

## Clinical outcomes of SCI interventions

Interventions to reduce spasticity and improve function in people with chronic incomplete spinal cord injury: distinctions revealed by different analytical methods.

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## Abstract

**Background:** Spinal cord injury (SCI) results in impaired function and ankle joint spasticity is a common secondary complication. Different interventions have been trialed with variable results.

**Objective:** We investigated the effects of pharmacological and physical (locomotor training) interventions on function in people living with incomplete motor function loss due to SCI, and used different analytical techniques to understand whether functional levels affect recovery with different interventions.

**Methods:** Participants with an incomplete SCI were assigned to three groups; no intervention, Lokomat or tizanidine. Outcome measures were the 10-meter walk test (10MWT), 6-minute walk test (6MWT) and the Timed-up and go (TUG). Participants were classified in two ways; i) based on achieving an improvement above the minimally important difference (MID) and; ii) using Growth Mixture Modeling (GMM). Functional levels of participants that achieved the MID were compared and Random Coefficient Regression (RCR) was used to assess recovery in GMM classes.

**Results:** Overall, walking speed and endurance improved, with no difference between interventions. Only a small number of participants achieved the MID. Both MID and GMM-RCR analyses revealed that tizanidine improved endurance in high functioning participants. GMM-RCR classification also showed that speed and mobility improved after locomotor training.

**Conclusions:** Improvements in function were achieved in a limited number of people with SCI. Using the MID and GMM techniques, differences in responses to interventions between high and

low functioning participants could be identified. These techniques may therefore have potential to be used for characterizing therapeutic effects due to different interventions.

## Introduction

Rehabilitation for people that are living with spinal cord injury (SCI) is focused on optimizing function. One secondary consequence of SCI is neuromuscular abnormalities, resulting in hypertonia or spasticity of muscle groups, and has been noted as the main self-reported secondary complication after SCI<sup>1</sup>. Spasticity commonly affects the muscles surrounding the ankle joint, which have important roles during functional tasks<sup>2</sup>. During gait for example, the gastrocnemius, an ankle extensor, is important for propulsion during the stance phase, and the tibialis anterior for foot clearance during the swing phase. In people living with SCI, there is controversy regarding the relationship between hypertonia and gait function. Severe extensor spasticity of gastrocnemius, blocking flexion movements, can impede locomotor ability, and some studies have demonstrated that increased hypertonia relates to impaired function<sup>3-5</sup>. Others however, have demonstrated no functional improvements with reduced hypertonia, based on clinical observations<sup>6,7</sup>.

Tizanidine, an antispasticity medication, has been shown to reduce hypertonia in SCI individuals<sup>8-11</sup>. As an  $\alpha_2$  noradrenergic agonist, it is thought to reduce hypertonia through depression of dorsal horn interneuron excitability<sup>12</sup>. While studies commonly report the effects of such antispasticity medications on muscle spasticity, assessed by clinical scores<sup>8,13</sup> or electromyographic activity<sup>13,14</sup>, they rarely report effects on the patient's functional ability<sup>15</sup>. The few studies that did report more functional measures demonstrated that Tizanidine substantially reduced reflex mechanical responses in SCI individuals<sup>16</sup>, and facilitated locomotor capacity in spinalized cats<sup>17</sup>, whereas one study reported that it had no effect on muscle strength or activities of daily living in SCI individuals<sup>8</sup>.

An alternative intervention that became popular in SCI rehabilitation to improve function is Locomotor treadmill training (LTT). LTT, incorporating body-weight supported training and robotic-assistive step training, provides gait assistance and body weight support to a patient on a motorized treadmill, which aims to improve locomotor function<sup>18</sup>. LTT is thought to improve function through the responsiveness of central pattern generators to afferent stimuli noted in mammalian quadrupeds<sup>18,19</sup>. In people with chronic SCI, studies have reported that LTT training improves overground walking speed<sup>20-24</sup> and endurance<sup>22</sup>, muscle strength<sup>23,25,26</sup>, corticospinal tract function<sup>25</sup>, postural alignment<sup>20</sup>, coordination of electromyographic activity<sup>20</sup> and subjective well-being<sup>27,23</sup>. It has also been reported to reduce abnormal neuromuscular activity (spasticity), measured by clinical scores<sup>28</sup> or electromyographic activity<sup>29</sup>, although these changes did not correlate with functional improvements<sup>28</sup>. Some authors however believe that the evidence for LTT is limited<sup>30</sup> since studies often omit control and alternative intervention groups, and those that do include alternative interventions have found similar improvements from conventional physical therapy and overground walking training<sup>23,24,31</sup>. Similar findings have been reported from randomized trials in people with acute SCI<sup>32</sup>.

Overall, the observed extents and rates of improvement in functional performance from interventions after chronic incomplete SCI tend to be variable<sup>30</sup>. In addition, the population of people living long-term with incomplete SCI is heterogeneous in terms of their functional capacities. The combination of these factors results in commonly employed group-averaging techniques showing only small overall improvements<sup>30</sup>, which may mask important data. Some SCI individuals may respond to specific treatments whereas others do not; identifying those that do respond and understanding how they differ from non-responders is key. For example, one study reported that a greater proportion of more impaired participants with SCI, classified by

Lower Extremity Motor Scores (LEMS), improved walking speed after locomotor training, when compared with less impaired participants<sup>24</sup>. Whereas other studies have reported greater improvements in walking speed with LTT in participants with greater baseline muscle strength<sup>33</sup> or in those that have the ability to ambulate prior to the intervention<sup>34</sup>. Determining whether individuals living with SCI respond to interventions differently, according to their baseline functional level, may allow us to recommend optimal interventions on a case-by-case basis.

In order to assess the subjects that did or did not respond to the intervention, it is necessary to firstly define the amount of change required for any improvement to be considered real (i.e. greater than change due to variation or measurement error), and secondly to define the amount of change for the improvement to be considered 'clinically' meaningful. That is, a small but statistically significant improvement may be irrelevant clinically if it does not impact the individual's quality of life, particularly if the intervention is substantially costly in terms of time, effort and/or side effects for the individual. Previously, Beckerman et al.<sup>35</sup> proposed the small real difference (SRD) approach, which refers to the smallest possible value required to be considered a true difference; thus the minimally important clinical difference must always be greater than the SRD for a measurement technique to be valid<sup>35</sup>. Subsequently, Lam et al.<sup>36</sup> proposed SRDs for a number of outcome measures commonly used in the population of individuals living with SCI. While these values may be useful in assessing those people that responded to treatment, it should be acknowledged that they were based on a small number of heterogeneous individuals with incomplete SCI, and only account for the variability in the measurement technique rather than a change that may be considered clinically relevant or regarded as a minimal important difference (MID) to the individual.

An alternative method of classifying responders from non-responders is growth mixture modelling (GMM). GMM is used widely in psychological and educational research to capture heterogeneity in developmental pathways, and has recently been applied to recovery patterns in rehabilitation research. The technique attempts to classify participants into latent classes according to their baseline scores and recovery trends. This may be useful in rehabilitation by accounting for some of the inherent variability in individuals and individual responses, by considering each individual's baseline function, as well as their recovery trend in response to the intervention. In the future rehabilitation practitioners may use this information to predict, with a known confidence level, whether or not a new patient will respond positively to an intervention based on their baseline clinical scores. This technique has successfully classed stroke survivors based on their recovery patterns<sup>37</sup>.

The aims of the study were to determine and compare the effects of two different interventions, LTT and tizanidine, on gait impairments, using a commonly employed group-averaging technique, MID and GMM techniques. We hypothesized that differences in responses to interventions would not be detected by ANOVA and both MID and GMM techniques would identify different responses to the interventions in high compared with low functioning participants, assessed by WISCI II scores and baseline outcome measures.

## **Methodology**

### *Participants*

Participants with either cervical or thoracic incomplete spinal cord injury, as a result of trauma, were recruited from the outpatient service at the Rehabilitation Institute of Chicago. All participants provided written informed consent and the study had ethical approval from the

Northwestern University Institutional Review Board. Inclusion criteria were aged 18-50 years, motor incomplete SCI (ASIA impairment scale [AIS] classification C or D) with level of injury above T10 and >12 months post injury, ambulatory, medical clearance to participate, evidence of clinical spasticity in the ankle joint (Modified Ashworth Score (MAS)  $\geq 1$ ), and lower-limb passive range of motion within functional limits for ambulation. Exclusion criteria were sitting tolerance <2 hours, existing infection, severe cardiovascular or pulmonary disease, concomitant neurological injury, history of fractures post-SCI, known orthopedic or peripheral nerve injury in the lower extremities. The Walking Index for Spinal Cord Injury II (WISCI II) assessment was initially carried out to assess the participants walking ability (level of functional impairment). Participants were then randomized into one of three intervention groups; no intervention (control; n=29), LTT (Lok; n=27) and Tizanidine (Tiz; n=27). Subject characteristics are provided in Table 1.

### *Interventions*

Interventions were given for 4 weeks in the Lok and Tiz groups. Control participants received no intervention. Participants taking muscle relaxant medications prior to enrolling on the study were tapered from their medication prior to the start of the study. Participants were requested not to alter their current medications otherwise, where possible, and to inform us of any changes in their medications during the intervention.

For the Lok group, locomotor training was provided using a robot-assisted locomotor training device (Lokomat, Hocoma AG, Switzerland). This device provides body-weight supported gait assistance; the individual is suspended in a harness over a motorized treadmill while the frame of



the robot, attached by straps to the outside of the lower limbs, moves the limbs in a natural walking pattern.

Training was provided three times per week; each session lasted  $\leq 1$  hour, with 30-45 minutes of training. Treadmill speed, body-weight support and robotic guidance force was determined by the physical therapist, based on tolerance and comfort of the subject. Generally however, reducing guidance force was prioritized to promote voluntary drive to muscles, and to minimize passive training. Body-weight support was configured to maximize lower-extremity loading without producing excessive knee flexion during the stance phase, or allowing toe-drag during the swing phase. Participants were instructed to “walk with the robot” to ensure that the lower-extremity movements were consistent with the Lokomat stepping pattern. Participants were also instructed to pay attention to their ankle movements during the gait cycle i.e. to focus on planting the heel of their foot at heel-strike and to “lift their toes” during the swing phase. A mirror placed in front of the participants provided visual feedback.

For the Tiz group, .03 mg/kg of Tizanidine was administered four times a day for four weeks. This dosage represents a useful compromise, in that it usually shows efficacy<sup>16</sup>, but does not cause overwhelming side effects. In the first week, administration of the drug was progressively increased until the full dosage was received on day 7, and the full dosage was then administered for a subsequent 4-week period.

#### *Outcome measures*

Outcomes were measured at four time points: baseline and 1, 2 and 4 weeks into the intervention (or after baseline for controls). For the Tiz group, outcome measures were taken at baseline and 1, 2 and 4 weeks after the participants Tizanidine had been regulated.

Functional measures included; i) the 10-meter walk test (10MWT) whereby participants are instructed to walk 10 meters as quickly and safely as possible, and time is measured<sup>38-40</sup>; ii) the 6-minute walk test (6MWT) whereby participants are instructed to walk for 6 minutes and the distance covered is measured<sup>40</sup> and; iii) the Timed up and go (TUG) whereby participants are instructed to stand up from an armed chair, walk 3 meters, turn, return to the chair and sit down, and time is measured<sup>40</sup>.

### *Data Analysis*

Statistical tests were carried out using SPSS (SPSS v21, IBM Corp, USA), and  $p < 0.05$  was considered significant. One way analysis of variance (ANOVA) was used to assess differences in subject's age, time since injury and functional level between the three intervention groups.

Two way mixed design ANOVAs were used to identify significant changes due to time-point (within-subject) and group (between-subject) for the three groups.

Data were classified for each task using two methods:

- i) According to whether or not they achieved the MID for each test. The MID was defined using control group data; this was calculated as proposed by Beckerman et al<sup>35</sup> ( $1.96 * \sqrt{2} * SEM$ ). Participants that achieved a change from baseline equal to or greater than the MID for that test, after 4 weeks of training, were classified as 'MID achieved', and those that had a change from baseline less than the MID for that test were classified as 'MID not achieved'. Differences in MAS and WISCI II scores among the three intervention groups for those that did achieve the MID for each test were assessed using one way ANOVAs. Within each group, differences in WISCI II

scores between those that did and did not achieve the MID for each test were assessed using independent sample T-Tests.

- ii) Growth mixture modelling (GMM) can be used to identify sub-populations within a group that demonstrate similar longitudinal change or growth (for a basic review see<sup>41</sup>). The model assumes that the population can be separated into a finite number of classes that are similar, in a *post-hoc* manner (i.e. does not include *a priori* knowledge of how the data should be classed). The model estimates the probability that each individual should belong to each class, and then classifies that individual into the class that they are most likely to belong.

Using R software (R v2.15, R Systems, India) a given number of classes (2, 3 or 4) were generated by the model according to baseline data and recovery slope trends. For the recovery trend, we forced the model to define the pattern linearly, which can be represented by a single slope, as this was adequate to describe the relationship. The model with the best fit was accepted for the final classification. This was carried out separately for each outcome measure and group. Subsequently, Random Coefficient Regression (RCR) was used to assess whether or not each GMM class improved significantly with time, as a result of the intervention. The RCR method is intended to be a substitute for repeated-measures ANOVA, with the presence of a random effect indexed on subject number for the time factor. The RCR is also more capable to model nonlinearities in the trend.

## Results

### *Participants*

There were no significant differences between the participants in each intervention group in terms of age, time since injury WISCI II scores and MAS (Table 1;  $p > 0.05$  for all variables). Two participants in the control group did not complete the study and one participant in the Tiz group was not able to complete the clinical measures, therefore analysis in the Lok and Tiz groups were carried out on  $n=27$  and  $n=26$ , respectively. Participants did not report any changes to their medications during the intervention.

### *Analysis of Variance*

Mixed model ANOVAs revealed significant improvements with time for both walking speed in the 10MWT ( $p < 0.001$ ; Fig 1A) and walking distance in the 6MWT ( $p = 0.002$ ; Fig 1B), with no group effects or interactions. For TUG, there were no significant effects of either time-point or group (Fig 1C).

### *Minimal Important Differences*

Based on our control data, MID values were 0.11m/s, 37.1m and -14.5s for the 10MWT, 6MWT and TUG, respectively. Participants were classified according to whether or not they achieved the MID for each test. Individuals were excluded if either baseline or week 4 data was missing; final N values for each group are provided in Table 2. For the 10MWT, the number (proportion) of participants that achieved the MID, after 4 weeks of training, were 2 (8%), 4 (15%) and 6 (23%) for Control, Lok and Tiz groups, respectively. Equivalent values for the 6MWT were 3 (13%), 2 (8%) and 5 (20%), and 2 (8%), 2 (8%) and 3 (12%) for the TUG (Table 2). Of those

that did attain the MID within each test, there was no significant differences in MAS or WISCI II scores between the three intervention groups. However, those that attained the MID for the 6MWT had significantly higher WISCI II scores than those that did not attain the MID within the Tiz and control groups ( $p < 0.001$ ; Table 2). This was also true for the 10MWT within the Tiz group only ( $p < 0.001$ ; Table 2), with no significant differences observed for the TUG.

In addition, those participants that achieved the MID for the 10MWT had significantly higher baseline walking speeds in both Tiz ( $p < 0.001$ ) and control ( $p = 0.04$ ) groups, and those that achieved the MID for the 6MWT had significantly higher baseline walking distance in the Tiz group ( $p < 0.001$ ). Finally, those that achieved the MID for the TUG had significantly longer times in the control ( $p = 0.04$ ) and Lok ( $p = 0.04$ ) groups. Overall therefore, for the 10MWT and 6MWT, the participants that attained the MID tended to be higher functioning (Table 2 & Fig 2A-B), and for the TUG, those that achieved the MID tended to be lower functioning (Table 2 & Fig 2C). There were no significant difference in MAS between participants that did and did not attain the MID for all interventions and outcome measures (Table 2).

### *Growth Mixture Modelling*

Participants were excluded from the analysis if they did not complete any of the tests for a given outcome measure; this was only the case for the 6MWT where some individuals were not able to walk for 6 minutes duration throughout the study. Final N values for each group are provided in Table 3. The best fit GMM categorized participants for each outcome measure into two classes for all groups and outcome measures. Participants in Class 2 had significantly higher WISCI II scores and significantly improved baseline scores compared with Class 1, for all outcome measures and intervention groups (Table 3). Therefore these classes were considered as high

(Class 2) and low (Class 1) functioning. There were no significant difference in MAS between participants in Class 1 compared with Class 2 for all interventions and outcome measures (Table 3). For the 10MWT, RCR revealed significant improvements with time for the Lok group in both the higher (Class 2;  $p < 0.01$ ) and lower (Class 1;  $p < 0.05$ ) functioning classes, with no significant changes for Tiz or control participants (Fig. 3A). For the 6MWT, significant improvements with time were found for the Tiz group in the higher functioning class only (Class 2,  $p < 0.05$ ; Fig. 3B). For the TUG, significant improvements with time were also found for the Lok group in the higher functioning class only (Class 2,  $p < 0.05$ ).

## **Discussion**

This study compared the effects of two different interventions, LTT and tizanidine, on gait impairments and used different analytical techniques to understand whether functional levels of people with chronic incomplete spinal cord injury affect functional recovery with different interventions. Mixed model ANOVAs revealed small improvements in walking speed and endurance with no significant differences between the interventions (locomotor treadmill training, anti-spasticity medication and control). Using MID analysis, the number of participants that achieved the MID was small. Both MID and GMM-RCR analyses revealed improved endurance after Tizanidine for high functioning participants only. GMM-RCR analysis also revealed significant improvements in walking speed after Lokomat training for both high and low functioning participants, and improved mobility for high functioning participants only. Using group averaging techniques, we found small improvements in walking speed and endurance, with no significant difference between intervention groups. These findings are consistent with previous reports, which conclude that small functional changes do occur with

LTT, however these changes are similar compared with other physical interventions<sup>23,24,31</sup>. The effects of anti-spasticity medications on functional measures have seldom been reported, and studies that have reported the effects of other pharmacological interventions, found small to negative effects on walking speed<sup>42</sup>, and concluded that locomotor training resulted in better outcomes than any of the pharmacological interventions studied<sup>42</sup>. In the present study we found small effects from Tizanidine, which were similar compared to the effects of LTT. There was large variability in the clinical outcome data for each group (Fig 1), which also agrees with previous work<sup>30</sup>, and may relate to the wide variability in functional levels among individuals with chronic incomplete SCI.

Therefore, we considered two alternative analysis techniques; the MID for clinical outcomes taken, in order to classify the participants that did or did not attain a real (clinical) change due to each intervention, and growth mixture modeling (GMM). From the MID analysis, we noted that 2-6 participants achieved the MID, depending on the outcome measure and intervention used. When comparing the classification of subjects between the two techniques, it was notable that all participants classified as achieving the MID for the 10MWT and 6MWT, were also classified as Class 2 (high functioning) by the GMM; this was true across all intervention groups. For the TUG however, all participants that achieved the MID were classed as low functioning (Class 1).

Tizanidine improved both walking speed and endurance for the highest number of participants attaining the MID across all groups (5-6 participants). These participants were found to have significantly higher functional levels than those that did not attain the MID, evidenced by significantly higher WISCI II scores ( $p < 0.001$ ), and significantly higher baseline scores ( $p < 0.001$ ) for both speed and endurance (Table 2). In agreement, GMM-RCR analysis revealed significant improvements in walking endurance due to Tizanidine in Class 2 only; Class 2 were

higher functioning subjects evidenced by significantly higher WISCI II ( $p < 0.001$ ) and baseline ( $p < 0.001$ ) scores (Table 3), similar to MID analysis. However, significant improvements in walking speed were not noted due to Tizanidine in GMM-RCR analysis, which was in contrast to MID results. Tizanidine may have reduced spasticity in the gastrocnemius muscle, which allowed improved (more co-ordinated) functioning of the tibialis anterior muscle, resulting in improved walking function. Further research is required to corroborate this speculation.

GMM-RCR analysis also revealed improved walking speed in the LTT group only, which was irrespective of functional level (a significant improvement was noted for both classes (Fig. 3)). MID analysis revealed improved walking speed due to LTT in 4/27 participants, with no difference in functional levels between those that did and did not achieve the MID (no difference in WISCI II scores or baseline walking speed; Table 2). Improved walking speed with LTT has been reported previously<sup>20-24</sup>, and may be the result of improved muscle strength<sup>23</sup>. The fact that the improvement in walking speed was unrelated to functional level, evidenced in both MID and GMM-RCR analyses, is in contrast with a previous study that noted a greater proportion of more impaired participants with SCI improved walking speed after locomotor training compared with less impaired participants<sup>24</sup>. In that study, the lower extremity motor score (LEMS) was used to assess impairment level, which assesses lower limb muscle strength, as opposed to walking ability, as assessed by WISCI II scores used in the present study. In addition, participants trained for a longer period (12 weeks) in the study by Field-Fote & Roach<sup>24</sup>, compared with only 4 weeks in our study. Longer duration LTT may have elicited greater functional adaptations and may have allowed distinction between functional levels.

GMM-RCR analysis also revealed a significant improvement in walking mobility (TUG) for higher functioning participants only after LTT; however this result was not evident from MID



analysis. MID analysis showed that Tizanidine improved mobility in only 3/27 participants, and those that achieved the MID tended to be lower functioning (lower WISCI II score and higher baseline time to complete the TUG), however these differences were not significantly significant, which may be due to the low number of participants that did achieve the MID. As stated previously, all subjects that achieved the MID for the TUG were classed as low functioning by the GMM (Class 1), therefore the significant improvement in TUG for high functioning participants only (Class 2) by GMM-RCR analysis is an unexpected result that opposes the results of MID analysis. Such differences between MID and GMM analyses may relate to the fact that MID uses only the baseline and final data points, rather than the overall trend as was used in GMM analysis; thus the MID approach may be more susceptible to day-to-day measurement variations. This does however highlight the importance of the analysis method chosen. Overall, both GMM and MID techniques could identify some different outcomes for high vs low functioning participants that could not be detected using analysis of variance (ANOVA) alone.

### *Spasticity*

Spasticity may both assist and impair gait function. Thus, baseline levels of spasticity at the ankle joint may be important in determining which subjects will respond to different interventions as opposed to (or as well as) functional levels. We therefore compared ankle joint MAS between those subjects that did and did not attain the MID. Our data suggests that spasticity level does not impact whether or not each individual will attain the MID. However, it has previously been reported that no significant correlation between quantitative measures of muscular and reflex torque/stiffness associated with spasticity and the MAS magnitude<sup>43</sup>. Thus, while MAS is sufficient to assess the presence of clinical spasticity as measured by overall

stiffness (inclusion criteria), it cannot determine the contribution of neuromuscular properties to the overall stiffness and thus it may be unreliable as an indicator of spasticity level.

Knee joint spasticity may also affect gait impairment; in some individuals, particularly those with muscle weakness, knee spasticity may assist walking, thus anti-spastic medication may not benefit these people, whereas in others it may reduce knee joint spasticity without reducing stability at the knee. We cannot speculate from our data whether changes in knee joint spasticity influenced the outcomes.

### *Clinical Significance*

An unexpected observation from this study was that, overall, the participants that achieved the MID for the 10MWT and the 6MWT, tended to be higher functioning for that measure at baseline. That is, their baseline walking speed and distances were  $>0.58\text{m/s}$  and  $>165\text{m}$ , respectively (Fig. 2A-B). In contrast however, it was the lower functioning participants that tended to attain the MID for the TUG outcome measure i.e. those with a baseline time of  $>57\text{s}$  (Fig. 2C; note that an increase in time represents lower function with this measure). This was not however significant across all groups, which may have been due to the fact that a number of participants that did not achieve the MID had similar baseline scores to those that did (Fig. 2A-C), as well as due to low participant numbers that did attain the MID.

The TUG assesses mobility, walking ability, balance and risk of falling, as opposed to walking speed or endurance, as with the other measures taken. For lower functioning participants it may be that an improvement in mobility and balance is required before changes in speed and/or endurance take place. Thus the TUG may be a more suitable assessment to detect this change in low functioning participants, whereas the 10MWT and 6MWT were more suitable tests for

higher functioning participants. This is in agreement with a previous study, which showed the 10MWT and 6MWT to be more sensitive to change in participants with SCI with higher walking proficiency compared with an alternative measure of functional walking tasks (the SCI Functional Ambulation Profile, which includes the TUG)<sup>44</sup>.

These findings may also be clinically informative. For example, based on our data, it is indicated that individuals with a baseline walking speed of  $>0.58\text{m/s}$  have a 55% chance of achieving improved walking speed after a 4-week Tizanidine intervention (i.e. 6/11 participants that had a baseline speed  $>0.58\text{m/s}$  achieved the MID in that group), and have a 33% chance of achieving improved walking speed with 12 sessions of LTT. Whereas those with a baseline walking speed of  $<0.58\text{m/s}$  have little or no chance of showing an improvement with either intervention.

For the GMM analysis, subjects were classified using both their baseline scores and recovery trends. Therefore, in the groups that showed significant improvements with RCR, the majority, but not necessarily all individuals, showed improvements. GMM-RCR results therefore similarly allow us to predict, from baseline scores, the likelihood of an individual belonging to a class, and thus the probability that the individual will have improved gait function with a given intervention. Both techniques may therefore provide useful knowledge to clinicians and patients when deciding on the most appropriate intervention for each individual, and provide more information than using group averaging techniques such as ANOVAs.

### *Limitations*

While GMM-RCR appears to be a useful technique, it should be noted that the significant improvements found by RCR were small, and considerably less than our MID values, thus the changes due to the interventions may still not be clinically relevant (i.e. may not significantly

impact the individuals quality of life). Similarly, for the MID analysis, only a relatively small number of subjects (2-6 participants per group) achieved the MID, and this was also the case in the control group (i.e. some control participants did attain the MID with no intervention). Thus the outcome measures alone may have been sufficient to induce clinically relevant changes in some individuals. In a review article, it has previously been shown that the total number of training sessions required to improve walking outcomes >MID was 10-130 sessions<sup>45</sup>. In this study we provided only 12 sessions, which is toward the shorter end of that range. Therefore, providing a greater number of training sessions may have resulted in greater improvements, or increased the number of participants that achieved the MID.

This study was sufficiently powered for the group comparisons using ANOVA's and for the comparisons made between classes for the GMM analysis (>0.80). However, due to the low number of subjects achieving the MID, the study was underpowered for some statistical comparisons in those groups. In addition, the number of participants within each group that did not attain the MID (21-25 individuals) was substantially different to the number that did, which also impacts the validity of the statistical tests used. Therefore these results should be interpreted with caution, and it is recommended that future studies incorporate a greater number of training sessions, which may result in a greater number of participants achieving the MID. A final limitation is that the participants in this study were also not followed up; therefore we cannot speculate on whether noted changes were long-standing in these patients. Such measures would also be beneficial in future studies.

In conclusion, using group averaging (ANOVA) techniques, small improvements in walking speed and endurance occur with interventions in people with chronic SCI; however similar results were observed between interventions. Both MID and GMM-RCR analysis revealed

improvements in walking endurance with Tizanidine in high function participants only; a finding that typical group averaging technique could not detect. GMM-RCR analysis additionally revealed improvements in walking speed and mobility with LTT. MID revealed that the TUG may be a more suitable assessment for low functioning subjects, and the 10MWT and 6MWT may be more suitable for higher functioning subjects. Overall, results of MID and GMM-RCR analysis were in agreement for the 10MWT and 6MWT, but disagreed regarding the TUG. These findings demonstrate that both GMM and MID analyses have the potential to characterize the therapeutic effects of various types of interventions on clinical outcome measures.

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**Table 1.** Mean (SD) characteristics of participants in the three groups. F=Female; M=Male; WISCI II= Walking Index for Spinal Cord Injury II; MAS=Modified Ashworth Score.

		<b>Control</b>	<b>Lokomat</b>	<b>Tizanidine</b>
Gender		10 F; 19 M	8 F; 19 M	8 F; 19 M
Age (years)		47.8 (13.1)	46.6 (12.6)	47.4 (11.6)
Time since injury (years)		8.1 (8.1)	9.3 (8.9)	10.9 (10.8)
WISCI II Score		13.8 (5.8)	14.7 (5.2)	14.9 (4.6)
Ankle	Left	1.9 (0.8)	1.6 (0.9)	1.5 (0.8)
MAS	Right	1.9 (0.8)	1.9 (1.2)	1.6 (0.9)

**Table 2.** Number (N) of participants and mean (SD) baseline scores, mean (SD) Walking Index for Spinal Cord Injury II (WISCI II) scores and Modified Ashworth Scale (MAS) scores for participants that did and did not attain the minimal important difference (MID) for the 10 meter walk test (10MWT), 6 minute walk test (6MWT) and the Timed Up and Go (TUG) in each group (\*\*p<0.001 and \*p<0.05 compared with MID not attained within group).

Score	Clinical outcome	Control		Lokomat (Lok)		Tizanidine (Tiz)	
		MID not attained	MID attained	MID not attained	MID attained	MID not attained	MID attained
N	10MWT	23	2	22	4	20	6
	6MWT	20	3	22	2	20	5
	TUG	24	2	22	2	23	3
Baseline score	10MWT	0.55 (0.39)	0.78 (0.07)*	0.47 (0.34)	0.99 (0.43)	0.43 (0.32)	0.82 (0.16)**
	6MWT	191.6 (101.1)	313.9 (171.2)	171.6 (117.0)	391.9 (217.3)	145.5 (87.8)	282.5 (40.0)**
	TUG	33.4 (28.3)	71.5 (11.5)*	35.4 (36.1)	113.0 (18.4)*	35.1 (28.6)	120.6 (64.0)
WISCI II	10MWT	15.1 (4.4)	18.0 (2.8)	14.3 (5.2)	17.0 (5.3)	14.1 (3.0)	19.7 (0.5)**
	6MWT	15.3 (4.0)	20.0 (0.0)**	14.7 (6.0)	14.5 (7.8)	14.5 (3.3)	19.8 (0.5)**
	TUG	15.4 (4.5)	12.5 (5.0)	16.3 (3.9)	11.0 (2.8)	16.0 (3.4)	11.7 (2.3)

MAS	10MWT	2.0 (0.7)	2.0 (1.4)	2.0 (1.0)	2.0 (0.8)	1.8 (0.8)	1.7 (1.2)
	6MWT	2.2 (0.6)	1.7 (0.6)	2.0 (1.1)	2.5 (0.7)	1.8 (0.9)	1.4 (0.9)
	TUG	2.2 (0.6)	2.5 (0.7)	1.9 (1.0)	2.0 (0.0)	1.8 (0.9)	1.3 (0.6)

**Table 3.** Number (N) of participants and mean (SD) baseline scores, Walking Index for Spinal Cord Injury II (WISCI II) scores and Modified Ashworth Scale (MAS) scores for participants in Class 1 and 2 for the 10 meter walk test (10MWT), 6 minute walk test (6MWT) and the Timed Up and Go (TUG) in each group (\*\*p<0.001 and \*p<0.05 compared with Class 1 within group).

Score	Clinical outcome	Control		Lokomat (Lok)		Tizanidine (Tiz)	
		Class 1	Class 2	Class 1	Class 2	Class 1	Class 2
N	10MWT	12	15	11	16	12	14
	6MWT	11	14	10	15	11	14
	TUG	6	20	6	20	9	15
Baseline score	10MWT	0.15 (0.12)	0.83 (0.25)**	0.13 (0.08)	0.80 (0.28)**	0.21 (0.11)	0.79 (0.19)**
	6MWT	77.3 (45.8)	270.9 (87.4)**	45.7 (28.8)	276.5 (91.4)**	76.3 (41.4)	248.8 (46.2)**
	TUG	104.2 (51.0)	27.2 (16.6)*	85.5 (37.4)	15.8 (4.1)**	82.4 (22.4)	24.5 (15.5)*
WISCI II	10MWT	11.8 (3.3)	17.3 (3.7)**	9.6 (5.1)	17.4 (3.9)**	12.9 (2.0)	17.7 (3.0)**
	6MWT	12.4 (3.2)	17.6 (3.7)**	10.6 (6.1)	17.3 (4.0)*	12.8 (2.2)	17.7 (3.0)**
	TUG	12.8 (2.2)	16.3 (3.6)*	12.3 (1.6)	17.1 (3.9)**	11.0 (2.2)	16.5 (4.2)**
MAS	10MWT	2.2 (0.8)	2.1 (0.7)	2.4 (1.1)	1.8 (0.8)	1.9 (0.8)	1.6 (0.9)

	6MWT	2.2 (0.9)	2.0 (0.7)	2.3 (1.2)	1.8 (0.9)	1.9 (0.8)	1.6 (0.9)
	TUG	1.8 (0.8)	1.7 (0.9)	2.1 (1.2)	1.8 (0.9)	2.0 (1.1)	2.1 (0.6)

## Figures

Figure 1. Mean (SEM) speed in the 10-meter walk test (A), distance in the 6-minute walk test (B) and time in the Timed up & Go test (C) for Control (black crosses), Lok (blue diamonds) and Tiz (red squares) groups at each timepoint (significantly different from \*baseline or <sup>+</sup>Week 1 ( $p < 0.05$ )).

Figure 2. Baseline speed in the 10-meter walk test (A), distance in the 6-minute walk test (B) and time in the Timed up & Go test (C) for participants in control (black crosses), Lok (blue diamonds) and Tiz (red squares) groups. Dashed black lines indicate the minimal important difference (MID) for each outcome measure.

Figure 3. Mean (SEM) speed in the 10-meter walk test (A) and distance in the 6-minute walk test (B) for Control (black crosses), Lok (blue diamonds) and Tiz (red squares) groups and slope for Class 1 (dashed lines) and Class 2 (solid lines) generated by GMM-RCR. For the classes that showed a significant improvement with time, determined by RCR, p-values and recovery slope values are provided.

Figure 1

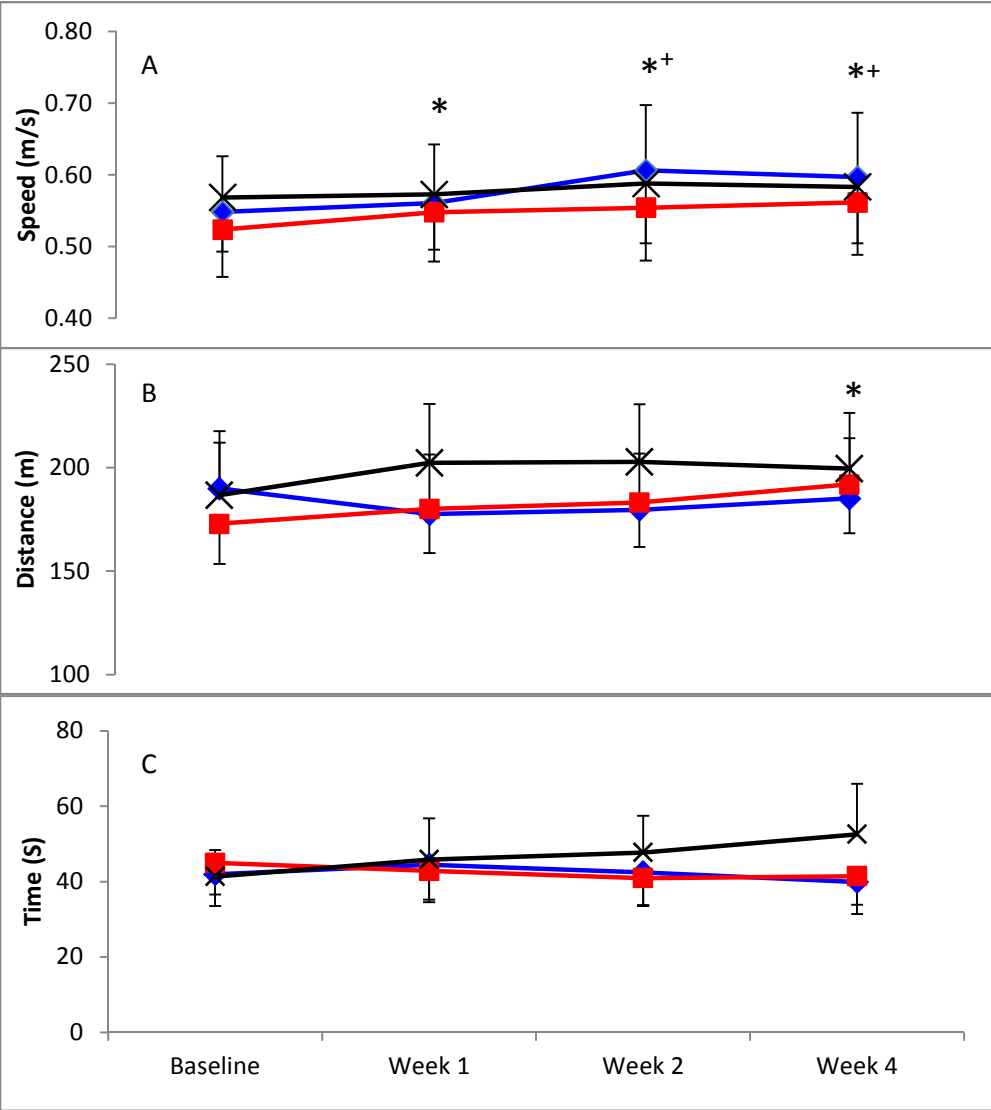


Figure 2

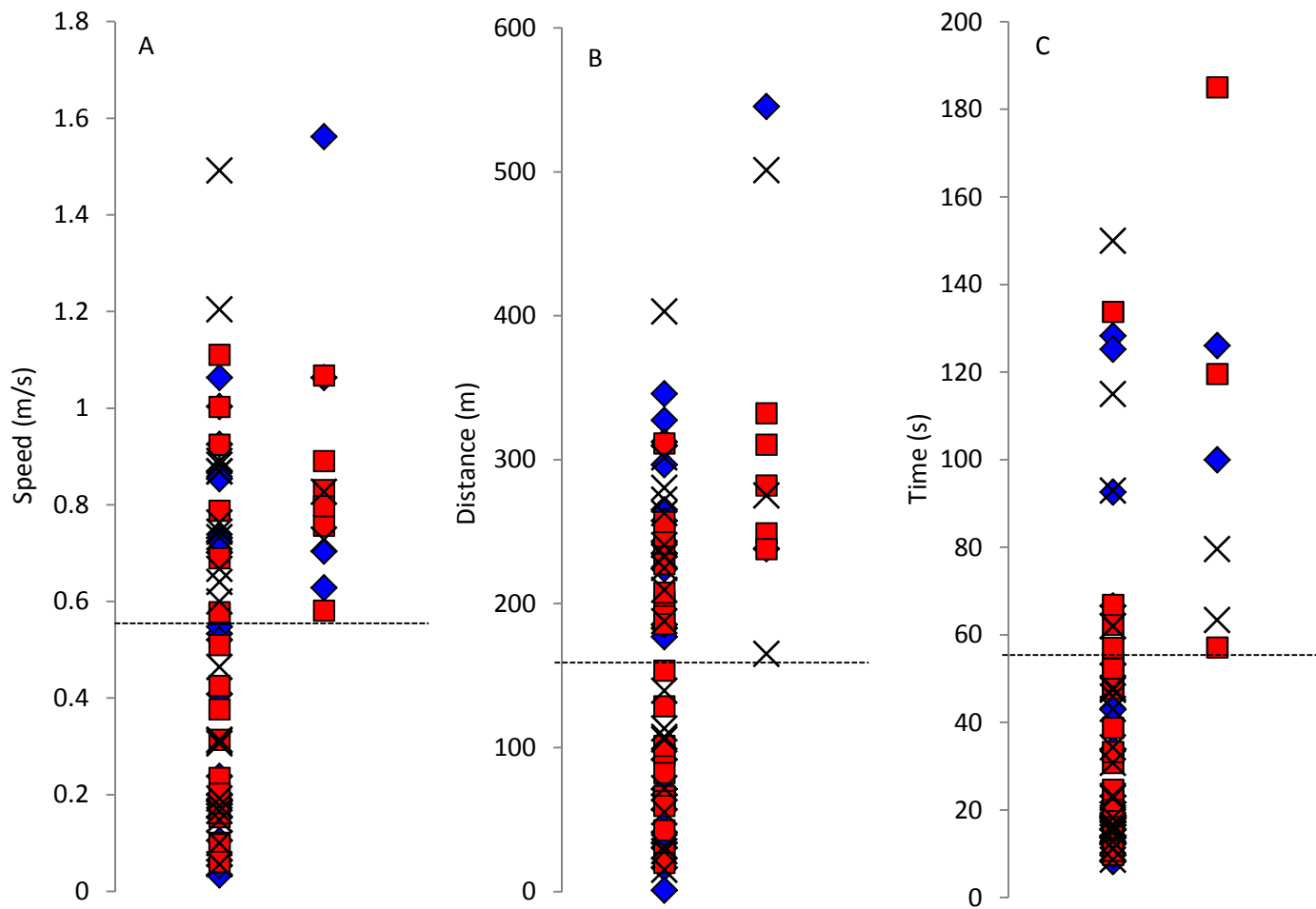




Figure 3

