

# Helicopter Location and Tracking Using Seismometer Recordings

Abbreviated title: Helicopter Tracking Using Seismometers

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## IV. SUMMARY

We use frequency domain methods usually applied to volcanic tremor to analyse ground based seismic recordings of a helicopter. We preclude misinterpretations of tremor sources and show alternative applications of our seismological methods. On a volcano, the seismic source can consist of repeating, closely spaced, small earthquakes. Interestingly, similar signals are generated by helicopters, due to repeating pressure pulses from the rotor blades. In both cases the seismic signals are continuous and referred to as tremor. As frequency gliding is in this case merely caused by the Doppler effect, not a change in the source, we can use its shape to deduce properties of the helicopter and its flight path. We show in this analysis that the number of rotor blades, rotor revolutions per minute (RPM), helicopter speed, flight direction, altitude and location can be deduced from seismometer recordings. Access to GPS determined flight path data from the helicopter offers us a robust way to test our location method.

## V. KEYWORDS

acoustic signal, airborne object, Doppler effect, Fourier analysis, frequency gliding, tremor

## VI. INTRODUCTION

The acoustic signal generated by a helicopter appears as repeating closely spaced pressure pulses caused by the rotation of the rotor blades (Eibl et al., 2015; Malovrh & Gandhi, 2005; Hardin & Lamkin, 1986). This type of signal (from moving objects) was reported in various studies on acoustic sensors (Kam & Ferguson, 2000; Damarla, 2010; Kalkan & Baykal, 2009; Nishie & Akagi, 2013; Oh & Lee, 2014). As a moving source passes a stationary receiver the recording shows a Doppler shift (Feynman, 2010). The frequency shift along with the angle information deduced from radar (Kalkan & Baykal, 2009) or microphones oriented in different directions (Damarla, 2010) was successfully used to estimate location, heading and altitude of the moving object.

Seismologists working on volcanoes usually encounter tremor accompanying eruptions (McNutt, 1992; Soosalu et al., 2005). Time and frequency domain methods are usually applied in order to analyse the temporal evolution of the signal. Location methods include arrival time based methods using the seismic envelopes of the signal (e.g. Lomax et al. (2000)), amplitude based location methods (e.g. in Eibl et al. (2014)) and array processing (e.g. Capon (1969)).

Due to the analogy of natural tremor, the helicopter-generated tremor can be recorded and analysed using seismological tools and techniques. Similarities and differences of volcano and helicopter related seismic tremor are highlighted in Eibl et al. (2015). Here, we expand this study and use a seismic array composed of seven seismometers in order to deduce rotor revolutions per minute (RPM), number of blades, speed, flight direction, altitude and approximate location of a helicopter. Whenever we refer to "altitude" in this study it is measured above the ground surface unless otherwise specified.

This exercise is a good example of how the same signal processing techniques can be used for solving different problems. It also emphasizes the non-unique problem of the signal generation. The same time and spectral features can be produced by different processes. For example, spectral glides can be produced by (i) a helicopter as a moving object (ii) a natural tremor source as a stationary object whose spectrum highlights the temporal changes in the source process. In this work, we address the case of a helicopter as a moving object.

## VII. EXPERIMENTAL SETUP AND HELICOPTER TRACK

We recorded the seismic signal of a helicopter at a seven station broadband array with an aperture of 1.6 km in Jökulheimar, Iceland (Fig. 1). Stations have an elevation of 682.7 to 739.2 m a.s.l. and were installed to record flood and volcano seismic tremor (Eibl et al., 2017). The interstation spacing is

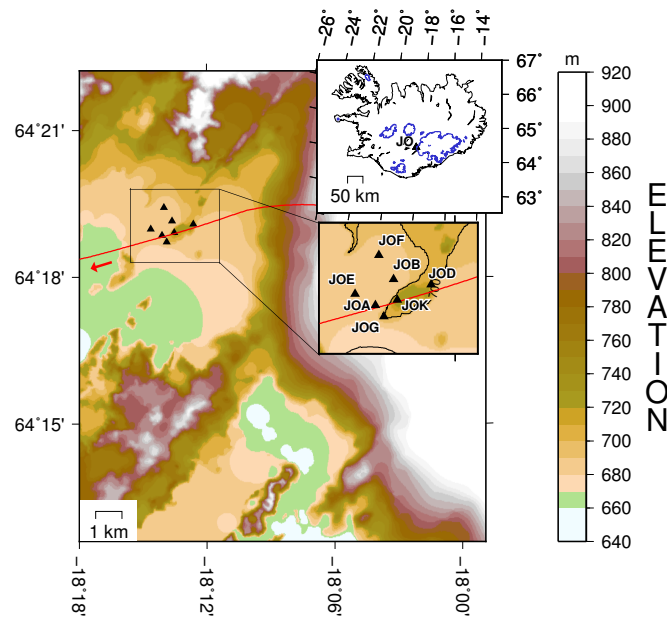


Figure 1. Location and geometry of the permanent seismic array in Jökulheimar, Iceland consisting of seven seismometers. The red line marks the flight route of the helicopter on December 19<sup>th</sup>, 2014. Elevation is above sea level based on data from the National Land Survey of Iceland. The top inset shows the array with respect to the whole island with glacier rims marked in blue. The middle inset is a zoom to the array including station names.

64 close enough to record the helicopter related signal at all stations and far enough to observe arrival time  
 65 differences.

66 We show the GPS track of a four-bladed helicopter (Bell 407GX Serial no. 54308) in Fig. 1 that crossed  
 67 the array at 11:53:30 on December 19<sup>th</sup>, 2014 travelling in a westward direction. The GPS track is from  
 68 a Garmin 795 at a sampling rate of 5 Hz, a horizontal accuracy of at least 2 m and a vertical of tens  
 69 of meters in the 'smart sampling' mode. In this mode points are saved every 1 to 18 s in order to save  
 70 memory and to still track changes in the propagation direction (heading).

71 The rotors RPM is fixed at 413 (6.883 Hz) according to the manufacturer. We used the GPS track to  
 72 calculate a speed of  $207.3 \pm 2.7$  km/h, a mean flight direction of  $253.5 \pm 1.2^\circ$  from north and an altitude  
 73 of 961.5 to 969.1 m above sea level directly above the seismometers. The helicopter was closest to JOA,  
 74 JOK and JOD and therefore north of JOG and south of all other stations (see inset in Fig. 1). Subtracting  
 75 the elevation of the stations and due to station elevation differences the helicopter was 221.3 to 286.4 m  
 76 above the stations (see column 1 in table II).

### 77 VIII. HELICOPTER GENERATED TREMOR

78 A detailed description of the helicopter generated tremor is given in Eibl et al. (2015) and Damarla &  
 79 Ufford (2008). We therefore only provide a short summary here. The helicopter rotor blades create closely  
 80 (temporally) spaced, repeating pressure pulses that merge into tremor. The spacing of the pulses in the  
 81 time domain, as visible in Figs. 2a and b, is equal to the inverse of the spacing between spectral lines in the

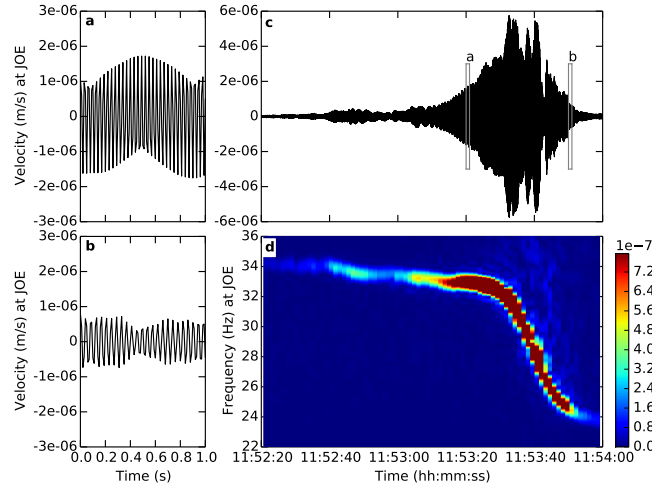


Figure 2. (a) 1 s long instrument corrected, vertical velocity seismogram of station JOE from 11:54:20, (b) same as subfigure a but from 11:54:50, (c) 100 s long instrument corrected, vertical velocity seismogram of station JOE during the flyby of the helicopter and (d) Amplitude spectrogram of the seismogram in c with a fast Fourier transform window length of 2 s. The characteristic shape of the curve is extracted at all stations (Fig. 4) and fitted in this study (Fig. 3).

82 frequency domain (see Eibl et al. (2015)). The central fundamental frequency of this tremor corresponds  
 83 to the RPM times the number of rotor blades and is 27.53 Hz in our case. Due to the movement of the  
 84 helicopter with respect to the stationary receivers the seismometers record a frequency up or down gliding  
 85 caused by the Doppler effect (Fig. 2d or figs 2 and 5 in Eibl et al. (2015)). For the four-bladed helicopter  
 86 we observed frequency gliding from 34 Hz down to 23 Hz within less than 40 s (Fig. 4).

## 87 IX. METHOD

### 88 A. The Shape of the Curve

89 When analysing the data in the frequency domain the shape of the gliding spectral curve can be described  
 90 by:

$$f(t) = \frac{c \cdot f_s}{c + \frac{v_s^2 \cdot (t-t_0)}{\sqrt{v_s^2 \cdot (t-t_0)^2 + h^2}}} \quad (1)$$

91 for a source moving at constant speed along a straight line (e.g. Eibl et al. (2015)).  $f(t)$  is the recorded  
 92 frequency as a function of time  $t$ ,  $c$  is the speed of sound (331.45 m/s at 0°C (Rienstra & Hirschberg,  
 93 2004)),  $f_s$  is the acoustic source frequency,  $v_s$  is the speed of the source and  $t_0$  is the time of the closest  
 94 approach (at distance  $h$ ) between source and receiver. We can deduce information about the flight path of  
 95 the helicopter from the characteristics of the curve: (i) minimum and maximum fundamental frequency  
 96 (affected by the speed of the helicopter (see Fig. 3)), (ii) the slope of the gliding (affected by the distance  
 97 between source and receiver (see Fig. 3)), (iii) the time and frequency at the location of the inflection  
 98 point. The observation (iii) corresponds to the time of closest approach and the frequency of the source,  
 99 which can be directly determined (see Fig. 3).

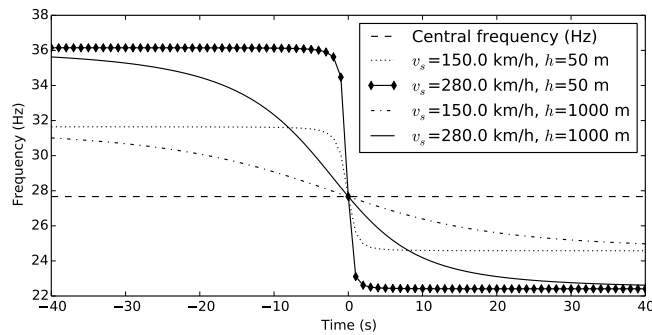


Figure 3. Sensitivity test of equation 1 using realistic values of  $v_s$ ,  $f_s$ ,  $t_0$  and  $h$ . We show the curves for  $f_s=27.668$  Hz,  $c=331.45$  m/s (Rienstra & Hirschberg, 2004) and  $t_0=0$ . Respective curves for different  $f_s$  and  $t_0$  are not shown, as changes do not affect the shape and mainly shift the curves with respect to the y or x axis, respectively. Note that the inflection point for each curve is at coordinate  $(f_s, t_0)$ , i.e. it corresponds to the source frequency and time of the closest approach for any choice of parameters at any station.

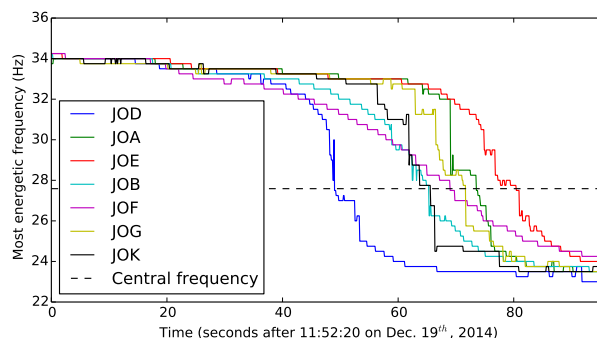


Figure 4. Extracted Doppler curves of a 100 s long time window of the vertical component of the stations in Jökulheimar. The frequency with maximum energy is picked in each spectrum (compare with Fig. 2d). Spectra are calculated for each 2 s long time window and for all seven stations.

100  $t_0$  does not have an effect on the shape of the curve and merely shifts it along the time axis. The true  
 101 frequency of the source  $f_s$  is observed when the flight direction and source-receiver line segment are  
 102 perpendicular - that is, at the time of the inflection point of the spectral curve. As with  $t_0$ ,  $f_s$  does not  
 103 affect the shape of the curve, but a change would shift the entire curve along the frequency axis. Typical  
 104 speeds range from 150 to 280 km/h (Eibl et al., 2015). Helicopter tremor can - depending on topography,  
 105 wind direction and wind speed - be recorded at up to  $\sim 40000$  m distance (see fig 5 and fig 7 in Eibl  
 106 et al. (2015)). It is important to note that whilst even small changes in  $v_s$  strongly affect the minimum  
 107 and maximum frequency, changes in  $h$  affect the steepness of the curve as visible in Fig. 3.

#### 108 B. Curve Fitting With Four Unknowns

109 In order to perform a curve fit we analyse a 100 s long time window around the helicopter flyby. Spectra  
 110 were calculated for 4 s long moving time windows with 97.7% overlap resulting in a good frequency  
 111 resolution. We determine the most energetic frequency in each time window and create the frequency  
 112 against time curve for each station (Fig. 4). These curves form the basis of our analysis.

113 We fit the recorded curve with equation 1 running the Levenberg-Marquardt algorithm (More, 1978) as

114 implemented in Beyreuther et al. (2010); Megies et al. (2011), in order to determine  $v_s$ ,  $f_s$ ,  $t_0$  and  $h$ .  
 115 However, after the first curve fit with four unknowns we realised that the minimum residual was still  
 116 relatively large and that the plot of distance at closest approach against slope of the glide did not result  
 117 in a smooth curve (see Fig. 6a). This indicated that the best fitting location derived from a curve fit with  
 118 four unknowns was not correct as visible in Fig. 6b.

119 We realised that  $h$  along with  $v_s$  (see column 2 in table II) was systematically overestimated because the  
 120 algorithm iterated to a local minimum for a curve fit with four unknowns. Different initial guesses did  
 121 not improve the result. This can be avoided by exploring the entire 4-parameter space through a time  
 122 consuming grid-search that will additionally reduce the residual.

### 123 *C. Curve Fitting With One Unknown*

124 In order to decrease the computational time and make the method capable of performing in 'real-  
 125 time', we perform a curve fit with one unknown ( $h$ ), a grid search over one unknown ( $v_s$ ) and two fixed  
 126 parameters ( $f_s$ ,  $t_0$ ) to explore the whole model parameter space. As  $f_s$  is the same for all stations, it does  
 127 not affect the shape of the curve and can be directly derived from the inflection point, we fix  $f_s$  as mean  
 128 of the  $f_s$  for each station as determined in the curve fit with four unknowns. Fixing  $f_s$ ,  $t_0$  can be directly  
 129 determined from the inflection points of the best fitting curves with four unknowns (see Fig. 3). The speed  
 130 from the curve fit with four unknowns serves as initial estimate for the next step. We perform a grid search  
 131 over a range of source speeds  $v_s$  (in 1 km/h steps  $\pm 30$  km/h around the rough speed estimate), where we  
 132 invert for  $h$  in the curve fit in each iteration, in order to find the values that result in the smallest residual  
 133 (see column 3 in table II).

134 The curve fit of the whole curve is performed for each station  $i$ . In the next step the obtained parameters  
 135  $h$ ,  $v_s$ ,  $t_0$  and  $f_s$  can be used to derive helicopter properties and its flight path (as detailed in sections  
 136 IX-D, IX-E and IX-F).

137 Performing the curve fit with two unknowns  $t_0$  and  $h$ , whilst doing a grid search over  $f_s$  and  $v_s$ , led to  
 138 the same helicopter location.

### 139 *D. Helicopter RPM and Number of Blades*

140 Most helicopters (and all helicopters in Iceland) do not vary their main or tail Rotor RPM's during  
 141 flight. The RPMs will only vary on take-off, landing and at low air speeds (Eurocopter, 2005; Robinson  
 142 Helicopter Company, 1992).

143 In order to determine the RPM of the rotor blades we average  $f_s$  for all stations. As the RPM for  
 144 helicopters in transit operated in Iceland is typically in the range of 375-415 RPM (6.25-6.917 Hz)  
 145 (Eurocopter, 2005; Robinson Helicopter Company, 1992) the frequency  $f_s$  can be divided by this value

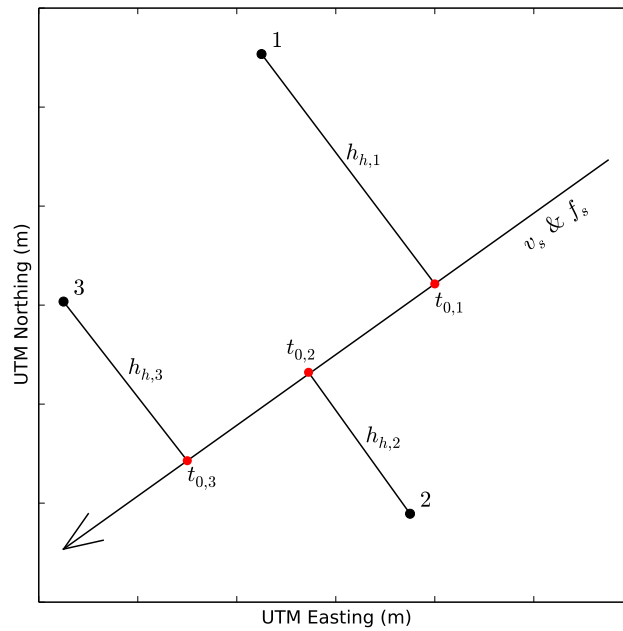


Figure 5. Schematic illustration of the parameters derived in the curve fit. They are used to locate the helicopter. Black dots mark stations  $i$ , red dots are projections of stations  $i$  on the helicopter flight track. Horizontal distances  $h_{h,i}$  at times of closest approach  $t_{0,i}$  are denoted as well as the global helicopter properties  $v_s$  and  $f_s$ .  $t_{0,i}$  corresponds to the inflection points visible in Fig. 4.

146 to determine the number of rotor blades. If we then divide the frequency  $f_s$  converted to 1/min by the  
 147 number of rotor blades rounded to the closest integer we can determine the actual RPM of the rotor  
 148 blades.

149 Alternatively, it is possible to derive RPM, number of blades and speed of the helicopter directly from  
 150 the minimum and maximum frequency (see equations 1 and 2 in Eibl et al. (2015)). However, deriving  
 151 them from the whole curve is more robust.

#### 152 E. Rough Flight Direction Estimate

153 In a next step we estimate the direction roughly based on arrival times. The time of the inflection points  
 154 indicates the time when the helicopter is closest to each station  $i$ , thus representing observed arrival times  
 155  $t_{0,i,obs}$  (Fig. 5). We draw an arbitrary flight direction line from 100 m north of the station where the  
 156 signal arrived first and rotate it  $360^\circ$  in  $0.5^\circ$  steps. This starting point is chosen arbitrarily as the absolute  
 157 helicopter location only plays a minor role when we determine a rough direction based on arrival times.  
 158 For each angle, the seismometers are projected onto the line and residuals between the observed arrival  
 159 times  $t_{0,i,obs}$  and synthetic arrival times  $t_{0,i,syn}$  were calculated (we know the speed of the helicopter). We  
 160 systematically shift the arrival times so that the smallest arrival time in each case is 0 and estimate the

161 residual error  $R_1$  based on

$$R_1 = 100 \cdot \sqrt{\frac{\sum_i (t_{0,i, \text{syn}} - t_{0,i, \text{obs}})^2}{\sum_i (t_{0,i, \text{obs}})^2}} \quad (2)$$

162 Then we exclude all directions for which the signal does not arrive first on the same station as observed  
 163 in reality and pick the angle with the lowest error as a rough first estimate of the flight direction (see  
 164 Fig. 7a).

165 For an exact direction determination,  $t_{0,i, \text{syn}}$  needs to be corrected for the time the wave spends travelling  
 166 through the air using  $t_{0,i, \text{syn}} + \sqrt{h_{h,i}^2 + (h_{v,i} - h_{ar,i})^2}/c$ , where  $h_{h,i}$  is the horizontal distance,  $h_{v,i}$  is the  
 167 vertical distance and  $h_{ar,i}$  corrects for elevation differences between the stations  $i$ . However, at this stage  
 168 we do not estimate the altitude yet leading merely to a rough direction estimate. We recommend this step,  
 169 as it is fast and avoids unnecessary iterations in the next, more time-consuming step.

#### 170 F. Precise Helicopter Location

171 In order to estimate the location in the horizontal plane, altitude and exact flight direction we compare  
 172 the observed distances  $h_{obs,i}$  with theoretical distances  $h_{syn,i}$  derived for various helicopter locations. We  
 173 assume a flight route that is iteratively moved in 5 m steps between -1100 and +1200 m north-southwards  
 174 of the station with the first arrival and in 10 m steps between 50 and 1000 m in altitude. The seismometer  
 175 locations are again projected on the proposed flight route and horizontal distances to the stations at times  
 176 of closest approach are calculated (see Fig. 5). The square root of the squared horizontal distances  $h_{h,i}$   
 177 added to the squared assumed altitude  $h_{v,i}$  are then compared to observed distances  $h_{obs,i}$ . An residual  
 178 error  $R_2$  was calculated as:

$$R_2 = 100 \cdot \sqrt{\frac{\sum_i (h_{syn,i} - h_{obs,i})^2}{\sum_i h_{obs,i}^2}} \quad (3)$$

179 with  $h_{syn,i} = \sqrt{h_{h,i}^2 + (h_{v,i} - h_{ar,i})^2}$ . We introduce the correction factor  $h_{ar}$  in order to correct the altitude  
 180 for elevation differences of the stations.

181 We find the minimum in  $R_2$  and repeat the procedure in  $1^\circ$  steps for directions  $\pm 10^\circ$  around the best  
 182 fitting flight direction. We then plot the minimum of  $R_2$  for each direction against the flight directions  
 183 (see Fig. 7c).

184 The minimum in the resulting residual  $R_2$  (see Fig. 7b and c) reveals the flight direction, location and  
 185 altitude of the helicopter when it passed the array. Plotting the distance of each station at the time of  
 186 closest approach against the slope of the Doppler glide should trend towards -1 for small distances and  
 187 towards 0 for larger distances as visible in Fig. 7d for our best fitting location.



Table I

OVERVIEW OF UNCERTAINTIES IN INPUT PARAMETERS (FREQUENCY, TIME OF CLOSEST APPROACH, SPEED OF THE HELICOPTER AND SPEED OF SOUND) AND OUTPUT PARAMETERS (DISTANCE OF CLOSEST APPROACH, DIRECTION, ALTITUDE AND HORIZONTAL LOCATION). UNCERTAINTIES OF THE INPUT PARAMETERS WERE PROPAGATED INTO THE DISTANCE AND FROM THERE INTO THE OTHER OUTPUT PARAMETERS.

|                          | Data uncertainties:<br>spectra/ grid search | Solution uncertainties:<br>error propagated |
|--------------------------|---|---|
| RPM (1/min)              | $\pm 7.5$                                   | -   |
| Time (s)                 | $\pm 0.0454$                                | -   |
| Speed (km/h)             | $\pm 0.5$                                   | -   |
| Distance (m)             | -   | 4.0-9.7                                     |
| Direction ( $^{\circ}$ ) | -   | $\pm 3.5$                                   |
| Altitude (m)             | -   | $\pm 25$                                    |
| Horizontal location (m)  | -   | $\pm 95$                                    |

### 188 G. Uncertainties

189 We give an overview of uncertainties of input and output parameters in table I. The length of the time  
 190 window and overlap during the calculation of the spectra determines the time and frequency resolution of  
 191  $f_s$  and  $t_0$  (see Fig. 4). We determine the uncertainty based on the resolution along the time and frequency  
 192 axis. The uncertainty in time and frequency depend on each other i.e. a good time resolution requires a  
 193 lower frequency resolution and vice versa. The uncertainty of  $v_s$  is based on the increments used in the  
 194 grid search (column 1 in table I).

195 In a next step we determine the uncertainty in  $h$  by propagating the uncertainties of the input parameters  
 196 using standard linear inversion theory. Finally, we estimate the uncertainty in the helicopter location and  
 197 flight direction using the Monte Carlo method, where we vary the sets of input parameters within their  
 198 uncertainty obtained in the previous step. The minimum and maximum values of these best fitting locations  
 199 define the uncertainty given in column 2 in table I and in table II.

200 Underlying assumptions of our location method are that the source moves at constant speed along a  
 201 straight line. We can however also see that there were slight changes in the speed and the direction during  
 202 the flyby, which can introduce additional uncertainties. If the number of stations is sufficient this can be  
 203 accounted for by using subsets of stations to derive altitude, location and directions at multiple times  
 204 along the flight path.

## 205 X. RESULTS

### 206 A. Problems Around a Curve Fit With Four Unknowns

207 Initially we performed a curve fit with four unknown variables which are given in column 2 in table II.  
 208 While RPM, direction and altitude seemed to be in agreement with GPS observations, the speed was

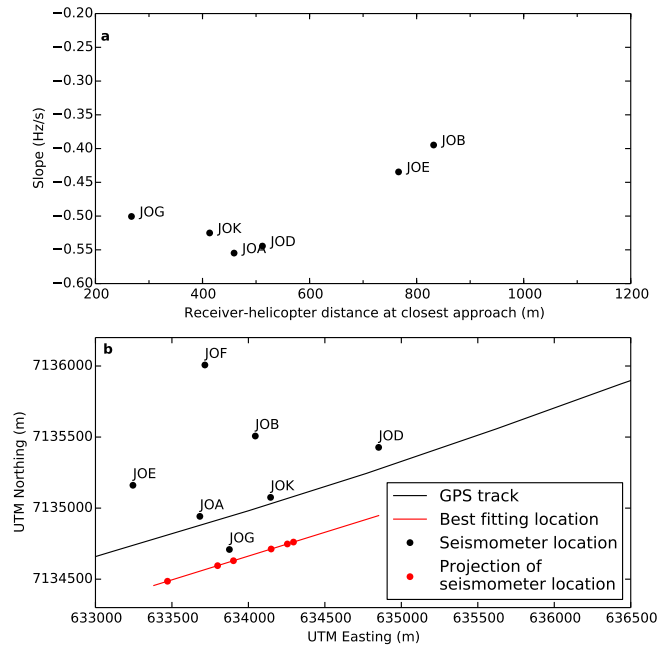


Figure 6. Helicopter location results as based on a curve fit with four unknowns. (a) Source-receiver distance at time of closest approach against the slope of the Doppler glide at the inflection points. (b) Best fitting helicopter location (red) in comparison to the helicopter GPS track (black). Red dots mark the station projection on the best fitting flight route.

209 overestimated by at least 10 km/h and the horizontal location was about 400 m too far south (see Fig. 6b). In  
 210 addition the residual was relatively large. This is a consequence of overestimated speeds (5% corresponding  
 211 to 10 km/h) and distances (24 to 67% corresponding to 80 to 740 m) in the curve fit with four unknowns.  
 212 Performing a curve fit with speed and distance that both affect the shape of the curve, results in a local  
 213 minimum that is in fact a compromise and not the best fitting curve.  
 214 We found that for three unknowns and increasing speed the frequency decreased. Lowering the speed  
 215 and inverting for three, two or one unknown(s) in the curve fit led to a decrease of the distances to  
 216 realistic values. Whilst a curve fit with four unknowns fitted the whole curve well, lowering the speed  
 217 improved the fit during the glide but under-/ overestimated the starting/ ending frequency, respectively.  
 218 This is reasonable as the gradual downwards gliding (see Fig. 2d) is caused by the gradual approach of  
 219 the helicopter. For example at 11:52:20 it was still more than 2.8 km east of JOD and more than 4.4 km  
 220 east of JOE. It is however subjective to determine by eye which part of the curve should be fitted for the  
 221 best results. Therefore, we suggest searching iteratively over a variety of speeds in order to minimize  $R_2$ .

## 222 B. Best Helicopter Location

223 In order to generally and objectively find the best fitting location of the helicopter we suggest performing  
 224 a curve fit for four unknowns as a first step in order to get a rough parameter estimate for  $v_s$  and in order  
 225 to determine  $f_s$ . As a second step,  $f_s$  should be fixed as mean of the  $f_s$  derived in the curve fit with four

226 unknowns and  $t_0$  can be calculated. The distance of closest approach,  $h$ , is then obtained by the systematic  
 227 grid search over a range of speeds,  $v_s$ , and the curve fit in the least square sense. Finally, the values of  
 228  $h$  for all stations are then used to determine the source (helicopter) location through a grid search for a  
 229 location which minimises the sum of squared residuals between the calculated and observed values of  $h$   
 230 ( $R_2$ ). This approach will decrease the residual  $R_2$  in comparison to a curve fit with four unknowns.

231 For further objectivity, it is also possible to fix the source frequency and source speeds iteratively, whilst  
 232 determining the times and distance at closest approach in a curve fit. This approach did not improve our  
 233 location result further.

234 The helicopter location result with the smallest residual  $R_2$  is shown in Fig. 7. The residual  $R_1$  is given  
 235 in Fig. 7a, the residual  $R_2$  for the best fitting flight direction is shown in Fig. 7b and the minimum in  
 236  $R_2$  for different directions is shown in Fig. 7c. A projection of the location with the smallest residual  
 237 ( $R_2=3.8$ ) with respect to the array stations and the GPS track of the helicopter is given in Fig. 7e. A good  
 238 lateral fit is visible.

239 For the location with the lowest residual Fig. 7d shows the slopes of the gliding at the inflection points  
 240 with respect to the distance of the station from the best fitting track as shown in 7e. The observed slopes  
 241 converge to -1 for source-receiver distances approaching 0 m. For increasing distances slopes converge to  
 242 0. The visible linear trend supports our helicopter location.

243 Our analysis gives a RPM of  $413.8 \pm 7.5$  for a four-bladed helicopter that flew at  $212.0 \pm 0.5$  km/h  
 244 towards  $252.5 \pm 3.5^\circ$  at an altitude of  $335.0 \pm 25$  m and was closest to JOA and JOK (see Fig. 7e and  
 245 column 3 in table II). Our results are in accordance with the parameters derived from the GPS track as  
 246 shown in table II apart from the altitude estimate.

247 We tested our code on another flyby of the same helicopter and could recover similar properties for a  
 248 flight direction of about  $67^\circ$  using six available stations. However, as we do not have an exact GPS track  
 249 and the helicopter passed south of all stations, we decided not to include it in this study.

### 250 *C. Height Discrepancy*

251 We have tested the sensitivity of our location method with respect to varying sound speeds and repeated  
 252 it for sound speeds of 325.39 m/s, 331.45 m/s and 349.63 m/s at  $-10^\circ\text{C}$ ,  $0^\circ\text{C}$  and  $+30^\circ\text{C}$ , respectively. These  
 253 changes did not affect the minimum residual, RPM and direction estimate. The effect on the horizontal  
 254 location is merely 5 m and not systematic. However, we found systematic changes in helicopter speed  
 255 and altitude. The higher the sound speed the higher is the estimated helicopter speed (212 to 222 km/h)  
 256 and the lower is the altitude (335 to 320 m). Discrepancies between true and estimated helicopter speed  
 257 and altitude might therefore be partly caused by wrong sound speed assumptions.

258 We have also compared the results of a grid search with a height resolution of 25 m to one with a height

Table II

COMPARISON OF PROPERTIES OF THE HELICOPTER AND ITS FLIGHT PATH DERIVED FROM THE GPS TRACK AND OUR SEISMOMETER RECORDINGS. WE SHOW RESULTS FOR A CURVE FIT WITH FOUR AND ONE UNKNOWN(S).

|               | GPS Derived Properties | Curve Fit 4 Unknowns | Curve Fit 1 Unknown |
|---------------|------------------------|----------------------|---------------------|
| RPM (1/min)   | 4*413                  | 4*(413.8±7.5)        | 4*(413.8±7.5)       |
| Speed (km/h)  | 204.6-210.1            | 219.2±1.23           | 212±0.5             |
| Direction (°) | 252.3-254.7            | 251.5±3.5            | 252.5±3.5           |
| Altitude (m)  | 221.3-286.4            | 260.0±25.0           | 335.0±25.0          |
| Closest to    | JOA and JOK            | JOG                  | JOA and JOK         |
| Minimum $R_2$ |                        | 22.8                 | 3.8                 |

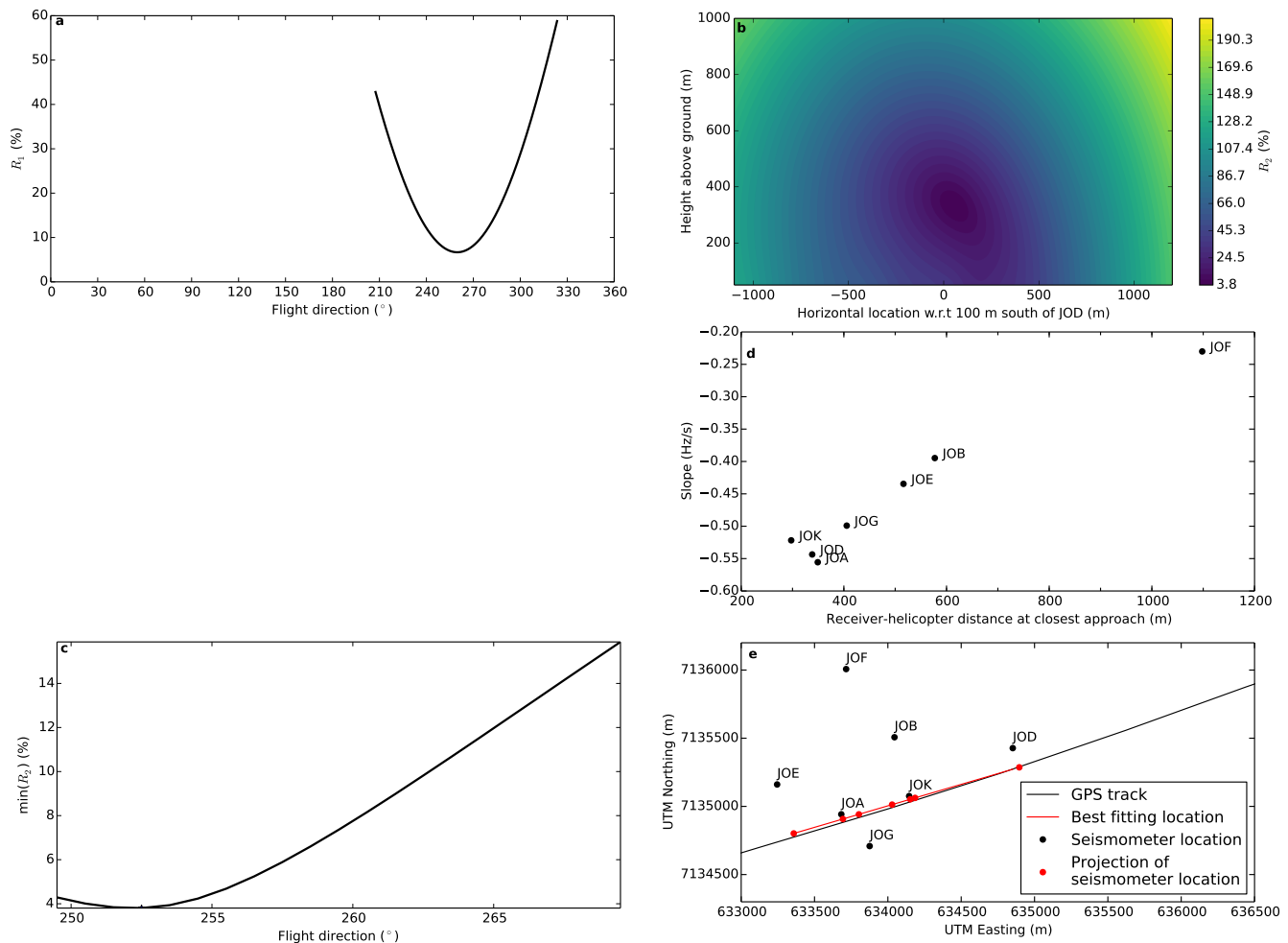


Figure 7. Helicopter location and residual errors based on the Doppler glides extracted from the vertical component of the stations in Jökulheimar. (a)  $R_1$  of the rough flight direction estimation, (b)  $R_2$  of the horizontal and altitude location at the best fitting flight direction, (c) Minimum of  $R_2$  plotted against various flight directions, (d) Source-receiver distance at time of closest approach against the slope of the Doppler glide at the inflection points. (e) Best fitting helicopter location (red) in comparison to the helicopter GPS track (black). Red dots mark the station projection on the best fitting flight route.

259 resolution of 5 m. All other parameters were identical. In the run with a lower resolution the residual  $R_2$   
 260 increased slightly but the overall location result was not affected: RPM, helicopter speed, direction and  
 261 best fitting horizontal direction were identical. This indicates that the height is poorly constrained in our  
 262 location method.

#### 263 *D. Gliding Spectral Lines in Volcano-Seismology and Glaciology*

264 In volcano-seismology frequency gliding of volcanic tremor can have a few possible causes: (i) a change  
 265 in the repetition time of a stationary source (Dmitrieva et al., 2013; Hotovec et al., 2013; Neuberg et al.,  
 266 2000; Steel, 2009), (ii) a change in acoustic velocity (De Angelis & McNutt, 2007; Benoit & McNutt, 1997)  
 267 or (iii) a change in dimension of the resonating body (De Angelis & McNutt, 2007; Jousset et al., 2003).  
 268 Repeating processes such as frictional faulting (Dmitrieva et al., 2013; Hotovec et al., 2013; Lipovsky &  
 269 Dunham, 2015), hybrid events (Neuberg et al., 2000) or merging low frequency events (Steel, 2009) are  
 270 analogous to the helicopter generated tremor and are analogous to stick-slip motion between two adjacent  
 271 icebergs (Talandier et al., 2006; MacAyeal et al., 2008) in glaciology.

272 Distinguishing if a frequency change is due to a lateral movement of the source as for helicopter generated  
 273 tremor or a change in source repetition time as suggested for volcanic tremor is challenging. As mentioned  
 274 in Eibl et al. (2015) the helicopter generated tremor shows strong frequency gliding if the helicopter is at  
 275 a few kilometers distance and slower, less characteristic frequency gliding at larger distance. In volcano-  
 276 seismology stations are usually at a larger distance from the source especially as the tremor source is  
 277 below the Earth's surface. Therefore source changes would appear as gradual frequency changes, as often  
 278 observed in the literature.

279 However, these gliding spectral lines are usually interpreted as changes in the temporal spacing between  
 280 repeating pulses such as speeding up before explosions (source effect) rather than source movements (path  
 281 dependent effect). It is possible to detect a source movement, if the shapes of the gliding spectral lines  
 282 differ on different stations in a network or if they are time delayed with respect to each other. Network  
 283 geometries that span various azimuths with respect to the tremor source are best suited to check for  
 284 these path dependent differences of a moving source. On stations closer to the source a moving source  
 285 might reveal a spectral curve shaped by the Doppler effect. Since a typical Doppler shaped curve can  
 286 only be created by a moving source, this would be a strong indicator. Additionally, gaps in the tremor  
 287 (e.g. Eibl et al. (2017)) or jumps in the fundamental frequency (Benoit & McNutt, 1997; Lesage et al.,  
 288 2006; Hotovec et al., 2013) indicate a source effect of natural origin. Similarities and differences between  
 289 volcanic and helicopter tremor as well as various not typical up- and downglidings were observed and  
 290 discussed in Eibl et al. (2015) for helicopters at up to 40 km distance and in an experiment with acoustic  
 291 sensors (Damarla & Ufford, 2008).

## XI. CONCLUSION

In this study we use the recordings of seven seismometers (see Figs 2 and 4) arranged as array in Jökulheimar, Iceland (see Fig. 1) to track a helicopter (see Fig. 7e). We use seismological methods to derive properties of seismic tremor recordings in the frequency domain (see Fig. 4). These are used to perform a grid search (see Fig. 5) over direction, speed, altitude and horizontal location to find the values that best fit the observed arrival times and minimum source-receiver distances (see column 3 in table II). We present a method that can be used to deduce flight parameters of an airborne object such as a helicopter using merely seismometer recordings. We successfully deduced properties such as the number of rotor blades, RPM, speed, flight direction, location and altitude. Although precise spatial locations are only possible within the network, parameters such as the number of rotor blades, RPM, speed and flight direction can be determined as well for objects outside the seismic network. It is however necessary to have a source-receiver distance that allows for a Doppler glide in the recording. This cannot be observed if distances are too large allowing merely the determination of number of blades and their RPM (see fig. 7 in Eibl et al. (2015)).

We note that it was possible to improve the fit between the GPS track and best fitting location inverting for for example only one unknown (distance at the time of closest approach) in the curve fit and fixing speed, times of closest approach and frequency.

We show that the seismic tremor of a helicopter is generated by a repeating source process which is analogous to suggested tremor models in volcano-seismology and glaciology. However, in the case of a helicopter, frequency glidings are generated by the movement of the source, whereas in volcano-seismology and glaciology they are usually interpreted as a change in repeat time of a stationary source. We present a case study of location of a moving object using inversion of time-frequency transforms which can be of value to both academic and industrial communities with an interest in tracking airborne objects.

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