

Surgical Management of Severe Retinopathy of Prematurity

Shunji Kusaka

Department of Ophthalmology, Kindai University Faculty of Medicine

Abstract

Retinopathy of prematurity (ROP) is a leading cause of childhood blindness in the world. Appropriate eye screening and interventions, such as laser ablation and/or anti-vascular endothelial growth factor therapy, are useful to prevent blindness by ROP. However, some eyes are refractory to these treatments and develop tractional retinal detachment, which requires surgical intervention, such as vitrectomy and/or the scleral buckling procedure. When vitrectomy was introduced for ROP, it was initially performed at stage 5 (total retinal detachment). Vitrectomy for stage 5 ROP is beneficial to prevent total blindness in

some eyes; however, its anatomical and functional results are disappointing. It is now well-established that vitrectomy, if possible lens-sparing vitrectomy, should be performed at stage 4A ROP (partial tractional retinal detachment not involving the macula) before the macula is affected. The anatomical and functional surgical results of vitrectomy for stage 4A ROP are better than those for stage 5 ROP.

Key words : retinopathy of prematurity, vitrectomy, lensectomy, lens-sparing vitrectomy, retinal detachment, scleral buckling procedure

Introduction

Retinopathy of prematurity (ROP) was first described by an American ophthalmologist, Terry, in 1943.¹ Knowledge has accumulated on the pathogenesis of ROP, and its treatment modalities have been evolving. However, ROP is still a leading cause of childhood blindness in the world, both in industrialized and developing countries.² The Early Treatment for Retinopathy of Prematurity (ET-ROP) Cooperative Group³ showed that, by performing retinal laser ablation at pre-threshold retinopathy rather than threshold retinopathy, the rates of unfavorable functional and structural outcomes decreased from 19.5 to 14.5% and from 15.6 to 9.1%, respectively. Therefore, by treating ROP earlier, treatment results can be improved. However, approximately 10% of patients still have severe vision loss because of the development of retinal folds, retinal detachment, or retrolental fibroplasia.³

One marked advance in our understanding of the ROP mechanism came from the discovery of

vascular endothelial growth factor (VEGF) involvement in the pathogenesis of ROP,⁴⁻⁶ like other neovascular diseases such as diabetic retinopathy (DR)⁷ or age-related macular degeneration (AMD).⁸ After the introduction of monoclonal antibody of VEGF (bevacizumab) as an off-label use for the treatment of DR or AMD, it was also used for ROP.⁹⁻¹¹ It has been shown that anti-VEGF therapy is effective for reducing disease activity, leading better treatment outcomes for ROP, especially severe ROP, such as zone 1 plus¹¹. However, there are some limitations to the use of anti-VEGF therapy for ROP treatment. First, it is currently an off-label treatment and requires an institutional review board approval. Secondly, there are systemic safety concerns as systemic VEGF levels are suppressed after anti-VEGF therapy.^{12,13} With the introduction of anti-VEGF therapy, the number of eyes with ROP that progress to tractional retinal detachment (TRD) has decreased; however, there are still some cases that require vitrectomy, even after laser ablation and/or anti-VEGF therapy. In this

article, the current understanding of ROP and surgical treatment, such as vitrectomy or scleral buckling for ROP will be discussed.

Vitrectomy for Stage 4 ROP

If the disease activity is not sufficiently reduced by laser ablation and/or anti-VEGF therapy, focal retinal detachment may develop by vitreous traction to the fibrovascular tissue (Figures 1, 2).



Figure 1 Intraoperative image of lens-sparing vitrectomy for stage 4A retinopathy of prematurity (ROP) using a 27-gauge system. Cannulas are placed 1 mm posterior to the limbus.

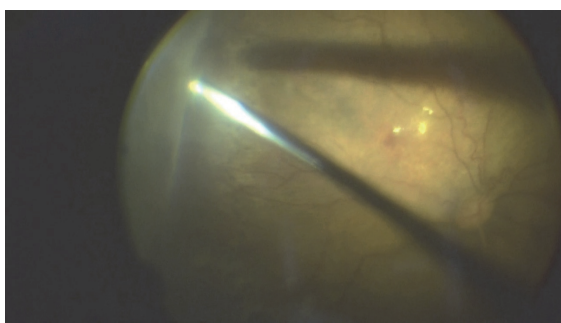


Figure 2 Intraoperative view of lens-sparing vitrectomy for stage 4A ROP using a 27-gauge system. Under observation using a wide-angle viewing system, dissection between the fibrovascular membranes and lens is performed using a 27-gauge vitrectomy cutter.

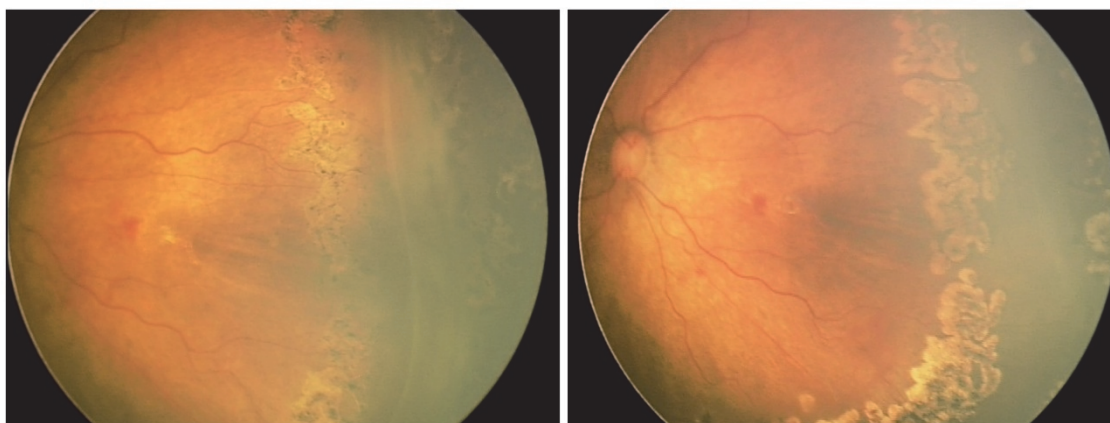


Figure 3 Preoperative (left panel) and 2-week postoperative (right panel) fundus view of stage 4A ROP. Postoperatively, the retina is completely reattached.

Surgical technique

In eyes with stage 4 ROP, if the fibrovascular tissue is located posterior to the equator, the lens can be spared with a high probability. Maguire and Trese¹⁴ originally described the surgical technique of a 2-port, lens-sparing vitrectomy using 20-gauge instruments. This technique may be useful for avoiding lens damage by the infusion port.

This usually takes several weeks from the appearance of ROP (appearance of demarcation line), except for aggressive-posterior ROP, in which tractional retinal detachment may develop within one or two weeks. Usually, TRD develops from approximately 36 to 39 post-conceptual weeks of age. To obtain a good postoperative visual function, vitrectomy should be performed at stage 4A, before the macula is affected (Figure 3), since, as described later, both anatomical and functional results for stage 5 ROP are usually poor.

However, it requires special 20-gauge instruments, such as an infusion light pipe and infusion spatula, that are not widely used today. Instead, many surgeons adapt a standard 3-port, small-gauge system, such as 23-, 25-, and 27-gauge systems.

In vitrectomy for ROP, special caution should be exercised, owing to the unique anatomical features of infant eyes, such as a short pars plana¹⁶ and relatively large lens size. After conjunctival

peritomy, sclerotomies are made at 0.5 to 1 mm from the limbus. In addition, as the lens is relatively large in infants, the direction of sclerotomy should be more posterior to avoid lens damage¹⁷. Cannulas can then be inserted either by a one-step technique (sclerotomy by trocars) or a two-step technique (sclerotomy by V-lance followed by trocar insertion). As the sclera of infants is thin and soft, it is difficult to achieve self-sealing, even using a small-gauge system. Therefore, I prefer the two-step technique and suturing every wound. For a fundus view, I use a wide-angle viewing system, such as Resight (Zeiss, Germany) or BIOM (Oculus, Germany). Other surgeons use floating lenses with smaller diameters than the conventional ones for adult surgery.

In eyes with stage 4A ROP, tractions to the fibrous tissue are created in various directions, including tractions between the lens and FVMs, between the peripheral retina and fibrous tissue, between the posterior retina and fibrous tissue, and loop traction on the fibrous tissue. The purpose of vitrectomy is to release these tractions, which can lead to gradual reattachment of the retina postoperatively. Use of horizontal and/or vertical scissors should be minimized to avoid intraoperative bleeding, formation of an iatrogenic retinal hole, or both. Fibrous tissue can be left untouched unless the loop traction by fibrous tissue is so severe that retinal reattachment cannot be expected.

If the ridge is anterior to the equator, the distance between the lens and fibrous tissue is too close to dissect without removing the lens, or both, lensectomy should be performed. If an iatrogenic retinal hole develops, fibrous tissue around the retinal break should be meticulously dissected, followed by fluid/air exchange, and laser photocoagulation. Either long-acting gas or silicone oil is injected at the end of the procedure.

Surgical results

Surgical results of vitrectomy for stage 4 ROP are much better than those for stage 5 ROP. According to previous reports, the anatomical success rates for stage 4A and 4B ROP range from 84 to 100% and 73 to 92%, respectively¹⁷⁻²², and the mean postoperative visual acuities of stage 4A and 4B ROP range from 20/550 to 20/58, and 20/1600 to 20/200, respectively.²²⁻²⁴

Vitrectomy for Stage 5 ROP

Owing to the improvement of neonatal care, widespread use of appropriate screening, laser treatment, and the introduction of anti-VEGF therapy, the number of eyes with stage 5 ROP appears to be decreasing in developed countries. However, there are many eyes with stage 5 ROP (figure 4) in developing countries.



Figure 4 Intraoperative view of lensectomy and vitrectomy for stage 5 ROP.

The lens is being removed using a 27-gauge vitrectomy cutter. Retrolental, white membrane, posterior synechiae, and total retinal detachment can be observed.

Surgical technique

In most eyes with stage 5 ROP, lensectomy is required to approach the membranes. Prior to surgery, where to make surgical incisions should be carefully determined. If the retrolental membranes exist adjacent to the ciliary body, surgical wounds should be made at the limbus to avoid an iatrogenic retinal break or dialysis. If there is some space between the ciliary body and membranes, sclerotomy wounds may be created 0.5 to 1 mm from the limbus, which allows for better visibility during vitrectomy. A mixture of limbal and pars plicata incisions can be employed, depending on the space between the ciliary body and membranes. Lensectomy should be performed thoroughly, including the entire lens capsule, as remnants of the lens capsule can adhere to the iris and/or remaining retrolental membrane after surgery. Dissection of the membranes is usually performed via forceps and scissors or a spatula using the bimanual technique (Figure 5). Dissection can be started in the center of the membranes and extended peripherally in a concentric and/or circumferential manner. To increase the chance of retinal reattachment, membranes should be removed as much as possi-

ble. Special care should be taken to avoid introducing any iatrogenic retinal breaks, especially in the peripheral region, where distinction between the thin membrane and avascular retina is difficult. To avoid dialysis, care should be taken to avoid pulling the membranes too far in the peripheral

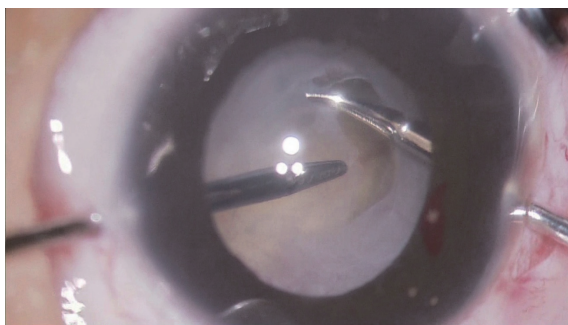


Figure 5 Intraoperative view of vitrectomy for stage 5 ROP. After lens removal, membrane peeling and delamination are performed.

Surgical results

Surgical results of vitrectomy for stage 5 ROP are generally poor. The Cryotherapy for Retinopathy Cooperative Group (CRYO-ROP)²⁵ reported that at least a portion of the retina was reattached in 11 out of 52 eyes (21%), and visual acuity was limited to light perception or no light perception in all but one eye after vitrectomy for stage 5 ROP at 5.5 years of age. Cusick et al.²⁶ reported that at least partial retinal reattachment was achieved in 33% of 956 eyes of 601 infants, with a visual acuity of better than 5/200 in 8 out of 183 eyes. In my case series of 48 eyes with stage 5 ROPs evaluated 6 months postoperatively, 20 (42.6%) and 5 (10.6%) eyes had total and partial reattachment of the retina, respectively (unpublished data). Similar results have been reported with retinal reattachment rates of approximately 40 to 60%^{26-31,35-37}, and limited functional outcomes²⁶⁻³⁴ (Table 1). Regarding factors re-

lated to anatomical success, a closed shape of the funnel, the presence of subretinal hemorrhage and vascularized membranes, and the age at vitrectomy may be associated with poor surgical outcomes.^{26,27,31}

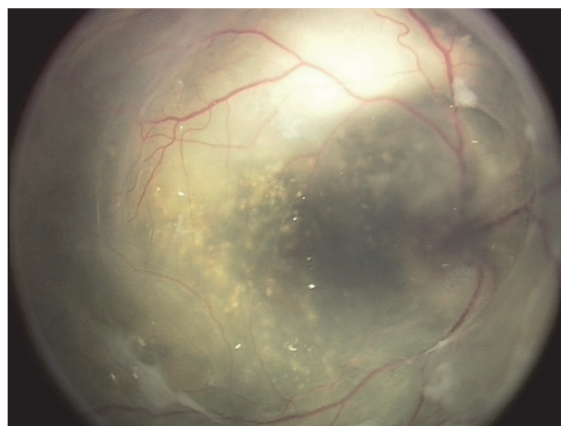


Figure 6 Stage 5 ROP (OD). Five days after the operation. The retina is still detached, but the subretinal fluid has already markedly reduced.

Conclusions

Appropriate care of premature infants by neonatologists and screening with appropriate timing and methods by ophthalmologists are key to reducing severe ROP that requires treatment. Interventions, such as laser ablation or anti-VEGF therapy, are critical for preventing the development of tractional retinal detachment, which is directly associated with poor anatomical and functional results. If tractional detachment occurs, vitrectomy should be performed at stage 4A, before the macula is detached, to achieve favorable outcomes.

Table 1 Summary of previous reports on vitrectomy for stage 5 ROP

Authors	Number of Eyes	RA rate (%)	Functional results (%)	Birth Weight (g)	Length of gestation at birth (wks)	Publication
Trese	85	48	F&F:44, grasp object: 38, shape recognition: 15	640~1,400	24~32	1986 ³⁵⁾
Tasman, et al. (Open-sky Vtx)	23	35	NA	1,038 (539~1,950)	26 (24~32)	1987 ³⁶⁾
Zilis, et al.	121	TRA: 9 PRA: 31	fix and follow or greater: 11%, LP: 56% NLP: 25%	955 (560~1,850)	26.3 (22~32)	1990 ³⁷⁾
Fuchino, et al.	51	59	NLP:5, LP:19, HM:14, > 20/2000 : 62	948 (515~1,760)	27 (23~33)	1995 ²⁸⁾
Cusick, et al.	608	TRA: 25 PRA: 7	NLP:26, LP:59, HM:10, >20/2000: 4	871 (340~2,750)	26 (20~35)	2006 ²⁶⁾

RA: retinal attachment, g: gram, wks: weeks, Vtx: vitrectomy, F&F: fix and follow, NA: not available, TRA: total retinal attachment, PRA: partial retinal attachment, LP: light perception, NLP: no light perception, HM: hand movement, inc.: including

References

1. Terry TL (1942) Fibroblastic overgrowth of persistent tunica vasculosa lentis in infants born prematurely: Report of cases-clinical aspects. *Trans Am Ophthalmol Soc* 40:262-284
2. Steinkuller PG, Du L, Gilbert C, et al. (1999) Childhood blindness. *J AAPOS* 3(1):26-32
3. Early Treatment for Retinopathy of Prematurity Cooperative Group (2003) Revised indications for the treatment of retinopathy of prematurity: results of the early treatment for retinopathy of prematurity randomized trial. *Arch Ophthalmol* 121(12):1684-1694
4. Sonmez K, Drenser KA, Capone A Jr, Trese MT (2008) Vitreous levels of stromal cell-derived factor 1 and vascular endothelial growth factor in patients with retinopathy of prematurity. *Ophthalmology* 115(6):1065-1070
5. Sato T, Kusaka S, Shimojyo H, Fujikado T (2009) Vitreous levels of erythropoietin and vascular endothelial growth factor in eyes with retinopathy of prematurity. *Ophthalmology* 116(9):1599-1603
6. Sato T, Kusaka S, Hashida N, Saishin Y, Fujikado T, Tano Y (2009) Comprehensive gene-expression profile in murine oxygen-induced retinopathy. *Br J Ophthalmol* 93(1):96-103
7. Avery RL, Pearlman J, Pieramici DJ, et al. (2006) Intravitreal bevacizumab (Avastin) in the treatment of proliferative diabetic retinopathy. *Ophthalmology* 113(10):1695-1705
8. Avery RL, Pieramici DJ, Rabena MD, et al. (2006) Intravitreal bevacizumab (Avastin) for neovascular age-related macular degeneration. *Ophthalmology* 113(3):363-372
9. Quiroz-Mercado H, Martinez-Castellanos MA, Hernandez-Rojas ML, Salazar-Teran N, Chan RV (2008) Antiangiogenic therapy with intravitreal bevacizumab for retinopathy of prematurity. *Retina* 28(3 Suppl):S19-25
10. Kusaka S, Shima C, Wada K, Arahori H, Shimojyo H, Sato T, Fujikado T (2008) Efficacy of intravitreal injection of bevacizumab for severe retinopathy of prematurity: a pilot study. *Br J Ophthalmol* 92(11):1450-1455
11. Mintz-Hittner HA, Kennedy KA, Chuang AZ; BEAT-ROP Cooperative Group (2011) Efficacy of intravitreal bevacizumab for stage3+ retinopathy of prematurity. *N Engl J Med* 364(7):603-615
12. Sato T, Wada K, Arahori H, Kuno N, Imoto K, Iwahashi-Shima C, Kusaka S (2012) Serum concentrations of bevacizumab (avastin) and vascular endothelial growth factor in infants with retinopathy of prematurity. *Am J Ophthalmol* 153(2):327-333
13. Wu WC, Lien R, Liao PJ, Wang NK, Chen YP, Chao AN, Chen KJ, Chen TL, Hwang YS, Lai CC. (2015) Serum levels of vascular endothelial growth factor and related factors after intravitreal bevacizumab injection for retinopathy of prematurity. *JAMA Ophthalmol* 133(4):391-397
14. Maguire AM, Trese MT (1992) Lens-sparing vitreoretinal surgery in infants. *Arch Ophthalmol* 110(2):284-286
15. Gonzales CR, Boshra J, Schwartz SD (2006) 25-Gauge pars plicata vitrectomy for stage 4 and 5 retinopathy of prematurity. *Retina* 26(7 Suppl):S42-46
16. Hairston RJ, Maguire AM, Vitale S, Green WR (1997) Morphometric analysis of pars plana development in humans. *Retina* 17(2):135-138
17. Wu WC, Lai CC, Lin RI, Wang NK, Chao AN, Chen KJ, Chen TL, Hwang YS. (2011) Modified 23-gauge vitrectomy system for stage 4 retinopathy of prematurity. *Arch Ophthalmol* 129(10):1326-1331
18. Capone A, Trese MT. Lens-sparing vitreous surgery for tractional stage 4A retinopathy of prematurity retinal detachments. (2001) *Ophthalmology* 108(11):2068-2070
19. Hubbard GB 3rd, Cherwick DH, Burian G (2004) Lens-sparing vitrectomy for stage 4 retinopathy of prematurity. *Ophthalmology* 111(12):2274-2277
20. Moshfeghi AA, Banach MJ, Salam GA, Ferrone PJ

- (2004) Lens-sparing vitrectomy for progressive tractional retinal detachments associated with stage 4A retinopathy of prematurity. *Arch Ophthalmol* 122(12):1816-1818
21. Lakhanpal RR, Sun RL, Albin TA, Holz ER (2005) Anatomic success rate after 3-port lens-sparing vitrectomy in stage 4A or 4B retinopathy of prematurity. *Ophthalmology* 112(9):1569-1573
 22. Karacorlu M, Hocaoglu M, Sayman Muslubas I, Arf S (2017) Long-term functional results following vitrectomy for advanced retinopathy of prematurity. *Br J Ophthalmol* 101:730-734
 23. Prenner JL1, Capone A Jr, Trese MT (2004) Visual outcomes after lens-sparing vitrectomy for stage 4A retinopathy of prematurity. *Ophthalmology* 111(12):2271-2273
 24. Lakhanpal RR, Sun RL, Albin TA, et al. (2006) Visual outcomes after 3-port lens-sparing vitrectomy in stage 4 retinopathy of prematurity. *Arch Ophthalmol* 124:675-679
 25. Quinn GE, Dobson V, Barr CC, Davis BR, Palmer EA, Robertson J, Summers CG, Trese MT, Tung B (1996) Visual acuity of eyes after vitrectomy for retinopathy of prematurity: follow-up at 5 1/2 years. The Cryotherapy for Retinopathy of Prematurity Cooperative Group. *Ophthalmology* 103(4):595-600
 26. Cusick M, Charles MK, Agrón E, Sangiovanni JP, Ferris FL 3rd, Charles S (2006) Anatomical and visual results of vitreoretinal surgery for stage 5 retinopathy of prematurity. *Retina* 26(7):729-735
 27. Hirose T, Katsumi O, Mehta MC, Schepens CL (1993) Vision in stage 5 retinopathy of prematurity after retinal reattachment by open-sky vitrectomy. *Arch Ophthalmol* 111(3):345-349
 28. Fuchino Y, Hayashi H, Kono T, Ohshima K (1995) Long-term follow up of visual acuity in eyes with stage 5 retinopathy of prematurity after closed vitrectomy. *Am J Ophthalmol* 120(3):308-316
 29. Trese MT, Droste PJ (1998) Long-term postoperative results of a consecutive series of stages 4 and 5 retinopathy of prematurity. *Ophthalmology* 105(6):992-997
 30. Kono T, Oshima K, Fuchino Y (2000) Surgical results and visual outcomes of vitreous surgery for advanced stages of retinopathy of prematurity. *Jpn J Ophthalmol* 44(6):661-667
 31. Jabbour NM, Eller AE, Hirose T, Schepens CL, Liberafarb R (1987) Stage 5 retinopathy of prematurity. Prognostic value of morphologic findings. *Ophthalmology* 94(12):1640-1646
 32. Mintz-Hittner HA, O'Malley RE, Kretzer FL (1997) Long-term form identification vision after early, closed, lensectomy-vitrectomy for stage 5 retinopathy of prematurity. *Ophthalmology* 104(3):454-459
 33. Hartnett ME, Rodier DW, McColm JR, Thompson HW (2003) Long-term vision results measured with Teller Acuity Cards and a new Light Perception/Projection Scale after management of late stages of retinopathy of prematurity. *Arch Ophthalmol* 121(7):991-996
 34. Seaber JH, Machemer R, Elliott D, Buckley EG, deJuan E, Martin DF (1995) Long-term visual results of children after initially successful vitrectomy for stage V retinopathy of prematurity. *Ophthalmology* 102(2):199-204
 35. Trese MT. Visual results and prognostic factors for vision following surgery for stage V retinopathy of prematurity. (1986) *Ophthalmology* 93(5):574-579
 36. Tasman W, Borrone RN, Bolling J (1987) Open sky vitrectomy for total retinal detachment in retinopathy of prematurity. *Ophthalmology* 94(4):449-452
 37. Zilis JD1, deJuan E, Machemer R (1990) Advanced retinopathy of prematurity. The anatomic and visual results of vitreous surgery. *Ophthalmology* 97(6):821-826