

1 **Frailty and oral health: findings from the Concord Health and Ageing in Men Project (CHAMP)**

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27 RUNNING TITLE: Frailty and oral health in older men

28 KEYWORDS: oral health, older men, frailty, comorbidities

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32 **Abstract**

33 **Objective:** To examine whether frailty in older men is associated with poorer oral health and lower  
34 levels of dental service utilisation.

35 **Background:** Poor oral health has been associated with some frailty components. Less is known  
36 about the link between frailty and oral health outcomes.

37 **Methods:** a cross-sectional analysis. Data were collected from 601 older men with both frailty status  
38 and oral health information. Frailty was defined as meeting three or more of the Cardiovascular Health  
39 Study criteria: weight loss, weakness, exhaustion, slowness and low activity. Dental service utilisation  
40 [DSU] behavior was collected from self-response questionnaires and face-to-face interviews. Oral  
41 status (number of remaining and functional teeth, periodontal disease, active coronal decayed surface  
42 [ACDS], and self-rated oral health [SROH]) was recorded by two oral health therapists. The association  
43 between frailty and oral health behavior and risk markers was modelled using logistic regression.

44 **Results:** Nineteen percent of the participants were identified as frail. There were significant  
45 associations between frailty and dentition status (odds ratio [OR]: 2.49, 95% confidence interval [CI]:  
46 (1.17-5.30), and frailty and ACDS (OR: 3.01, CI: 1.50-6.08) but only ACDS remained significant after  
47 adjusting for confounders (adjusted OR: 2.46, CI: 1.17-5.18). There was no association between frailty  
48 and DSU and frailty and SROH.

49 **Conclusion:** Frailty was independently associated with presence of dental caries. However, dental  
50 service utilisation, self-rated oral health and other oral health markers were not significantly  
51 associated with frailty after adjusting for confounders. The prevalence of periodontal disease was high  
52 regardless of their frailty status.

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65 **1. INTRODUCTION**

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67 The ageing of the world's population has led to the World Health Organisation's call for public  
68 health action<sup>1</sup> and an international symposium for securing the oral health of older people.<sup>2</sup> In  
69 Australia it is estimated that by 2061 more than 22% of the population will be 65 years or older<sup>3</sup> with  
70 some 10 to 20 percent of the older population being frail,<sup>4</sup> suggesting the need for a comprehensive  
71 professional understanding of the oral health needs of frail older people.

72 Frailty has been defined in a variety of ways, with an increasing consensus that it is a clinical  
73 syndrome of "decreased reserve and resistance to stressors, resulting from cumulative declines across  
74 multiple physiological systems".<sup>5</sup> Frailty is distinguishable from disability, multi-morbidity and the  
75 geriatric syndromes but shares a conceptual pathway which inevitably leads to increased vulnerability,  
76 poor health outcomes and increasing dependency.<sup>6</sup> Frailty is a key concept in geriatric medicine  
77 diagnosis and health management planning and is increasingly being recognised as important in  
78 clinical and public health dental practice.<sup>2,7,8</sup>

79 Frailty and oral health have received increasing attention over the past decade as the  
80 consequences of an ageing society and the complexity of oral health care have become recognised  
81 and distinctions between disability, frailty and dependence are better understood by the medical and  
82 dental professions. The clinical impact on dental service provision has been at the forefront of much  
83 of the literature on the association between frailty and oral health.<sup>2,4,9,10</sup>

84 Six cross-sectional studies<sup>7,11-15</sup> have explored the association between frailty and oral health,  
85 testing the hypothesis that poorer oral health might be associated with frailty status. In this paper, we  
86 investigate the association between frailty and oral health outcomes.

87 There is considerable literature demonstrating that frail older people tend to have poorer general  
88 health and function.<sup>16-21</sup> However, frailty per se is associated with some diseases (such as depression  
89 and dementia) but not others, suggesting that the nature of frailty has not yet been completely  
90 characterised.<sup>22</sup> For example, what aspects of oral health are worse in frail, compared to non-frail,  
91 older people and what are the relationships between various general health co-morbidities, frailty and  
92 oral health? Although this study cannot answer these issues, a better understanding of such  
93 associations and linkages may provide guidance about what aspects of oral health and access to dental  
94 care should be the focus for oral health professions providing care to frail older people.

95 The purpose of the present paper is to explore the associations between frailty and oral health  
96 status and dental service utilisation among participants in the Concord Health and Ageing in Men  
97 Project (CHAMP). It is hypothesized that there are statistically significant associations ( $p \leq 0.05$ )  
98 between frailty and dental outcomes such as edentulism, number of teeth, the extent and severity of  
99 periodontal conditions, untreated dental caries, the use of dental services and self-perception of oral  
100 health status.

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104 **2. MATERIAL AND METHODS**

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106 **2.1 Participants**

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108 CHAMP is a longitudinal epidemiological study of men aged 70-years and over drawn from an  
109 urban area of Sydney – Australia, between 2005 and 2007. The only exclusion criterion was living in a  
110 residential aged care facility. A full description of the project and socio-demographics of the original  
111 1,705 participants was reported by Cumming et al in 2009.<sup>23</sup> Re-evaluation of participants has been  
112 undertaken at 2-, 5- and 8-year intervals. At the 8-year follow-up a standardised comprehensive oral  
113 health assessment was undertaken, and dental health behaviour questions were added to the  
114 nutrition, self-report and clinical questionnaires. The target population of the current study therefore  
115 was the 781 participants from the original CHAMP cohort of 1705 men who were available for re-  
116 examination of their general health situation during the 8th year of follow up (43.1% of the original  
117 sample). Over the 8-year period, 669 men from the original cohort (39.2%) had died. A further 301  
118 men (17.6%) were unable to be contacted, had moved into a residential aged care facility or had  
119 withdrawn from the project because of ill health or other reason over the period. Of the remaining  
120 men, 614 participants were available for dental assessments (78.6% of the 8th year population).  
121 Intraoral assessments were conducted by two calibrated oral health therapists using data collection  
122 standards consistent with the Australian Research Centre for Population Oral Health (ARCPOH)  
123 protocols. The levels of inter-examiner reliability found for this survey were similar to benchmarks  
124 reported for The National Survey of Adult Oral Health (NSAOH) 2004–2006.<sup>24</sup> Details of the oral health  
125 data collection and reproducibility have been previously reported.<sup>25</sup>

126 The study was approved by the Sydney Local Health District Human Ethics Research Committee  
127 (HERC/14/CRGH/17).

128 **2.2 Frailty**

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130 Frailty was defined as meeting three or more of the five criteria identified in the Cardiovascular  
131 Health Study (CHS) by Fried et al<sup>5</sup>: unintentional weight loss, weakness, exhaustion, slow walking  
132 speed and low activity. The so-called Fried Frailty Index is one of the most commonly used definitions  
133 of frailty. Modified criteria were used for weight loss, exhaustion and low activity as CHAMP did not  
134 use exactly the same assessments as the CHS. Weight loss was defined as current weight being at least  
135 15% lower than self-reported heaviest weight (or weight at age 25 if self-reported heaviest weight  
136 was missing). Exhaustion was defined with the Short-form Health Survey (SF-12)<sup>26</sup> question ‘how much  
137 of the time during the past 4 weeks did you have a lot of energy?’ with the responses ‘a little’ or ‘none  
138 of the time’ indicating exhaustion. Low activity was defined as the lowest quintile on the Physical  
139 Activity Scale for the Elderly<sup>27</sup> (<73). Frailty was classified into three groups: robust (0 criteria present);  
140 pre-frail (1-2 criteria present) and frail (three or more criteria present). As an additional aim, data  
141 collected in this study could be compared with national prevalence information on Frailty.

142 **2.3 Oral Health Measurements**

143

144 Tooth loss, replacement teeth, dental caries and periodontal diseases were recorded by two  
145 calibrated oral health therapists. Most participants were assessed in their own homes. The status of  
146 the dentition was reported as dentate if the participant had one or more functional teeth. Those with

147 only root stumps or an implant supported denture were classed as edentate. The number of natural  
148 teeth was categorized in three groups using the same threshold as in the US National Health and  
149 Nutrition Examination Survey (NHANES III)<sup>28</sup>: 1-10, 11-20 and 21 or more teeth. Functional tooth units  
150 (FTU), defined as pairs of opposing teeth (sound, restored and decayed teeth), and artificial teeth on  
151 implant supported, fixed and removable prosthesis, were calculated as described by Kayser<sup>29</sup> and  
152 Ueno et al<sup>30</sup> and dichotomized as: posterior functional tooth units being >7 FTUs. Information about  
153 the dental health behavior of the men was obtained through the clinic questionnaire and were also  
154 dichotomized at recognized cut points, such as: use of dental services (the time from the last dental  
155 visit: <2 years or ≥2 years), reason for last dental visit (check-up or dental problem) and self-rated oral  
156 health (self-rated perception of oral health status: Excellent/Very good/Good or Fair/Poor).

157 Active coronal decayed surface was defined as a cavitation of enamel and dentine caused by the  
158 loss of tooth substance due to caries and assessed and recorded for each coronal tooth surface  
159 present. Periodontal disease was measured only in those men with no contra-indicating medical  
160 condition to pocket depth and gingival probing<sup>25</sup>, providing data on 296 dentate participants. The  
161 prevalence of periodontal diseases was assessed measuring three sites per tooth (mesio-buccal,  
162 buccal and disto-buccal) and calculated using four approaches: first, in terms of Clinical Attachment  
163 Loss (CAL) of ≥ 5mm occurring at 5 or more sites; second, where the Löe and Silness Gingival Index  
164 score (GI) was ≥2; third, using the Centers for Disease Control and Prevention/American Academy of  
165 Periodontology (CDC/AAP) case definitions for severe, moderate, mild and no disease, where severe  
166 periodontitis was defined as having two or more interproximal sites with ≥ 6 mm CAL (not on the same  
167 tooth) AND one or more interproximal site(s) with ≥ 5mm periodontal pocket depth (PPD).  
168 Moderate/mild category comprised two lesser amounts of disease: moderate periodontitis, defined  
169 as two or more interproximal sites with ≥ 4 mm clinical CAL (not on the same tooth) OR two or more  
170 interproximal sites with PPD ≥ 5 mm, also not on the same tooth; and mild periodontitis, defined as ≥  
171 2 interproximal sites with ≥ 3mm CAL and ≥ 2 interproximal sites with ≥ 4mm PPD (not on the same  
172 tooth) or 1 site with ≥ 5mm. Finally, Total periodontitis was defined as the presence of severe or other  
173 periodontitis.<sup>31</sup>

#### 174 **2.4 Covariates**

175 Sociodemographic (age group, country of birth, income, marital status, education), medical  
176 (comorbidities, cognition impairment, depression) and behavioral (smoking history, self-rated general  
177 health) characteristics were the main covariates. Participants were divided into four age groups (78-  
178 79, 80-84, 85-89, 90+). Income was characterised in three groups according to the source:<sup>16</sup> the lowest  
179 category was “pension only”; the intermediate was “pension plus other source”; and the highest  
180 category was “other income” – any possible combinations of superannuation, business, and wage.  
181 Country of birth (COB) was grouped into five categories: Australia; United Kingdom (UK); Greece; Italy;  
182 and other. Marital status was defined as married/de facto, divorced, never married, widowed and  
183 education level as having or not having post-school qualification.

184 Comorbidities (≥2 conditions) were assessed using a standardised questionnaire in which subjects  
185 reported if a physician had ever told them that they had diabetes, thyroid dysfunction, osteoporosis,  
186 Paget's disease, stroke, Parkinson's disease, kidney stones, dementia, depression, epilepsy,  
187 hypertension, heart attack, angina, congestive heart failure, intermittent claudication, chronic  
188 obstructive lung disease, liver disease, chronic kidney disease, cancer, osteo-arthritis or gout.<sup>23</sup>

189 Depressive symptoms were evaluated by the Geriatric Depression Scale, short form (GDS).<sup>32</sup> A total of  
190 five or more depressive symptoms was considered as indicative of possible depression. Cognition was  
191 evaluated by the Mini Mental State Examination (MMSE)<sup>33</sup> using a cut score of 27, indicating some  
192 form of cognition lost under this threshold. Smoking behavior was classed into two categories: never  
193 smoke or past/current smoker. Self-rated perception of general health (SRH) data were obtained and  
194 dichotomised into excellent/good versus fair/poor/very poor.

## 195 **2.5 Statistical Analysis**

196 A descriptive analysis was performed using Chi-square statistical tests presenting the  
197 characteristics of the population according to their frailty status. Then, a descriptive analysis of the  
198 association between frailty (study factor) and the oral-health components (outcomes) showed that  
199 only three oral health variables -Dentition Status, Active Coronal Decayed Surfaces (ACDS) and Self-  
200 rated Oral Health (SROH) were significantly associated with frailty. The next analytic step then  
201 examined the association between the additional general covariates (demographics and general  
202 health components) with each of these three oral health variables (dentition status, ACDS and SROH)  
203 to select potential confounders of the effect of frailty impacting on the oral health variables.  
204 Covariates with  $p < .20$  in the bivariate analyses were included in the multivariate models. Logistic  
205 regression models were used to test the association between frailty and dentition status, frailty and  
206 ACDS and frailty and SROH. Backward elimination was used to identify independent variables which  
207 have most impact on the outcome variables. Confounders adjusted for in each of the multivariate  
208 models are shown on table 3. The data analysis for this paper was generated using SAS Studio 3.7  
209 (Enterprise edition) software - Copyright © 2012-2017, SAS Institute Inc., Cary, NC, USA.

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## 211 **3. RESULTS**

212

213 Table 1 summarises the distribution of the categories of frailty of the 601 men who completed  
214 both the frailty and oral health assessments in CHAMP, by demographic and medical characteristics.

215 Overall, 24.5% of men (n=147) presented as robust. The prevalence of pre-frailty was 56.2%  
216 (n=338) and a further 19.3% (n=116) were classed as frail. There were no statistically significant  
217 differences observed in the prevalence of frailty by country of birth, educational level or smoking  
218 behaviour. However, variation in distribution of men across the three frailty categories was significant  
219 for age, income, marital status, co-morbidity, cognitive impairment, depression and self-rated general  
220 health.

221 Table 2 shows specific dental characteristics and oral health outcomes according to frailty status.  
222 Of the 514 dentate participants with frailty data available, 92 (17.9%) presented with active coronal  
223 decay in one or more tooth surfaces. The proportion of men with dental caries increased across the  
224 three frailty categories from 11.1% in the robust category, to 17.9% of the pre-frail category and 27.4%  
225 of the frail category.

226 The proportion of men with CAL > 5mm at 5 or more sites and GI > 2 at 3 or more sites was high  
227 in all groups regardless of frailty status. Of the 294 men with complete periodontal and frailty data,  
228 83.7% had five or more sites with CAL  $\geq$ 5mm and 79.6% had three or more sites with a GI equal to or  
229 greater than 2. A higher proportion of frail men (83.3%) scored GI 2 or more than robust men (73.2%).  
230 Similarly, there was a higher proportion of participants with five or more sites with a CAL equal to or

231 greater than 5mm among the frail men (88.1%) than the robust men (82.9%). The overall “total  
232 periodontitis” estimates were very high with 83.4% of all men examined for periodontal disease  
233 classed as having “moderate to severe periodontal disease”. A lower proportion of frail men (61.9%)  
234 self-rated their current dental status as “excellent/very good/good” compared with those who were  
235 pre-frail (74.1%) or robust (72.4%).

236 The three statistically significant bivariate associations of frailty with oral health outcomes were  
237 dentate status, active coronal decayed surfaces and self-reported perception of oral health. These  
238 were used as the outcome/dependent variables in the logistic regression modelling associations with  
239 frailty with adjustment for confounding factors: age, income, MMSE, smoking history, COB, GDS and  
240 SRH.

241 Table 3 summarises the findings of the three different models. In unadjusted models the OR for  
242 frailty and edentulism, compared to robust, was 2.49 (95% CI, 1.17-5.30) and for pre-frailty the OR  
243 was 2.14 (95% CI, 1.11-4.13). However, these associations were not maintained statistically significant  
244 after controlling for confounders. The unadjusted OR for active dental caries and frailty was 3.01 (95%  
245 CI, 1.50-6.08) and this remained statistically significant after adjusting for confounders (adjusted OR:  
246 2.46, 95% CI 1.17-5.18). Odds ratios for associations between self-rated oral health and frailty were  
247 not statistically significant in either unadjusted or adjusted models.

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#### 249 **4. DISCUSSION**

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251 There have been few empirical studies specifically looking at the relationships between oral health  
252 and frailty. Much of the literature on the relationship between oral health and frailty has been derived  
253 from a conceptual perspective that oral health has a cumulative effect on frailty throughout life, which  
254 may contribute to the development of additional chronic conditions, generating a network of  
255 interacting and self-perpetuating mechanisms, which can lead quickly to a negative health impact.<sup>34,35</sup>

256 The participants of CHAMP who underwent the oral health assessment showed the expected  
257 distribution of frailty by age and medical and geriatric disability.<sup>22,36-39</sup> This suggests that the sample is  
258 representative of older Australian men. The prevalence of frailty in the participants was 19.3%, with  
259 56.2% falling into the pre-frail category and the remaining 24.5% were categorised as robust.

260 Our original hypotheses that there would an association between frailty and dental outcomes  
261 were only partly supported by our findings. In this study, the dependent variable “oral health status”  
262 was comprised of dentition status, active dental caries and self-rated oral health status, the three  
263 variables which were significantly associated with frailty in the bivariate analysis ( $p \leq 0.05$ ). In the  
264 logistic regression analyses, frailty was significantly associated with dental caries after accounting for  
265 age and country of birth, suggesting that the reason for the higher prevalence of some dental  
266 characteristics in frail older people is likely to be due to factors like age and comorbidity rather than  
267 frailty directly, but further research is required to fully identify these associations.

268 Our findings contrast those of Castrejón-Pérez et al<sup>7</sup> with respect to periodontal disease (where it  
269 was concluded that subjects with “severe periodontitis have 5.3 times the risk of frailty”) but support  
270 their findings that the number of natural teeth were not associated with frailty. Similarly, the report  
271 by de Andrade et al<sup>12</sup> showed a relationship between numbers of teeth and frailty when the edentate

272 were included in the bivariate analyses (when the comparison was made with edentate versus those  
273 with  $\geq 21$  teeth in the frail group), but no association with the pre-frail group. Further, their study  
274 showed no association of frailty with periodontal attachment loss. The de Andrade study is important  
275 as it used the same Fried et al measurements of frailty as CHAMP. They concluded that the only  
276 association between frailty and oral health condition, independent of socio-economic and general  
277 health status, was with the “need for dental prostheses”.

278 Ramsay et al<sup>39</sup> measured similar oral health outcomes and self-perceptions of oral health to our  
279 study in British community-dwelling older men. The same proportion of British men was classified as  
280 frail (19%) as found in our study. Like the Ramsay et al study, our study found associations between  
281 frailty and having a dental problem (in our study measured by the presence of one or more tooth  
282 surfaces actively decayed). The British study also found significant associations between frailty and  
283 edentulism. However, our study did not show a significant association between frailty and dentition  
284 status. The substantive difference between this study and that of Ramsay et al was that our research  
285 questions were sequenced in the alternative direction. Our modelling looked at how frailty may  
286 impact on oral health. Ramsay et al modelled the association and influence of oral health on frailty.  
287 Both methods are valid but tell a different story.

288 In the CHAMP men, using the periodontal estimates favoured by Eke et al,<sup>31</sup> 83.7% had five or  
289 more sites with a CAL of 5mm or more, with 27.9% showing “severe” periodontal disease ( $\geq 2$   
290 interproximal sites with CAL  $\geq 6$ mm and  $\geq 1$  interproximal site with a PD  $\geq 4$ mm). Levy et al<sup>40</sup> used  
291 slightly different definitions for periodontal disease in their early report on adults aged 79 years and  
292 over but showed a similar distribution pattern of periodontal disease. The high level of moderate and  
293 severe periodontal disease in all groups including the robust group makes it unlikely that frailty is  
294 having an impact on periodontal diseases.

295 In the CHAMP study a higher proportion of frail men (27.4%) had  $\geq 1$  active coronal decayed  
296 surfaces, than either pre-frail (17.9%) or robust (11.1%) participants. The probability of having dental  
297 caries was 2.5 times higher in frail older men after allowing for confounders. This contrasts with the  
298 findings by de Andrade and colleagues who used the less sensitive tooth-unit level for measuring  
299 dental caries. Our study however failed to show a relationship between frailty and the use of dental  
300 services, which may have explained differences in treatment outcome. Further, although the bivariate  
301 analysis showed a significantly lower proportion of frail men (61.9%) who viewed their dental health  
302 as “excellent/very good/good” than the pre-frail (74.1%) or robust (72.4%) – this difference was not  
303 significant in the multivariate analysis. Niesten et al<sup>41</sup> interviewed 51 Dutch older people whom were  
304 classified on a slightly to severely frail scale. They identified three main themes relating frailty to oral  
305 health behaviour: oral hygiene routines sustaining a sense of worth; lack of motivation when the  
306 benefits of dental visits or daily tooth brushing were balanced against effort; and structural barriers  
307 “I’d like to, but I can’t”. Within these main themes a further layer of perceptions impacted on oral  
308 health outcomes. Dental care as a lower priority, physical and psychological frailty, and lack of social  
309 support impacted on use of dental services, together with a belief that a dentist could not improve  
310 their oral health. These socio-psychological factors, together with the current clinical literature which  
311 advises dental professionals to be more cautious with irreversible restorative interventions and apply  
312 a minimal interventional approach to the frail older person<sup>2</sup> – may account for the variation in  
313 untreated dental caries in the CHAMP participants. Further, the greater use of general health and  
314 community services by frail CHAMP men but not their use of dental services may be related to the



315 funding differences in Australia between general health services being covered by a national health  
316 insurance scheme (Medicare) while access to dental services is left to the private market.

317 This study has several strengths and limitations. The opportunity to include oral health measures  
318 within a longitudinal, multidisciplinary study of ageing is unique in the Australian context and has been  
319 only occasionally reported internationally. However, the manner in which both independent and  
320 dependent variables have been measured, analysed and reported has varied enormously and is one  
321 of the major limitations in comparing findings across studies. The cross-sectional nature of the  
322 analyses presented in this paper is a limitation as it makes it impossible to identify causal relationships.  
323 The measures used for some components of frailty (weight loss, exhaustion and physical activity) were  
324 slightly different from those used in the Cardiovascular Health Study. However, these changes are  
325 consistent with the original criteria. Noguchi et al<sup>42</sup> showed that the age-specific prevalence of frailty  
326 in CHAMP, using adapted criteria, is very similar to the prevalence in the Cardiovascular Health Study,  
327 the original study that generated the frailty variable that we used. Several other studies have also used  
328 minor variation of the CHS criteria.<sup>17,43</sup> However, it is likely that frail men are under-represented in the  
329 study due to the adaptation of the index. A selection bias may exist whereby the frailty status and oral  
330 health status of the remaining participants may be better than those who moved into a residential  
331 aged care facility or had withdrawn because of ill health or other reason over the period. Therefore,  
332 data presented on the prevalence of frailty and other variables could under-represent the true  
333 prevalence in the community. A further limitation is the relatively small sample size, especially for  
334 periodontal disease, where, due to medical reasons, there was a high exclusion rate for periodontal  
335 assessments of PD (consequently CAL estimates) and gingival probing with respect to ascertaining GI,  
336 resulting in limited statistical power to identify associations for some outcome variables. Another  
337 consideration is that the prevalence of periodontitis was measured assessing only three sites per  
338 tooth, compared with the six sites per tooth used by the CDC/AAP case definitions, which might have  
339 been underestimated in the results. It is recognised also that there is no universal consensus that  
340 periodontal diseases in older adults are as destructive or as clinically significant in older adults as in  
341 younger adults.<sup>44</sup> The assessment of dental health behaviour was based on self-reported data alone  
342 and this can be a limitation. Information on medications were collected but have not been collated or  
343 analysed within the CHAMP data base as yet and this may be another limitation for this study  
344 considering that medications side effects may play a role in poor oral health outcomes.

345

## 346 5. CONCLUSIONS

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348 Frailty was independently associated with the presence of active coronal decayed surface.  
349 However, frailty was not independently associated with any other oral health conditions, dental  
350 service utilisation or self-rated oral health. The prevalence of periodontal disease was high in this  
351 group of older men regardless of whether they were robust or frail. There does not appear to be any  
352 simple connections between frailty and oral health and further research is required.

353

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362

#### 363 CONFLICTS OF INTEREST

364

365 The authors declare that they have no conflict of interest. The authors certify that they comply  
366 with the ethical guidelines for authorship.

367

#### 368 DECLARATION

369

370 The views expressed are those of the authors, not of the funders. Data analysis and interpretation  
371 were carried out by the authors independently of the funding sources based on the available data.  
372 The corresponding author had full access to the survey data and had final responsibility for the  
373 decision to submit for publication. The funding body played no role in the formulation of the design,  
374 methods, subject recruitment, data collection, analysis, or preparation of this paper.

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479 **TABLE 1.** Demographic and general health characteristics of CHAMP men, according to frailty status.

Characteristic	Robust	Pre-frail	Frail	Total	p-value <sup>c</sup>
	n (%)				
<b>Age Group (n=601)</b>					
75-79	28 (19.1)	50 (14.8)	11 (9.5)	89 (14.8)	<0.001
80-84	88 (59.9)	158 (46.7)	37 (31.9)	283 (47.1)	
85-89	28 (19.0)	100 (29.6)	42 (36.2)	170 (28.3)	
90+	3 (2.0)	30 (8.9)	26 (22.4)	59 (9.8)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.2)</b>	<b>116 (19.3)</b>	<b>601 (100.0)</b>	
<b>Country of Birth (n=601)</b>					
Australia	83 (56.5)	170 (50.3)	57 (49.1)	31 (51.6)	0.30
UK	4 (2.7)	13 (3.8)	8 (6.9)	25 (4.2)	
Greece	5 (3.4)	17(5.0)	1 (0.9)	23 (3.8)	
Italy	24 (16.3)	72 (21.3)	25 (21.5)	121 (20.1)	
Other	31 (21.1)	66 (19.5)	25 (21.5)	122 (20.3)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.2)</b>	<b>116 (19.3)</b>	<b>601 (100.0)</b>	
<b>Income source (n=601)</b>					
Pension only	46 (31.3)	134 (39.6)	63 (54.3)	243 (40.4)	<0.01
Pensions+other	38 (25.8)	77 (22.8)	22 (18.9)	137 (22.8)	
Other income	63 (42.9)	127 (37.6)	31 (26.7)	221 (36.8)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.2)</b>	<b>116 (19.3)</b>	<b>601 (100.0)</b>	
<b>Marital Status (n=601)</b>					
Married/De facto	119 (80.9)	244 (72.2)	75 (64.7)	438 (72.9)	<0.01
Widowed	12 (8.2)	66 (19.5)	33 (28.4)	111 (18.5)	
Divorced/separated	6 (4.1)	10 (2.9)	3 (2.6)	19 (3.2)	
Never married/Other	10(6.8)	18 (5.3)	5 (4.3)	33 (5.4)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.2)</b>	<b>116 (19.3)</b>	<b>601 (100.0)</b>	
<b>Post-School Qualification (n=596)</b>					
Yes	92 (63.5)	210 (62.5)	62 (53.9)	364 (61.1)	0.21
No	53 (36.5)	126 (37.5)	53 (46.1)	232 (38.9)	
<b>Total</b>	<b>145 (24.3)</b>	<b>336 (56.4)</b>	<b>115 (19.3)</b>	<b>596 (100.0)</b>	
<b>Number of Co-morbidities (n=601)</b>					
1 disease	81 (55.1)	156 (46.1)	35 (30.2)	272 (45.3)	0.0003
2 or more diseases	66 (44.9)	182 (53.9)	81 (69.8)	329 (54.7)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.2)</b>	<b>116 (19.3)</b>	<b>601 (100.0)</b>	
<b>MMSE<sup>a</sup> score (n=520)</b>					
26 or less	29 (20.7)	76 (26.2)	41 (45.6)	146 (28.1)	0.0001
27 or more	111 (79.3)	214 (73.8)	49 (54.4)	374 (71.9)	
<b>Total</b>	<b>140 (26.9)</b>	<b>290 (55.7)</b>	<b>90 (17.3)</b>	<b>520 (100.0)</b>	
<b>GDS<sup>b</sup> score (n=595)</b>					
<5 score	138 (93.9)	287 (85.9)	64 (56.1)	489 (82.2)	<0.001
5 or more	9 (6.1)	47 (14.1)	50 (43.9)	106 (17.8)	
<b>Total</b>	<b>147 (24.7)</b>	<b>334 (56.1)</b>	<b>114 (19.2)</b>	<b>595 (100.0)</b>	
<b>Smoking (n=601)</b>					
Never	67 (45.6)	129 (38.2)	44 (37.9)	240 (39.9)	0.27
Past/current	80 (54.4)	209 (61.8)	72 (62.1)	361 (60.1)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.2)</b>	<b>116 (19.3)</b>	<b>601 (100.0)</b>	
<b>Self-rated Health (n=600)</b>					
Excellent/Good	127 (86.4)	259 (76.6)	58 (50.4)	444 (74.0)	<0.001
Fair/Poor/V. poor	20 (13.6)	79 (23.4)	57 (49.6)	156 (26.0)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.3)</b>	<b>115 (19.2)</b>	<b>600 (100.0)</b>	

480

481 <sup>a</sup>MMSE= Mini Mental State Examination

482 <sup>b</sup>GDS= Geriatric Depression Scale

483 <sup>c</sup>p-value= Overall p-value

484

485 **TABLE 2.** Oral health characteristics of the CHAMP men according to frailty status

Characteristic	Robust	Pre-frail	Frail	Total	p-value <sup>d</sup>
	n (%)				
<b>Dentition Status (n=601)</b>					
Edentate	12 (8.2)	54 (15.9)	21 (18.1)	87 (14.5)	0.04
Dentate	135 (91.8)	284 (84.0)	95 (81.9)	514 (85.5)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.2)</b>	<b>116 (19.3)</b>	<b>601 (100.0)</b>	
<b>Number of Natural Teeth (n=514)</b>					
1-10	19 (14.1)	62 (21.8)	22 (23.2)	103 (20.0)	0.09
11-20	50 (37.0)	89 (31.3)	39 (41.0)	178 (34.6)	
≥21	66 (48.9)	133 (46.8)	34 (35.8)	233 (45.3)	
<b>Total</b>	<b>135 (26.3)</b>	<b>284 (55.2)</b>	<b>95 (18.5)</b>	<b>514 (100.0)</b>	
<b>Decayed Tooth Surfaces (n=514)</b>					
0	120 (88.9)	233 (82.0)	69 (72.6)	422 (82.1)	0.007
≥ 1	15 (11.1)	51 (17.9)	26 (27.4)	92 (17.9)	
<b>Total</b>	<b>135 (26.3)</b>	<b>284 (55.2)</b>	<b>95 (18.5)</b>	<b>514 (100.0)</b>	
<b>CAL<sup>a</sup> (n=294)</b>					
< 5 sites ≥ 5mm	14 (17.1)	29 (17.1)	5 (11.9)	48 (16.3)	0.70
5 or more sites ≥ 5mm	68 (82.9)	141 (82.9)	37 (88.1)	246 (83.7)	
<b>Total</b>	<b>82 (27.9)</b>	<b>170 (57.8)</b>	<b>42 (14.3)</b>	<b>294 (100.0)</b>	
<b>GI<sup>b</sup> (n=294)</b>					
< 3 sites ≥ GI 2	22 (26.8)	31 (18.2)	7 (16.7)	60 (20.4)	0.23
3 or more sites ≥ GI 2	60 (73.2)	139 (81.8)	35 (83.3)	234 (79.6)	
<b>Total</b>	<b>82 (27.9)</b>	<b>170 (57.8)</b>	<b>42 (14.3)</b>	<b>294 (100.0)</b>	
<b>Perio Severity (n=294)</b>					
No disease	9 (10.9)	25 (14.7)	6 (14.3)	40 (13.6)	0.63
Moderate/Mild	54 (65.9)	94 (55.3)	24 (57.1)	172 (58.5)	
Severe disease	19 (23.2)	51 (30.0)	12 (28.6)	82 (27.9)	
<b>Total</b>	<b>82 (27.9)</b>	<b>170 (57.8)</b>	<b>42 (14.3)</b>	<b>294 (100.0)</b>	
<b>Total periodontitis (n=294)</b>					
No Disease	9 (10.9)	25 (14.7)	6 (14.3)	40 (13.6)	0.71
Moderate to Severe	73 (89.0)	145 (85.3)	36 (85.7)	254 (83.4)	
<b>Total</b>	<b>82 (27.9)</b>	<b>170 (57.8)</b>	<b>42 (14.3)</b>	<b>294 (100.0)</b>	
<b>FTU<sup>c</sup> (n=601)</b>					
< 7 FTU	54 (36.7)	127 (37.6)	48 (41.4)	229 (38.1)	0.71
7-12 FTU	93 (63.3)	211 (62.4)	68 (58.6)	372 (61.9)	
<b>Total</b>	<b>147 (24.5)</b>	<b>338 (56.2)</b>	<b>116 (19.3)</b>	<b>601 (100.0)</b>	
<b>Use of Dental Service (n=597)</b>					
< 2 years	110 (74.8)	228 (68.1)	79 (68.7)	417 (69.8)	0.31
≥ 2 years	37 (25.2)	107 (31.9)	36 (31.3)	180 (30.2)	
<b>Total</b>	<b>147 (24.6)</b>	<b>335 (56.1)</b>	<b>115 (19.3)</b>	<b>597 (100.0)</b>	
<b>Last Dental Visit (n=580)</b>					
Check Up	61(42.4)	134(41.4)	42 (37.5)	237 (40.9)	0.71
Dental Problem	83 (57.6)	190 (58.6)	70 (62.5)	343 (59.1)	
<b>Total</b>	<b>144 (24.8)</b>	<b>324 (55.9)</b>	<b>112 (19.3)</b>	<b>580 (100.0)</b>	
<b>Self-rated Oral Health (n=594)</b>					
Excellent/Very good/Good	105 (72.4)	249 (74.1)	70 (61.9)	424 (71.4)	0.04
Fair/Poor	40 (27.6)	87 (25.9)	43 (38.1)	170 (28.6)	
<b>Total</b>	<b>145 (24.4)</b>	<b>336 (56.6)</b>	<b>113 (19.0)</b>	<b>594 (100.0)</b>	

486

487 <sup>a</sup>CAL=Clinical Attachment Loss

488 <sup>b</sup>GI=Gingival Index

489 <sup>c</sup>FTU=Functional Tooth Units

490 <sup>d</sup>p-value=Overall p-value

491 Note: The dentate sample was N=524; A full periodontal examination (including pocket depth and gingival probing) were  
 492 only carried out on 296 participants with 2 missing values.

493

494 **TABLE 3.** Associations between oral health characteristics and frailty status, unadjusted and adjusted  
 495 for confounders

	Dentition Status <sup>a</sup> (Edentate)	Active Coronal Decayed Surfaces <sup>b</sup> (Yes)	Self-rated oral health <sup>c</sup> (Excellent/V. good/Good)
Odds Ratio (95% Confidence Interval) - p-value			
<b>Unadjusted</b>	<b>n= 601</b>	<b>n=514</b>	<b>n= 594</b>
<b>Robust (reference)</b>	1.00*	1.00**	1.00
<b>Pre-Frail</b>	2.14 (1.11-4.13)	1.75 (0.95-3.24)	1.09 (0.70-1.69)
<b>Frail</b>	2.49 (1.17-5.30)	3.01 (1.50-6.08)	0.62 (0.37-1.05)
<b>Adjusted</b>	<b>n= 520</b>	<b>n= 514</b>	<b>n= 587</b>
<b>Robust (reference)</b>	1.00	1.00*	1.00
<b>Pre-Frail</b>	1.99 (0.94-4.22)	1.60 (0.85-3.01)	1.37 (0.86-2.18)
<b>Frail</b>	1.54 (0.60-3.96)	2.46 (1.17-5.18)	1.28 (0.68-2.39)

496

497 <sup>a</sup> adjusted for: - AGE, INCOME, MMSE, SMOKING - Hosmer-Lemeshow goodness of fit test (p=0.82)

498 <sup>b</sup> adjusted for: - AGE, COB (country of birth) - Hosmer-Lemeshow goodness of fit test (p=0.65)

499 <sup>c</sup> adjusted for: - AGE, GDS, SRH (self-rated general health) - Hosmer-Lemeshow goodness of fit test (p=0.28)

500 \* P<0.05.

501 \*\* P<0.01.

502 Note: For each model, there were different sample numbers due to missing data values. In the dentition status model, the  
 503 unadjusted and adjusted analysis were carried out on N=601 and N=520, respectively; In the Active Coronal Decayed  
 504 Surfaces model, the unadjusted and adjusted analysis were carried out on N=514; In the Self-rated oral health model, the  
 505 unadjusted and adjusted analysis were carried out on N=594 and N=587, respectively;

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