

1 **FastqCleaner: an interactive Bioconductor application for quality-**

2 **control, filtering and trimming of FASTQ files**

3
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5 6 **Abstract**

7 **Background**

8 Exploration and processing of FASTQ files are the first steps in state-of-the-art data analysis workflows
9 of Next Generation Sequencing (NGS) platforms. The large amount of data generated by these
10 technologies has put a challenge in terms of rapid analysis and visualization of sequencing information.
11 Recent integration of the R data analysis platform with web visual frameworks has stimulated the
12 development of user-friendly, powerful, and dynamic NGS data analysis applications.

13 **Results**

14 This paper presents *FastqCleaner*, a Bioconductor visual application for both quality-control (QC) and
15 pre-processing of FASTQ files. The interface shows diagnostic information for the input and output
16 data and allows to select a series of filtering and trimming operations in an interactive framework.
17 *FastqCleaner* combines the technology of Bioconductor for NGS data analysis with the data
18 visualization advantages of a web environment.

19 **Conclusions**

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20 *FastqCleaner* is an user-friendly, offline-capable tool that enables access to advanced Bioconductor
21 infrastructure. The novel concept of a Bioconductor interactive application that can be used without the
22 need for programming skills, makes *FastqCleaner* a valuable resource for NGS data analysis.

23

24 **Keywords**

25 Bioconductor, FASTQ, Next generation sequencing, R, Shiny, User-friendly tool, Visualization, Web
26 app

27

28 **Background**

29 The advent of Next Generation Sequencing (NGS) technologies has revolutionized genomics,
30 transcriptomics and epigenomics research [1, 2]. The large amount of genetic information produced by
31 these instruments requires suitable data handling and exploration methods. For most common
32 platforms, FASTQ files are the raw starting material for subsequent analyses. A portion of the reads can
33 include adapters or contaminants, the quality of the sequences becomes generally lower towards the
34 end of the reads, and ambiguous base calls may be present. The correction of these and other artifacts
35 are important steps that should be performed before using sequencing reads for mapping or assembly.

36 Bioconductor [3] is a widely used repository based on the R [4] programming language,
37 containing tools devoted to the analysis of high-throughput genomic data. The massive use of these
38 tools is, however, limited by the learning curve that users need to go through to work with customized
39 code routines. Recently, R integration with web tools, in particular JavaScript APIs, has dramatically
40 increased the potential of R to produce more interactive and dynamic experiences of data analysis. This
41 integration is promissory to promote the adoption of R by many researchers for whom learning a
42 programming language has proven to be a prohibitive investment of time and effort.

43 Here we present *FastqCleaner*, an R package with an offline-capable web application for QC,
44 trimming and filtering of FASTQ files. The tool combines Bioconductor libraries for data analysis and
45 the dynamism of a web application for data visualization.

46

47 **Implementation**

48 **Application overview**

49 *FastqCleaner* offers the following features:

- 50 1) Implementation of a local, offline-capable and user-friendly web interface
- 51 2) Processing of Single-Read (SR) and Paired-End (PE) files
- 52 3) Dynamic analysis of the input and output files, for customizable sampling size of reads
- 53 4) Interactive, dynamical exploration and visualization of the data, using cutting-edge technology based
54 on JavaScript and CSS3
- 55 5) Cross-platform (running in Linux, Mac-OSX and Windows)
- 56 6) Open source, under GNU GPL (≥ 2) license

57

58 **Program architecture**

59 *FastqCleaner* was developed in R and is distributed as an R package. Data processing is controlled via
60 R functions, that can be also accessed as normal functions from the R console (Additional file 1). These
61 programs make extensive use of the Bioconductor packages *IRanges* [5], *Biostrings* [6] and *ShortRead*
62 [7]. For speed improvement of the routines, C++ code was implemented in R using the *Rcpp* API [8].
63 The web interface included in the package was developed with *Shiny* [9], using JavaScript code written
64 via the *jQuery* API, and CSS3.

65

66 **Design**

67 *FastqCleaner* takes compressed or uncompressed SR or PE files as input (Fig. 1). It accepts files with
68 qualities in both Phred+33 and Phred+64 encoding, detecting Sanger, Solexa and Illumina 1.3+, 1.5+,
69 and > 1.8+ formats. Input files can be processed through a set of independent filters based on either one
70 of the following two principles: 1) *Remotion of a subset of reads that do not meet a given criterion*.
71 This group of filters can remove: a) reads with unknown bases (Ns), b) low complexity sequences, c)
72 duplicated reads, d) reads with length below a threshold quality value, and e) reads with an average
73 quality below a threshold value. 2) *Trimming of individual reads*. This group of filters can trim: a) full
74 and partial adapters, b) 5' regions below a predefined quality threshold, and c) 3' or 5' regions for a
75 fixed nucleotide length. The adapter trimming algorithm extends the methodology of the
76 *trimLRPatterns* function of *Biostrings*, designed to trim on the flanks of reads. For this purpose,
77 *FastqCleaner* includes the *adapter_filter* function, a wrapper of *Biostrings* matching tools. The
78 function is able to trim both adapters present on the flanks or within reads (Fig. 2). Several parameters
79 can be passed to modify the behavior of the tool. These parameters allow, for example, to select a
80 different threshold for the number of mismatches, to take into account the presence of indels, etc.

81 For SR files, *FastqCleaner* sequentially processes a block of reads and writes the resulting post-
82 processed block into the corresponding output file. For PE files, the program uses in each cycle a two-
83 step procedure: first, a block of forward and another of reverse reads are separately processed as in the
84 SR case, and then only those reads present in both post-processed blocks are written into the
85 corresponding output files.

86

87 **Availability**

88 The application and a tutorial are available in Bioconductor at

89 <http://bioconductor.org/packages/FastqCleaner/>

90

91 **Installation**

92 The application can be installed following the instructions detailed at <http://bioconductor.org/packages/>

93 *FastqCleaner*/

94

95 **Launching the application**

96 The application can be launched with the following commands in the R console:

```
97 > library("FastqCleaner")
```

```
98 > launch_fqc()
```

99

100 Optionally, when the application is used in RStudio (versions 0.99.878 or higher), a button that allows
101 the direct launch of the application with a single click can be found in the addins menu (Fig. 3).

102

103 **Results and Discussion**

104 The web interface with its three main tabs is described in Fig. 4. The first tab (Fig. 4A) shows the file-
105 selection menu, the available filters, and the run/reset buttons. The selection of files and filters
106 represents the starting point in the *FastqCleaner* workflow. The second tab (Fig. 4B) shows the
107 sequential operations performed on reads after processing. This information consists in the names of
108 the input and output files, and a summary of informative statistics of the reads that passed the filter. The
109 third tab (Figs. 4C, D) shows tables and interactive plots for data diagnostic. Plots can be constructed
110 for both input (original data) and output (post-processed) files. A table with the most frequent k-mers
111 can also be visualized.

112 A comparison of the package with other applications is shown in Table 1. Benchmarking results
113 indicated an excellent performance of *FastqCleaner* in comparison with other pre-processing tools in

114 terms of elapsed time. Analysis of SR pre-processing (Fig. 5) showed that these tools can be divided
115 into three groups, in function of significant differences observed in processing speed for routine
116 operations (Tukey HSD test, $p < 0.001$ for all the three pairwise comparisons). The slowest were
117 *cutadapt* and *FASTX-Toolkit* (group 1), while *AdapterRemoval* and *Trimmomatic* (group 2) were the
118 fastest. *FastqCleaner* showed an intermediate performance, comparable to *Skewer* and *FLEXBAR*
119 (group 3). In PE mode, benchmarking of PE pre-processing operations (Fig. 6) showed that
120 *FastqCleaner* significantly outperforms all other tools for routine operations (Tukey HSD test, $p <$
121 0.001 for pairwise comparisons of *FastqCleaner* vs other individual applications).

122

123 **Conclusions**

124 *FastqCleaner* is a tool with a rich and interactive cutting-edge graphical interface for pre-processing
125 and exploration of SR and PE FASTQ files. Comparison with other available programs in a typical pre-
126 processing scenario of adapter trimming and length filtering, showed an excellent performance of the
127 application for both SR and PE real datasets. The application is made available as an open source
128 license. Coding experience is not required for its use, and is therefore particularly useful for users who
129 are unfamiliar with R programming. Furthermore, all processing happens locally in the user's computer
130 (even if the computer is disconnected from the network), making *FastqCleaner* amenable to run in
131 environments where data confidentiality prevents uploading of files to the cloud.

132 In essence, *FastqCleaner's* dual capability facilitates both access to the underlying state-of-art
133 Bioconductor infrastructure and to dynamic graphical visualizations in a 100% client-side friendly web
134 environment. This makes *FastqCleaner* a novel technological advance for the analysis of Next
135 Generation Sequencing data.

136

137 **Methods**

138 In order to assess the performance of *FastqCleaner*, we have compared the package with other
139 available pre-processing tools in benchmark tests: *AdapterRemoval* 2.2.2 [10], *cutadapt* 1.14 [11],
140 *FASTX-Toolkit* 0.0.13 [12], *FLEXBAR* 3.0.3 [13], *Skewer* 0.2.2 [14] and *Trimmomatic* 0.36 [15]. The
141 tests (Additional file 2) were conducted for adapter removal and length filtering using SR and PE files,
142 with 22 replicates of each tests for statistical analysis of performance. Processing conditions were
143 standardized by disabling compression of output files and using a single thread. In addition, pre-
144 processing in *FastqCleaner* was performed using a chunk size of 10,000 reads per cycle. For SR
145 processing, we downloaded from SRA the dataset SRR014966, with 14.3 M reads of 36 bp. For PE
146 processing, we downloaded the dataset SRR330569 with 27 M reads of 101 bp. Benchmark tests were
147 conducted in R using a laptop with Linux, a 2.20GHz Intel Core i7 CPU and 16GB of 1600MHz RAM
148 (Additional file 2).

149

150 **Additional files**

151 Additional file 1: PDF version of the online tutorial.

152 Additional file 2: R script used in this work for benchmark testing.

153 Additional file 3: Source code of *FastqCleaner* (zip file)

154

155 **List of abbreviations**

156 NGS: Next Generation Sequencing

157 SR: Single-Reads

158 PE: Paired-End Reads

159

160 **Availability and requirements**

161 **Project name:** FastqCleaner

162 **Project home page:** <http://bioconductor.org/packages/FastqCleaner/>

163 **Operating system(s):** Platform independent

164 **Programming language:** R, C++, HTML, JavaScript, CSS3

165 **License:** GNU GPL (≥ 2)

166

167 **Declarations**

168 **Ethics approval and consent to participate**

169 Not applicable.

170

171 **Consent for publication**

172 Not applicable.

173

174 **Availability of data and materials**

175 *FastqCleaner* is freely available from its Bioconductor home page at <http://bioconductor.org/packages/>

176 *FastqCleaner/* under a GNU GPL (≥ 2) license. *FastqCleaner* can be launched on any system that has

177 R installed. An online tutorial is available at the package home page. A PDF version of this tutorial is

178 included as supplemental material (Additional file 1).

179

180 **Competing interests**

181 The authors declare that they have no competing interests.

182

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185 2014-0879 to DOS).

186

187 **Authors' contributions**

188 LGR designed and developed the R package. FA contributed to the improvement of the original design.

189 LGR, FA and DOS wrote the manuscript and tested the package. DOS and FA supervised the project.

190 All authors read and approved the final manuscript.

191

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195

196 **Captions to Figures**

197 **Fig. 1** Graphical representation of a typical workflow with *FastqCleaner*, showing the initial selection
198 of FASTQ file(s), processing, and generation of output(s). Diagnostic interactive plots can be
199 constructed for both input and output files. Circular arrows indicate halfway points in the workflow,
200 where different configurations can be selected to re-run the program from there.

201

202 **Fig. 2** Examples for adapter trimming. Pictures show the relative position of an adapter and a read, and
203 the expected result after processing with the *adapter_filter* function of *FastqCleaner*. Dotted lines
204 indicate the portion of the read that will be removed. Arrows show the direction along the read used for

205 the program to seek for matches. If one or more matches are found, the function trims the longest
206 subsequence, that contains the matching region plus the rest of the read, in the corresponding trimming
207 direction. *A*: partial adapter on the right + right-trimming of anchored adapter. *B*: partial adapter on the
208 left + left-trimming of anchored adapter. *C*: partial adapter within read + right-trimming. *D,E*: full
209 match between an adapter and a portion of the read + left- (*D*) or right- (*E*) trimming. *F*: multiple
210 matches for a same adapter + left-trimming.

211

212 **Fig. 3** RStudio addins menu, showing the button to launch the *FastqCleaner* application.

213

214 **Fig. 4** Web interface of the *FastqCleaner* application. *A*: first tab, showing an example where a file and
215 a filter are selected. *B*: second tab, showing the processes performed after running the program. *C*: third
216 tab, showing the analysis of the data, in this case for the input FASTQ file. The plot shows the base
217 composition of the sequences. *D*: fourth tab, showing a table with the frequency and the sequence of
218 each duplicated read.

219

220 **Fig. 5** Boxplots for elapsed time (in seconds) for SR adapter trimming and read length filtering.

221

222 **Fig. 6** Boxplots for elapsed time (in seconds) for PE adapter trimming and read length filtering.

223 FASTX-Toolkit is not capable to pre-process PE reads, and hence it is not shown in the plot.

224

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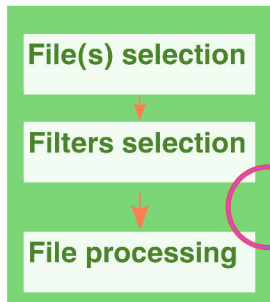
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INPUT
FASTQ
FILE(S)

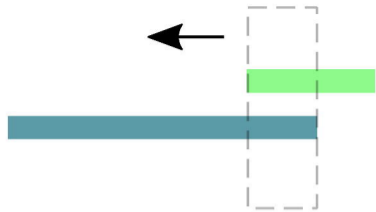
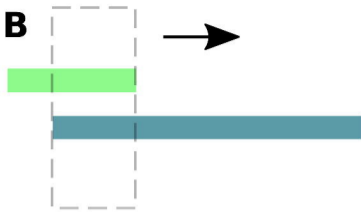
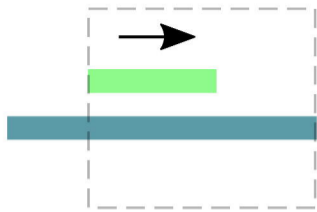
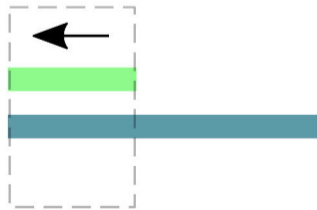
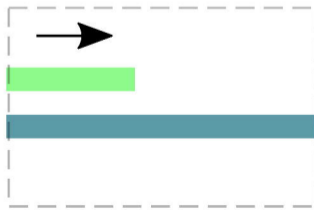
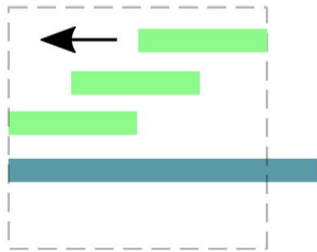


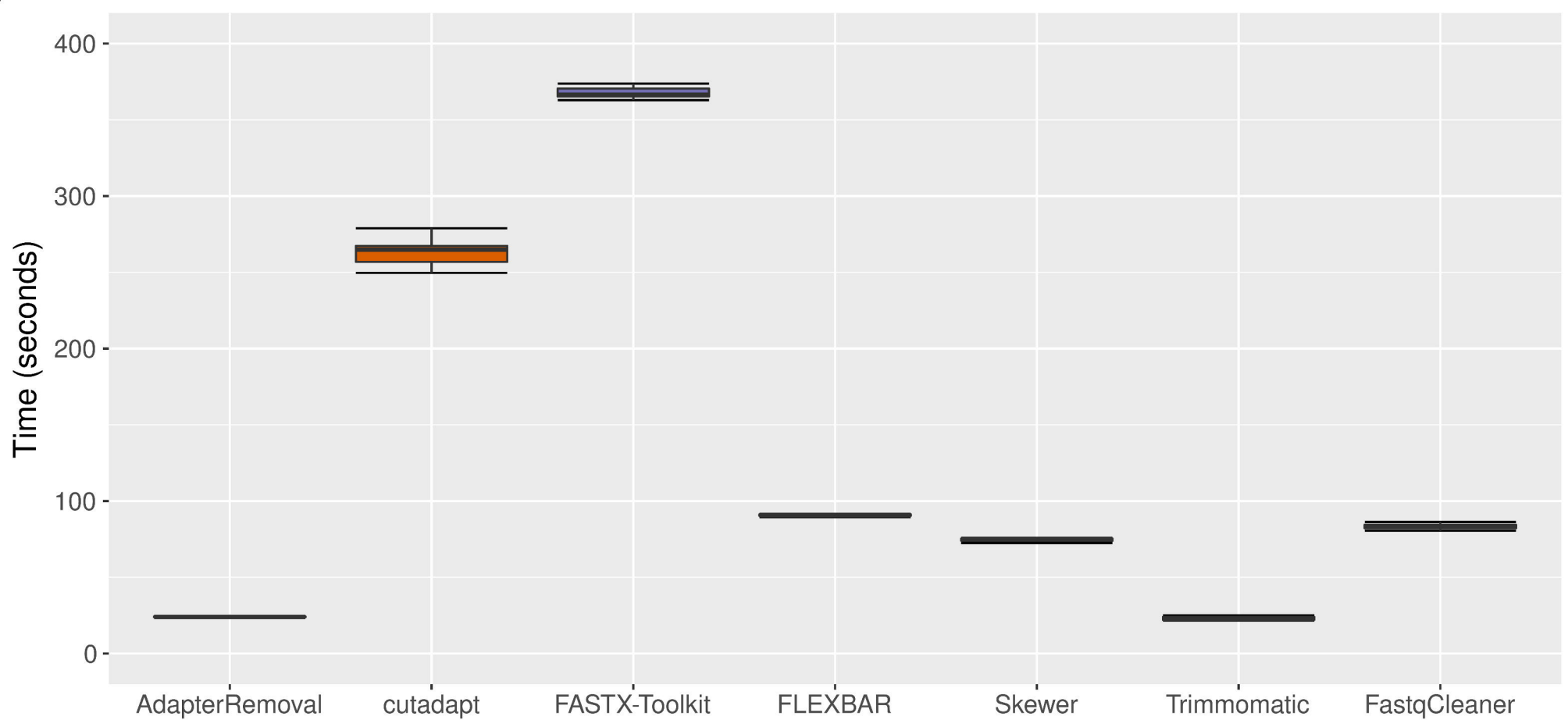
Diagnostic plots

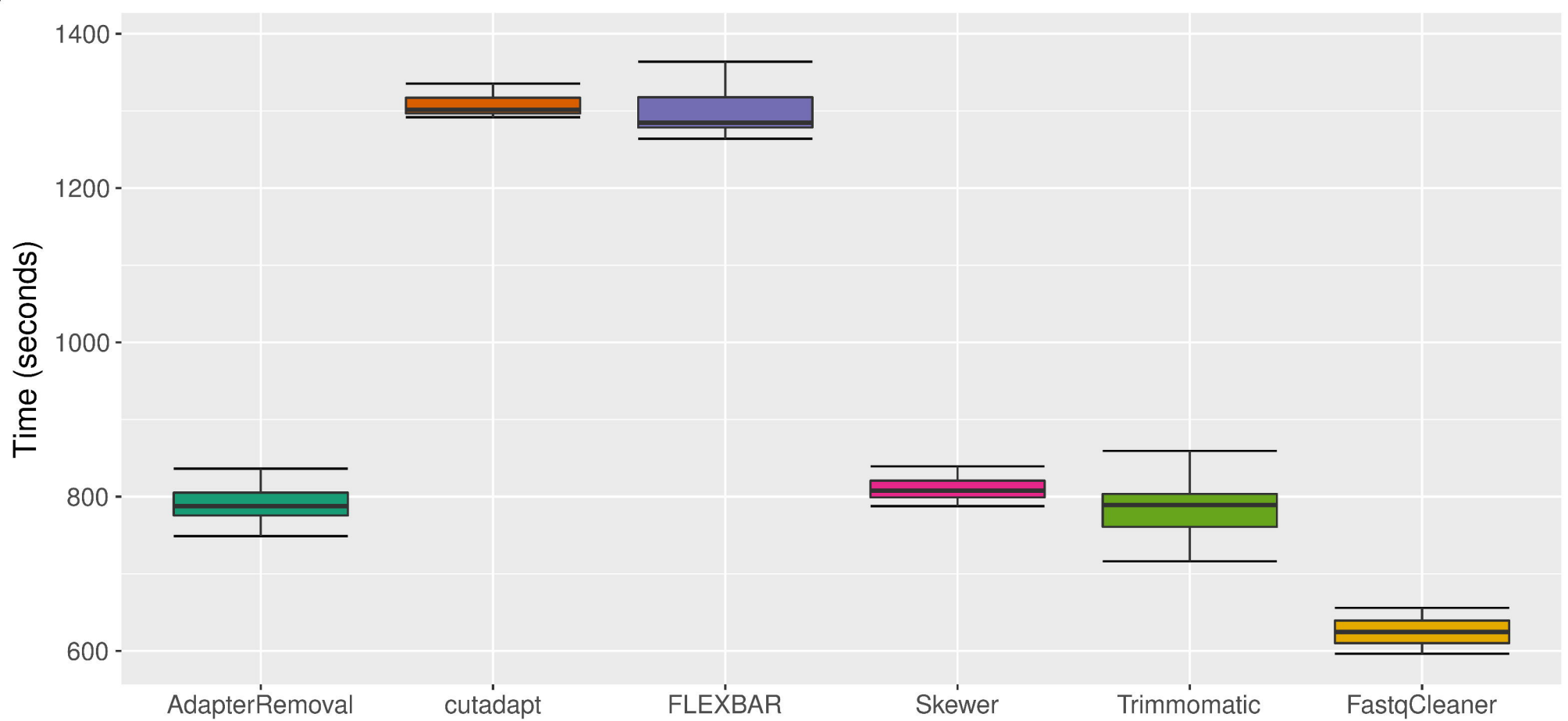


OUTPUT
FASTQ
FILE(S)

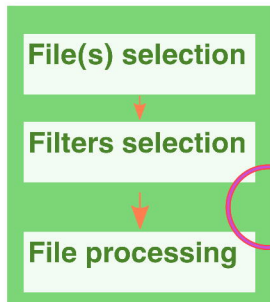


A**B****C****D****E****F**





INPUT
FASTQ
FILE(S)

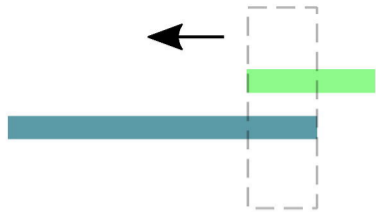
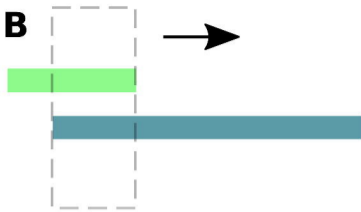
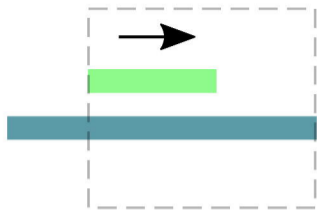
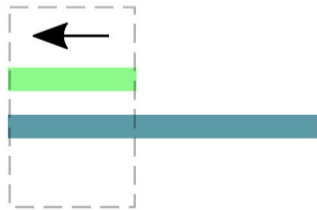
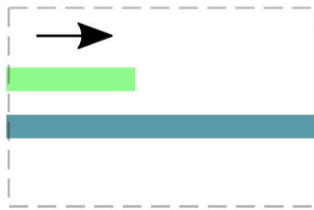
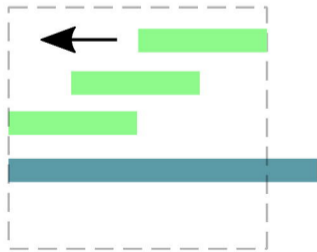


Diagnostic plots



OUTPUT
FASTQ
FILE(S)



A**B****C****D****E****F**

Project Explorer

- src
- test
- resources

```
1
```

Properties Window

- Find all Occurrences
- Find and Replace
- Insert Text
- Refactor to Package
- Run as Java Program
- Run as Java Class
- Run as Java Class
- Run as Java Class

