

## Hyperhoneycomb Iridate $\beta$ -Li<sub>2</sub>IrO<sub>3</sub> as a Platform for Kitaev Magnetism

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A complex iridium oxide  $\beta$ -Li<sub>2</sub>IrO<sub>3</sub> crystallizes in a hyperhoneycomb structure, a three-dimensional analogue of honeycomb lattice, and is found to be a spin-orbital Mott insulator with  $J_{\text{eff}} = 1/2$  moment. Ir ions are connected to the three neighboring Ir ions via Ir-O<sub>2</sub>-Ir bonding planes, which very likely gives rise to bond-dependent ferromagnetic interactions between the  $J_{\text{eff}} = 1/2$  moments, an essential ingredient of Kitaev model with a spin liquid ground state. Dominant ferromagnetic interaction between  $J_{\text{eff}} = 1/2$  moments is indeed confirmed by the temperature dependence of magnetic susceptibility  $\chi(T)$  which shows a positive Curie-Weiss temperature  $\theta_{\text{CW}} \sim +40$  K. A magnetic ordering with a very small entropy change, likely associated with a noncollinear arrangement of  $J_{\text{eff}} = 1/2$  moments, is observed at  $T_c = 38$  K. With the application of magnetic field to the ordered state, a large moment of more than  $0.35 \mu_B/\text{Ir}$  is induced above  $3 T$ , a substantially polarized  $J_{\text{eff}} = 1/2$  state. We argue that the close proximity to ferromagnetism and the presence of large fluctuations evidence that the ground state of hyperhoneycomb  $\beta$ -Li<sub>2</sub>IrO<sub>3</sub> is located in close proximity of a Kitaev spin liquid.

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The recent surge of interest in the physics of spin-orbit coupling (SOC) in  $5d$  transition-metal based oxides was initiated by the discovery of a spin-orbital Mott insulating state in the layered iridate Sr<sub>2</sub>IrO<sub>4</sub> [1]. In Sr<sub>2</sub>IrO<sub>4</sub>, Ir<sup>4+</sup> ions with five  $5d$  electrons are octahedrally coordinated with O<sup>2-</sup> ions. The large splitting between the  $t_{2g}$  and  $e_g$  manifolds, due to cubic crystal field, allocates all five electrons into the  $t_{2g}$  manifold. SOC of heavy Ir, as large as 0.6 eV, reconstructs the  $t_{2g}$  manifold into a lower filled  $J_{\text{eff}} = 3/2$  quartet and upper half-filled  $J_{\text{eff}} = 1/2$  doublet. The  $J_{\text{eff}} = 1/2$  state consists of equal superposition of three  $t_{2g}$  orbitals with real and imaginary orbital components and opposite spins,  $|J_{\text{eff}} = 1/2\rangle = (1/\sqrt{3})[|d_{xy}, \pm\sigma\rangle \pm |d_{yz}, \mp\sigma\rangle + i|d_{zx}, \mp\sigma\rangle]$ , where  $\sigma$  denotes the spin state. Localized  $J_{\text{eff}} = 1/2$  moments are produced by the presence of modest Coulomb  $U$  in the half-filled  $J_{\text{eff}} = 1/2$  band, giving rise to a novel spin-orbital Mott insulator. The  $J_{\text{eff}} = 1/2$  Mott state has been established in a number of complex Ir<sup>4+</sup> oxides [2–4].

One of the most intriguing outcomes unique to the  $J_{\text{eff}} = 1/2$  Mott state may be an exotic magnetic coupling derived from the imaginary component of the  $J_{\text{eff}} = 1/2$  wave function [5]. In the edge-shared configuration of two adjacent IrO<sub>6</sub> octahedra,  $J_{\text{eff}} = 1/2$  moments interact essentially via the two 90° Ir-O-Ir bonds forming a square Ir-O<sub>2</sub>-Ir plane. The presence of imaginary components in the wave function yields a destructive interference of superexchange paths between the two Ir-O-Ir bonds. The remnant magnetic interaction, stemming from Hund’s

coupling, has a form of *bond-dependent ferromagnetic interaction*, which is an essential ingredient of the Kitaev model [6]. The Kitaev model consists of bond-dependent anisotropic and ferromagnetic coupling between the neighboring spins on a honeycomb lattice. If the three bonds sharing the same spin have ferromagnetic coupling only for  $x$ ,  $y$ , and  $z$  components, respectively, the bond-dependent polarization of spins conflicts with each other, giving rise to a frustration. The ground state of the Kitaev model with such bond frustration was solved exactly, and known to be a quantum spin liquid. The solid-state platform for the model, however, has been elusive so far. The honeycomb iridates comprising edge-sharing IrO<sub>6</sub> octahedra thus appear to be a promising arena for its materialization.

Possible realization of the Kitaev model in the honeycomb iridates  $\alpha$ -Li<sub>2</sub>IrO<sub>3</sub> and  $\alpha$ -Na<sub>2</sub>IrO<sub>3</sub> has triggered intensive investigations both experimentally and theoretically. Both  $\alpha$ -Li<sub>2</sub>IrO<sub>3</sub> and  $\alpha$ -Na<sub>2</sub>IrO<sub>3</sub> were discovered to order antiferromagnetically at around 15 K [7–9]. The Curie Weiss temperature is negative,  $\sim -125$  K for Na<sub>2</sub>IrO<sub>3</sub> and  $\sim -40$  K for Li<sub>2</sub>IrO<sub>3</sub>. This means that antiferromagnetic interaction, stronger than the ferromagnetic superexchange coupling, is present [10]. The magnetic ordering of Na<sub>2</sub>IrO<sub>3</sub> was found to be a zigzag type [11,12]. This could be ascribed to the coexistence of a Kitaev-type ferromagnetic interaction with dominant antiferromagnetic interactions [13,14]. The weak signature of the Kitaev interaction posed a serious question as to

whether it is possible to approach the Kitaev limit in honeycomb  $\alpha\text{-Na}_2\text{IrO}_3$  and  $\alpha\text{-Li}_2\text{IrO}_3$ .

The two honeycomb iridates have been so far the sole playground for the realization of the Kitaev model. In the search for a new platform for Kitaev physics, we discovered a new form of  $\text{Li}_2\text{IrO}_3$ ,  $\beta\text{-Li}_2\text{IrO}_3$ , consisting of a three-dimensional analogue of the honeycomb lattice of  $\text{Ir}^{4+}$  ions which we call the “hyperhoneycomb” lattice. The magnetic susceptibility  $\chi(T)$  of  $\beta\text{-Li}_2\text{IrO}_3$  evidences the dominant ferromagnetic coupling, very likely representing the Kitaev-type interaction. A noncollinear magnetic ordering is observed at 38 K, which turns into a ferromagnetic state of  $J_{\text{eff}} = 1/2$  moments under magnetic fields above 3 T. Theoretical studies on an extended Kitaev model for a hyperhoneycomb lattice demonstrated that the ground state should be also a quantum spin liquid [15]. We argue that the above results place  $\beta\text{-Li}_2\text{IrO}_3$  in close proximity to the three-dimensional Kitaev spin liquid.

The polycrystalline samples of  $\beta\text{-Li}_2\text{IrO}_3$  were synthesized by a solid state reaction from  $\text{Li}_2\text{CO}_3$ ,  $\text{IrO}_2$  and  $\text{LiCl}$  in a molar ratio of 10 : 1 : 100. The mixture was pressed into a pellet, and heated at  $1100^\circ\text{C}$  for 24 h, cooled to  $700^\circ\text{C}$  at a rate of 30 K/h and furnace cooled to room temperature. The sample was rinsed with distilled water to remove excess  $\text{LiCl}$ . The obtained powder product was found to consist of a new phase and a small trace of  $\text{IrO}_2$  from the powder x-ray diffraction pattern [16]. The new phase was revealed to be a new form of  $\text{Li}_2\text{IrO}_3$ ,  $\beta\text{-Li}_2\text{IrO}_3$ , isostructural to  $\beta\text{-Na}_2\text{PtO}_3$  [23]. The detailed structure was then refined by single crystal x-ray analysis using  $50\ \mu\text{m}$ -size crystal grains. The result of the refinement is summarized in Table I.

The crystal structure of  $\beta\text{-Li}_2\text{IrO}_3$  is illustrated in Fig. 1(a). It can be described as a distorted cubic close packed arrangement of oxygen atoms with iridium and lithium atoms occupying all octahedral holes in a specific ordered manner. The local structure around an iridium atom is closely related to that of honeycomb  $\alpha\text{-Li}_2\text{IrO}_3$ . Each  $\text{IrO}_6$  octahedron is connected with three neighboring  $\text{IrO}_6$  octahedra by sharing its three edges [Fig. 1(b)], which gives rise to three  $\text{Ir-O}_2\text{-Ir}$  planar bonds with their planes almost

orthogonal to each other. When Ir ions have a  $J_{\text{eff}} = 1/2$  moment, the exchange interaction via  $\text{Ir-O}_2\text{-Ir}$  paths very likely gives rise to anisotropic ferromagnetic coupling [5]. The network of iridium ions in  $\beta\text{-Li}_2\text{IrO}_3$ , depicted in Fig. 1(c), is closely linked to a honeycomb lattice. The 2D honeycomb lattice can be viewed as planar zigzag chains connected at the corners with bridging bonds. In the Ir sublattice of  $\beta\text{-Li}_2\text{IrO}_3$ , the zigzag Ir chains are connected by the bridging bonds parallel to the  $c$  axis. In contrast to the 2D honeycomb lattice, however, the zigzag chains are alternately rotated by  $69.9^\circ$  about the  $c$  axis [pink and blue chains in Fig. 1(c)] and connected to the bridging bonds in the layers above and below. Because of the close link to honeycomb structure, the Ir sublattice in  $\beta\text{-Li}_2\text{IrO}_3$  may be called hyperhoneycomb. In the hyperhoneycomb Ir sublattice, all the angles between the three Ir-Ir bonds are very close to  $120^\circ$ , and the distances between Ir atoms are almost equivalent (only  $\sim 0.2\%$  difference).

As an extension of the Kitaev model, the lattice equivalent to hyperhoneycomb lattice, with competing ferromagnetic polarizations between the three bonds, was studied theoretically [15]. The model could be mapped onto the Kitaev model and is exactly solvable. The ground state is a spin-liquid state as in the original Kitaev model.

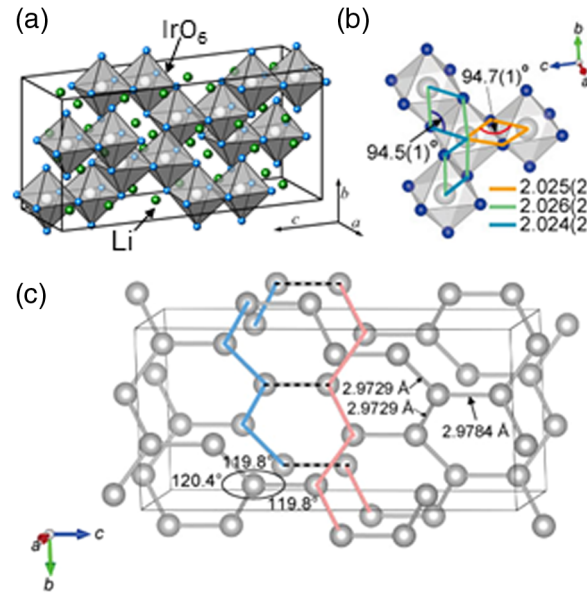


TABLE I. Structural parameters of  $\beta\text{-Li}_2\text{IrO}_3$ . The space group is  $Fddd$  (No. 70) and  $Z = 16$ , and the lattice constants are  $a = 5.9104(3)\ \text{\AA}$ ,  $b = 8.4562(4)\ \text{\AA}$ , and  $c = 17.8271(9)\ \text{\AA}$ .  $g$  and  $U_{\text{iso}}$  denote site occupancy and the isotropic displacement parameter, respectively. The final  $R$  indices are  $R = 0.027$  and  $wR = 0.0480$ .

Atom	Site	$g$	$x$	$y$	$z$	$U_{\text{iso}}(\text{\AA}^2)$
Ir	16g	1	1/8	1/8	0.70854(2)	0.00560(4)
O(1)	16e	1	0.8572(5)	1/8	1/8	0.0078(4)
O(2)	32h	1	0.6311(5)	0.3642(3)	0.0383(1)	0.0094(3)
Li(1)	16g	1	1/8	1/8	0.0498(5)	0.0051(11)
Li(2)	16g	1	1/8	1/8	0.8695(7)	0.0155(18)

FIG. 1 (color online). (a) Crystal structure of  $\beta\text{-Li}_2\text{IrO}_3$ . Green, gray, and blue spheres represent lithium, iridium, and oxygen atoms, respectively. (b) Local lattice network of  $\text{IrO}_6$  octahedra in  $\beta\text{-Li}_2\text{IrO}_3$  [24], displaying Ir-O bond lengths and two different Ir-O-Ir angles obtained from the single crystal analysis [16]. (c) Hyperhoneycomb lattice of Ir ions in  $\beta\text{-Li}_2\text{IrO}_3$ . The pink and blue lines show the twisted zigzag chains alternating along the  $c$  axis. The black dotted lines are the bond bridging the zigzag chains. The numbers indicated are Ir-Ir distances and the angles between Ir atoms.

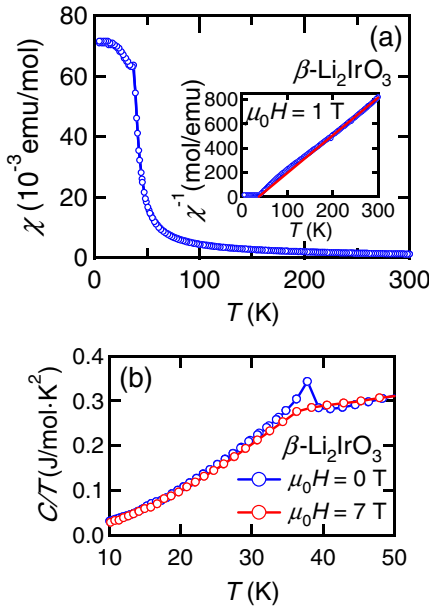


FIG. 2 (color online). (a) Temperature dependence of magnetic susceptibility for  $\beta\text{-Li}_2\text{IrO}_3$  under 1 T. The inset shows the temperature dependence of the inverse of magnetic susceptibility. The red solid line delineates the Curie-Weiss fit at high temperatures between 200 and 350 K. (b) Temperature dependence of specific heat divided by temperature recorded at 0 and 7 T.

We may therefore anticipate Kitaev physics and a possible spin-liquid state in  $\beta\text{-Li}_2\text{IrO}_3$ .

Resistivity measurements indicate that  $\beta\text{-Li}_2\text{IrO}_3$  is an insulator with a magnitude of resistivity of the order of 100  $\Omega\text{cm}$  at room temperature, which is 1 order of magnitude larger than that of  $\alpha\text{-Li}_2\text{IrO}_3$  [9]. Combined with the presence of the  $J_{\text{eff}} = 1/2$  moments described below, we conclude that  $\beta\text{-Li}_2\text{IrO}_3$  is a spin-orbital Mott insulator as in  $\alpha\text{-Li}_2\text{IrO}_3$ . The temperature dependence of magnetic susceptibility  $\chi(T)$ , measured on the polycrystalline sample, is shown in Fig. 2(a). The Curie-Weiss fitting at high temperatures between 200 and 350 K yields an effective moment of  $1.61 \mu_B/\text{Ir}$ , close to  $1.73 \mu_B/\text{Ir}$  of the ideal  $J_{\text{eff}} = 1/2$  moment, and a positive Curie-Weiss temperature  $\theta_{\text{CW}} \sim +40$  K. These imply the formation of  $J_{\text{eff}} = 1/2$  moments and the dominant ferromagnetic interaction among them. With decreasing temperature,  $\chi(T)$  shows a steep increase below  $\sim 50$  K, followed by a sharp kneelike anomaly at  $T_c = 38$  K indicative of magnetic ordering. The specific heat  $C(T)$  shows an anomaly at  $T_c = 38$  K, evidencing a second order magnetic phase transition.  $\chi(T)$  does not show a decrease below  $T_c$ , in contrast to those of collinear antiferromagnets. The ground state therefore is very likely a noncollinear antiferromagnet.

The Curie-Weiss temperature  $\theta_{\text{CW}} \sim +40$  K is very close to  $T_c = 38$  K, which at a glance would suggest a mean field like transition. Contrary to this, however, the magnetic entropy associated with the transition, estimated as  $\sim 0.2$  J/mol K from the specific heat anomaly, is at most

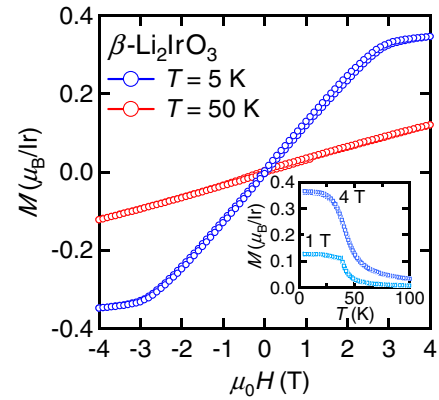


FIG. 3 (color online). Magnetization curve of  $\beta\text{-Li}_2\text{IrO}_3$ . The blue and red dots are data taken at 5 and 50 K, respectively. The inset shows the temperature dependence of magnetization under a magnetic field of 1 and 4 T.

a few % of  $R \ln 2$ , indicative of the presence of strong fluctuations.  $\theta_{\text{CW}} \sim +40$  K is therefore very likely a consequence of cancellation of ferromagnetic and antiferromagnetic interactions and the actual energy scale of ferromagnetic interactions should be much larger than that estimated from  $\theta_{\text{CW}}$ . This can be reasonably understood as the dominance of bond-dependent ferromagnetic interaction over other antiferromagnetic ones [25]. Frustrations must be involved in the magnetism and the magnetic ordering at  $T_c = 38$  K is marginally achieved [26].

The ground state is very close to ferromagnetism. The magnetization curve at 5 K (Fig. 3) clearly shows a magnetic-field induced change to a ferromagnetic state. At low fields, the magnetization increases linearly with field. With further increasing field, a kink is observed at  $\mu_0 H_c \sim 3$  T, followed by a gradual increase above 3 T. The magnitude of magnetization above 3 T is remarkably large,  $\sim 0.35 \mu_B/\text{Ir}$ , which is in marked contrast to the weak ferromagnetism with a moment of  $0.07 \mu_B/\text{Ir}$  arising from the canted  $J_{\text{eff}} = 1/2$  moments in  $\text{Sr}_2\text{IrO}_4$  [1]. The ordered moment in other antiferromagnetic iridates such as  $\text{Sr}_2\text{IrO}_4$  and  $\alpha\text{-Na}_2\text{IrO}_3$  was reported to be around  $0.20\text{--}0.36 \mu_B/\text{Ir}$  [27,28] and  $0.22 \mu_B/\text{Ir}$  [12], respectively. The large induced magnetization above  $0.35 \mu_B/\text{Ir}$  cannot be attributed to canting of  $J_{\text{eff}} = 1/2$  moments, implying that the  $J_{\text{eff}} = 1/2$  moments in  $\beta\text{-Li}_2\text{IrO}_3$  are about being fully polarized above 3 T. We argue that the kink at  $\mu_0 H_c \sim 3$  T may represent the lowest saturation field of  $J_{\text{eff}} = 1/2$  moments in the magnetization measurement on a polycrystalline sample with randomly oriented grains. The torque measurements on a small single crystal grain in fact indicated the presence of magnetic anisotropy [29]. Under a magnetic field of 4 T, the cusp at 38 K seen in the low field  $M(T)$  fades out as shown in the inset of Fig. 3. In accord with this, the peak in  $C/T$  is smeared out above 3 T, consistent with a ferromagnetic state of  $J_{\text{eff}} = 1/2$  moments in the field.

The proximity to a ferromagnetic state, as well as the presence of strong fluctuations, indicates that

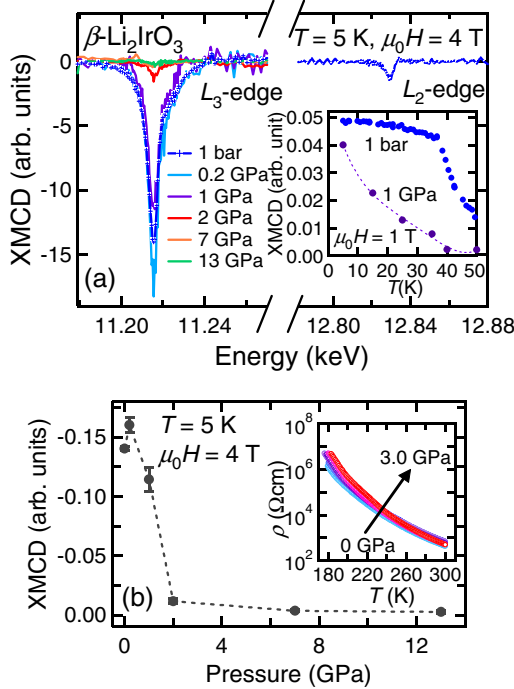


FIG. 4 (color online). (a) XMCD spectra at the Ir  $L_{2,3}$  edges for  $\beta$ - $\text{Li}_2\text{IrO}_3$ . The data are collected at  $T = 5$  K,  $\mu_0 H = 4$  T. The spectra at the  $L_3$  edge were also measured under high pressures. The inset shows the temperature dependence of the XMCD signal measured at 1 T under ambient pressure and 1–1.5 GPa. The uncertainty of pressure derives from the pressure change with temperature. (b) Pressure dependence of the XMCD signal of the  $L_3$  edge at  $T = 5$  K,  $\mu_0 H = 4$  T. The inset shows the temperature dependence of resistivity measured at 0, 0.3, 0.9, 1.2, 1.8, 2.4, and 3.0 GPa.

hyperhoneycomb  $\beta$ - $\text{Li}_2\text{IrO}_3$  is located at much closer vicinity to the Kitaev spin liquid than  $\alpha$ - $\text{Na}_2\text{IrO}_3$  and  $\alpha$ - $\text{Li}_2\text{IrO}_3$ . In those honeycomb iridates the weak signature of Kitaev-type interaction was at least partly ascribed to the distortion of planar Ir-O<sub>2</sub>-Ir bonds [9,30]. The Ir-O-Ir angles of  $\sim 95^\circ$  for  $\alpha$ - $\text{Li}_2\text{IrO}_3$  [7] and  $\sim 98^\circ$  for  $\alpha$ - $\text{Na}_2\text{IrO}_3$  [31] deviate appreciably from the ideal value of  $90^\circ$ . The two Ir-O bonds forming the Ir-O<sub>2</sub>-Ir plane are not equivalent,  $\sim 5.7\%$  different in length for  $\alpha$ - $\text{Li}_2\text{IrO}_3$  [7]. In sharp contrast, in  $\beta$ - $\text{Li}_2\text{IrO}_3$ , the Ir-O-Ir angles are  $\sim 94.5^\circ$  and the difference in the length among the inequivalent Ir-O bonds is only  $\sim 0.2\%$ , orders of magnitude smaller than that of  $\alpha$ - $\text{Li}_2\text{IrO}_3$ .

The nature of field-induced moments in the ordered state was investigated by x-ray magnetic circular dichroism (XMCD) on polycrystalline samples [16]. XMCD enables us to separate the spin and the orbital contributions to the magnetic moments. The XMCD spectra at 4 T shown in Fig. 4(a) display a clear asymmetry between the  $L_3$  (13% dichroism) and  $L_2$  (1.4% dichroism) edges, similar to those observed in other iridates [32,33]. Assuming  $\langle n_h \rangle = 5$  for the number of  $5d$  holes, the net orbital moment is estimated to be  $M_L = 0.242 \mu_B/\text{Ir}$  from the orbital sum rule for

XMCD [34]. The magnitude of magnetization  $M_{\text{total}}$  measured at 4 T,  $\sim 0.35 \mu_B/\text{Ir}$ , yields the net spin moment  $M_S = M_{\text{total}} - M_L = 0.35 - 0.242 \sim 0.11 \mu_B/\text{Ir}$ . The ratio of the orbital and the spin moments  $\langle L_z \rangle / \langle S_z \rangle$  is therefore  $\sim 4.4$ , which is very close to 4, expected for the ideal  $J_{\text{eff}} = 1/2$  moments [35]. The  $J_{\text{eff}} = 1/2$  picture works very well in  $\beta$ - $\text{Li}_2\text{IrO}_3$ .

The magnetic-field-induced ferromagnetic moments were found to be suppressed rapidly by applying pressure. As shown in Figs. 4(a) and 4(b), the XMCD signal starts to decrease above a pressure of 1 GPa accompanied by the strongly broadened transition, and almost vanishes above 2 GPa. The resistivity data shown in the inset of Fig. 4(b) indicate that  $\beta$ - $\text{Li}_2\text{IrO}_3$  remains insulating above 2 GPa. This implies that the vanishing of the XMCD signal is due to the rearrangement of  $J_{\text{eff}} = 1/2$  moments rather than the disappearance of localized  $J_{\text{eff}} = 1/2$  moments, suggesting the presence of energetically almost degenerate states near the ground state.

We argue that the small structural distortion of Ir-O<sub>2</sub>-Ir bonds and the almost ideal  $J_{\text{eff}} = 1/2$  local wave function in  $\beta$ - $\text{Li}_2\text{IrO}_3$  result in the predominance of Kitaev-type ferromagnetic interaction over the other interactions, including the nearest-neighbor Heisenberg and the long-range interactions. The other interactions, however, are not zero and superposed onto Kitaev-type ferromagnetic interaction, which we argue stabilize marginally the noncollinear ordering below  $T_c = 38$  K. The noncollinear spiral order is indeed envisaged to manifest itself at the critical boundary to the Kitaev liquid in the theoretical phase diagram of the extended Kitaev-Heisenberg model for 2D honeycomb lattice [36] and also for 3D analogues [37]. Under pressure, the intricate balance between Kitaev-type and other interactions is modified, resulting in a different magnetic ground state.

In summary, a complex  $\text{Ir}^{4+}$  oxide,  $\beta$ - $\text{Li}_2\text{IrO}_3$ , crystallizes in an intriguing structure, the hyperhoneycomb, which is a three-dimensional analogue of two-dimensional honeycomb structure.  $J_{\text{eff}} = 1/2$  moments on the hyperhoneycomb lattice, connected by the planar Ir-O<sub>2</sub>-Ir bonds, provide a promising playground towards the realization of Kitaev spin liquid. The magnetization data clearly support the predominance of Kitaev-type ferromagnetic interaction and the close proximity of  $\beta$ - $\text{Li}_2\text{IrO}_3$  to the Kitaev spin liquid state. However, the presence of other interactions, small but finite, appears to stabilize marginally a noncollinear ordering below  $T_c = 38$  K. Those results suggest that  $\beta$ - $\text{Li}_2\text{IrO}_3$  be the most promising candidate for the long-sought Kitaev spin liquid to date.

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