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27  
28 5 **resistance of *Penicillium polonicum* against the antifungal protein**  
29  
30 6 **PgAFP.**

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1     21    **ABSTRACT**

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4     22    Antifungal proteins from molds have been proposed as a valuable tool against unwanted molds, but the  
5     23    resistance of some fungi limits their use. Resistance to antimicrobial peptides has been suggested to be  
6     24    due to lack of interaction with the mold or to a successful response. The antifungal protein PgAFP  
7     25    produced by *Penicillium chrysogenum* inhibits the growth of various ascomycetes, but not *Penicillium*  
8     26    *polonicum*. To study the basis for resistance to this antifungal protein, localization of PgAFP and  
9     27    metabolic, structural, and morphological changes were investigated in *P. polonicum*. PgAFP bound the  
10    28    outer layer of *P. polonicum* but not regenerated chitin, suggesting an interaction with specific molecules.  
11    29    Comparative two-dimensional gel electrophoresis (2D-PAGE) and comparative quantitative proteomics  
12    30    revealed changes in the relative abundance of several proteins from ribosome, spliceosome, metabolic,  
13    31    and biosynthesis of secondary metabolite pathways. The proteome changes and an altered permeability  
14    32    reveal an active reaction of *P. polonicum* to PgAFP. The successful response of the resistant mold seems  
15    33    to be based on the higher abundance of protein Rho GTPase Rho1 that would lead to the increased chitin  
16    34    deposition via cell wall integrity (CWI) signaling pathway. Thus, combined treatment with chitinases  
17    35    could provide a complementary means to combat resistance to antifungal proteins.

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26    36    **KEYWORDS**

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29    37    Antifungal proteins, proteomics, resistance, *Penicillium polonicum*, chitin, cell-wall integrity pathway

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32    38    **INTRODUCTION**

33  
34    39    The antifungal protein PgAFP produced by the strain *Penicillium chrysogenum* CECT 20922 (formerly  
35    40    RP42C) is within a group of small, highly basic and low molecular mass proteins (Rodríguez-Martín et al.  
36    41    2010). PgAFP inhibits various pathogenic and spoilage ascomycetes of interest in foods, including strains  
37    42    of various *Aspergillus* spp., such as *A. carbonarius*, *A. flavus*, *A. ochraceus*, *A. fumigatus*, and *A.*  
38    43    *tubingensis*, as well as *Penicillium* spp., such as *P. commune*, *P. restrictum*, *P. nalgiovense*, and *P.*  
39    44    *chrysogenum* (Delgado et al. 2015a). However, *Penicillium polonicum* and the PgAFP-producer strain of  
40    45    *P. chrysogenum* were not inhibited by PgAFP.  
41    46    Other antifungal proteins produced by ascomycetes are PAF from *P. chrysogenum* Q176 (Marx et al.  
42    47    1995), Pc-Arctin from *P. chrysogenum* A096 (Chen et al. 2013), BP from *Penicillium brevicompactum*  
43    48    (Seibold et al. 2011) AFP and AFP<sub>NN5353</sub> from *Aspergillus giganteus* (Nakaya et al. 1990; Binder et al.  
44    49    2011), Anafp from *Aspergillus niger* (Gun Lee et al. 1999), AcAFP and AcAMP from *Aspergillus*  
45    50    *clavatus* (Skouri-Gargouri and Gargouri 2008; Hajji et al. 2010), FPAP from *Fusarium polyphialidicum*  
46    51    (Galgóczy et al. 2013b) and NFAP from *Neosartorya fischeri* (Kovács et al. 2011). Mechanisms of action  
47    52    of antifungal proteins from molds have been described as multifactorial, where membrane  
48    53    permeabilization, changes in actin distribution, chitin biosynthesis inhibition, destabilization of cell wall,  
49    54    and oxidative stress lead to apoptosis (Leiter et al. 2005; Moreno et al. 2006; Hagen et al. 2007; Binder et  
50    55    al. 2010; Virágh et al. 2015; Delgado et al. 2015b). AFP binds chitin, inhibits chitin biosynthesis,  
51    56    permeabilizes the cell membrane, and penetrates into the cell and binds nucleic acids (Liu et al. 2002;

57 Moreno et al. 2006; Hagen et al. 2007) and AcAFP also binds chitin altering cell wall (Skouri-Gargouri et  
58 al. 2009), whereas PAF, NFAP, and PgAFP lead to apoptosis mediated by G-protein signaling (Binder et  
59 al. 2010; Binder et al. 2015; Virágh et al. 2015; Delgado et al. 2015b). Both PAF and NFAP activate the  
60 cAMP/Protein kinase A pathway via G-protein signaling (Leiter et al. 2005; Virágh et al. 2015) and  
61 PgAFP provoked a lower amount G-protein subunit  $\beta$  CpcB (Delgado et al. 2015b).  
62 However, the defensive strategies of resistant molds are poorly described. The lack of electrostatic  
63 affinity or receptors in cell surfaces has been suggested as the cause of the resistance to antimicrobial  
64 peptides (Yeaman and Yount 2003). PgAFP does not bind to the producer strain *P. chrysogenum* CECT  
65 20922 that withstands at least 312  $\mu$ g/ml (Delgado et al. 2015a, b). The lack of interaction between  
66 antifungal proteins and mold surface results in the absence of major metabolic responses in the resistant  
67 fungi. Another successful strategy of resistant fungi to counteract AFP is chitin synthesis stimulation  
68 (Ouedraogo et al. 2011). The latter strategy implies interaction with the resistant fungus and active  
69 metabolic response to the antifungal protein. Thus, studying the mechanisms involved in the resistance  
70 requires in depth investigation of the metabolic response of resistant fungi to antifungal proteins.  
71 Comparative proteomic analysis is a powerful tool to study metabolic changes at the molecular level  
72 (Kim et al. 2007). 2D-PAGE has the ability to separate complete proteins including those with post-  
73 translational modifications, but only a small percentage of the whole proteome is revealed (Görg et al.  
74 2009). On the other hand, comparative quantitative proteomics is able to identify proteins not detectable  
75 by 2D-PAGE. These two techniques have been used to complementarily evaluate the effect of PgAFP on  
76 the proteins involved in signaling pathways and selecting adequate tests to study the metabolic response  
77 in molds (Delgado et al. 2015b). In addition, localization of the antifungal protein in non-sensitive molds  
78 can give valuable information on the possible interaction at the surface or inside the cell. Given that  
79 antifungal proteins provoke oxidative stress leading to apoptosis in sensitive mold, knowing the extent of  
80 these two phenomena in resistant molds would contribute to clarify the defence mechanism.  
81 To study the effect of PgAFP on resistant molds, *P. polonicum* was chosen because it was the only  
82 resistant ascomycete known, apart from the PgAFP-producer *P. chrysogenum* CECT 20922 (Delgado et  
83 al. 2015a). *A. niger* has been used as sensitive control with various antifungal proteins (Kaiserer et al.  
84 2003; Hagen et al. 2007; Kovács et al. 2011). *A. tubingensis* CECT 20932, formerly *A. niger* An261, has  
85 been used in the present work as sensitive control because it is the closest species to *A. niger* known to be  
86 sensitive to PgAFP (Delgado et al. 2015a).  
87 The aim of this work was to investigate the effect of PgAFP on the proteome profile and selected  
88 characteristics to disclose the resistance response of *P. polonicum*. Localization of PgAFP was studied for  
89 a better understanding of the interaction with *P. polonicum*. This knowledge would allow designing new  
90 strategies to maximize the inhibition effect and spectra of PgAFP in molds.

## 91 MATERIAL AND METHODS

## 92 **Strains**

93 In vitro tests were carried out with three molds isolated from dry-cured ham available from the Spanish  
94 Type Culture Collection (CECT, Valencia, Spain): *P. chrysogenum* CECT 20922, *P. polonicum* CECT  
95 20933 and *A. tubingensis* CECT 20932.

## 96 **Purification of PgAFP**

97 PgAFP was obtained from *P. chrysogenum* CECT 20922 grown in potato dextrose broth (PDB, Scharlab,  
98 Barcelona, Spain) pH 4.5, at 25 °C for 21 days, as described previously (Acosta et al. 2009). To get cell-  
99 free medium, mycelium was removed by filtering through Miracloth (Calbiochem, Darmstadt, Germany)  
100 and the culture medium was filtered through a nitrocellulose 0.22 µm-pore-size (Sartorius, Goettingen,  
101 Germany). Cell-free media were applied to an ÄKTA FPLC with a cationic exchange column HiTrap SP  
102 HP (Amersham Biosciences, Uppsala, Sweden) with 20 mM sodium acetate, pH 4.5. Adsorbed proteins  
103 were eluted with 20 mM sodium acetate buffer (pH 4.5) containing 1 M NaCl and detected at 214 nm.  
104 The fraction containing PgAFP protein was then gel filtered on a HiLoad 26/60 Superdex 75 column for  
105 FPLC (Amersham Biosciences, Uppsala, Sweden) using 50 mM sodium phosphate buffer, pH 7  
106 containing 0.15 M NaCl as elution buffer. PgAFP concentration in a pooled stock solution was measured  
107 by Lowry method (Lowry et al. 1951), sterilised through 0.22 µm acetate cellulose filters (Fisher  
108 Scientific) and stored at -20 °C until use.

## 109 **Effect of PgAFP on mold growth**

110 As a preliminary test, to confirm the known effect of PgAFP on growth of both sensitive and resistant  
111 molds in malt extract broth (Delgado et al. 2015a), *P. polonicum* and *A. tubingensis* were grown in PDB  
112 treated with PgAFP in the whole range of concentrations used in this work (0 to 75 µg/ml) for 96 h.

## 113 **Proteomics**

114 To obtain the protein extracts, *P. polonicum* CECT 20933 was cultured in triplicate in 50 ml of PDB, at  
115 25 °C with continuous shaking at 200 rpm in either presence (10µg/ml) or absence of PgAFP. Mycelia  
116 were harvested, filtered, washed, and lysed as previously described (Carberry et al. 2006). Mycelial  
117 lysates were centrifuged to remove cell debris and the subsequent supernatant precipitated with  
118 TCA/acetone (Carpentier et al. 2005). The following two proteomic analysis were carried out from these  
119 precipitated lysates, similar to the procedure described by Delgado et al. (2015b).

120 **Two-dimensional electrophoresis.** For protein separation by 2D-PAGE, resuspended extracts containing  
121 250 µg of protein were loaded onto Immobiline Dry strips (IPG strip; Amersham Biosciences) in the pH  
122 range 4–7, followed by electrofocusing, and electrophoresis as described previously (Carberry et al.  
123 2006). Gels obtained from 3 biological replicates and 2 technical replicates per treatment were stained  
124 and analyzed using Progenesis™ SameSpot software (TotalLab, Newcastle, UK) as previously described  
125 (O’Keeffe et al. 2013; Collins et al. 2013; Owens et al. 2014). Spot intensities were normalised in  
126 Progenesis SameSpots software (Delgado et al. 2015b). Protein spots showing differences ( $p < 0.05$ , fold  
127 change  $\geq 1.5$ ) were excised, destained, in-gel trypsin-digested (Shevchenko et al. 2007). Then, samples  
128 were sonicated and the digested supernatant was dried, resuspended in 0.1% formic acid, and filtered  
129 through 0.22 µm cellulose spin-filters according to Delgado et al. (2015b).

130 The samples were loaded onto a Zorbax 300 SB C-18 Nano-HPLC Chip and analysed by a 6340 Model  
131 Ion Trap LC-Mass Spectrometer (Agilent Technologies, Dublin, Ireland) using electrospray ionisation.  
132 The eluted peptides were ionized and analysed by mass spectrometry. MS<sup>n</sup> analysis was carried out on the  
133 three most abundant peptide precursor ions at each time point, as selected automatically by the mass  
134 spectrometer. MASCOT MS/MS Ion search, NCBI (National Centre for Biotechnology Information,  
135 www.ncbi.nlm.nih.gov/guide/proteins/) database and KEGG (Kioto Encyclopedia of Genes and Genome,  
136 www.genome.jp/kegg/) were used for protein identification and function, also BLAST® protein was  
137 employed to find orthologous proteins.

138 **Label-free proteomics.** Proteins precipitated from three biological replicates were resuspended in 8 M  
139 urea, dithiothreitol reduced and iodoacetic acid alkylated (Collins et al. 2013), and trypsin digested.  
140 Digested samples were desalted using C18 ZipTips® (Millipore, Darmstadt, Germany). One microgram  
141 from each digest was analysed via a Thermo Scientific Q-Exactive mass spectrometer coupled to a  
142 Dionex RSLCnano (Thermo Scientific, Waltham, MA, USA). Data was collected using a Top15 method  
143 for MS/MS scans (Dolan et al. 2014; O’Keeffe et al. 2014). Comparative proteome abundance and data  
144 analysis was performed using MaxQuant software (Version 1.3.0.5; www.maxquant.org/downloads.htm)  
145 (Cox and Mann 2008), with Andromeda used for database searching and Perseus (Version 1.4.1.3) used  
146 to organise the data, as per Delgado et al. (2015b). Data were searched against a *P. chrysogenum* database  
147 from Uniprot ([www.uniprot.org](http://www.uniprot.org); March 2014). In the absence of sequenced *P. polonicum* or any other  
148 species from Section *Fasciculata* (Houbraken and Samson 2011), *P. chrysogenum* also from subgenus  
149 *Penicillium*, was chosen for comparison. Quantitative analysis was performed using a t-test. Due to the  
150 high sensitivity and larger dynamic range of the gel-free proteomics analyses only proteins with a *p* value  
151 < 0.05 and fold change ≥ 2 were included in the quantitative results (Dolan et al. 2014; O’Keeffe et al.  
152 2014). Qualitative analysis was also performed, to detect proteins that were found in at least 2 replicates  
153 of a particular sample, but undetectable in the comparison sample. Blast2GO analysis was utilized to  
154 further elucidate putative functions of proteins identified with abundance changes (Conesa et al. 2005).

### 155 **Hyphal morphology**

156 *P. polonicum* and *A. tubingensis* were grown on tubes containing 300 µl of PDB at 25 °C for 24 h in  
157 either the presence (75 µg/ml) or absence of PgAFP. Mycelia were collected by centrifugation and  
158 observed on a microscope Eclipse E200 equipped with a digital camera DS-Fi2 (Nikon, Tokyo, Japan).

### 159 **Metabolic tests**

160 To study the response to PgAFP, various metabolic tests were performed as described previously  
161 (Delgado et al. 2015b). For this, the resistant *P. polonicum* (c.a. 5 x 10<sup>5</sup> conidia per ml) was cultured in  
162 PDB at 25 °C for 24 h in static conditions with and without PgAFP. To rule out even potential weak  
163 effects, the highest concentration of 75 µg/ml PgAFP was used. Additionally, to study the effect on  
164 membrane permeability throughout a wide concentration range of PgAFP (i.e. 75, 37.5, 18.75, 9.38, 4.69,  
165 2.34, 1.17, and 0 µg/ml) were assayed.

166 To test membrane permeability, cultures in microtiter plates were supplemented with SYTOX Green  
167 (Molecular Probes, Eugene, OR, USA) at final concentration of 0.2 µM. The fluorescence emitted was  
168 measured at 10, 30, and 210 min.

169 Metabolic activity was assessed by FUN-1 staining. Grown mycelia was washed with 10 mM HEPES  
170 (pH 7.5) before staining with 100 µl FUN-1 (Molecular Probes, Eugene, OR, USA) for 30 min at 25 °C  
171 as described previously (Kaiserer et al. 2003). Stained hyphae were visualized and photographed by  
172 fluorescence microscopy. Induction of reactive oxygen species (ROS) production was evaluated using 20  
173 µM 2', 7' dichlorofluorescein diacetate (Molecular Probes, Eugene, OR, USA) according to Kaiserer et  
174 al. (2003), and observed by fluorescence microscopy.  
175 Membrane integrity was assessed by the acridine orange/ethidium bromide (AO/EB) double staining.  
176 Hyphae were stained with 4 µg/ml of AO/EB (Sigma-Aldrich, St. Louis, MO, USA), incubated for 30  
177 min, washed, and observed by fluorescence microscopy.  
178 To distinguish between necrotic, late apoptotic, and viable cells, the Apoptosis Detection Kit (Sigma-  
179 Aldrich, St. Louis, MO, USA), composed by Annexin V-fluorescein isothiocyanate/propidium iodide  
180 (AnV-FITC/PI), was used according to manufacturer's instructions.  
181 For each of these metabolic tests, the sensitive *A. tubingensis* was used as a positive control to confirm  
182 the effect of PgAFP in the various assays.

### 183 **Chitin staining**

184 Conidia of *P. polonicum* were inoculated on 10 ml PDB in Petri dish containing a coverglass and  
185 incubated in presence (75µg/ml) and absence of PgAFP at 25 °C for 24 h. Mycelium was fixed, stained  
186 for 5 min with fluorescent brightener 28 (Sigma-Aldrich, St. Louis, MO, USA), and then washed, to  
187 visualize chitin (Harris et al. 1994) in a fluorescence microscope with an excitation wavelength of 387/11  
188 nm.

### 189 **Effect of PgAFP combined with chitinase on *P. polonicum* growth**

190 Four different batches were prepared by pouring the reagents onto 15 ml potato dextrose agar plates made  
191 with PDB (Scharlab, Barcelona, Spain) and 20 g/l bacteriological agar (Scharlab, Barcelona), as follows:  
192 a) 2.5 ml of 600 µg/ml PgAFP in phosphate elution buffer and 0.1 ml PBS, b) 2.5 ml of phosphate elution  
193 buffer and 0.1 ml of ≥ 60 units/ml chitinase from *Streptomyces griseus* (Sigma-Aldrich, St. Louis, MO,  
194 USA) in PBS, c) and 2.5 ml of 600 µg/ml PgAFP in phosphate elution buffer and 0.1 ml of ≥ 60 units/ml  
195 chitinase from *S. griseus* (Sigma-Aldrich, St. Louis, MO, USA) in PBS, and d) 2.5 ml of phosphate  
196 elution buffer and 0.1 ml PBS as a control samples. Every plate was surface three-point inoculated with  
197 10 µl of a suspension containing 10<sup>4</sup> conidia and incubated at 25 °C for 168 h. The diameter of the  
198 colonies were measured every 24 h. To elucidate whether the combined treatment of PgAFP and chitinase  
199 has an additive or synergistic effect, the expected efficacy of this combination was determined by the  
200 Abbott formula and the interaction ratio as described by Moreno et al. (2003). Interaction ratios between  
201 0.5 and 1.5 are considered to be additive interactions and ratios over 1.5 are considered to be synergistic  
202 interactions.

### 203 **Chitin binding ability of PgAFP**

204 Regenerated chitin was prepared as previously described (Souza et al. 2009), using chitin powder from  
205 crab shells (Sigma-Aldrich, St. Louis, MO, USA) added to concentrated HCl with vigorous stirring,  
206 filtered, and precipitated with ethanol 95%. The precipitate was filtered and washed with water until

207 neutral pH. Chitin-PgAFP binding assay was carried out as described Liu et al. (2002). Briefly, three  
208 different amounts of PgAFP were mixed with 4 mg of regenerated chitin in a 0.5 ml 0.1 M Tris-HCl pH  
209 7.4, 0.15 M NaCl buffer, incubated in ice for 1 h with stirring every 15 min. After incubation, samples  
210 were centrifuged and the quantity of protein contained in the supernatant was measured by the method  
211 described by Lowry (Lowry et al. 1951).

### 212 **PgAFP localization**

213 PgAFP was labelled by DareBio S.L. (Elche, Spain) as described previously (Delgado et al. 2015b). For  
214 this, 100 µl of 20 mM fluorescein isothiocyanate (FITC; Anaspec, Fremont, CA, USA) in  
215 dimethylsulphoxide was added to 4 ml of PgAFP (369 µg/ml), and left for 8 h at room temperature in the  
216 dark. Then, 100 µl of 0.8 M Tris-HCl pH 8 were added and dialyzed against PBS.

217 *P. polonicum* and *A. tubingensis* were grown in PDB in presence of 20 µg/ml PgAFP-FITC for 24 h at 25  
218 °C. Hyphae were washed twice with PBS and visualized by fluorescence microscopy with excitation  
219 wavelength of 482/35 nm.

### 220 **Statistical analysis**

221 Statistical analyses were performed with the IBM SPSS v.22 (www-  
222 03.ibm.com/software/products/es/spss-stats-standard). Growth inhibition and membrane permeability data  
223 were tested for normality (Kolmogorov-Smirnov with Lilliefors correction) and homoscedasticity  
224 (Levene's test). Given that these data were non-normally distributed, mean values were compared using  
225 nonparametric Kruskal–Wallis test. To compare treatments in pairs, Mann-Whitney *U* test was applied (*p*  
226 < 0.05).

## 227 **RESULTS**

228 As expected, PgAFP showed no effect (*p* > 0.05) on *P. polonicum* grown in PDB in the whole range of  
229 concentrations tested. *A. tubingensis* growth was affected (*p* < 0.05) from 4.7 µg/ml PgAFP at 48 h (data  
230 not shown).

### 231 **Effect on proteome**

232 2D-PAGE comparative proteomic analysis, in presence or absence of 10 µg/ml of PgAFP, showed 37  
233 spots with differences (*p* < 0.05) over 1.5 fold change in relative abundance between treated and untreated  
234 *P. polonicum*. The abundance in treated samples was higher (1.5-3 fold) in 9 spots and lower (1.5-4.1  
235 fold) in the remaining 27 proteins, including 2 spots from separate isoforms (Table S1 in the  
236 Supplementary Material).

237 Comparative label-free quantitative proteomic analysis showed a total of 918 proteins from *P. polonicum*,  
238 93 of them displayed altered relative abundance (*p* < 0.05) over two-fold change with PgAFP treatment  
239 (Table S2 in the Supplementary Material). Thirty eight proteins were found in higher amounts (2-12.4  
240 fold) in treated samples, 19 were only detected in treated samples, 25 were obtained in lower amounts (2-  
241 663 fold) following treatment, and 11 were only detected in non-treated samples (Table S2 in the  
242 Supplementary Material).

243 Eight of the nine proteins found in higher amounts in treated samples by 2D-PAGE were also detected by  
244 label-free proteomic analyses, with six of them showing similar increases in both methods (Tables S1 and  
245 S2 in the Supplementary Material). Also 26 of the 27 proteins found in lower relative abundance in  
246 treated *P. polonicum* by 2D-PAGE were also detected by label-free proteomics. However, only 16 of  
247 them were also detected at a lower relative abundance in the latter.

248 According to KEGG pathway analysis, most of the 57 proteins from label-free proteomics with higher  
249 relative abundance or only detected in treated *P. polonicum* were ribosomal and spliceosomal proteins  
250 (39%), or involved in biosynthesis of secondary metabolites and metabolic pathways (14%), such as  
251 pyruvate decarboxylase, pyrimidine biosynthesis, glycerol kinase, and asparagine synthetase (Table 1).  
252 The remaining proteins with higher relative abundance or only detected in treated samples were  
253 distributed across various pathways, such as Rho GTPase Rho1 involved in MAPK signaling pathway  
254 and, interestingly, glucosamine-6-phosphate N-acetyltransferase involved in chitin biosynthesis.  
255 Additionally, the antifungal protein PgAFP was detected in each of the triplicate treated sample, but not  
256 in any non-treated sample. Most of the proteins found in lower quantity or only detected in non-treated  
257 samples were related to biosynthesis of secondary metabolites and metabolic pathways (33%), including  
258 phosphoglucosmutase, and glucose 6-phosphate isomerase related to glycolysis and gluconeogenesis  
259 (Table 1). Only limited changes in stress-related proteins, including glyceraldehyde-3-phosphate  
260 dehydrogenase (GAPDH) and heat shock proteins, were found in treated *P. polonicum* (Table S1 and S2  
261 in the Supplementary Material).

#### 262 **SYTOX Green uptake**

263 Upon PgAFP exposure up to 4.7  $\mu\text{g/ml}$ , a 7 ( $\pm$  3.4) % increase ( $\pm$  standard error) in fluorescence was  
264 observed in *P. polonicum* ( $p < 0.05$ ) at 210 min after SYTOX Green addition (Fig. 1). Fluorescence at  
265 intermediate PgAFP concentrations (9.37-18.75  $\mu\text{g/ml}$ ) did not differ from untreated control ( $p > 0.05$ ),  
266 whilst concentrations higher than 18.75  $\mu\text{g/ml}$  decreased ( $p < 0.05$ ) permeability below the levels of  
267 untreated samples, being the fluorescence values up to 21 ( $\pm$  7.1) % lower at 210 min after SYTOX Green  
268 addition. On the other hand, the sensitive *A. tubingensis* showed a high increase of permeability ( $p <$   
269 0.05) at all PgAFP concentrations assayed (Fig. 1), reaching with 9.37-18.75  $\mu\text{g/ml}$  over 110  $\pm$  (9.8-15) %  
270 fluorescence higher than in the untreated control.

#### 271 **Hyphal morphology and FUN-1 staining**

272 PgAFP exposure provoked no morphological change on either *P. polonicum* or the sensitive *A.*  
273 *tubingensis* (data not shown). To know whether PgAFP affects the metabolic activity, the viability was  
274 evaluated with FUN-1 using *A. tubingensis* as sensitive control. The FUN-1 metabolic staining showed  
275 red intravacuolar stains in both treated and untreated *P. polonicum* revealing no reduction in the  
276 metabolic activity (Fig. 2). Conversely, intravacuolar red stains were not observed in PgAFP-treated *A.*  
277 *tubingensis*, revealing a lower metabolic activity.



## 278 **Chitin staining**

1  
2 279 To study the effect of PgAFP on chitin deposition on the resistant mold, the quantity of chitin was  
3 280 estimated by staining with fluorescent brightener 28. The observed fluorescence indicated a higher chitin  
4  
5 281 deposition in the cell wall of treated than in non-treated *P. polonicum* (Fig. 3).

## 282 **Effect of PgAFP-chitinase combined treatment on *P. polonicum* growth**

8  
9 283 For the whole incubation time, no statistically significant difference was found among growth of the  
10 284 untreated control and *P. polonicum* treated only with PgAFP (Fig 4). Chitinase treatment reduced growth  
11  
12 285 compared to control batch. Growth of *P. polonicum* treated with combined PgAFP and chitinase was the  
13  
14 286 lowest ( $p < 0.05$ ). The interaction ratios between these antifungal compounds at 96 and 120 h incubation  
15  
16 287 were 1.93 and 1.70, respectively. Thus, the slower growth in the combined treatment is attributed to a  
17  
18 288 synergistic effect of chitinase and PgAFP.

## 289 **PgAFP localization**

20 290 PgAFP localization was investigated in *P. polonicum* by incubation with FITC-labelled PgAFP. *P.*  
21  
22 291 *polonicum* showed green fluorescence only bound to the outer layer (Fig 5). However, the labelled protein  
23  
24 292 was found both inside the hyphae and bound to the outer layer in *A. tubingensis*, revealing that PgAFP  
25  
26 293 had entered *A. tubingensis*.

## 294 **Chitin-PgAFP binding assay**

29 295 Given that PgAFP was located at the outer layer of *P. polonicum*, a chitin-binding assay was performed.  
30  
31 296 When PgAFP was added to a solution of regenerated chitin, over 91% of the antifungal protein was  
32  
33 297 recovered from the supernatant after incubation, even at the lowest concentration tested (146  $\mu\text{g/ml}$ ).  
34  
35 298 Thus, PgAFP does not specifically bind to regenerated chitin.

## 299 **Effect on oxidative status and viability**

37  
38 300 To test the influence of PgAFP on ROS production, staining with 2', 7' dichlorofluorescein diacetate was  
39  
40 301 used. Both treated and untreated *P. polonicum* showed similar levels of emitted fluorescence (data not  
41  
42 302 shown), revealing that PgAFP does not increase ROS in the resistant *P. polonicum*. The effect of PgAFP  
43  
44 303 on membrane integrity was evaluated by AO/EB double staining. EB was taken only by PgAFP-treated *A.*  
45  
46 304 *tubingensis*, showing orange hyphae, whilst non-treated *A. tubingensis* and both treated and non-treated *P.*  
47  
48 305 *polonicum* only showed green hyphae due to AO uptake (Fig. 6). These results reveal that *P. polonicum*  
49  
50 306 membrane was not compromised by PgAFP, which is the opposite to *A. tubingensis*. The evaluation of  
51  
52 307 apoptosis or necrosis confirmed the above reported effects on viability. *A. tubingensis* treated hyphae  
53  
54 308 showed orange color as a consequence of AnV-FITC and PI staining, meaning a necrotic stage. Non-  
55  
56 309 treated *A. tubingensis* and both treated and untreated *P. polonicum* were not dyed, showing no sign of  
57  
58 310 apoptosis or necrosis (Fig 7).

## 311 **DISCUSSION**

59 312 Both proteomic methods used in this work revealed differences in the relative abundance of proteins after  
60  
61 313 treatment of *P. polonicum* with PgAFP (Tables S1 and S2 in the Supplementary Material). Discrepancies

314 were detected in the fold change estimated by each method. Such discrepancies can be explained by the  
315 fact that 2D-PAGE compares one isoform of a protein at a time, whereas label-free proteomics combines  
316 every isoform together and gives the final total abundance of that protein (Delgado et al. 2015b).  
317 Therefore, changes in the relative quantity of each isoform could be detected using 2D-PAGE, while in  
318 the label-free proteomics only a measure of total abundance of all isoforms of a given protein is carried  
319 out.  
320 Label-free proteomics showed an increased abundance of 22 proteins related to ribosomes and  
321 spliceosomes in PgAFP-treated *P. polonicum*, according to KEGG. However, only two ribosomal  
322 proteins were found in higher amount by 2D-PAGE analysis. This fact can be explained by the narrow  
323 range of pH chosen for 2D-PAGE analysis (Görg et al. 2009). In particular, the analysis carried out is  
324 suitable for proteins with pI between 4-7, whilst proteins involved in ribosome structure or function are  
325 generally out of this range (Görg et al. 2004). Therefore, the combinatorial deployment of proteomic tools  
326 used in this study works complementarily to obtain further information about the effect of PgAFP on the  
327 proteome.  
328 The higher relative abundance of proteins from ribosomal and spliceosomal pathways in PgAFP-treated  
329 *P. polonicum* could be regarded as a response of the mold to counteract the protein's antifungal activity. A  
330 higher relative abundance of a substantial number of ribosomal and spliceosomal proteins has also been  
331 described in a recent work on the effect of PgAFP on the sensitive *A. flavus* (Delgado et al. 2015b).  
332 Twelve of the 22 proteins from this group that increased with PgAFP in *P. polonicum* also increased in *A.*  
333 *flavus*. Thus, the increase in proteins from ribosomal and spliceosomal pathways solely would not explain  
334 the resistance mechanism in *P. polonicum*.  
335 The changes observed in the proteins related to metabolic pathways and biosynthesis of secondary  
336 metabolites were heterogeneous, with 8 proteins increasing and 12 decreasing in PgAFP-treated *P.*  
337 *polonicum* (Table 1). From these proteins, only pyruvate decarboxylase, aldehyde dehydrogenase, and  
338 phosphatidylglycerol specific phospholipase showed similar changes in PgAFP-treated *A. flavus* (Delgado  
339 et al. 2015b). However, these enzymes are scattered among various metabolic routes, including glycolysis  
340 gluconeogenesis, purine metabolism, and aminoacyl-tRNA biosynthesis, making it unlikely that any of  
341 them explain the ability of *P. polonicum* to withstand PgAFP.  
342 All the above changes in the abundance of the metabolic-related proteins did not entail dramatic changes  
343 in the metabolic activity, which in turn is consistent with the resistance of *P. polonicum* to PgAFP. The  
344 abundance of intracellular red spots in FUN-1 staining (Fig. 2) revealed that the metabolic activity in *P.*  
345 *polonicum* remained substantially unaffected by PgAFP, whereas it was greatly reduced in the sensitive  
346 *A. tubingensis* used as a control (Fig 2), as well as in PgAFP-treated *A. flavus* (Delgado et al. 2015b).  
347 Other effects reported for antifungal proteins, including PAF, NFAP, and PgAFP, are increased ROS  
348 levels leading to programmed cell death in sensitive molds (Leiter et al. 2005; Galgóczy et al. 2013a;  
349 Delgado et al. 2015b). Increased ROS levels have been linked to higher relative abundance of proteins  
350 involved in the glutathione pathway and heat shock proteins in PgAFP-treated *A. flavus* (Delgado et al.  
351 2015b). The limited changes in such stress-related proteins in treated *P. polonicum* do not reveal a strong  
352 response to oxidative stress. In addition, none of the negative effects related to oxidative stress was

353 observed in PgAFP-treated *P. polonicum*, including increased ROS levels, loss of cell membrane  
354 integrity, and necrotic signs.

355 All the changes in the proteome discussed so far reveal that PgAFP interacts with the non-sensitive *P.*  
356 *polonicum*, but do not seem to explain the successful defence response. As discussed later, proteins from  
357 the cell wall integrity (CWI) pathway seem to be involved in the successful defence response.

358 Membrane permeabilization is a main effect described for other antifungal proteins (Thevissen et al.  
359 1999; Hagen et al. 2007). Increased permeability also contributes to PgAFP inhibition on *A. flavus*  
360 (Delgado et al. 2015b). Similarly, the permeability of the sensitive *A. tubingensis* to SYTOX Green  
361 increased at all PgAFP concentrations tested (Fig. 1). However, membrane permeabilization in *P.*  
362 *polonicum* exhibited a two-step pattern: first increasing slowly at low PgAFP concentrations, then slowly  
363 declining even below the level of untreated controls with the two highest concentrations tested (Fig. 1). A  
364 similar two-step pattern in membrane permeabilization was also described for *Neurospora crassa* treated  
365 with plant defensins (Thevissen et al. 1999). The lower permeability at the highest concentrations of  
366 defensins has been explained by the apparent dependency of permeabilization on membrane polarization.  
367 The higher permeability of fungal membranes treated with defensins causes depolarization, which may  
368 ultimately decrease membrane permeability (Thevissen et al. 1996, 1999). The decline in *P. polonicum*  
369 membrane permeability at high PgAFP concentrations might be partially explained by membrane  
370 depolarization. As discussed later, other changes in membrane and cell wall can contribute to reach  
371 permeability levels well below that in untreated controls.

372 Growth inhibition by AFP, PAF, and PgAFP has been related to the ability to interact with specific  
373 molecules or anionic phospholipids in the cell wall and/or plasma membrane (Lacadena et al. 1998; Theis  
374 et al. 2003; Marx et al. 2008; Delgado et al. 2015b). Similarly NFAP might bind to a G-protein coupled  
375 receptor in a sensitive mold (Virágh et al. 2015) and AFP<sub>NN5353</sub> does not bind to insensitive *Mucor*  
376 *circinelloides* (Binder et al. 2011). Interestingly, PgAFP was located at the outer layer in the resistant *P.*  
377 *polonicum* (Fig. 5). This binding may be due just to adherence to chitin or to specific receptors, but  
378 PgAFP did not bind to regenerated chitin in vitro. Given that PgAFP was not internalized by the resistant  
379 mold, no internal receptor can be detected. In addition, the proteome changes observed in PgAFP-treated  
380 *P. polonicum* can only be due to transduction signals derived from the interaction with outer layer  
381 receptors. Therefore, PgAFP may interact with specific molecules in the outer layer of *P. polonicum*,  
382 similarly to PAF (Marx et al. 2008; Batta et al. 2009). As a consequence, PgAFP-resistance could be  
383 related with the ability of *P. polonicum* to produce structural changes that prevent the interaction with the  
384 specific receptors or the negatively charged phospholipids.

385 The fungal cell wall acts as an initial barrier in contact with hostile environments (Latgé 2007). The main  
386 components of the cell wall that may act as a barrier against antifungal proteins are polysaccharides,  
387 including glucans, glucomannans and chitin. A lower chitin content in the fungal cell wall has been  
388 related to a higher permeability (Mellado et al. 2003; Rementeria et al. 2005), suggesting a barrier role of  
389 chitin. The higher amount of chitin observed in the cell wall of *P. polonicum* treated with the highest  
390 PgAFP concentration (Fig. 3) can be responsible for the lower permeability observed, being a key factor  
391 in the successful response to this antifungal protein. Chitin synthesis is also stimulated by AFP in  
392 resistant fungi (Ouedraogo et al. 2011), but not by AFP, PAF, and PgAFP in sensitive molds (Hagen et al.

2007; Binder et al. 2010; Delgado et al. 2015b). In addition, PAF and NFAP provoke delocalized chitin deposition at the hyphal tips (Binder et al. 2010; Virágh et al. 2015). Therefore, the altered chitin deposition can be related to sensitivity to antifungal proteins in contrast to our findings in *P. polonicum*.

To confirm if the increased chitin deposition itself is enough to explain the resistance to PgAFP, a joint treatment of PgAFP and chitinase was applied to *P. polonicum*. The slowest growth obtained with the combined treatment strongly infers that the increased chitin content plays a key role in the resistance of *P. polonicum* to PgAFP. Therefore, we propose that chitin cell wall reinforcement is responsible for the successful response of *P. polonicum*, due to a hampered interaction of PgAFP with specific receptors or the negatively charged phospholipids.

From the proteins involved in chitin biosynthesis, glucosamine-6-phosphate N-acetyl transferase was only found in treated *P. polonicum* (Table 1). The gene coding for this protein, as well as the gene encoding an  $\alpha$ -1,3-glucan synthase, is upregulated in *A. niger* treated with sublethal doses of caspofungin (Meyer et al. 2007). Given that an increase of glucan but not chitin synthesis results in an ineffective survival response (Hagen et al. 2007), the increase in glucosamine-6-phosphate N-acetyl transferase could be important for *P. polonicum* to counteract PgAFP. The increased chitin content can also be related with CWI signaling activation. The stress signals sensed by the receptor protein Wsc are transmitted to Rho1, which has been considered the master regulator of cell wall integrity signaling pathway in yeasts (Levin 2005). Then, Rho1 binds and activates Pkc (Nonaka et al. 1995; Kamada et al. 1996; Lodder et al. 1999), and the signals channeled through the Mpk signaling lead to activation of genes involved in cell wall synthesis (Igual et al. 1996; Jung and Levin 1999), resulting in an elevated chitin content (Munro et al. 2007). Rho1 and Pkc1 have been suggested as the only proteins of CWI pathway that could be involved in the survival response of AFP-resistant *Saccharomyces cerevisiae*, but its relevance has not been established (Ouedraogo et al. 2011).

In sensitive molds, chitin synthesis is not increased by antifungal proteins, as for *A. nidulans* treated with PAF (Binder et al. 2010) or *A. niger* treated with AFP (Hagen et al. 2007). The resistant *P. polonicum* showed an increased abundance of Rho1 and in chitin synthesis when treated with PgAFP (Table 1 and Fig. 3). Conversely, the sensitive *A. flavus* showed a lower relative abundance of Rho1 and a lower chitin deposition when treated with PgAFP (Delgado et al. 2015b). Therefore, it seems that the efficient response of CWI pathway activation by Rho1 could be a key role in the resistance to PgAFP, in contrast to the basal ineffective compensatory response of this pathway in sensitive molds.

In conclusion, the proteome changes and the altered permeability imply an active reaction of *P. polonicum* to PgAFP, where the increased chitin content can be related with a higher abundance of glucosamine-6-phosphate N-acetyltransferase and Rho1. Moreover, the combined treatment with chitinase could provide a complementary means to combat resistance to antifungal proteins.

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#### 434 **ETHICAL STATEMENT**

435 This article does not contain any studies with human participants or animals performed by any of the  
436 authors.

#### 437 **CONFLICT OF INTEREST**

438 The authors declare that they have no conflict of interest.

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## 612 FIGURE CAPTIONS

613 **Fig. 1** SYTOX Green uptake with different concentrations of PgAFP on *P. polonicum* and *A. tubingensis*  
614 at 24 h (bars represent standard deviation of the mean).

615  
616 **Fig.2** Metabolic activity of *P. polonicum* (panel A) and *A. tubingensis* (panel B) tested with FUN-1  
617 staining. Non-treated hyphae (left) showed intravacuolar activity as red spots. Hyphae treated with 75  
618  $\mu\text{g/ml}$  PgAFP for 24 h (right) showed intravacuolar activity in *P. polonicum*, but very low metabolic  
619 activity in *A. tubingensis*.

620  
621 **Fig. 3** Chitin distribution on *P. polonicum* stained with fluorescent brightener 28. Left: non-treated  
622 hyphae; right: hyphae treated with 75  $\mu\text{g/ml}$  PgAFP for 24 h.

623  
624 **Fig. 4** Effect of PgAFP and chitinase combined treatment on *P. polonicum* growth. Untreated control:  
625 added with 2.5 ml of phosphate elution buffer and 100  $\mu\text{l}$  PBS; PgAFP: added with 2.5 ml of 600  $\mu\text{g/ml}$   
626 PgAFP in phosphate elution buffer and 100  $\mu\text{l}$  PBS. Chitinase: added with 2.5 ml of phosphate elution  
627 buffer and 100  $\mu\text{l}$  of  $\geq 60$  units/ml chitinase from *Streptomyces griseus*; PgAFP + Chitinase: added with  
628 2.5 ml of 600  $\mu\text{g/ml}$  PgAFP in phosphate elution buffer and 100  $\mu\text{l}$  PBS.

629  
630 **Fig. 5** PgAFP localization in *P. polonicum* (left) and *A. tubingensis* (right) treated with 20  $\mu\text{g/ml}$  FITC-  
631 labelled PgAFP for 24 h. PgAFP was found solely bound to the outer layer in *P. polonicum* but mainly  
632 inside *A. tubingensis*.

633  
634 **Fig. 6** Effect of 75  $\mu\text{g/ml}$  PgAFP on membrane integrity of *P. polonicum* (left) and *A. tubingensis* (right)  
635 evaluated with vital acridine orange (AO) / ethidium bromide (EB) staining. *P. polonicum* hyphae showed  
636 intense green color due to only AO penetration through non-compromised membrane. *A. tubingensis*  
637 hyphae showed intense orange color due to both AO and EB penetration through compromised cell  
638 membrane.

639  
640 **Fig. 7** Effect of 75  $\mu\text{g/ml}$  PgAFP on *P. polonicum* and *A. tubingensis* hyphae viability evaluated with  
641 apoptosis detection kit at 24 h of incubation. A: Non-treated *P. polonicum*. B: PgAFP-treated *P.*  
642 *polonicum*. C: Non-treated *A. tubingensis*. D: PgAFP-treated *A. tubingensis*. Left: Annexin V/FITC-  
643 Propidium Iodide (An/FITC-PI) staining. Right: The corresponding bright field view. No intense green or  
644 orange color due to apoptosis or necrosis was observed in PgAFP-treated *P. polonicum*. Only PgAFP-  
645 treated *A. tubingensis* showed intense orange color due to necrosis.

**Table 1. Selected proteins whose relative abundance was affected by PgAFP in *Penicillium polonicum* reaching over 2.0 fold change in Label-Free Proteomics (LFP) analysis or 1.5 fold change in 2D-PAGE. Data are given according to four groups of metabolic pathways.**

Proteins involved in pathways	Fold change	Detection method
<b>Ribosomal and spliceosomal proteins</b>		
Pc12g05940 40s ribosomal protein s13	T <sup>a</sup>	LFP
Pc13g01870 60s ribosomal protein l16	T	LFP
Pc16g04770 formin binding protein	T	LFP
Pc20g10480 small nuclear ribonucleoprotein	T	LFP
Pc22g08360 pre-mrna branch site protein p14	T	LFP
Pc20g00680 60s ribosomal protein l23	+11.16	LFP
Pc20g13260 ribosomal protein l14	+6.32	LFP
Pc13g02890 60s ribosomal protein l27	+5.32	LFP
Pc13g05540 60s ribosomal protein l18	+4.17	LFP
Pc18g04110 60s ribosomal protein l34	+4.05	LFP
Pc22g02060 60s ribosomal protein l8	+3.88	LFP
Pc21g16520 60s ribosomal protein l4	+3.83	LFP
Pc16g14740 40s ribosomal protein s22	+3.21	LFP
Pc16g09160 60s ribosomal protein l15	+3.14	LFP
Pc22g00880 40s ribosomal protein s18	+2.73	LFP
Pc21g18200 60s ribosomal protein	+2.51	LFP
Pc16g12990 60s ribosomal protein l17	+2.47	LFP
Pc13g07190 60s ribosomal protein l11	+2.32	LFP
Pc13g05920 60s ribosomal protein l7	+2.21	LFP
Pc20g03340 60s ribosomal protein l33	+2.19	LFP
Pc13g06740 60s ribosomal protein l13	+2.06	LFP
Pc20g02900 40s ribosomal protein s4	+2.02	LFP
<b>Biosynthesis of secondary metabolites and metabolic pathway</b>		
Pc21g21940 bifunctional pyrimidine biosynthesis protein	T	LFP
Pc22g06070 glycerol kinase	T	LFP
Pc22g17940 asparagine synthetase	T	LFP
Pc22g23800 glucosamine 6-phosphate N-acetyl transferase <sup>b</sup>	T	LFP
Pc21g15760 glutamyl-trna synthetase	+12.44	LFP
Pc20g07710 sulfate adenylyltransferase	+6.9	LFP
Pc22g07020 nitrilase	+3.49	LFP
Pc18g01490 pyruvate decarboxylase	+2.01	LFP
Phosphoglucomutase	-2	2D-PAGE
Pc16g05080 adenosylhomocysteinase	-2	LFP
Pc12g05750 d-xylulose kinase	-2.31	LFP
Pc21g04710 phospho-2-dehydro-3-deoxyheptonate aldolase	-2.52	LFP
Pc22g19440 aspartate aminotransferase	-2.58	LFP
Pc21g03190 glycerate dehydrogenase	-2.66	LFP
Pc22g02810 methylmalonate-semialdehyde dehydrogenase	-3.05	LFP
Pc22g19730 glucose-6-phosphate isomerase	-3.49	LFP
Pc15g01900 putative oligo-glucosidase	-6.9	LFP
Pc15g01880 phosphatidylglycerol specific phospholipase	-633	LFP
Pc13g03600 thiamine biosynthetic bifunctional	NT <sup>c</sup>	LFP
Pc14g00170 phosphatidylglycerol specific	NT	LFP
Pc22g24860 aldehyde dehydrogenase	NT	LFP
<b>CWI pathway</b>		
Pc22g23800 glucosamine-6-phosphate N-acetyl transferase <sup>b</sup>	NT	LFP
Pc14g01930 protein Rho gtpase rho1	+9.04	LFP
Pc21g11950 UDP-N-acetylglucosamine pyrophosphorylase	-2	2D-PAGE
UDP-glucose 4-epimerase	-1.5	2D-PAGE
Gamma-actin act	-1.6	2D-PAGE

<sup>a</sup> T: Protein only detected in treated samples.

<sup>b</sup> Protein involved in more than one pathway.

<sup>c</sup> NT: Protein only detected in non-treated samples.

Figure 1

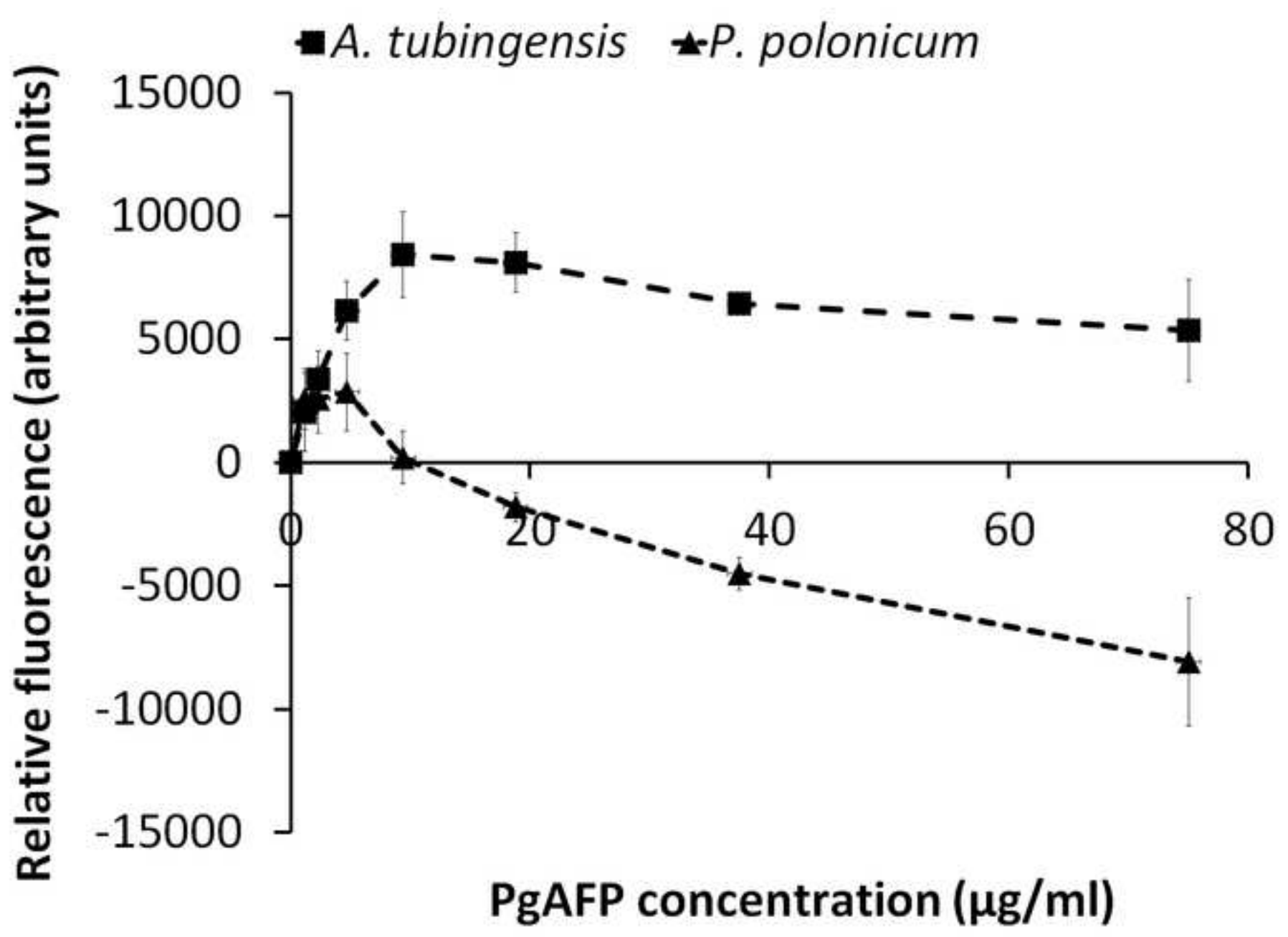


Figure2

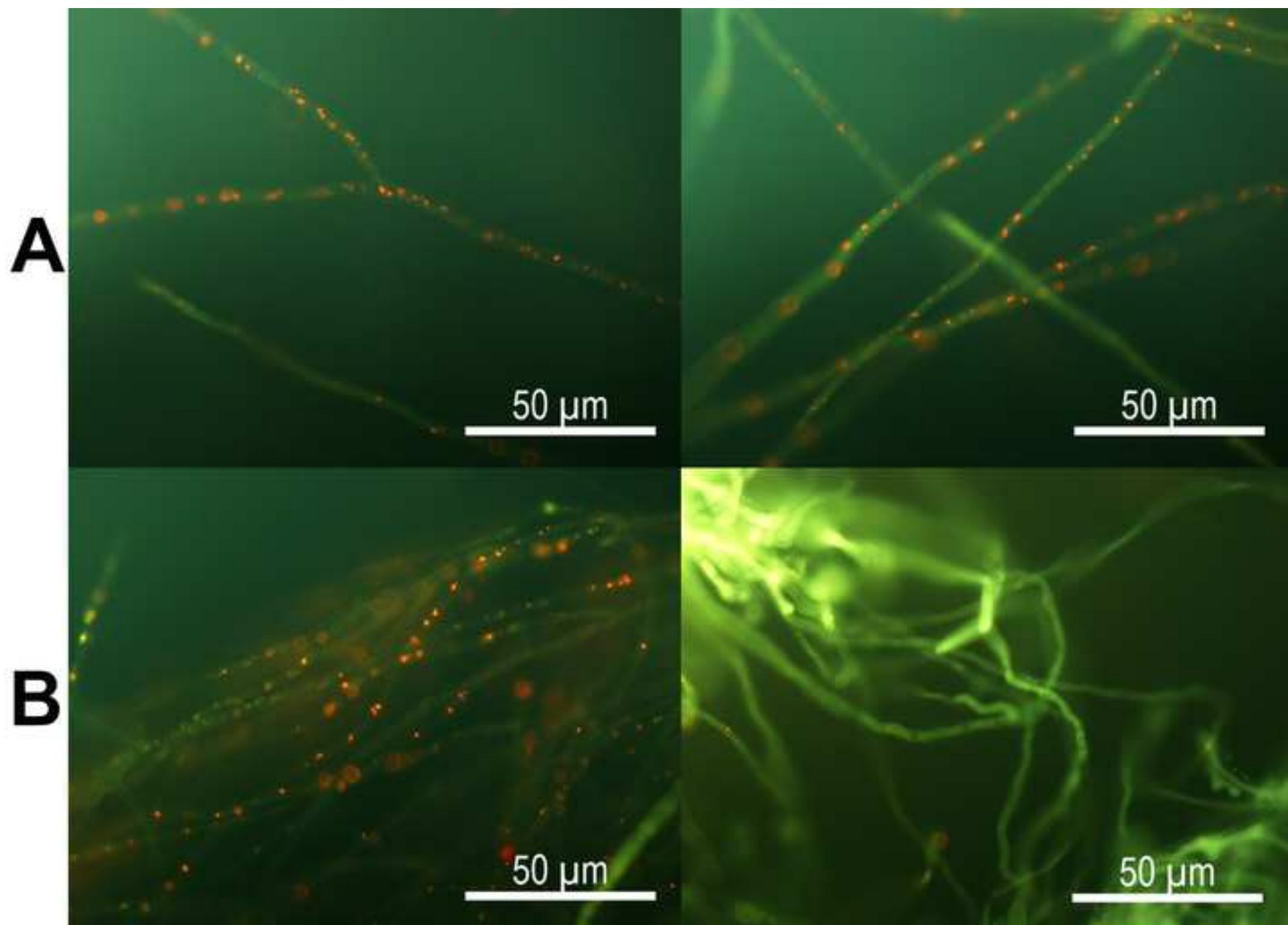


Figure 3

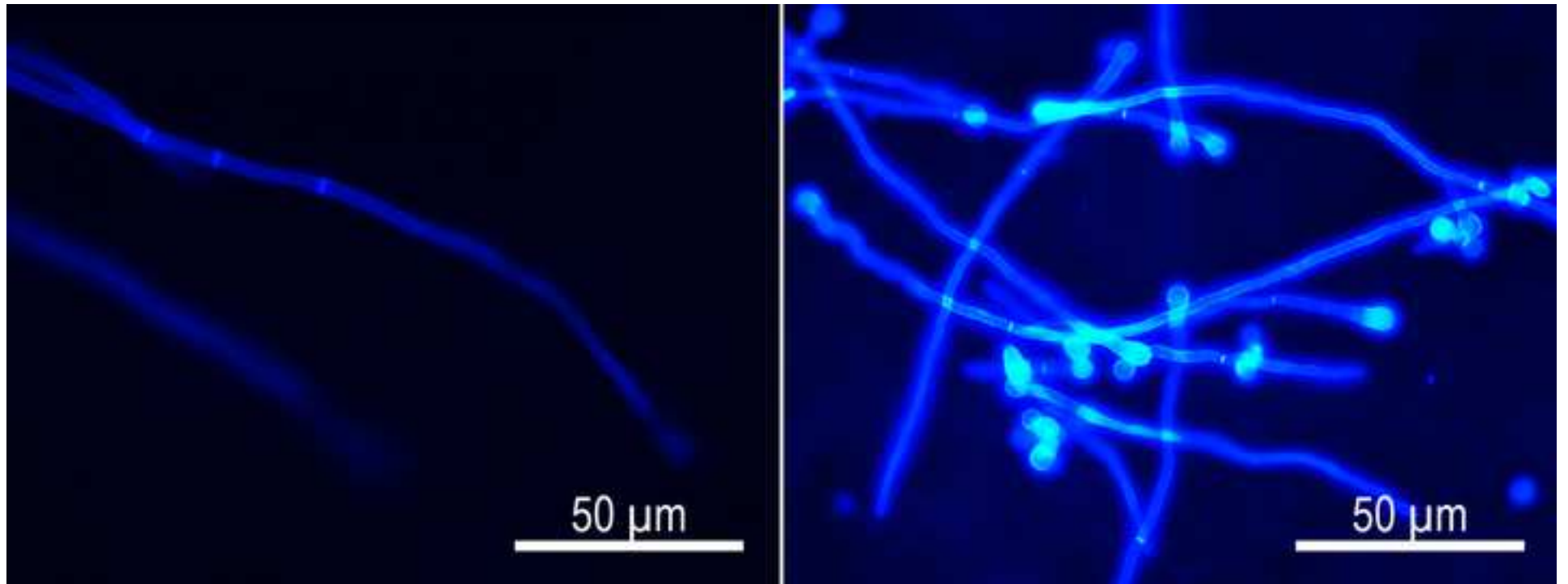


Figure 4

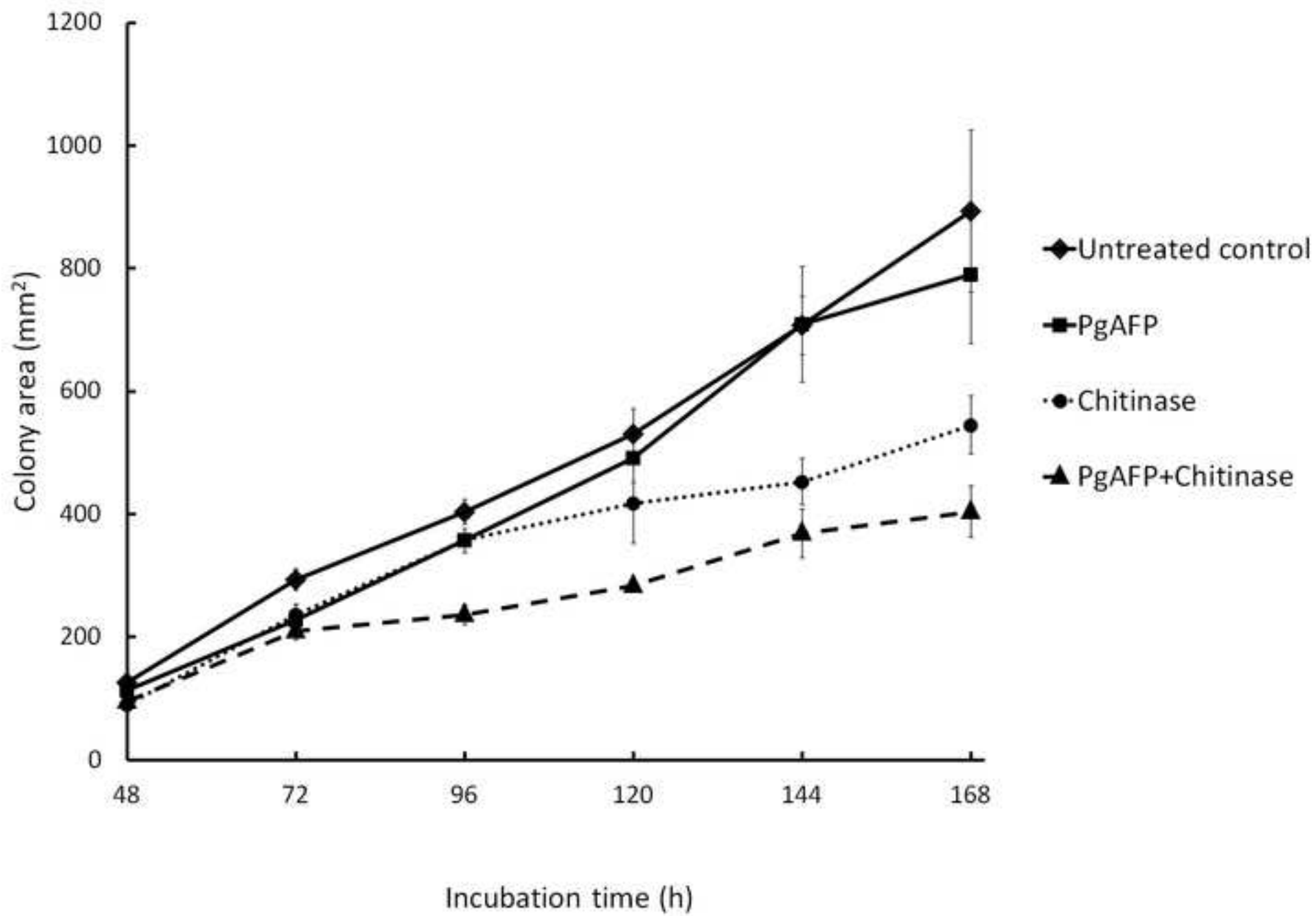


Figure 5

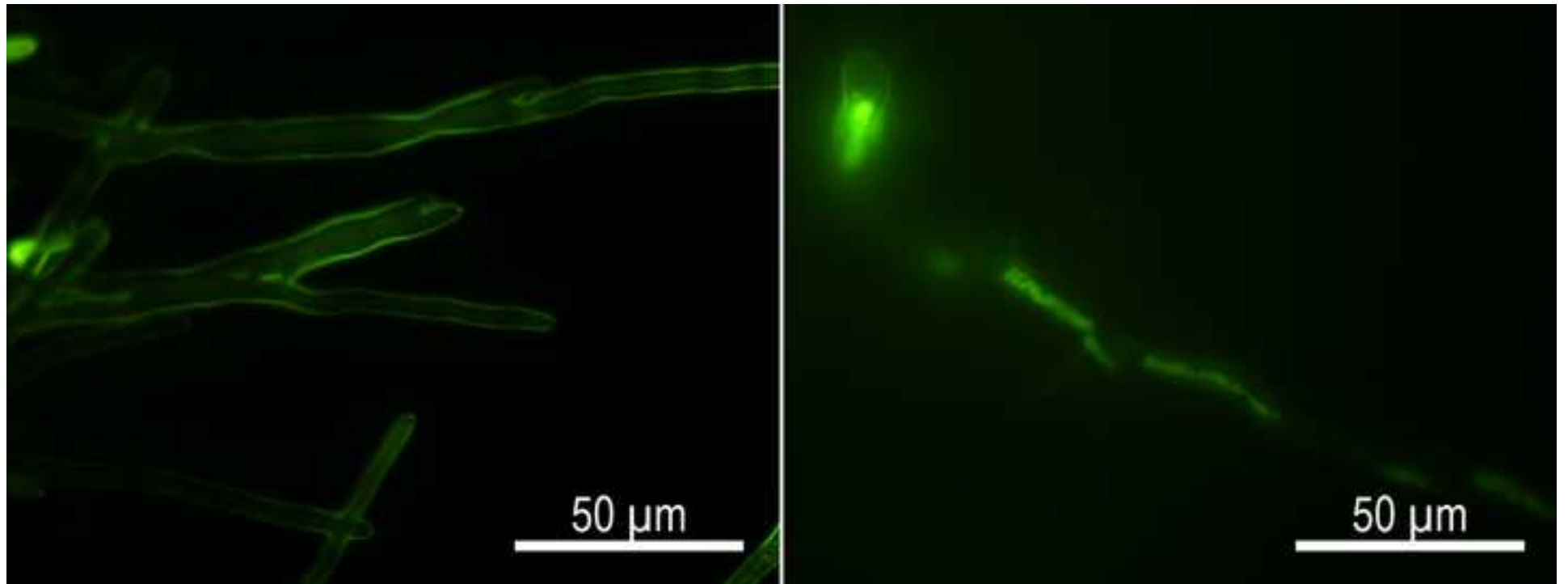




Figure 6

