

1 (Review Paper)

2 **A Review of Safety Guidelines for** 3 **Vehicles in Floodwaters**

4 Syed Muzzamil Hussain Shah ¹, Zahiraniza Mustaffa ², *,
5 Eduardo Martínez-Gomariz ³, Khamaruzaman Wan Yusof ⁴ and
6 Ebrahim Hamid Hussein Al-Qadami ⁵

7 Department of Civil and Environmental Engineering,
8 Universiti Teknologi PETRONAS, 32610, Seri Iskandar, Perak,
9 Malaysia ^{1, 2, 4 and 5}

10 Department of Civil and Environmental Engineering, FLUMEN
11 Research Institute, Technical University of Catalonia,
12 Barcelona, Spain ³

13 *correspondence: zahiraniza@utp.edu.my

14 **Abstract:** The development of guidelines for the design and
15 analysis of street drainage systems to ensure safety of
16 pedestrians and vehicles is an issue of fundamental
17 importance. To prevent pedestrians and vehicles from being
18 swept away during flooding events, the up to date guidelines
19 are recommended in Australian Rainfall and Runoff (AR&R, 2011)
20 report. These guidelines are based on the upper bounds on both
21 depth and velocity; and the constant limiting velocity \times depth
22 ($v \cdot y$) functions derived from the earlier works (1967-1993)
23 associated with the stability of old-fashioned vehicles
24 (*static condition*). The AR&R (2011) guideline does not include
25 the assessment of the studies on modern vehicles (*static*)
26 which were published very close or after its release (2010-
27 2017). However, as a result of considerable modifications in
28 the chassis design since those former investigations, several
29 issues concerning stability of modern vehicles in floodwaters
30 have been raised. Herein this paper ponders on both the limit
31 functions highlighted in those earlier and recent works.
32 Further, the reported works have highlighted that the studies
33 performed on vehicles in the past were limited to static
34 condition, therefore in this paper an attempt has been made
35 to address hydrodynamic response of a non-static vehicle
36 endangered by floodwaters. Thus, the algorithms of the
37 hydrodynamic studies for the non-static vehicle into safe
38 stability limits will be presented, under the consideration
39 of few modified parameters, which involves the rolling
40 resistance generated at vehicle tires, drag impact at
41 vehicle's front end and driving force caused by vehicle
42 engine.

43 **Keywords:** safety guidelines; floodwaters; stability limits;
44 limiting thresholds; buoyancy depth; static and non-static
45 vehicles

46

47 1. Introduction

48 Flooding is the most frequent and expensive natural hazard
49 worldwide [1], [2] and [3]. The transportation structures are
50 highly exposed and unprotected to flood danger because of its
51 significant size [4]. Flood-based fatalities are increasingly
52 related to people perishing in vehicles mainly due to stability
53 failure while crossing the flooded roads [5], [6] and [7]. On
54 the subject of flood hazards relating to vehicle movement,
55 rivers overflowing onto the floodplains can seriously disrupt
56 the transportation system which could lead to significant risks
57 to vehicles which are moving or parked along floodplains. At
58 times, the intensity of floodwater flows could wash away the
59 flooded vehicles which could damage the infrastructure or even
60 cause fatalities by means of collision [8]. It is therefore
61 vital to investigate the hydraulic behavior and hazard
62 conditions of vehicles on floodplains during floods to reduce
63 or ideally minimize such possible disastrous consequences [9]
64 and [10].

65
66 Hazard has many components including stability of vehicles,
67 people and structures in floodwaters, flood awareness of the
68 population, evacuation difficulty, etc. Concerning stability
69 of vehicles in floodwaters, these standards are given in the
70 form of buoyancy depth and velocity \times depth ($v \cdot y$) functions,
71 which are available nearly in all the councils within the major
72 urban centers. These regulations rely on the facts highlighted
73 in the published sources, namely the experimental
74 investigations highlighted in work of Bonham and Hattersley
75 (1967) and Gordon and Stone (1973); and the theoretical
76 analysis performed on the variety of vehicles in the early
77 1990's by Keller and Mitsch (1993) [11]. Though, it is unlikely
78 that the stability regions proposed for the variety of old-
79 fashioned static cars available in that era could be directly
80 relevant to the modern static cars [12], because when it comes
81 to vehicles, features like weight, aerodynamic design, ground
82 clearance and sealing capacity determines vehicle stability in
83 floodwaters [13]. By far, the Australian Rainfall and Runoff
84 (AR&R, 2011) guideline compiles the up to date criterion
85 regarding stability of vehicles in floodwaters. It does not
86 include the assessment of subsequent studies performed on
87 modern static vehicles, namely Teo *et al.* (2010) [14], Xia *et*
88 *al.* (2011) [15], Shu *et al.* (2011) [16], Oshikawa *et al.* (2011)
89 [17], Toda *et al.* (2013) [18], Xia *et al.* (2013) [19], Xia *et*
90 *al.* (2014) [20] and Martínez-Gomariz *et al.* (2017) [21], which
91 were published very close or after its release.

92
93 Significant number of flood deaths are attributed to
94 unnecessary risky behavior [22] and [7], like driving over the
95 flooded path by ignoring dangers such as overlooking cautions
96 and neglecting safety barriers [23]. In many situations, the
97 victims are unwilling to change the route and drive through
98 the flooded street (low water crossing) [24] and [25]. Thus,

99 it is believed that the existing safety guidelines require
100 major modifications based on the varying characteristics of
101 modern cars (*static*) as well as the impact of varying
102 hydrodynamic forces on the vehicles (*non-stationary*)
103 attempting to cross such flooded streets. Further, the enhanced
104 features, namely traction control and electronic stability of
105 modern cars should also be taken into consideration to ensure
106 the effective use of all the traction available on the low-
107 friction flooded street.

108

109 Herein an attempt has been made to individually discuss and
110 analyze the recommended stability zones highlighted in previous
111 guidelines (1986-2011). Moreover, the limit functions
112 presented in recent works (2010-2017) have also been conversed
113 and compared with the AR&R (2011) guideline. Lastly, an effort
114 has been made to report non-static vehicles jeopardized by
115 floodwaters, for the very first time. Thus, the algorithms of
116 the hydrodynamic studies for the non-stationary flooded cars
117 into safe stability limits will be offered and compared, under
118 the consideration of few modified parameters, which involves
119 the rolling resistance generated at vehicle tires, drag impact
120 at vehicle's front end and driving force caused by vehicle
121 engine.

122 **2. Earlier Investigations (1967-1993)**

123 The safety recommendations offered for vehicle stability in
124 floodwaters rely on the buoyancy depths and constant limiting
125 velocity \times depth ($v \cdot y$) functions, obtained during the empirical
126 inquiries conducted in the late 1960s and early 1970s; and the
127 theoretical analysis performed in the early 1990s. The main
128 focus being the static vehicles, these recommendations are
129 still being complied mainly because no significant research
130 was reported between Keller and Mitsch's (1993) and Teo *et*
131 *al.*'s work (2010). However, under this section, the summary of
132 earlier investigations conducted by Bonham and Hattersley
133 (1967), Gordon and Stone (1973) and Keller and Mitsch (1993)
134 has been presented [26].

135

136 Table 1 summarizes the empirical and analytical approaches
137 undertaken in the past (1967-1993), while highlighting the key
138 findings and significant parameters.

139

140 Bonham and Hattersley (1967) obtained the line of constant
141 friction that ranged between $\mu = 0.3$ to $\mu = 0.5$ for Ford Falcon.
142 However, after correspondence with various test laboratories
143 and roading experts, $\mu = 0.3$ was chosen. This value of friction
144 coefficient was suggested satisfactory for several surface
145 types. However, Gordon and Stone (1973) contradicted this
146 single constant value of $\mu = 0.3$ as the range of coefficients
147 obtained for Morris Mini Sedan were between $\mu = 0.3$ (skidding
148 on wet surfaces) and $\mu = 1.0$ (stationary on wet surface).
149 Further investigations by Yandell (1973) [27] and Woods *et al.*

150 (1960) [28] obtained the range of friction coefficients between
151 0.85 and 1.15 and between 0.16 to 0.48, for the stationary and
152 skidding values, respectively. Therefore, the coefficient of μ
153 = 0.3 suggested by Bonham and Hattersley (1967) was considered
154 likely conservative. Bearing in mind these conflicts, Keller
155 and Mitsch (1993) still chosen the friction coefficient of μ =
156 0.3 for the theoretical assessment of variety of vehicles
157 available that time.

158 3. Stability Guidelines (1986-2011)

159 Under this section, a comprehensive explanation on the
160 available guidelines (1986-2011) based on the upper bounds on
161 both the hydraulic variables and the limiting product of water
162 depth and velocity ($v \cdot y$) functions for static vehicles has been
163 presented. Later, the limits functions have been compared with
164 the stability zones highlighted in earlier works (1967-1993).

165 3.1. Department of Public Works, New South Wales (DPW, 1986)

166 Pertaining to stability of vehicles in floodwaters, there
167 were very few studies available by the time this manual was
168 published. This manual solely followed the stability limits
169 developed from the work of Gordan and Stone (1973). On the
170 other hand, the allowable limits highlighted for the stability
171 of pedestrians relied on the investigations carried out by
172 Foster and Cox (1973) [29]. The relationships presented within
173 this manual does not indicate constant ($v \cdot y$) relationships [30]
174 but do place upper bounds on both depth and velocity. For
175 vehicles, the allowable limits of stability were ensured when
176 the maximum water depth and flow velocity approaches 0.3 m and
177 2.0 m/s, respectively [12], whereas for pedestrians, it was
178 recommended to be 0.8 m and 2.0 m/s, respectively as shown in
179 Figure 1 [31].

180 3.2. Australian Rainfall and Runoff Guidelines (AR&R, 1987)

181 The criterion regarding stability of vehicles and
182 pedestrian highlighted in the Australian Rainfall and Runoff
183 (AR&R, 1987) indicates constant velocity-depth ($v \cdot y$)
184 relationships. For instance, where vehicles alone were
185 affected, a ($v \cdot y$) product of either 0.6 or 0.7 m^2s^{-1} was proposed
186 based on vehicle type, *i.e.*, small passenger car, sport utility
187 vehicle (SUV), etc. On the other hand, for pedestrians, the
188 ($v \cdot y$) product of 0.4 m^2s^{-1} was recommended. The ($v \cdot y$)
189 relationships proposed herein for the vehicles were
190 comparatively higher than pedestrians, unlike the allowable
191 limits as advised in DPW, 1986. The limit functions proposed
192 in AR&R, 1987 both for vehicles and pedestrians are shown in
193 Figure 2 [11] and [31].

194 3.3. Melbourne Water Land Development Manual: Flood Safety 195 Criteria (MWLDM, 1996)

196 The Melbourne Water Land Development Manual (MWLDM, 1996)
197 defines the guidelines pertaining to vehicles safety in
198 floodwaters. These guidelines were prepared to standardise and
199 simplify the application and computation of floodway safety
200 requirements in the Melbourne region, Australia. The safety
201 limits mentioned in the manual are based on the constant ($v \cdot y$)
202 values which varies as a function of floodwater depth along
203 the site. The recommendations proposed herein were adapted from
204 the research results reported by Keller and Mitsch (1993).
205 However, the allowable limits for vehicle stability highlighted
206 in the manual are shown in Figure 3 [32] and [33].

207 3.4. Emergency Management Australia (EMA, 1997)

208 The Emergency Management Australia is the state agency which
209 manages the disaster conditions in Australia. In the planning
210 of emergency situations regarding floods, this organization
211 has published several handbooks to assist other local and
212 government organizations. The EMA, 1997 guide on four-wheel
213 drive (4WD) vehicle operation, focused at the general
214 guidelines which were advisable to large, four-wheel drive
215 emergency vehicles only and were not meant for the ordinary
216 motorists as shown in Figure 4. Concisely, the manual states
217 that for water crossings, "*Upon entering the water, if the*
218 *water level reaches to the front bumper bar or higher,*
219 *accelerate until a bow wave is formed in front of the vehicle*
220 *to keep the water out of the engine, keep a steady pace to*
221 *maintain this bow wave at all costs.*" With that regards, a
222 canvas sheet or tarp across the grille was recommended to
223 prevent the water from entering the engine bay. Furthermore,
224 it was advised to fit a snorkel extension to the engine air
225 inlet and spray the ignition system with a dewatering fluid
226 [34].

227 3.5. Emergency Management Australia (EMA, 1999)

228 This updated version of EMA, 1997 manual collects the best
229 practice principles for flood plain management in Australia.
230 It has been stressed that these principles are *guidelines* (a
231 non-specific rule) and *not directives*. As a part of floodplain
232 management process, these principles should not be neglected
233 and must be considered to deal with the flood-related issues.
234 With regards to flood hazards pertaining to vehicles, the EMA,
235 1999 manual highlights that "*the small, light, low motor*
236 *vehicles crossing rapidly-flowing causeways can become*
237 *unstable when the water depths surpass 0.3 m, whereas*
238 *evacuation by larger, higher sedans is generally only possible*
239 *and safe when the water depths are below 0.4 m.*" The manual
240 further states that when the depth of still water exceeds 1.2
241 m, the velocity of shallow water exceeds 0.8 m/s and for various
242 velocity-depth combinations between these limits, the wading
243 becomes difficult by able-bodied adults. Factors like
244 depressions, ground surface evenness, fences, potholes, etc.,

245 also need to be considered while assessing the safety of
 246 wading. Moreover, at low flow velocities and at depths in
 247 excess of 2 m, light framed buildings can still sustain damage
 248 from water pressure, debris impact and floatation [35].

249 3.6. Moore and Power (2002)

250 For the irrigation of broad-acre crops, off-stream water
 251 supply storages (ring tanks) are being commonly used in
 252 Queensland, Australia. Many of these ring tanks are sited close
 253 to main roads (within 50 m of public roads) which arouse the
 254 question of potential safety hazards in case of structure
 255 failure. To stimulate the dam break conditions for a typical
 256 ring tank, a flood-wave model was applied, output of which was
 257 then analysed to determine the safe buffer distance between
 258 the road and the ring tank with regards to vehicles safety.
 259 Based on the outcomes attained, the study proposed a safe
 260 buffer distance of 250 m to 400 m depending on the final breach
 261 width.

262
 263 Concisely, the stability limits proposed by Moore and Power
 264 (2002) recommends that the buoyant force dominates stability
 265 at high depths and low flow velocities. Conversely, drag force
 266 controls stability at shallow depths and high flows. On that
 267 basis, it was instructed that the functional form of stability
 268 limits changes at the transition between sub-critical to super-
 269 critical flow conditions [36]. Based on that justification, a
 270 constant depth-velocity ($D.V$) rate was advised for the super-
 271 critical regime (drag dominates; $V > 1.81$), whereas a linear
 272 relation was proposed for the sub-critical regime (buoyant
 273 forces dominate; $V < 1.81$) [12] and [13]. Thus, the functional
 274 form of stability envelope adopted by Moore and Power (2002),
 275 states:

276 $D*V \leq 0.6$ for $V > 1.81$ m/s (super-critical regime:
 277 drag dominates) (1)

278 $D \leq (0.4 - 0.0376V)$ for $V \leq 1.81$ m/s (sub-critical
 279 regime: buoyancy dominates) (2)

280 where, D is the flood depth (m), and V is the average velocity
 281 (m/s).

282 3.7. Floodplain Development Manual (DIPNR, 2005)

283 This manual is based on the provisional hydraulic hazard
 284 categories, namely high hazard and low hazard zones. It follows
 285 the velocity-depth relationships that defines vehicle
 286 stability presented within the Department of Public Works
 287 Manual (DPW, 1986) as shown in Figure 5 (a). The low and high
 288 hazard categories highlighted herein are provisional because
 289 it does not reflect the effects of other factors which could
 290 influence hazard. Therefore, when such factors are qualified
 291 and identified then the provisional hazard categories should
 292 be altered to develop *true hazard categories*.

293

294 Among *low hazard categories*, should it be necessary, truck
 295 could evacuate people and their possessions; whereas able-
 296 bodied adults would face problems in wading. Among *high hazard*
 297 *categories*, there is a likelihood of the risk to the personal
 298 safety, wading to safety for the able-bodied adults would be
 299 very difficult, evacuation by trucks would be very difficult
 300 and the potential for significant structural damage to
 301 buildings is possible. Further, in the transition zone, the
 302 hazard impact is dependent on the flood site conditions and
 303 the nature of the proposed development as shown in Figure 5
 304 (b).

305
 306 The above figure presents a tool for preparing an
 307 appropriate floodplain risk management plan (a strategic
 308 planning document). However, to use the provisional hydraulic
 309 hazard categories, it was essential to know the average flood
 310 depth and velocity at various places in a flood prone area. It
 311 was further recommended that as a part of floodplain risk
 312 management study, it may be appropriate for councils to prepare
 313 "hazard maps", which define areas of low and high hazard across
 314 the flood prone regions for the potential range of floods [37].

315 3.8. Austroads Guide to Road Design (2008)

316 This guide recommends that "*based on the length of floodway*
 317 *and flow velocity, floodwater depths ≤ 300 mm are considered*
 318 *passable for passenger cars*". It further mentions that if the
 319 total head which is equivalent to water depth plus the amount
 320 of kinetic energy per unit weight of fluid to raise it to a
 321 certain height (velocity head) exceeds 300 mm above the crest
 322 of a carriageway, then the carriageway should be closed for
 323 vehicles movement which in other words is also called *Time of*
 324 *Closure (ToC)* [38]. However, this typical adopted safe limit
 325 can be given as:

$$326 \quad h = d + v^2/2g \leq 300 \text{ mm} \quad (3)$$

327 where, *h* is the total head, *d* is the flood water depth, *v* is
 328 the flow velocity, *g* is the acceleration due to gravity and **mm**
 329 represent millimetres.

330 3.9. Australian Rainfall and Runoff (AR&R) - Project 10: 331 Appropriate Safety Criteria for Vehicles (2011)

332 Australian Rainfall and Runoff is one of the widely used
 333 guidelines published by Engineers Australia (EA) since 1958
 334 (*first publication*). However, these guidelines are now becoming
 335 outdated due to numerous changes in vehicle features. Herein
 336 the updated *Draft Stability Criteria* for the stability of
 337 static vehicles in floodwaters has been proposed. This draft
 338 is proposed for three vehicle classes, namely small, large and
 339 four-wheel drive (4WD) vehicles as shown in Table 2. The draft
 340 was proposed with the floating limits and the limiting depths
 341 and velocities for the given vehicle categories. Since the
 342 safety of pedestrians appears to be overlooked in many manuals

343 except for the Public Works Department (1986) and Floodplain
344 Development Manual (2005) [12], therefore, AR&R, 2011 guideline
345 was combined with the stability criteria for pedestrians
346 recommended in the published work of Cox et al. (2010) to
347 ensure that the safety of the pedestrian would not be at risk
348 once people vacant their cars in case of vehicle stability
349 failure as shown in Figure 6 [39].

350 Table 3 shows the summary of guidelines in terms of limiting
351 flow velocities and water depths proposed for vehicle stability
352 on flooded streets.

353
354 Number of recommendations and guidelines for vehicle
355 stability have been advised since the mid-1980s till 2011.
356 Since then modern vehicles have undergone several timely
357 enhancements, notably in the form of sealing capacity,
358 vehicle's geometric nature, weight and ground clearance. As a
359 result, it is unlikely that the limiting thresholds proposed
360 earlier for the old-fashioned cars could be implemented
361 directly to the modern vehicles. Thus, there is need to revise
362 the criterion regarding stability of vehicles in floodwaters
363 [12].

364
365 In the following section, the limiting flow velocities and
366 water depths proposed for vehicle stability on flooded streets
367 in different safety guidelines have been compared with the
368 earlier investigations (1967-1993). This ensured that the
369 stability limits proposed within these safety guidelines either
370 complied to the safety regions highlighted in earlier works or
371 not. Figure 7 shows the compilation of earlier works carried
372 by Bonham and Hattersley (1967), Gordon and Stone (1973) and
373 Keller and Mitsch (1993).

374
375 The limit functions proposed for the vehicles within DPW
376 (1986), which were later adopted within DIPNR (2005) indicated
377 a maximum water depth of 0.3 m and a maximum velocity of 2.0
378 m/s. The proposed upper bounds on both depth and velocity were
379 below all the test results conducted by Bonham and Hattersley
380 (1967), Gordon and Stone (1973) and Keller and Mitsch (1993)
381 as shown in Figure 8.

382
383 On the other hand, the criterion regarding stability of
384 vehicles highlighted in the AR&R (1987) exceeded the limits of
385 experimental testing, *i.e.*, these values were above the test
386 results of Bonham and Hattersley (1967) in high depths and low
387 flow regime. Moreover, it also surpassed the limits of
388 experimental testing performed by Gordan and Stone (1973).
389 Though, by the time AR&R (1987) was published, the theoretical
390 assessment by Keller and Mitsche (1993) did not take place.
391 However, just for the sake of comparison it can be noticed that
392 the AR&R (1987) complied to the work of Keller and Mitsche
393 (1993) in low depths and high flow regime as shown in Figure
394 9.

395

396 The guidelines recommended within MWLDM (1996) were acquired
397 from the research results reported by Keller and Mitsch (1993).
398 However, it has been recognized that the proposed limit
399 functions exceeded all test results in high depths and low flow
400 velocities as shown in Figure 10.

401

402 The recommendations suggested for the vehicle stability
403 adapted within EMA (1997) are simplistic which states that "if
404 the water level reaches to the front bumper bar or higher,
405 accelerate until a bow wave is formed in front of the vehicle
406 to keep water out of the engine". Similarly, within EMA (1999),
407 water depths below 0.3 m were recommended safe for the small
408 passenger vehicles, whereas below 0.4 m, it was considered
409 passable for higher sedans as shown in Figure 11.

410

411 Moore and Power (2002) for the very first time proposed the
412 functional form of stability envelope based on the transition
413 of flow regime. It was believed that the state of the flow
414 matters on the behaviour of the vehicles and their stability
415 in floodwaters. On that justification, a linear relation for
416 sub-critical regimes, whereas a constant ($v \cdot y$) rate for super-
417 critical regimes was assumed as shown in Figure 12.

418

419 Concerning Austroads (2008), the time of road closure was
420 proposed if the floodwater depth exceeds 0.3 m. However, the
421 proposed criterion might be appropriate for small vehicles,
422 but it has been suggested to be overconservative for 4WD
423 vehicles with higher ground clearance as shown in Figure 13.

424

425 Lastly, the AR&R (2011) guideline set an updated *Draft*
426 *Stability Criteria* for the stability of static vehicles
427 incorporated with human stability criterion presented within
428 Cox *et al.* (2010). The limiting criteria proposed herein
429 ensured that people safety would not be compromised once they
430 abandon their cars in the event of vehicle failure. The
431 stability limits proposed in the stability draft are
432 highlighted in Figure 14.

433 **4. Present Investigations (2010-2017)**

434 Roadway designs and car dimensions have enhanced with time;
435 thus, a diversity in vehicle shapes has been reported since
436 those earlier studies. The aerodynamic shape might have
437 improved the hydrodynamic behavior to an extent, but in
438 contrast, modern vehicles with low ground clearance have
439 increased the chances of instability when flooded [40]. Being
440 concise, no significant study was reported in the domain of
441 flooded vehicles between 1993 till 2010. Therefore, the limits
442 concerning vehicles balance in floodwaters relies on the
443 limiting functions highlighted in the former investigations
444 (1967-1993). However, the description of stability criterion
445 for modern vehicles (*static*) recommended by several authors in

446 recent years (2010-2017) has been well explained in the
447 published work of the authors [26].

448

449 Table 4 summarizes the empirical and analytical approaches
450 undertaken on modern cars in recent years (2010-2017), while
451 highlighting the key findings and significant parameters.

452 The AR&R (2011) guideline being the latest and most up to
453 date report concerning safety limits for flooded vehicles, thus
454 a detailed comparison of the proposed limits highlighted in
455 subsequent theoretical and experimental studies (static
456 vehicles, 2010-2017) which were not considered by the time AR&R
457 (2011) guideline was published have been compared as shown in
458 Figure 15 [13]. From the graph, it can be inferred that some
459 of the limiting thresholds highlighted in the work of Xia *et al.*
460 (2013), Oshikawa *et al.* (2011) and Shu *et al.* (2011) were
461 below the safety zone proposed for the large four-wheel-drive
462 (4WD) vehicles. Similarly, only two instability points were
463 found to be underneath the stability limits proposed for the
464 large passenger cars, corresponding to a sedan vehicle type of
465 Toda *et al.* (2013) and Oshikawa *et al.* (2011). Overall, for
466 majority of the static vehicles, the limiting thresholds
467 exceeded the safety limits proposed in the AR&R (2011)
468 guideline. Thus, the AR&R (2011) guideline remains valid and
469 safe for the variety of cars tested in recent years ensuring
470 that people safety would not be compromised once they abandon
471 their flooded cars in the event of vehicle failure.

472

473 5. Future Works (2017 onwards)

474 Floodwater is capable to slide cars at the lowermost
475 hydraulic variables. The direction of incoming, ground
476 clearance, aerodynamic chassis design and vehicle weight
477 attributes to the way floodwaters affect and control the
478 vehicle. From the available data, it seems that all reported
479 studies pertaining to vehicle instabilities in floodwaters are
480 solely dedicated to static (parked) vehicles which eventually
481 brought to the need of this research.

482 In former studies, the impact of hydrodynamic forces on
483 parked vehicles (static condition) has been well explained.
484 The frictional force for the given condition mainly emphasis
485 on the static friction coefficient **d**ue to applied braking
486 conditions. However, concerning non-static vehicles, several
487 hydrodynamic parameters including friction type between the
488 ground surface and vehicle ties changes. For instance, when a
489 non-vehicle crosses a flat flooded roadway where the incoming
490 flow direction remains perpendicular to direction of vehicle
491 progress, then the frictional resistance acts in two
492 directions, namely the rolling friction which is generated at
493 the tires in the direction of vehicle movement due to tires
494 rotation (F_{R0}) and frictional resistance at the tires in the

495 opposite direction of the incoming flow (F_R). Aside from that,
496 an additional force also called driving force (F_{DV}) is
497 established which defies the drag generated by the flood flow.
498 Apart from this, the impact of drag force differs for the non-
499 stationary cars under the given circumstances as it does not
500 only impact the frontal bonnet area of non-static vehicle but
501 also the vehicle side end projected normal to the flow. The
502 detailed description of the hydrodynamic forces and the
503 mechanics of moving tires and driving force have been
504 highlighted in the published work of the author Shah *et al.*
505 (2019) [26]. The experimental investigations on the non-static
506 model vehicle were performed at the Hydraulics laboratory
507 located at Universiti Teknologi PETRONAS, Malaysia. A hatch
508 back Malaysian made Perodua Viva, that portrays the typical
509 size of passenger vehicles was modelled (1:10), ensuring the
510 similarity laws. A set of measurements involved the water depth
511 on the platform (y) and the velocity of the incoming flow (v)
512 for each experiment. Further, the driving force of the vehicle
513 was assessed by noticing the time taken by the vehicle to reach
514 a known distance as shown in Figure 16.

515 5.1 Formula Validation

516 The way how instability failure occurs for the static
517 vehicles has been well recognized in the former articles.
518 Herein the focus would be more on the instability modes, a non-
519 static car could face while attempting to pass a flooded street
520 (flat). With that regards, the vertical pushing force which
521 could cause floating failure and the horizontal pushing force
522 responsible to cause sliding mechanism, have been theoretically
523 assessed. Since the study was performed under subcritical flow
524 conditions, therefore concerning vertical pushing force, only
525 the impact of buoyancy force was taken into account. In
526 contrast, the impact of horizontal pushing force, namely drag
527 force at vehicle's side end, frictional forces (both at F_R and
528 F_{RO}) and the driving force (F_{DV}) of the vehicle were taken into
529 consideration for the assessment of sliding failure mechanism.

530 Pertaining to moving vehicles, the criterion for floating
531 instability remains same as for the static cars specifically
532 for subcritical flows. This criterion could differ for other
533 flow conditions, namely super-critical and critical flows which
534 would lead to the inclusion of lift force for determining the
535 vertical pushing force. Concerning sliding failure, it has been
536 identified that the friction and the driving forces oppose the
537 drag caused by flood flow. Therefore, if the drag exceeds the
538 frictional and driving forces, then there is a possibility that
539 a moving car progressing slowly on a flooded roadway may slide.
540 Based on the given conditions, an incipient velocity formula
541 that would lead to the sliding instability failure for a non-
542 static car was proposed. However, to determine the accuracy of
543 proposed formulation, the incipient velocity required to cause
544 sliding instability of a partially submerged flooded vehicle

545 were experimentally and theoretically validated. Figure 17
546 displays the linear regression between the incipient velocities
547 attained through laboratory investigations and the
548 calculations obtained from the proposed formulation. Overall,
549 a good agreement between the two was observed with the
550 correlation coefficient of $R^2 = 0.85$ [26].

551 5.2 Stability Limits

552 The main findings pertaining non-static vehicle in
553 floodwaters have highlighted that the buoyancy force governed
554 vehicle weight at depths ≥ 0.0457 m, whereas below this point,
555 the dominance of drag force over frictional resistance and
556 driving force caused sliding failure. The drag impact at
557 vehicle's front end was noticed to be nominal mainly due to
558 low velocity and the availability of smaller submerged area.
559 The values of friction coefficient for the *non-stationary*
560 *vehicle* were experimentally determined for the very first time.
561 However, the value of coefficients for the frictional
562 resistance (μ) and rolling resistance (μ_{RO}) were found to be
563 0.52 and 0.092, respectively. It was further observed that the
564 relation between the empirical investigations and the
565 theoretical assessment complied very well to each other. The
566 percentage of error between the two was noticed to be below
567 2%. Below buoyancy depth, *i.e.*, < 0.0457 m, the possibility of
568 a vehicle to slide relied on the product of velocity \times depth
569 function. For instance, a range of velocity-depth relationship
570 was witnessed where the vehicle was found to be sliding.
571 However, the $v \cdot y_{fit}$ to cause sliding failure obtained from the
572 experimental investigation and later validated through
573 theoretical assessment was found to be $0.70 \text{ m}^2/\text{s}$. Below this
574 limiting threshold, the vehicle was found stable. Thus, for
575 the equation of stability, it has been recommended that a small
576 passenger car (weighing ≤ 800 kg) slowly progressing on a flat
577 flooded roadway (subcritical flow) remains stable if the $v \cdot y$
578 function is less than $0.70 \text{ m}^2/\text{s}$ as shown in Figure 18.

579 5.3 Comparison of Instability Thresholds

580 For the sake of comparison, herein an attempt has been made
581 to analyze the limiting thresholds attained for the non-static
582 Perodua Viva with the instability points highlighted in earlier
583 and recent works.

584 5.3.1 Comparison with earlier works (1967-1993)

585 Under this section, the limiting thresholds attained for
586 the old-fashioned static cars in earlier works, namely the
587 experimental investigations performed by Bonham and Hattersley
588 (1967) and Gordon and Stone (1973); and the theoretical
589 analysis assessed on the variety of vehicles by Keller and
590 Mitsch (1993) have been compared with the experimental

591 investigations conducted by as Shah *et al.* (2019) as shown in
592 Figure 19.

593 The results attained for the non-static vehicle were below
594 the test results of Bonham and Hattersley (1967). However, it
595 surpassed all the test results for the Morris Mini with the
596 rear wheel locked condition. Moreover, the buoyancy depth
597 proposed herein was below the buoyancy depth proposed for the
598 Morris Mini with the front wheel locked condition. However, it
599 exceeded the limiting velocity \times depth ($v \cdot y$) functions derived
600 for the given conditions. It can further be noticed that ($v \cdot y$)
601 functions proposed herein exceeded the limiting thresholds for
602 the variety of cars theoretically assessed by Keller and
603 Mitsche (1993) at high depths and low velocities, whereas it
604 agreed well to given instability points at low depths and high
605 flow regime.

606 It can further be inferred, that the floating limit proposed
607 herein for the Perodua Viva was below the buoyancy depths
608 recommended for Ford Falcon and Morris Mini (front wheels
609 locked condition). This difference is mainly due to vehicle
610 weight. In those olden days, cars were built on sturdy steel
611 frames and had nice, thick sheet metal bodies. However, in the
612 present era, vehicles are made up of carbon fiber and when
613 combined with a polymer, can be molded into the shape that is
614 stronger and lighter than steel and aluminum parts excessively
615 used in the earlier days. On the other hand, one of the causes
616 why contemporary cars can quickly swept away even at low flood
617 depths is also attributed to vehicle's sealing capacity. Proper
618 sealing capacity ensures better temperature control (air
619 conditioner) and helps to diminish the exposure of outside
620 contaminants. However, this does not allow floodwater to seep
621 inside the car. Thus, it ensures bigger submerged fractions to
622 the vertical pushing force during flooding conditions.
623 Therefore, even at shallow flood depths, a light weight
624 passenger car could compromise stability as the vehicle base
625 contacts floodwater [41]. It is to be emphasized that the study
626 outcomes attained for the non-static car in this article are
627 valid for the subcritical flows only, thus it is believed that
628 the buoyancy depth could slightly differ for critical and
629 supercritical flow conditions due to the inclusion of lift
630 force.

631 5.3.2 Comparison with recent works (2010-2017)

632 The limit functions proposed for the vehicles in recent
633 years (2010-2017) that involves a variety of modern static cars
634 have been compared with the non-static Perodua Viva as shown
635 in Figure 20.

636 Prior to compare the limiting thresholds, it is important
637 to emphasize that the AR&R (2011) set an updated *Draft*
638 *Stability Criteria* for the stability of static vehicles
639 incorporated with human stability criterion presented within

640 Cox *et al.* (2010), *i.e.*, the $(v \cdot y)$ value less than $0.6 \text{ m}^2/\text{s}$.
641 However, the limiting thresholds criterion proposed for the
642 non-static vehicle compromised the given safety limit because
643 the minimum $(v \cdot y)$ value to cause sliding failure obtained from
644 the experimental investigation and later validated through
645 theoretical assessment was found to be $> 0.60 \text{ m}^2/\text{s}$. Thus, it
646 can be concluded that in case of non-static vehicles attempting
647 to cross a flat flooded roadway, the passengers are recommended
648 not to abandon their vehicles because if the incoming flow is
649 sufficient to make the vehicle slide then it would probably be
650 very difficult for the people to wade. It can be noticed that
651 the instability points proposed for non-static car were below
652 the velocity \times depth $(v \cdot y)$ functions presented for variety of
653 cars tested in recent years (2010-2017). However, the velocity
654 \times depth $(v \cdot y)$ functions presented for the non-static vehicle
655 exceeded the stable zones proposed for all vehicles type in
656 the AR&R (2011) guideline. Thus, the AR&R (2011) guideline
657 still remains valid and safe for all car types.

658 6. Conclusions

659 In this article, the algorithms of the hydrodynamic studies
660 for the non-static vehicles into safe stability limits were
661 presented to further enhance the existing hazard criteria for
662 the reliable application of safety guidelines to urban flood
663 scale. With that regards, a comparison of limiting thresholds
664 attained for the modern (non-static) vehicle with the old-
665 fashioned (static) and modern (static) vehicles was performed.
666 Though, in author's view, it is not justifiable to compare the
667 limiting thresholds of a non-static car with static ones.
668 However, there has not been performed any study on the non-
669 static and this was the only study of its kind, thus in future,
670 a better comparison can only be made once the studies on the
671 non-static vehicles are available. In summary, at this stage,
672 it is believed that AR&R (2011) guideline still remain valid
673 and safe for the old-fashioned static vehicles, modern static
674 vehicles and modern non-static passenger cars.
675

676 **Acknowledgments:** This research was supported by Universiti
677 Teknologi PETRONAS (UTP) Internal Grant (URIF 0153AAG24), the
678 prototype fund grant (Cost Center: 015PBA - 008) and the
679 Technology Innovation Program (Grant No.: 10053121) funded by
680 the Ministry of Trade, Industry & Energy (MI, Korea).

681 References

- 682 1. Henstra, D., *et al.*, *Flood risk management and shared responsibility:*
683 *Exploring Canadian public attitudes and expectations.* Journal of
684 Flood Risk Management, 2019. **12**(1): p. e12346.
- 685 2. Pearson, M. and K. Hamilton, *Investigating driver willingness to*
686 *drive through flooded waterways.* Accident Analysis & Prevention,
687 2014. **72**: p. 382-390.

- 688 3. Diakakis, M. and G. Deligiannakis, *Flood fatalities in Greece: 1970-*
689 *2010*. Journal of Flood Risk Management, 2017. **10**(1): p. 115-123.
- 690 4. Starita, S., M.P. Scaparra, and J.R. O'Hanley, *A dynamic model for*
691 *road protection against flooding*. Journal of the Operational Research
692 Society, 2017. **68**(1): p. 74-88.
- 693 5. Smith, G.P., B.D. Modra, and S. Felder, *Full-scale testing of*
694 *stability curves for vehicles in flood waters*. Journal of Flood Risk
695 Management. **0**(0): p. e12527.
- 696 6. Gissing, A., et al., *Influence of road characteristics on flood*
697 *fatalities in Australia*. Environmental Hazards, 2019: p. 1-12.
- 698 7. Hamilton, K., et al., *Driving through floodwater: exploring driver*
699 *decisions through the lived experience*. International journal of
700 disaster risk reduction, 2019. **34**: p. 346-355.
- 701 8. Drobot, S.D., C. Benight, and E. Grunfest, *Risk factors for driving*
702 *into flooded roads*. Environmental Hazards, 2007. **7**(3): p. 227-234.
- 703 9. Teo, F.Y., et al., *Investigations of Hazard Risks Relating To Vehicles*
704 *Moving In Flood*. J. Water Resour. Manage, 2012. **1**(1): p. 52-66.
- 705 10. Kramer, M., K. Terheiden, and S. Wieprecht, *Safety criteria for the*
706 *trafficability of inundated roads in urban floodings*. International
707 journal of disaster risk reduction, 2016. **17**: p. 77-84.
- 708 11. Marcus Walsh, N.B.a.D.B., *Defining Flood Hazard in Urban*
709 *Environments*. 1998, NSW Department of Land and Water Conservation.
- 710 12. Shand, T., et al., *Appropriate Safety Criteria for Vehicles in*
711 *Australian Rainfall and Runoff (AR&R)*. 2011, Engineers Australia
712 Water Engineering.
- 713 13. Martínez-Gomariz, E., et al., *Stability criteria for flooded vehicles:*
714 *a state-of-the-art review*. Journal of Flood Risk Management, 2018.
715 **11**(S2): p. S817-S826.
- 716 14. Teo, F.Y., *Study of the hydrodynamic processes of rivers and*
717 *floodplains with obstructions*. Ann Arbor, 2010. **1050**: p. 48106-1346.
- 718 15. Xia, J., et al., *Formula of incipient velocity for flooded vehicles*.
719 Natural Hazards, 2011. **58**(1): p. 1-14.
- 720 16. Shu, C., et al., *Incipient velocity for partially submerged vehicles*
721 *in floodwaters*. Journal of hydraulic research, 2011. **49**(6): p. 709-
722 717.
- 723 17. Oshikawa, H., T. Oshima, and T. Komatsu, *Study on the risk for*
724 *vehicular traffic in a flood situation*. Advances in River
725 Engineering. JSCE, 2011. **17**: p. 461-466.
- 726 18. Toda, K., T. Ishigaki, and T. Ozaki. *Experimental study on floating*
727 *cars in flood water*. in *International Conference on Flood Resilience:*
728 *Experiences in Asia and Europe*. 2013.

- 729 19. Xia, J., et al., *Criterion of vehicle stability in floodwaters based*
730 *on theoretical and experimental studies*. Natural hazards, 2013.
731 **70**(2): p. 1619-1630.
- 732 20. Xia, J., et al., *New criterion for the stability of a human body in*
733 *floodwaters*. Journal of Hydraulic Research, 2014. **52**(1): p. 93-104.
- 734 21. Martínez-Gomariz, E., et al., *A new experiments-based methodology to*
735 *define the stability threshold for any vehicle exposed to flooding*.
736 *Urban Water Journal*, 2017: p. 1-10.
- 737 22. Jonkman, S.N. and I. Kelman, *An analysis of the causes and*
738 *circumstances of flood disaster deaths*. Disasters, 2005. **29**(1): p.
739 75-97.
- 740 23. Petrucci, O. and A. Pasqua, *Damaging events along roads during bad*
741 *weather periods: a case study in Calabria (Italy)*. Natural Hazards
742 and Earth System Sciences, 2012. **12**(2): p. 365-378.
- 743 24. Balke, K., et al., *Signing Strategies for Low-Water and Flood-Prone*
744 *Highway Crossings*. 2011.
- 745 25. Yale, J.D., et al., *Motor Vehicle-Related drowning deaths associated*
746 *with inland flooding after Hurricane Floyd: a field investigation*.
747 *Traffic injury prevention*, 2003. **4**(4): p. 279-284.
- 748 26. Shah, S.M.H., et al., *Criterion of Vehicle Instability in*
749 *Floodwaters: Past, Present and Future*. International Journal of River
750 Basin Management, 2019(just-accepted): p. 1-41.
- 751 27. Yandell, W., *Report on the coefficient of road-tyre friction under*
752 *stationary flooded conditions of roads in Canberra*. Highway
753 Engineering Note No, 1973. **40**: p. 7p.
- 754 28. Woods, K.B., D.S. Berry, and W.H. Goetz, *Highway engineering*
755 *handbook*. 1960.
- 756 29. Foster, D. and R. Cox, *Stability of children on roads used as*
757 *floodways*. University of New South Wales Water Research Laboratory
758 technical report, 1973. **73**: p. 13.
- 759 30. Cox, R. and J.E. Ball. *Stability and safety in flooded streets*. in
760 *6th Conference on Hydraulics in Civil Engineering: The State of*
761 *Hydraulics; Proceedings*. 2001. Institution of Engineers, Australia.
- 762 31. Shand, T., et al. *Development of Appropriate Criteria for the Safety*
763 *and Stability of Persons and Vehicles in Floods*. in *Proceedings of*
764 *the 34th World Congress of the International Association for Hydro-*
765 *Environment Research and Engineering: 33rd Hydrology and Water*
766 *Resources Symposium and 10th Conference on Hydraulics in Water*
767 *Engineering*. 2011. Engineers Australia.
- 768 32. Wandmaker, M. *Floodway safety criteria*. 2018; Available from:
769 [https://www.melbournewater.com.au/planning-and-building/developer-](https://www.melbournewater.com.au/planning-and-building/developer-guides-and-resources/standards-and-specifications/floodway-safety)
770 [guides-and-resources/standards-and-specifications/floodway-safety](https://www.melbournewater.com.au/planning-and-building/developer-guides-and-resources/standards-and-specifications/floodway-safety).

- 771 33. Water, M., *Melbourne Water Land Development Manual, Appendix A:*
772 *Floodway Safety Criteria*. Melbourne Water Technical Working Group: R
773 Sutherland, T Jones, N Craigie, 1996.
- 774 34. Series, A.E.M., *Four-Wheel-Drive Vehicle Operation*. 1997.
- 775 35. Series, A.E.M., *Managing the Floodplain*. 1999.
- 776 36. Moore, K. and R. Power, *Safe buffer distances for offstream earth*
777 *dams*. Australasian Journal of Water Resources, 2002. **6**(1): p. 1-15.
- 778 37. *Department of Infrastructure, Planning and Natural Resources*. 2005.
- 779 38. Austroads, *Guide to Road Design Part 5: Drainage Design*. 2008. p.
780 210.
- 781 39. Cox, R., T. Shand, and M. Blacka, *Appropriate Safety Criteria for*
782 *People*, in *Australian Rainfall & Runoff*. 2010.
- 783 40. Martínez-Gomariz, E., et al., *Methodology for the damage assessment*
784 *of vehicles exposed to flooding in urban areas*. Journal of Flood Risk
785 Management, 2018: p. e12475.
- 786 41. G P Smith, B.D.M., T A Tucker and R J Cox, *Vehicle Stability Testing*
787 *for Flood Flows*. May, 2017, Water Research Laboratory, School of
788 Civil and Environmental Engineering, University of New South Wales,
789 Australia.
- 790