



24 Based on intersatellite-calibrated high-resolution infrared radiation sounder (HIRS) upper  
25 tropospheric water vapor (UTWV) brightness temperatures, the width of the tropical belt is defined  
26 as the distance between the latitudes at which maximum HIRS UTWV brightness temperatures are  
27 recorded in both hemispheres. Poleward expansion of the tropical belt is evident during 1979-2013  
28 on an annual basis, with an average global magnitude of 1.57 degrees latitude per decade. Most  
29 rapid widening is evident in the west Pacific, in agreement with the strengthening of the Walker  
30 Circulation over time. This research suggests that the HIRS UTWV brightness temperatures are a  
31 good proxy to investigate expansion of the tropical circulation in low latitudes.

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## 45 **1. Introduction**

46 Widening of the tropical belt has been evident since the beginning of the satellite era (1979) and is  
47 expected in the context of recent climate warming (*Lu et al., 2007; Lu et al., 2009; Seidel et al.,*  
48 *2008*). This will profoundly influence the global climate system and has important implications for  
49 subtropical and tropical societies. For example, poleward movement could result in shifts in  
50 precipitation patterns affecting natural ecosystems, agriculture and water resources (*Seidel et al.,*  
51 *2008*). As a consequence, study of the widening of the tropics has been a common theme in the  
52 scientific community (*Hu and Fu, 2007; Hu et al., 2011; Lu et al., 2007; Lu et al., 2009; Reichler,*  
53 *2009; Seidel et al., 2008*). Recent studies have been concerned with the definition and the boundary  
54 of the tropical belt (*Fu and Lin, 2011; Hu and Fu, 2007; Hu et al., 2011; Johanson and Fu, 2009;*  
55 *Lu et al., 2007; Lu et al., 2009; Seidel and Randel, 2007*) but it is still the case that the boundaries  
56 of the tropics vary between different studies and there is not one unique accepted definition.

57 The poleward extent of the tropics depends on the definition of specific indicators of tropical width,  
58 which can be roughly divided into two categories (*Reichler, 2009*). One contains dynamical  
59 indicators which concentrate on characteristic features of the atmospheric circulation at the outer  
60 edges of the tropics, such as the poleward boundary of the Hadley circulation (*Hu and Fu, 2007; Hu*  
61 *et al., 2011; Korty and Schneider, 2008*), the position of subtropical jet cores (*Archer and Caldeira,*  
62 *2008; Reichler et al., 2003; Reichler, 2009*), and the latitude where the surface winds change from  
63 westerly to easterly (*Žagar et al., 2011*). The other category includes physical indicators which  
64 exhibit relatively sharp gradients at the tropical edge. These include the amount of outgoing  
65 longwave radiation (OLR) (*Hu and Fu, 2007; Hu et al., 2011*), the concentration of stratospheric  
66 ozone (*Hudson et al., 2006*), the height of the thermal tropopause (*Lu et al., 2007; Lu et al., 2009;*  
67 *Seidel and Randel, 2007*), the relative humidity of the air, and the surface water balance (difference

68 between surface precipitation and evaporation).  
69 Many previous studies have focused on atmospheric climate variables, and relatively little attention  
70 has been paid to upper troposphere water vapor (UTWV) ( $> 400\text{hPa}$ ), despite the fact that the  
71 tropical circulation is three-dimensional. Previous studies have used high-resolution infrared  
72 radiation sounder (HIRS) OLR (outgoing longwave radiation) data to define the tropics (*Hu and Fu,*  
73 2007). However, UTWV brightness temperatures are able to trace the presence or absence of deep  
74 convection in the tropics (*Bates et al., 1996*). This study attempts to use these to define the width of  
75 the tropics and therefore to study long-term trends in widening over the satellite era (1979-2013).

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## 77 **2. Data and Methods**

78 Three decades of inter-satellite calibrated HIRS channel 12 clear-sky measurements of UTWV have  
79 been created (see *Shi and Bates, 2011*). Cloudy pixels were removed from the HIRS data using a  
80 simplified method based on the International Satellite Cloud Climatology Project (ISCCP) cloud  
81 detection approach (*Jackson and Soden, 2007*), because variable cloud conditions at different  
82 observation times of different satellites are an additional influence on the brightness temperatures.  
83 Clear sky data (uncontaminated by cloud effects) can explain many differences in satellite  
84 measurements (*Shi and Bates, 2011*). The resulting time series has been calibrated to take  
85 differences between satellites into account. The monthly HIRS UTWV brightness temperatures  
86 cover 1979 to 2013 with a spatial resolution of  $2.5^\circ \times 2.5^\circ$ , and are available from  
87 <http://www.ncdc.noaa.gov> (*Shi and Bates, 2011*). The HIRS UTWV brightness temperatures can be  
88 interpreted both in terms of water vapor variability and dynamics, especially in the tropics and  
89 subtropics (*Bates et al., 2001*). Higher brightness temperatures respond to lower water vapor content

90 (drier air) and relate to areas of subsidence in the topical troposphere (Soden & Betherton 1993).  
91 Thus the latitudes of the descending limbs of the Hadley circulation in each hemisphere can be  
92 identified by locally high brightness temperatures, or brightness temperatures above a given  
93 threshold.

94 The Mann-Kendall test for a trend and Sen's slope estimates were used to detect and estimate trends  
95 in HIRS UTWV brightness temperatures and the latitudinal position of their values (*Sen*, 1968). A  
96 trend is considered to be statistically significant at the 5% level ( $p < 0.05$ ).

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### 98 **3. Results**

99 Figure 1 shows the time evolution of zonal mean (a), zonal mean profile (b) and trend of zonal mean  
100 profile (c) derived from HIRS UTWV brightness temperatures during 1979-2013. High/low  
101 brightness temperatures represent a dry/wet upper troposphere, and indicate the  
102 descending/ascending movement of air (*Bates et al.*, 1996; *Bates and Jackson*, 2001). The distance  
103 between the latitudes of maximum HIRS UTWV brightness temperatures in the Northern and  
104 Southern hemispheres can be used as a physical indicator to measure the width of the tropics  
105 (*Reichler*, 2009) (Figure 1a). The zonal mean HIRS UTWV brightness temperatures show a  
106 maximum in the subtropics near 22°N and 22°S and decrease gradually towards lower and higher  
107 latitudes (Figure 1b). Thus the brightness temperatures have relatively sharp gradients at the edge  
108 of the tropics (*Worden et al.*, 2007). Brightness temperatures have increased in the zone of  
109 maximum brightness in both hemispheres, suggesting stronger subsidence and drier air (Figure 1c).  
110 Figure 2 shows the change (a) and anomaly (b) of the width of tropical belt derived from the HIRS  
111 UTWV brightness temperatures during 1979-2013 on an annual basis. The width of the tropical

112 belt is defined as the zonal distance between the maximum HIRS UTWV brightness temperatures  
113 in both hemispheres. On average, the HIRS UTWV brightness temperatures have increased since  
114 the 1990s (Figure 2a). In addition the latitude of maximum brightness temperatures has increased  
115 in both hemispheres, showing poleward expansion of the Hadley circulation and the widening of  
116 the tropical belt (Figure 1a and Figure 2a). This is consistent with the results which define the width  
117 of the tropical belt as the distance between latitudes where the mean HIRS UTWV brightness  
118 temperature exceeds 250 K (similar to *Hu and Fu (2007)*). However using a lower threshold of 245  
119 K for example, does not change the result very much. Both the northern and southern edges of the  
120 Hadley circulation exhibit a systematic poleward shift during 1979-2013, consistent with the results  
121 of widening of the tropical belt (Figure 2a). Based on the definition, the rate of change of the tropical  
122 belt width is 1.57 degrees latitude per decade. The trend is statistically significant and means an  
123 overall expansion of about 5.5 degrees between 1979 and 2013. Figure 2b also shows the anomalies  
124 of tropical belt width after the long-term trend has been accounted for. Certain years (e.g. 2000,  
125 2008 and 2011) show a positive anomaly, while others (e.g. 1982, 1994 and 1997) a strong negative  
126 one, which are equivalent to the La Niña and El Niño years respectively?.

127 To investigate spatial contrasts in widening, six domains in the tropics are defined in Table 1. The  
128 time evolution of zonal mean HIRS UTWV brightness temperatures for the six domains are shown  
129 in Figure 3, and associated rates of widening of the tropical belt are summarized in Table 1 and  
130 plotted in Figure 4. Overall, there are different patterns in the eastern and western Pacific. In the  
131 eastern Pacific, the HIRS UTWV brightness temperatures are higher in the southern hemisphere and  
132 the northern hemisphere maximum is relatively weak. Although the width of the tropical belt has  
133 shrunk (Figure 3a), the trend is not significant. In the western Pacific there are much lower values

134 near the equator (Figure 3b), suggesting a wetter troposphere. Here there has been a significant  
135 widening of the tropical belt, reaching 1.52 degrees latitude/decade ( $p < 0.05$ ) (Figure 3b). The  
136 growth of the west Pacific tropical region and the shrinkage of the east Pacific region is clear in the  
137 equatorial region (Figure 5), indicating that the strengthening of the Walker Circulation over time  
138 accounts for the phenomenon. Widening is also clear in the tropical Atlantic although the magnitude  
139 of widening is smaller (Figures 3c and 4c). Over global land regions in the tropics similar changes  
140 in poleward edges of the tropical belt are observed in both Northern and Southern hemispheres with  
141 significant widening being reported in Africa (Figure 4e) and South America (Figure 4f). Thus, the  
142 most rapid widening has been reported in the west Pacific but significant increases in width are  
143 reported in 3 out of 6 regions.

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#### 145 **4. Discussion and Conclusions**

146 The HIRS UTWV brightness temperatures during 1979-2013 on an annual basis have been used to  
147 study the poleward expansion of the tropical belt. The width is defined as the distance between the  
148 latitudes with maximum HIRS UTWV brightness temperatures in the Northern and Southern  
149 hemisphere. The mean magnitude of the poleward expansion of tropical belt is 1.57 degrees latitude  
150 per decade, corresponding to 5.5 degrees during 1979-2013. The largest poleward expansion is  
151 found in the west Pacific with a rate of 1.52 degrees latitude per decade.

152 Sensitivity to the threshold chosen to define the width appears low but further work is needed to  
153 confirm this. Alternative definitions could use the latitude of the maximum brightness temperature  
154 (irrespective of absolute value) and subsequent research is also examining this. It is also important  
155 to look at possible asymmetry in hemispheric response as well as changes in total width and to

156 correlate changes in width with changes in other atmospheric variables. This will allow us to  
157 understand whether changes in the dynamics and thermodynamics of the Hadley cell are correlated.  
158 Understanding inter-annual variability and relationship with circulation indices for example, will  
159 also uncover more information about the various forcing factors on tropical width. The magnitude  
160 of changes measured in this study are consistent with those based on other metrics, including tropical  
161 upwelling at 60 hPa (*Rosenlof, 2002*), tropopause height (*Seidel and Randel, 2007*), tropospheric  
162 temperatures (*Fu et al., 2006*), total ozone (*Hudson et al., 2006*), jet stream separation (*Archer and*  
163 *Caldeira, 2008*), outgoing longwave radiation and mean meridional circulation (*Hu and Fu, 2007*).  
164 Other estimates of widening of the tropical belt vary between 0.3 and 3.1° latitude/decade (Table 2),  
165 due in part to different study periods, datasets, definitions and methods. The consensus widening  
166 averages about 1.4° latitude per decade (*Reichler, 2009*), broadly consistent with results in this study.  
167 Associated shifts in precipitation maxima and the subtropical dry belts could lead to fundamental  
168 shifts in ecosystems and in human settlements (*Seidel et al., 2008*). However, *Reichler (2009)*  
169 comments that so far, broad shifts in climate caused by poleward expansion of the tropical belt have  
170 not been consistent. The reasons for these inconsistencies and the spatial contrasts in tropical belt  
171 dynamics/thermodynamics are important research areas for the future.

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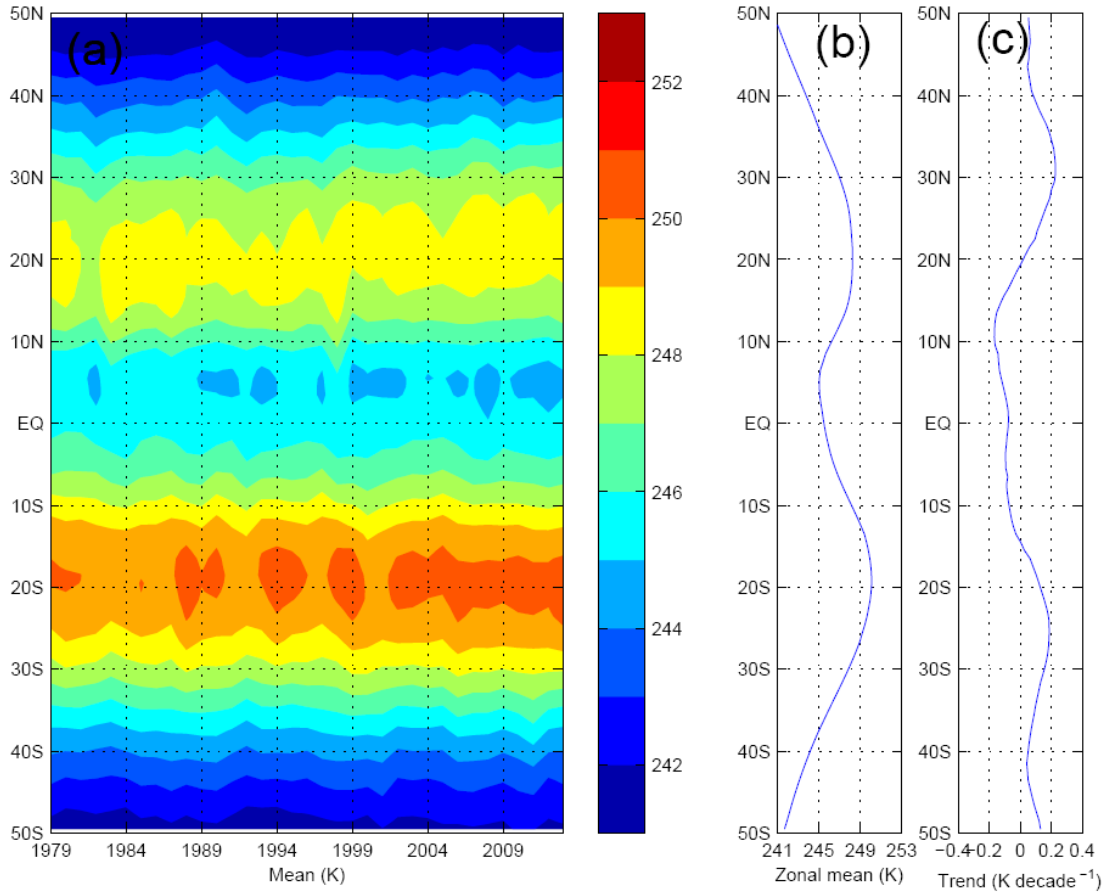
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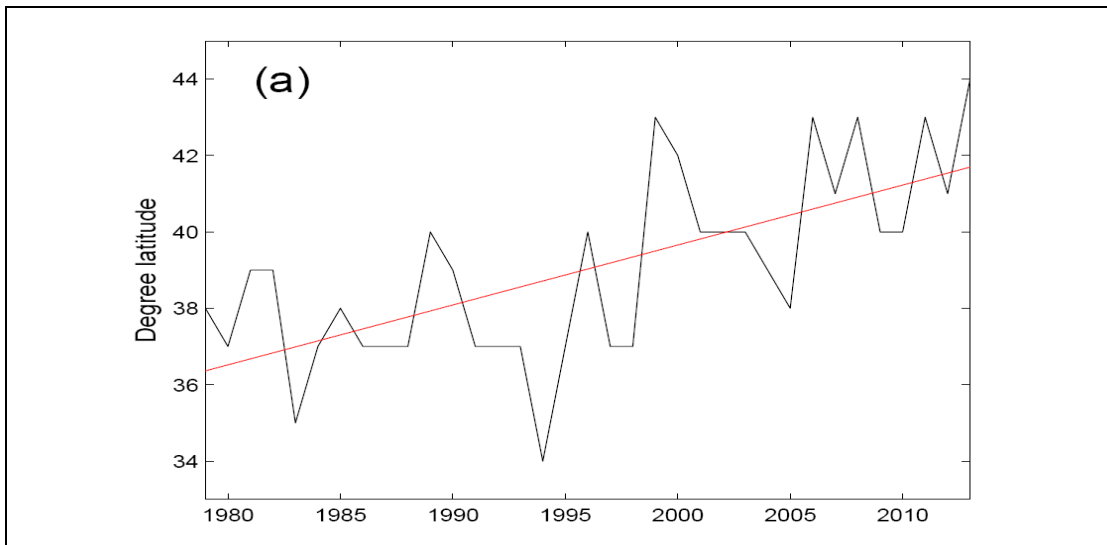
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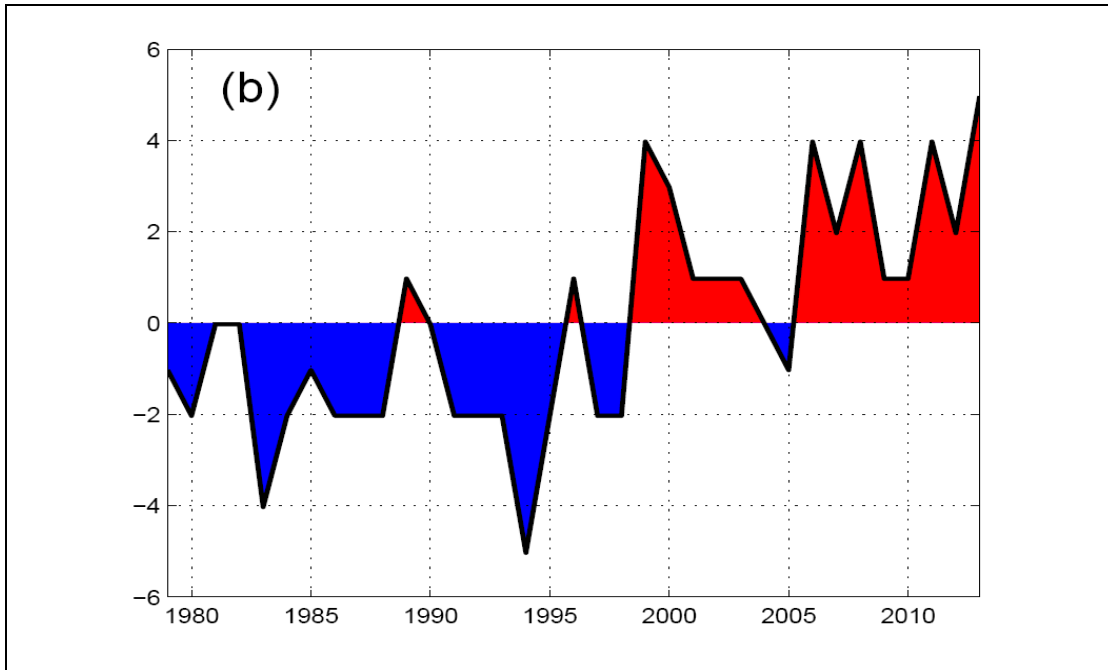
237 **Figure 1.** Time evolution of zonal mean (a), zonal mean profile (b) and trend of zonal mean profile

238 (c) derived from the HIRS UTWV brightness temperatures during 1979-2013 on an annual basis.

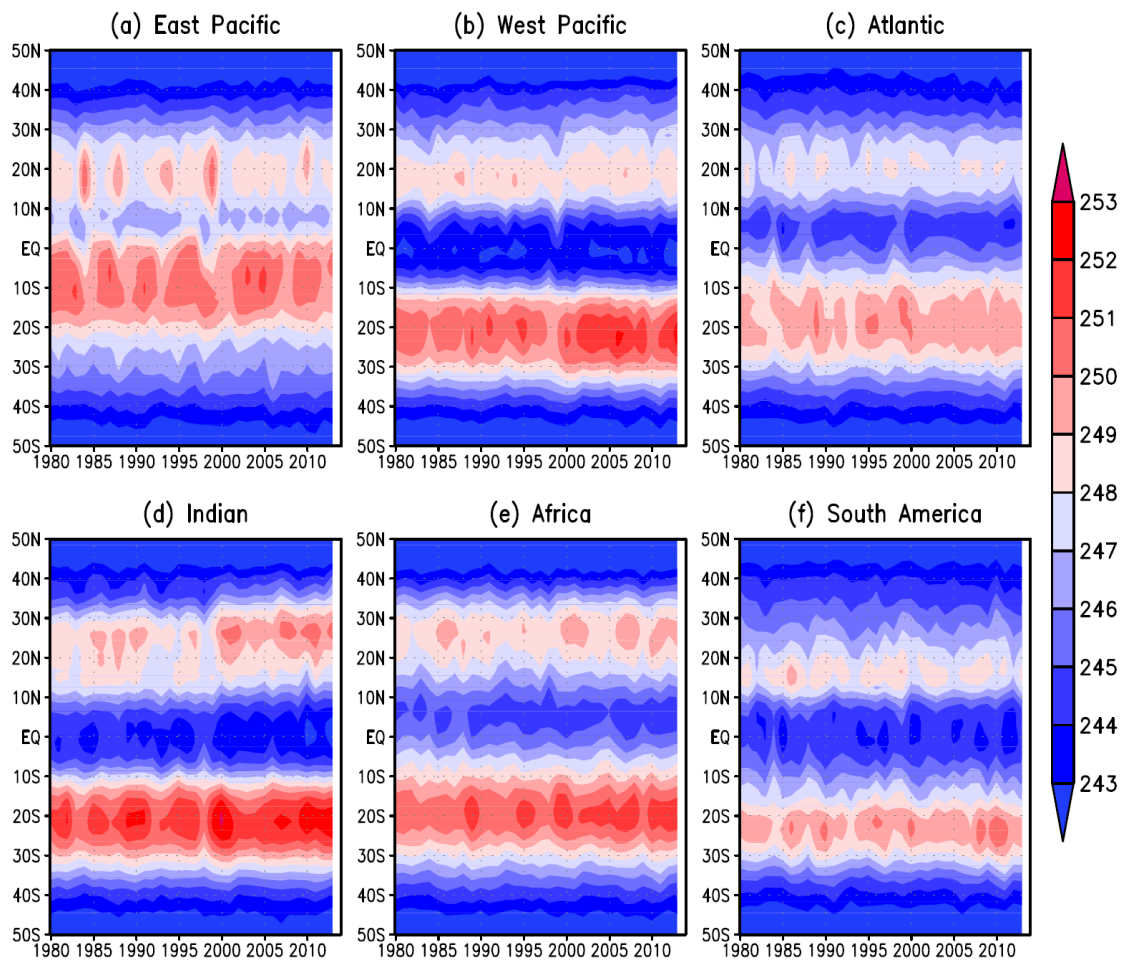
239 Units of zonal mean and trend of brightness temperatures are K and K per decade, respectively.

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241 **Figure 2.** Change (a) and anomaly (b) of the width of tropical belt derived from the HIRS UTWV  
 242 brightness temperatures during 1979-2013 on an annual basis. The width of tropical belt is defined  
 243 as the zone distance between the maximum HIRS UTWV brightness temperatures in the Northern  
 244 and Southern hemisphere.  
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247 **Figure 3.** Time evolution of zonal mean HIRS UTWV brightness temperatures for six regions

248 defined in Table 1 during 1979-2013 on an annual basis. (a) East Pacific, (b) West Pacific, (c)

249 Atlantic, (d) Indian, (e) Africa, and (f) South American.

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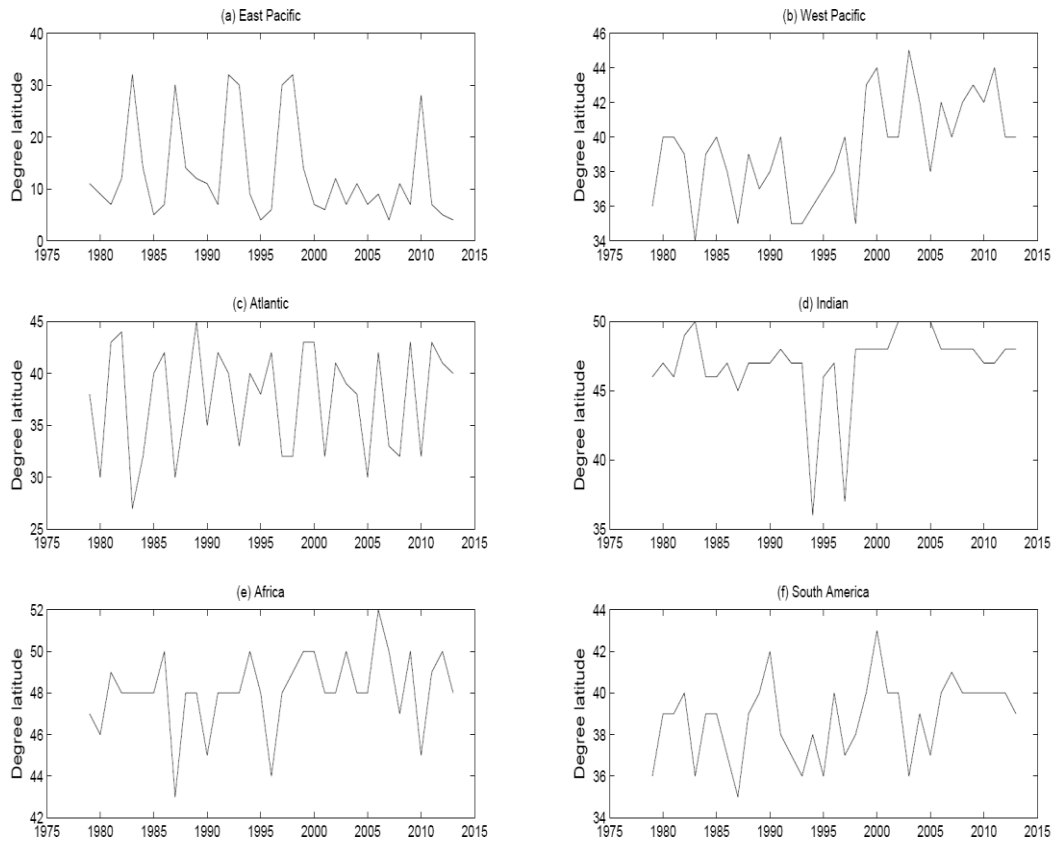
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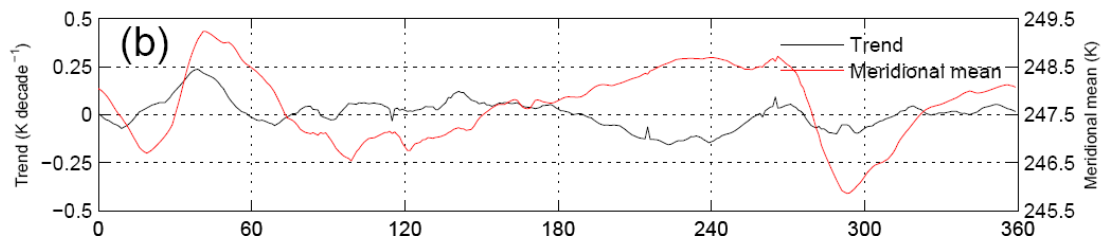
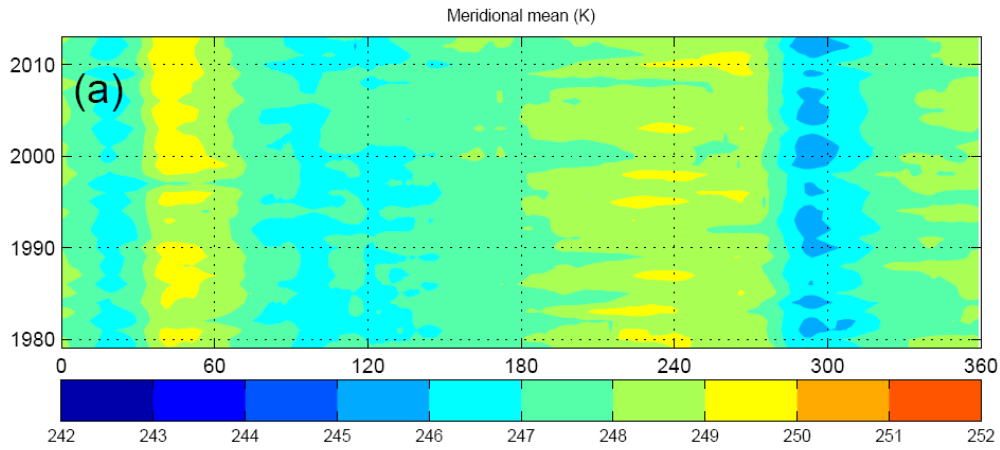
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263 **Figure 4.** Change of the width of the tropical belt for six regions defined in Table 1 during 1979-

264 2013 on an annual basis. (a) East Pacific, (b) West Pacific, (c) Atlantic, (d) India, (e) Africa, and (f)

265 South America.

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 268 **Figure 5.** Time evolution of meridional mean (a), meridional mean profile and trend of meridional  
 269 mean profile (b) derived from the HIRS UTWV brightness temperatures during 1979-2013 on an  
 270 annual basis. Others are same as as Figure 1.

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282 **Table 1.** Longitudinal limits for the six regions defined in the previous study (Žagar *et al.*, 2011)  
 283 and used in this paper. Widening rates (degree latitude per decade), p value as well as standard  
 284 deviation (std) of the total width of the tropical belt derived from HIRS UTWV brightness  
 285 temperatures during 1979-2013 are shown in table. Trends significant at  $p < 0.05$  are highlighted in  
 286 bold.

Region	Longitude range (degree)	Trend	p	Std
Globe	0-360	<b>1.57</b>	0.0001	2.43
East Pacific	180-275	-1.83	0.0583	9.39
West Pacific	100-180	<b>1.52</b>	0.0022	2.87
Atlantic	290-10	0.41	0.74	5.12
Indian	45-100	<b>0.55</b>	0.011	2.92
Africa	340-50	0.52	0.059	1.84
South America	275-325	<b>0.63</b>	0.033	1.87

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312 **Table 2.** Comparison with the widening of the tropical belt estimated from other studies. The unit

313 is degree latitude per decade.

Indicator	Datasets	Widening	Period	Sources
Upper tropospheric water vapor brightness temperatures	Intersatellite-calibrated High-resolution infrared radiation sounder (HIRS)	1.57	1979-2013	This study
Tropical upwelling at 60 hPa	Halogen occultation experiment (HALOE)	3.0	1992-2001	( <i>Rosenlof, 2002</i> )
Tropopause height	Radiosonde and Reanalyses	1.8-3.1	1979-2005	( <i>Seidel and Randel, 2007</i> )
Tropospheric temperatures	Microwave sounding unit (MSU)	0.7	1979-2005	( <i>Fu et al., 2006</i> )
Total ozone (Northern hemisphere only)	Total Ozone Mapping Spectrometer (TOMS)	1.0	1979-1991	( <i>Hudson et al., 2006</i> )
Jet stream separation	Reanalyses	0.3	1979-2001	( <i>Archer and Caldeira, 2008</i> )
Jet stream separation	Reanalyses	1.0	1979-2005	( <i>Seidel et al., 2008</i> )
Mean meridional circulation	Reanalyses	1.0	1979-2005	( <i>Hu and Fu, 2007</i> )
Outgoing longwave radiation	Various satellite sensors	1.5	1979-2005	( <i>Hu and Fu, 2007</i> )

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