




Review

# The Role of the N-Methyl-D-Aspartate Receptors in Social Behavior in Rodents

Iulia Zoicas \*  and Johannes Kornhuber

Department of Psychiatry and Psychotherapy, University Hospital, Friedrich-Alexander-University Erlangen-Nuremberg, 91054 Erlangen, Germany; johannes.kornhuber@uk-erlangen.de

\* Correspondence: iulia.zoicas@uk-erlangen.de; Tel.: +49-9131-85-46005; Fax: +49-9131-85-36381

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**Abstract:** The appropriate display of social behaviors is essential for the well-being, reproductive success and survival of an individual. Deficits in social behavior are associated with impaired N-methyl-D-aspartate (NMDA) receptor-mediated neurotransmission. In this review, we describe recent studies using genetically modified mice and pharmacological approaches which link the impaired functioning of the NMDA receptors, especially of the receptor subunits GluN1, GluN2A and GluN2B, to abnormal social behavior. This abnormal social behavior is expressed as impaired social interaction and communication, deficits in social memory, deficits in sexual and maternal behavior, as well as abnormal or heightened aggression. We also describe the positive effects of pharmacological stimulation of the NMDA receptors on these social deficits. Indeed, pharmacological stimulation of the glycine-binding site either by direct stimulation or by elevating the synaptic glycine levels represents a promising strategy for the normalization of genetically-induced, pharmacologically-induced or innate deficits in social behavior. We emphasize on the importance of future studies investigating the role of subunit-selective NMDA receptor ligands on different types of social behavior to provide a better understanding of the underlying mechanisms, which might support the development of selective tools for the optimized treatment of disorders associated with social deficits.

**Keywords:** NMDAR agonists; NMDAR antagonists; social investigation; ultrasonic vocalizations; social recognition; inter-male aggression; mating behavior; maternal care; maternal aggression

## 1. Introduction

The majority of the excitatory neurotransmission in the mammalian central nervous system (CNS) is mediated by L-glutamate, which activates both presynaptic and postsynaptic ionotropic and metabotropic receptors (iGluRs and mGluRs). iGluRs are ligand-gated cation channels and can be divided into three classes: the  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxasolepropionic acid (AMPA) receptors, kainate receptors, and N-methyl-D-aspartate (NMDA) receptors [1]. The NMDA receptors are named after the pharmacological agonist to whom they selectively respond and they function as ligand-gated channels. In addition to L-glutamate, NMDA receptors require the binding of a co-agonist, glycine or D-serine, to allow activation of the receptor, which then leads to the opening of a non-selective ion channel that allows the influx of  $\text{Na}^+$  and  $\text{Ca}^{2+}$  into the cell and the efflux of  $\text{K}^+$  out of the cell [2]. Because of their additional property of voltage-gating, the NMDA receptors function as coincidence-detectors. At resting membrane potentials, a hydrated  $\text{Mg}^{2+}$  binds to a site within the channel and inactivates the NMDA receptor. L-glutamate can only activate the receptor when the membrane is sufficiently depolarized to relieve this  $\text{Mg}^{2+}$  blockade. Therefore, the likelihood that L-glutamate leads to channel opening is dependent on the activation of AMPA receptors and membrane depolarization [3].

The NMDA receptors are heteromeric complexes formed of four constituent polypeptides, which arrange to form the ion-conductive pore [4–7]. Most NMDA receptors contain two obligatory GluN1

subunits (also called NR1), which create the ligand binding domain for glycine and D-serine and two GluN2 (also called NR2) subunits, which create the ligand binding domain for L-glutamate. The GluN2 subunits can be of four different types: GluN2A, GluN2B, GluN2C and GluN2D and the expression of these specific GluN2 subunits depends on the developmental age and brain region. As such, GluN2B and GluN2D subunits predominate in the fetal brain and generally decline throughout development, whereas the expression of GluN2A subunits increases after birth and predominates over GluN2B in the olfactory bulb, cortex, hippocampus and cerebellum by postnatal days 14–21 in rats and mice [8–12]. In the mature brain, GluN2C is highly expressed in the cerebellum, whereas GluN2D is highly expressed in subcortical regions and the brainstem. GluN1 and GluN2A are abundantly expressed in virtually all regions of the adult brain [8,13,14], including brain regions involved in social behavior, such as the olfactory bulbs, medial prefrontal cortex, amygdala, striatum, hippocampus, nucleus accumbens, septum and hypothalamus (reviewed in [15,16]). Mature NMDA receptors can be diheterotetrameric (i.e., GluN1/GluN2A or GluN1/GluN2B) and triheterotetrameric (i.e., GluN1/GluN2A/GluN2B) and their function is influenced by the diversity and the combination of their subunits (reviewed in [3,17]). Most cortical, amygdalar and hippocampal NMDA receptors are diheterotetrameric GluN1/GluN2A or GluN1/GluN2B receptors or triheterotetrameric GluN1/GluN2A/GluN2B receptors [13,18,19]. While the GluN2B-containing diheterotetrameric NMDA receptors have a preferential extra-synaptic localization and promote pro-apoptotic and excitotoxic processes, the GluN2A-containing NMDA receptors are predominantly synaptically-localized and may enhance the inhibitory drive of parvalbumin-expressing GABAergic neurons, while avoiding neurotoxic consequences associated with excessive stimulation of extra-synaptic GluN2B-containing receptors [4,19]. Although both excitatory and inhibitory neurons express high levels of GluN2A receptors, the synaptic GluN2A-containing NMDA receptors are present in a much higher density in inhibitory neurons [1,3]. It is estimated that GluN2A-containing NMDA receptors account for about 68% of synaptic currents and only about 27% of extra-synaptic currents in the mature forebrain, whereas the rest is accounted for by GluN2B-containing receptors [20]. It was also suggested that D-serine may be the preferred co-agonist in synaptically-located NMDA receptors, whereas glycine may be the preferred co-agonist in extra-synaptic NMDA receptors [21]. A regulatory GluN3 (also called NR3) subunit may also be present in the receptor complex, particularly during development [22].

The appropriate display of social behavior is critical for the well-being, reproductive success and survival of an individual as it allows for group living and successful interaction with other members of the species, obtaining food and avoiding predation. Social behavior includes all kind of behaviors that bring individuals together, i.e., social interaction and communication, reproductive and parental behavior, as well as all forms of aggressive behavior [23]. The importance of social behavior is also suggested by the high number of psychiatric disorders associated with social deficits, including social anxiety disorder [24], autism spectrum disorders [25], depression [26], schizophrenia [26], Alzheimer's disease [26], alcohol use disorder [27] and fragile X syndrome [28], among others.

In this review we will describe the involvement of the NMDA receptor-mediated neurotransmission in regulating social behavior and summarize some of the strategies aimed at facilitating NMDA receptor function, which were shown to improve deficits in several aspects of social behavior in rodents.

## 2. Role of the NMDA Receptors in Social Interaction

Social interactions are complex behaviors which require multiple cognitive processes and evaluation of social stimuli. A key aspect of social interaction is the motivation of an individual to initiate and respond to another individual. Social interaction is thought to produce a rewarding effect which reinforces and promotes approach behaviors [29,30]. The assessment of social interaction in rodents is based on their preference to spend time with another conspecific rather than remaining alone or to investigate social rather than non-social stimuli, i.e., objects, toys, etc. [31–33]. Rodents with impaired social behavior show decreased interaction or investigation of conspecifics or decreased time spent in a chamber containing an enclosed conspecific in tests such as the social interaction test, social preference test and the three-chambered social approach test (reviewed in [34]).

### 2.1. Genetic Models of Impaired NMDA Receptor Functioning; Effects on Social Interaction

Several studies using genetically modified mice demonstrated the importance of NMDA receptor-mediated neurotransmission in the regulation of social interaction in rodents (Table 1). As such, mice expressing only 5% of normal levels of the essential NMDA receptor GluN1 subunit (NR1(neo<sup>-/-</sup>) mice) show deficits in social interaction when housed with wild-type littermates, i.e., remain physically distant while wild-type littermates sleep piled in a nest [35], initiate and maintain less social interactions [35–37], avoid social interactions with littermates in the social interaction test [35] and spend less time in the social compartment of the three-chambered social approach test [37]. Similarly, knock-out mice with loss of GluN1 subunit in parvalbumin-containing GABAergic interneurons during development also show reduced social motivation and impaired social interaction [38,39]. Belforte et al. [40] have demonstrated deficits in social interaction in mice in which the GluN1 subunit was selectively eliminated in 40–50% of cortical and hippocampal GABAergic interneurons in early postnatal development, but not in the post-adolescent period, suggesting that early postnatal inhibition of the NMDA receptor activity in corticolimbic GABAergic interneurons contributes to the development of social deficits. However, Jacobs and Tsien [41] demonstrated that forebrain-specific GluN1-containing NMDA receptors modulate social motivation independent of neuronal development. As such, profound deficits in social motivation and social investigation were found in adult mice with inducible forebrain-specific deletion of GluN1 subunits prior to sociability testing [41]. A similarly impaired social behavior has been observed in *Grin1<sup>D481N</sup>* mice, a genetic model of chronic and developmentally diminished NMDA receptor glycine site occupancy. These mice have a fivefold decrease in NMDA receptor glycine affinity due to a point mutation in the GluN1 glycine binding site [42] and show impaired social investigation in the three-chambered social approach test [43]. Impaired social investigation has also been described in serine racemase knock-out mice [44], which show an impaired NMDA receptor function due to a 90% reduction in cortical D-serine, an endogenous co-agonist acting on the NMDA receptor glycine-binding site, whose availability is dependent on the activity of the enzyme serine racemase [45].

### 2.2. Effects of the NMDA Receptor Antagonists on Social Interaction

Pharmacological studies also revealed social interaction deficits after administration of several types of NMDA receptor antagonists (Table 2). The NMDA receptor antagonists can be categorised in four classes: competitive antagonists bind to and block the glutamate binding site, glycine antagonists block the glycine binding site, non-competitive antagonists block the allosteric binding sites and un-competitive antagonists block the ion channel by binding to a site within it. Most of the studies used un-competitive antagonists, such as 5R,10S-(+)-5-methyl-10,11-dihydro-5H-dibenzo[a,d]cyclohepten-5,10-imine hydrogen maleate (MK-801, also known as dizocilpine), phencyclidine (PCP) and ketamine, to induce social interaction deficits and to mimic the negative symptoms of schizophrenia. As such, MK-801 decreased social interaction following both acute [46–54] and sub-chronic [55] treatment in adult rats and mice. Similarly, acute [56,57], sub-chronic [58–61] and chronic [62] administration of PCP decreased social interaction in adult rats and mice. Impairments in social interaction were also described in adult rats treated with PCP on postnatal days 7, 9 and 11 [63] and during adolescence on postnatal days 50 and 51 [64], suggesting that inhibition of NMDA receptor activity both during development and adulthood induces long-lasting changes in social behavior. Long-lasting social interaction deficits were also described by Qiao et al. [65] in mice during withdrawal from chronic PCP treatment (for a review see [66]). Similar to MK-801 and PCP, ketamine was shown to decrease social interaction following both acute [67–70] and sub-chronic [71–73] administration in rats and mice.

Interestingly, studies using acute administration of GluN2A and GluN2B subtype-selective competitive antagonists revealed age-dependent differences in the social interaction test. As such, adolescent rats required higher doses of MK-801 and of the GluN2B-preferring antagonist ifenprodil to reduce social interactions than adults did, whereas an opposite age effect was observed after administration of {NVP-AAM 077; P-[[[(15)-1-(4-Bromophenyl)ethyl]amino](1,2,3,4-tetrahydro-2,3-

dioxo-5-quinolaliny]methyl]phosphoric acid tetrasodium salt} (PEAQX), a GluN2A-preferring antagonist [4,49]. These results suggest that social interaction in adolescence is more sensitive to GluN2A-selective antagonism, whereas social interaction in adulthood is more sensitive to NMDA- and GluN2B-selective receptor antagonism and indicate that these differences may be related to different subunit expression patterns during development. As such, GluN2B subunits predominate in the fetal brain and generally decline throughout development, whereas the expression of GluN2A subunits increases after birth and predominates over GluN2B in the cortex, hippocampus and cerebellum by postnatal days 14-21 in rats and mice [8–12]. Interestingly, the NMDA receptor antagonists 1-amino-3,5-dimethyladamantane hydrochloride (memantine) reduced the social interaction deficits in mice prenatally treated with citalopram [74]. These effects of memantine, however, are not so surprising given that prenatal citalopram treatment increased GluN1 expression in the striatum [74]. Similarly, memantine reduced the social interaction deficits in IRSp53 knock-out mice [75] which show increased NMDA receptor function in the hippocampus [76], suggesting that deviations in NMDA receptor functioning in either direction (see also Section 2.3.) lead to deficits in social interaction and that correcting this deviation has beneficial effects.

### 2.3. Effects of the NMDA Receptor Agonists on Social Interaction

Studies using genetically modified mice and pharmacological approaches indicate that a decreased NMDA receptor function is associated with social interaction deficits (Tables 1 and 2). Since direct stimulation of the L-glutamate-binding site of the NMDA receptors can produce excitotoxic neuronal death [77,78], the enhancement of the NMDA receptor function by targeting the glycine-binding site may be more beneficial. There are two feasible ways of stimulating the glycine-binding site of the NMDA receptor, i.e., by direct stimulation of the glycine-binding site and by elevating the synaptic glycine levels. Agonists of the glycine-binding site of the NMDA receptors include glycine, D-serine, D-cycloserine, GLYX-13, vinyl glycine and a series of 3-acylamino-2-aminopropionic acid derivatives [79–81].

The positive effects of D-serine, a full agonist of the glycine-binding site of the NMDA receptors, have been demonstrated in inbred autistic Balb/c mice that show impaired social interaction [82–85] and heightened behavioral sensitivity to MK-801 [86–88] (Table 2). As such, acute administration of D-serine improved social investigation in Balb/c mice and increased the time spent in the social compartment of the three-chambered social approach test [89]. D-serine also improved the social investigation deficits of *Grin1*<sup>D481N</sup> mice, which show decreased NMDA receptor glycine affinity due to a point mutation in the GluN1 glycine binding site [42] and impaired social investigation [46]. Interestingly, long-term increases in D-serine through a genetic inactivation of its catabolic enzyme D-amino acid oxidase (DAO) was shown to reverse the social interaction deficits of *Grin1*<sup>D481N</sup> mice, without altering social investigation in wild-type mice [90], suggesting that overstimulation of the glycine-binding site of the NMDA receptors does not negatively affect social interaction. Furthermore, Nagai et al. [91] have shown that D-serine ameliorates social interaction deficits by potentiating the NMDA receptor activity. As such, mice treated with polyriboinosinic-polyribocytidilic acid (polyI:C; a synthetic analog that elicits viral-like immune responses) during early postnatal development show social interaction deficits which could be improved by D-serine treatment in adulthood, an effect which was antagonized by pretreatment with the un-competitive NMDA-receptor antagonist MK-801 [91].

D-cycloserine, a partial agonist of the glycine-binding site of NMDA receptors was also effective in improving the social interaction deficits observed in autistic Balb/c mice [85,92–94], in autistic BTBR T+tf/J mice [95] and in autistic Shank2 knock-out mice, which show diminished expression of the Shank2 postsynaptic scaffolding protein and decreased function of the GluN2A- and GluN2B-containing NMDA receptors in the hippocampus [96]. Acute administration of D-cycloserine also normalized the autistic-like alterations caused by prenatal exposure to the anticonvulsant drug valproic acid in rats [97,98] and improved the social interaction deficits induced by postnatal PCP treatment in mice, without altering social investigation in control mice [99].

Another way to stimulate the glycine-binding site of the NMDA receptors is by elevating the synaptic glycine levels. This can be achieved by inhibiting the glycine transporter 1 (GlyT1), which removes glycine from the synaptic cleft and is closely associated with the NMDA receptor [100]. Competitive GlyT1 inhibitors such as sarcosine (N-methylglycine), N[3-(4'-fluorophenyl)-3-(4'-phenylphenoxy) propyl]sarcosine (NFPS, a sarcosine analogue) and 3-chloro-N-((S)-[3-(1-ethyl-1H-pyrazol-4-yl)phenyl][(2S)-piperidin-2-yl]methyl)-4-(trifluoromethyl)pyridine-2-carboxamide (TASP0315003) are, therefore, expected to increase the NMDA receptor function by indirectly activating the glycine-binding site. Increasing the NMDA receptor function by both acute and sub-chronic administration of TASP0315003 was shown to reverse PCP-induced deficits in social interaction, without altering social investigation in control mice [101]. Another selective high-affinity competitive GlyT1 inhibitor, 2,4-dichloro-N-((4-(cyclopropylmethyl)-1-(ethylsulfonyl)piperidin-4-yl)methyl)benzamide (VU0410120), improved the social interaction deficits of autistic Balb/c mice [102], further demonstrating the efficacy of GlyT1 inhibitors.

### 3. Role of the NMDA Receptors in Social Communication

Many vertebrates use species-specific vocalizations to communicate information regarding identity, group status, mood, environmental conditions (presence of predators or location of food) and to facilitate mother-offspring interactions. Rats emit three types of ultrasonic vocalizations (USV) depending on age, environmental conditions and affective state. As such, 50 kHz USV are emitted under non-aversive conditions, such as juvenile play [103,104], sexual behavior [105] and during manual tactile stimulation ("tickling") by experimenters [106,107]. These 50 kHz USV are thought to reflect a positive affective state. Rats can also emit 22 kHz USV in aversive situations, such as exposure to predators [108], inescapable pain induced by foot shocks [109], in response to startling noises [110] and during social defeat and inter-male aggression [111]. These 22 kHz USV are thought to reflect a negative affective state. Unlike rats, mice produce different types of USV, which are not indicators of positive or negative affective states but likely function to facilitate or inhibit social interactions [112]. As such, male mice emit USV mainly during mating behaviors (in the presence of females or their pheromones), i.e., 70 kHz "pre-mating" USV, which help to coordinate the mating process, and 40 kHz "mating" USV [113,114]. Female mice, on the other hand, emit USV when alone, searching for pups or in the presence of other females [115]. Rat and mouse pups emit so called "distress-calls" when they are separated from their mother. These USV have frequencies of 40 kHz (rat pups) and 70–100 kHz (mouse pups) and are thought to reflect a negative affective state [112].

#### 3.1. Genetic Models of Impaired NMDA Receptor Functioning; Effects on Social Communication

Altered social communication has been described in genetically modified mice that show deficits in social behavior (Table 1). As such, male NR1(neo-/-) mice that express only 5% of normal levels of the essential NMDA receptor GluN1 subunit show deficits in social interaction [35–37] and fewer "pre-mating" 70 kHz USV during mating behavior [116]. Similarly, knock-out mice with loss of GluN1 subunit in parvalbumin-containing GABAergic interneurons during development, which show reduced social motivation and impaired social interaction [38,39], also show reduced "pre-mating" 70 kHz USV [38]. Reduced social communication through USV was also described in autistic Shank2 knock-out mice, which show decreased function of the GluN2A- and GluN2B-containing NMDA receptors in the hippocampus and impaired social interaction [96]. When allowed to interact with a receptive female, male Shank2 knock-out mice emitted less frequently USV and had a longer latency to make the first call [96]. Serine racemase knock-out mice, which show impaired social investigation and an impaired NMDA receptor function due to a 90% reduction in cortical D-serine [45], also emit fewer USV in the presence of a conspecific [44].

#### 3.2. Effects of the NMDA Receptor Antagonists and Agonists on Social Communication

In rats, diminished social interaction is typically associated with a lower amount of 50 kHz USV [117]. Accordingly, pharmacological manipulations that impair social interaction in rats (see Section 2.2) were

shown to reduce 50 kHz USV (Table 2). Boulay et al. [118] observed a decrease in 50 kHz USV in rats exposed to human tickling after an acute PCP injection. Similarly, 2-ethylamino-2-thiophen-2-yl-cyclohexan-1-one (tiletamine, an un-competitive NMDA receptor antagonist) and ketamine reduced social interaction and accompanying 50 kHz USV in rats after acute administration [70]. Altered social communication was also described in rats prenatally exposed to the anticonvulsant drug valproic acid, which is known to induce an autistic-like phenotype [97,98]. Interestingly, acute administration of D-cycloserine, a partial agonist of the glycine-binding site of the NMDA receptors, reversed the valproic acid-induced deficits in social interaction and reduced the changes in USV [97]. Moskal et al. [119] described a rat line selectively bred for high and low rates of rough-and-tumble play-induced 50 kHz USV. Low line rats show lower social interaction, lower rates of play-induced pro-social 50 kHz USV and an increased proportion of monotonous USV compared with randomly bred rats. While 50 kHz USV were associated with pro-social positive affective states [120], monotonous USV were associated with communication deficits [121–123]. GLYX-13, a partial agonist of the glycine-binding site of the NMDA receptors, increased rates of play-induced pro-social USV and decreased the proportion of monotonous USV, indicating that GLYX-13 can reverse the social communication deficit in low line rats by activating the NMDA receptors [80,119].

Reduced social communication was also described in rat and mice pups treated with several types of NMDA receptor antagonists. As such, separation-induced USV in rat pups were reduced by competitive antagonists (which block the glutamate-binding site), such as D,L-amino-phosphonovaleric acid (AP5), 2-amino-7-phosphonoheptanoic acid (AP7), 3-((+/-)-2-carboxypiperazin-4-yl)-propyl-1-phosphonic acid (CPP) and MDL 100,453 [124–126], by un-competitive antagonists (which block the ion channel by binding to a site within it), such as MK-801 [125] and by glycine antagonists (which block the glycine-binding site), such as 5,7-dichlorokynurenic acid (5,7-DCKA) [125]. Administration of NMDA was effective in both increasing USV by almost 50% and in reversing the effects of AP7 [124]. Interestingly, Takahashi et al. [127] reported that the separation-induced USV in mouse pups are differently affected by high versus low affinity un-competitive antagonists. As such, the high affinity antagonist MK-801 dose-dependently reduced USV, whereas the low affinity NMDA receptor antagonists memantine and neramexane showed biphasic effects and enhanced USV at low to moderate doses. How MK-801 and memantine/neramexane differently modulate USV is yet unclear and needs further investigation, but might relate to different receptor binding kinetics and affinities of these antagonists. High affinity MK-801 has very slow kinetics, whereas low affinity memantine is voltage-dependent and has quick blocking and unblocking kinetics [128,129], which might be due to their diverse binding affinity to different NMDA receptor subtypes [130,131].

#### 4. Role of the NMDA Receptors in Social Memory

Individual recognition in socially living species is essential for the development of normal social relationships and for the establishment of hierarchies that function to limit aggressive interactions and to allow group living [132,133]. In most mammals, social recognition is achieved through information encoded by olfactory and pheromonal signals [134]. The assessment of social memory in rodents is based on their tendency to investigate unfamiliar conspecifics more intensely than familiar ones. Intact social memory is, therefore, indicated by a reduced investigation of previously encountered conspecifics [135] in the social recognition test or in the social discrimination test.

##### 4.1. Genetic Models of Impaired NMDA Receptor Functioning; Effects on Social Memory

Several studies using genetically modified mice demonstrated the importance of NMDA receptor-mediated neurotransmission in the regulation of social memory in rodents (Table 1). As such, GluN2D knock-out mice with deficient expression of the GluN2D subunit of the NMDA receptors exhibited a decreased preference for social novelty, despite normal olfactory function and social interaction [136]. These GluN2D knock-out mice also showed a reduction in the complexity of dendritic trees in the accessory olfactory bulb, suggesting a deficit in pheromone-processing pathway activation which modulates social recognition memory [136]. By using forebrain-specific GluN2A overexpressing

mice and forebrain-specific GluN2B overexpressing mice, Jacobs et al. [137] could demonstrate that postnatal overexpression of the GluN2A subunit of the NMDA receptors impairs social memory, while overexpression of the GluN2B subunit enhances social memory, i.e., prolongs recognition of juveniles and females of the same strain. Furthermore, forebrain-specific GluN2B overexpressing mice showed prolonged recognition of mice of a different strain and rodents of another species [138]. Deficits in social recognition memory were also described in mice in which the GluN1 subunit was selectively eliminated in 40%–50% of cortical and hippocampal GABAergic interneurons during postnatal development, but not during adulthood, suggesting that early postnatal inhibition of the NMDA receptor activity in corticolimbic GABAergic interneurons contributes to the development of social memory deficits [33]. However, Jacobs and Tsien [41] demonstrated that forebrain-specific GluN1-containing NMDA receptors modulate social motivation and social recognition independent of neuronal development. As such, an impaired social memory was observed in adult mice with inducible forebrain-specific deletion of GluN1 subunits prior to behavioral testing [41]. Interestingly, Chiang et al. [139] showed that deletion of the GluN1 subunit gene in the ventral, but not in the dorsal, CA3 pyramidal cells leads to deficits in social memory, while mice lacking the same gene in the DG granule cells showed intact social memory. These results suggest that ventral hippocampal CA3 pyramidal cell plasticity and transmission contribute to the encoding of social stimuli [139].

#### 4.2. Effects of the NMDA Receptor Antagonists and Agonists on Social Memory

Pharmacological studies in rats and mice also revealed deficits in social memory after administration of NMDA receptor antagonists, such as MK-801 [101,140–143], PCP [63,144,145] and ketamine [146] (Table 2). Conversely, enhancement of the NMDA receptor function by targeting either the glutamate-binding site with NMDA [147] or the glycine-binding site was shown to enhance social recognition memory in rodents. As such, D-serine, a full agonist of the glycine-binding site of the NMDA receptors, was shown to prolong social memory in rats [140] and to improve the MK-801-induced deficits in social memory [143]. Pyrroloquinoline quinone (PQQ), a powerful neuroprotectant that specifically binds to the glycine-binding site of the brain NMDA receptors, also improved the MK-801-induced deficits in social memory and even accentuated the effects of D-serine when administered in combination [143]. Inhibition of glycine transporter 1 with the GlyT1-inhibitors N[3-(4'-fluorophenyl)-3-(4'-phenylphenoxy) propyl]sarcosine (NFPS) and 3-chloro-N-((S)-[3-(1-ethyl-1H-pyrazol-4-yl)phenyl][(2S)-piperidin-2-yl]methyl)-4-(trifluoromethyl)pyridine-2-carboxamide (TASP0315003), which increase the NMDA receptor function by indirectly activating the glycine-binding site, was shown to enhance social recognition in treatment-naïve rats and to prevent the MK-801-induced deficits in social memory [101,140]. Similarly, SSR-504734 and SSR130800, other GlyT1-inhibitors, improved the social recognition deficits induced by neonatal PCP treatment in rats [63,144]. SSR130800 was shown to reversibly block glycine uptake in mouse brain cortical homogenates, to increase extracellular levels of glycine in the rat prefrontal cortex and to potentiate NMDA-mediated excitatory postsynaptic currents in rat hippocampal slices [144].

### 5. Role of the NMDA Receptors in Inter-Male Aggression

The occurrence of inter-male aggression in rodents is usually related to territorial defensive behaviors or to the establishment and maintenance of the social status within a group [148]. The aggressive behavior of rodents can be assessed in a resident–intruder paradigm, where an unfamiliar male (intruder) is placed in the home cage of the experimental rodent (resident), which defends its territory and shows aggressive behavior towards the intruder [149,150]. Higher levels of aggression might be obtained when residents are co-housed with females before the test.

Several studies using genetically modified mice and pharmacological approaches investigated the role of NMDA receptor-mediated neurotransmission in the regulation of inter-male aggression in rodents (Tables 1 and 2). As such, mice expressing only 5% of normal levels of the essential NMDA receptor GluN1 subunit (NR1(neo/-) mice) show less aggressive behaviors in a social interaction paradigm [37],

reduced social investigation towards a male intruder mouse and reduced inter-male aggression in a resident-intruder paradigm [35,36], suggesting that the GluN1-containing NMDA receptors promote territorial aggressive behavior. The involvement of the GluN2-containing NMDA receptors in aggressive behavior seems to be more complex as it necessitates a fine-tuned balance of GluN2B expression in the brain. As such, mice lacking ST8 alpha-*N*-acetylneuraminidase 2, an enzyme with important function in neuroplasticity [151], show decreased NMDA receptor currents and a decreased expression of GluN2B, but not of GluN1 and GluN2A, in the lateral amygdala [152]. These St8sia2 knock-out mice show an abnormal aggressive behavior, characterized by an increased number of bites in vulnerable body parts, i.e., throat and belly, and an increased number of bites while the male intruder is already submissive. St8sia2 knock-out mice also show high levels of aggressiveness in a neutral arena against juvenile and female mice, a situation which usually does not trigger aggressive responses in wild-type mice [152]. D-cycloserine, a partial agonist of the glycine-binding site of the NMDA receptors, reversibly increased the NMDA receptor currents in both wild-type and St8sia2 knock-out mice and normalized the abnormal aggressive behavior in St8sia2 knock-out mice [152]. D-cycloserine also increased affiliative behavior in wild-type mice, i.e., increased social investigation and decreased aggression in resident mice [153]. Interestingly, both high- and low-affinity un-competitive antagonists were shown to decrease aggressive behavior in mice. As such, the high-affinity antagonists MK-801 and ketamine decreased bite frequency in resident mice [154] and reduced the number of attacks in socially-isolated mice [155]. However, although social isolation was shown to increase inter-male aggressive behavior in rats and mice [156–158], it increased GluN2A and GluN2B expression in the hippocampus [159], suggesting that the decrease in the NMDA receptor currents induced by MK-801 and ketamine normalizes inter-male aggressive behavior. Similarly, the low-affinity NMDA receptor antagonists memantine and 1-amino-1,3,3,5,5-pentamethyl-cyclohexan hydrochloride (MRZ 2/579) reduced morphine withdrawal-facilitated aggression in mice [160], without affecting inter-male aggressive behavior in morphine-naïve mice [160,161]. Similarly to social isolation, morphine withdrawal was shown to increase inter-male aggressive behavior [162–165] and to increase the GluN2A and GluN2B expression in the frontal cortex and the GluN2B expression in the striatum [166]. It is, therefore, not surprising that the NMDA receptor antagonists reduced aggressive behavior in individuals with increased GluN2A and GluN2B expression in the brain. These results suggest that deviations in GluN2B- and possibly GluN2A-containing NMDA receptor function in either direction leads to abnormal inter-male aggressive behavior and that correcting this deviation has beneficial effects.

## 6. Role of the NMDA Receptors in Sexual Behavior

Male sexual behavior consists of a pattern of genital and somato-motor responses which are induced and maintained by pheromonal, hormonal and neural signals. It includes copulation and pre-copulatory behaviors that allow males to detect a female and facilitate a receptive response [167]. The copulatory behavior consists of mounts, intromissions and ejaculations and can be assessed by exposing males to receptive females [167]. Parameters such as mount latency and intromission latency are indicative of sexual motivation, whereas the latency to ejaculation, the number of mounts and intromissions before ejaculation and the time from ejaculation to the next intromission (i.e., post-ejaculation interval) are measures of sexual performance [167].

Most of the studies investigated the role of the GluN1-containing NMDA receptors in sexual behavior (Tables 1 and 2). As such, NR1(neo-/-) mice, which express only 5% of normal levels of the essential NMDA receptor GluN1 subunit and have a normal function of reproductive organs, did not copulate with receptive wild-type females and failed to produce litters even after extended breeding [35]. This abnormal sexual behavior seems to be facilitated by the impaired sociability of male NR1(neo-/-) mice [35–37], as treatment with clozapine, which increased social approach and reduced social withdrawal in mice [168], also increased copulatory behavior up to 30% of normal levels seen in wild-type males [35]. A decreased breeding efficiency was also reported in mice in which the GluN1 subunit was selectively eliminated in 40%–50% of cortical and hippocampal GABAergic



interneurons in early postnatal development [40]. These mice showed a decreased copulatory behavior, which was not the result of reproductive organ dysfunctions, as pairs that successfully bred produced normal-sized litters. These two studies suggest that impaired GluN1-containing NMDA receptor-mediated neurotransmission induces deficits in copulatory behavior which might be facilitated by the accompanying deficits in social interaction.

Several studies have shown that both systemic and intra-medial preoptic area (MPOA) injections of the un-competitive NMDA receptor antagonist MK-801 impaired sexual behavior in male and female rats [169–171]. As such, systemic administration of MK-801 impaired copulatory behavior in sexually naïve and sexually experienced male rats [171] and impaired female sexual behavior in rats [172]. Injections of MK-801 into the MPOA, a brain region essential for the expression of male sexual behavior [173], impaired copulatory behavior in sexually naïve and sexually experienced rats [169,170], i.e., decreased the numbers of mounts, intromissions and ejaculations and increased the latencies for these measures. Dominguez et al. [169] demonstrated that almost all MPOA neurons that were activated, i.e., expressed c-Fos, following mating contained the GluN1 subunit of the NMDA receptors and that mating increased phosphorylation (activation) of GluN1 in the MPOA. They could also show that blockade of the NMDA receptors by MK-801 in the MPOA decreased mating-induced c-Fos expression and phosphorylation of NMDA receptors and impaired male sexual behavior [169]. These results demonstrate that mating activates the GluN1-containing NMDA receptors in the MPOA and that this activation is important for the expression of male sexual behavior.

## 7. Role of the NMDA Receptors in Maternal Behavior

Maternal behavior consists of a group of behaviors exhibited by the mother that promotes the survival of the offspring and includes maternal care and maternal aggression [174]. Maternal care refers to the nurturing of the offspring and includes behaviors such as nest building, retrieval of pups and crouching over pups, whereas maternal aggression has the function of protecting the pups and the nest [174,175].

There are only a few studies investigating the role of NMDA receptor-mediated neurotransmission in maternal behavior (Tables 1 and 2). As such, impaired pup retrieval, as an indicator of impaired maternal care, was described in autistic Shank2 knock-out mice, which show impaired sociability and a decreased GluN2A- and GluN2B-containing NMDA receptor function in the hippocampus [96]. Severe deficits in maternal behavior were also observed in Hbegf knock-out mice [176], which have a genetic deletion of the heparin-binding epidermal growth factor-like growth factor (HB-EGF). These mice show reduced expression of hippocampal GluN1/GluN2-NMDA receptor subunits and reduced expression of PSD-95, a scaffold protein involved in the NMDA receptor aggregation in the CNS [177]. The impaired maternal behavior of Hbegf knock-out mice was reflected by a lack of nest building, impaired pup retrieval and lack of nursing (lactation behavior), which resulted in a delayed pup growth and decreased pup survival [176].

Muroi and Ishii [178] demonstrated that administration of AP5, a competitive NMDA receptor antagonist, in the dorsal raphe nucleus, a brain region that regulates both maternal care and maternal aggression [179,180], inhibited maternal aggression, but not maternal care. In contrast, injections of NMDA into the dorsal raphe nucleus impaired maternal care, i.e., pup retrieval, pup licking and crouching over the pups, suggesting that glutamatergic inputs to the dorsal raphe nucleus regulate the preferential display of maternal care over maternal aggression [178]. Interestingly, the un-competitive NMDA receptor antagonist MK-801 blocked experienced-based facilitation of maternal care [181]. As such, when unexperienced mothers were administered MK-801 before the first contact with the pups, they showed no maternal care, i.e., crouching over the pups and licking the pups, when tested 10 days later. However, when MK-801 was administered following one hour of contact with the pups, the females showed unaltered maternal care at a later time-point, suggesting that the NMDA receptor-mediated neurotransmission is required for the long-term experienced-based facilitation of maternal care [181].

**Table 1.** Expression of NMDA receptors in animal models with impaired social behavior.

| Species   | NMDA Receptor Functioning   | Behavioral Deficit   | References  |
|---|---|--|---|
| NR1(neo-/-) mice  | Expression of GluN1 only to 5% of normal levels   | Impaired social interaction<br>Impaired social communication<br>Reduced inter-male aggression<br>Impaired sexual behavior                            | [35–37]<br>[116]<br>[35–37]<br>[35]                   |
| PV-selective NR1 knock-out mice                               | Loss of GluN1 subunit in parvalbumin-containing GABAergic interneurons during development   | Impaired social interaction<br>Impaired social communication   | [38,39]<br>[38]                                       |
| <i>Ppp1r2-cre<sup>+/-</sup>; NR1<sup>loxP/loxP</sup></i> mice | Selective elimination of GluN1 in 40%–50% of cortical and hippocampal GABAergic interneurons in early postnatal development, but not in the post-adolescent period            | Impaired social interaction<br>Impaired social memory<br>Impaired sexual behavior  | [40]  |
| iFB knock-out mice  | Inducible forebrain-specific deletion of GluN1 subunits   | Impaired social interaction<br>Impaired social memory  | [41]  |
| CA3 NR1 knock-out mice  | Deletion of the GluN1 subunit gene in the ventral, but not the dorsal, CA3 pyramidal cells  | Impaired social memory   | [139]   |
| DG NR1 knock-out mice   | Deletion of the GluN1 subunit gene in DG granule cells  | Normal social memory   | [139]   |
| GluN2B <sup>2A(CTD)</sup> mice                                | Increased postnatal forebrain expression of the GluN2A subunit  | Impaired social memory   | [137]   |
| GluN2A <sup>2B(CTD)</sup> mice                                | Increased postnatal forebrain expression of the GluN2B subunit  | Enhanced social memory   | [137,138]   |
| GluN2D knock-out mice   | Deficient expression of the GluN2D subunit  | Normal social interaction<br>Impaired social memory  | [136]   |
| Grin1 <sup>D481N</sup> mice                                   | Chronic and developmentally diminished NMDA receptor glycine site occupancy (fivefold decrease in NMDA receptor glycine affinity)   | Impaired social interaction  | [42,43]   |
| Serine racemase knock-out mice                                | Impaired NMDA receptor function due to a 90% reduction in cortical D-serine   | Impaired social interaction<br>Impaired social communication   | [44]  |
| Hbegf knock-out mice  | Reduced expression of hippocampal GluN1/GluN2-NMDA receptor subunits<br>Reduced expression of PSD-95, a scaffold protein involved in the NMDA receptor aggregation in the CNS | Impaired maternal behavior   | [176,177]   |
| Shank2 knock-out mice   | Decreased function of the GluN2A- and GluN2B-containing NMDA receptors in the hippocampus   | Impaired social interaction<br>Impaired social communication<br>Impaired maternal behavior   | [96]  |
| St8sia2 knock-out mice  | Decreased NMDA receptor currents and decreased expression of GluN2B, but not of GluN1 and GluN2A, in the lateral amygdala   | Abnormal (psychopathological) aggressive behavior  | [152]   |
| Socially-isolated mice and rats                               | Increased GluN2A and GluN2B expression in the hippocampus<br>Increased GluN2A expression in the prefrontal cortex   | Impaired social interaction<br>Altered social communication<br>Impaired social memory<br>Increased inter-male aggression<br>Impaired sexual behavior | [182,183]<br>[184]<br>[185]<br>[156–159]<br>[186–188] |
| Mice during morphine withdrawal                               | Increased GluN2A and GluN2B expression in the frontal cortex<br>Increased GluN2B expression in the striatum   | Increased inter-male aggression  | [162–166]   |

NMDA, N-methyl-D-aspartate; NR1, GluN1 subunit; PV, parvalbumin; GABA, gamma aminobutyric acid; iFB, inducible forebrain-specific expression; CA3, cornu ammonis 3; DG, dentate gyrus; NR2, GluN2 subunit.

**Table 2.** Effects of the NMDA receptor agonists and antagonists on social behavior in rodents.

| Action                                      | Drug                             | Species   | Behavioral Change  | References                    |
|---|----------------------------------|---|--|-------------------------------|
| <b>Effects on social interaction</b>        |                                  |   |  |                               |
| Un-competitive NMDA receptor antagonists    | MK-801<br>PCP<br>Ketamine        | Mice and rats   | Decreased social interaction   | [46–55]<br>[56–66]<br>[67–73] |
| NMDA receptor antagonist                    | Memantine                        | IRSp53 knock-out mice<br>Prenatally citalopram-treated mice | Reduced social interaction deficits  | [74,75]                       |
| GluN2A-preferring antagonist                | PEAQX                            | Rats  | Decreased social interaction   | [4,49]                        |
| GluN2B-preferring antagonist                | Ifenprodil                       | Rats  | Decreased social interaction   | [49]                          |
| Full agonist of the glycine-binding site    | D-serine                         | Autistic Balb/c mice  | Reduced social interaction deficits  | [89]                          |
|   |                                  | Grin1 <sup>D481N</sup> mice                                 |  | [90]                          |
|   |                                  | Postnatally polyI:C-treated mice                            |  | [91]                          |
| Partial agonist of the glycine-binding site | D-cycloserine                    | Autistic Balb/c mice  | Reduced social interaction deficits  | [85,92–94]                    |
|   |                                  | Autistic BTBR T+tf/J mice                                   |  | [95]                          |
|   |                                  | Autistic Shank2 knock-out mice                              |  | [96]                          |
|   |                                  | Prenatally VPA-treated mice                                 |  | [98]                          |
|   |                                  | Postnatally PCP-treated mice                                |  | [99]                          |
| GlyT1 inhibitor                             | TASP0315003<br>VU0410120         | Postnatally PCP-treated mice                                | Reduced social interaction deficits  | [101]                         |
|   |                                  | Autistic Balb/c mice  |  | [102]                         |
| <b>Social communication (USV)</b>           |                                  |   |  |                               |
| Un-competitive NMDA receptor antagonists    | PCP<br>Tiletamine<br>Ketamine    | Rats  | Reduced 50 kHz USV   | [118]                         |
|   |                                  |   |  | [70]                          |
|   |                                  |   |  | [70]                          |
| Un-competitive NMDA receptor antagonist     | MK-801                           | Rat pups  | Reduced separation-induced USV   | [125,127]                     |
| Competitive NMDA receptor antagonists       | AP5<br>AP7<br>CPP<br>MDL 100,453 | Rat pups  | Reduced separation-induced USV   | [124,126]                     |
|   |                                  |   |  |                               |
| NMDA receptor antagonists                   | Memantine<br>Neramexane          | Rat pups  | Increased separation-induced USV at low to moderate doses and reduced separation-induced USV at high doses | [127]                         |
| Glycine antagonist                          | 5,7-DCKA                         | Rat pups  | Reduced separation-induced USV   | [125]                         |
| NMDA receptor agonist                       | NMDA                             | Rat pups  | Increased separation-induced USV   | [124]                         |

Table 2. Cont.

| Action                                      | Drug   | Species  | Behavioral Change   | References                      |
|---|--|--|---|---------------------------------|
| Partial agonist of the glycine-binding site | D-cycloserine<br>GLYX-13                       | Prenatally VPA-treated rats<br>Rats selectively bred for low rates of play-induced<br>50 kHz USV | Reduced deficits in social communication<br>Reversed deficits in social communication                         | [97]<br>[80,119]                |
| <b>Social memory</b>                        |  |  |   |                                 |
| Un-competitive NMDA receptor antagonists    | MK-801<br>PCP<br>Ketamine                      | Rats and mice  | Impaired social memory  | [101,140–143]<br>[63,144–146]   |
| Full agonists of the glycine-binding site   | D-serine<br>PQQ                                | Rats<br>MK-801-treated rats  | Enhanced social memory<br>Improved social memory deficits   | [140]<br>[143]                  |
| GlyT1 inhibitors                            | NFPS<br>TASP0315003<br>SSR-504734<br>SSR130800 | Rats<br>MK-801-treated rats<br>Neonatally PCP-treated rats                                       | Enhanced social memory<br>Improved social memory deficits   | [140]<br>[101]<br>[63]<br>[144] |
| <b>Inter-male aggression</b>                |  |  |   |                                 |
| Un-competitive NMDA receptor antagonists    | MK-801<br>Ketamine                             | Socially-isolated mice   | Decreased inter-male aggression   | [155]<br>[154]                  |
| NMDA receptor antagonists                   | Memantine<br>MRZ 2/579                         | Naïve and morphine-treated mice  | Reduced morphine withdrawal-facilitated aggression, but<br>did not affect inter-male aggression in naïve mice | [160,161]<br>[160,161]          |
| Partial agonist of the glycine-binding site | D-cycloserine                                  | Mice<br>St8sia2 knock-out mice   | Decreased inter-male aggression<br>Normalized the abnormal aggressive behavior                                | [153]<br>[152]                  |
| <b>Sexual behavior</b>                      |  |  |   |                                 |
| Un-competitive NMDA receptor antagonist     | MK-801   | Male and female rats   | Impaired sexual behavior  | [169–172]                       |
| <b>Maternal behavior</b>                    |  |  |   |                                 |
| Un-competitive NMDA receptor antagonist     | MK-801   | Rats   | Blocked experienced-based facilitation of maternal care   | [181]                           |
| Competitive NMDA receptor antagonist        | AP5  | Mice   | Impaired maternal aggression, but not maternal care   | [178]                           |
| NMDA receptor agonist                       | NMDA   | Mice   | Impaired maternal care  | [178]                           |

NMDA, N-methyl-D-aspartate; MK-801, 5R,10S-(+)-5-methyl-10,11-dihydro-5H-dibenzo[a,d]cyclohepten-5,10-imine hydrogen maleate; MK-801, dizocilpine, 5R,10S-(+)-5-methyl-10,11-dihydro-5H-dibenzo[a,d]cyclohepten-5,10-imine hydrogen maleate; PCP, phencyclidine; memantine, 1-amino-3,5-dimethyladamantane hydrochloride; PEAQX, [NVP-AAM o77; P-[[[(15)-1-(4-Bromophenyl)ethyl]amino](1,2,3,4-tetrahydro-2,3-dioxo-5-quinoxaliny)methyl]phosphoric acid tetrasodium salt]; polyI:C, polyriboinosinic-polyribocytidilic acid; VPA, valproic acid; GlyT1, glycine transporter 1; TASP0315003, 3-chloro-N-[(S)-[3-(1-ethyl-1H-pyrazol-4-yl)phenyl][(2S)-piperidin-2-yl]methyl]-4-(trifluoromethyl)pyridine-2-carboxamide; VU0410120, 2,4-dichloro-N-[(4-(cyclopropylmethyl)-1-(ethyl sulfonyl)piperidin-4-yl)methyl]benzamide; USV, ultrasonic vocalizations; tiletamine, 2-ethylamino-2-thiophen-2-yl-cyclohexan-1-one; AP5, D,L-amino-phosphonovaleric acid; AP7, 2-amino-7-phosphonoheptanoic acid; CPP, 3-((+/-)-2-carboxypiperazin-4-yl)-propyl-1-phosphonic acid; memantine, 1-amino-3,5-dimethyladamantane hydrochloride; 5,7-DCKA, 5,7-dichlorokynurenic acid; PQQ, pyrroloquinoline quinone; NFPS, N[3-(4'-fluorophenyl)-3-(4'-phenylphenoxy) propyl]sarcosine; MRZ 2/579, 1-amino-1,3,3,5,5-pentamethyl-cyclohexan hydrochloride.

## 8. Conclusions

Recent discoveries from genetically modified mice and pharmacological studies using NMDA receptor antagonists link the impaired function of the NMDA receptors to abnormal social behavior, such as impaired social interaction and communication, deficits in social memory, deficits in sexual and maternal behavior, as well as abnormal or heightened aggression. Pharmacological stimulation of the glycine-binding site, either by direct stimulation or by elevating the synaptic glycine levels, represents a promising strategy for the normalization of genetically-induced, pharmacologically-induced or innate deficits in social behavior. This accumulating evidence and the identification of new subunit-selective NMDA receptor modulators make further studies investigating their potentially beneficial role worthwhile. Apart from providing a better understanding of the neural mechanisms involved and regulated by different NMDA receptor subunits, these investigations might stimulate the development of selective tools for the improved treatment of psychiatric disorders associated with social deficits.

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