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## Investigation of different Compressed Sensing Approaches for Respiratory Gating in Small Animal CT

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Abstract- Respiratory gating is necessary in cardio-thoracic small-animal imaging because of the physiological motions that are present during scanning. When applying a low-dose protocol, fewer than 180 noisy projections may be left for the reconstruction of each respiratory phase, leading to streak artifacts. The Prior Image Constrained Compressed Sensing (PICCS) algorithm enables accurate reconstruction of highly undersampled data when a prior image is available. We evaluate three CS algorithms based on the Split-Bregman approach, with different transformations of the prior penalty function: Gradient (TV-PICCS), L1-norm (L1-PICCS), and Wavelet Transform (WT-PICCS), on low-dose data acquired on a micro-CT scanner. All CS methods performed very similarly in terms of noise and resolution, greatly improving filtered back-projection (79 % noise reduction) and eliminating streaks. Wavelet domain was found to be sparser and to show a more natural texture than the commonly used gradient domain.

#### I. INTRODUCTION

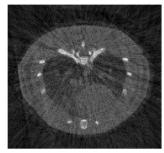
Respiratory gating is necessary in cardio-thoracic small-animal imaging because of the physiological motions that are present during scanning. In order to obtain good quality for every respiratory phase, it is necessary to acquire more data than in the case of standard protocol for static image, which significantly increases the dose. When applying a low-dose protocol, fewer than 180 noisy projections may be left for the reconstruction of each respiratory phase, leading to streak artifacts in the reconstructed images (Fig. 1). In [1, 2] this problem is solved in the analytical framework, using a variation of the McKinnon-Bates method. The algorithm is based on correcting an initial estimate made from the whole data set with the undersampled data for each respiratory phase. The authors show a noise and artifact reduction but it is challenging for the algorithm to correct for the artifacts that are present in the initial estimate [2].

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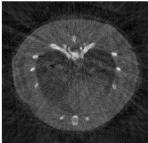


Fig. 1. Central slice of low-dose FDK reconstruction of phases 1 and 3.

In the compressed sensing framework, an image can be accurately reconstructed from few projections using convex optimization if the image is sparse on a transform domain [3, 4]. The most common sparsity domain is the total variation (TV) which efficiently removes noise and artifacts from the image caused by the undersampling. From the large variety of methods available for the solution of L1-penalty functions, the Split-Bregman algorithm has been shown to be optimal [5].

Compared with standard statistical reconstruction methods, TV-based approaches show that around 100 projections are enough to avoid streak artifacts, but the minimization of TV leads to patchy images for high undersampling factors [6].

The Prior Image Constrained Compressed Sensing (PICCS) algorithm is a combination of both strategies, i.e. prior image and sparsity condition, that solves this problem avoiding the patchy texture [7]. The prior in dynamic data is commonly chosen as the image reconstructed from averaging all frames.

The gradient domain is the preferred choice for enforcing the sparsity of the variation of each phase with respect to the prior. However, depending on the application, other transformations such as the pixel domain or the wavelet transformed may be sparser.

In this work we present an evaluation of three CS algorithms based on the Split-Bregman approach, with different transformations of the prior penalty function: Gradient (TV-PICCS), L1-norm (L1-PICCS), and Wavelet Transform (WT-PICCS), on low-dose data acquired on a micro-CT scanner and compared them to an image reconstructed using a filtered back-projection based algorithm (FDK).

#### II. METHODS

#### A. Data acquisition and low-dose simulation

The algorithms were tested on rodent data acquired with the CT subsystem of an ARGUS/CT (SEDECAL) [8], a conebeam micro-CT scanner based on a flat panel detector. We obtained 360 views covering 360°, with 32 images of 512×512 pixels (0.2×0.2 mm pixel size) per projection angle and a source voltage of 45 kVp. These high-dose projection data were arranged into four gates using a software-based retrospective gating [9].

To simulate the low-dose case, we randomly took 120 projections from each gate and added Poisson noise by modeling the measurements as independently distributed Poisson random variables:

$$Y_i \sim Poisson\left\{\overline{Y}_i\right\}$$
  $i = 1,..., N \text{ with } \overline{Y}_i = I_i e^{-\int_{ii}^{u}(x,y,z)},$  (1)

where u(x,y,z) is the unknown energy-dependent attenuation map of the object, and  $I_i$  is the intensity emitted by the X-ray source (we used  $I_i = 4.5 \times 10^{-4}$ ).

A prior image was obtained by adding the four low-dose gates and applying a Gaussian filter with  $\sigma$ =5.

#### B. Image reconstruction

Let F be the forward operator, u the unknown image,  $u_p$  the prior image, f the data, and  $T_1$  and  $T_2$  the transformed sparse domains. The PICCS algorithm is given by

$$\min_{\alpha} (1 - \alpha) \|T_1 u\|_1 + \alpha \|T_2 (u - u_p)\|_1 \text{ such that } Fu = f, \qquad (2)$$

where  $T_I$  is selected as the gradient operator (TV). This selection for  $T_I$  filters out noise while keeping edges.

The prior penalty term helps avoiding the cartoon-like texture and TV is also a common choice for  $T_2$ . However, other selections of  $T_2$  might be sparser for this application. We tried the pixel domain (L1-norm), i.e.  $T_2 = I$ , and the wavelet domain (WT).

In addition, we impose a support constraint that restricts the reconstruction to a circle  $\Omega$  and a positive constraint [10]. Thus, the reconstruction problem becomes

$$\min_{u} (1 - \alpha) \|T_1 u\|_1 + \alpha \|T_2 (u - u_p)\|_1 \text{ st } Fu = f, \text{ and } u \ge 0, u \in \Omega.$$
 (3)

This problem can be efficiently solved using the Split-Bregman formulation by including new variables,  $d_x$ ,  $d_y$ , w, and v that allow for the splitting

$$\begin{split} & \min_{\boldsymbol{d}_{x}, \boldsymbol{d}_{y}, v, w, u} (1 - \alpha) \left\| (\boldsymbol{d}_{x}, \boldsymbol{d}_{y}) \right\|_{1} + \alpha \left\| \boldsymbol{w} \right\|_{1} \\ & + \frac{\mu}{2} \left\| F \boldsymbol{u} - \boldsymbol{f}^{k} \right\|_{2}^{2} + \frac{\lambda}{2} \left\| \boldsymbol{d}_{x} - \boldsymbol{D}_{x} \boldsymbol{u} - \boldsymbol{b}_{x}^{k} \right\|_{2}^{2} + \frac{\lambda}{2} \left\| \boldsymbol{d}_{y} - \boldsymbol{D}_{y} \boldsymbol{u} - \boldsymbol{b}_{y}^{k} \right\|_{2}^{2} \\ & + \frac{\lambda}{2} \left\| \boldsymbol{w} - \boldsymbol{T}_{2} (\boldsymbol{u} - \boldsymbol{u}_{p}) - \boldsymbol{b}_{w}^{k} \right\|_{2}^{2} + \frac{\gamma}{2} \left\| \boldsymbol{v} - \boldsymbol{u} - \boldsymbol{b}_{v}^{k} \right\|_{2}^{2}, \end{split} \tag{4}$$

where the variables  $b_i$  represent the Bregman iterations that impose the constraints. Note that u is independent of the rest of

variables, so the previous equation can be split. Solution of u only involves 2-norm functionals and can be solved exactly and efficiently using a Gaussian-Krylov solver. Solution of  $d_x$ ,  $d_y$ , and w are solved using shrinkage formulas and v by hard thresholding [5].

Low-dose data were reconstructed by using Mangoose [11], an approximation of the FDK method, and three algorithms based on the Split-Bregman approach: TV-PICCS, L1-PICCS, WT-PICCS. Parameters in each algorithm were selected to give comparable resolution properties. We used  $\mu$ =20,  $\lambda$ =1,  $\gamma$ =0.1 and  $\alpha$  =0.8. Our reference standard for evaluation was the result of reconstructing the high-dose data using Mangoose.

#### III. RESULTS

Fig. 2 shows the sorted absolute value of the coefficients for each sparsifying transform. We can see that for this data set the wavelet domain is significantly sparser than the commonly used gradient domain.

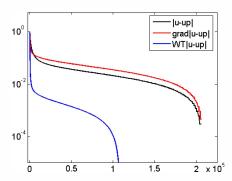


Fig. 2. Sparseness: Sorted absolute values on several transformed domains.

All CS methods eliminated the high noise and severe streak artifacts present in the FDK image due to the lack of projection angles. They also performed very similarly in terms of noise: noise reduction was around 79% measured in an 1800-pixel ROI on the heart area with respect to FDK.

Fig. 3 shows a profile taken across the lung and heart to assess the blurring present in the prior due to the respiratory movement (the white line in the Fig. 3, left). All CS methods showed a good match with the target in respect to resolution, whereas the prior is blurred.

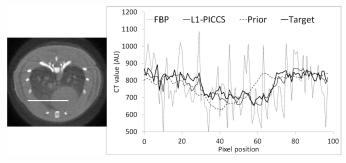


Fig. 3. Left: Central slice of the prior image. Right: Profiles along the white line: low-dose FDK and prior are far from the target, while all CS methods show better fit (only L1-PICCS shown).

Fig. 4 shows the best result for each CS method in terms of mean square error with respect to the high-dose reconstruction (target). TV-PICCS, L1-PICCS and WT-PICCS converged at 40, 25 and 25 iterations respectively.

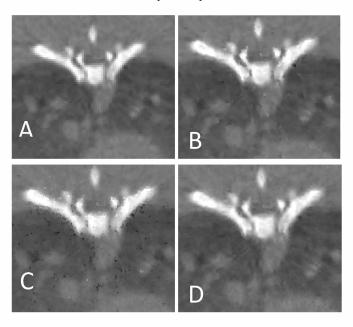


Fig 4. Zoom-in images for target (A), TV-PICCS (B), L1-PICCS (C) and WT-PICCS (D), from left to right and from top to bottom.

From visual inspection, we can appreciate differences in image texture: TV-PICCS (Fig. 4-B) shows a patchy-like pattern and L1-PICCS (Fig. 4-C) shows pixel-like artifact, while WT-PICCS (Fig. 4-D) shows a more natural texture.

#### IV. DISCUSSION AND CONCLUSION

We found that the selection of the sparsity transform for the prior term does not affect resolution and noise performance but it has an influence on the final image texture: Wavelet transform showed a more natural pattern than TV and L1-norm.

There are several limitations and approximations that will be considered in future work. First, in this study we present the results of the three methods for  $\alpha$ =0.8 (parameter that weights the prior penalty function), but a study of a wider range of the weighting parameter would be advisable. Second, statistical modeling has been recently shown to improve noise and texture on PICCS [12], and can be easily included within the SB formulation [13]. And finally, we have used a prior based on the union of data for all phase bins, where other priors such as a running average can provide better results [14].

In conclusion, we showed that a compressed sensing methodology using a Split-Bregman approach is feasible to reduce dose in CT with respiratory gating.

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