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1	Fish oil derived n-3 polyunsaturated fatty acids for the prevention and treatment of	
2	sarcopenia	
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27 Abstract

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**Purpose of review:** Muscle mass and function decline progressively starting in middle age, 29 30 which can result in sarcopenia and affect people's mobility and independence late in life. 31 Exercise training and increased protein intake are typically recommended to counteract the ageassociated decline in muscle mass and function. However, few people comply with exercise 32 33 recommendations and evidence for the anti-sarcopenic effectiveness of high protein intake is lacking. This review aims to explore recent developments in the potential for fish-oil derived n-3 34 polyunsaturated fatty acids (n-3 PUFA) to improve muscle mass and function in older people. 35 36 **Recent findings:** The results from recent studies demonstrate that dietary supplementation with fish oil-derived n-3 PUFA stimulates muscle protein synthesis and improves muscle mass 37 38 and function in sedentary older adults and augments the resistance exercise training-induced 39 increase in muscle strength. The exact mechanisms by which fish oil-derived n-3 PUFAs exert their beneficial effects on muscle mass and function remain to be elucidated. 40 Summary and Conclusion: Fish-oil supplementation has anti-sarcopenic effects and should 41 42 be considered in the clinical care of older adults. 43 **Keywords:** Sarcopenia, weakness, frailty, omega-3 fatty acids 44

46 Introduction

47 Starting in late middle-age, skeletal muscles atrophy progressively and muscle tissue undergoes morphological changes (e.g., infiltration with non-contractile material, such as fat and 48 connective tissue; reduced capillary density and mitochondrial content; motor unit and 49 50 neuromuscular junction remodelling) which can reduce muscles' ability to generate and maintain force, and negatively affect activities of daily living (walking, climbing stairs, lifting items) [1-3]. 51 52 In healthy people muscle mass and strength decline by ~0.5%-1.0% and 1%-2% per decade, respectively; periods of acute illness and chronic diseases accelerate these processes. A 53 54 decrease in muscle mass and physical abilities (walking speed and/or strength) below certain threshold values, established by the International Sarcopenia Initiative [1], is referred to as 55 sarcopenia. Sarcopenia is associated with a 2-3-fold increased risk of falls (~20% increase in 56 incidence for each ~15% decrease in leg strength), frailty, disability, loss of independence, and 57 58 mortality (~4% increase for every 1 kg decrease in grip strength) [1, 4-9]. Sarcopenia affects 59 10-30% of independently living older adults, without major illness, and even more ill and/or institutionalized older adults [1, 10-12]. The total number of people affected by sarcopenia is 60 expected to rise significantly because, according to U.S. Census Bureau Population Projections 61 62 (www.agingstats.gov), the number of older adults (>65 years of age) is expected to double in 25 years, until the youngest Baby Boomers" reach 65 years of age. No safe and effective drug 63 therapies for the prevention and treatment of sarcopenia exist. Current recommendations, 64 65 therefore, focus on exercise training and high protein intake, but these approaches have 66 limitations as outlined below. Fish oil-derived n-3 polyunsaturated fatty acids (n-3 PUFA) 67 (eicosapentaenoic and docosahexaenoic acid) have emerged as a potential new treatment modality for the prevention and treatment of sarcopenia that warrant serious consideration in the 68 clinical care for older adults. 69

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#### 72 Physical activity and the risk of sarcopenia

73 Regular physical activity, particularly resistance type exercise, is the cornerstone for maintaining adequate muscle mass and function throughout life [1, 13-15]. However, even life-long 74 exercisers and highly trained master class athletes exhibit a progressive loss of muscle mass 75 76 and function [16-18]. Moreover, a plethora of health-, environment-, and self-related factors 77 represent barriers to initiate and continue exercise programs in the general population [19-22]. Indeed, only 10% of older adults engage in physical activities that enhance and maintain 78 79 strength and endurance on two or more days per week and only 15-20% make trips of one mile 80 or less by walking [19, 23]. Muscle is very sensitive to disuse, and even short-periods of reduced use can have detrimental consequences in older adults because of the resulting loss of 81 muscle mass (~5-8% after only 1-2 weeks of reduced ambulation) and function (~10% decrease 82 83 in strength after only 4 days of immobilization) which are difficult to recover even with intense 84 physical rehabilitation [24-26]. Accordingly, dietary changes are also recommended to mitigate 85 the age-associated loss of muscle mass and function.

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### 87 Current dietary recommendations to mitigate the risk and consequences of sarcopenia

88 International expert groups recommend a minimum protein intake of 1.0-1.2 g per kg body weight per day (which is 25%-50% more than the RDA of 0.8 g/kg/d) for healthy older adults. 89 90 1.2-1.5 g/kg/d for those who have acute or chronic diseases, and up to 2.0 g/kg/d during periods 91 of acute illness [14, 15]. However, conclusive evidence that high protein intake has meaningful 92 effects on muscle mass and/or function is lacking [27-33]. This may in part be due to the short duration of many of the studies which have evaluated the effect of protein intake on muscle 93 94 mass and strength, but also because most studies paid little attention to participants' habitual protein intake, the source of protein and the timing of protein intake. High intake of leucine-rich 95 96 proteins, such as whey protein, evenly distributed across meals is suggested to be particularly 97 beneficial because protein ingestion stimulates muscle protein synthesis acutely in a dose

98 dependent manner and leucine supplementation augments the anabolic response to protein 99 ingestion [15, 34-41]. Indeed, in one trial, it was found that adding 15 g milk protein to both breakfast and lunch, for 24 weeks, improved the Short Physical Performance Battery score [42]. 100 101 Additional long-term high quality clinical trials are clearly needed in this area. On top of this, 102 there are potential downsides to increasing protein intake to consider. The results from several 103 small cross-sectional and large population studies have shown a link between high protein 104 consumption and the development of insulin resistance and T2D [43-50]. The risk of developing 105 T2D increases by 20-40% for every 10 g of protein consumed, in excess of 64 g/d, and the risk 106 of developing T2D in people in the highest quartile of protein consumption is nearly twice that of those in the lowest quartile [47-49]. The potential adverse effect of high protein intake on the 107 pathophysiological mechanisms underlying T2D has also been demonstrated in small 108 109 randomized-controlled studies [51-55]. It is worth pointing out, however, that the authors of 110 several recent systematic reviews and meta-analyses [56-59] have concluded that the available data are inadequate to determine the effect of high protein intake per se on insulin sensitivity 111 112 and plasma glucose concentration, because of potential confounding influences of food selection and overall diet composition, differences between intervention and control groups, and 113 114 the short duration of most trials.

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## 116 Fish-oil derived n-3 PUFA – a potential new treatment modality to reverse sarcopenia

The results from epidemiological studies [60] and experiments in cell cultures and animals [61] suggest that fish oil-derived n-3 PUFA could have therapeutic effects in older adults. We [62] and another group of investigators [63] found that healthy, older women who participated in an exercise training program and consumed 2-4 g fish oil per day for 3 months had greater traininginduced gains in muscle strength than those who did not supplement their diet with fish oil. We also found 6 months of dietary supplementation with 4 g of fish oil-derived n-3 PUFA, increased muscle mass and strength in healthy, physically active, but untrained older adults [64]. Daily 124 supplementation with 1.3 g of n-3 PUFA for 12 weeks, on the other hand, was not associated 125 with improved muscle strength and global physical function in older adults [65]. The lack of an effect in this study was most likely due to both the low dose and short duration of the 126 intervention, because we found significant increases in muscle mass and function after six but 127 128 not three months of treatment with 4 g of fish oil-derived n-3 PUFA per day [64]. Unlike high 129 protein intake, there is no evidence that these fatty acids have any adverse effects on 130 cardiometabolic health [66-69], which supports their use in older adults who are most 131 susceptible to cardiometabolic disease.

132 The mechanisms responsible for the beneficial effects of fish oil-derived n-3 PUFAs on muscle 133 mass and function have not been fully elucidated, but are likely multifactorial including several, 134 or all of the ones outlined in Figure 1. Changes in muscle mass result from changes in both protein turnover (balance between synthesis and breakdown) and cell turnover (balance 135 between satellite cell proliferation and fusion and myonuclear loss). We found that adding 4 g of 136 137 fish oil-derived n-3 PUFA per day for 8 weeks to the diet of healthy older adults increased the 138 acute amino acid induced activation of the mTOR-p70s6k signalling pathway and muscle protein synthesis [70]. Others found that adding 3.9 g of fish oil-derived n-3 PUFA to the diet of 139 older adults augmented the acute exercise-induced increase in muscle protein synthesis [71]. 140 The effect of fish oil-derived n-3 PUFAs on muscle protein synthesis has also been investigated 141 142 in young adults and the results are equivocal. We found that 8 weeks of fish oil-derived n-3 143 PUFA intake (4 g per d) increased the rate of muscle protein synthesis during amino acid and insulin infusion in sedentary young adults [72]. On the other hand, others found no effect of 8 144 weeks of fish oil-derived n-3 PUFA intake (5 g per d) on the rate of muscle protein synthesis in 145 resistance trained young men, who consumed 30 g of protein at rest or after a bout of 146 resistance exercise [73]. This was likely because the high protein intake combined with regular 147 148 exercise training already maximally stimulated muscle protein synthesis in this participant group

[38]. Studies conducted in cell cultures, rats, and patients on maintenance hemodialysis found
fish oil-derived n-3 PUFA also attenuated muscle protein breakdown [61]. The effect of fish oilderived n-3 PUFAs on muscle cell turnover is not known.

152 Increased muscle function (strength and endurance) could be due to changes in myocytes themselves (myofiber microstructure, contractility, and energy production), as well as changes 153 154 in external factors (extracellular matrix composition and function, muscle perfusion, and 155 neuromuscular function). The results from several studies suggest a coordinated response of 156 several (or all) of these factors might be involved, but this has never been comprehensively 157 evaluated in people. We found that fish oil-derived n-3 PUFA supplementation in healthy older 158 adults increased the expression of genes involved in muscle mitochondrial function [74], and 159 others found, although not consistently, it reduces oxidant emission [71] and ADP sensitivity [75] 160 in mitochondria isolated from human muscle. Rats fed fish oil-derived n-3 PUFAs were found to use less oxygen for tension development and were able to work harder, and fatigued later than 161 162 those fed the control diet [76]. In healthy people participating in an exercise training program, 163 fish oil supplementation shortened the electromechanical delay and increased the rate of force 164 development during maximal voluntary isometric contractions [63], and adding fish oil to the diet of mice and rats improved their motor and sensory nerve conduction speed and protected them 165 from developing diabetic peripheral neuropathy [77, 78]. Studies conducted in rats and healthy 166 167 middle-aged people found that fish-oil derived n-3 PUFAs augment brachial artery dilation, 168 vascular conductance, and blood flow [79, 80]. It is therefore likely that several mechanisms 169 contribute to the beneficial effect of fish oil-derived n-3 PUFA on muscle function and further 170 work is needed to investigate this in people.

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#### 174 Summary

175 An effective approach to prevent and treat age-associated sarcopenia is much needed. Regular 176 physical activity and exercise training have potent anti-sarcopenic effects but are difficult to implement. The current recommendation for increased protein intake is not evidence-based, 177 178 and could have adverse "side-effects" on cardiometabolic health. Dietary supplementation with ≥2 g of fish oil-derived n-3 PUFAs per day has been found to increase muscle protein synthesis 179 180 and muscle mass and function in healthy untrained and exercise training older adults but the mechanisms mediating the beneficial effects of fish oil-derived n-3 PUFAs on muscle mass and 181 function have not been fully elucidated. 182

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#### 184 Conclusion

The results from studies conducted in healthy and exercise training older adults support the use of fish oil-derived n-3 PUFA as a new treatment modality for the prevention and treatment of sarcopenia. Interdisciplinary basic and clinical and translational studies are needed to explore the multifactorial mechanisms responsible for the beneficial effects of fish oil-derived n-3 PUFAs on muscle mass and function.

### 191 Key points

- Ageing is associated with a progressive decrease in muscle mass and function, which can
   have deleterious consequences affecting people's mobility and independence.
- There are currently no effective pharmacological treatments for the treatment and
- 195 prevention of sarcopenia; lifestyle changes, such as resistance exercise training and high
- 196 protein intake, are therefore promoted albeit with limited success because of low adherence
- 197 to exercise and uncertainties concerning the effectiveness of high protein intake elicit the
- desired increase in muscle mass and function.
- The results from recent studies suggest that dietary supplementation with fish oil-derived n-3
- 200 PUFA improves muscle mass and function in both sedentary and exercise training older
- adults.
- The exact mechanisms by which fish oil-derived n-3 PUFAs exert their beneficial effects on
   muscle mass and function remain to be elucidated.

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- 213

### 214 Conflicts of interest

- 215 None
- 216

# 217 Figure legend

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- **Figure 1.** Potential mechanisms responsible for the beneficial effects of fish oil-derived n-3
- polyunsaturated fatty acids on muscle mass and function. See text for details.

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**Figure 1.** Potential mechanisms responsible for the beneficial effects of fish oilderived n-3 polyunsaturated fatty acids on muscle mass and function. See text for details.