

GIBBS SYSTEM OF INTERACTING SCALAR FIELDS
AND PARTICLES AS AN ORIGIN OF THE SINE-GORDON
TRANSFORMATION

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The Gibbs system, defined by the formal measure on the Cartesian product of spaces of particle and field variables, is introduced. The Sine-Gordon transformation for the Gibbs systems of particles interacting via many-body potentials is derived with the help of the reduction of the introduced system.

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INTRODUCTION

The system of charged particles is the most fundamental object of the statistical mechanics and the only tool of rigorous dealing with it is the Sine-Gordon transformation. This explains the interest in this transformation.

The Sine-Gordon (S-G) transformation relates Gibbs systems of particles, interacting through a pair positive-definite potential, and Gibbs field systems [1]. The former are defined by Gibbs (multiplicative) perturbations of the Poisson measure and the latter are defined by the similar perturbations of a gaussian measure.

This relation is exploited in statistical mechanics [2-4].

In this paper we establish that the Sine-Gordon transformation can be generalized to the class of Gibbs systems of particles, interacting through many-body potentials. Initially we tried to obtain the generalization for the case of a special three-body potential, which is connected with a system of diffusing particles [5]. The interest for the generalization is based on the idea, that quantum mechanical interaction between nuclei and electrons generates an effective many-body potential between molecules, i.e. classical particles, which regularize the divergencies, created by the Coulomb pair potential.

The proposed generalization is based on the introduction of the Gibbs systems of interacting fields and particles.

The Sine-Gordon transformation of a particle Gibbs system looks like transition to an effective Gibbs scalar field system in the system of interacting fields and particles after integrating out the

particle variables. And in the case of absence of $2k+1$ - body potentials the effective Lagrangian contains the usual S-G Lagrangian and the logarithm of the Fourier-Wiener transform.

Our paper is organized as follows.

In the first paragraph we introduce Gibbs systems of separate particles and fields and derive the usual Sine-Gordon transformation.

In the second paragraph we introduce the Gibbs system of interacting fields and particles and present the reduction procedure that yields the generalized Sine-Gordon transformation for Gibbs systems on a formal level.

In the third paragraph we give a rigorous interpretation of the obtained formulas.

1. Gibbs systems of independent particles and fields.

Let us consider the d -dimensional system of particles, interacting through the pair potential $c_0(x)$, $x \in \mathbb{R}^d$

$$C_0(x) = (2\pi)^{-d} \int \exp\{i(k,x)\} Q^{-1}(k^2) dk$$

where Q is a positive polynomial or an entire function.

We assume that the Fourier transform is considered in the sense of the generalized functions. This assumption restricts the class of Q considered. For example the case

$$Q(k^2) = (k^2)^l, \quad l \geq \frac{d}{2}$$

is excluded.

The grand partition function Ξ_P^Λ given by

$$\Xi_P^\Lambda = \sum_{n \geq 0} \frac{1}{n!} z^n \int_{\Lambda^n} \exp\{-\beta U(X)\} dX_n$$

where $X_n = (x_1, x_2, \dots, x_n)$, $dX_n = dx_1 \cdot dx_2 \cdot \dots \cdot dx_n$,

$$U_0(X_n) = \sum_{i < j=1} C_0(x_i - x_j),$$

$z = \exp\{\beta\mu\}$ is the activity, β is the inverse temperature, μ is the chemical potential.

Let us denote by $d_P\rho$ the formal measure defined on the space Ω_P^0 of the integer valued measures $\rho(x) = \sum_{j \geq 0} \delta(x - x_j)$, such that $X = (x_1, x_2, \dots)$ is a finite sequence, by the equality

$$d_P\rho = \sum_{n \geq 0} \frac{1}{n!} dX_n.$$

Properly normalized $d_P\rho$ generates the Poisson measure $\pi_0(d\rho)$ on the space Ω_P of integer valued measures such that X is an infinite locally finite sequence. If X is restricted to Λ , then $\pi_0(d^\Lambda\rho) = \exp\{-V(\Lambda)\} d_P^\Lambda\rho$, where $V(\Lambda)$ is the volume of Λ .

The Gibbs particle system is described by the Gibbs measure μ_P^Λ

$$(1.1) \quad \mu_P^\Lambda = (\Xi_P^\Lambda)^{-1} \exp\{-\beta U_\Lambda(\rho)\} d_P\rho$$

in this formula $d_P^\Lambda\rho$ can be written, since

$$U_\Lambda(\rho) = \sum_{k \geq 0} \int_{\Lambda^k} \Phi_k(X_k) \rho(x_1) \cdots \rho(x_k) dX_k,$$

where $\Phi_1 = -\mu$, $\Phi_2 = C_0$, Φ_k is a k -body potential, that can depend on Λ .

Now let us consider the Gibbs field system. The corresponding Gibbs measure is formally given on Ω_F^0 by μ_F^Λ

$$(1.2) \quad \mu_F^\Lambda = (\Xi_F^\Lambda)^{-1} \exp\{-L_\Lambda(\varphi)\} d_F\varphi,$$

where $d_F\varphi = \prod_{x \in \mathbb{R}^d} d\varphi(x)$, $\Omega_F^0 = \mathbb{R}^{\mathbb{R}^d}$, $\Xi_F^\Lambda = \int_{\Omega_F^0} \exp\{-L_\Lambda(\varphi)\} d_F\varphi$

It is possible to give a rigorous meaning for (1.2) if the

Lagrangian L_{Δ} is given by

$$L_{\Delta}(\varphi) = \int_{\mathbb{R}^d} (Q(\Delta)\varphi)(x)\varphi(x)dx + V_{\Delta}(\varphi)$$

where Δ is the laplacian, and $C_0(0) < \infty$.

Then the Gibbs measure of the field system is given by

$$(1.3) \quad \mu_F^{\Delta}(d\varphi) = (\Xi_F^{\Delta})^{-1} \exp\{-V_{\Delta}(\varphi)\} \mu_0(d\varphi)$$

where μ_0 is the Gaussian measure with the covariance $C_0(x-y)$, and

$$(1.4) \quad \Xi_F^{\Delta} = \int_{\Omega_F} \exp\{-V(\varphi)\} \mu_0(d\varphi)$$

Ω_F is the probability space which is usually a subset of Ω_F^0 . We shall omit it in all the integrals in what follows.

(1.3) is derived from (1.2) by multiplying the numerator and the denominator by

$$(\text{Det } \pi^{-1}Q(\Delta))^{\frac{1}{2}}$$

and using the equality

$$(1.5) \quad \mu_0(d\varphi) = (\text{Det}Q(\Delta))^{\frac{1}{2}} \exp\{- (Q(\Delta)\varphi, \varphi)\} d_F \frac{\varphi}{\sqrt{\pi}}$$

PROPOSITION 1.1(S-G transformation)

If $V_{\Delta}(\varphi) = -z \int_{\Lambda} \exp\{i\sqrt{\beta}\varphi(x)\} dx$, $z = z \exp\{2^{-1}\beta C_0(0)\}$, $\Phi_k = 0, k > 3$, then

$$(1.6) \quad \Xi_P^{\Delta} = \Xi_F^{\Delta}$$

PROOF is simple and it is based on the expansion of $\exp\{-V_{\Delta}(\varphi)\}$ into series and application of the formula

$$\int \mu_0(d\varphi) \exp\left\{ \sum_{j=1}^n i\sqrt{\beta} \varphi(x_j) \right\} = \exp \left\{ -\beta \sum_{i \leq j=1}^n C_0(x_i - x_j) \right\}$$

2. GIBBS SYSTEMS OF INTERACTING PARTICLES AND SCALAR FIELDS

The phase space of the system of interacting particles and fields

is $\Omega^0 = \Omega_F^0 \times \Omega_P^0$. The potential energy is given by

$$(2.1) \quad U_\Lambda(\rho, \varphi) = U_\Lambda(\varphi) + \int_\Lambda V_x(\varphi) \rho(x) dx + V_\Lambda(\rho, \varphi)$$

$$U_\Lambda(\varphi) > -\infty, \quad V_x(\varphi) > -\infty, \quad V_\Lambda(\rho, \varphi) > -\infty .$$

where $V_\Lambda(\rho, \varphi)$ is a nonlinear function of ρ . It is sufficient to consider the case when it is zero.

The Gibbs system is characterized by the formal measure $\mu_{F,P}^\Lambda$

$$(2.2) \quad \mu_{F,P}^\Lambda(d\varphi, d\rho) = (\Xi_{F,P}^\Lambda)^{-1} \delta(Q(\Delta)\varphi - \rho) \exp\{-\beta U_\Lambda(\rho, \varphi)\} d_F \varphi d_P \rho$$

where

$$\delta(Q(\Delta) - \rho) = \prod_{x \in \mathbb{R}^d} \delta((Q(\Delta)\varphi)(x) - \rho(x))$$

$$(2.3) \quad \Xi_{F,P}^\Lambda = \int_{\Omega^0} \exp\{-\beta U_\Lambda(\rho, \varphi)\} \delta(Q(\Delta)\varphi - \rho) d_F \varphi d_P \rho$$

PROPOSITION 2.1 (Formal S-G transformation)

If the potential energy $U_\Lambda(\rho)$ of the Gibbs particle system depends on the potential energy $U_\Lambda(\rho, \varphi)$ of the system of interacting particles and scalar field as follows

$$(2.4) \quad U_\Lambda(\rho) = U_\Lambda(\rho, Q^{-1}(\Delta)\rho)$$

then

$$(2.5) \quad \Xi_P^\Lambda = \hat{\Xi}_{F,P}^\Lambda = (\text{Det} Q(\Delta)) \Xi_{F,P}^\Lambda$$

PROOF: With the help of the equality

$$(\text{Det}Q(\Delta))\delta(Q(\Delta)\varphi - \rho) = \delta(\varphi - Q^{-1}(\Delta)\rho)$$

and (2.4) we obtain

$$\Xi_{F,P}^{\Lambda} = \int d_{\mathbf{p}}\rho \int d_{\mathbf{F}}\varphi \exp\{-\beta U_{\Lambda}(\rho, \varphi)\} \delta(\varphi - Q(\Delta)^{-1}\rho) =$$

$$\int d_{\mathbf{p}}\rho \exp\{-\beta U_{\Lambda}(\rho)\} = \Xi_{\mathbf{P}}^{\Lambda}$$

PROPOSITION 2.2 (FORMAL S-G TRANSFORMATION)

For the grand partition function $\Xi_{F,P}^{\Lambda}$ of the Gibbs system of interacting particles and scalar field the following representation holds

(2.6) ———

$$\Xi_{F,P}^{\Lambda} = \int \text{Det}\sqrt{\overline{Q(\Delta)}} d_{\mathbf{F}\sqrt{\pi}}^{\varphi^*} \int \text{Det}\sqrt{\overline{Q(\Delta)}} d_{\mathbf{F}\sqrt{\pi}}^{\varphi} \exp\{-L_{\Lambda}^*(\varphi, \varphi^*)\} \exp\{i(Q(\Delta)\varphi, \varphi^*)\}$$

where
$$L_{\Lambda}^*(\varphi, \varphi^*) = -\beta U_{\Lambda}(\varphi) + \int_{\Lambda} \exp\{i\varphi^*(x) - \beta V_x(\varphi)\} dx.$$

PROOF: Let us use the formula

$$\delta(\varphi) = \int \exp\{i(\varphi^*, \varphi)\} d_{\pi}^{\varphi}$$

Then substitute this equality into (2.3) we obtain

$$\Xi_{F,P}^{\Lambda} = \int \text{Det}\sqrt{\overline{Q(\Delta)}} d_{\mathbf{F}\sqrt{\pi}}^{\varphi^*} \int \text{Det}\sqrt{\overline{Q(\Delta)}} d_{\mathbf{F}\sqrt{\pi}}^{\varphi} \exp\{i(Q(\Delta)\varphi, \varphi^*) - \beta U_{\Lambda}(\varphi)\} \times$$

$$\times \left[\int \exp\{i(\varphi^*, \rho) - \beta \int_{\Lambda} V_x(\varphi)\rho(x) dx \right] d_{\mathbf{p}}\rho =$$

$$= \exp\{-L_{\Lambda}(\varphi^*, \varphi) + \beta U_{\Lambda}(\varphi)\}.$$

Let us show now that the S-G transformation, i.e. eq. (1.6) follows from eq.(2.6).

To do it we have to assume that

$$U_{\Lambda}(\rho, \varphi) = -(\mu + C_0(0)) \int_{\Lambda} \rho(x) dx + (Q(\Delta)\varphi, \varphi)$$

In this case $U_{\Lambda}(\varphi)$ is the quadratic form and

$$V_x(\varphi) = -\mu - C_0(0), \quad z \int \exp\{i\varphi^*(x) - \beta V_x(\varphi)\} = V_{\Lambda}(\frac{\varphi}{\sqrt{\beta}}).$$

Then (2.6) yields

$$\begin{aligned} \Xi_{F,P}^{\Lambda} &= \int \text{Det} \sqrt{Q(\Delta)} d_{F\sqrt{\pi}}^{\varphi^*} \exp\{-V_{\Lambda}(\frac{\varphi}{\sqrt{\beta}})\} \int \text{Det} \sqrt{Q(\Delta)} d_{F\sqrt{\pi}}^{\varphi} \times \\ &\times \exp\{-\beta(Q(\Delta)\varphi, \varphi) + i(Q(\Delta)\varphi, \varphi^*)\}. \end{aligned}$$

Now let us rescale the fields: $\varphi \Rightarrow \sqrt{\beta}\varphi$, $\varphi^* \Rightarrow \frac{1}{\sqrt{\beta}}\varphi^*$. So β disappears in the right side of the last equality. Taking into account (1.5) we derive the following equality

$$\Xi_{F,P}^{\Lambda} = \int \mu_0(d\varphi^*) \exp\{-V_{\Lambda}(\varphi^*) + \frac{1}{2}(Q(\Delta)\varphi^*, \varphi^*)\} \int \mu_0(d\varphi) \exp\{i(Q(\Delta)\varphi, \varphi)\}.$$

The second integral is easily computed

$$\int \mu_0(d\varphi) \exp\{i(Q(\Delta)\varphi, \varphi)\} = \exp\{-\frac{1}{2}(Q(\Delta)\varphi^*, \varphi^*)\}$$

Hence we obtain the usual S-G transformation.

3.The generalized S-G transformation.

There are cases when the formula (2.6) can be written in a rigorous form (MAIN THEOREM)

THEOREM 3.1.

Let potential energy $U_{\Lambda}(\rho, \varphi)$ in (2.1) is such that

$$U_{\Lambda}(\varphi) = (Q(\Delta)\varphi, \varphi) + U_{\Lambda}^*(\varphi), \quad U_{\Lambda}^*(\varphi) > -\infty .$$

then the following equality holds

$$(3.1) \quad \Xi_{F, P}^{\Lambda} = \int \mu_0(d\varphi^*) \int \mu_0(d\varphi) \exp\{i(\varphi, \varphi^*)_Q + \frac{1}{2}(\varphi^*, \varphi^*) - L_{\Lambda}^*(\varphi, \varphi^*)\}$$

where

$$L_{\Lambda}^*(\varphi, \varphi^*) = \beta U_{\Lambda}^*(\varphi) - \int_{\Lambda} \exp\{i\varphi^*(x) - \beta V_x(\varphi)\} dx, \quad (\varphi, \varphi^*) = (Q(\Delta)\varphi, \varphi^*).$$

If the potential energy $U_{\Lambda}(\rho)$ of the particle Gibbs system satisfies then Ξ_P^{Λ} equals the right side of (3.1) also.

PROOF follows from props. (2.1), (2.2), and eq. (1.5).

It follows from the main theorem that

$$(3.2) \quad \Xi_P^{\Lambda} = \int \mu_0(d\varphi^*) \exp\{-L_{\Lambda}^*(\varphi^*)\}$$

where $L_{\Lambda}^*(\varphi^*)$ can be interpreted as an effective Lagrangian.

In the case when $2k+1$ -body potentials are absent, i.e.

$$V_x(\varphi) = -\mu - C_0(0)$$

then this Lagrangian is the sum of two terms

(3.3)

$$L_{\Lambda}^*(\varphi^*) = V_{\Lambda}(\varphi^*) - \ln[\exp\{\frac{1}{2}(\varphi^*, \varphi^*)_Q\} \int \mu_0(d\varphi) \exp\{i(\varphi, \varphi^*)_Q - \beta U_{\Lambda}^*(\varphi)\}]$$

There is the problem how to make the measure $\mu_{F,P}^{\Lambda}$ rigorous. It is clear that we have to make meaningful the "probability" measure

$$\mu_{F,P}^{\Lambda} = (\Xi_{F,P}^{\Lambda})^{-1} \exp\{-\beta U_{\Lambda}^*(\varphi) - \int_{\Lambda} V_x(\varphi) \rho(x) dx\} \mu_0(d\varphi) \pi_0(d\rho) \delta(\varphi - Q(\Delta)^{-1} \rho)$$

We shall make it somewhere else.

Let us consider some examples.

$$V_x(\varphi) = V_x^0(\varphi) + V_x^*(\varphi), \quad V_x^0(\varphi) = -\mu - C_0(0).$$

1. If $V_x(\varphi) = \varphi^{21}(x)$, then

$$U_{\Lambda}(\rho) = U(X) = \sum_{j>0} \left(\sum_{i>0} C_0(x_j - x_i) \right)^{21}.$$

2. If $V_x^*(\varphi) = 0$, $U_{\Lambda}^*(\varphi) = \left(\int_{\Lambda} \varphi^2(x) dx \right)^{21}$, then

$$(3.4) \quad U_{\Lambda}(\rho) = U(X) = \left(\sum_{i,j} C_{\Lambda}(x_j, x_i) \right)^{21}, \quad C_{\Lambda}(x,y) = \int_{\Lambda} C_0(x-z) C_0(y-z) dz.$$

The effective Lagrangian can be derived in the latter case with the help of the theorem

THEOREM 3.2

If the potential energy of the particle Gibbs system satisfies (3.4) then

$$(3.5) \Xi_P^\Lambda = \int \mu_o(d\phi) \exp\{-V_\Lambda(\phi)\} \int m_1(q) \{\text{Det}(I + i\beta^{(2l)-1} q \hat{\chi}_\Lambda Q(\Delta)^{-1})\}^{\frac{1}{2}} \times \\ \times \exp\{i(C_{\Lambda,q}^* \phi, \phi)\} dq, \quad C_{\Lambda,q}^* = \beta^{(2l)-1} q \hat{\chi}_\Lambda (Q(\Delta) + iq\beta^{(2l)-1} \hat{\chi}_\Lambda)^{-1} Q(\Delta)$$

where $\hat{\chi}_\Lambda$ is the operator of the multiplication by the characteristic function of the compact domain Λ .

PROOF is simple and it can be found in [6].

4.DISCUSSION

The Gibbs system described by the measure (2.2) reflects the fact that in order to derive the generalized S-G transformation we have to introduce the field ϕ . It has the meaning of the electric field created by the distribution of charges.

There is a suspicion that the introduction of the Gibbs system of interacting scalar field and particles is not really needed for the derivation of the generalized S-G transformation, since the introduction of the measure $\delta(Q(\Delta)\phi-\rho)$ means merely the change of the variable. This view is wrong in general since it is impossible to represent in terms of the bounded below function of the field variable a $2k+1$ -body potential.

There is also the question: why have we to omit the last term in (2.1)? The only answer is that we don't have examples that it contributes to the potential energy of the particle system. If this

term is left then the particle variable is not easily integrated out.

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