

Int J Clin Exp Pathol 2014;7(9):5968-5977  
[www.ijcep.com](http://www.ijcep.com) /ISSN:1936-2625/IJCEP0001378

## Original Article

# Clinicopathological and immunohistochemical evaluation of oral and oropharyngeal squamous cell carcinoma in Chilean population

César Rivera<sup>1,2,3</sup>, Wilfredo A González-Arriagada<sup>4</sup>, Marco Loyola-Brambilla<sup>5</sup>, Oslei Paes de Almeida<sup>2</sup>, Ricardo Della Coletta<sup>2</sup>, Bernardo Venegas<sup>6,7</sup>

<sup>1</sup>Unit of Histology and Embryology, Department of Basic Biomedical Sciences, University of Talca (UTALCA), Talca, Maule Region, Chile; <sup>2</sup>Department of Oral Diagnosis, School of Dentistry, State University of Campinas (UNICAMP), Piracicaba, São Paulo, Brazil; <sup>3</sup>Mass Spectrometry Laboratory, Brazilian Biosciences National Laboratory - CNPEM, Campinas, São Paulo, Brazil; <sup>4</sup>Oral Pathology and Diagnosis, Faculty of Dentistry, Universidad de Valparaíso, Valparaíso, Valparaíso Region, Chile; <sup>5</sup>Morpho-physiopathology and Cytodiagnosis Laboratory, San Sebastián University (USS), Concepción, Bío-Bío Region, Chile; <sup>6</sup>Unit of Oral Pathology, Department of Stomatology, University of Talca, Talca, Maule Region, Chile; <sup>7</sup>Carlos Van Buren Hospital, Valparaíso, Valparaíso Region, Chile

Received July 10, 2014; Accepted August 21, 2014; Epub August 15, 2014; Published September 1, 2014

**Abstract:** In oral and oropharyngeal squamous cell carcinoma (OCSCC and OPSCC) exist an association between clinical and histopathological parameters with cell proliferation, basal lamina, connective tissue degradation and surrounding stroma markers. We evaluated these associations in Chilean patients. A convenience sample of 37 cases of OCSCC (n=16) and OPSCC (n=21) was analyzed clinically (TNM, clinical stage) and histologically (WHO grade of differentiation, pattern of tumor invasion). We assessed the expression of p53, Ki67, HOXA1, HOXB7, type IV collagen (CollIV) and carcinoma-associated fibroblast ( $\alpha$ -SMA-positive cells). Additionally we conducted a univariate/bivariate analysis to assess the relationship of these variables with survival rates. Males were mostly affected (56.2% OCSCC, 76.2% OPSCC). Patients were mainly diagnosed at III/IV clinical stages (68.8% OCSCC, 90.5% OPSCC) with a predominantly infiltrative pattern invasion (62.9% OCSCC, 57.1% OPSCC). Significant association between regional lymph nodes (N) and clinical stage with OCSCC-HOXB7 expression (Chi-Square test  $P < 0.05$ ) was observed. In OPSCC a statistically significant association exists between p53, Ki67 with gender (Chi-Square test  $P < 0.05$ ). In OCSCC and OPSCC was statistically significant association between ki67 with HOXA1, HOXB7, and between these last two antigens (Pearson's Correlation test  $P < 0.05$ ). Furthermore OPSCC-p53 showed significant correlation when it was compared with  $\alpha$ -SMA (Kendall's Tau-c test  $P < 0.05$ ). Only OCSCC-pattern invasion and OPSCC-primary tumor (T) pattern resulted associated with survival at the end of the follow up period (Chi-Square Likelihood Ratio,  $P < 0.05$ ). Clinical, histological and immunohistochemical features are similar to seen in other countries. Cancer proliferation markers were associated strongly from each other. Our sample highlights prognostic value of T and pattern of invasion, but the conclusions may be limited and should be considered with caution (small sample). Many cases were diagnosed in the advanced stages of the disease, which suggests that the diagnosis of OCSCC and OPSCC is made late.

**Keywords:** Squamous cell carcinoma, oral cavity cancer, oropharyngeal cancer, TNM, pattern of tumor invasion, p53, ki67, HOX genes, type IV collagen, carcinoma-associated fibroblast

## Introduction

Oral cavity squamous cell carcinoma (OCSCC) is one of the six most common types of cancer in humans, with an annual incidence of 300,000 cases worldwide [1]. It represents 95% of all head and neck cancers, and with an increasing incidence over the last decade [2]. It

is often diagnosed in advanced stages and the overall 5-year survival is below 50% [2], but early diagnosis is associated with high survival rates [3]. Tobacco and alcohol are present in 90% of cases [3], having both a synergetic effect [4]. Oropharyngeal squamous cell carcinoma (OPSCC) comprises tonsil and the base of tongue as well as the walls of the pharynx and

## Immunohistochemical evaluation of OCSCC and OPSCC

**Table 1.** Clinical and pathological characteristics of patients with OCSCC (n = 16) and OPSCC (n = 21)

Features	OCSCC n (%)	OPSCC n (%)
Gender		
Female	7 (43.8)	5 (23.8)
Male	9 (56.2)	16 (76.2)
TNM		
Primary tumor (T)		
T1	3 (18.8)	5 (23.8)
T2	5 (31.3)	5 (23.8)
T3	2 (12.5)	5 (23.8)
T4	6 (37.5)	6 (28.6)
Regional lymph nodes (N)		
0	8 (50)	6 (28.6)
1	4 (25)	5 (23.8)
2	3 (18.8)	8 (38.1)
3	1 (6.2)	2 (9.5)
Metastasis (M)		
M0	16 (100)	21 (100)
Clinical Stage		
I/II	5 (31.2)	2 (9.5)
III/IV	11 (68.8)	19 (90.5)
Differentiation degree		
Well	4 (25)	1 (4.8)
Moderate	8 (50)	17 (81)
Poor	4 (25)	3 (14.3)
Pattern of tumor invasion		
Cohesive	5 (37.1)	9 (42.9)
Infiltrative	11 (62.9)	12 (57.1)
Survival state		
Alive <sup>®</sup>	7 (43.8)	6 (31.6)
Deceased <sup>†</sup>	9 (56.2)	13 (68.4)*

<sup>®</sup>Percentage for those who remain alive until the end of the follow up period with specified feature. <sup>†</sup>Percentage of those who have died with the specified feature. \*In survival of OPSCC patients 2 cases were excluded from the analysis.

the soft palate [5], shares the same risk factors that OCSCC, showing a subgroup associated to high-risk types of human papillomavirus (HPV), especially HPV16 [6]. In OCSCC and OPSCC exist an association between clinical and histopathological parameters with markers of cell proliferation, basal lamina and connective tissue degradation and surrounding stroma cells [7]. In order to study these associations, the aim of this study was to make a descriptive analysis in OCSCC/OPSCC Chilean patients,

**Table 2.** Survival of patients with oral and oropharyngeal squamous cell carcinoma n (%)

State	Years survival		
	1	3	4
OCSCC			
Alive	15 (93.8)	8 (50)	8 (50)
Deceased	1 (6.3)	8 (50)	8 (50)
OPSCC			
Alive	14 (73.7)	10 (52.6)	7 (36.8)
Deceased	5 (26.3)	9 (47.4)	12 (63.2)

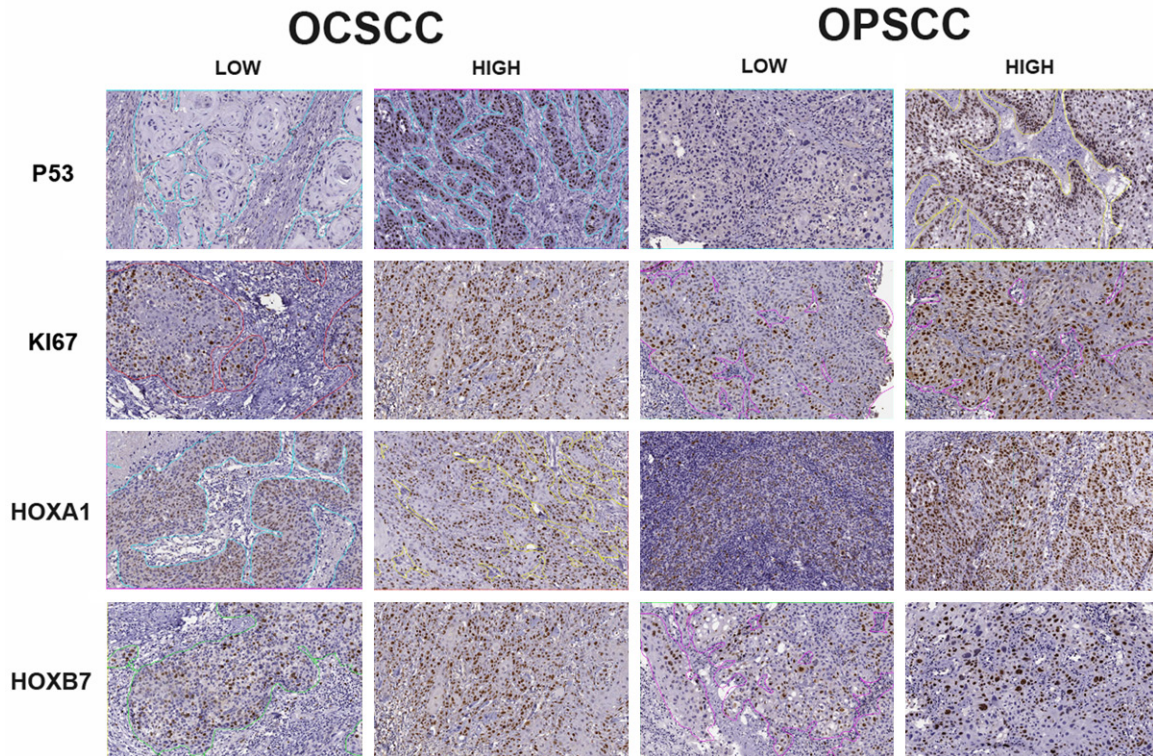
with focus in TNM, WHO grade of differentiation, proliferation, invasion, myofibroblasts and HOX genes markers. Additionally we conducted a univariate and bivariate analysis to assess the relationship between these variables with survival rates.

### Material and methods

A retrospective analysis was made in files of Carlos Van Büren Hospital (Valparaíso, Chile) during the period from 2000 to 2010. All the specimens were incisional biopsies from patients with a diagnosis of OCSCC (n=16) and OPSCC (n=21), and new slides stained with hematoxylin and eosin were performed for a previous examination and histological description. Clinicopathological data, such as sex, site of the primary tumour, and clinical staging, were collected from patient's charts. The use of the samples and procedures were approved by the Hospital Scientific Committee and the Bioethics Committee of the University of Talca (number 2013-051, <http://www.cesarivera.cl/research/ethics051.pdf>). Immunohistochemical techniques were executed in the Pathology Department, Faculty of Dentistry, University of Campinas, Piracicaba, Brazil, and the Department of Stomatology, University of Talca, Talca, Chile. In order to preserve confidentiality, data was encrypted.

### Histopathological features

After the selection of incisional biopsy specimens from each case, new sections 5 µm thick were taken from the fixed paraffin blocks. The sections were stained with hematoxylin-eosin and were re-evaluated under light microscopy by two different observers independently, who did not know the clinical data (blind study).



**Figure 1.** Aperio's IHC Nuclear Image Analysis. Rows show each antigen evaluated by Aperio. Columns correspond to values over and under of median. Sometimes it was necessary to defining the assessment area (in colors) for subsequent analysis.

Each specimen was histologically graded according to the International Classification of Tumors of WHO: well differentiated, moderately differentiated and poorly differentiated. The pattern of tumor invasion was analyzed according to the grading system proposed by Bryne [8], classifying it into cohesive (Bryne 1-2) and infiltrative (Bryne 3-4).

#### *Immunohistochemistry*

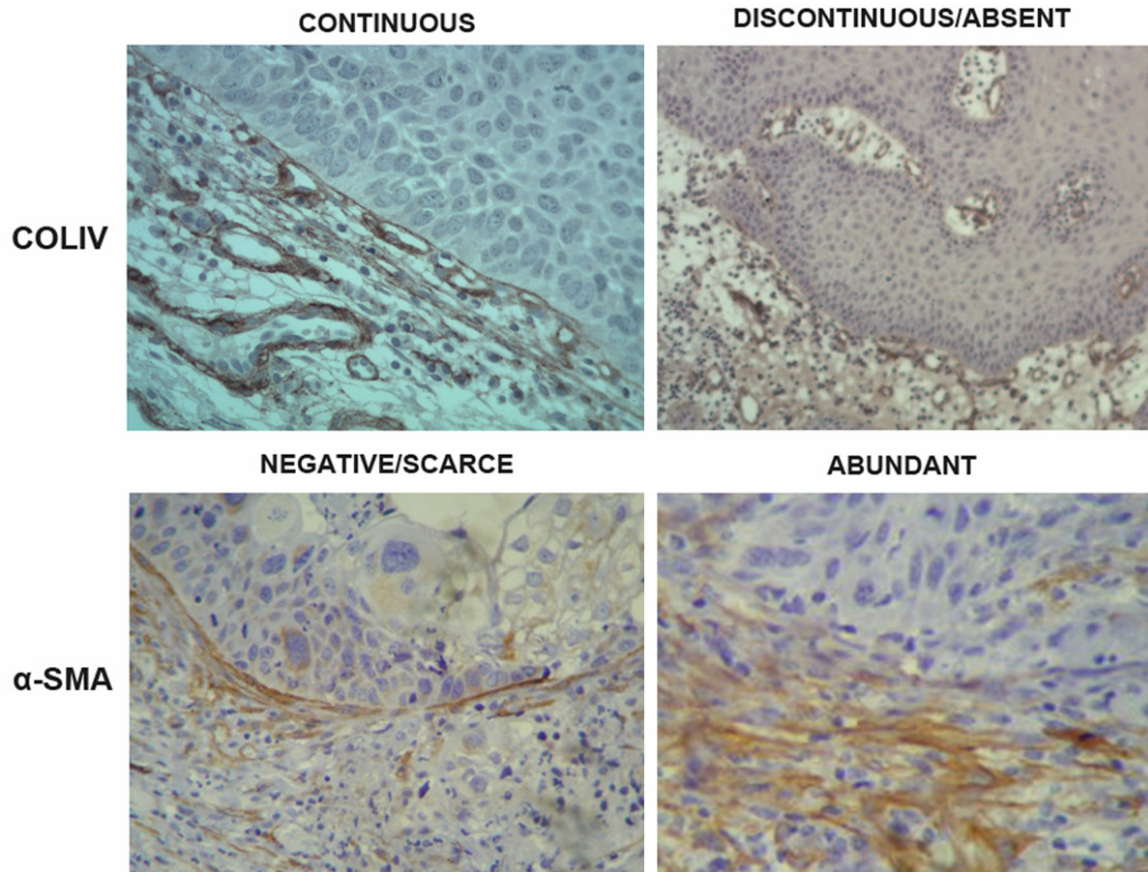
The reactions were conducted in 3  $\mu$ m sections of the original formalin-fixed, paraffin-embedded tissues that were dewaxed with xylene and then hydrated in an ethanol series. The sections were treated with 3%  $H_2O_2$  followed by antigen retrieval in 10 mM citrate buffer (pH 6.0) in a pressure cooker. After being washed in phosphate-buffered saline (pH 7.4), slides were incubated overnight with primary antibodies: anti-p53 (DO-7, Dako A/S, Denmark: 1/200), anti-Ki67 (MIB-1, Dako A/S, Denmark: 1/100), anti-HOXA1 (Polyclonal, Abcam, Cambridge, MA, USA 1/100) anti-HOXB7 (4C6, Abcam, Cambridge, USA: 1/100), anti-ColIV (CIV22; Bio SB, Santa Barbara, USA: 1/20), anti- $\alpha$ -SMA

(1st 4; Dako A/S, Denmark: 1/100) followed by the LSAB method (LSAB + kit System-HRP, Dako). All slides were subsequently exposed to avidin-biotin complex and horseradish peroxidase reagents (LSAB kit; DakoCytomation, Glostrup, Denmark) and diaminobenzidine tetrahydrochloride (Sigma, St. Louis, MO) and subsequently counterstained with Carazzi hematoxylin. Adequate positive control sections were used for each antibody, and the negative control was obtained by omitting the primary specific antibody.

#### *Immunohistochemical analysis*

For quantitative analysis the stained slides were scanned using an Aperio ScanScope CS scanner (Aperio Technologies Inc., USA). The labeling index for p53, Ki-67, HOXA1 and HOXB7 was measured with the Aperio's IHC Nuclear Image Analysis algorithm (NIA). The NIA was split into two categorical groups (high and low), where the median was the cutoff point. ColIV staining was evaluated by two researchers, along the basement membrane of carcinomas and classified as continuous (C=a strip remains

## Immunohistochemical evaluation of OCSCC and OPSCC



**Figure 2.** Expression of basal lamina degradation (OCSCC) and carcinoma-associated fibroblast (OPSCC) markers.

**Table 3.** Labeling index for each antigen

Antigen	OCSCC			OPSCC		
	NIA mean $\pm$ SD	NIA Median	Mode	NIA mean $\pm$ SD	NIA median	Mode
p53	29.1 $\pm$ 28	23.5	-	23.5 $\pm$ 27.8	6	-
ki67	41.4 $\pm$ 8.2	40.5	-	45.2 $\pm$ 13.8	47	-
HOXA1	36.2 $\pm$ 10.2	30.5	-	39.6 $\pm$ 10.8	38	-
HOXB7	41.9 $\pm$ 12.1	42	-	44.2 $\pm$ 11.5	43	-
ColIV	-	-	D/A (n=16)	-	-	D/A (n=20)
$\alpha$ -SMA	-	-	N/S (n=11)	-	-	N/S (n=14)

SD, standard deviation; D/A, Discontinuous + Absent; N/S, Negative + Scarce.

in the basal membrane), discontinuous (D=a fragmented membrane) and absent (A=when no staining was observed) [9], and then dichotomized (D/A and C). Presence of  $\alpha$ -SMA was rated as negative + scarce (N/S) or abundant (A).

### Statistical analysis

The interobserver concordance was evaluated through Lin coefficient for percentages and Kappa for categorized variables. The Kolmo-

gorov-Smirnov test was used to test the hypothesis of normality. The relationship between the clinicopathological characteristics and survival status at follow-up was assessed using cross tables with Chi-Square Like-lihood Ratio (LR), Pearson's Correlation and Kendall's Tau-c test. Survival curves were created using the Kaplan Meier method with comparisons through the log rank test. In all the procedures a 95% confidence interval (CI) was used.

## Immunohistochemical evaluation of OCSCC and OPSCC

**Table 4.** Correlation between antigens expression and clinicopathological variables in oral and oropharyngeal squamous cell carcinomas

Characteristic	OCSCC <sup>#</sup>					OPSCC					
	p53	ki67	HOXA1	HOXB7	α-SMA	p53	ki67	HOXA1	HOXB7	COLIV	α-SMA
Clinic											
Gender	0.614	0.614	0.614	0.949	0.377	0.015*	0.696	0.027*	0.157	0.567	0.469
Primary tumor	1	0.317	1	0.614	0.59	0.835	0.505	0.256	0.123	0.283	0.537
Regional lymph nodes	0.317	0.317	0.003	0.012*	0.59	0.89	0.407	0.163	0.269	0.517	0.306
Clinical stage	1.06	0.59	1.06	0.049*	0.513	0.943	0.943	0.83	0.156	0.740	0.599
Histopathology											
Differentiation degree	1	1	1	0.771	0.755	0.283	0.283	0.237	0.283	0.819	0.469
Pattern of tumor invasion	0.59	0.59	0.59	0.838	0.611	0.130	0.256	0.899	0.801	0.375	1

<sup>#</sup>In OCSCC COLIV antigen was removed; no statistics are computed because COLIV is a constant. Features were correlated with by cross-tabulation and the Chi-Square test (only *p*-value is shown). \**p* ≤ 0.05.

**Table 5.** Correlation between antigens immunoexpression in oral and oropharyngeal squamous cell carcinomas

Antigens	OCSCC <sup>#</sup>					OPSCC					
	p53	ki67	HOXA1	HOXB7	α-SMA	p53	ki67	HOXA1	HOXB7	COLIV	α-SMA
p53											
Correlation	-	-0.151	-0.377	-0.006	0.174	-	-0.003	0.009	-0.128	-0.125	0.389
<i>P</i> -value	-	0.577	0.151	0.983	0.427	-	0.990	0.579	0.579	0.508	0.040*
Ki67											
Correlation	-0.006	-	0.749	0.801	0.125	-0.003	-	0.609	0.605	-0.204	-0.184
<i>P</i> -value	0.983	-	0.001	***	0.57	0.990	-	0.003**	0.019*	0.282	0.331
HOXA1											
Correlation	-0.151	0.801	-	0.809	-0.1	0.009	0.009	-	0.815	-0.203	-0.098
<i>P</i> -value	0.577	***	-	***	0.649	0.579	0.579	-	***	0.282	0.601
HOXB7											
Correlation	-0.006	0.801	0.809	-	-0.212	-0.128	0.609	0.815	-	-0.098	-0.098
<i>P</i> -value	0.983	***	***	-	0.335	0.579	0.003**	***	-	0.601	0.601
ColIV											
Correlation	-	-	-	-	-	-0.125	-0.204	-0.203	-0.093	-	0.158
<i>P</i> -value	-	-	-	-	-	0.508	0.282	0.282	0.620	-	0.480
α-SMA											
Correlation	0.174	0.125	-0.1	-0.212	-	0.389	-0.184	-0.021	-0.098	0.158	-
<i>P</i> -value	0.427	0.57	0.649	0.335	-	0.040*	0.331	0.911	0.601	0.480	-

<sup>#</sup>In OCSCC COLIV antigen was removed, no statistics are computed because COLIV is a constant. For comparison between numerical variables Pearson's Correlation was used. In numerical vs categorical variables Kendalls Tau-c was used. For comparison between categorical variables Kendalls Tau-b was used. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001.

### Results

#### Patients-age, sex, clinical stage and survival

**Table 1** summarizes the main clinical and pathologic features observed. For all microscopic analysis, interobserver agreement (categorized variables) ranged from 75% to 97% among the examiners. Age of OCSCC patients

ranged from 41 to 79 years with a median of 63.9 years for OCSCC patients and 60.4 for OPSCC. Males were mostly affected, with 56.2% in OCSCC and 76.2% in OPSCC. Patients were mainly diagnosed at III/IV clinical stages (68.8% OCSCC, 90.5% OPSCC) with a predominantly infiltrative pattern invasion (62.9% in OCSCC, 57.1% in OPSCC). Fifteen deaths from OPSCC group were registered and 2 not linked

## Immunohistochemical evaluation of OCSCC and OPSCC

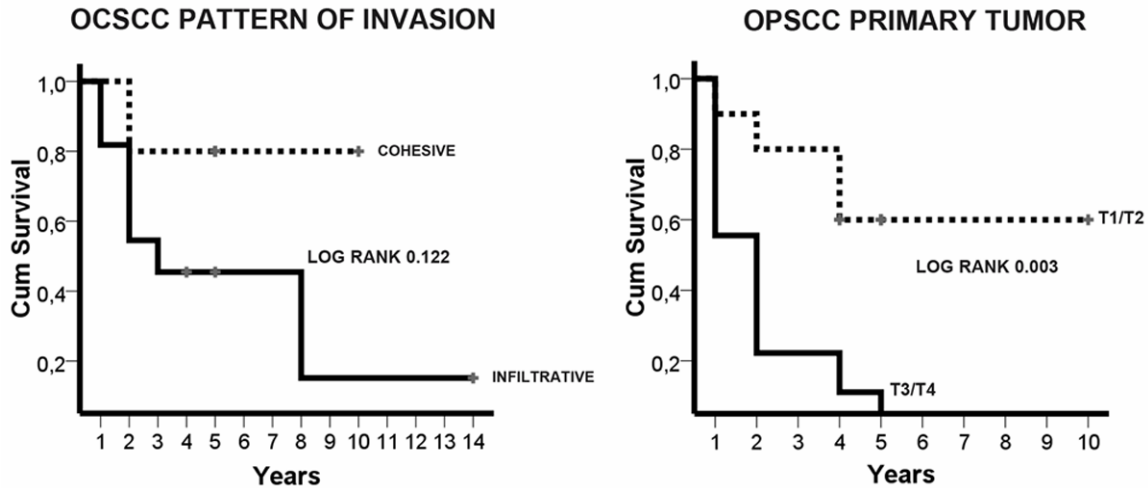
**Table 6.** Association between survival status and clinical, pathological and immunohistochemical parameters of patients with OCSCC and OPSCC n (%)

Characteristic	State							
	OCSCC				OPSCC			
	Alive <sup>®</sup> 7 (43.8)	Deceased <sup>#</sup> 9 (56.2)	LR	P value	Alive <sup>®</sup> 6 (31.6)	Deceased <sup>#</sup> 13 (68.4)*	LR	P value
<i>Clinic</i>								
Gender			1.189	0.276			2.421	0.120
Female	2 (28.6)	5 (71.4)			3 (60)	2 (40)		
Male	5 (55.6)	4 (44.4)			3 (21.4)	11 (78.6)		
Primary tumor (T)			0.255	0.614			10.239	0.001**
T1/T2	4 (50)	4 (50)			6 (60)	4 (40)		
T3/T4	3 (37.5)	5 (62.5)			0	9 (100)		
Regional lymph nodes (N)			2.348	0.125			5.754	0.16
N0	5 (62.5)	3 (37.5)			0	6 (100)		
N+	2 (25)	6 (75)			6 (46.2)	7 (53.8)		
Clinical stage			0.779	0.377			1.624	0.202
I/II	3 (60)	2 (40)			0	2 (100)		
III/IV	4 (36.4)	7 (63.6)			6 (35.3)	11 (64.7)		
<i>Histopathology</i>								
Differentiation degree			0.84	0.772			0.784	0.376
Well	2 (50)	2 (50)			0	1 (100)		
Moderate/Poor	5 (41.7)	7 (58.3)			6 (33.3)	12 (66.7)		
Pattern of tumor invasion			4.035	0.045*			2.177	0.140
Cohesive	4 (80)	1 (20)			4 (50)	4 (50)		
Infiltrative	3 (27.3)	8 (72.7)			2 (18.2)	9 (81.8)		
<i>Immunohistochemical</i>								
p53			2.348	0.125			0.704	0.401
Low	5 (62.5)	3 (37.5)			4 (40)	6 (60)		
High	2 (25)	6 (75)			2 (22.2)	7 (77.8)		
Ki67			0.255	0.614			2.512	0.113
Low	4 (50)	4 (50)			5 (45.5)	6 (54.5)		
High	3 (37.5)	5 (62.5)			1 (12.5)	7 (87.5)		
HOXA1			2.348	0.125			2.512	0.113
Low	2 (25)	6 (75)			5 (45.5)	6 (54.5)		
High	5 (62.5)	3 (37.5)			1 (12.5)	7 (87.5)		
HOXB7			0.912	0.340			0.704	0.401
Low	3 (33.3)	6 (66.7)			4 (40)	6 (60)		
High	4 (57.1)	3 (42.9)			2 (22.2)	7 (77.8)		
ColIV			-	-				
Continuous	-	-			0	1 (100)	0.784	0.376
Discontinuous/Absent	7 (43.8)	9 (56.3)			6 (33.3)	12 (66.7)		
α-SMA			0.42	0.838				
Negative/Scarce	5 (45.5)	5 (54.5)			4 (33.3)	8 (66.7)	0.47	0.829
Abundant	2 (40)	4 (60)			2 (28.6)	5 (71.4)		

<sup>®</sup>Percentage for those who remain alive until the end of the follow up period with specified feature. <sup>#</sup>Percentage of those who have died with the specified feature. \*In survival of OPSCC patients 2 cases were excluded from the analysis. RV Likelihood Ratio, as bigger the number is, more powerful is the marker. \* $P < 0.05$ , \*\* $P < 0.01$ .

to cancer (respiratory failure and brain-cranial trauma), which were excluded from the analy-

sis. **Table 2** shows the survival for 1, 3, and 4 years after diagnosis.



**Figure 3.** Kaplan-Meier curves for OCSCC-pattern of tumor invasion and OPSCC-primary tumor. Log Rank test shows significant differences between pairs, only in OPSCC.

*Biomarkers expression and bivariate correlations*

**Figures 1 and 2** illustrate the immunohistochemical stains performed. Antibodies were labeled positivity in all samples tested. All antigen data were normally distributed (Kolmogorov-Smirnov). **Table 3** shows the median of the labeling index for each antibody. **Table 4** shows association between studied biomarkers with clinical and histopathological parameters of OCSCC and OPSCC. Highlight the significant association between regional lymph nodes (N) and clinical stage with OCSCC-HOXB7 expression (Chi-Square test  $P < 0.05$ ). In OPSCC a statistically significant association exists between p53, Ki67 with gender (Chi-Square test  $P < 0.05$ ). When the biomarkers correlation were evaluated (**Table 5**) in OCSCC and OPSCC the Pearson's Correlation test established significant association between ki67 with HOXA1, HOXB7, and between these last two antigens ( $P < 0.05$ ). Additionally, in OPSCC, p53 showed significant correlation when it was compared with  $\alpha$ -SMA (Kendall's Tau-c test  $P < 0.05$ ).

*Univariate analysis-survival state*

The association between the immunohistochemical expression with survival was performed. In OCSCC patients pattern of tumor invasion resulted significantly associated with survival at the end of the follow up period (Chi-Square Likelihood Ratio,  $P < 0.05$ ), where cases with infiltrative pattern corresponded to the

88.9% of all OCSCC deaths (**Table 6**). In OPSCC patients, primary tumor (T) has a significant association with survival (Chi-Square Likelihood Ratio,  $P < 0.01$ ), T3/T4 cases represents the 69.2% of all OPSCC deaths (**Table 4**). All the immunohistochemical expression showed no association with survival.

*Kaplan-Meier survival curves*

Survival functions are presented in **Figure 3**. In the study of OCSCC invasion pattern, mean survival for cohesive group was  $8.4 \pm 1.4$  years versus infiltrative, which was  $5.5 \pm 1.4$  years, no showing significant differences (Log Rank test,  $P=0.12$ ). Regarding the OPSCC primary tumor, median survival for T1/T2 group was  $7.1 \pm 1.2$  years versus T3/T4, which was  $2.1 \pm 0.5$  years, showing significant differences between the pairs (Log Rank test,  $P=0.003$ ).

**Discussion**

OCSCC and OPSCC still represent a global health problem due of its impact and unpredictable behavior. They are tumors that occurs mainly in elderly people [3], however it has been reported an increase in the incidence of OPSCC associated to HPV infection in young patients [10]. In our sample, the mean age was the seventh decade for both OCSCC and OPSCC. No statistical association between the ages at diagnosis and survival was previously reported [3]. In our research, OCSCC and OPSCC affected mostly male, which is similar to

previous reports for OCSCC [3, 11] and OPSCC [12, 13]. Considering both groups (OCSCC/OPSCC), the mortality rate grouped by gender shows an increment in the women when compared with previously Chilean reports [14].

The correlation between HPV, and OPSCC was recognized by the International Agency for Research against Cancer (IARC) [5]. Furthermore, HPV-positive and HPV-negative OPSCC were suggested to likely be different entities [10]. We were not able to determine the presence of HPV in the sample, which is a limitation. Future research should consider this background, because the cases being HPV16-positive was shown to have a better clinical outcome compared to HPV-negative OPSCC [5].

The management of patients it is determined by health status, clinical staging system tumor-lymph node-metastasis (TNM classification) supplemented with additional pathological information [15]. In accordance with our findings, T was significantly associated with OPSCC survival status. From patients who died, 100% had tumors classified as T3/T4, also showing significant difference between T1/T2 versus T3/T4 group in survival curve. Therefore, a more severe classification for T in our sample is associated with poor survival. We were unable to find articles that inform T as a prognostic factor in OPSCC. In a previous research [16], from tumors of the oral cavity and oropharynx, it was demonstrated that the mean tumor thickness of patients who died with OCSCC was two times higher from those who died without this cancer.

Most patients of our sample (68.8% OCSCC, 90.5% OPSCC) were diagnosed in advanced clinical stages (stages III/IV), information consistent with the literature. Chilean National Institute of Cancer statistics shows similar data, as 78% of their OCSCC patients were classified as stages III and IV [17]. A previous research evaluated survival and patterns of recurrence in 200 oral/oropharyngeal mucosa cancers and shows that 72% of patients were diagnosed in advanced clinical stages III/IV [11].

The World Health Organization (WHO) recommends that the classification based on the

degree of differentiation should be complemented by the evaluation of pattern of tumor invasion (PTI) [18]. In the clinical setting, the PTI is considered the most significant feature in terms of predictive values [3, 18]. PTI reflects malignant biological mechanisms such as the loss of contact inhibition and tumor cell motility. In this research, the PTI has also demonstrated an association with survival rate in OCSCC cases, and 88.9% of patients who died at the end of the follow-up showed an infiltrating pattern invasion. A recent study [19] reported that the PTI of OCSCC in clinical stage I and II is predictive for local and regional recurrence and disease-specific survival rate. Patients who had a pattern with tumoral satellites of  $\geq 1$  mm from the main tumor, showed a 42% probability of recurrence and 21% for death. Another study [20] stated that graduation for PTI was an independent prognostic factor in OCSCC cases and was significantly associated with distant metastasis and local recurrence; however there was no statistical correlation between PTI and other factors such as gender, age, tumor size, location and commitment of lymph nodes, clinical stage or degree of tumor differentiation, however a higher ranking for the PTI was analyzed and associated with poor survival rates and high probability of recurrence. The significant association between the PTI and OCSCC survival state in this study further supports this fact; however in the survival curves (Kaplan Meier), there was not statistically significant difference between the cohesive versus infiltrating type. The PTI did not decrease the OCSCC survival rates, probably because a larger sample size will be required for this parameter shows its influence.

In OCSCC and OPSCC different stromal cells and tumor cells activities were observed. An association between cell proliferation markers in the basal lamina and connective tissue has been identified, where hyperproliferative cancer cells may induce CollV degradation and facilitate tumor invasion, also stimulating an increase of cancer-associated fibroblasts [7]. Looking for immunohistochemical markers, which represent molecular events in the process of malignant transformation, proliferative markers (p53, Ki67, and HOXB7 HOXA1), basal membrane markers (COLIV) and tumor stroma myofibroblast markers ( $\alpha$ -SMA) were studied.



Interestingly, interaction among p53, HOXA1, and gender was founded in our OPSCC patients, which may possibly be explained because head and neck cancer is traditionally a disease of elderly men with a history of chronic exposure to the carcinogenic effects of tobacco and alcohol [21].

In our study, the homeobox gene HOXB7 was significantly associated with regional lymph nodes commitment and clinical stage in OCSCC. Previous data suggest that HOXB7 may contribute to oral carcinogenesis by increasing tumor cell proliferation associated with TNM [22]. In OCSCC patients, a strongly positive linear correlation between Ki67, HOXA1 and HOXB7 was observed. Furthermore, in OPSCC group Ki67 showed a weak direct correlation versus HOXA1 and HOXB7 (these last two factors presents a strong positive correlation again). This evidence is consistent with recent findings, reporting that HOXA1 promotes cellular proliferation in vitro [23] and HOXB7 induces the accelerating G1/S transition, promote cell growth and proliferation [24].

The failure of applied immunohistochemistry in the analysis of molecular markers for predicting prognosis was unexpected. Selection of biomarkers in our research relied on molecules that previously demonstrated a potential prognostic role, and these showed no association with survival, which could be due to characteristics of our sample and storage of biological material in the hospital environment and its characteristics, that could affect antigen retrieval. One of the further difficulties of our design was to obtain a larger sample because there were missing data in clinical records, which not allow increasing the number of individuals.

Our results suggest that our patients, clinical, histological and immunohistochemical features are similar to seen in other countries. Many were diagnosed in the advanced stages of the disease, which suggests that the diagnosis of OCSCC and OPSCC is made late. The parameters associated with the survival state at the end of follow up were the OCSCC pattern of invasion and OPSCC maximum surface diameter of the primary tumor. Despite the fact that our patient material is small, we think it is important to explore the survival analysis, although the conclusions may be limited and

should be considered with caution. The large battery of markers studied will be allowed to compare this data with future new lines of investigation.

### Acknowledgements

This research was supported by the Sao Paulo State Research Foundation (FAPESP) No. 2009/53839-2 and UTALCA Master's Grant to CR. Preliminary version of this paper was presented at "XVI Congress of Anatomy in the Southern Cone" in Talca, Chile, on October, 2013. CR is beneficiary of Scholarships-Chile (BecasChile CONICYT) program.

### Disclosure of conflict of interest

None.

**Address correspondence to:** Dr. César Rivera, Department of Basic Biomedical Sciences, Faculty of Health Sciences, University of Talca, Lircay Av. S/N, Maule Region, Talca 3460000, Chile. E-mail: [contacto@cesarrivera.cl](mailto:contacto@cesarrivera.cl)

### References

- [1] Wang Q, Gao P, Wang X and Duan Y. Investigation and identification of potential biomarkers in human saliva for the early diagnosis of oral squamous cell carcinoma. *Clin Chim Acta* 2013; 427C: 79-85.
- [2] Parkin D, Bray F, Ferlay J and Pisani P. Global cancer statistics, 2002. *CA Cancer J Clin* 2005; 55: 74.
- [3] Dissanayaka WL, Pitiyage G, Kumarasiri PV, Liyanage RL, Dias KD and Tilakaratne WM. Clinical and histopathologic parameters in survival of oral squamous cell carcinoma. *Oral Surg Oral Med Oral Pathol Oral Radiol* 2012; 113: 518-525.
- [4] Koontongkaew S. The tumor microenvironment contribution to development, growth, invasion and metastasis of head and neck squamous cell carcinomas. *J Cancer* 2013; 4: 66.
- [5] Dalianis T. Human papillomavirus and oropharyngeal cancer, the epidemics, and significance of additional clinical biomarkers for prediction of response to therapy. *Int J Oncol* 2014; 44: 1799-805.
- [6] Kostareli E, Holzinger D and Hess J. New concepts for translational head and neck oncology: Lessons from HPV-related oropharyngeal squamous cell carcinomas. *Front Oncol* 2012; 2: 36.

## Immunohistochemical evaluation of OSCC and OPSCC

- [7] Rivera C and Venegas B. Histological and molecular aspects of oral squamous cell carcinoma. *Oncol Lett* 2014; 8: 7-11.
- [8] Bryne M, Koppang HS, Lilleng R and Kjærheim Å. Malignancy grading of the deep invasive margins of oral squamous cell carcinomas has high prognostic value. *J Pathol* 2005; 166: 375-381.
- [9] Santos-Garcia A, Abad-Hernandez MM, Fonseca-Sanchez E, Julian-Gonzalez R, Galindo-Villardón P, Cruz-Hernandez JJ and Bullón-Sopelana A. E-cadherin, laminin and collagen IV expression in the evolution from dysplasia to oral squamous cell carcinoma. *Med Oral Patol Oral Cir Bucal* 2006; 11: E100-105.
- [10] Ramqvist T and Dalianis T. Oropharyngeal cancer epidemic and human papillomavirus. *Emerg Infect Dis* 2010; 16: 1671-1677.
- [11] Rodrigues PC, Miguel MC, Bagordakis E, Fonseca FP, de Aquino SN, Santos-Silva AR, Lopes MA, Graner E, Salo T, Kowalski LP and Coletta RD. Clinicopathological prognostic factors of oral tongue squamous cell carcinoma: a retrospective study of 202 cases. *Int J Oral Maxillofac Surg* 2014; 43: 795-801.
- [12] Agarwal A, Sethi A, Sareen D and Dhingra S. Oral and oropharyngeal squamous cell carcinoma in our population: the clinic-pathological and morphological description of 153 cases; carcinoma de Células Escamosas Oral y Orofaringeo en Nuestra Población: Descripción Clínico-Patológica y Morfológica de 153 Casos. *Int J Morphol* 2011; 29: 686-693.
- [13] Roosli C, Tschudi DC, Studer G, Braun J and Stoeckli SJ. Outcome of patients after treatment for a squamous cell carcinoma of the oropharynx. *Laryngoscope* 2009; 119: 534-540.
- [14] Riera P and Martinez B. [Morbidity and mortality for oral and pharyngeal cancer in Chile]. *Rev Med Chil* 2005; 133: 555-563.
- [15] Marsh D, Suchak K, Moutasim KA, Vallath S, Hopper C, Jerjes W, Upile T, Kalavrezos N, Violette SM, Weinreb PH, Chester KA, Chana JS, Marshall JF, Hart IR, Hackshaw AK, Piper K and Thomas GJ. Stromal features are predictive of disease mortality in oral cancer patients. *J Pathol* 2011; 223: 470-481.
- [16] Woolgar J, Rogers S, West C, Errington R, Brown J and Vaughan E. Survival and patterns of recurrence in 200 oral cancer patients treated by radical surgery and neck dissection. *Oral Oncol* 1999; 35: 257-265.
- [17] Bórquez P, Capdeville F, Madrid A, Veloso M and Cárcamo M. Sobrevida global y por estadios de 137 pacientes con cáncer intraoral: experiencia del Instituto Nacional del Cáncer; Analysis of survival of 137 patients with oral cancer. *Rev Chil Cir* 2011; 63: 351-355.
- [18] Woolgar JA and Triantafyllou A. Pitfalls and procedures in the histopathological diagnosis of oral and oropharyngeal squamous cell carcinoma and a review of the role of pathology in prognosis. *Oral Oncol* 2009; 45: 361-385.
- [19] Li Y, Bai S, Carroll W, Dayan D, Dort JC, Heller K, Jour G, Lau H, Penner C, Prystowsky M, Rosenthal E, Schlecht NF, Smith RV, Urken M, Vered M, Wang B, Wenig B, Negassa A and Brandwein-Gensler M. Validation of the risk model: high-risk classification and tumor pattern of invasion predict outcome for patients with low-stage oral cavity squamous cell carcinoma. *Head Neck Pathol* 2013; 7: 211-223.
- [20] Chang YC, Nieh S, Chen SF, Jao SW, Lin YL and Fu E. Invasive pattern grading score designed as an independent prognostic indicator in oral squamous cell carcinoma. *Histopathology* 2010; 57: 295-303.
- [21] Adeyemi BF, Olusanya AA and Lawoyin JO. Oral squamous cell carcinoma, socioeconomic status and history of exposure to alcohol and tobacco. *J Natl Med Assoc* 2011; 103: 498-502.
- [22] De Souza Setubal Destro MF, Bitu CC, Zecchin KG, Graner E, Lopes MA, Kowalski LP and Coletta RD. Overexpression of HOXB7 homeobox gene in oral cancer induces cellular proliferation and is associated with poor prognosis. *Int J Oncol* 2010; 36: 141-149.
- [23] Bitu CC, Destro MF, Carrera M, da Silva SD, Graner E, Kowalski LP, Soares FA and Coletta RD. HOXA1 is overexpressed in oral squamous cell carcinomas and its expression is correlated with poor prognosis. *BMC Cancer* 2012; 12: 146.
- [24] Liao WT, Jiang D, Yuan J, Cui YM, Shi XW, Chen CM, Bian XW, Deng YJ and Ding YQ. HOXB7 as a prognostic factor and mediator of colorectal cancer progression. *Clin Cancer Res* 2011; 17: 3569-3578.