

1 **Investigation into the dosage form attributes of currently UK licensed cardiovascular**
2 **and Parkinson's disease drug products**

3 Hanning, S. M.*, Muhamed, J. and Orlu-Gul, M.

4 University College London, School of Pharmacy, 29-39 Brunswick Square, London,
5 WC1N 1AX, UK

6

7 ***Corresponding author:**

8 Sara Hanning

9 Department of Pharmaceutics

10 UCL School of Pharmacy

11 29-39 Brunswick Square

12 London, WC1N 1AX

13 United Kingdom

14 Phone 0044 20 7753 5968

15 Email s.hanning@ucl.ac.uk

16

17

18

19 **Abstract**

20 Globally, there is a continuous rise in the older population (over 65 years), particularly in
21 developed countries. As many diseases are age-related, older adults represent a highly
22 heterogeneous cohort. This presents a major challenge for both the pharmaceutical industry
23 and healthcare professionals. The purpose of this research was to attract attention towards
24 the appropriateness of geriatric formulations by investigating the dosage form attributes of
25 currently UK licensed cardiovascular and Parkinson's disease drug products. Medication
26 available in the UK for cardiovascular disorders and Parkinson's disease were screened and
27 the available formulations, packaging and patient information leaflets of these medicines
28 were analysed, with the goal of raising awareness of the need to cater for elderly patients
29 with increasing difficulty in managing their medication. It emerged that although
30 cardiovascular disorders and Parkinson's disease are more prevalent in older people, many
31 treatment options have not been optimised for this cohort. In particular, older patient centred
32 dosage forms, specific dosing requirements, excipients, patient-friendly packaging and easy-
33 to-follow patient information were highlighted as areas to be considered in order to optimise
34 health outcomes in the ageing population.

35

36 **Keywords**

37 Older people, dosage form, cardiovascular disease, Parkinson's disease, polypharmacy,
38 excipient

39

40

41 Older people, defined as individuals over 65 years old, represent approximately 17.2% of the
42 total community population in the United Kingdom (UK) and this is expected to increase to
43 22.4% by 2032 (AgeUK, 2014). Similarly, older people constitute around 17% of the total
44 community population in Europe and this is predicted to increase to 30% in 2050 (European
45 Medicines Agency, 2013). According the Age UK 2014 report on later life, cardiovascular
46 disease (CVD) is the greatest causes of death in the UK in people over 65 years (AgeUK,
47 2014). Parkinson's disease (PD) is another fatal disease that is prevalent in the older
48 population, with almost two thirds of all PD cases reported in those over 70 years old
49 (Meara, 2000). Therefore, in the present study, pharmaceutical products listed in the British
50 National Formulary (BNF) for CVD and PD were screened in order to determine the
51 suitability of the formulations and associated information for older people. It has been
52 previously reported that up to 50% of patients do not use their medication as prescribed
53 (National Collaborating Centre for Primary Care, 2009). By screening the available
54 information on formulations from two areas of particular importance in prescribing for older
55 patients, this research aims to assess the appropriateness of dosage forms with respect to
56 the older population.

57

58 All products listed in the Cardiovascular System and Parkinsonism and Related Disorders
59 sections of the BNF (Joint Formulary Committee, 2013) were included for analysis. The
60 available data were then compiled from relevant UK reference sources - the BNF, Summary
61 of Products Characteristics (SmPC) and Patient Information Leaflets (PILS) (Joint Formulary
62 Committee, 2013). In the BNF, 262 products were listed under cardiovascular system and
63 41 products were listed under Parkinsonism and related disorders. The SmPCs as well as
64 relevant PILs were screened and aspects considered significant in the optimisation of
65 formulations for CVDs (Table 1) and PD (Table 2) in elderly patients were identified for
66 analysis.

67

68 The classification of dosage forms for CVD and PD drug products are presented in Table 3.
69 Of the listed cardiovascular drug products, 48% specified general posology adjustment
70 guidelines based on both renal and hepatic function (Fig.1). Posology adjustments of the
71 cardiovascular formulations were mainly based on renal and hepatic impairment. Renal and
72 hepatic functions are often reduced in older patients, affecting drug metabolism and
73 clearance (Mangoni and Jackson, 2004). However, there are other age-related changes that
74 can influence the pharmacokinetic and pharmacodynamic parameters of medication. For
75 example, older patients are often more sensitive to cardiovascular drugs such as digoxin and
76 warfarin, both of which have a narrow therapeutic index, due to a reduction in apparent
77 volume of distribution of these agents. This considerably increases serum concentration and
78 therefore, toxicology and adverse effects. On the contrary, the efficacy of adrenoceptor
79 blocking agents declines with age and careful dose titration is required. However, these
80 factors were considered for less than half of the available cardiovascular dosage forms, with
81 30 providing neither specific adjustments for older patients nor any information regarding
82 posology adjustments. This suggests that more academic and industrial efforts are required
83 to optimise the efficacy of medicines for the older population (Ford, 2000; Mangoni and
84 Jackson, 2004). Clinical trials often exclude older patients based on age, concomitant
85 conditions, polypharmacy, frailty or the higher costs associated with recruiting and
86 maintaining elderly during clinical trials. However, the extrapolation of clinical findings to
87 include patients outside the tested age range does not offer a true representation of these
88 groups. Clinical studies for older patients are required to maintain the safety of elderly
89 participants and to obtain valid professional data regarding those patients that can be used
90 by healthcare professionals (Cherubini et al., 2010; ICH Steering Committee, 2010).

91

92 Of the listed CVD products, 40% specified dose recommendations that were adjusted for
93 older patients, taking into account factors such as comorbidity, polypharmacy, increased
94 vulnerability to adverse effects and other age-related physiological changes that can impact
95 the pharmacodynamic profile and tolerability of the patient. The prevalence of modified

96 release formulations, fixed-dose combinations (FDCs) and “risky” excipients (for example
97 propylene glycol or sodium) are also shown in Fig. 1. Polypharmacy is common in older
98 patients. While multi-drug prescribing is often necessary in the treatment of age-related
99 conditions including CVD, it can significantly increase the complexity of dosing regimens and
100 contribute to non-compliance (Hilmer et al., 2007). FDCs allow multiple drugs to be
101 delivered in a single entity. Increasing the number of licensed FDCs would be beneficial in
102 reducing the number of medications required in conditions such as CVD, when the level of
103 concomitant prescribing is high (Bangalore et al., 2007; Martial et al., 2013). Modified
104 release formulations offer a prolonged action in the body, which in turn may reduce the
105 frequency of dose administration, particularly in drugs with short biological half-lives.
106 Reducing the complexity of drug regimens by minimising dose frequency has been shown to
107 reduce the incidence of forgotten dose and increases compliance (Collett and Moreton,
108 2007).

109
110 Three types of packaging were identified for PD medication. The majority of formulations
111 (64%) were packaged in a glass bottle with either a screw, tamper evident or child resistant
112 closure, with 46% packed in blisters and 6% packaged in a container with a snap-lid.
113 Packaging is an essential tool in the preservation of safety, stability and identity of
114 pharmaceutical preparations. It can also affect the ability or willingness of a patient to take
115 their medication and can therefore, influence compliance (Murdan, 2013). Previous
116 research has demonstrated that many elderly people have difficulty opening medication
117 containers (Atkin et al., 1994; Philbert et al., 2014). In a recently published survey
118 investigating medicine packaging in older people, Philbert et al. (2014) found that one in four
119 individuals over 65 experienced difficulties opening their medication packaging. These
120 issues are compounded by age-related conditions such as PD and rheumatic disorders,
121 which cause dexterity difficulties. There is strong correlation between Parkinsonism and a
122 reduced ability to handle different types of pharmaceutical packaging, in particular snap-lids,

123 followed by screw-cap bottles and, to a lesser extent, blister packs (Beckman et al., 2005).
124 Therefore, it appears to be a serious oversight that some PD medication is packaged in
125 these formats.

126

127 PILs were available for 39 of the 41 screened preparations; the availability of elderly-specific
128 information is shown in Fig. 2. This information was limited for PD medication, with less than
129 13% containing pictograms to assist in portraying information (Fig. 2). Whilst patient-specific
130 information may be explained by the prescriber or pharmacist, inclusion of standard
131 information in the PILs may eliminate confusion, particularly as older patients are more likely
132 to have cognitive impairment, anxiety and poor vision, all of which will make it more difficult
133 to remember oral instructions and read written medication labels (Weinman, 1990).

134

135 The majority of cardiovascular formulations were formulated as solid oral dosage forms,
136 which is supported by previous research that found between 65% and 70% of available
137 drugs are formulated as solid oral dosage forms (Schiele et al., 2013). Oral dosage forms,
138 particularly solid formulations, tend to have higher stability, are easier to manufacture and
139 handle, cheaper compared to other dosage forms and more palatable. However, in elderly
140 patients, such dosage forms are not always suitable. The prevalence of dysphagia
141 increases with age and CVDs such as hypertension and hypercholesterolemia increase the
142 risk of stroke, which may further exacerbate swallowing difficulties. This may make it difficult
143 for patients to take the medication required to control their condition, such as warfarin and
144 aspirin, which are only available as solid oral dosage forms (Schiele et al., 2013). In
145 addition, complications associated with PD include dysphagia and motor disorders, which
146 can reduce the success of therapy and outweigh the convenience of oral drug delivery
147 (Meara, 2000; Monteiro et al., 2014). In the present study, many of the tablets for PD were
148 available only as a coated formulation to be swallowed whole. Challenges associated with
149 swallowing such a tablet whole may result in the incorrect modification of these dosage

150 forms by patients and subsequent reduced efficacy and safety issues (Schiele et al., 2013).
151 There are several alternative drug delivery systems that can minimise swallowing difficulties.
152 These include sublingual or buccal tablets, soluble film strips, orodispersible tablets,
153 crushable tablets and capsules that can be opened and mixed with soft food (Breitkreutz and
154 Boos, 2007; Dey and Maiti, 2010).

155

156 In the present study, nearly half of the screened CVD formulations contained sodium. In
157 some cases, the amount of sodium in effervescent, dispersible and soluble tablets
158 prescribed for cardiovascular disorders was found to be higher than the recommended UK
159 daily sodium intake of 2.4 g (104 mmol) for adults. High sodium consumption increases
160 water retention by disturbing the electrolyte balance in the body, increasing the risk of many
161 cardiovascular conditions such as hypertension, stroke and heart failure, especially in older
162 patients (George et al., 2013). Further, compounds such as sodium bicarbonate, which acts
163 as an alkalinising agent in some formulations, can aggravate cardiovascular events including
164 chronic heart failure in the elderly population (Turner et al., 2013). Therefore, it is important
165 that pharmaceutical manufacturers consider this when developing formulations and that
166 prescribers, pharmacists and patients are aware of the potential toxicity of excipients in
167 dosage forms and select appropriate dosage forms accordingly. To facilitate this, the
168 sodium content in pharmaceutical products should be clearly labelled, as is the case in the
169 food industry (George et al., 2013; Tuleu and Wright, 2013).

170

171 This study highlights the paucity of formulations specifically designed for the elderly
172 population, even in conditions such as CVD and PD, which are more prevalent in older
173 people. Specific age related limitations including comorbidity, polypharmacy, dysphagia,
174 impaired manual dexterity and visual and cognitive impairments need to be considered in
175 relation to their impact on the complexity of the drug regimen, compliance, type of the
176 dosage forms, posology adjustment, packaging and the layout of patient information. The

177 development of novel fixed-dose combinations and modified release formulations will assist
178 in the simplification of medication regimens and improve patient compliance.

179

180 **References**

- 181 AgeUK, 2014. Later Life in the United Kingdom, Available at:
182 http://www.ageuk.org.uk/Documents/EN-GB/Factsheets/Later_Life_UK_factsheet.pdf?dtrk=true.
183 London, UK. (Accessed: 17 April 2014).
- 184 Atkin, P.A., Finnegan, T.P., Ogle, S.J., Shenfield, G.M., 1994. Functional ability of patients to
185 manage medication packaging: a survey of geriatric inpatients. *Age Ageing* 23, 113-116.
- 186 Bangalore, S., Kamalakkannan, G., Parkar, S., Messerli, F.H., 2007. Fixed-dose
187 combinations improve medication compliance: a meta-analysis. *Am J Med* 120, 713-719.
- 188 Beckman, A., Bernsten, C., Parker, M.G., Thorslund, M., Fastbom, J., 2005. The difficulty of
189 opening medicine containers in old age: a population-based study. *Pharm World Sci* 27,
190 393-398.
- 191 Breitzkreutz, J., Boos, J., 2007. Paediatric and geriatric drug delivery. *Expert Opin Drug Deliv*
192 4, 37-45.
- 193 Cherubini, A., Del Signore, S., Ouslander, J., Semla, T., Michel, J.P., 2010. Fighting against
194 age discrimination in clinical trials. *J Am Geriatr Soc* 58, 1791-1796.
- 195 Collett, J.H., Moreton, R.C., 2007. Modified-release peroral dosage forms, in: Aulton, M.E.
196 (Ed.), *Aulton's Pharmaceutics: The Design and Manufacture of Medicines*, 3rd ed. Churchill
197 Livingstone Elsevier, Edinburgh, pp. 483-486.
- 198 Dey, P., Maiti, S., 2010. Orodispersible tablets: A new trend in drug delivery. *J Nat Sci Biol*
199 *Med* 1, 2-5.
- 200 European Medicines Agency, 2013. *Medicines for older people*, London, UK
- 201 Ford, G.A., 2000. Pharmacodynamics, in: Crome, P., Ford, G.A. (Eds.), *Drugs and the Older*
202 *Population*. Imperial College Press, London, pp. 90-101.
- 203 George, J., Majeed, W., Mackenzie, I.S., Macdonald, T.M., Wei, L., 2013. Association
204 between cardiovascular events and sodium-containing effervescent, dispersible, and soluble
205 drugs: nested case-control study. *Br Med J* 347, f6954.
- 206 Hilmer, S.N., McLachlan, A.J., Le Couteur, D.G., 2007. Clinical pharmacology in the geriatric
207 patient. *Fundam Clin Pharmacol* 21, 217-230.
- 208 ICH Steering Committee, 2010. E7 Studies in support of special populations: Geriatrics
209 (questions & answers) International Conference on Harmonisation of Technical
210 Requirements for Registration of Pharmaceuticals for Human Use., Geneva, Switzerland
- 211 Joint Formulary Committee, 2013. *British National Formulary*, 66th ed. BMJ Group and
212 Pharmaceutical Press, London.
- 213 Mangoni, A.A., Jackson, S.H., 2004. Age-related changes in pharmacokinetics and
214 pharmacodynamics: basic principles and practical applications. *Br J Clin Pharmacol* 57, 6-
215 14.

216 Martial, L., Mantel-Teeuwisse, A.K., Jansen, P.A.F., 2013. Background paper 7.3 : Priority
217 medicines for elderly, in: Kaplan, W., Wirtz, V., Mantel-Teeuwisse, A.K., Stolk, P., Duthey,
218 B., Laing, R. (Eds.), Priority Medicines for Europe and the World 2013 Update. World Health
219 Organisation Press, Geneva, Switzerland.

220 Meara, J., 2000. The drug treatment of Parkinson's disease in the elderly, in: Crome, P.,
221 Ford, G.A. (Eds.), Drugs and the Older Population. Imperial College Press, London, pp. 399-
222 419.

223 Monteiro, L., Souza-Machado, A., Pinho, P., Sampaio, M., Nobrega, A.C., Melo, A., 2014.
224 Swallowing impairment and pulmonary dysfunction in Parkinson's disease: The silent
225 threats. J Neurol Sci 339, 149-152.

226 Murdan, S., 2013. Packaging and stability of pharmaceutical products, in: Aulton, M.E.,
227 Taylor, K.M.G. (Eds.), Aulton's Pharmaceutics: The Design and Manufacture of Medicines,
228 4th ed. Churchill Livingstone, Edinburgh, pp. 811-812.

229 National Collaborating Centre for Primary Care, 2009. Medicines adherence: Involving
230 patients in decisions about prescribed medicines and supporting adherence, NICE Clinical
231 Guidelines. Royal College of General Practitioners (UK);,
232 <http://www.ncbi.nlm.nih.gov/books/NBK55440/>.

233 Philbert, D., Notenboom, K., Bouvy, M.L., van Geffen, E.C.G., 2014. Problems experienced
234 by older people when opening medicine packaging. Int J Pharm Pract 22, 200-204.

235 Schiele, J.T., Quinzler, R., Klimm, H.D., Pruszydlo, M.G., Haefeli, W.E., 2013. Difficulties
236 swallowing solid oral dosage forms in a general practice population: prevalence, causes, and
237 relationship to dosage forms. Eur J Clin Pharmacol 69, 937-948.

238 Tuleu, C., Wright, D., 2013. Design and administration of medicines for children and the
239 elderly, in: Aulton, M.E., Taylor, K.M.G. (Eds.), Aulton's Pharmaceutics: The Design and
240 Manufacture of Medicines, 4th ed. Churchill Livingstone, Edinburgh, pp. 751-765.

241 Turner, M.A., Duncan, J.C., Shah, U., Metsvaht, T., Varendi, H., Nellis, G., Lutsar, I.,
242 Yakkundi, S., McElnay, J.C., Pandya, H., Mulla, H., Vaconsin, P., Storme, T., Rieutord, A.,
243 Nunn, A.J., 2013. Risk assessment of neonatal excipient exposure: Lessons from food
244 safety and other areas. Adv Drug Deliv Rev.

245 Weinman, J., 1990. Providing written information for patients: Psychological considerations.
246 J R Soc Med 83, 303-305.

247

248

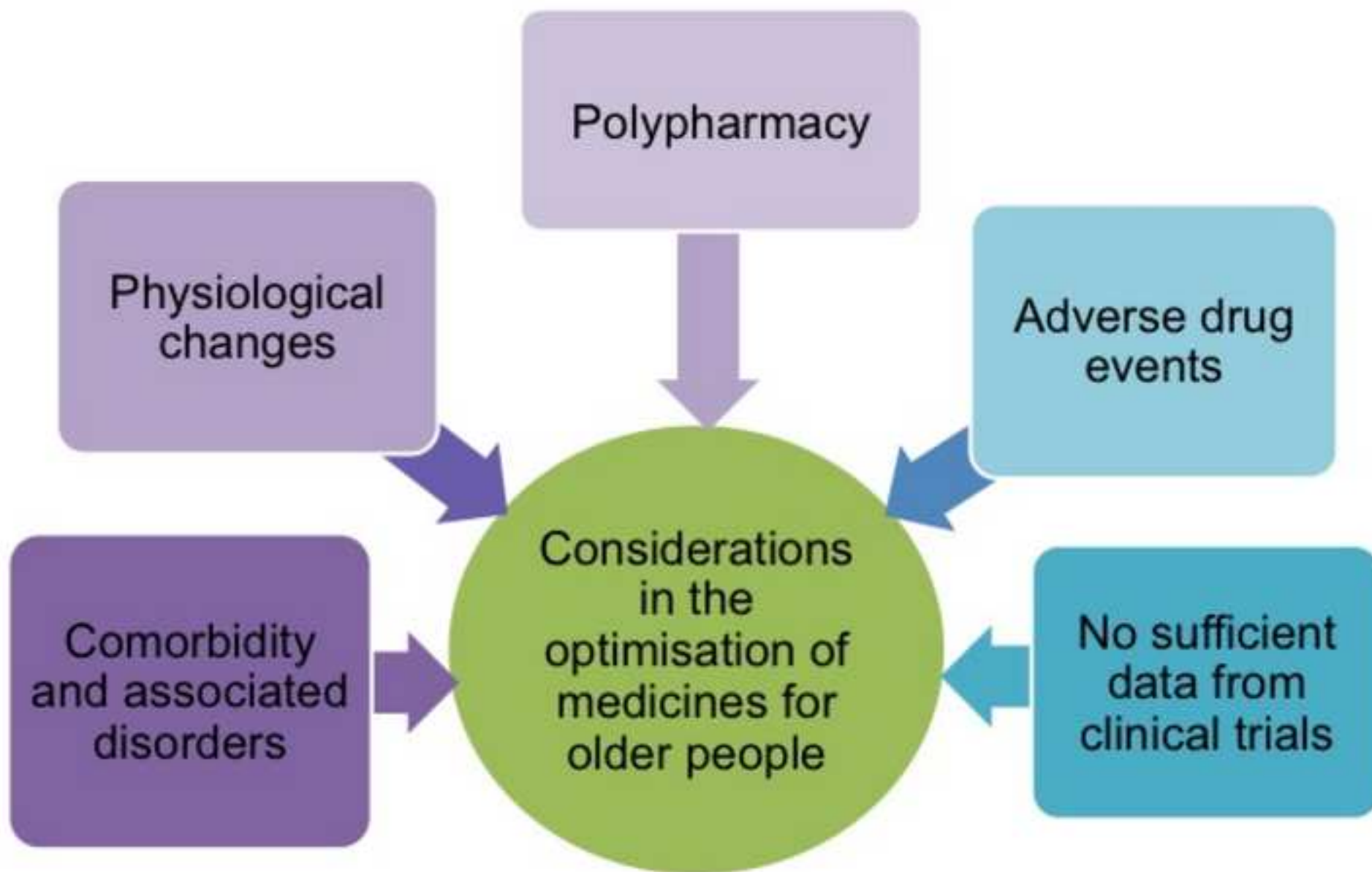


Table 1. Pharmaceutical formulation aspects considered significant in the screening of dosage forms with respect to elderly patients receiving medication for cardiovascular disorders.

BNF classification
Therapeutic indication(s)
Active pharmaceutical ingredient(s)
Available dosage forms
Therapy type (mono or fixed dose combinations (FDCs))
Dose frequency (mono- or multi- dose)
Potential "risky excipients" (propylene glycol, sodium)
Tablet scoring/ability to be halved
Drug release profile (immediate/modified release)
Coating type
Age range
Minimum age
Elderly-specific section
Packaging type
Storage conditions
Name of marketing authorisation holder
Information source and additional comments

Table 2. Pharmaceutical formulation aspects considered significant in the screening of dosage forms with respect to elderly patients receiving medication for Parkinson's disease.

BNF classification
Therapeutic indication(s)
Active pharmaceutical ingredient(s)
Available dosage forms
Method of administration
Therapy type (mono or fixed dose combinations (FDCs))
Drug release (immediate/modified release)
Packaging
Elderly-specific section
Definition of elderly
Pictogram
Specific warnings for elderly

Table 3. Classification of screened dosage forms and route of administration for cardiovascular disorders (CVD) and Parkinson's disease (PD). Observations are reported as number of drug products (n) and percentage of oral, sublingual, parenteral, topical or other formulations (%).

Route of administration	CVD (n = 262)	PD (n = 41)
Oral, <i>n</i> (%)	198 (76)	33 (80)
Tablets, <i>n</i>	150	20
Chewable tablets, <i>n</i>	1	-
Dispersible tablets, <i>n</i>	1	1
Orally disintegrating tablet	-	1
Capsules, <i>n</i>	30	5
Liquid, <i>n</i>	13	6
Sachet/powder, <i>n</i>	3	-
Sublingual, <i>n</i> (%)	3 (1.1)	-
Tablet	1	-
Spray	2	-
Parenteral, <i>n</i> (%)	58 (22)	7 (17)
IV injection, <i>n</i>	17	-
IV infusion, <i>n</i>	14	-
IV injection or infusion, <i>n</i>	17	-
IV/SC injection or infusion, <i>n</i>	1	-
IV/IM injection, <i>n</i>	-	1
IM injection, <i>n</i>	3	3
SC injection, <i>n</i>	5	2
Intra-ocular injection, <i>n</i>	1	-
Topical, <i>n</i> (%)	2 (0.76)	1 (2)
Ointment, <i>n</i>	1	-
Patches, <i>n</i>	1	1
Other	1 (0.38)	1 (2)
Pulmonary, <i>n</i> (%)	1	-
Intestinal gel, <i>n</i> (%)	-	1

IV = intravenous; IM = intramuscular; SC = subcutaneous

Fig. 1 Prevalence of key characteristics for each of the CVD formulations, presented as a percentage of the 262 formulations screened.

Fig. 2 The availability of elderly specific information in the PILs of formulations for Parkinson's disease.

Figure 1
[Click here to download high resolution image](#)

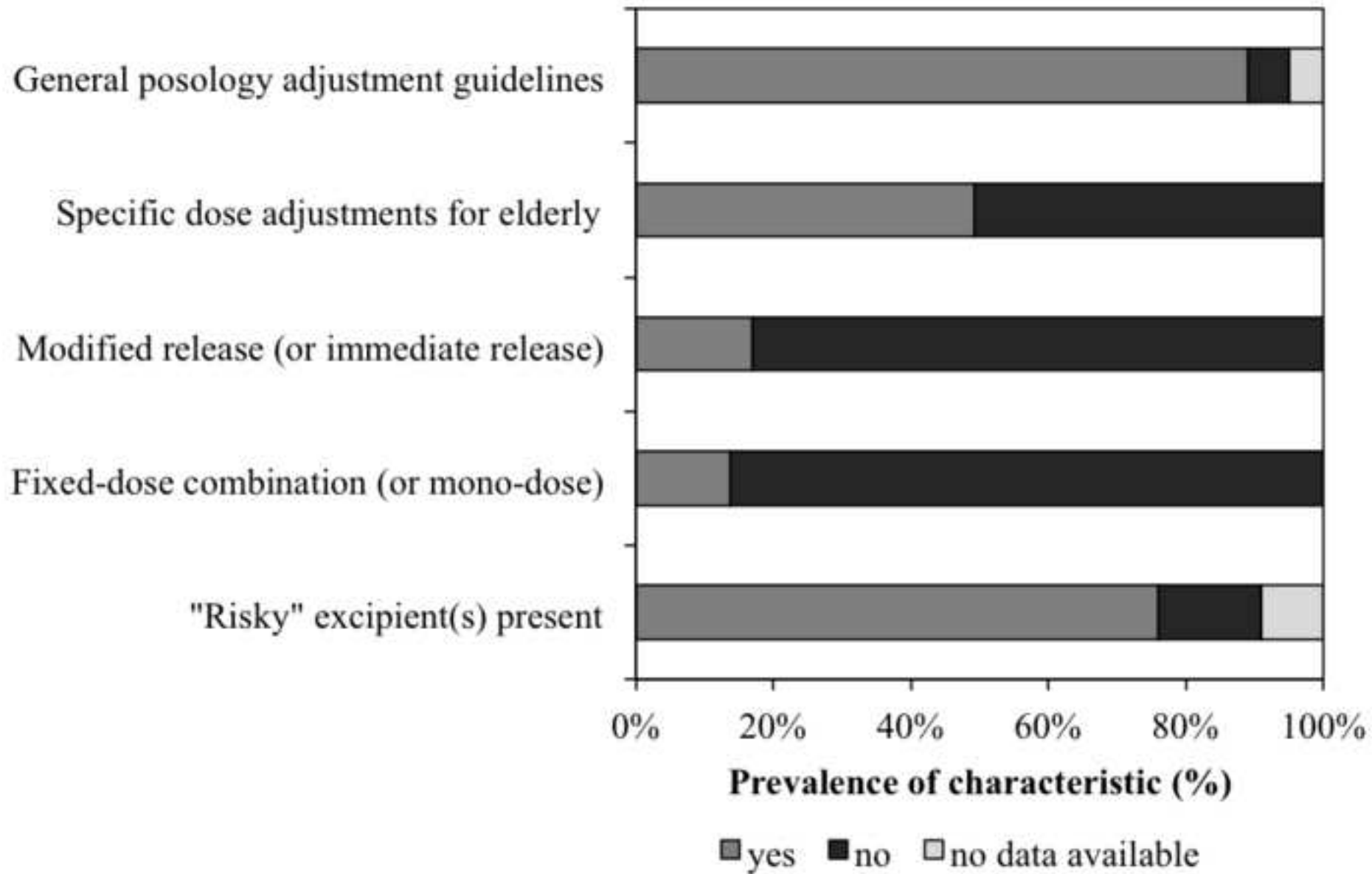


Figure 2
[Click here to download high resolution image](#)

