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Minimal clinically important differences for patient-reported outcome measures of fatigue in patients with COPD after pulmonary rehabilitation.

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Abbreviations list

AECOPD – Acute exacerbation of Chronic Obstructive Pulmonary Disease

AUC – Area under the curve

CAT – COPD Assessment Test

CI – Confidence interval

CIS-FS – Checklist of individual strength fatigue subscale

COPD – Chronic Obstructive Pulmonary Disease

ES – Effect size

FACIT-FS – Functional assessment of chronic illness therapy fatigue subscale

GRC – Global Rating of Change Scale

LR – Likelihood ratio

MCID – Minimal clinically important difference

MDC – Minimal detectable change

PR – Pulmonary rehabilitation

PROM - Patient-reported outcome measure

ROC – Receiver operating characteristic

SD – Standard deviation

SEM – Standard error of measure

SGRQ – St. George's Respiratory Questionnaire

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Minimal clinically important differences for patient-reported outcome measures of fatigue in patients with COPD after pulmonary rehabilitation.

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4 **1 ABSTRACT:**
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6 Background: Fatigue is a burdensome and prevailing symptom in patients with chronic
7 obstructive pulmonary disease (COPD). Pulmonary rehabilitation (PR) improves fatigue
8 however, interpreting when such improvement is clinically relevant is challenging. Minimal
9 clinically important differences (MCIDs) for instruments assessing fatigue are warranted to
10 better tailor PR and guide clinical decisions. We estimated MCIDs for the functional
11 assessment of chronic illness therapy-fatigue subscale (FACIT-FS), the modified-FACIT-
12 FS and the checklist of individual strength-fatigue subscale (CIS-FS), in patients with COPD
13 after PR.
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20 Methods: Data from patients with COPD who completed a 12-weeks community-based PR
21 programme were used to compute the MCIDs. The pooled MCID was estimated by
22 calculating the arithmetic weighted mean, resulting from the combination of anchor (weight-
23 2/3) and distribution-based (weight-1/3) methods. Anchors were patients' and
24 physiotherapists' global rating of change scale, COPD assessment test, St. George's
25 respiratory questionnaire (SGRQ) and exacerbations. To estimate MCIDs we used mean
26 change, receiver operating characteristic curves and linear regression analysis for anchor-
27 based approaches, and 0.5*standard deviation, standard error of measurement
28 (SEM), 1.96*SEM and minimal detectable change for distribution-based approaches.
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36 Results: Fifty-three patients with COPD (79%male, 68.4±7.6years, FEV₁48.7±17.4%_{predicted})
37 were used in the analysis. Exacerbations, the SGRQ-impact and the SGRQ-total scores
38 fulfilled the requirements to be used as anchors. Pooled MCIDs were 4.7 for FACIT-FS, 3.8
39 for the modified-FACIT-FS and 9.3 for the CIS-FS.
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44 Conclusion: The MCIDs proposed in this study can be used by different stakeholders to
45 interpret PR effectiveness.
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48 Clinical trial registration: NCT03799666 on ClinicalTrials.gov
49

50 **Keywords:** *Exercise *Interpretability *Outcome measurement *Health status * clinical
51 decision-making
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31 INTRODUCTION:

32 Chronic obstructive pulmonary disease (COPD) is highly symptomatic.¹ Although dyspnoea
33 is the symptom most commonly reported,¹ fatigue has been recognised to affect around 50
34 to 70% of patients with COPD.^{2,3} Fatigue is a multi-dimensional and disabling symptom
35 defined as an overwhelming feeling of tiredness and drain of energy.^{4,5} It negatively
36 influences patients' physical, cognitive, psychological and social functioning,^{4,6-8} leads to
37 limited daily functioning and reduced health-related quality of life.^{3,8-10} Fatigue severely
38 impacts on COPD prognosis, being closely associated to exacerbations rate and an
39 independent predictor of mortality.¹⁰⁻¹³

40 Pulmonary rehabilitation (PR) is a fundamental intervention to manage COPD, with known
41 cost-effectiveness in fatigue reduction.^{1,8,14-18} However, the interpretation of PR effects on
42 fatigue remains a challenge due to the lack of well-established minimal clinically important
43 differences (MCID) of patient-reported outcome measures (PROMs) that assess fatigue.¹⁹⁻
44 ²¹ MCIDs establish thresholds for clinical meaningfulness, i.e., determine which is the
45 smallest change in a PROM score that will be perceived as an important improvement for
46 the patient.^{19,21,22} MCIDs for fatigue-related PROMs will establish a therapeutic threshold
47 for PR effectiveness and guide clinical decision-making in the management of patients with
48 COPD.²³⁻²⁵ A wide variety of methods can be used to estimate MCIDs,^{23,24,26-28} among which
49 the following two are distinguished: anchor-based methods, which use an external criterion
50 (e.g., self-reported opinion or clinicians judgements) to provide clinical meaning;^{27,29} and
51 distribution-based methods, that add statistical significance by expressing change scores
52 according to the sample variability and measurement precision.^{27,30} Although the importance
53 of anchor-based approaches in comparison to distribution methods has been advocated,^{23,27}
54 both methodologies present limitations, thus, the recommendation is to triangulate both
55 methods.^{27,28}

56 We determined the MCID of three PROMs commonly used to assess fatigue in patients with
57 COPD, the functional assessment of chronic illness therapy fatigue subscale (FACIT-FS),³¹
58 the modified-FACIT-FS³² and the checklist of individual strength fatigue subscale (CIS-
59 FS).⁴

60 MATERIALS AND METHODS:

61 Study design and population

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4 62 This observational prospective study is integrated into a larger trial (NCT03799666), with
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6 63 ethical approval from the Ethics Committee for Health of the *Administração Regional de*
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8 64 *Saúde do Centro* (Ref. 73/2016) and from the National Committee for Data Protection (no.
9
10 65 7295/2016). All participants signed an informed consent.

11
12 66 Patients diagnosed with COPD,¹ who completed a 12-weeks community-based PR
13
14 67 programme, between January and July 2019, in 6 primary healthcare centres and in the
15
16 68 Respiratory Research and Rehabilitation laboratory (Lab3R) at the School of Health
17
18 69 Sciences, University of Aveiro, were included. Exclusion criteria included the presence of
19
20 70 other respiratory diseases or significant cardiovascular, neurological or musculoskeletal
21
22 71 disease which limited patients' participation in PR. The PR programme consisted of exercise
23
24 72 training sessions twice a week and education and psychosocial sessions once every two
25
26 73 weeks, with two of them targeting specifically the management of fatigue: i) management
27
28 74 of symptoms and strategies of energy conservation and ii) sleep disorders and management
29
30 75 of stress and anxiety. Further information regarding the intervention and education and
31
32 76 psychosocial contents has been previously published.^{33,34} Only participants who attended at
33
34 77 least 8 of the 12-weeks of PR were included.¹

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36 78 A sample size of at least 50 participants is required to determine the MCID of a PROM.^{35,36}
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38 79 Since the drop-out rates during PR programmes range from 20 to 30%,^{37,38} we aimed to
39
40 80 recruit 65 participants.

81 **Data collection**

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42 82 Sociodemographic, anthropometric and clinical data were obtained to characterise the
43
44 83 sample. The Charlson Comorbidity Index³⁹ was used to score the severity of comorbid
45
46 84 conditions. The remaining outcome measures were assessed before (T0) and after PR (T1).
47
48 85 Impact of the disease was assessed with the COPD assessment test (CAT)⁴⁰ and health-
49
50 86 related quality of life with the St. George's respiratory questionnaire (SGRQ).⁴¹

51
52 87 The FACIT-FS is a multi-dimensional 13-item questionnaire assessing tiredness, weakness
53
54 88 and difficulty in handling daily activities due to fatigue, over the previous 7 days.^{12,31} Each
55
56 89 item has a 5-points Likert scale (from "not at all" to "very much"), and scores range from 0
57
58 90 to 52, with higher scores indicating less fatigue.^{31,42} Patients scoring below the cut-off point
59
60 91 of 43 points were considered to have clinically relevant fatigue.⁴³ The FACIT-FS has shown
92
93 92 high internal consistency³² and test-retest reliability,⁴⁴ and good concurrent and
discriminating validity^{32,45} in patients with COPD. A modified version of FACIT-FS,

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4 94 adapted to patients with COPD, has been proposed.³² The modified-FACIT-FS has 9 items
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6 95 and scores range from 0 to 36 points.³²
7

8 96 The CIS-FS⁴ was used to evaluate the fatigue experience. The CIS-FS is an 8-statements
9
10 97 self-reported measure, with a period recall of two weeks, where each item is scored on a 7-
11
12 98 point Likert scale.⁴ Total scores range from 8 to 56, and 3 subgroups can be categorised:
13
14 99 normal fatigue (≤ 26 points), mild fatigue (27-35 points) and severe fatigue (≥ 36 points).⁴⁶
15
16 100 The CIS-FS has shown high internal consistency and test-retest reliability, good concurrent
17
18 101 and criterion validity⁴⁶ and ability to detect change in subjective fatigue.^{2,47-49}

19 102 The global rating of change scale (GRC) is a simple, retrospective and numerical analogue
20
21 103 scale⁵⁰ that asks patients to make a judgement regarding their perceived fatigue after PR and
22
23 104 to compare it with the initial assessment. It was administered only after PR, using an 11-
24
25 105 point Likert scale ranging from -5 (much worse) to +5 (much better) (supplementary
26
27 106 material).⁵⁰

28 107 **Statistical analysis**

29
30 108 Data analysis was performed with IBM SPSS Statistics 24, and plots were designed with
31
32 109 GraphPad Prism 7 and MetaXL 5.3. Paired t-test were used to test significance of changes
33
34 110 in PROMs from T0 to T1. Floor and ceiling effects were checked and deemed inexistent if
35
36 111 less than 15% of the patients scored at the bottom or top of the questionnaires.⁵¹ Outliers
37
38 112 were checked, i.e., inspection of extreme points in plotted graphs from the studied variables,
39
40 113 and excluded if present.⁵²

41 114 MCIDs were established through the combination of anchor-based and distribution-based
42
43 115 methods for the FACIT-FS, modified-FACIT-FS and CIS-FS.^{24,27}

44 116 Anchor-based methods

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47 117 The following measures were explored for their adequacy to be used as anchors:

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49 118 i) Patients referencing: the GRC was used to classify patients' perception of change in
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51 119 fatigue. Significant changes were considered for the GRC higher than 2.⁵⁰
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53 120 ii) Physiotherapists referencing: the GRC was used to ask the physiotherapists running
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55 121 the PR programmes about their perception regarding patients' changes in fatigue.
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57 122 Significant changes were considered for the GRC higher than 2.⁵⁰
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4 123 iii) Questionnaire referencing: changes in CAT and SGRQ were used as external
5 124 criteria to determine the CIS-FS and FACIT-FS MCIDs. The MCIDs for the CAT
6 125 (2 points)⁵³ and for the SGRQ (4 points)⁵⁴ were used to distinguish between patients
7 126 who improved from those who did not improve their fatigue symptoms.

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11 127 iv) Criterion referencing: AECOPD are considered major health events¹ and are
12 128 correlated to worse PROM scores, thus, their occurrence during PR was used as an
13 129 anchor.²⁵

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17 130 Correlations between the potential anchors and each fatigue-related PROM were explored
18 131 using Pearson or point-biserial correlation coefficients. For patients, physiotherapists and
19 132 questionnaire referencing, significant and moderate correlations ($r \geq 0.3$) were established as
20 133 criteria to proceed with the calculation of the MCIDs using anchor-based methods.²⁷ Then,
21 134 three statistical methods were used to compute the MCID: i) mean change in the PROM
22 135 score (between T1 and T0) for patients who reached the anchor MCID;^{22,24} ii) receiver
23 136 operating characteristic (ROC) curves and the corresponding likelihood ratio (LR)
24 137 (interpreted according to McGee),⁵⁵ calculated with the dichotomous variable, i.e., those
25 138 who achieved or not the MCID of the anchor [an area under the curve (AUC) was considered
26 139 adequate if statistically significant and greater than 0.7; the optimal cut-off point was set as
27 140 the point where specificity and sensitivity were both optimised, i.e., the closest point to the
28 141 left corner]⁵⁵ and iii) linear regression analysis, using the Enter method, where the change
29 142 in the fatigue PROMs was used as the dependent variable, and the change score of the anchor
30 143 was considered the independent variable.

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42 144 Regarding criterion referencing, the presence of significant differences in fatigue baseline
43 145 scores between patients who experienced an exacerbation and those who did not was the
44 146 criteria to proceed with the MCID calculation. Independent t-tests were used to explore
45 147 differences and when present, the absolute difference was considered the MCID^{25,56}
46 148 Afterwards, ROC statistics were used to test the PROMs discriminating ability to anticipate
47 149 the occurrence of an AECOPD.

50 150 Distribution-based methods

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55 151 The distribution-based methods used to determine the MCID were:

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57 152 i) 0.5 times standard deviation (SD) at the baseline;²⁶
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4 153 ii) standard error of measurement (SEM), calculated as $SEM = SD_{\text{baseline}} \sqrt{(1-r)}$, where
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6 154 r is the test-retest reliability coefficient;²¹
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8 155 iii) 1.96 times SEM;^{23,28}
9
10 156 iv) minimal detectable change (MDC),^{26,57} calculated as $MDC = 1.96 * SEM * \sqrt{2}$;
11
12 157 v) effect size (ES) through $ES = (\text{mean}_{\text{afterPR}} - \text{mean}_{\text{baseline}}) /$
13
14 $\sqrt{(SD_{\text{afterPR}}^2 + SD_{\text{baseline}}^2) / 2}$. The ES thresholds were ≥ 0.2 for small, ≥ 0.5 for
15
16 159 medium and ≥ 0.8 for large.⁵⁷
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18 160 Pooled MCID

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21 161 There are no guidelines on how to weight anchor- and distribution-based approaches,
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23 162 therefore, based on the authors' best judgement and on previous work,^{58,59} we decided to
24
25 163 attribute 2/3 to anchor-based and 1/3 to distribution-based methods. To pool the final MCID
26
27 164 we calculated the arithmetic weighted mean. The MCIDs generated from the different
28
29 165 methods were entered into the MetaXL 5.3 to create the MCIDs' plots. The percentage of
30
31 166 change of the pooled MCID in relation to the fatigue-related PROMs was also calculated.
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33 167 Previous studies have suggested that MCIDs which fell within the range of 6 to 10% of the
34
35 168 total score,²⁴ correspond to the desirable ES for MCID, i.e., 0.2 to 0.5.^{24,27,57} The ES derived
36
37 169 from the pooled MCID were calculated using the ES formula: $MCID_{ES} =$
38
39 170 $MCID_{\text{pooled}} / \sqrt{(SD_{\text{afterPR}}^2 + SD_{\text{baseline}}^2) / 2}$.

40 171 **RESULTS:**

41 172 A flow diagram of the recruited and included patients is provided in Figure 1.

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43 173 *(Please insert Figure 1 here)*

44
45 174 After outliers' assessment, five participants were excluded since in boxplot analysis, they
46
47 175 presented extreme scores in FACIT-FS and SGRQ-total change scores. Baseline
48
49 176 characteristics of the included sample and of the outliers were not statistically different
50
51 177 ($p > 0.05$). Included patients and drop-outs presented similar baseline characteristics (Table
52
53 178 1).

54
55 179 *(Please insert Table 1 here)*

56
57 180 After PR, significant improvements were found in all PROMs (Table 2): 86.8% of
58
59 181 participants perceived improvements in their fatigue (GRC: 3.0 [2.0-4.0]) and
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4 182 physiotherapists also considered that 86.8% of patients improved (3.0, [2.0-4.0]). No
5 183 ceiling/floor effects were found for the FACIT-FS, modified-FACIT-FS and CIS-FS.

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8 184 *(Please insert Table 2 here)*

9 10 185 **Minimal clinically important differences**

11 12 186 Anchor-based methods

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15 187 Changes in the FACIT-FS and modified-FACIT-FS correlated significantly and moderately
16 188 with changes in the SGRQ-total ($r=-0.330$; $r=-0.439$), -impact scores ($r=-0.409$; $r=-0.474$)
17 189 and with AECOPD ($r_{pb}=-0.277$; $r_{pb}=-0.274$). A significant correlation between changes in
18 190 modified-FACIT-FS and SGRQ-activities scores was also present, however, it was not
19 191 considered since it was inferior to 0.3 ($r=-0.288$). Changes in the CIS-20 FS correlated only
20 192 with AECOPD ($r_{pb}=0.323$), therefore, the remaining anchors were not further analysed. All
21 193 correlations are presented in e-Table 1.

22 23 194 *Questionnaire referencing*

24
25 195 MCIDs for the FACIT-FS derived from the mean change methods were 5.7 points using the
26 196 SGRQ-impact and 4.9 points using the SGRQ-total whereas for the modified-FACIT-FS
27 197 were 4.4 points using SGRQ-impact and 3.9 using SGRQ-total (Table 3). Mean change
28 198 results for all the explored anchors can be found in e-Table 2 and e-Table 3.

29
30 199 The AUCs generated for either FACIT-FS and modified-FACIT-FS using the SGRQ-
31 200 impact/total did not fulfill the requirements, thus, ROC statistics were not used.

32
33 201 Using linear regression, the estimated MCIDs for the FACIT-FS were 3.4 (SGRQ-impact)
34 202 and 3.2 (SGRQ-total) points and for the modified-FACIT-FS were 2.3 points using SGRQ-
35 203 impact and 1.9 points using SGRQ-total (Figure 2).

36
37 204 *(Please insert Figure 2 here)*

38 39 205 *Criterion Referencing*

40
41 206 Mean change method applied for criterion referencing yielded a MCID of 6.4 (95%CI 1.2
42 207 to 11.6; $p=0.044$) points for the FACIT-FS; of 4.7 (95%CI 0.1 to 9.3; $p=0.047$) points for
43 208 the modified-FACIT-FS; and of 9.6 points (95%CI 2.5 to 16.0; $p=0.018$) for CIS-FS (e-
44 209 Table 4).

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4 210 The AUCs generated for all fatigue PROMs were able to distinguish between patients who
5 211 experienced an AECOPD and those who did not (FACIT-FS: AUC=0.71; 95%CI 0.58 to
6 212 0.85; p=0.021/ modified-FACIT-FS: AUC=0.73; 95%CI 0.59 to 0.86; p=0.015/ CIS-FS:
7 213 AUC=0.72; 95%CI 0.57 to 0.87; p=0.019)(e-Figure 1). According to the ROC analysis,
8 214 patients scoring below 32 points on the FACIT-FS or above 43.5 points on the CIS-FS had
9 215 a LR of 2.2 (sensitivity=68%; specificity=69%). Cut-off point found for the modified-
10 216 FACIT-FS was 19.5 points, with a LR of 2.5 (sensitivity=73%; specificity=69%).

17 Distribution-based methods

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19 218 Distribution-based methods for the FACIT-FS, modified-FACIT-FS and CIS-FS are
20 219 presented in Table 3.

23 Pooled MCID

24
25 221 Pooled MCIDs were 4.7 points for the FACIT-FS, 3.8 for the modified-FACIT-FS and 9.3
26 222 points for CIS-FS (Figure 3). Overall MCID pooled statistics are presented in Table 3.

27
28 223 *(Please insert Figure 3 here)*

29
30 224 *(Please insert Table 3 here)*

33 **DISCUSSION:**

34
35 226 This study found pooled MCIDs of 4.7 points for the FACIT-FS, 3.8 points for the modified-
36 227 FACIT-FS and 9.3 points for CIS-FS, following a PR programme in patients with COPD.

37
38 228 Nearly 80% of our sample reported fatigue symptoms, surpassing the 50 to 70% reported in
39 229 previous literature.^{2,3,11,60} These findings call for attention to the tremendous impact and
40 230 burden of fatigue in COPD, emphasising the importance of its routine assessment and the
41 231 need for tailoring therapies to target fatigue. Our results showed significant improvements
42 232 in FACIT-FS, modified-FACIT-FS and CIS-FS following a community-based-PR
43 233 programme, highlighting the effectiveness and the key role of this comprehensive
44 234 intervention in managing fatigue.^{2,16,18}

45
46 235 MCIDs are recognised to be disease-specific²³ and, to our best knowledge, this is the first
47 236 study to establish MCIDs for both FACIT-FS versions and CIS-FS in patients with COPD.
48 237 For the original-FACIT-FS, the MCID has been previously determined in other populations,
49 238 with our estimation being similar to the one reported for rheumatoid arthritis (i.e., 3-4
50 239 points),⁶¹ but smaller than the estimated for the systemic lupus erythematosus (i.e., 5.9

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4 240 points).⁶² These differences are likely to be explained by the dissimilarities among
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6 241 populations and methodologies (longitudinal and within-patient differences vs. cross-
7
8 242 sectional and between patient-differences). Although a MCID of 10 points has been reported
9
10 243 for the CIS-FS,² no information, or reference, regarding its calculation is provided limiting
11
12 244 comparisons between studies.

13
14 245 MCIDs were computed using different approaches and integrating a wide range of anchor-
15
16 246 and distribution-based methods. It is known that MDC yield large estimates and tend to
17
18 247 overestimate MCIDs.^{23,63} Previous research have classified MDC as a benchmark for
19
20 248 moderate to large change, warning that MCIDs could be smaller than MDC.^{23,63} These
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22 249 discrepancies enhance the need to combine anchor-based methods (weighting 2/3), which
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24 250 provide clinical meaning, and distribution-based methods (weight 1/3), which add statistical
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26 251 significance,^{23,27} as previously recommended.^{24,27}

27
28 252 Within the multiple anchor-based approaches used, only the SGRQ and the occurrence of
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30 253 AECOPD fulfilled the criterion to proceed with the MCID calculation, with the latter
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32 254 yielding larger estimations. Regarding either patients' or physiotherapists' GRC, it is
33
34 255 noticeable that most patients/physiotherapists perceived improvements in fatigue, thus the
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36 256 variability of data was reduced, which is known to limit the power of correlations.⁶⁴
37
38 257 Moreover, another hypothetical reason for the lack of correlations is the well-known recall
39
40 258 and administration bias associated to the GRC.^{24,50,65} Fatigue is a complex, multifaceted and
41
42 259 dynamic phenomenon,⁵ and PROMs focus specifically on the perceived fatigability, thus,
43
44 260 do not fully portray fatigue. This complexity might also have impacted our correlations.
45
46 261 Disparities among physiotherapists' GRC and the fatigue PROMs sustain the poor
47
48 262 physician-patient concordance previously stated.⁶⁶

49
50 263 The impact of fatigue on health status and quality of life is irrefutable.^{2,10,11,32} Previous
51
52 264 associations between these outcomes^{2,32} highlight the importance of the SGRQ to determine
53
54 265 fatigue-related MCIDs. The absence of correlations among the CIS-FS and the SGRQ
55
56 266 dimensions might be explained by the conceptual differences between the fatigue PROMs.
57
58 267 While the CIS-FS focuses specifically on the subjective experience of fatigue,⁴ FACIT-FS
59
60 268 integrates two components of fatigue: experience of fatigue and impact of fatigue,⁶⁷
269 probably, the latter is more intimately related to the SGRQ impact-dimension and
270 consequently, to the total-dimension.³² CAT assesses several respiratory symptoms, and
271 only one item is directly related to fatigue (energy). Instead of the CAT-total score, which

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4 272 failed to capture changes in fatigue, it would have been interesting to use as an anchor the
5
6 273 CAT-energy question. However, this was not possible, as the MCID for single CAT-items
7
8 274 is not established.

9
10 275 Similar to previous research,^{11,12} our study, further established the role of fatigue as a
11
12 276 prognostic measure for AECOPD, showing that patients scoring below 32 points on the
13
14 277 FACIT-FS, below 19.5 points on the modified-FACIT-FS and over 43.5 on the CIS-FS have
15
16 278 around 15% increased probability of having an exacerbation (LR from 2.2 to 2.5).⁵⁵
17
18 279 According to our results, all fatigue PROMs used have similar prediction abilities to
19
20 280 distinguish between patients who experienced an AECOPD from those who did not. Thus,
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22 281 these three questionnaires seem to be equally valuable to predict a patient's exacerbation risk
23
24 282 and to adjust the PR programme accordingly (e.g., by further enhancing the education on
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26 283 prevention of exacerbations).⁶⁸

27
28 284 Nevertheless, this study also presents some limitations that should be acknowledged. First,
29
30 285 the PROMs used as referencing questionnaires, i.e., CAT and SGRQ, do not assess fatigue
31
32 286 specifically. To the authors' best knowledge, the chronic respiratory questionnaire is the
33
34 287 only PROM that specifically targets fatigue and has a MCID established for patients with
35
36 288 COPD,⁶⁹ however it could not be used in this study, as it is not culturally adapted for the
37
38 289 Portuguese population. Second, our sample was mainly composed by GOLD B patients,
39
40 290 therefore, the external validity of our study might be reduced. MCIDs should correspond to
41
42 291 a 6 to 10% change in the PROMs scale and to an ES between 0.2 to 0.5.^{24,27,57} The MCID
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44 292 found for CIS-FS corresponded to an ES of 0.7 and 19% change, thus, it may have been
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46 293 overestimated. It is worth noting that, even if no ceiling or floor effects were present, our
47
48 294 sample presented high baseline levels of fatigue, leading to greater room for improvement
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50 295 with treatment, and thus higher MCIDs.^{23,24,26,70} The fact that only the criterion anchor and
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52 296 distribution-based methods were used to compute the MCID for CIS-FS, could have also
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54 297 contributed to overestimate the result. Our overall sample size was not enough to perform
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56 298 sub-analysis according to baseline fatigue or disease severity. This study included
57
58 299 exclusively the physiotherapists GRC, thus providing a limited insight into patients' fatigue,
59
60 300 as PR is a multidisciplinary intervention. Future studies including a Delphi Method would
301
302 be useful to integrate different stakeholders' perspectives.²⁷ A consensus between
303
304 worldwide experts in MCIDs would be extremely helpful to confidently establish the
weights assigned to either anchor- and distribution-based approaches. More studies with
larger samples are required to control for these factors and further validate our estimations.

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4 305 **CONCLUSIONS:**

5
6 306 The present study determined that changes of 4.7 on the FACIT-FS, 3.8 on the modified-
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8 307 FACIT-FS and 9.3 on the CIS-FS represent clinically relevant improvements in fatigue after
9
10 308 PR in patients with COPD. These MCIDs should be interpreted accordingly to each patient
11
12 309 specificities and incorporated into clinical practice to guide different stakeholders in the
13
14 310 decision-making process.

15
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17
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19
20 313 and takes responsibility for the data and the accuracy of data analysis, including and
21
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23
24 315 the design and interpretation of data. PR, AO, LA and CV contributed to data acquisition.
25
26 316 PR performed the analysis and drafted the paper. All authors critically revised the
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32
33 526 **TABLES:**

34
35 527 **Table 1:** Sample characterisation (n=70).

Characteristics	Patients included n=53 (75.7%)	Drop-outs n=17 (24.3%)	p-value
Age, years	68.4±7.6	67±11.3	0.568
Gender, male n (%)	42 (79.2)	12 (70.6)	0.460
BMI, kg/m ²	25.6±4.3	27.2±4.8	0.217
Smoking status, n (%)			0.638
Current	9 (17)	6 (35.3)	
Former	35 (66)	7 (41.2)	
Never	9 (17)	4 (23.5)	
Packs/year	40.5 [26.4-64]	22 [13.3-50.4]	0.057
Exacerbations/year ¹ , n	1 [0-1]	1 [0-2]	0.139
AECOPD hospitalisations ¹ , n (%)	4 (7.5)	4 (23.5)	0.072
Duration of hospitalisations, days	8.2±7.1	10.4±9.4	0.606

COPD-related emergencies¹, n (%)	18 (34)	7 (41.2)	0.589
Lung function (post-bronchodilator)			
FEV₁, l	1.3±0.5	1.4±0.5	0.404
FEV₁, %predicted	48.1±17.4	56.5±19.6	0.101
FEV₁/FVC, %	49.1±14.1	55.9±13	0.077
GOLD stages, n (%)			
I	5 (9.4)	2 (11.8)	0.905
II	19 (35.8)	7 (41.2)	
III	23 (43.4)	7 (41.2)	
IV	6 (11.3)	1 (5.9)	
GOLD groups, n (%)			
A	8 (15.1)	4 (23.5)	0.106
B	34 (64.2)	6 (35.3)	
C	0 (0)	0 (0)	
D	11 (20.8)	7 (41.2)	
CCI, n (%)			
Mild (1-2 points)	7 (13.2)	1 (5.9)	0.389
Moderate (3-4 points)	30 (56.6)	8 (47.1)	
Severe (≥5 points)	16 (30.2)	8 (47.1)	
Medication, n (%)			
Bronchodilators			
SABA	7 (13.2)	1 (5.9)	0.360
SAMA	2 (3.8)	0 (0)	0.393
LABA	6 (11.3)	5 (29.4)	0.102
LAMA	16 (30.2)	10 (58.8)	0.065
LAMA/LABA combination	16 (30.2)	4 (23.5)	0.597
ICS	9 (17)	1 (5.9)	0.226
ICS/LABA combination	23 (43.3)	7 (41.2)	0.872
ICS/LABA/LAMA combination	1 (1.9)	0 (0.0)	0.273
LTRA	2 (3.8)	2 (11.8)	0.217
Xanthines	10 (18.9)	2 (11.8)	0.499

Expectorants	5 (9.4)	1 (5.9)	0.649
Antibiotics	1 (1.9)	0 (0)	0.606
mMRC, points	2 [1-3]	2 [1-3]	0.733
CAT, points	16.9±7.5	16.2±9.2	0.736
SGRQ, points			
Symptoms	55±20.5	45.8±20.1	0.112
Activities	64.8±20.9	50.3±27.8	0.060
Impact	36.6±19.8	27.8±19.1	0.114
Total	48.2±18.6	37.7±19.8	0.050
FACIT-FS, points	33.3±10	37.7±12.8	0.151
No relevant fatigue (>43), n (%)	19 (17)	5 (29.4)	0.214
Relevant fatigue (≤43), n (%)	44 (83)	12 (70.6)	
Modified-FACIT-FS	21.2±7.4	22.7±7.7	0.496
CIS-FS, points	36.9±12.8	32.7±13.9	0.258
Normal fatigue (≤26), n (%)	9 (17)	6 (35.3)	
Mild fatigue, (27-35), n (%)	12 (22.6)	5 (29.4)	0.153
Severe fatigue (≥36), n (%)	32 (60.4)	6 (35.3)	

Notes: Values are presented as mean±standard deviation or median [interquartile range], unless otherwise stated. ¹in the past-year; * p<0.05

Legend: PR – pulmonary rehabilitation; BMI – body mass index; AECOPD – acute exacerbation of chronic obstructive pulmonary disease; FEV₁ – forced expiratory volume in one second; FVC – forced vital capacity; GOLD - Global Initiative for Chronic Obstructive Lung Disease; CCI – Charlson comorbidity index; SABA – short-acting beta-agonists; SAMA – short-acting muscarinic antagonist; LABA – long-acting beta-agonists; LAMA – long-acting muscarinic antagonist; ICS – inhaled corticosteroid; LRTA – leukotriene receptor antagonist; mMRC – modified medical research council questionnaire; CAT – COPD assessment test; SGRQ – St George’s Respiratory Questionnaire; FACIT-FS - Functional assessment of chronic illness therapy fatigue subscale; CIS-FS - Checklist of individual strength fatigue subscale.

542 **Table 2:** Patient-reported outcome measures before and after the community-based
 543 pulmonary rehabilitation programme (n=53).

PROM (points)	Baseline	Post-PR	Δ	95% CI	p-value	ES
CAT	16.9 \pm 7.5	13.0 \pm 6.9	-3.9 \pm 6.7	-5.8 to -2.0	<0.001*	-0.54
SGRQ						
Symptoms	55 \pm 20.5	41.1 \pm 20.5	-13.9 \pm 21.5	-19.8 to -7.9	<0.001*	-0.68
Activities	64.8 \pm 20.9	57.8 \pm 23.5	-7.0 \pm 11.6	-10.2 to -3.8	<0.001*	-0.31
Impact	36.6 \pm 19.8	30.4 \pm 18.7	-6.2 \pm 12.0	-9.5 to -2.8	<0.001*	-0.32
Total	48.2 \pm 18.6	40.6 \pm 18.1	-7.6 \pm 10.4	-10.5 to -4.7	<0.001*	-0.41
FACIT-FS	33.3 \pm 10	36.9 \pm 8.8	3.7 \pm 7.1	1.7 to 5.6	<0.001*	0.38
Modified-FACIT-FS	21.2 \pm 7.4	24.0 \pm 6.9	2.7 \pm 5.5	1.2 to 4.3	0.001	0.38
CIS-20 FS (n=52)	36.9 \pm 12.8	31.1 \pm 13.4	-5.8 \pm 10.2	-8.7 to -3.0	<0.001*	-0.44

544 **Notes:** Values are presented as mean \pm standard deviation. *p<0.05

545 **Legend:** PROM – Patient-reported outcome measure; PR – pulmonary rehabilitation; Δ – mean change; ES – Effect sizes; 95%CI –
 546 95% confidence interval; CAT – COPD assessment test; SGRQ – St George’s Respiratory Questionnaire; FACIT-FS – Functional
 547 assessment of chronic illness therapy fatigue subscale; CIS-20 FS – Checklist of individual strength fatigue subscale.

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563 **Table 3:** Anchor and distribution-based methods used to compute the minimal clinically
 564 important difference of fatigue patient-reported outcome measures.

		FACIT-FS	Modified-FACIT-FS	CIS-FS
SGRQ- Impact	Mean change	5.7 (3.3 to 8.1)	4.4 (2.4 to 6.4)	-
	ROC	-	-	-
	Linear regression	3.4 (2.1 to 4.7)	2.3 (1.2 to 3.3)	-
SGRQ- Total	Mean change	4.9 (2.5 to 7.2)	3.9 (2.0 to 5.9)	-
	ROC	-	-	-
	Linear regression	3.2 (1.7 to 4.6)	1.9 (0.7 to 3.1)	-
AECOPD	Mean change	6.4 (1.2 to 11.6)	4.7 (0.1 to 9.3)	9.6 (3.2 to 15.9)
	0.5SD	4.3	3.7	6.4
	SEM	2.6	2.2	5.0
	1.96SEM	5.1	4.4	9.7
	MDC	7.2	6.2	13.8
	ES	0.42	0.38	-0.44
	Pooled MCID	4.7	3.8	9.3
	% of change	9.1	10.6	19.3
	MCID ES	0.5	0.5	0.7

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Notes: Values are presented as mean and 95% confidence intervals. % of change was computed within each scale range. The MCID ES are compute as the MCID value divided by the pooled SD.

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Legend: FACIT-FS – Functional assessment of chronic illness therapy fatigue subscale; CIS-20 FS – Checklist of individual strength fatigue subscale; SGRQ – St George’s Respiratory Questionnaire; ROC – Receiver operating characteristic curves; SD – standard deviation; SEM – standard error of measurement; MDC – minimal detectable change; ES – effect size; MCID - minimal clinically important difference.

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4 575 **FIGURE LEGEND:**
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6 576 **Figure 1:** Flow diagram of participants recruited and included in the study. COPD – Chronic
7 obstructive pulmonary disease; PR – pulmonary rehabilitation; AECOPD – acute
8 577 exacerbation of chronic obstructive pulmonary disease.
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12 579 **Figure 2:** Linear regression between changes in the A) Functional Assessment of Chronic
13 Illness Therapy Fatigue Subscale (FACIT-FS) and changes in the St George’s Respiratory
14 580 Questionnaire (SGRQ)-impact; B) FACIT-FS and changes in the SGRQ-total score; C)
15 581 modified-FACIT-FS and changes in the SGRQ-impact; D) modified-FACIT-FS and
16 582 changes in the SGRQ-total score (n=53).
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21 584 **Figure 3:** Plots of the pooled minimal clinically important differences (MCID) for the: A)
22 585 Functional Assessment of Chronic Illness Therapy Fatigue Subscale (FACIT-FS); B)
23 586 modified-FACIT-FS; C - Checklist of individual strength fatigue subscale (CIS-FS). The
24 587 plots represent the MCID estimates derived in this study, and where appropriated the
25 588 estimates include the 95% confidence interval (n=53). AECOPD – acute exacerbation of
26 589 chronic obstructive pulmonary disease; SGRQ – St. George Respiratory Questionnaire; SD
27 – standard deviation; SEM – standard error of measurement; MDC – minimal detectable
28 590 change.
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Minimal clinically important differences for patient-reported outcome measures of fatigue in patients with COPD after pulmonary rehabilitation.

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4 **ABSTRACT:**
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6 Background: Fatigue is a burdensome and prevailing symptom in patients with chronic
7 obstructive pulmonary disease (COPD). Pulmonary rehabilitation (PR) improves fatigue
8 however, interpreting when such improvement is clinically relevant is challenging. Minimal
9 clinically important differences (MCIDs) for instruments assessing fatigue are warranted to
10 better tailor PR and guide clinical decisions. We estimated MCIDs for the functional
11 assessment of chronic illness therapy-fatigue subscale (FACIT-FS), **the modified-FACIT-**
12 **FS** and the checklist of individual strength-fatigue subscale (CIS-FS), in patients with COPD
13 after PR.

14 Methods: Data from patients with COPD who completed a 12-weeks community-based PR
15 programme were used to compute the MCIDs. **The pooled MCID was estimated by**
16 **calculating the arithmetic weighted mean, resulting from the combination of anchor (weight-**
17 **2/3) and distribution-based (weight-1/3) methods.** Anchors were patients' and
18 physiotherapists' global rating of change scale, COPD assessment test, St. George's
19 respiratory questionnaire (SGRQ) and exacerbations. To estimate MCIDs we used mean
20 change, receiver operating characteristic curves and linear regression analysis for anchor-
21 based approaches, and 0.5*standard deviation, standard error of measurement
22 (SEM), 1.96*SEM and minimal detectable change for distribution-based approaches.

23 Results: **Fifty-three** patients with COPD (**79%male, 68.4±7.6years, FEV₁48.7±17.4%_{predicted}**)
24 were used in the analysis. Exacerbations, the SGRQ-impact and the SGRQ-total scores
25 fulfilled the requirements to be used as anchors. Pooled MCIDs were 4.7 for FACIT-FS, **3.8**
26 **for the modified-FACIT-FS** and 9.3 for the CIS-FS.

27 Conclusion: The MCIDs **proposed in this study can be used by different stakeholders** to
28 interpret PR effectiveness.

29 Clinical trial registration: NCT03799666 on ClinicalTrials.gov

30 **Keywords:** *Exercise *Interpretability *Outcome measurement *Health status * clinical
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31 INTRODUCTION:

32 Chronic obstructive pulmonary disease (COPD) is highly symptomatic.¹ Although dyspnoea
33 is the symptom most commonly reported,¹ fatigue has been recognised to affect around 50
34 to 70% of patients with COPD.^{2,3} Fatigue is a multi-dimensional and disabling symptom
35 defined as an overwhelming feeling of tiredness and drain of energy.^{4,5} It negatively
36 influences patients' physical, cognitive, psychological and social functioning,^{4,6-8} leads to
37 limited daily functioning and reduced health-related quality of life.^{3,8-10} Fatigue severely
38 impacts on COPD prognosis, being closely associated to exacerbations rate and an
39 independent predictor of mortality.¹⁰⁻¹³

40 Pulmonary rehabilitation (PR) is a fundamental intervention to manage COPD, with known
41 cost-effectiveness in fatigue reduction.^{1,8,14-18} However, the interpretation of PR effects on
42 fatigue remains a challenge due to the lack of well-established minimal clinically important
43 differences (MCID) of patient-reported outcome measures (PROMs) that assess fatigue.¹⁹⁻
44 ²¹ MCIDs establish thresholds for clinical meaningfulness, i.e., determine which is the
45 smallest change in a PROM score that will be perceived as an important improvement for
46 the patient.^{19,21,22} MCIDs for fatigue-related PROMs will establish a therapeutic threshold
47 for PR effectiveness and guide clinical decision-making in the management of patients with
48 COPD.²³⁻²⁵ A wide variety of methods can be used to estimate MCIDs,^{23,24,26-28} among which
49 the following two are distinguished: anchor-based methods, which use an external criterion
50 (e.g., self-reported opinion or clinicians judgements) to provide clinical meaning;^{27,29} and
51 distribution-based methods, that add statistical significance by expressing change scores
52 according to the sample variability and measurement precision.^{27,30} Although the importance
53 of anchor-based approaches in comparison to distribution methods has been advocated,^{23,27}
54 both methodologies present limitations, thus, the recommendation is to triangulate both
55 methods.^{27,28}

56 We determined the MCID of three PROMs commonly used to assess fatigue in patients with
57 COPD, the functional assessment of chronic illness therapy fatigue subscale (FACIT-FS),³¹
58 the modified-FACIT-FS³² and the checklist of individual strength fatigue subscale (CIS-
59 FS).⁴

60 MATERIALS AND METHODS:

61 Study design and population

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4 62 This observational prospective study is integrated into a larger trial (NCT03799666), with
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6 63 ethical approval from the Ethics Committee for Health of the *Administração Regional de*
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8 64 *Saúde do Centro* (Ref. 73/2016) and from the National Committee for Data Protection (no.
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10 65 7295/2016). All participants signed an informed consent.

11 66 Patients diagnosed with COPD,¹ who completed a 12-weeks community-based PR
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13 67 programme, between January and July 2019, in 6 primary healthcare centres and in the
14
15 68 Respiratory Research and Rehabilitation laboratory (Lab3R) at the School of Health
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17 69 Sciences, University of Aveiro, were included. Exclusion criteria included the presence of
18
19 70 other respiratory diseases or significant cardiovascular, neurological or musculoskeletal
20
21 71 disease which limited patients' participation in PR. The PR programme consisted of exercise
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23 72 training sessions twice a week and education and psychosocial sessions once every two
24
25 73 weeks, with two of them targeting specifically the management of fatigue: i) management
26
27 74 of symptoms and strategies of energy conservation and ii) sleep disorders and management
28
29 75 of stress and anxiety. Further information regarding the intervention and education and
30
31 76 psychosocial contents has been previously published.^{33,34} Only participants who attended at
32
33 77 least 8 of the 12-weeks of PR were included.¹

34 78 A sample size of at least 50 participants is required to determine the MCID of a PROM.^{35,36}
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36 79 Since the drop-out rates during PR programmes range from 20 to 30%,^{37,38} we aimed to
37
38 80 recruit 65 participants.

81 **Data collection**

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41 82 Sociodemographic, anthropometric and clinical data were obtained to characterise the
42
43 83 sample. The Charlson Comorbidity Index³⁹ was used to score the severity of comorbid
44
45 84 conditions. The remaining outcome measures were assessed before (T0) and after PR (T1).
46
47 85 Impact of the disease was assessed with the COPD assessment test (CAT)⁴⁰ and health-
48
49 86 related quality of life with the St. George's respiratory questionnaire (SGRQ).⁴¹

50
51 87 The FACIT-FS is a multi-dimensional 13-item questionnaire assessing tiredness, weakness
52
53 88 and difficulty in handling daily activities due to fatigue, over the previous 7 days.^{12,31} Each
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55 89 item has a 5-points Likert scale (from "not at all" to "very much"), and scores range from 0
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57 90 to 52, with higher scores indicating less fatigue.^{31,42} Patients scoring below the cut-off point
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59 91 of 43 points were considered to have clinically relevant fatigue.⁴³ The FACIT-FS has shown
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92 high internal consistency³² and test-retest reliability,⁴⁴ and good concurrent and
93 discriminating validity^{32,45} in patients with COPD. A modified version of FACIT-FS,

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4 94 adapted to patients with COPD, has been proposed.³² The modified-FACIT-FS has 9 items
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6 95 and scores range from 0 to 36 points.³²
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8 96 The CIS-FS⁴ was used to evaluate the fatigue experience. The CIS-FS is an 8-statements
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10 97 self-reported measure, with a period recall of two weeks, where each item is scored on a 7-
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12 98 point Likert scale.⁴ Total scores range from 8 to 56, and 3 subgroups can be categorised:
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14 99 normal fatigue (≤ 26 points), mild fatigue (27-35 points) and severe fatigue (≥ 36 points).⁴⁶
15
16 100 The CIS-FS has shown high internal consistency and test-retest reliability, good concurrent
17
18 101 and criterion validity⁴⁶ and ability to detect change in subjective fatigue.^{2,47-49}

19 102 The global rating of change scale (GRC) is a simple, retrospective and numerical analogue
20
21 103 scale⁵⁰ that asks patients to make a judgement regarding their perceived fatigue after PR and
22
23 104 to compare it with the initial assessment. It was administered only after PR, using an 11-
24
25 105 point Likert scale ranging from -5 (much worse) to +5 (much better) (supplementary
26
27 106 material).⁵⁰

28 107 **Statistical analysis**

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30 108 Data analysis was performed with IBM SPSS Statistics 24, and plots were designed with
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32 109 GraphPad Prism 7 and MetaXL 5.3. Paired t-test were used to test significance of changes
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34 110 in PROMs from T0 to T1. Floor and ceiling effects were checked and deemed inexistent if
35
36 111 less than 15% of the patients scored at the bottom or top of the questionnaires.⁵¹ Outliers
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38 112 were checked, i.e., inspection of extreme points in plotted graphs from the studied variables,
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40 113 and excluded if present.⁵²

41 114 MCIDs were established through the combination of anchor-based and distribution-based
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43 115 methods for the FACIT-FS, modified-FACIT-FS and CIS-FS.^{24,27}

44 116 Anchor-based methods

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47 117 The following measures were explored for their adequacy to be used as anchors:

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50 118 i) Patients referencing: the GRC was used to classify patients' perception of change in
51
52 119 fatigue. Significant changes were considered for the GRC higher than 2.⁵⁰
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54 120 ii) Physiotherapists referencing: the GRC was used to ask the physiotherapists running
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56 121 the PR programmes about their perception regarding patients' changes in fatigue.
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58 122 Significant changes were considered for the GRC higher than 2.⁵⁰
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4 123 iii) Questionnaire referencing: changes in CAT and SGRQ were used as external
5 124 criteria to determine the CIS-FS and FACIT-FS MCIDs. The MCIDs for the CAT
6 125 (2 points)⁵³ and for the SGRQ (4 points)⁵⁴ were used to distinguish between patients
7 126 who improved from those who did not improve their fatigue symptoms.

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11 127 iv) Criterion referencing: AECOPD are considered major health events¹ and are
12 128 correlated to worse PROM scores, thus, their occurrence during PR was used as an
13 129 anchor.²⁵

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17 130 Correlations between the potential anchors and each fatigue-related PROM were explored
18 131 using Pearson or point-biserial correlation coefficients. For patients, physiotherapists and
19 132 questionnaire referencing, significant and moderate correlations ($r \geq 0.3$) were established as
20 133 criteria to proceed with the calculation of the MCIDs using anchor-based methods.²⁷ Then,
21 134 three statistical methods were used to compute the MCID: i) mean change in the PROM
22 135 score (between T1 and T0) for patients who reached the anchor MCID;^{22,24} ii) receiver
23 136 operating characteristic (ROC) curves and the corresponding likelihood ratio (LR)
24 137 (interpreted according to McGee),⁵⁵ calculated with the dichotomous variable, i.e., those
25 138 who achieved or not the MCID of the anchor [an area under the curve (AUC) was considered
26 139 adequate if statistically significant and greater than 0.7; the optimal cut-off point was set as
27 140 the point where specificity and sensitivity were both optimised, i.e., the closest point to the
28 141 left corner]⁵⁵ and iii) linear regression analysis, using the Enter method, where the change
29 142 in the fatigue PROMs was used as the dependent variable, and the change score of the anchor
30 143 was considered the independent variable.

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42 144 Regarding criterion referencing, the presence of significant differences in fatigue baseline
43 145 scores between patients who experienced an exacerbation and those who did not was the
44 146 criteria to proceed with the MCID calculation. Independent t-tests were used to explore
45 147 differences and when present, the absolute difference was considered the MCID^{25,56}
46 148 Afterwards, ROC statistics were used to test the PROMs discriminating ability to anticipate
47 149 the occurrence of an AECOPD.

50 150 Distribution-based methods

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55 151 The distribution-based methods used to determine the MCID were:

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57 152 i) 0.5 times standard deviation (SD) at the baseline;²⁶
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4 153 ii) standard error of measurement (SEM), calculated as $SEM = SD_{\text{baseline}} \sqrt{(1-r)}$, where
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6 154 r is the test-retest reliability coefficient;²¹
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8 155 iii) 1.96 times SEM;^{23,28}
9
10 156 iv) minimal detectable change (MDC),^{26,57} calculated as $MDC = 1.96 * SEM * \sqrt{2}$;
11
12 157 v) effect size (ES) through $ES = (\text{mean}_{\text{afterPR}} - \text{mean}_{\text{baseline}}) /$
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14 $\sqrt{(SD_{\text{afterPR}}^2 + SD_{\text{baseline}}^2) / 2}$. The ES thresholds were ≥ 0.2 for small, ≥ 0.5 for
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16 159 medium and ≥ 0.8 for large.⁵⁷

160 Pooled MCID

161 There are no guidelines on how to weight anchor- and distribution-based approaches,
162 therefore, based on the authors' best judgement and on previous work,^{58,59} we decided to
163 attribute 2/3 to anchor-based and 1/3 to distribution-based methods. To pool the final MCID
164 we calculated the arithmetic weighted mean. The MCIDs generated from the different
165 methods were entered into the MetaXL 5.3 to create the MCIDs' plots. The percentage of
166 change of the pooled MCID in relation to the fatigue-related PROMs was also calculated.
167 Previous studies have suggested that MCIDs which fell within the range of 6 to 10% of the
168 total score,²⁴ correspond to the desirable ES for MCID, i.e., 0.2 to 0.5.^{24,27,57} The ES derived
169 from the pooled MCID were calculated using the ES formula: $MCID_{ES} =$
170 $MCID_{\text{pooled}} / \sqrt{(SD_{\text{afterPR}}^2 + SD_{\text{baseline}}^2) / 2}$.

171 **RESULTS:**

172 A flow diagram of the recruited and included patients is provided in Figure 1.

173 *(Please insert Figure 1 here)*

174 After outliers' assessment, five participants were excluded since in boxplot analysis, they
175 presented extreme scores in FACIT-FS and SGRQ-total change scores. Baseline
176 characteristics of the included sample and of the outliers were not statistically different
177 ($p > 0.05$). Included patients and drop-outs presented similar baseline characteristics (Table
178 1).

179 *(Please insert Table 1 here)*

180 After PR, significant improvements were found in all PROMs (Table 2): 86.8% of
181 participants perceived improvements in their fatigue (GRC: 3.0 [2.0-4.0]) and

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4 182 physiotherapists also considered that 86.8% of patients improved (3.0, [2.0-4.0]). No
5 183 ceiling/floor effects were found for the FACIT-FS, modified-FACIT-FS and CIS-FS.

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8 184 *(Please insert Table 2 here)*

9 10 185 **Minimal clinically important differences**

11 12 186 Anchor-based methods

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15 187 Changes in the FACIT-FS and modified-FACIT-FS correlated significantly and moderately
16 188 with changes in the SGRQ-total ($r=-0.330$; $r=-0.439$), -impact scores ($r=-0.409$; $r=-0.474$)
17 189 and with AECOPD ($r_{pb}=-0.277$; $r_{pb}=-0.274$). A significant correlation between changes in
18 190 modified-FACIT-FS and SGRQ-activities scores was also present, however, it was not
19 191 considered since it was inferior to 0.3 ($r=-0.288$). Changes in the CIS-20 FS correlated only
20 192 with AECOPD ($r_{pb}=0.323$), therefore, the remaining anchors were not further analysed. All
21 193 correlations are presented in e-Table 1.

22 23 194 *Questionnaire referencing*

24
25 195 MCIDs for the FACIT-FS derived from the mean change methods were 5.7 points using the
26 196 SGRQ-impact and 4.9 points using the SGRQ-total whereas for the modified-FACIT-FS
27 197 were 4.4 points using SGRQ-impact and 3.9 using SGRQ-total (Table 3). Mean change
28 198 results for all the explored anchors can be found in e-Table 2 and e-Table 3.

29
30 199 The AUCs generated for either FACIT-FS and modified-FACIT-FS using the SGRQ-
31 200 impact/total did not fulfill the requirements, thus, ROC statistics were not used.

32
33 201 Using linear regression, the estimated MCIDs for the FACIT-FS were 3.4 (SGRQ-impact)
34 202 and 3.2 (SGRQ-total) points and for the modified-FACIT-FS were 2.3 points using SGRQ-
35 203 impact and 1.9 points using SGRQ-total (Figure 2).

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37 204 *(Please insert Figure 2 here)*

38 39 205 *Criterion Referencing*

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41 206 Mean change method applied for criterion referencing yielded a MCID of 6.4 (95%CI 1.2
42 207 to 11.6; $p=0.044$) points for the FACIT-FS; of 4.7 (95%CI 0.1 to 9.3; $p=0.047$) points for
43 208 the modified-FACIT-FS; and of 9.6 points (95%CI 2.5 to 16.0; $p=0.018$) for CIS-FS (e-
44 209 Table 4).

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4 210 The AUCs generated for all fatigue PROMs were able to distinguish between patients who
5 211 experienced an AECOPD and those who did not (FACIT-FS: AUC=0.71; 95%CI 0.58 to
6 212 0.85; p=0.021/ modified-FACIT-FS: AUC=0.73; 95%CI 0.59 to 0.86; p=0.015/ CIS-FS:
7 213 AUC=0.72; 95%CI 0.57 to 0.87; p=0.019)(e-Figure 1). According to the ROC analysis,
8 214 patients scoring below 32 points on the FACIT-FS or above 43.5 points on the CIS-FS had
9 215 a LR of 2.2 (sensitivity=68%; specificity=69%). Cut-off point found for the modified-
10 216 FACIT-FS was 19.5 points, with a LR of 2.5 (sensitivity=73%; specificity=69%).

17 217 Distribution-based methods

18 218 Distribution-based methods for the FACIT-FS, modified-FACIT-FS and CIS-FS are
19 219 presented in Table 3.

22 220 Pooled MCID

23 221 Pooled MCIDs were 4.7 points for the FACIT-FS, 3.8 for the modified-FACIT-FS and 9.3
24 222 points for CIS-FS (Figure 3). Overall MCID pooled statistics are presented in Table 3.

25 223 *(Please insert Figure 3 here)*

26 224 *(Please insert Table 3 here)*

29 225 **DISCUSSION:**

30 226 This study found pooled MCIDs of 4.7 points for the FACIT-FS, 3.8 points for the modified-
31 227 FACIT-FS and 9.3 points for CIS-FS, following a PR programme in patients with COPD.

32 228 Nearly 80% of our sample reported fatigue symptoms, surpassing the 50 to 70% reported in
33 229 previous literature.^{2,3,11,60} These findings call for attention to the tremendous impact and
34 230 burden of fatigue in COPD, emphasising the importance of its routine assessment and the
35 231 need for tailoring therapies to target fatigue. Our results showed significant improvements
36 232 in FACIT-FS, modified-FACIT-FS and CIS-FS following a community-based-PR
37 233 programme, highlighting the effectiveness and the key role of this comprehensive
38 234 intervention in managing fatigue.^{2,16,18}

39 235 MCIDs are recognised to be disease-specific²³ and, to our best knowledge, this is the first
40 236 study to establish MCIDs for both FACIT-FS versions and CIS-FS in patients with COPD.
41 237 For the original-FACIT-FS, the MCID has been previously determined in other populations,
42 238 with our estimation being similar to the one reported for rheumatoid arthritis (i.e., 3-4
43 239 points),⁶¹ but smaller than the estimated for the systemic lupus erythematosus (i.e., 5.9

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4 240 points).⁶² These differences are likely to be explained by the dissimilarities among
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6 241 populations and methodologies (longitudinal and within-patient differences vs. cross-
7
8 242 sectional and between patient-differences). Although a MCID of 10 points has been reported
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10 243 for the CIS-FS,² no information, or reference, regarding its calculation is provided limiting
11
12 244 comparisons between studies.

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14 245 MCIDs were computed using different approaches and integrating a wide range of anchor-
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16 246 and distribution-based methods. It is known that MDC yield large estimates and tend to
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18 247 overestimate MCIDs.^{23,63} Previous research have classified MDC as a benchmark for
19
20 248 moderate to large change, warning that MCIDs could be smaller than MDC.^{23,63} These
21
22 249 discrepancies enhance the need to combine anchor-based methods (weighting 2/3), which
23
24 250 provide clinical meaning, and distribution-based methods (weight 1/3), which add statistical
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26 251 significance,^{23,27} as previously recommended.^{24,27}

27
28 252 Within the multiple anchor-based approaches used, only the SGRQ and the occurrence of
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30 253 AECOPD fulfilled the criterion to proceed with the MCID calculation, with the latter
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32 254 yielding larger estimations. Regarding either patients' or physiotherapists' GRC, it is
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34 255 noticeable that most patients/physiotherapists perceived improvements in fatigue, thus the
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36 256 variability of data was reduced, which is known to limit the power of correlations.⁶⁴
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38 257 Moreover, another hypothetical reason for the lack of correlations is the well-known recall
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40 258 and administration bias associated to the GRC.^{24,50,65} Fatigue is a complex, multifaceted and
41
42 259 dynamic phenomenon,⁵ and PROMs focus specifically on the perceived fatigability, thus,
43
44 260 do not fully portray fatigue. This complexity might also have impacted our correlations.
45
46 261 Disparities among physiotherapists' GRC and the fatigue PROMs sustain the poor
47
48 262 physician-patient concordance previously stated.⁶⁶

49
50 263 The impact of fatigue on health status and quality of life is irrefutable.^{2,10,11,32} Previous
51
52 264 associations between these outcomes^{2,32} highlight the importance of the SGRQ to determine
53
54 265 fatigue-related MCIDs. The absence of correlations among the CIS-FS and the SGRQ
55
56 266 dimensions might be explained by the conceptual differences between the fatigue PROMs.
57
58 267 While the CIS-FS focuses specifically on the subjective experience of fatigue,⁴ FACIT-FS
59
60 268 integrates two components of fatigue: experience of fatigue and impact of fatigue,⁶⁷
269 probably, the latter is more intimately related to the SGRQ impact-dimension and
270 consequently, to the total-dimension.³² CAT assesses several respiratory symptoms, and
271 only one item is directly related to fatigue (energy). Instead of the CAT-total score, which

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4 272 failed to capture changes in fatigue, it would have been interesting to use as an anchor the
5
6 273 CAT-energy question. However, this was not possible, as the MCID for single CAT-items
7
8 274 is not established.

9
10 275 Similar to previous research,^{11,12} our study, further established the role of fatigue as a
11
12 276 prognostic measure for AECOPD, showing that patients scoring below 32 points on the
13
14 277 FACIT-FS, below 19.5 points on the modified-FACIT-FS and over 43.5 on the CIS-FS have
15
16 278 around 15% increased probability of having an exacerbation (LR from 2.2 to 2.5).⁵⁵
17
18 279 According to our results, all fatigue PROMs used have similar prediction abilities to
19
20 280 distinguish between patients who experienced an AECOPD from those who did not. Thus,
21
22 281 these three questionnaires seem to be equally valuable to predict a patient's exacerbation risk
23
24 282 and to adjust the PR programme accordingly (e.g., by further enhancing the education on
25
26 283 prevention of exacerbations).⁶⁸

27
28 284 Nevertheless, this study also presents some limitations that should be acknowledged. First,
29
30 285 the PROMs used as referencing questionnaires, i.e., CAT and SGRQ, do not assess fatigue
31
32 286 specifically. To the authors' best knowledge, the chronic respiratory questionnaire is the
33
34 287 only PROM that specifically targets fatigue and has a MCID established for patients with
35
36 288 COPD,⁶⁹ however it could not be used in this study, as it is not culturally adapted for the
37
38 289 Portuguese population. Second, our sample was mainly composed by GOLD B patients,
39
40 290 therefore, the external validity of our study might be reduced. MCIDs should correspond to
41
42 291 a 6 to 10% change in the PROMs scale and to an ES between 0.2 to 0.5.^{24,27,57} The MCID
43
44 292 found for CIS-FS corresponded to an ES of 0.7 and 19% change, thus, it may have been
45
46 293 overestimated. It is worth noting that, even if no ceiling or floor effects were present, our
47
48 294 sample presented high baseline levels of fatigue, leading to greater room for improvement
49
50 295 with treatment, and thus higher MCIDs.^{23,24,26,70} The fact that only the criterion anchor and
51
52 296 distribution-based methods were used to compute the MCID for CIS-FS, could have also
53
54 297 contributed to overestimate the result. Our overall sample size was not enough to perform
55
56 298 sub-analysis according to baseline fatigue or disease severity. This study included
57
58 299 exclusively the physiotherapists GRC, thus providing a limited insight into patients' fatigue,
59
60 300 as PR is a multidisciplinary intervention. Future studies including a Delphi Method would
301
302 be useful to integrate different stakeholders' perspectives.²⁷ A consensus between
303
304 worldwide experts in MCIDs would be extremely helpful to confidently establish the
weights assigned to either anchor- and distribution-based approaches. More studies with
larger samples are required to control for these factors and further validate our estimations.

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4 305 **CONCLUSIONS:**

5
6 306 The present study determined that changes of 4.7 on the FACIT-FS, 3.8 on the modified-
7
8 307 **FACIT-FS** and 9.3 on the CIS-FS represent clinically relevant improvements in fatigue after
9
10 308 PR in patients with COPD. These MCIDs should be interpreted **accordingly to each patient**
11
12 309 **specificities** and incorporated into clinical practice to guide different stakeholders in the
13
14 310 decision-making process.

15
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17
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19
20 313 and takes responsibility for the data and the accuracy of data analysis, including and
21
22 314 especially any adverse effects. AM and AO conceived the idea. All authors contributed to
23
24 315 the design and interpretation of data. PR, AO, LA and CV contributed to data acquisition.
25
26 316 PR performed the analysis and drafted the paper. All authors critically revised the
27
28 317 manuscript and approved the final version.

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34
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38
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526 **TABLES:**527 **Table 1: Sample characterisation (n=70).**

Characteristics	Patients included n=53 (75.7%)	Drop-outs n=17 (24.3%)	p-value
Age, years	68.4±7.6	67±11.3	0.568
Gender, male n (%)	42 (79.2)	12 (70.6)	0.460
BMI, kg/m ²	25.6±4.3	27.2±4.8	0.217
Smoking status, n (%)			0.638
Current	9 (17)	6 (35.3)	
Former	35 (66)	7 (41.2)	
Never	9 (17)	4 (23.5)	
Packs/year	40.5 [26.4-64]	22 [13.3-50.4]	0.057
Exacerbations/year ¹ , n	1 [0-1]	1 [0-2]	0.139
AECOPD hospitalisations ¹ , n (%)	4 (7.5)	4 (23.5)	0.072
Duration of hospitalisations, days	8.2±7.1	10.4±9.4	0.606

COPD-related emergencies¹, n (%)	18 (34)	7 (41.2)	0.589
Lung function (post-bronchodilator)			
FEV₁, l	1.3±0.5	1.4±0.5	0.404
FEV₁, %predicted	48.1±17.4	56.5±19.6	0.101
FEV₁/FVC, %	49.1±14.1	55.9±13	0.077
GOLD stages, n (%)			
I	5 (9.4)	2 (11.8)	
II	19 (35.8)	7 (41.2)	
III	23 (43.4)	7 (41.2)	
IV	6 (11.3)	1 (5.9)	
GOLD groups, n (%)			
A	8 (15.1)	4 (23.5)	
B	34 (64.2)	6 (35.3)	
C	0 (0)	0 (0)	
D	11 (20.8)	7 (41.2)	
CCI, n (%)			
Mild (1-2 points)	7 (13.2)	1 (5.9)	
Moderate (3-4 points)	30 (56.6)	8 (47.1)	
Severe (≥5 points)	16 (30.2)	8 (47.1)	
Medication, n (%)			
Bronchodilators			
SABA	7 (13.2)	1 (5.9)	0.360
SAMA	2 (3.8)	0 (0)	0.393
LABA	6 (11.3)	5 (29.4)	0.102
LAMA	16 (30.2)	10 (58.8)	0.065
LAMA/LABA combination	16 (30.2)	4 (23.5)	0.597
ICS	9 (17)	1 (5.9)	0.226
ICS/LABA combination	23 (43.3)	7 (41.2)	0.872
ICS/LABA/LAMAcombination	1 (1.9)	0 (0.0)	0.273
LTRA	2 (3.8)	2 (11.8)	0.217
Xanthines	10 (18.9)	2 (11.8)	0.499

Expectorants	5 (9.4)	1 (5.9)	0.649
Antibiotics	1 (1.9)	0 (0)	0.606
mMRC, points	2 [1-3]	2 [1-3]	0.733
CAT, points	16.9±7.5	16.2±9.2	0.736
SGRQ, points			
Symptoms	55±20.5	45.8±20.1	0.112
Activities	64.8±20.9	50.3±27.8	0.060
Impact	36.6±19.8	27.8±19.1	0.114
Total	48.2±18.6	37.7±19.8	0.050
FACIT-FS, points	33.3±10	37.7±12.8	0.151
No relevant fatigue (>43), n (%)	19 (17)	5 (29.4)	0.214
Relevant fatigue (≤43), n (%)	44 (83)	12 (70.6)	
Modified-FACIT-FS	21.2±7.4	22.7±7.7	0.496
CIS-FS, points	36.9±12.8	32.7±13.9	0.258
Normal fatigue (≤26), n (%)	9 (17)	6 (35.3)	
Mild fatigue, (27-35), n (%)	12 (22.6)	5 (29.4)	0.153
Severe fatigue (≥36), n (%)	32 (60.4)	6 (35.3)	

528 **Notes:** Values are presented as mean±standard deviation or median [interquartile range], unless otherwise stated. ¹in the past-year; *
529 p<0.05

530 **Legend:** PR – pulmonary rehabilitation; BMI – body mass index; AECOPD – acute exacerbation of chronic obstructive pulmonary
531 disease; FEV₁ – forced expiratory volume in one second; FVC – forced vital capacity; GOLD - Global Initiative for Chronic Obstructive
532 Lung Disease; CCI – Charlson comorbidity index; SABA – short-acting beta-agonists; SAMA – short-acting muscarinic antagonist;
533 LABA – long-acting beta-agonists; LAMA – long-acting muscarinic antagonist; ICS – inhaled corticosteroid; LRTA – leukotriene receptor
534 antagonist; mMRC – modified medical research council questionnaire; CAT – COPD assessment test; SGRQ – St George’s Respiratory
535 Questionnaire; FACIT-FS - Functional assessment of chronic illness therapy fatigue subscale; CIS-FS - Checklist of individual strength
536 fatigue subscale.

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542 **Table 2: Patient-reported outcome measures before and after the community-based**
 543 **pulmonary rehabilitation programme (n=53).**

PROM (points)	Baseline	Post-PR	Δ	95% CI	p-value	ES
CAT	16.9±7.5	13.0±6.9	-3.9±6.7	-5.8 to -2.0	<0.001*	-0.54
SGRQ						
Symptoms	55±20.5	41.1±20.5	-13.9±21.5	-19.8 to -7.9	<0.001*	-0.68
Activities	64.8±20.9	57.8±23.5	-7.0±11.6	-10.2 to -3.8	<0.001*	-0.31
Impact	36.6±19.8	30.4±18.7	-6.2±12.0	-9.5 to -2.8	<0.001*	-0.32
Total	48.2±18.6	40.6±18.1	-7.6±10.4	-10.5 to -4.7	<0.001*	-0.41
FACIT-FS	33.3±10	36.9±8.8	3.7±7.1	1.7 to 5.6	<0.001*	0.38
Modified-FACIT-FS	21.2±7.4	24.0±6.9	2.7±5.5	1.2 to 4.3	0.001	0.38
CIS-20 FS (n=52)	36.9±12.8	31.1±13.4	-5.8±10.2	-8.7 to -3.0	<0.001*	-0.44

544 **Notes:** Values are presented as mean±standard deviation. *p<0.05

545 **Legend:** PROM – Patient-reported outcome measure; PR – pulmonary rehabilitation; Δ – mean change; ES – Effect sizes; 95%CI –
 546 95% confidence interval; CAT – COPD assessment test; SGRQ – St George’s Respiratory Questionnaire; FACIT-FS – Functional
 547 assessment of chronic illness therapy fatigue subscale; CIS-20 FS – Checklist of individual strength fatigue subscale.

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563 **Table 3:** Anchor and distribution-based methods used to compute the minimal clinically
 564 important difference of fatigue patient-reported outcome measures.

		FACIT-FS	Modified-FACIT-FS	CIS-FS
SGRQ-Impact	Mean change	5.7 (3.3 to 8.1)	4.4 (2.4 to 6.4)	-
	ROC	-	↓	-
	Linear regression	3.4 (2.1 to 4.7)	2.3 (1.2 to 3.3)	-
SGRQ-Total	Mean change	4.9 (2.5 to 7.2)	3.9 (2.0 to 5.9)	-
	ROC	-	↓	-
	Linear regression	3.2 (1.7 to 4.6)	1.9 (0.7 to 3.1)	-
AECOPD	Mean change	6.4 (1.2 to 11.6)	4.7 (0.1 to 9.3)	9.6 (3.2 to 15.9)
	0.5SD	4.3	3.7	6.4
	SEM	2.6	2.2	5.0
	1.96SEM	5.1	4.4	9.7
	MDC	7.2	6.2	13.8
	ES	0.42	0.38	-0.44
	Pooled MCID	4.7	3.8	9.3
	% of change	9.1	10.6	19.3
	MCID ES	0.5	0.5	0.7

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Notes: Values are presented as mean and 95% confidence intervals. % of change was computed within each scale range. The MCID ES are compute as the MCID value divided by the pooled SD.

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Legend: FACIT-FS – Functional assessment of chronic illness therapy fatigue subscale; CIS-20 FS – Checklist of individual strength fatigue subscale; SGRQ – St George’s Respiratory Questionnaire; ROC – Receiver operating characteristic curves; SD – standard deviation; SEM – standard error of measurement; MDC – minimal detectable change; ES – effect size; MCID - minimal clinically important difference.

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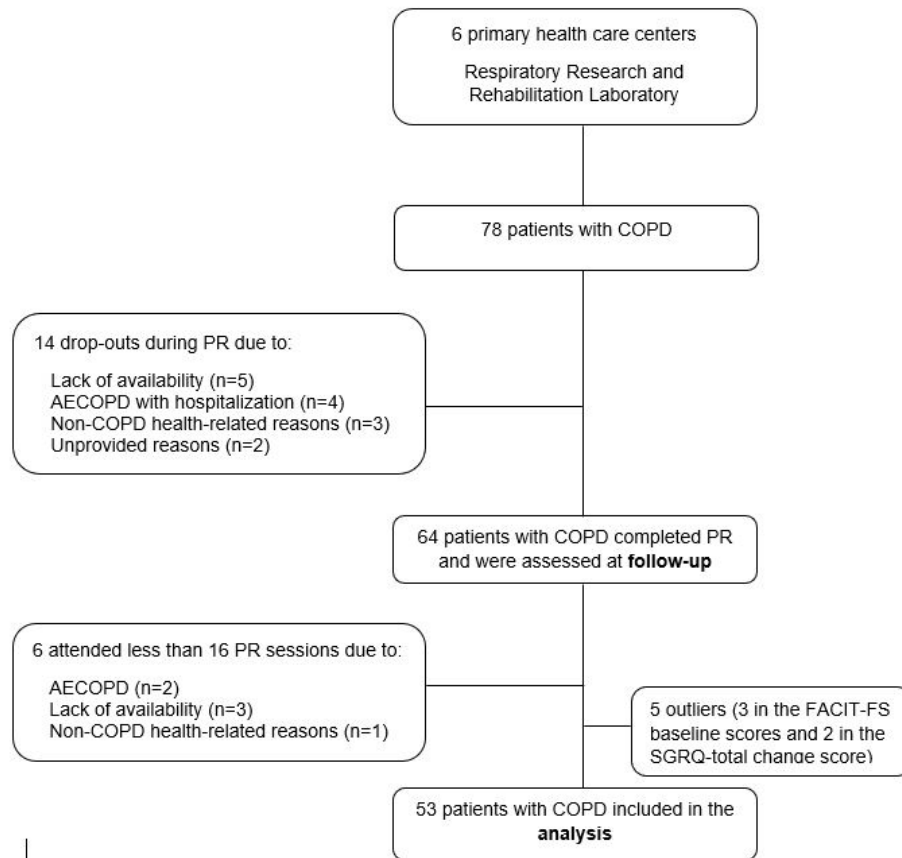
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4 575 **FIGURE LEGEND:**
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6 576 **Figure 1:** Flow diagram of participants recruited and included in the study. COPD – Chronic
7 obstructive pulmonary disease; PR – pulmonary rehabilitation; AECOPD – acute
8 577 exacerbation of chronic obstructive pulmonary disease.
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12 579 **Figure 2:** Linear regression between changes in the A) Functional Assessment of Chronic
13 Illness Therapy Fatigue Subscale (FACIT-FS) and changes in the St George's Respiratory
14 580 Questionnaire (SGRQ)-impact; B) FACIT-FS and changes in the SGRQ-total score; C)
15 581 modified-FACIT-FS and changes in the SGRQ-impact; D) modified-FACIT-FS and
16 582 changes in the SGRQ-total score (n=53).
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21 584 **Figure 3:** Plots of the pooled minimal clinically important differences (MCID) for the: A)
22 585 Functional Assessment of Chronic Illness Therapy Fatigue Subscale (FACIT-FS); B)
23 586 modified-FACIT-FS; C - Checklist of individual strength fatigue subscale (CIS-FS). The
24 587 plots represent the MCID estimates derived in this study, and where appropriated the
25 588 estimates include the 95% confidence interval (n=53). AECOPD – acute exacerbation of
26 589 chronic obstructive pulmonary disease; SGRQ – St. George Respiratory Questionnaire; SD
27 – standard deviation; SEM – standard error of measurement; MDC – minimal detectable
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35 Figure 1: Flow diagram of participants recruited and included in the study. COPD – Chronic obstructive
36 pulmonary disease; PR – pulmonary rehabilitation; AECOPD – acute exacerbation of chronic obstructive
37 pulmonary disease.

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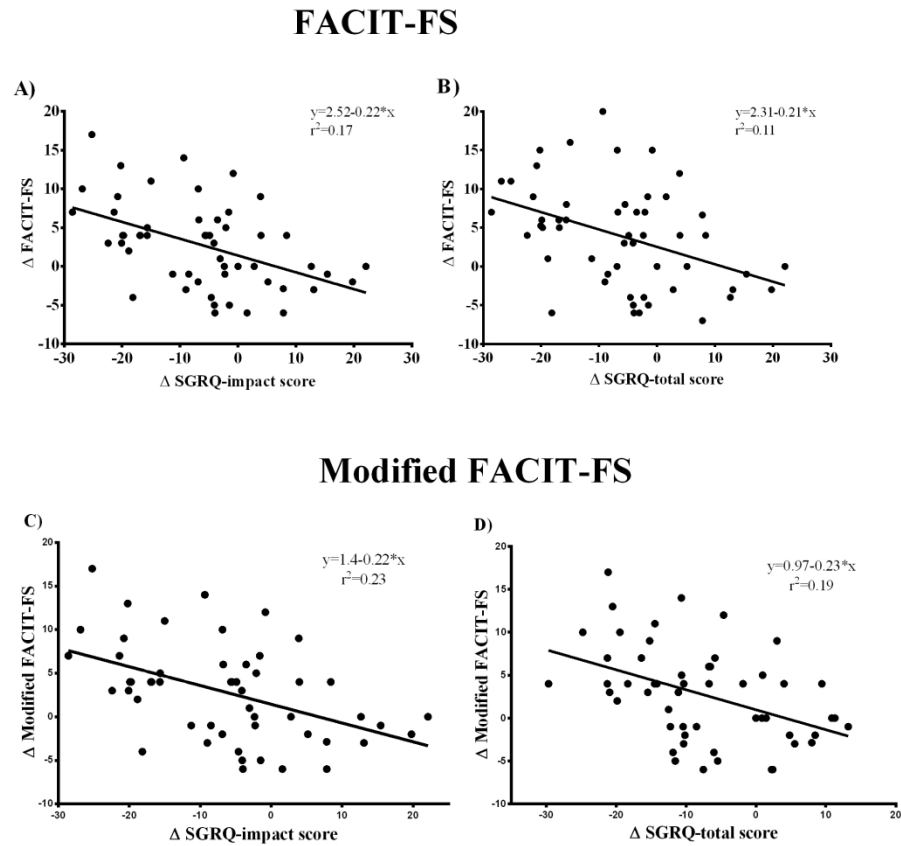


Figure 2: Linear regression between changes in the A) Functional Assessment of Chronic Illness Therapy Fatigue Subscale (FACIT-FS) and changes in the St George's Respiratory Questionnaire (SGRQ)-impact; B) FACIT-FS and changes in the SGRQ-total score; C) modified-FACIT-FS and changes in the SGRQ-impact; D) modified-FACIT-FS and changes in the SGRQ-total score (n=53).

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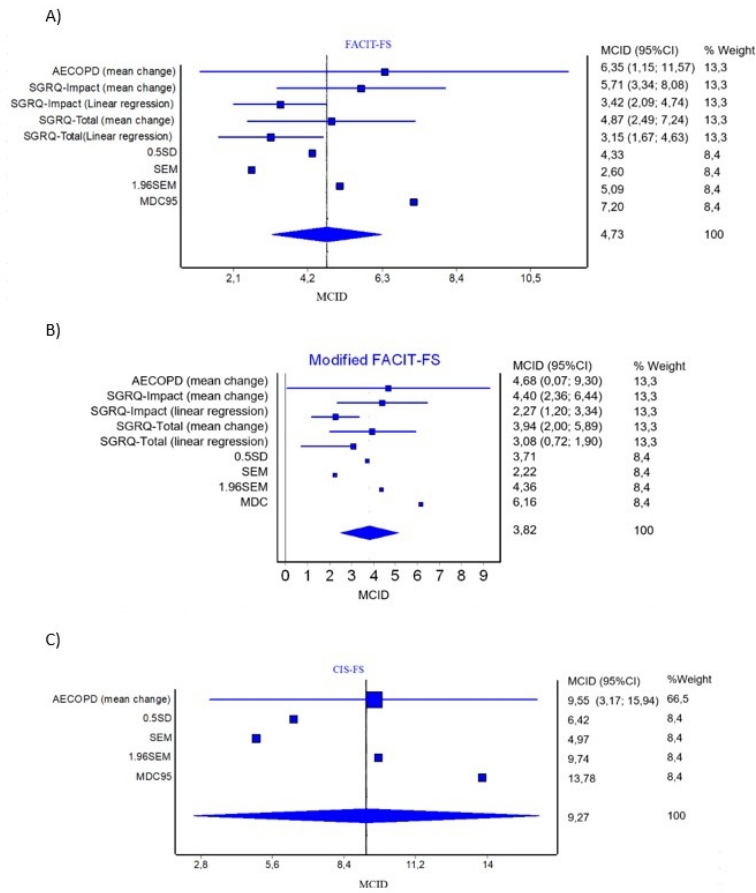


Figure 3: Plots of the pooled minimal clinically important differences (MCID) for the: A) Functional Assessment of Chronic Illness Therapy Fatigue Subscale (FACIT-FS); B) modified-FACIT-FS; C - Checklist of individual strength fatigue subscale (CIS-FS). The plots represent the MCID estimates and where appropriated the estimates include the 95% confidence interval (n=53). AECOPD – acute exacerbation of chronic obstructive pulmonary disease; SGRQ – St. George Respiratory Questionnaire; SD – standard deviation; SEM – standard error of measurement; MDC – minimal detectable change.

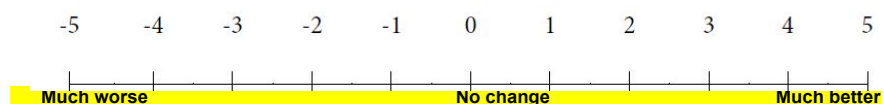
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Supplementary material

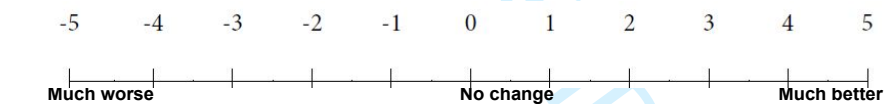
Global rating of change scale for patients

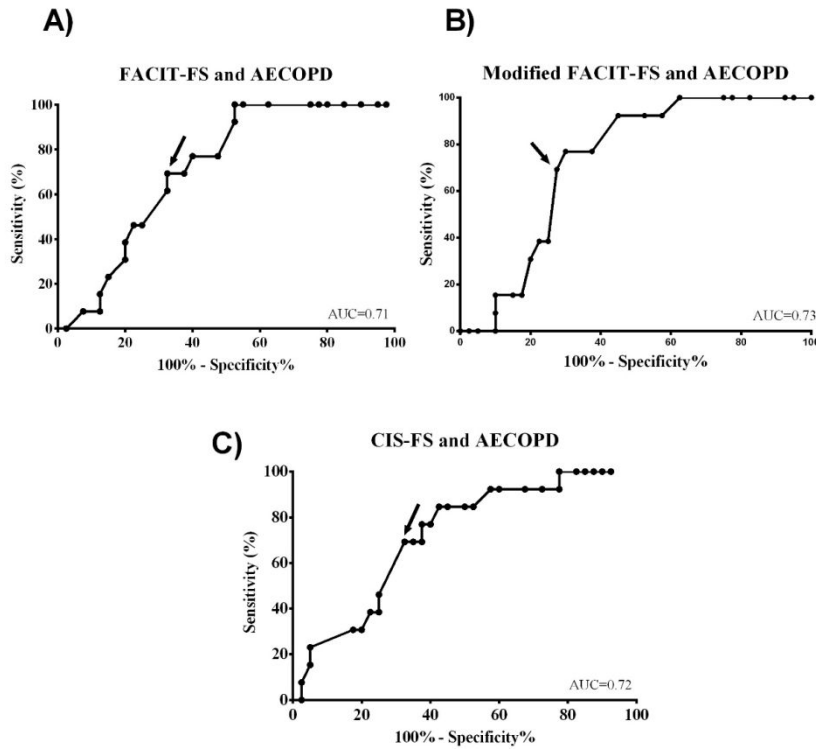
“Regarding fatigue, how would you describe your tiredness/lack of energy at this moment, in comparison to the day you started the pulmonary rehabilitation programme?”



Global rating of change scale for physiotherapists

“Regarding your patient’s fatigue, how would you describe the patient’s tiredness/lack of energy at this moment, in comparison to the day she/he started the pulmonary rehabilitation programme?”





e-Figure 1 - Receiver operating characteristic curves to discriminate between patients with chronic obstructive pulmonary disease who experienced an acute exacerbation (AECOPD) from those who did not using the: A) Functional Assessment of Chronic Illness Therapy Fatigue Subscale (FACIT-FS) baseline scores; B) modified FACIT-FS baseline scores and C) Checklist of individual strength fatigue subscale (CIS-FS) baseline scores. (n=53). AUC – area under the curve.

e-Table 1: Correlations between the anchors and changes in the patient-reported outcome measures.

	Δ FACIT – FS (n=53)		Δ modified FACIT – FS (n=53)		Δ CIS-20 FS (n=52)	
	r	p-value	r	p-value	r	p-value
Patient's GRC	0.025	0.858	0.059	0.678	-0.084	0.553

Physiotherapist's GRC	0.140	0.318	0.098	0.487	-0.014	0.923
Δ CAT	0.104	0.459	-0.019	0.894	-0.100	0.482
ΔSGRQ						
Symptoms	-0.055	0.697	-0.141	0.315	-0.148	0.296
Activities	-0.172	0.217	-0.288	0.037*	0.038	0.788
Impact	-0.409	0.002*	-0.474	<0.001*	0.137	0.332
Total	-0.330	0.016*	-0.439	0.001*	0.043	0.760
AECOPD	$r_{pb} = -0.277^{\#}$	0.044*	$r_{pb} = -0.274^{\#}$	0.047*	$r_{pb} = 0.323^{\#}$	0.018*

Notes: correlations were calculated using Pearson's (r) or point biserial correlation (r_{pb}) coefficients. # - correlations were computed using CIS-FS, FACIT-FS and modified FACIT-FS baseline scores; * $p < 0.05$

Legend: Δ - mean change; FACIT-FS - Functional assessment of chronic illness therapy fatigue subscale; CIS-20 FS - Checklist of individual strength fatigue subscale; r - Pearson's correlation; GRC - Global rating of change scale; CAT - COPD assessment test; SGRQ - St George's Respiratory Questionnaire; AECOPD - acute exacerbations of chronic obstructive pulmonary disease.

e-Table 2: FACIT-FS and modified FACIT-FS mean scores at baseline and after community-based pulmonary rehabilitation, according to the anchor's cut-offs.

	n, (%)	FACIT – FS (n=53)					modified FACIT-FS (n=53)				
		Baseline	Post-PR	Δ	95% CI	p-value	Baseline	Post-PR	Δ	95% CI	p-value
Patient's GRC	≥2 46 (86.8)	33.2±10.1	37.2±8.6	4.0±6.6	2.0 to 5.9	0.805	21.2±7.4	24.1±6.9	2.8±5.5	1.2 to 4.5	0.762
	<2 7 (13.2)	33.7±9.9	37.0±9.9	3.3±7.1	-3.3 to 9.9		21.1±7.9	23.3±7.2	2.1±6.2	-3.6 to 7.9	
Physiotherapist's GRC	≥2 46 (86.8)	33.0±10.4	37.2±8.8	4.2±6.8	2.2 to 6.2	0.340	21.0±7.7	24.1±7.0	3.2±5.6	1.4 to 4.8	0.213
	<2 7 (13.2)	35.0±7.0	36.6±8.4	1.7±5.3	-3.3 to 6.6		23.0±5.3	23.3±6.8	0.3±3.9	-3.3 to 3.9	
Δ CAT	≥2 38 (71.7)	33.3±10.4	37.2±9.3	3.9±6.6	1.8 to 6.1	0.967	21.1±7.8	24.1±7.5	3.1±5.6	1.2 to 4.9	0.514
	<2 15 (28.3)	33.3±9.1	37.1±6.9	3.8±6.9	0.0 to 7.7		21.7±6.7	23.6±5.4	1.9±5.4	-1.1 to 4.9	
Symptoms	≥4 33 (62.3)	31.4±10.4	36.1±9.4	4.7±6.6	2.3 to 7.0	0.284	19.7±7.5	23.2±7.6	3.5±5.8	1.4 to 5.6	0.208
	<4 20 (37.7)	36.4±8.6	39.0±7.0	2.6±6.6	-0.5 to 5.8		23.7±6.6	25.2±5.6	1.5±4.8	-0.7 to 3.7	
Activities	≥4 32 (60.4)	33.6±10.3	38.1±9.3	4.5±7.4	1.9 to 7.2	0.392	21.3±7.8	25.1±7.4	3.9±6.0	1.7 to 6.0	0.063
	<4 21 (39.6)	32.8±9.7	35.7±7.5	2.9±5.2	0.6 to 5.3		21.2±7.0	22.2±5.8	1.0±4.3	-0.9 to 3.0	
Impact	≥4 30 (56.6)	31.5±10.5	37.2±9.7	5.7±6.3#	3.3 to 8.1	0.021	20.2±8.0	24.6±7.5	4.4±5.5#	2.4 to 6.4	0.011*
	<4 23 (43.4)	35.5±8.9	37.1±7.2	1.5±6.3	-1.2 to 4.3		22.6±6.5	23.2±6.1	0.6±4.9	-1.5 to 2.7	
Total	≥4 36 (67.9)	32.6±10.4	37.5±9.2	4.9±7.0#	2.5 to 7.2	0.122	20.5±7.7	24.5±7.3	3.9±5.8#	2.0 to 5.9	0.019*
	<4 17 (32.1)	34.7±9.1	36.6±7.5	1.9±5.2	-0.8 to 4.5		22.7±6.6	22.9±6.2	0.2±4.0	-1.9 to 2.2	

Notes: Values are presented as mean ± standard deviation. p-value refers to statistical differences between the mean change variables according to the anchors' cut-off. * p<0.05. # used as minimal clinically important differences.

Legend: FACIT-FS – Functional assessment of chronic illness therapy fatigue subscale; CIS-20 FS – Checklist of individual strength fatigue subscale; PR – Pulmonary rehabilitation; Δ – mean change; 95% CI – 95% confidence intervals; GRC – Global rating of change; CAT – COPD assessment test; SGRQ – St George's Respiratory Questionnaire.

Commented [PR1]: Para conseguir juntar a esta tabela as infos da modified FACIT-FS tive que a dividir...ou seja, a CIS-FS aparece numa tabela separada

Commented [AM2R2]: Não conseguimos mesmo meter nem mudando as margens? Isto d efacto não é nada o ideal...

Commented [PR3R2]: Não consigo mesmo, são 5 colunas a mais...e acho que não podemos reduzir mais o tamanho da letra..

e-Table 3: CIS-FS mean scores at baseline and after community-based pulmonary rehabilitation, according to the anchor’s cut-offs.

		CIS-20 FS (n=52)						
		n, (%)	Baseline	Post-PR	Δ	95% CI	p-value	
Patient’s GRC	≥2	45 (86.5)	36.8±13.2	30.6±13.6	-6.3±10.8	-9.6 to -3.1	0.412	
	<2	7 (13.5)	37.1±10.7	34.3±12.5	-2.9±4.6	-7.2 to 1.4		
Physiotherapist’s GRC	≥2	46 (88.5)	37.3±13.3	31.2±14.0	-6.0±10.7	-9.2 to -2.9	0.704	
	<2	6 (11.5)	34.1±9.5	29.8±8.3	-4.3±5.7	-10.3 to 1.6		
Δ CAT	≥2	37 (71.2)	36.2±12.1	30.4±14.6	-5.9±11.0	-9.6 to -2.2	0.960	
	<2	15 (28.8)	38.6±14.8	32.9±10.1	-5.7±8.4	-10.4 to -1.1		
Δ SGRQ	Symptoms	≥4	33 (63.5)	39.1±12.1	33.8±14.0	-5.2±10.3	-8.9 to -1.6	0.561
		<4	19 (36.5)	33.3±13.5	26.3±11.0	-6.9±10.3	-11.9 to -2.0	
	Activities	≥4	32 (61.5)	35.0±13.3	29.9±14.7	-5.1±10.3	-8.8 to -1.4	0.526
		<4	20 (38.5)	39.7±11.8	33.0±11.1	-7.0±10.2	-11.8 to -2.2	
	Impact	≥4	29 (55.8)	37.0±12.5	29.8±15.0	-7.2±11.3	-11.5 to -7.2	0.274
		<4	23 (44.2)	36.7±13.5	32.7±11.2	-4.1±8.7	-7.8 to -0.3	
	Total	≥4	36 (69.2)	36.8±12.0	30.9±14.7	-5.9±11.1	-9.6 to -2.1	0.988
		<4	16 (30.8)	37.1±14.9	31.4±10.4	-5.8±8.2	-10.2 to -1.5	

Notes: Values are presented as mean ± standard deviation. p-value refers to statistical differences between the mean change variables according to the anchors’ cut-off. * p<0.05. # used as minimal clinically important differences

Legend: CIS-20 FS – Checklist of individual strength fatigue subscale; PR – Pulmonary rehabilitation; Δ – mean change; 95% CI – 95% confidence intervals; GRC – Global rating of change; CAT – COPD assessment test; SGRQ – St George’s Respiratory Questionnaire.

e-Table 4: Patient-reported outcome measures mean scores at baseline and after community-based pulmonary rehabilitation, according to the criterion referencing (n=53).

		AECOPD		Mean difference	95% CI	p-value
		No	Yes			
FACIT-FS	n, (%)	40 (75.5)	13 (24.5)	6.4	1.2 to 11.6	p=0.044*
	Baseline	34.8±10.3	28.5±7.1			
modified FACIT-FS	n, (%)	40 (75.5)	13 (24.5)	4.7	0.1 to 9.3	p=0.047*
	Baseline	22.4±7.8	17.7±4.6			
CIS-20 FS	n, (%)	40 (75.5)	12 (24.5)	-9.6	-15.9 to -3.2	p=0.018*
	Baseline	34.5±13.2	44.1±8.4			

Notes: Values are presented as mean ± standard deviation. * p<0.05

Legend: AECOPD – Acute exacerbation of chronic obstructive pulmonary disease; 95% CI – 95% Confidence intervals; FACIT-FS – Functional assessment of chronic illness therapy fatigue subscale; CIS-20 FS – Checklist of individual strength fatigue subscale;

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May 23, 2018

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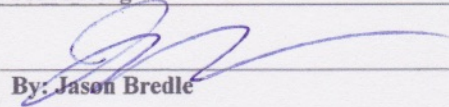
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Administradora de Discipulos



By: ALDA MARQUES

By: Jason Bredle

Title: *Dr.*

Title: Director

Date: *24th / May / 2018*

Date: *25 May 2018*

Patrícia Rebelo

From: Liesbeth.Nieboer@radboudumc.nl on behalf of Jan.Vercoulen@radboudumc.nl
Sent: 31 de janeiro de 2018 16:43
To: Patrícia Rebelo
Subject: RE: Permission to use CIS-20
Attachments: CIS8R-english.pdf; CIS20R-english.pdf; Information and conditions for use.pdf; reference paper CIS20r.pdf

Dear colleague,

Hereby my permission to use the Checklist Individual Strength.

In the attach you will find related documents.

Best regards,

Dr. Jan Vercoulen

Van: Vercoulen, Jan
Verzonden: maandag 29 januari 2018 9:11
Aan: Nieboer, Liesbeth
Onderwerp: FW: Permission to use CIS-20

Van: Patrícia Rebelo [<mailto:patriciarebelo@ua.pt>]
Verzonden: zondag 28 januari 2018 11:10
Aan: Vercoulen, Jan
Onderwerp: Permission to use CIS-20

Dear Sir/Madam,

My name is Patrícia Rebelo and I am writing to you on behalf of Professor Alda Marques, who is the coordinator of the Respiratory Research and Rehabilitation Laboratory (Lab3R) at School of Health Sciences, University of Aveiro Portugal.

We have currently the need to use the Checklist Individual Strength-20 (CIS-20) in one of our research projects. We therefore would like to ask your permission to use the CIS-20 Portuguese version.

I look forward to hear from you.

Kind regards,
Alda Marques

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De: Marta Marques
Enviado: 18 de outubro de 2017 12:42
Para: joana.cruz@ua.pt
Assunto: RE: pedido de autorização - CIS20-P

Cara Joana Cruz,

Eu ja nao utilizo este email com regularidade daí o atraso. Por favor utilize o email marta.marques@ucl.ac.uk para futuros contactos.
Peço pf.f. para contactar a Prof. Maria Joao Gouveia que também colaborou neste projecto e peça para lhe enviar a escala e instruções. Diga que falou comigo.

A escala pode ser utilizada para fins de investigação sem ser necessária autorização, agradecemos a citação do artigo e caso obtenha dados úteis para fortalecer a validação da escala pode nos comunicar.

Obrigada,

Marta Marques

De: joana.cruz@ua.pt [joana.cruz@ua.pt]
Enviado: sexta-feira, 13 de Outubro de 2017 15:03
Para: Marta Marques
Assunto: FW: pedido de autorização - CIS20-P

Exma. Sr^a Professora Marta Marques,

Peço desculpa por estar a enviar novamente mail, mas queria ter a certeza de que recebeu o meu mail anterior (que reencaminho abaixo) relativamente à escala CIS20, validada no seu artigo de 2013. Estou disponível para falar por telefone, caso seja apropriado: 969196218. Agradeço desde já a disponibilidade e peço desculpa pelo incómodo.
Os melhores cumprimentos,
Joana Cruz

De: joana.cruz@ua.pt
Enviado: 10 de outubro de 2017 17:29
Para: mmarques@ispa.pt
Assunto: pedido de autorização - CIS20-P

Exma. Sr^a Professora Marta Marques,

Sou um dos elementos de uma equipa de investigação da Universidade de Aveiro e encontrei o seu artigo intitulado "Psychometric Properties of the Portuguese Version of the Checklist of Individual Strength (CIS20-P)", o qual mereceu a minha melhor atenção. Gostaríamos de utilizar e validar a escala para a população de pessoas com Doença Pulmonar Obstrutiva Crónica (DPOC), no âmbito de uma dissertação de Mestrado (com potencial publicação), pelo que gostaríamos de a questionar sobre a possibilidade de nos enviar a escala e o sistema de codificação, assim como a autorização para utilização da escala.
Estou disponível para prestar informação adicional.
Agradeço desde já a atenção dispensada.

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Os melhores cumprimentos,
Joana Cruz

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Medicine, Biomedical Sciences, Health and Social Care Sciences

7 March 2017

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To Whom It May Concern:

This is to confirm that St George's, University of London (St George's Hospital Medical School) has given permission for Lab3R, School of Health Sciences of the University of Aveiro, Portugal, to use the St George's Respiratory Questionnaire (SGRQ) in a project entitled "**Revitalizing Pulmonary Rehabilitation (3R)**"



Professor Paul Jones, PhD FRCP
Professor of Respiratory Medicine

P.W. Jones, PhD FRCP

Professor of Respiratory Medicine

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ScholarOne - <http://mchelp.manuscriptcentral.com/gethelpnow/index.html> - (434) 964-4100
email pjones@sgul.ac.uk

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3 **Modified Medical Research Council** – does not require authorization. It is available
4 and recommend for use by the Portuguese national health authority (*Direção-Geral de*
5 *Saúde*)
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9 **COPD Assessment Test** - <http://www.catestonline.org> – does not require authorization.
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