

## Maximum sustainable yield estimation of shellfish fishery in Chinese marine waters by using surplus production modelling approach

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*Received 20 January 2017; revised 17 February 2017*

In this study, maximum sustainable yield (MSY) of shellfish fishery from Chinese marine waters is estimated through two stock assessment softwares i.e. ASPIC (a surplus production model incorporating covariates) and CEDA (catch and effort data analysis). The initial catch was approximately 90%; hence, the MSY was estimated with initial proportion (IP) of 0.9 in both softwares. In ASPIC for this IP value, estimated MSY and goodness of fit ( $R^2$ ) parameters for FM were observed as 336100 t and 0.857 while for LM their estimated values remained as 316600 t and 0.856, correspondingly. In CEDA for IP value 0.9, SM and PTM estimated same MSY 213957 t, 322791 t and 266213 t for all the three error assumptions. FM for log and log normal error assumptions estimated MSY as 249382 t and 338355 t and  $R^2 = 0.756$  and  $0.786$ , respectively. However, gamma error assumption produced minimization failure (MF).

**[Keywords:** Stock assessment; Fishery management; Shellfish; Surplus production models; China]

### Introduction

China is the world's largest seafood production market. It is considered that it will become a largest seafood import market also within a decade with exports earnings of approximately \$15.5 billion. The rapid growth of the middle and high-income consumers has a strong influence on the consumption of more premium imported fresh and live shellfish<sup>1</sup>. Consequently, shellfish fishery including scallop, oysters, abalones, mussels, etc. became one of the most significant fishery resources landed on the harbour stations along the entire coastline of China.

Stock assessment based scientific advice is the key factor in the management of many of the world's marine fish and invertebrate stocks<sup>2</sup>. Stock assessment is usually used for one of the two reasons: first as a research tool to evaluate fundamental fishery/biological relationships in a population model context, and second to give management advice and approximate quantities, of management interests, in the form of reference points. Management actions will take on the basis of these estimates which are frequently used as the source for applying harvest control rules or evaluating anticipated regulatory measures i.e. total allowable catches or limits on fishing effort<sup>3</sup>. Consequently, the most important intention is to use stock assessment for aquatic

resources is to give significant biological suggestions for the wise use of the living aquatic resources for a long period.

Surplus production models (SPMs) are famous and conventional tools often employed in fishery stock assessment. In contrast to catch statistics, catch per unit effort (CPUE) can also be employed to calculate various parameters. The fishery stock status can also be accessed by the use of the commercial as well as survey catch statistics or CPUE data<sup>4</sup>.

Previous forms of SPMs assumed that the fishery stocks are at an equilibrium state, which seldom occurs in natural fish populations<sup>5</sup>. On the other hand, recent versions of SPMs assume fishery stock in non-equilibrium state. These models are comparatively complicated to deduce because they often use non-linear regression. Currently, a variety of computer packages i.e. a stock production model incorporating covariate (ASPIC) and catch and effort data analysis (CEDA), have been developed which have the capacity to evaluate dynamics of the exploited fishery stock. A plethora of published literature reveals that SPMs are very vital tools in fishery stock assessment and have been used globally in fishery management<sup>6-11</sup>.

China is a major contributor in global fish production. However, if over-exploitation of any

fishery resource will occur in Chinese marine water then it will definitely have very deleterious effect on global fish production. Although, various managerial steps has been taken previously to control over-exploitation of shellfish fishery stock in Chinese marine waters. Nevertheless, unfortunately there is no concept regarding specific catchable value i.e. MSY. Hence, this research article is the first reporting about the fishery stock of Shellfish by using catch and effort data and estimating catchable value (MSY). It is expected that this finding will help to understand population dynamics of this fishery stock and help in making better fishery policies.

**Materials and Methods**

The stock status of shellfish fishery was evaluated by analysing the available CE data of twelve years, 2003-2014, from Chinese marine waters. CE data was procured from published Chinese fishery yearbooks. Acquisition of data and their scientific treatment requires consent of the publishing authority.

SPMs are used to analyse the procured time series data of catch in tons (t) and effort in the form of no. of fishermen. Two stock assessment softwares i.e. CEDA<sup>4</sup> and ASPIC<sup>12</sup> downloaded from MRAG website and NOAA Fisheries Toolbox in that order were used for this purpose. We used nominal CPUE for fish stock assessment as per described by Hoggarth et al. (2006) in this regard. These SPMs are often called biomass dynamic models, which have three different versions on the names of three different scientist Fox, Schaefer and Pella-Tomlinson. SPMs are depended on few assumptions. The SM (1954) is most commonly used model and based on logistic population growth model.

$$\frac{dB}{dt} = rB(B_{\infty} - B) \quad 13$$

While, Fox and Pella-Tomlinson models are built on Gompertz growth equation and generalized production equation respectively.

$$\frac{dB}{dt} = rB(\ln B_{\infty} - \ln B) \quad 14 \qquad \frac{dB}{dt} = rB(B_{\infty}^{n-1} - B^{n-1}) \quad 15$$

where, *B* is fish stock biomass, *n* represents shape parameter, *t* shows the time (year), *B*<sub>∞</sub> is carrying capacity (*K*) and *r* stands for the intrinsic rate of population growth.

ASPIC software also requires an input of IP. Conversely to CEDA, ASPIC requires individual IP

values. Two SPMs i.e. FM (a special case of GENFIT) and LM (also called Schaefer model) were employed by using this fishery software. ASPIC is also used to evaluate further various important parameters as MSY, *K*, *q*, *B*<sub>1</sub>/*K* (starting biomass over carrying capacity), *R*<sup>2</sup> (coefficient of determination), *F*<sub>MSY</sub> (fishing mortality rate at MSY), *B*<sub>MSY</sub> (stock biomass giving MSY).

For model selection, several factors were well thought-out as described by Hoggarth et al. (2006). Sensitivity analysis was performed by using IP values (Table 2 and Table 5). Computed results for various parameters were further considered along with *R*<sup>2</sup> values and visual inspection of the residual plots for model selection and comparison for depiction reliable results.

CEDA computer software has the capacity to compute customized parameters. It is a menu driven data fitting tool and requires a confidence interval of 95 percent through bootstrapping method. In addition, CEDA expects three error assumptions i.e. log, log-normal and gamma for all the SPMs i.e. FM, SM and PTM. It has very valuable tools having graphs and goodness of fit. This computer software needs an input of IP or *B*<sub>1</sub>/*K*. Initial catch value is divided by the maximum catch value present in the CE data series to calculate IP value. Moreover, fishery stock status is also assessed by using different IP values. When the input IP value is zero or one then CEDA evaluates parameters by considering fishery stock in virgin state or started from already over-exploited state in that order. Occasionally, initial biomass is set as *B*<sub>1</sub> = *C*<sub>1</sub> / (*qE*<sub>1</sub>). In this mathematical statement, *C*, *q* and *E* denote catch, catchability and fishing effort in that order but some tools also consider *B*<sub>1</sub> = *K*. Confidence intervals are used to compute CV. Similarly, CEDA is also used to compute various further vital parameters viz. MSY (maximum sustainable yield), *K* (carrying capacity), *q* (catchability coefficient), *r* (intrinsic growth rate), *R*<sub>yield</sub> (replacement yield) and final biomass.

**Results**

During the study period, total capture production of shellfish fishery in Chinese marine waters was observed as 8250928 t. Maximum, minimum and average capture production of shellfish was remained as 885209 t (2005), 551607 t (2014) and 687577 t year<sup>-1</sup> in that order. Highest and lowest values of CPUE (catch per unit effort) were observed during the

third and last three years of the study i.e. 0.125 (2005) and 0.071 (2012, 2013 and 2014) correspondingly (Table 1).

The average effort during the study period remained 14016402 no. of fishermen year<sup>-1</sup>. Calculated results by using CEDA and ASPIC were further analysed by allowing for four factors viz.

MSY,  $R^2$ , residual plots between observed, expected catches and CV. Calculated MSY values were compared with data values, and very large or small MSY values were not considered. Models were compared on the basis of  $R^2$  values and visual assessment of graphs. The higher is the value of  $R^2$  the better is the fitting of the model. Results with appropriate CV values were acknowledged.

Computed parameters for IP 0.9 by ASPIC are listed in Table 2. FM revealed better fit as its  $R^2$  value (0.857) was higher than the computed  $R^2$  value (0.856) for LM. For the SPMs i.e. FM and LM used in ASPIC, estimated MSY along with their CV values were remains as 336100 t (0.206) and 315600 t (0.196) correspondingly. Estimated  $K$ ,  $B_{MSY}$  (stock biomass giving MSY) and  $F_{MSY}$  (fishing mortality rate at MSY) observed as 11590000 t, 42660000 t, 0.0788 t and 11050000 t, 5524000 t, 0.05714 t for FM and LM in that order.

Table 3 shows various parameters estimated for IP 0.1 – 0.9. Similar to CEDA, ASPIC also revealed sensitivity to IP values as it evaluated various output

Table 1 — Time series catch and effort data (2003-2014) of Shellfish fishery in Chinese marine waters

Year	Catch	Fishermen	CPUE
2003	806163	7007564	0.115
2004	846868	7109179	0.119
2005	885209	7100086	0.125
2006	770937	7054950	0.109
2007	743617	7553430	0.098
2008	643759	8474790	0.076
2009	669742	7577467	0.088
2010	622104	7641119	0.081
2011	584078	7983867	0.073
2012	563422	7903564	0.071
2013	563422	7886147	0.071
2014	551607	7814451	0.071

Table 2 — Various parameters computed by using ASPIC software for Shellfish fishery in Chinese marine waters (IP = 0.9)

Model	$B_1/K$ (IP)	MSY	$R^2$	CV	$F_{MSY}$	$B_{MSY}$	$K$	$q$
Fox	0.9	336100	0.857	0.206	0.0788	42660000	11590000	1.26E-08
Logistic	0.9	315600	0.856	0.196	0.0571	55240000	11050000	1.32E-08

Note: MSY: maximum sustainable yield;  $R^2$ : coefficient of determination and biomass; CV: coefficient of variation;  $F/F_{MSY}$ : ratio of fishing mortality to fishing mortality rate at MSY;  $B/B_{MSY}$ : ratio of biomass to biomass giving MSY;  $K$ : carrying capacity;  $q$ : catchability coefficient

Table 3 — ASPIC results for Shellfish fishery in Chinese marine waters by using ASPIC software (IP = 0.1-0.9)

Model	$B_1/K$ (IP)	MSY	$R^2$	CV	$F_{MSY}$	$B_{MSY}$	$K$	$q$
Fox	0.1	1252000	0.867	0.019	0.232	5404000	14690000	8.86E-08
	0.2	770700	0.860	0.056	0.143	5392000	14660000	4.48E-08
	0.3	591700	0.858	0.084	0.117	5057000	13750000	3.19E-08
	0.4	492300	0.858	0.099	0.101	4899000	13320000	2.46E-08
	0.5	439400	0.858	0.134	0.096	4596000	12490000	2.11E-08
	0.6	399200	0.857	0.139	0.089	4476000	12170000	1.80E-08
	0.7	372300	0.857	0.163	0.085	4363000	11860000	1.58E-08
	0.8	349400	0.857	0.233	0.081	4324000	11750000	1.40E-08
	0.9	336100	0.857	0.206	0.079	4266000	11590000	1.26E-08
Logistic	0.1	2277000	0.889	0.000	0.514	4428000	8855000	1.31E-07
	0.2	1281000	0.899	0.000	0.600	2134000	4268000	1.40E-07
	0.3	970900	0.884	0.002	0.652	1489000	2977000	1.41E-07
	0.4	773100	0.858	0.038	0.322	2398000	4797000	6.85E-08
	0.5	607300	0.856	0.081	0.167	3629000	7258000	3.62E-08
	0.6	496200	0.856	0.101	0.112	4421000	8842000	2.47E-08
	0.7	417600	0.856	0.158	0.085	4942000	9883000	1.89E-08
	0.8	360300	0.856	0.154	0.068	5279000	10560000	1.55E-08
	0.9	315600	0.856	0.196	0.057	5524000	11050000	1.32E-08

parameter values for different IP input. ASPIC computed larger MSY for smaller IP value and vice versa. However, parameters evaluated by this software did not show higher difference as compared to CEDA. Calculated MSY, for example, by ASPIC ranged in 31500 t – 2300000 t while for CEDA its estimated range was 210000 t – 8200000 t. It shows even though ASPIC is sensitive to IP values but its sensitivity is less than CEDA. In converse to CEDA, ASPIC models revealed higher  $R^2$  values representing better fitting of the data.

Computed fishing mortality ( $F$ ) and biomass ( $B$ ) values of shellfish by using ASPIC are listed in Table 4. Figures obtained point out that  $F$  has shown rising trend with the passage of time whereas  $B$  is declining.  $F/F_{MSY}$  is increased and  $B/B_{MSY}$  is decreased during the course of study period. Both,  $F/F_{MSY}$  and  $B/B_{MSY}$  designate overexploitation of this fishery stock.

CEDA revealed sensitivity towards the input IP values as it created various output MSY values for different IP inputs (Table 5). Gamma error

Table 4 — ASPIC software estimates of Fishing mortality ( $F$ ) and Biomass ( $B$ ) (IP = 0.9) (2003-2014)

Year	Model							
	Fox				Logistic			
	$F$	$B$	$F/F_{MSY}$	$B/B_{MSY}$	$F$	$B$	$F/F_{MSY}$	$B/B_{MSY}$
2003	0.080	10420000	1.017	2.442	0.084	9966000	1.466	1.804
2004	0.090	9723000	1.147	2.279	0.094	9302000	1.653	1.684
2005	0.102	9032000	1.294	2.117	0.106	8649000	1.863	1.566
2006	0.096	8344000	1.213	1.956	0.100	7999000	1.743	1.448
2007	0.098	7803000	1.249	1.829	0.102	7493000	1.793	1.356
2008	0.090	7314000	1.147	1.715	0.094	7033000	1.643	1.273
2009	0.099	6943000	1.259	1.628	0.103	6686000	1.803	1.210
2010	0.097	6561000	1.234	1.538	0.101	6321000	1.767	1.144
2011	0.096	6239000	1.215	1.463	0.099	6010000	1.741	1.088
2012	0.097	5964000	1.225	1.398	0.100	5739000	1.757	1.039
2013	0.101	5717000	1.278	1.340	0.105	5490000	1.838	0.994
2014	0.103	5476000	1.306	1.284	0.108	5241000	1.886	0.949

Note:  $F$ : fishing mortality;  $B$ : biomass;  $F/F_{MSY}$ : ratio of fishing mortality to fishing mortality rate at MSY;  $B/B_{MSY}$ : ratio of biomass to biomass giving MSY

Table 5 — CEDA results for Shellfish fishery in Chinese marine waters by using ASPIC software (IP = 0.1-0.9)

IP	Model								
	Schaefer			Pella-Tomlinson			Fox		
	Normal	Log normal	Gamma	Normal	Log normal	Gamma	Normal	Log normal	Gamma
0.1	MF	2257996	8173947	MF	2257996	8173947	1294272	1294244	1206904
	0.000	0.000	0.158	0.000	0.000	2.979	0.001	0.000	0.021
0.2	MF	1258573	MF	MF	1258573	MF	721928	642682	895437
	0.000	0.000	0.000	0.000	0.000	0.000	0.100	0.025	0.000
0.3	MF	942237	MF	MF	942237	MF	532306	505098	565486
	0.000	0.000	0.000	0.000	0.000	0.000	0.166	0.054	0.134
0.4	723751	734022	MF	723751	734022	MF	431740	470923	468641
	0.053	0.003	0.000	0.052	0.003	0.000	0.218	0.066	0.157
0.5	524953	608293	566261	524953	608293	566261	368477	415531	408399
	0.184	0.013	0.137	0.175	0.016	0.132	0.261	0.112	0.205
0.6	399923	531054	MF	399923	531054	MF	324847	416507	MF
	0.288	0.036	0.000	0.303	0.026	0.000	0.347	0.117	MF
0.7	316791	378250	369144	316791	378250	369144	293600	410868	MF
	0.405	0.160	0.282	0.392	0.149	0.283	0.433	0.148	MF
0.8	257831	364424	MF	257831	364424	MF	2687701	413041	314823
	0.521	0.212	0.000	0.528	0.170	0.000	0.498	0.161	0.372
0.9	213957	322791	266213	213957	322791	266213	249382	338355	MF
	0.687	0.287	0.453	0.685	0.267	0.482	0.626	0.270	MF

CV: coefficient of variation is written below MSY values; MF: represents minimization failure



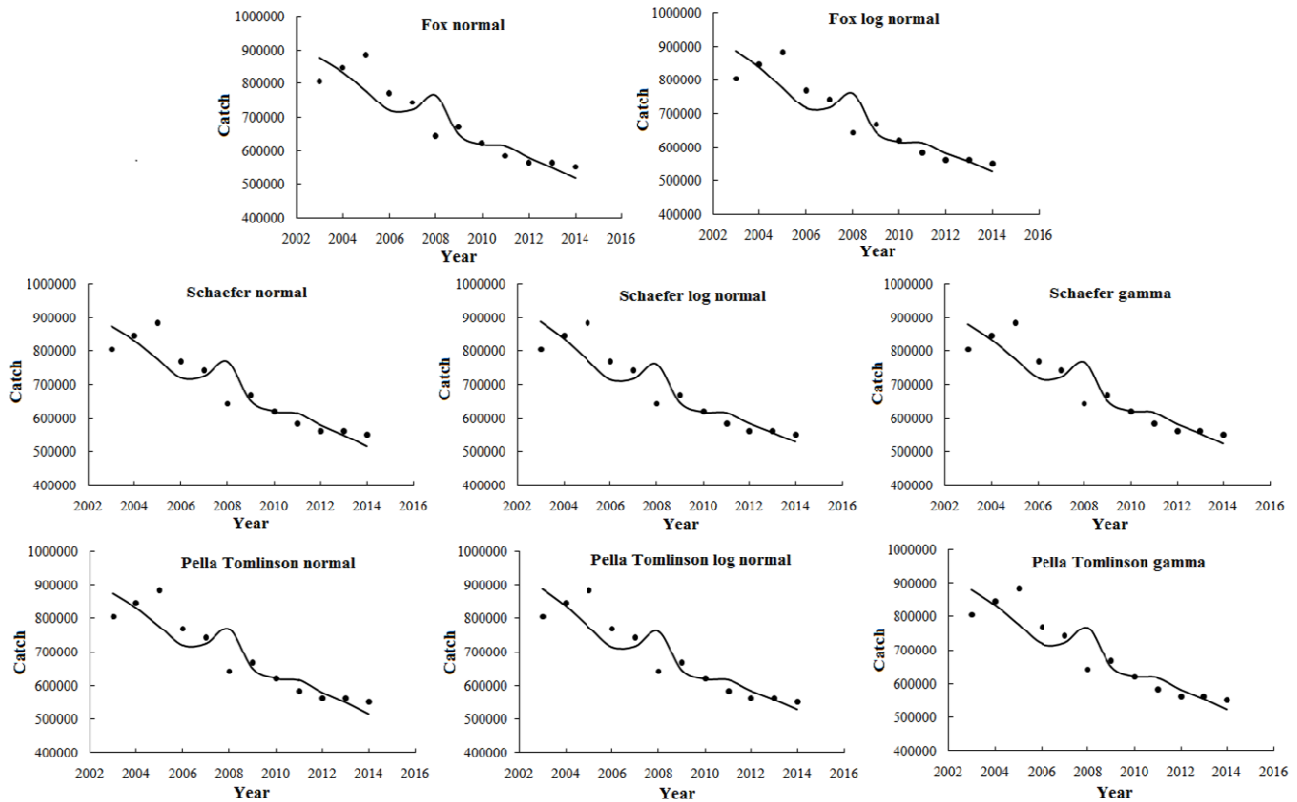


Fig. 1 — Annual observed (dots) and estimated (lines) catches (metric tons) for shellfish fishery by using CEDA computer package in Chinese marine waters

$F_{MSY}$ . In the same manner, current population size can also be used in estimation of the fish mortality ( $F_{MSY}$ ) and catchability co-efficient ( $q$ ).

Relatively simple statistical data of CE and CPUE can also be employed for indication of the status of fishery stock. For instance, if effort is increasing and catch is decreasing, this may indicate that fish stock is declining rapidly. Moreover, when effort maintains to a fix point i.e. not increasing or decreasing, but catch increase or decrease then it may be due to quantitative fluctuation in fishery stock. Additionally, when both effort and catch reveals rising trend, it may be predicted that fish stock is not disturbing because of over-fishing<sup>4</sup>.

Statistical calculations, which are the fundamentals of SPMs, are computed by definite assumptions. However, various assumptions are not found in nature. Various SPMs, for instance, assume that there is no inter or intra specific interactions in natural environment. Similarly, SPMs also perceived that there is no emigration or immigration in fish stock. These both are completely wrong perceptions concerning natural environment<sup>4</sup>.

Another disadvantage of these models is their incompetence towards age structure data. These models also do not consider time delays between recruitment and reproduction. Moreover, uncertainty is also associated with estimation of MSY. Generally, it is also implicated that all the gathered CE data is true, fishing and mortality increase and decrease all together, age composition do not affect the  $r$ , gear and vessels always remain equally efficient and catchability coefficient remains constant<sup>24</sup>.

Nevertheless, all of these uncertainties and deviations from assumptions, the scientific approach of these models is not neglected. Instead, considerable use of these models make them powerful tool for assessment the stock status of important fishery resources<sup>25</sup>.

Fishery management is principally an integrated process, which engages data collection, analysis, explanation of results, consultation, and planning and decision making<sup>26</sup> involving stakeholders<sup>27</sup>. RPs are frequently used to set administration objectives and track fishery status in the science of fishery management. The different RPs for fish stock can be estimated after obtaining best-fit data, which is

accessed by using model parameters<sup>4</sup>. Idea of RPs was first described in 1992 however; they are a part of the FAO Code of Conduct for Responsible Fisheries recently<sup>28</sup>. These RPs are usually classified into two types: TRPs (target reference points) and LRP (limit reference points). As the name reveals that TRPs are the desirable fishery points while, LRP are undesirable fishery points, which must be avoided, or if not the fish stock shall deteriorate<sup>29-30</sup>. RPs assist as an indicator by providing definite values and guide fishery managers in suitable management e.g. when  $F_{MSY}$  below  $B_{MSY}$  limit then fishing will continue. On the other hand, when it is above  $B_{MSY}$  fishing may be stopped. This simple decision making rule is known as “pulse fishing” in fishery management science<sup>45</sup>.

Three RPs i.e. MSY,  $F_{MSY}$  and  $B_{MSY}$  are commonly used to estimate highest possible fish catch hypothetically as the purpose of fishery management. The concept of MSY was pioneered in 1992 for the first time which later was built-in the UN Convention on the Law of the sea.

Estimated MSY through SPMs points out fishery stock states. Thus, MSY is preferred among these three RPs due to its protuberance in fisheries studies. For example, when estimated MSY and catch values are the same then fishery stock is generally thought in equilibrium state. On the other hand, when computed MSY is higher than catch statistics then there is a potential of more fishing up to estimated MSY and fishery resource is in thriving state. In contrast, if computed MSY is lower than catch data then fishery stock is in overexploited state and must be checked to save from harm for future<sup>4</sup>.

UN Fish Stock Agreement defined MSY as  $F_{MSY}$  and  $B_{MSY}$  in 1995. According to Gabriel and Mace (1999)<sup>31</sup>  $F_{MSY}$  is upper bound for LRP while according to Hoggarth et al. (2006)<sup>4</sup>  $F_{MSY}$  should be in use as lower bound of LRP. Therefore, TRPs for MSY should be documented very carefully and should be kept below MSY level. Fishery stock shall continuously decline in future, if the MSY is overrated. Nevertheless, underestimated MSY will result in economic losses. Consequently, it should be noted that RPs are indicators and does not demonstrate invariable quantities. Instead, they should be well thought-out as overfishing alarms but their recommendations does not allow constant yield<sup>32</sup>.

## Conclusion

The computed value of MSY, IP 0.9, ranged as 210000 t – 8200000 t and 31500 t – 2300000 t for

CEDA and ASPIC in that order. Thus, CEDA seems to be more conventional in terms of MSY calculation as compared to ASPIC. The results of CEDA are more trustworthy because of higher values of  $R^2$ . Since the estimated MSY range by Fox and Logistic models overlaps thus by in view of the results of both the software and applying pulse fishing rule we propose that in Chinese marine waters, the MSY TRP range of shellfish is as 300000 t – 350000 t.

Capture production of 400000 t or more must be measured as a LRP. By comparing computed MSY values with recorded data (Table 1) and considering  $F/F_{MSY}$  and  $B/B_{MSY}$ , it can be illustrated that this fishery resource has consistently been overexploited in the past. Shellfish stock is shrinking with the passage of time due to overfishing.

## Acknowledgement

Authors are grateful to SOA (State Oceanic Administration) for providing us the numerical data used in this study.

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