et al., 2005) is particularly challenging for single-system accounts (Berry et al., 2012).  
432 Three densely amnesic patients from this study who showed priming despite performing  
433 at/near chance in recognition yielded results that did not clearly provide evidence for any  
434 model, but it is important to stress that their results were not incompatible with the SS model  
435 (Figures 6 and 7, patients A-C). Patient A was female, 51 years of age, with a NART-IQ  
436 score of 109, RBMT score of 4, and education level of 5; patient B was male, 54 years of age,  
437 with a NART-IQ score of 101, RBMT score of 2, and education level of 5; and patient C was

438 male, 59 years of age, with a NART-IQ score of 87, RBMT score of 12, and education level  
439 of 2.

440 Patients B and C were best fit by the MS1 model, and patient A by the SS model  
441 (though the differences in AIC between the best fitting models were small—less than 4). The  
442 mean priming effect in this subgroup was equal to  $M = 0.59$  ( $SE = 0.20$ ), which is lower than  
443 the priming effect shown in the CON group ( $M = 0.68$ ,  $SE = 0.14$ ), but still within the 95%  
444 confidence interval of the CON group mean (Fig. 4). From panels (a) and (b) of Figure 7, it is  
445 evident that the MS1 and MS2 models closely fit the recognition and priming results,  
446 whereas the SS model predicts a small amount of recognition in these patients, and a lower  
447 magnitude of priming than was evident in these individuals. From panels (b) and (c) it is  
448 evident that 1) priming in patient A, but not patients B and C, was below the lower 95%  
449 confidence interval of mean priming in the CON group; 2) all patients showed a fluency  
450 effect within old items, and patients A and C, but not patient B, showed a fluency effect  
451 within new items; and 3) patients A and B, but not patient C, showed a greater priming effect  
452 than the priming effect for items judged new. Thus, results (2) and (3), and to a lesser extent  
453 result (1), are largely compatible with the predictions of the SS model (and also the MS2  
454 model). It is noteworthy that the SS model is able to reproduce a substantial priming effect in  
455 patient B despite very low recognition.

456

457

## Discussion

458 Contrary to longstanding views that recognition memory and repetition priming are  
459 driven by distinct memory systems (Squire, 2009), this study showed that numerous results in  
460 amnesic patients could be predicted in advance by a single-system model: 1) reliable deficits  
461 in recognition and priming were found relative to the controls; 2) items judged old were  
462 identified at greater levels of fragmentation than items judged new within both old and new

463 items; 3) the magnitude of the priming effect overall was greater than the priming effect for  
464 items judged new (though note that priming for items judged new was not reliable in the  
465 KOR group). Findings (2) and (3) were not predicted by the MS1 model, but were  
466 reproduced by the MS2 model. The AIC and BIC model evidence measures, however,  
467 indicated that there was greater support for the SS model than the MS2 model. Thus, overall,  
468 the data from the amnesic patients favored the SS model over the MS1 and MS2 models.  
469 Findings (2) and (3) are therefore in agreement with a previous study that found similar  
470 results in normal adults (Berry et al., 2012).

471         The deficit in priming found in the KOR group in this study contrasts with the widely  
472 held view that priming is preserved in amnesia. Although priming is frequently found to be  
473 preserved in amnesia (Gabrieli, 1998), many studies, like ours, have also reported deficits  
474 (Warrington and Weiskrantz, 1968; Cermak et al., 1993; Verfaellie et al., 1996; Ostergaard,  
475 1999; Verfaellie and Cermak, 1999; Meier et al., 2009). When Korsakoff patients are  
476 specifically considered, priming deficits are often reported when the priming task is picture  
477 fragment completion (Hayes et al., 2012). There are different interpretations of such priming  
478 deficits. In KS, one account is that they reflect visuoperceptual impairments (see Hayes et al.,  
479 2012). However, such an account does not appear to explain the priming deficit found in this  
480 study because baseline levels of identification (fragment identification levels for new items)  
481 did not differ between the KOR and CON groups, suggesting that the visuoperceptual  
482 abilities of the groups were appropriately matched.

483         One possible multiple-systems interpretation of the deficit in priming is that priming  
484 is greater in the CON group because these individuals use their greater capacity for explicit  
485 memory to retrieve studied items from memory during the identification portion of a trial;  
486 doing so increases the magnitude of priming relative to the amnesic patients (Squire et al.,  
487 1985). Although possible, there is evidence to suggest that such an account is unlikely to

488 apply to our data. For example, this type of *explicit contamination* of fragment identification  
489 performance is deemed more likely to occur (and be more effective) when participants  
490 identify fragments at both study *and* test. Under these conditions, an association between the  
491 fragment and the picture name can be formed at study and then be recalled at test (Verfaellie  
492 et al., 1996). In our study, however, participants only identified fragments at test, and so there  
493 was no opportunity for specific fragment-picture name associations to be formed at study.  
494 Moreover, in experiments using a CID-R task with normal adults, it has been found that even  
495 under conditions that appear optimal for using an explicit retrieval strategy in a CID-R task  
496 (i.e., informing the participant whether the upcoming trial will contain an old or new item),  
497 there was no evidence of greater priming than under typical testing conditions (Ward et al.,  
498 2013) (for a similar finding see also Brown et al., 1991; see also Ostergaard, 1998, 1999, for  
499 a discussion of explicit contamination in a similar task).

500         The SS model explains the deficits in the KOR group as arising from the weaker  
501 strength of a single underlying memory signal for studied items relative to the CON group.  
502 Interestingly, the effect of KS was larger on recognition than on priming (Cohen's *d*,  
503 recognition = 2.69, priming = 0.53), and this was captured by the SS model (Cohen's *d*,  
504 recognition = 2.27, priming = 0.51). The SS model is able to predict this interaction because  
505 there is not a one-to-one mapping between strength and performance; the signal is scaled  
506 differently, and subjected to different sources of noise for each task. That a single memory  
507 strength signal is expressed differently in two tasks in the SS model is conceptually similar to  
508 other models in which a single underlying memory trace is accessed in different ways  
509 depending upon the retrieval process (e.g., Greve et al., 2010). The difference in effect sizes  
510 predicted by the SS model is one possible explanation for why deficits are more frequently  
511 found in recognition than priming in amnesia. Consistent with this is the finding that priming  
512 tasks are typically less reliable than recognition tasks (Buchner and Wippich, 2000); indeed,



513 the reliability of the recognition and priming tasks in our study tended to confirm this (see  
514 Materials and Methods).

515 In the CON group, numerical trends were found in support of predictions (2) and (3),  
516 but these were not reliable. This is most likely due to low power: The number of misses and  
517 false alarms in the CON group was relatively low (CON group: median = 5 misses, 2 false  
518 alarms; vs. KOR group: median = 16 misses, 11 false alarms), and so the variability in  
519 identification levels for these responses was relatively high (Figure 3c). Clear evidence of  
520 predictions (2) and (3) in normal adults has, however, been found across three experiments by  
521 Berry et al. (2012) with normal adults. They used a greater number of stimuli than this study  
522 (72-150 vs. 40 old/new items) and overall levels of recognition were lower ( $d's < 1.5$  vs.  $d' =$   
523 2.64), which resulted in more false alarms and misses.

524 One potential concern with the CID-R task is that the identification portion of the trial  
525 may affect the recognition judgment. This may be deemed likely since recognition and  
526 priming trials are necessarily interleaved due to the nature of the task. Early dual-process  
527 theories of recognition proposed that perceptual fluency can act as one basis of recognition  
528 (Mandler, 1980; Jacoby and Dallas, 1981), and studies have shown that the probability of an  
529 old judgment to an item is greater if the rate at which it clarifies from a mask is fast rather  
530 than slow (Johnston et al., 1991). In other words, a relatively fluent identification can be  
531 attributed to prior exposure. It is therefore possible that the relations between priming and  
532 recognition that we find are accentuated by the CID-R task. However, there is evidence from  
533 similar studies that have used blocked designs, which demonstrate that the within-item  
534 recognition-priming measure associations of the kind observed in this study are not  
535 dependent upon the interleaved nature of the CID-R task (Ostergaard, 1998; Sheldon and  
536 Moscovitch, 2010) (see also discussion in Berry et al., 2012).

537 An important question is whether the SS model extends to other explicit tasks that are  
538 more reliant upon recollection (i.e., remembering prior context). Berry et al. (2012) found  
539 some evidence for this using a modified CID-R task with remember-know judgments  
540 (Tulving, 1985). Remember judgments are widely thought to measure a recollection memory  
541 process (Yonelinas, 2002). Berry et al. (2012) found that identification RTs to items given  
542 remember judgments were faster than for those given know judgments (commonly thought to  
543 measure a familiarity process), and this was predicted by the SS model. In future research it  
544 will be important to determine if the model extends to other tasks that are reliant upon  
545 recollection such as source memory.

546 Finally, a remaining issue is whether the SS model can explain the opposite kind of  
547 dissociation to that reported in amnesia, namely, evidence of brain regions that support  
548 priming but not recognition. Although initial neuropsychological studies indicated that the  
549 right occipital lobe was such a region (e.g., Gabrieli et al., 1995), subsequent investigations  
550 have not corroborated this (Yonelinas et al., 2001; Kroll et al., 2003). Nevertheless, it is clear  
551 that regions outside the medial temporal lobe are involved in priming (and also recognition)  
552 (Schacter et al., 2007), and one avenue for future research will be to determine how the  
553 activity of different regions maps onto the single strength signal in the SS model.

554 To conclude, the results from amnesic patients supported the predictions of the SS  
555 model. Numerous results were inconsistent with the MS1 model; this suggests that  
556 recognition and priming are not driven by completely independent explicit and implicit  
557 memory signals. Like the SS model, the MS2 model could account for the data. The MS2  
558 model explains the deficits in recognition and priming in amnesia as reductions in the  
559 strength of both the explicit and implicit memory signals. There is also a substantial degree of  
560 association between the explicit and implicit memory strengths of a given item according to  
561 this model. The SS model, however, tended to be preferred according to model evidence

562 measures and could predict the majority of results in amnesia in advance. Thus, the SS model  
563 appears to provide the most parsimonious account for the pattern of recognition and priming  
564 in amnesia found in this study.

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689

690 **Figure Legends**

691 **Figure 1.** Example of a fragmented stimulus used in the identification portion of a CID-R

692 trial at test. An object was initially presented at a highly fragmented level (level 1).

693 Participants were instructed to try to identify the item at the most fragmented level they could.

694 If the item could not be identified, a button press revealed a less fragmented version of the

695 object (up to level 10).

696

697 **Figure 2.** Model representations and Predictions 2 and 3. The top panels illustrate the

698 relationship between the ID (identification level) and  $J_r$  variables in the models. The ellipses

699 represent bivariate normal distributions of each class of item (old or new), cut horizontally

700 and centred on a point that represents the mean  $J_r$  and ID for that class of item. Prediction 2

701 concerns whether ID levels are facilitated for items judged old within new and old items, that

702 is, whether the mean ID of false alarms is less than that of correct rejections (i.e., CR – FA),

703 and whether the mean ID of hits is less than of misses (i.e., MISS – HIT), where a correct

704 rejection is a “new” judgment to a new item, a false alarm is an “old” judgment to a new item,

705 a miss is a “new” judgment to an old item, and a hit is an “old” judgment to an old item.

706 Prediction 3 concerns whether the priming effect overall (across all items) is greater than the

707 priming effect for items judged new. Priming is calculated as mean ID(new items) – mean

708 ID(old items); priming for items judged new is calculated as mean ID(CR) – mean ID(FA).

709 The SS model predicts positive differences between ID(CR) – ID(MISS), ID(MISS) –

710 ID(HIT), and Priming – Priming items judged new. The MS1 model predicts no differences.

711 The MS2 model predicts positive differences when the explicit and implicit strengths of an

712 item are positively correlated (i.e.,  $w > 0$ ), and predicts no differences when there is no

713 correlation (i.e.,  $w = 0$ ).

714

715 **Figure 3.** Recognition and priming task performance. (a). Proportion of hit and false alarm  
716 responses in the KOR and CON groups. (b). Fragment identification performance according  
717 to whether the object at test is actually new or old, or judged new or old. (c). Fragment  
718 identification performance classified according to the recognition response (correct rejection  
719 [CR], miss, false alarm [FA], hit) in the KOR and CON groups. Bars indicate experimental  
720 data (error bars indicate 95% confidence intervals of the mean). Symbols indicate the  
721 expected result from each model when fit to data aggregated across individuals ((a) and (b))  
722 (because the data in these figures are derived from all of the participants), or the mean  
723 expected result from each model when fit to each individual's data (c) (because the data in  
724 these figures are derived from the subset of participants with responses in all four recognition  
725 categories). In panel (c), the letters represent the individuals in each group. SS = single-  
726 system model; MS1 = multiple-systems-1 model; MS2 = multiple-systems-2 model.

727

728 **Figure 4.** Model prediction results. (a). Recognition discrimination ( $P_r$ : proportion of hits  
729 minus proportion of false alarms) and priming (i.e., fragment identification advantage for old  
730 objects) for the KOR and CON groups. Fluency effects (i.e., fragment identification  
731 advantage for objects *judged* old) across all items are also presented. Prediction 1 of the SS  
732 model is confirmed by lower recognition and priming in the KOR group than the CON group.  
733 (b). Differences in the ID level for items judged old versus judged new within new and old  
734 item types, and differences in the priming effect (overall) and the priming effect of items  
735 judged new. Predictions 2 and 3 of the SS model are confirmed in the KOR group. Bars  
736 indicate experimental data (error bars indicate 95% confidence intervals of the mean).  
737 Symbols indicate the expected result from each model when fit to data aggregated across  
738 individuals (row a) (because the data in this row are derived from all of the participants), or  
739 the mean expected result from each model when fit to each individual's data (row b) (because

740 the data in this row are derived from the subset of participants with responses in all four  
741 recognition categories). SS = single-system model; MS1 = multiple-systems-1 model; MS2 =  
742 multiple-systems-2 model; KOR = Korsakoff group; CON = Control group.

743

744 **Figure 5.** Model selection results. Each bar represents the percentage of participants best fit  
745 by each model according to the Akaike Information Criterion (AIC) and the Bayesian  
746 Information Criterion (BIC) in the CON and KOR groups. The SS model was the best fitting  
747 model for the majority of participants, with the remainder being best fit by the MS1 model.

748

749 **Figure 6.** Best fitting models for each participant (according to the AIC; individual level fits).  
750 The best fitting models are plotted according to recognition ( $P_r$ ) and priming ( $M$   
751 identification new –  $M$  identification old) performance (row a) and the difference in ID levels  
752 for items judged old and new (i.e., fluency effects) within old and new items (row b). It is  
753 evident that the participants in the KOR group who were best fit by the MS1 model tended to  
754 show priming (or recognition) in the near-absence of recognition (or priming). The MS1  
755 model can reproduce such a pattern because the  $\mu_{r|old}$  and  $\mu_{p|old}$  parameters can vary  
756 independently of one another. In the CON group, there were also participants who were best  
757 fit by the MS1 model even though they showed relatively large positive recognition and  
758 priming effects. These participants tended to show an absence of fluency effects (or even a  
759 negative fluency effect) within old or new items (row b, right panel). Because  $f_p$  and  $f_r$  are  
760 uncorrelated in the MS1 model, it does not predict fluency effects within old/new items. Thus,  
761 the participants best fit by the MS1 model appeared to exhibit results that were consistent  
762 with its predictions. The letters A, B and C above the points in the KOR group label patients  
763 who showed priming effects despite performing very close to chance in recognition.

764

765 **Figure 7.** Performance of the KOR group patients A, B, and C (as labelled in Fig. 3c and 6).  
766 (a) Recognition. (b) Priming. (c) Differences in ID levels for items judged new and old within  
767 old and new items (i.e., fluency effects), and differences in the priming effect (overall) and  
768 the priming effect of items judged new (Predictions 2 and 3 of the SS model). Bars denote  
769 data, and symbols indicate the expected result from each model when fit to the data from  
770 each individual. The dashed lines in (a) and (b) indicate the lower 95% confidence interval  
771 for the mean recognition and priming performance, respectively, in the CON group (from Fig.  
772 4). SS = single-system model; MS1 = multiple-systems-1 model; MS2 = multiple-systems-2  
773 model.

774

#### 775 **Table legends**

776 **Table 1.** Mean and standard deviation (in parentheses) of the model parameters. A value  
777 preceded by an equals sign indicates that the value was fixed, otherwise it was free to vary in  
778 fitting the data.

779 **Table 2.** Goodness of Fit Values for the Models. AIC = Akaike Information Criterion  
780 (Akaike, 1973), calculated as  $AIC = -2\ln(L) + 2P$ , where  $P = p \times z$  is the total number of free  
781 parameters for each fit,  $p$  is the number of free parameters for each model, and  $z$  is the  
782 (effective) number of participants modeled in each experiment; BIC = Bayesian Information  
783 Criterion (Schwarz, 1978), calculated as  $BIC = -2\ln(L) + P\ln(q)$ , where  $q$  is the number of  
784 observations;  $q(\text{Aggregated, KOR group}) = 1920$ ,  $q(\text{Aggregated, CON group}) = 1920$ ,  
785  $q(\text{Individual, KOR group}) = 1200$ ,  $q(\text{Individual, CON group}) = 1520$ . For the aggregate fits,  
786 data from all 24 participants are modeled as if from one participant (hence  $z = 1$ ). For the  
787 individual fits, it was not possible to model participants who had zero hit, miss, false alarm or  
788 correct rejection responses (hence  $z_s < 24$ ). A smaller AIC or BIC value indicates greater

789 support for a model. **BOLD** indicates that the model fit the data best according to the AIC  
790 measure.

**Figure 1**

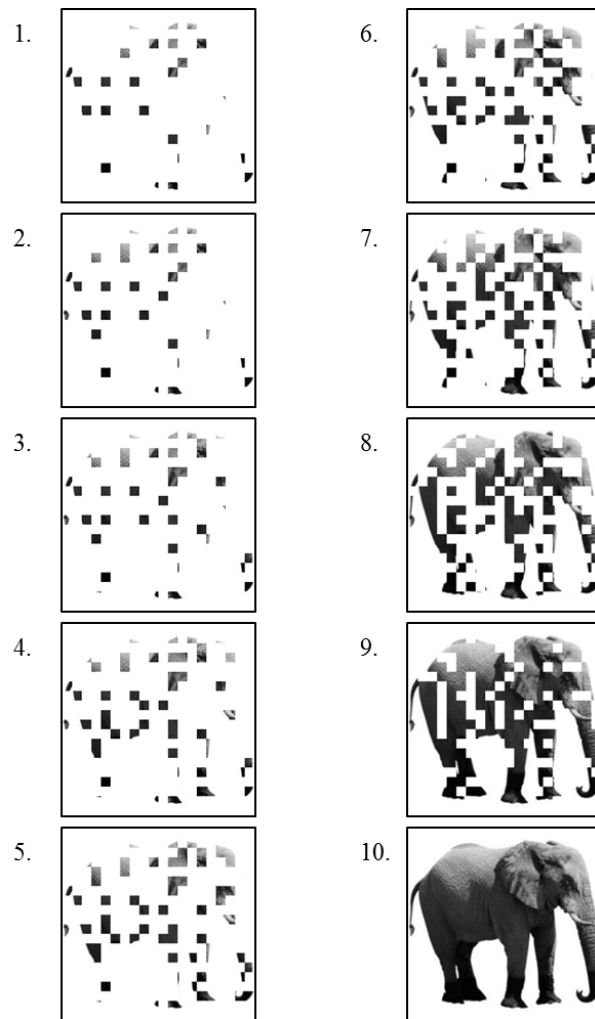
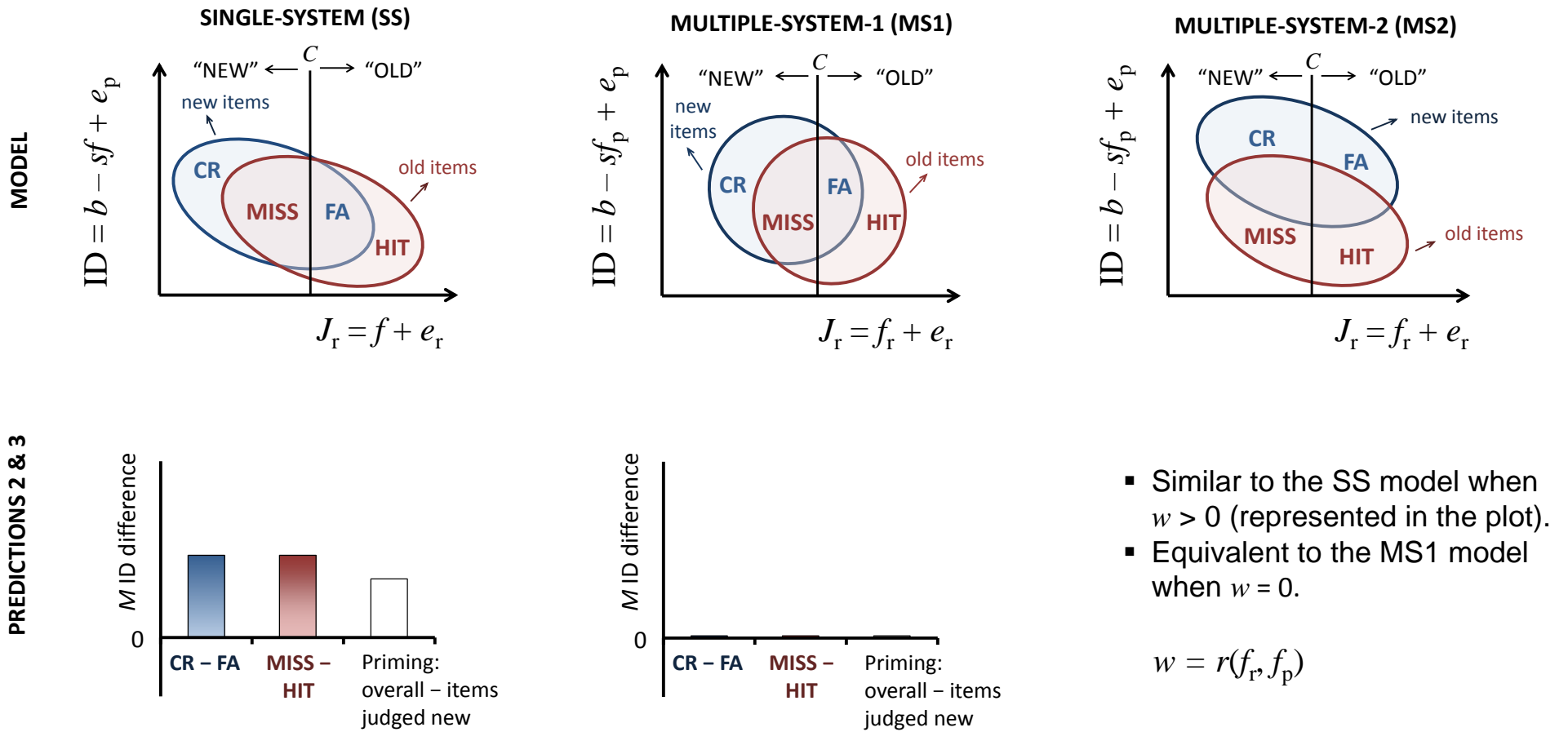
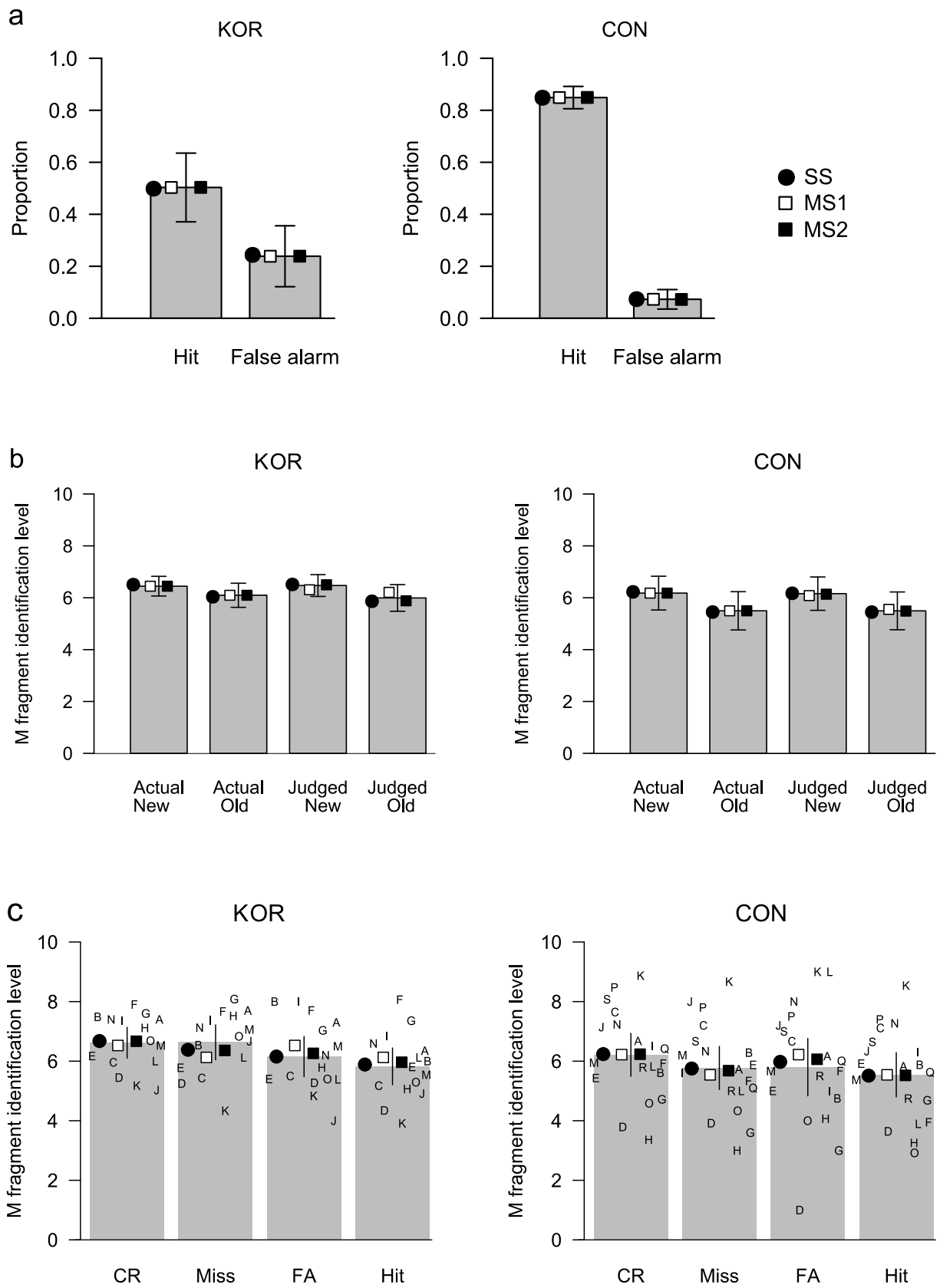


Figure 2

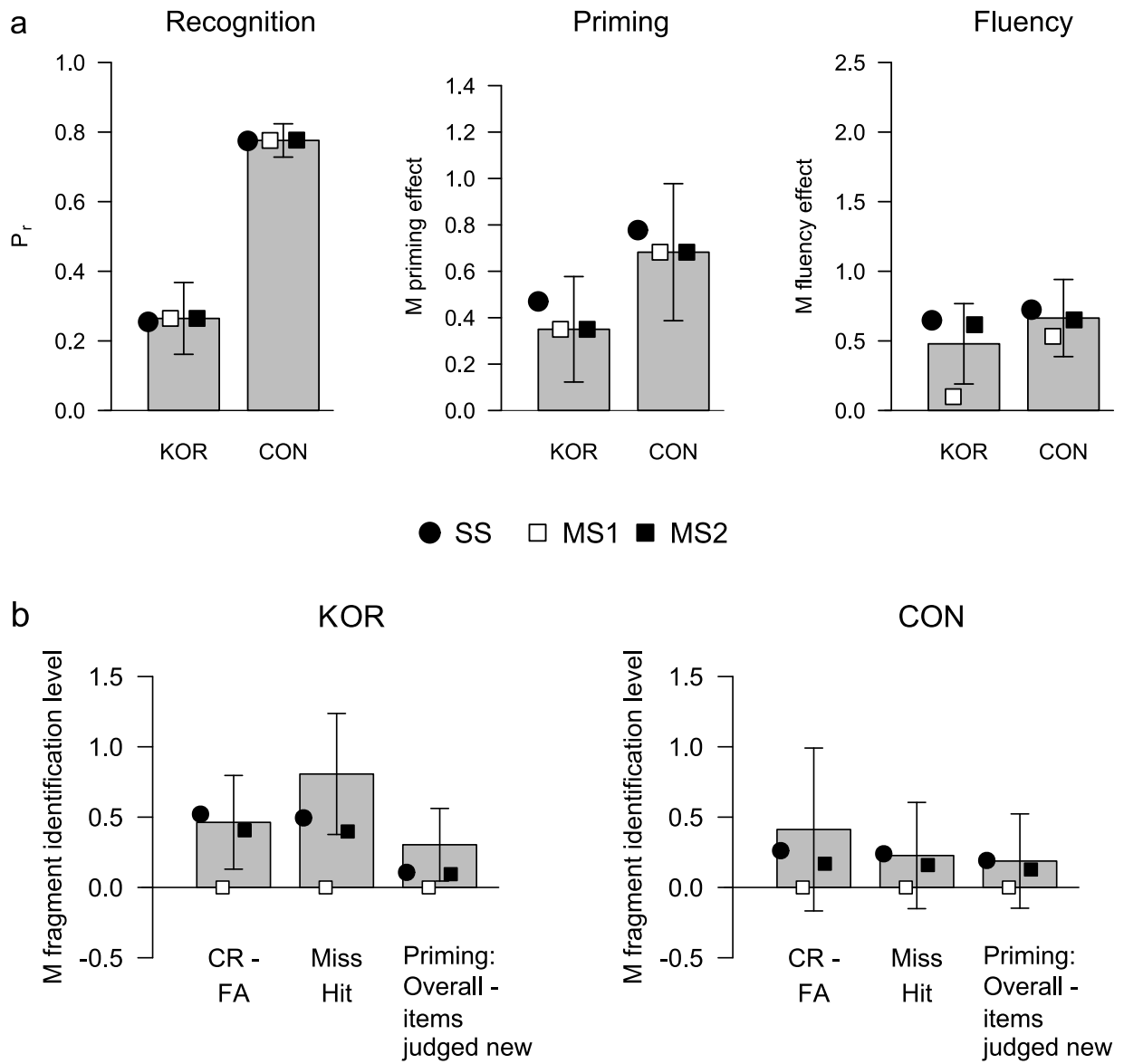




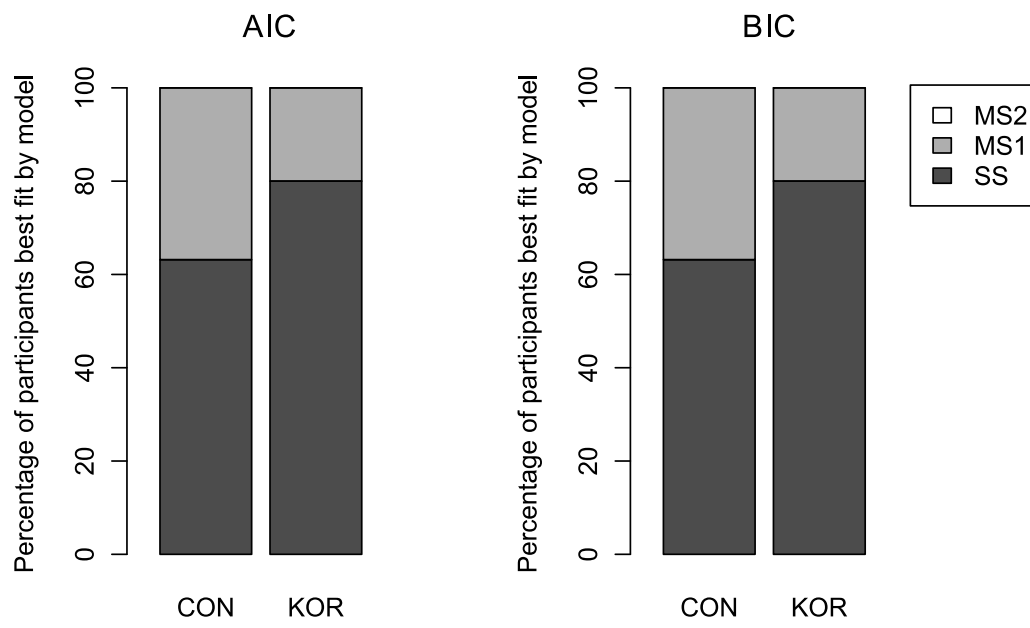
**Figure 3**



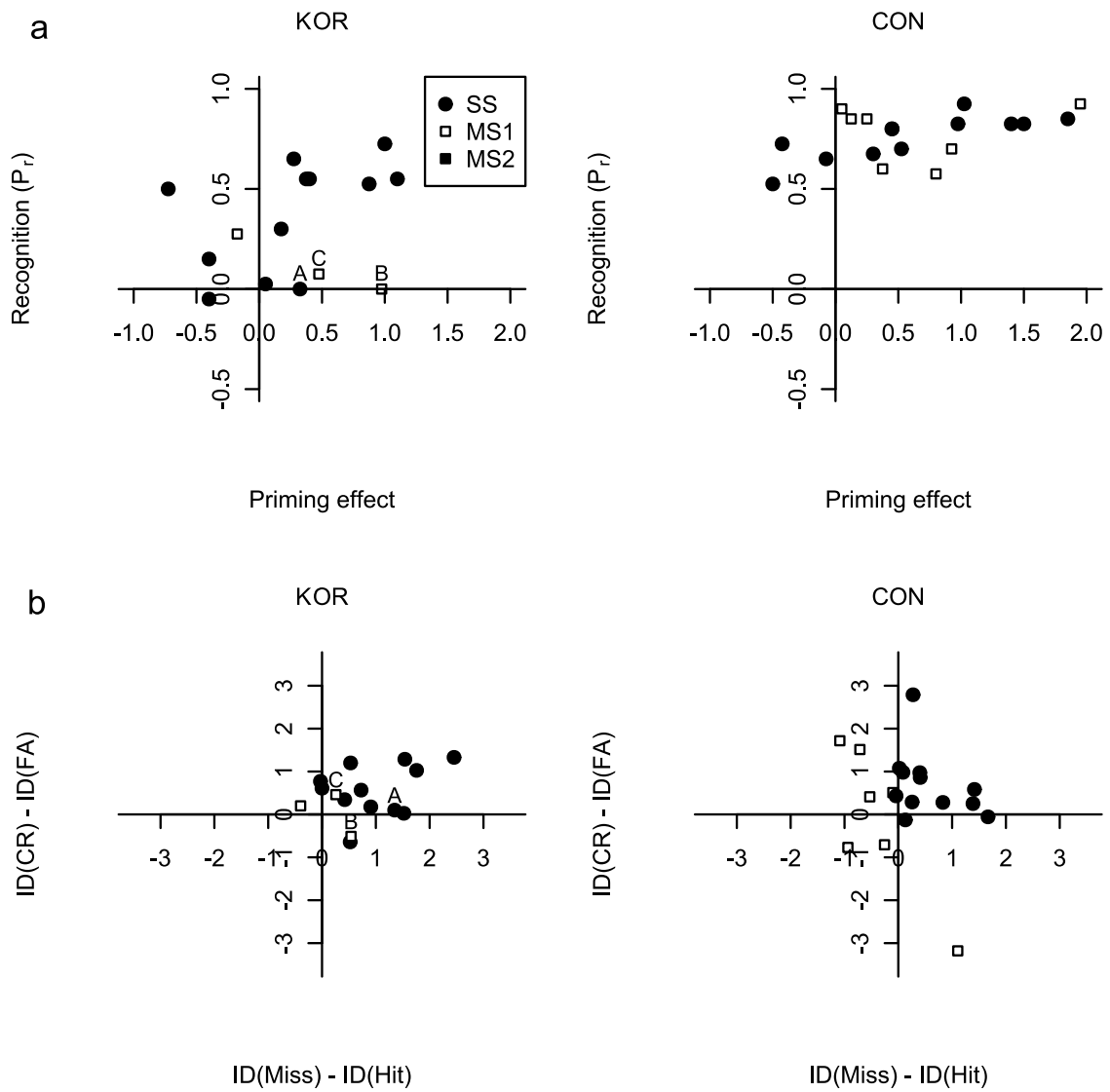
**Figure 4**



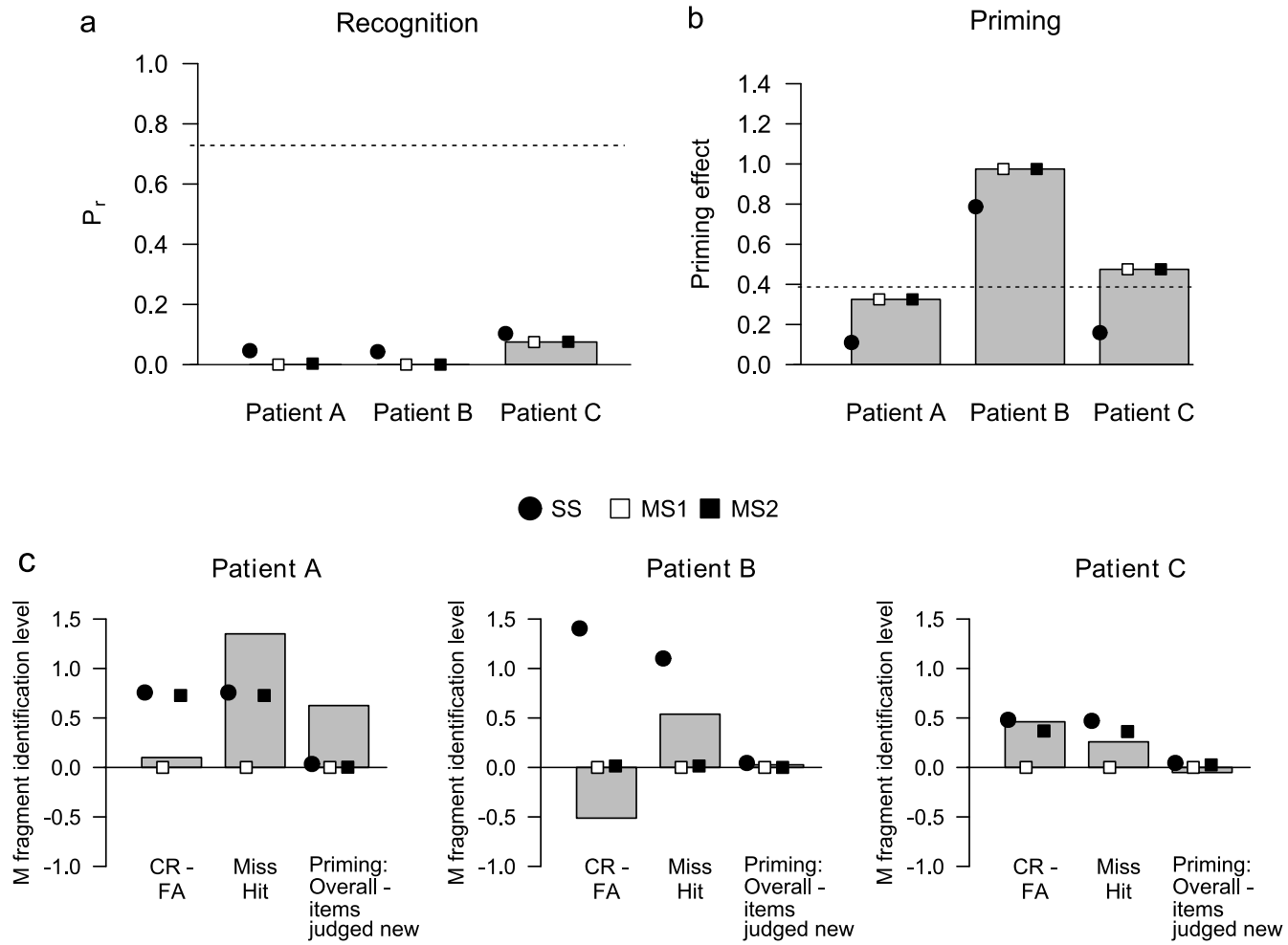
**Figure 5**



**Figure 6**



**Figure 7**



**Table 1***Mean and Standard Deviation (in Parenthesis) of the Model Parameters.*

Parameter	Meaning	Aggregate Fits						Individual Fits					
		SS		MS1		MS2		SS		MS1		MS2	
		KOR	CON	KOR	CON	KOR	CON	KOR	CON	KOR	CON	KOR	CON
$\mu_{r old}$	$M(f_{r old})$	0.69	2.48	0.72	2.49	0.72	2.49	1.06	2.66	1.01	2.66	1.01	2.66
								(0.76)	(0.57)	(0.83)	(0.57)	(0.83)	(0.57)
$\mu_{p old}$	$M(f_{p old})$	$=\mu_{r old}$	$=\mu_{r old}$	0.51	2.18	0.51	2.18	$=\mu_{r old}$	$=\mu_{r old}$	0.83	2.54	0.92	2.53
										(0.66)	(1.20)	(0.69)	(1.15)
$w$	$r(f_r, f_p)$	$= 1$	$= 1$	$= 0$	$= 0$	1.00	1.00	$= 1$	$= 1$	$= 0$	$= 0$	0.82	0.62
												(0.35)	(0.43)
$C$	Judgment criterion	0.69	1.45	0.71	1.45	0.71	1.46	0.80	1.55	0.77	1.55	0.77	1.55
								(0.83)	(0.43)	(0.78)	(0.43)	(0.78)	(0.43)
$b$	ID intercept	6.51	6.23	6.45	6.18	6.45	6.18	6.53	6.22	6.53	6.22	6.53	6.22
								(0.90)	(1.47)	(0.89)	(1.47)	(0.89)	(1.47)
$s$	ID slope	0.68	0.31	$= SS$	$= SS$	$= SS$	$= SS$	0.57	0.25	$= SS$	$= SS$	$= SS$	$= SS$
								(0.55)	(0.21)				
$\sigma_p$	$SD(e_p)$	1.88	2.36	1.89	2.36	1.88	2.36	1.59	1.73	1.59	1.73	1.58	1.72
								(0.32)	(0.38)	(0.32)	(0.38)	(0.32)	(0.38)
$\sigma_f$	$SD(f_r), SD(f_p)$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$	$=1/\sqrt{2}$
$\sigma_r$	$SD(e_r)$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$	$= \sigma_f$
$M(e_p)$	$M$ priming task noise	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$
$M(e_r)$	$M$ recognition task noise	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$
$\mu_{r new}$	$M(f_{r new})$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$	$= 0$
$\mu_{p new}$	$M(f_{p new})$	$=\mu_{r new}$	$=\mu_{r new}$	$= 0$	$= 0$	$= 0$	$= 0$	$=\mu_{r new}$	$=\mu_{r new}$	$= 0$	$= 0$	$= 0$	$= 0$

**Table 2***Goodness of Fit Values for the Models.*

Data Fit	Group	$p$	$\ln(L)$	SS		$p$	$\ln(L)$	MS1		$p$	$\ln(L)$	MS2	
				AIC	BIC			AIC	BIC			AIC	BIC
Aggregated													
	Korsakoff ( $z = 1$ )	5	-5172.7	10355.4	10383.2	5	-5196.7	10403.4	10431.3	6	-5171.5	<b>10355.1</b>	10388.5
	Control ( $z = 1$ )	5	-5035.2	<b>10080.4</b>	10108.2	5	-5042.7	10095.4	10123.2	6	-5034.8	10081.6	10115.0
Individual													
	Korsakoff ( $z = 15$ )	5	-2925.5	<b>6001.1</b>	6382.8	5	-2943.3	6036.7	6418.4	6	-2922.1	6024.2	6482.3
	Control ( $z = 19$ )	5	-3444.8	<b>7079.6</b>	7585.6	5	-3446.2	7082.4	7588.4	6	-3443.2	7114.5	7721.7