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TWO PROBLEMS ON THE GENERATION OF LINEAR EXTENSIONS OF POSETS

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Two Problems On the Generation of Linear Extensions of Posets

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Resumo

Apresentamos dois resultados sobre a geração de extensões lineares de um poset. Primeiro provamos que as extensões lineares de todo poset podem ser geradas por inserção. A seguir, descrevemos um algoritmo de tempo médio constante para gerar as extensões lineares de um poset série-paralelo em ordem lexicográfica.

Abstract

We describe two results on the generation of linear extensions of a poset. First, we prove that the linear extensions of every poset can be generated by insertion. Next, we describe a constant average time algorithm to generate the linear extensions of a series-parallel poset in lexicographic order.

1 Introduction

Given a set of combinatorial objects, a natural question is whether or not they can be generated following some kind of systematic criteria. In addition, the interest is to generate them efficiently. For instance, given a poset one could ask whether its linear extensions can be generated by transposition or by insertion. That is, if there is a total ordering of its extensions such that two consecutive extensions differ by a single transposition or by a single insertion of its elements, respectively. It is known [11, 8] that the former kind of generation is not possible in general, and an open question is to characterize the posets that admit it. In contrast, it is shown in Section 2 that the linear extensions of every poset can be generated by insertion.

We say that a generation algorithm runs in *constant average time* if it requires $O(N)$ time, where N is the number of objects being generated. Generating the linear extensions of a poset can be done using the algorithms in [16, 4, 5, 15]. Pruesse & Ruskey [7], however, answered the question in the best possible way, by describing a constant average time algorithm for it. This result is also interesting in view of the fact that the corresponding enumeration problem has been proved to be $\#P$ -complete (Brightwell & Winkler [1]). In Section 3, we describe a constant average time algorithm for (optimally) generating the linear extensions of a series-parallel poset in lexicographic order. Except for the case of unrestricted permutations [9], we do not know other classes of posets whose linear extensions have been generated in lexicographic order within constant average time.

A *partially ordered set (poset)* $\mathcal{P}(S, R)$ is a reflexive, transitive and antisymmetric binary relation R on a set S . Denote $n = |S|$. An ordered pair $(a, b) \in R$ is denoted $a \preceq_{\mathcal{P}} b$, or simply $a \preceq b$. By $a \prec b$ we mean $a \preceq b$ and $a \neq b$. An element $a \in S$ is *minimal (maximal)* in \mathcal{P} if there is no element $b \in S$ such that $b \prec a$ ($a \prec b$).

If neither $a \preceq b$ nor $b \preceq a$ then a and b are said to be *incomparable*. If no pair of elements of S is incomparable, then \mathcal{P} is a *total ordering* (or *linear ordering* or *chain*). \mathcal{C}_k denotes a chain of length (number of elements) k . An *extension* of $\mathcal{P}(S, R)$ is a poset $\mathcal{Q}(S, R')$ such that $R \subseteq R'$. An extension of \mathcal{P} that is a total ordering $x_1 x_2 \dots x_n$ is a *linear extension* of \mathcal{P} . Let $E(\mathcal{P})$ and $e(\mathcal{P})$ denote the set and the number of linear extensions of \mathcal{P} respectively.

Let $\mathcal{P}(S, R)$ be a poset. A *subposet* of \mathcal{P} is a poset $\mathcal{P}'(S', R')$ such that $S' \subseteq S$ and $R' = R \cap S' \times S'$. We also use $\mathcal{P}_{S'}$ to denote \mathcal{P}' . A linear extension of a subposet of \mathcal{P} is a *partial extension* of \mathcal{P} . Let $\mathcal{Q}(S', R')$ be a poset. By $\mathcal{P} - \mathcal{Q}$ (or $\mathcal{P} - S'$) we denote the subposet $\mathcal{P}_{S \setminus S'}$. The *dual* of a poset $\mathcal{P}(S, R)$ is the poset $\mathcal{P}'(S, R')$, where $R' = \{(x, y) \mid (y, x) \in R\}$.

A *left (right) insertion* is an operation that moves an element x from the i -th to the j -th position in a linear extension, $i > j$ ($j > i$). In general, call them a $|j - i|$ -insertion, or simply an insertion. A generation of the linear extensions of a poset such that every pair of successive extensions differ by a single insertion is said to be a *generation by insertion*.

2 Generation by Insertion

In this section, we prove that every poset has a generation of its linear extensions by left or by right insertion.

Theorem 1 *The linear extensions of every poset can be generated by left (right) insertion.*

Proof : The proof follows by induction. We first consider the generation by left insertion.

Let $\mathcal{P}(S, R)$ be a poset with n elements. Let $M = \{m_1, \dots, m_k\}$, $k \geq 1$, denote the set of minimal elements of \mathcal{P} . The subposet \mathcal{P}_M has a generation by left insertion : apply the Steinhaus-Johnson-Trotter [12, 3, 13] algorithm to generate non-restricted permutations of M by (left) 1-insertions. If $S = M$ the theorem is proved.

Otherwise, the induction hypothesis states that any poset with $n' < n$ elements has a generation by left insertion. Let x be a maximal element in $S \setminus M$ and \mathcal{Q} be the poset $\mathcal{P} - \{x\}$. Let $\Gamma = \ell_1, \dots, \ell_{e(\mathcal{Q})}$ be a generation by left insertion of $E(\mathcal{Q})$. We start with $\ell_1 = p_1 p_2 \dots p_{n-1}$ and add x to this extension. Let p_s be the rightmost element of ℓ_1 such that $p_s \prec_{\mathcal{P}} x$, $1 \leq s < n$. Element p_s necessarily exists, otherwise $S = M$ and the theorem is proved. Generate $n-s$ linear extensions of \mathcal{P} by (left) 1-insertions, successively ‘‘sweeping’’ x one position to the left. That is, x occupies respectively positions $n, n-1, \dots, s+1$. See Figure 1.

Let ℓ_r , $1 < r \leq e(\mathcal{Q})$, be the next extension to be considered in Γ (all ℓ_i , $1 \leq i < r$, have already been considered).

(a) If the last extension generated from $\ell_{r-1} = p_1 p_2 \dots p_{n-1}$ was $p_1 \dots p_{n-1} x$, then the generation from $\ell_r = q_1 q_2 \dots q_{n-1}$ is as depicted in Figure 1. As ℓ_{r-1} and ℓ_r differed by a left insertion in Γ , so do $p_1 \dots p_{n-1} x$ and $q_1 \dots q_{n-1} x$.

(b) If the last extension generated from $\ell_{r-1} = p_1 \dots p_{n-1}$ was $p_1 \dots p_s x \dots p_{n-1}$, $1 \leq s < n-1$, then we can rewrite ℓ_{r-1} as $p_1 \dots p_j \dots p_{i-1} p_i p_{i+1} \dots p_{n-1}$ and ℓ_r as $p_1 \dots p_i p_j \dots p_{i-1} p_{i+1} \dots p_{n-1}$. That is, ℓ_r has been obtained from ℓ_{r-1} by a left $(i-j)$ -insertion. Let p_k be the rightmost element $\prec x$ in ℓ_r . Proceed according to the value of s :

- If $s = i$ then $p_1 \dots p_{i-1} p_i x p_{i+1} \dots p_{n-1}$, $1 \leq j < i < n-1$, was the last extension generated from ℓ_{r-1} . We can add x to ℓ_r in the following way : begin inserting x between p_{i-1} and p_{i+1} ; sweep x to the right using (left) 1-insertions of the element immediately at the right of x ; then insert x immediately before p_{i-1} ; sweep x to the left using 1-insertions of x until we reach p_k . This procedure is depicted in Figure 2. If $p_{i-1} \prec x$ then $k = i-1$ and we stop at †.
- If $s \neq i$ then $k = s$ necessarily. Extension $p_1 \dots p_j \dots p_s x \dots p_i \dots p_{n-1}$, $1 \leq s < n-1$ and $1 \leq j < i < n$, was the last one obtained from ℓ_{r-1} . Insert p_i immediately before p_j and sweep x to the rightmost end of ℓ_r , using 1-insertions, as in Figure 3 (we assumed that $s \geq j$ without loss of generality).

The generation by right insertion can be obtained from the generation by left insertion of the corresponding dual poset. \square

3 Lexicographic Order Generation for Series-Parallel Posets

We will now present a constant average time algorithm for generating the linear extensions of a series-parallel poset in lexicographic order.

3.1 Definitions and Notation

Series-Parallel Posets Series-parallel posets are defined in terms of a minimal series-parallel digraph.

Definition 1

- (i) *The digraph with a single vertex and no edges is minimal series-parallel (MSP);*
- (ii) *If $D'(V', E')$ and $D''(V'', E'')$ are two MSP digraphs, so are both digraphs constructed by the following operations :*
 - (a) *Parallel Composition : $D(V' \cup V'', E' \cup E'')$,*
 - (b) *Series Composition : $D(V' \cup V'', E' \cup E'' \cup [Max(D') \times Min(D'')])$, where $Max(D')$ is the set of sinks of D' and $Min(D'')$ the set of sources of D'' .*

An MSP digraph may be represented using its *binary decomposition tree* : a strictly binary tree where each leaf is a vertex of the digraph and each internal node represents a series or a parallel composition of the MSP digraphs defined by the subtrees rooted at its children.

Definition 2 *A poset $\mathcal{P}(S, R)$ is series-parallel if and only if the transitive reduction of R on S is MSP.*

In Valdes, Tarjan & Lawler [14], we can find a linear time algorithm for recognizing posets of this class which also finds an associated binary decomposition tree, if this is the case. See also [2] for a description of the series-parallel class.

Let S be a set and \prec' a total ordering of S ; $S_1 = x_1, x_2, \dots, x_p$ and $S_2 = y_1, y_2, \dots, y_q$ two sequences of elements of S . S_1 will be *lexicographically smaller* than S_2 according to \prec' when :

- For some j , $1 \leq j \leq \min\{p, q\}$, $x_j \prec' y_j$ and for all k , $1 \leq k < j$, $x_k = y_k$. Or, alternatively,

- $p < q$ and for all k , $1 \leq k \leq p$, $x_k = y_k$.

The sequences S_1, \dots, S_n are in *lexicographic order* if and only if $S_i \prec' S_j \Rightarrow i < j$.

Let $T(V, E)$ be an ordered rooted tree and $x, y \in V$, such that x is the father of y in T . *Collapsing* vertices x and y results in the ordered rooted tree $T'(V', E')$ such that $V' = V \setminus \{y\}$, $E' = E \setminus \{(y, z) \in E\} \cup \{(x, z) \mid (y, z) \in E\}$. The ordering of the children of x in T' is the same as in T , except that y is replaced by its children in the ordering they appeared in T .

Let $\{1, \dots, n-1\}$ be the internal nodes of a binary decomposition tree $T(\mathcal{P})$, \mathcal{P} series-parallel. The *collapsed decomposition tree* $T_C(\mathcal{P})$ is the one obtained from T by successively collapsing pairs of adjacent series nodes, as long as possible. $T_C(\mathcal{P})$, or simply T_C , is not necessarily binary. However, all parallel nodes in T_C have exactly two children. Denote n_C the number of internal nodes of $T_C(\mathcal{P})$.

Let T_C^i denote the subtree of T_C with root i , i a node of T_C . We will write indistinctly subposet with collapsed subtree T_C^k or subposet with root k . By traversing a tree in *post-order* we mean : “Visit the leftmost subtree of the root in post-order; Visit the second leftmost subtree of the root in post-order; ...; Visit the rightmost subtree of the root in post-order; Visit the root”.

3.2 The Algorithm

The main idea of the following algorithm is to use the collapsed decomposition tree of a series-parallel poset to systematically generate its linear extensions.

The internal nodes of T_C are traversed and numbered in post-order. The analysis of each internal node x is such that x is considered only after all its children have been analysed. During the generation process we will be doing recursive calls at the internal nodes of T_C , where each internal node can only recursively call its post-order successor. Each recursive call k always follows the generation of a new partial extension of the subposet at $k-1$, predecessor of k in post-order. When visiting node k , we look at the partial extension most recently generated at each of the subtrees rooted at its children and combine these extensions according to the nature of k . That is, if k is a series node then we simply concatenate the partial extensions of its children, from left to right. If k is parallel, then we must merge the partial extensions of the left and right children of this node. Denote by l and r the children of k and n_i the number of elements of the subposet T_C^i . Merging two partial extensions of sizes n_l and n_r at node k corresponds to the generation of the n_l (or n_r)-combinations of n_k . Thus, to perform a parallel composition, use an algorithm to generate combinations in lexicographic order [6, 9]. Series compositions have no influence in this ordering. Return from a recursive call when all possible combinations at the corresponding node have been exhausted.

By $ext(k)$ is denoted the most recently generated partial extension relative to T_C^k , if k is an internal node of T_C . Else k is a leaf of T_C and $ext(k)$ returns the label of k . By “||” is meant the concatenation operation of two sequences of elements.

algorithm GenerateExtSeriesParallel

data Series-parallel poset $\mathcal{P}(S, R)$ with decomposition tree $T(\mathcal{P})$, $n = |S|$

Construct the collapsed decomposition tree $T_C(\mathcal{P})$, collapsing the adjacent series nodes of T .

n_C = number of internal nodes in T_C ;

Swap. in T_C , the left subtree with the right subtree of a parallel node, every time the subtree at the left contains more elements (leaves) than the one at the right ;

Number the internal nodes of T_C in post-order and label its leaves $1, \dots, n$, from left to right.

Compute $n_k = \sum n_i$, i a son of k , $1 \leq k \leq n_C$, the number of elements of T_C^k ;

Generation(1)

end algorithm

procedure *Generation*(k)

if k is a series node **then**

Series(k)

else

Parallel(k)

end procedure

procedure *Series*(k)

if $k = n_C$ **then**

$ext(k) [= ext(\text{leftmost son of } k) || ext(2^{nd} \text{ leftmost son of } k) || \dots || ext(\text{rightmost son of } k)]$
 is a new linear extension of \mathcal{P}

else

Generation($k + 1$)

end procedure

procedure *Parallel*(k)

 Let l and r be the post-order numbering or the corresponding leaf label, if this is the case. of the left and right children of k respectively;

$c := \{1, \dots, n_l\}$ (= first n_l - combination in lexicographical order);

$ext(k) := ext(l) || ext(r)$

if $k = n_C$ **then**

$ext(k)$ is a new linear extension of \mathcal{P}

else

Generation($k + 1$)

while c is not the last n_l -combination in the lexicographic ordering **do**

$c := n_l$ -combination succeeding c in lexicographic order;

$ext(k) :=$ merging of $ext(l)$ and $ext(r)$, such that c corresponds to the positions occupied by the elements of $ext(l)$ in the merged sequence;

if $k = n_C$ **then**

$ext(k)$ is a new linear extension of \mathcal{P}

else

Generation($k + 1$)

end procedure

The *canonical extension* is the one firstly generated by the algorithm, that is. $12\dots n$. The lexicographic ordering obtained by the algorithm is relative to the canonical extension.

Let \mathcal{P} be a poset consisting of two disjoint chains \mathcal{C}_1 and \mathcal{C}_2 , of sizes r and $t - r$ respectively. The relation between the r -combinations of t integers and the set $E(\mathcal{P})$ is that there exists an one-to-one function such that every combination $\{a_1, \dots, a_r\}$, $a_i < a_j$ iff $i < j$, leads to an extension $\in E(\mathcal{P})$ where a_i , $1 \leq i \leq r$, is the position of the i -th element of \mathcal{C}_1 in this extension.

Since $n_l \leq n_r$, it follows that $r \leq t/2$. In this case, we need in the average less than 2 steps per r -combination generated [6], as well as for finding its corresponding linear extension. For each new element (not in the previous r -combination) elected to be in an r -combination, one single insertion is needed. All these operations can be performed in constant time.

The implementation employs a doubly linked list with the n elements of \mathcal{P} , which keeps track of the most recently generated partial extensions, as in Figure 5. Let $\mathcal{P}_{S_1}, \dots, \mathcal{P}_{S_r}$ be the maximal subposets being analyzed from left to right in T_C at a given time, $\cup S_i = S$. Then for all S_i, S_j , $i < j$, S_i is totally to the left of S_j in the doubly linked list (see Figure 5). If we always restore the pointers between the last element of a maximal partial extension generated and the first one of the following maximal partial extension and if we initialize the doubly linked list with the canonical extension $12\dots n$, then we do not need to perform any concatenation operation at a series node. This will be crucial to our complexity analysis, as we do not know a priori the number of partial extensions to be concatenated at a series node. In Richa [10], we find a more detailed version of the algorithm.

3.3 Complexity Analysis

The algorithm needs space $O(n^2)$ in the worst case for keeping track of the combinations being generated at every parallel node called recursively.

Recognizing series-parallel posets and constructing the decomposition tree can be done in $O(n + m)$ time, $m = |R|$ [14]. For collapsing the decomposition tree, post-order numbering, building the canonical extension and computing all n_k , we need $O(n)$ time.

The total time spent during the generation of the linear extensions of \mathcal{P} can be expressed as

$$\text{generation time}(\mathcal{P}) \leq c_1 NRC(T_C(\mathcal{P})) + c_2 e(\mathcal{P}) \quad (1)$$

where $NRC(T_C(\mathcal{P}))$ is the number of recursive calls $Generation(k)$ performed; c_1, c_2 are constants. The term $c_2 e(\mathcal{P})$ corresponds to the number of steps consumed for combining the partial extensions of the children of the root node. That is, if the root is a series node, $e(\mathcal{P})$ is equal to the number of calls at the root, and we take constant time at each of them. If the root is parallel, then we would take $\leq 2e(\mathcal{P})$ time to generate all the combinations relative to this node.

The time consumed by the generation of the strictly partial extensions is expressed by $c_1 NRC(T_C(\mathcal{P}))$, as for every such extension with root at node k there corresponds

exactly one call to $Generation(k+1)$, $1 \leq k < n_C$. We take less than 2 steps per partial extension generated.

It remains to prove that $NRC(T_C(\mathcal{P})) = O(e(\mathcal{P}))$, in order to have a constant average time algorithm. The proof is by induction on the number of internal nodes of T_C , considered in reverse post-order (call it *inorder*). The induction hypothesis is as follows :

$$NRC(T_C(\mathcal{P}')) \leq 2e(\mathcal{P}') - 1, \quad (2)$$

where $T_C(\mathcal{P}')$ has $n_C' < n_C$ internal nodes. The posets with a single internal node only satisfy equation (2).

Let $T_C(\mathcal{P})$ be a collapsed tree obtained from $T_C(\mathcal{P}')$ by replacing a convenient leaf x by a new internal node α whose children (leaves) are the elements of $\mathcal{P} - \mathcal{P}'$. The leaf x is chosen so that α is the first internal node of $T_C(\mathcal{P})$ in post-order. The choice of x is not unique, as we can see in the example of Figure 6.

The following can occur :

(a) α is a parallel node. Then :

$$e(\mathcal{P}) \geq 2e(\mathcal{P}') \quad (3)$$

$$NRC(T_C(\mathcal{P})) = 2NRC(T_C(\mathcal{P}')) + 1 \quad (4)$$

It is easy to conclude that, when the children (elements of \mathcal{P}) of the parallel node α are composed in series with all other elements of the poset (Figure 7), $e(\mathcal{P}) = 2e(\mathcal{P}')$. In this case, for every extension $\beta x \gamma \in E(\mathcal{P}')$, $\beta \gamma \in E(\mathcal{P}' - \{x\})$, there are exactly $\beta y z \gamma$ and $\beta z y \gamma \in E(\mathcal{P})$, where y, z are the elements of $\mathcal{P} - \mathcal{P}'$ added together with α to \mathcal{P}' . Equality in (4) is trivially verified. Node α is the first one to be visited in post-order and contributes with one recursive call. For each of the two partial extensions generated at α (yz and zy), we will "complete" them by generating (and combining) all the linear extensions of $\mathcal{P}' - \{x\}$. This will be done calling the internal nodes of $T_C(\mathcal{P}')$ recursively, in the same way we did to generate $E(\mathcal{P}')$.

It follows from (2) and (4),

$$NRC(T_C(\mathcal{P})) \leq 2[2e(\mathcal{P}') - 1] + 1 = 4e(\mathcal{P}') - 1$$

The proof is completed by applying (3) to the above inequality.

(b) α is a series node. Then :

$$e(\mathcal{P}) \geq \frac{3}{2}e(\mathcal{P}') \quad (5)$$

$$NRC(T_C(\mathcal{P})) = NRC(T_C(\mathcal{P}')) + 1 \quad (6)$$

Equation (6) is similar to (4), but now only one partial extension is generated at α . On the other hand, equation (5) needs a more careful analysis. $e(\mathcal{P})/e(\mathcal{P}')$ is minimum when α and its father β satisfy : (i) α has exactly two children; (ii) the other child of β is a leaf; and

(iii) β is composed in series with the remaining elements of the poset. (See Figure 8). two children. Then for each pair $\gamma x z \delta, \gamma z x \delta \in E(\mathcal{P}')$, we have $\gamma w y z \delta, \gamma w z y \delta, \gamma z w y \delta \in E(\mathcal{P})$, w, y being the elements added to \mathcal{P}' together with α . Node α was inserted as the right son of β in Figure 8 without loss of generality.

Finally, from (2) and (6),

$$NRC(T_C(\mathcal{P})) \leq 2 e(\mathcal{P}') \quad (7)$$

Since $e(\mathcal{P}') \geq 1$,

$$e(\mathcal{P}') \leq e(\mathcal{P}) - \frac{1}{2}$$

By replacing in (7), we have proved the validity of the induction hypothesis for \mathcal{P} .

4 Conclusion

We have presented a constant average time algorithm for the lexicographic order generation of the linear extensions of a series-parallel poset. Besides it was proved that every poset has a generation by insertion. It would be interesting to verify the following generalizations of the two problems above :

1. a constant average time algorithm for the lexicographic order generation of a *general* poset;
2. a *constant average time algorithm* for the generation by insertion.

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$$\begin{array}{l}
p_1 \dots p_s \dots p_{n-2} p_{n-1} x \\
p_1 \dots p_s \dots p_{n-2} x p_{n-1}
\end{array}$$

$$\begin{array}{l}
p_1 \dots p_s p_{s+1} x \dots p_{n-2} p_{n-1} \\
p_1 \dots p_s x p_{s+1} \dots p_{n-2} p_{n-1}
\end{array}$$

Figure 1: Adding the maximal element x to an extension $p_1 \dots p_{n-1}$ using (left) 1-insertions of x only. p_s is the rightmost element $\prec x$ of this extension.

$$\begin{array}{l}
p_1 \dots p_i p_j \dots p_k \dots p_{i-1} x p_{i+1} \dots p_{n-1} \\
p_1 \dots p_i p_j \dots p_k \dots p_{i-1} p_{i+1} x \dots p_{n-1} \\
\vdots \\
p_1 \dots p_i p_j \dots p_k \dots p_{i-1} p_{i+1} \dots p_{n-1} x \quad \dagger \\
p_1 \dots p_i p_j \dots p_k \dots x p_{i-1} p_{i+1} \dots p_{n-1} \\
p_1 \dots p_i p_j \dots p_k \dots x p_{i-2} p_{i-1} p_{i+1} \dots p_{n-1} \\
\vdots \\
p_1 \dots p_i p_j \dots p_k x \dots p_{i-1} p_{i+1} \dots p_{n-1}
\end{array}$$

Figure 2: $s = i$.

$$\begin{array}{l}
p_1 \dots p_i p_j \dots p_s x \dots p_{n-1} \\
p_1 \dots p_i p_j \dots p_s p_{s+1} x \dots p_{n-1} \\
\vdots \\
p_1 \dots p_i p_j \dots p_s \dots x p_{n-1} \\
p_1 \dots p_i p_j \dots p_s \dots p_{n-1} x
\end{array}$$

Figure 3: $s \neq i$.

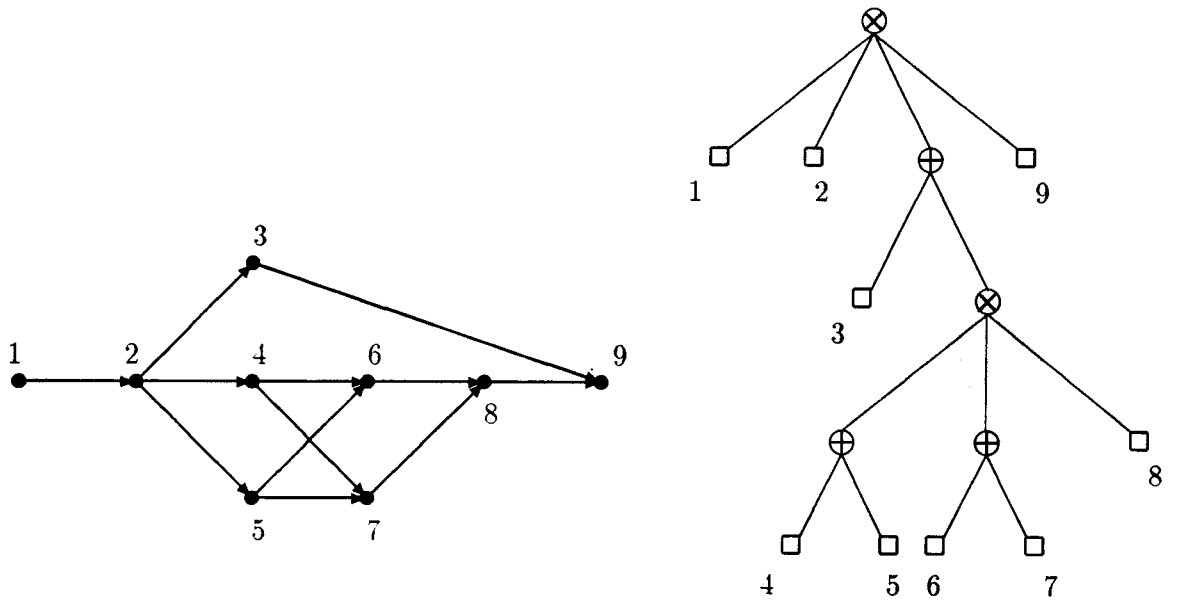


Figure 4: A series-parallel poset and its associated collapsed decomposition tree. Symbol \otimes denotes a series node and symbol \oplus a parallel node.

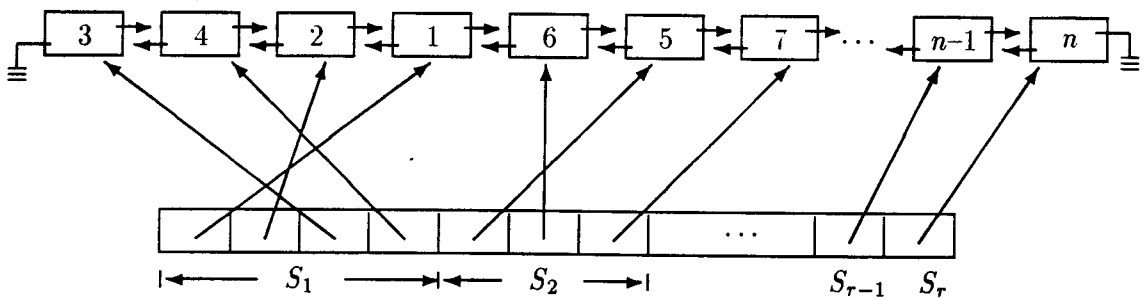


Figure 5: Data structure employed by the algorithm : a vector with n pointers to the n elements in the doubly linked list.

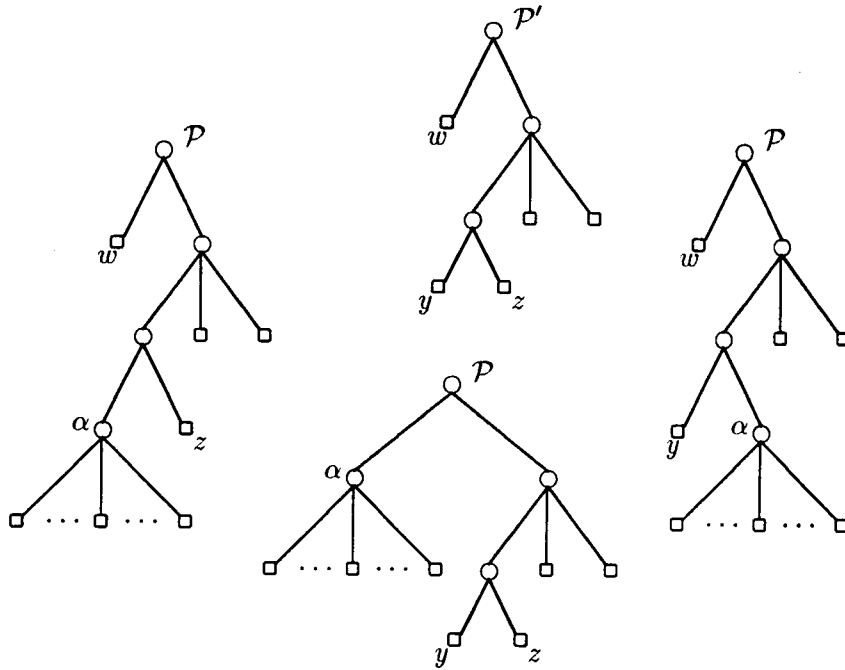


Figure 6: α can be inserted in exactly 3 different positions at $T_C(\mathcal{P}')$.

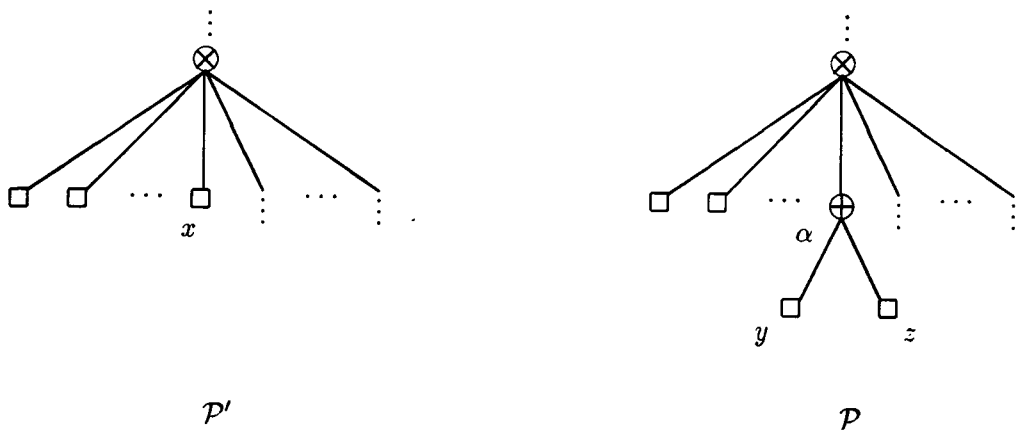
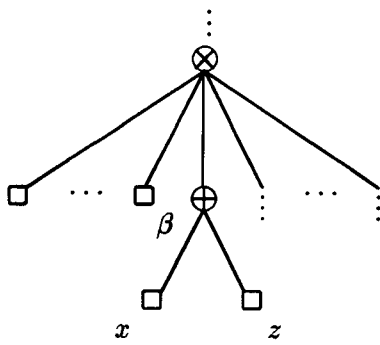
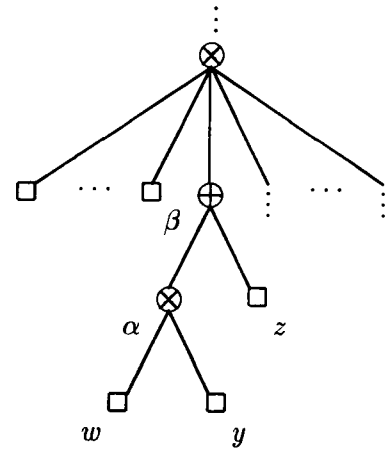


Figure 7: α is a parallel node.



\mathcal{P}'



\mathcal{P}

Figure 8: α is a series node.