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*Published in:*  
Water, Air, and Soil Pollution

*DOI:*  
[10.1007/s11270-020-04477-2](https://doi.org/10.1007/s11270-020-04477-2)

*Publication date:*  
2020

*Document Version*  
Author accepted manuscript

[Link to publication in ResearchOnline](#)

*Citation for published version (Harvard):*

Coelho, LHG, de Jesus, TA, Kohatsu, MY, Poccia, GT, Chicarolli, V, Helwig, K, Hunter, C, Roberts, J, Teedon, P & Pahl, O 2020, 'Estrogenic hormones in São Paulo Waters (Brazil) and their relationship with environmental variables and *Sinapis alba* phytotoxicity', *Water, Air, and Soil Pollution*, vol. 231, 150.  
<https://doi.org/10.1007/s11270-020-04477-2>

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1           **Estrogenic hormones in São Paulo waters (Brazil) and their relationship with**  
2                           **environmental variables and *Sinapis alba* phytotoxicity**

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15

16 **ABSTRACT**

17 Present study evaluated the relationship between estrogenic hormones concentrations (17 $\alpha$ -  
18 ethinylestradiol and 17 $\beta$ -estradiol) in surface waters in the Metropolitan Region of São Paulo  
19 (Brazil) and environmental variables. Four sampling stations were monitored ranging from a  
20 protected area to streams discharging human effluent in and around Billings Reservoir. Four  
21 sampling campaigns were carried out in each seasonal period: DRY and WET. Samples for  
22 hormone analysis (in ng L<sup>-1</sup>) were concentrated (1000X) using solid phase extraction C<sub>18</sub>  
23 cartridges and analysed by liquid chromatography coupled to quadrupole mass spectrometry  
24 detection, with 100 ng L<sup>-1</sup> limit of quantification. Water temperature, pH, electrical  
25 conductivity (EC) and total dissolved solids were determined *in situ*; total phosphorus and  
26 *Sinapis alba* bioassays were performed subsequently. Reservoir active capacity (AC) and  
27 precipitation were also obtained. Estrogenic hormones concentrations were always below  
28 limit of quantification at pristine site; at the other sampling stations, 17 $\beta$ -estradiol  
29 concentrations varied from below limit of quantification to 1,720 ng L<sup>-1</sup> and 17 $\alpha$ -  
30 ethinylestradiol from below limit of quantification to 1,200 ng L<sup>-1</sup>, with the highest  
31 concentrations found in the streams discharging into the reservoir. These streams showed  
32 higher Pearson's correlation between 17 $\alpha$ -ethinylestradiol, total phosphorus, and electrical  
33 conductivity when compared with reservoir stations. Germination index and EC presented  
34 negative correlation (Pearson's  $r = -0.61$ ), denoting a phytotoxicity increase with EC  
35 increment. AC influenced the dilution of pollutants and showed negative correlations with  
36 total phosphorus (Pearson's  $r = -0.56$ ). These results highlight the relevance of including  
37 streams in water monitoring programs, since they are important pollutants loads into  
38 watersheds.

39 **Keywords:** 17  $\alpha$ -ethinylestradiol; 17 $\beta$ -estradiol; electrical conductivity; endocrine  
40 disruptors; streams monitoring; water pollution.

## 41        **1. Introduction**

42            In the last decades, an emerging issue in the area of environmental management is the  
43        contamination of the water sources with micropollutants (or so-called pollutants of emerging  
44        concern), defined as organic and inorganic compounds that, even at low concentrations (in the  
45        order of  $\mu\text{g L}^{-1}$  and  $\text{ng L}^{-1}$ ), present ecotoxicological risks. These contaminants comprehend a  
46        wide range of natural or synthetic chemical compounds, including pharmaceuticals, personal  
47        care products (PCPs), hormones, surfactants, flame retardants, pesticides and nanoparticles  
48        (Barceló and Petrovic 2008; Quadra et al. 2017). Some chemicals such as phthalates and  
49        polychlorinated biphenyls (PCBs) have industrial effluents as their main source of discharge;  
50        while pharmaceuticals and personal hygiene products commonly originate from domestic  
51        effluents (Ebele et al. 2017).

52            Among these micropollutants, the group known as endocrine disrupters (EDs) includes  
53        exogenous substances that have the capacity to alter organisms endocrine functions, thus  
54        causing adverse effects on human health (if ingested) and the aquatic ecosystem (Johns et al.  
55        2011; Rani and Karthikeyan 2016). Although the concentration of these contaminants in the  
56        environment is typically low (ng range), adverse effects due to chronic exposure cannot be  
57        excluded (Adeel et al. 2017).

58            Estrogenic hormones are considered responsible for the majority of endocrine effects  
59        triggered by the disposal of effluents contaminated with these compounds, since they are very  
60        active biologically and are related to the etiology of various types of cancers (Aris et al. 2014;  
61        Adeel et al. 2017). Estrogens introduced into the environment may be natural, such as  $17\beta$ -  
62        estradiol (E2), estriol, estrone, or synthetic, such as  $17\alpha$ -ethinylestradiol (EE2) and  
63        levonorgestrel, developed for use in hormone replacement therapies and contraceptive  
64        methods (Reis-Filho et al. 2006; Pereira et al. 2015). These contaminants may occur as parent  
65        compounds or in a partially metabolized form. In general 50-80% of the total parent

66 compounds are excreted in the urine and partly in the animal feces as a mixture of  
67 metabolized conjugated compounds (Lienert et al. 2007). Women who do not use  
68 contraceptives excrete between 10 and 100  $\mu\text{g}$  of estrogenic hormones daily, whereas women  
69 who are pregnant may excrete up to 30 mg of estrogens per day (Baronti et al. 2000).

70         Once released into water bodies, compound's physicochemical properties govern its  
71 partition between the water, sediment and biomass matrices in an ecosystem. Compounds  
72 with low water solubility and high organic phase partition coefficient ( $k_{ow}$ ) are generally more  
73 present in the adipose tissue of organisms, promoting bioaccumulation along the trophic  
74 levels. For example, estrogenic hormones have  $\log k_{ow}$  values ranging from 2.8 to 4.1,  
75 denoting that they are lipophilic (affinity for lipids), poorly soluble in water and more likely  
76 to be present in higher concentrations in the biomass, the organic matter that makes up the  
77 sediment, or adhered to suspended solids present in the liquid phase of a waterbody (Ebele et  
78 al. 2017). However, when excreted by mammals, estrogenic hormones are not in their  
79 parental form, but conjugated (i.e. glucuronic acid or sulfate conjugates) which makes them  
80 10 to 50 times more soluble in water (Birkett and Lester 2002; Ebele et al. 2017).

81         In the European Union (EU), these substances are currently on a Watch List in order to  
82 gather monitoring data for the purpose of facilitating the determination of appropriate  
83 measures to address the risk posed by those substances (Directive 2013/39/EU). The United  
84 States Environmental Protection Agency (USEPA) included these substances in their Third  
85 Unregulated Contaminant Monitoring Rule (USEPA 2012). In Brazil, there are still no  
86 national regulations that define concentration limits for these compounds in environmental  
87 matrices (Padhye and Tezel 2013).

88         Electrical conductivity (EC) is a normalized measure of the ability of water to conduct  
89 an electric current and is directly related to the concentration of dissolved salts in water (Hem  
90 2012). According Su et al. (2017), the monitoring of in-stream EC is a feasible alternative to

91 multi-sampling of hydrogeochemical parameters. EC has been widely investigated as a  
92 marker of pollution from wastewater discharges (Chalupová et al. 2012; Thompson et al.  
93 2012). EC measurements are therefore useful as a screening tool of pollution levels,  
94 indicating loads of anthropogenic contribution. Bonvin et al. (2011) found a strong correlation  
95 between EC and concentrations of wastewater-derived micropollutants in Lake Geneva. Total  
96 dissolved solids (TDS) measures the combination between all inorganic and organic dissolved  
97 substances contained in the water and directly correlates with EC values.

98 Ecotoxicological bioassays for water quality assessment are an environmental  
99 monitoring tool that relate the concentration of xenobiotics to a response in the test organisms  
100 (Magalhães and Ferrão-Filho 2008; Silva et al. 2015). Belo (2011) recommends that  
101 ecotoxicological bioassays with seeds should be used in an integrated way with other  
102 chemical parameters for a better understanding. As an example, Dash (2012) verified the  
103 toxicity level of raw sewage samples from Bhubaneswar, India, using rice seeds. The  
104 germination index (GI) of rice seeds was 70% after 3 days incubation, which could be  
105 considered as moderately phytotoxic.

106 Seasonality may affect the water bodies' quality through greater dilution in rainy  
107 periods (Girard et al. 2016; Ling et al. 2017). However, Gomes et al. (2019) found inferior  
108 water quality in wet season, elucidating impact of catchment laden pollutant runoff. This was  
109 in contrast to the common local perception that rainy season would flush out pollutants.

110 This study is a part of the project Water Environmental Micropollutant Scientific  
111 Initiative (WEMSI), a collaborative partnership between Brazil and Scotland. The work  
112 objectives were: (I) evaluate the concentrations of selected estrogenic hormones in surface  
113 waters of Rio Grande, a Billings Reservoir Branch, , an important drinking water source for  
114 Metropolitan Area of São Paulo (MASP) and in streams which inflows this waterbody; (II)  
115 examine correlations between the concentrations of these hormones and environmental

116 variables, as well as with *Sinapis alba* bioassays; (III) evaluate the influence of seasonality  
117 and reservoir active capacity (AC) in pollutant dilution; (IV) evaluate the relevance of streams  
118 monitoring as source of pollutants to the reservoir.

119

## 120 **2. Material and Methods**

### 121 **2.1 Study Area**

122 Billings Reservoir (Figure 1), located in the Tietê River Basin (Cardoso-Silva et al.  
123 2014), is the largest reservoir in MASP with a surface area of 127 million m<sup>2</sup> and a maximum  
124 depth of 19 m. One of the Billings Reservoir Branches: the Rio Grande, the focus of the  
125 present study, is used to supply water to 1.2 million inhabitants of the Greater São Paulo ABC  
126 Region (Cardoso-Silva et al. 2014). The waters of Rio Grande Branch are also valuable for  
127 amateur fishing; recreation (swimming and boating); landscape aesthetics and agriculture.  
128 Unfortunately, this branch also receives raw and treated sewage effluents from legal and  
129 illegal surrounding settlements. Preliminary EC monitoring along Rio Grande Branch allowed  
130 the selection of four sampling stations reported in Table 1.

131

### 132 **2.2. Sampling**

133 The climate in MASP is typically dry in winter and wet in summer (Lima and Rueda  
134 2018). Thus, to evaluate the influence of seasonality in pollutant dilution, eight sampling  
135 campaigns were undertaken from June 2017 to February 2018. The dry period (April to  
136 August) was identified with “D” and in wet period (September to March) was identified with  
137 “W”. The dry period sampling campaigns were conducted: DI on 13/6/17; DII on 19/6/17;  
138 DIII on 28/7/17 & DIV on 23/8/17. The wet period sampling campaigns were conducted: WI  
139 on 27/10/17; WII on 10/11/17; WIII on 7/12/17 & WIV on 22/2/17. Rainfall days were  
140 avoided.

141 Subsurface water samples were collected in triplicate ( $n = 3$ ) using a Van Dorn bottle  
142 and stored in 5-L capacity glass bottles previously cleaned with  $1 \text{ mol L}^{-1}$  HCl (10% v/v) and  
143 rinsed with deionized water. Field blank containing free water poured into the container in the  
144 field was also preserved and shipped to the laboratory. All samples were refrigerated during  
145 transportation to the Laboratory of Environmental Analyses of Federal University of ABC for  
146 estrogenic hormones analysis, total phosphorus and *Sinapis alba* bioassays.

147

### 148 **2.3. Reagents**

149 Analytical standards (EE2 and E2) with purity levels greater than 98% obtained from  
150 Sigma Aldrich were used for the chromatographic analyzes. Organic solvents of High  
151 performance liquid chromatography (HPLC) grade with purity  $> 99.9\%$  (JT Baker) were used  
152 for extraction and elution and American Chemical Society reagent grades (Sigma Aldrich) for  
153 total phosphorus analysis and phytotoxicity bioassays.

154

### 155 **2.4. Estrogenic hormones analysis**

156 Analytical determination of estrogenic hormones was based in EPA Method 539  
157 (USEPA 2010). The glassware used during the analysis were washed with Extran detergent in  
158 tap water (8% v/v) and then rinsed with deionized water. The concentration of the estrogenic  
159 hormones (E2 and EE2) in water samples was performed using solid phase extraction (SPE)  
160 as described by Machado et al. (2014). Briefly the collected samples were filtered through a  
161 cellulose acetate membrane ( $0.45 \mu\text{m}$  porosity) to remove particulate material and the pH  
162 adjusted to 3.0. SPE cartridges ( $\text{C}_{18}$ , 500 mg, 6 mL, Strata Phenomenex) were conditioned  
163 with solvents of increasing polarity (methanol and ultra-pure water) at a flow rate of  $5 \text{ mL}$   
164  $\text{min}^{-1}$ .



165 After conditioning, 1-liter of filtered aqueous sample was passed through the cartridge  
166 to extract the target compounds. The cartridges were stored in a refrigerator prior to Liquid  
167 chromatography–mass spectrometry (LC-MS) analysis where the retained compounds were  
168 eluted with acetonitrile. The solvent was evaporated in an inert atmosphere at 40 °C and  
169 residual content suspended in 1 mL of methanol (achieving a 1000X concentration factor).

170 To assure and control data quality, a QA/QC protocol was planned and systematically  
171 implemented along the analytical processes. For SPE blanks were used to assess potential  
172 contamination as well as spikes of hormones standards with two-level concentrations used to  
173 obtain recovery and accuracy. All experiments were conducted in duplicates to determine  
174 analytical precision.

175 The concentrations of estrogenic hormones were determined using liquid  
176 chromatograph (Agilent 1200 Infinity, USA) coupled to a triple quadrupole type mass  
177 spectrometer (Agilent 6130, USA).

178 The simultaneous determination of EE2 and E2 by LC-MS was performed utilizing a  
179 C<sub>18</sub> column (Zorbax Eclipse Plus, 100 mm x 4.6 mm x 3.5 µm, Agilent, USA) at 40 °C with a  
180 mobile phase flow of 0.3 mL min<sup>-1</sup>. The mobile phases consisted of 0.02% NH<sub>4</sub>OH in water  
181 and 0.02% NH<sub>4</sub>OH in methanol under gradient elution (USEPA 2010). The injection volume  
182 was 20 µL and detection wavelength at  $\lambda = 281$  nm. After the chromatographic separation, the  
183 mobile phase containing the analytes was subjected to the electrospray ionization stage in the  
184 mass spectrometer. The ionization source was operated in the negative mode at a voltage of  
185 4000 V. Dry nitrogen gas was used as the carrier at a flow rate of 10 L min<sup>-1</sup> and a  
186 temperature of 350 °C. Estrogenic hormones were identified and quantified using an external  
187 calibration method over a concentration range of 100 to 5000 µg L<sup>-1</sup>. Mass spectra  
188 quantification and confirmation were acquired in multiple reaction monitoring (MRM) mode  
189 using the m/z transitions 295>145 for EE2 and 271 > 159 for E2.

190 The limits of detection (LOD) and limits of quantification (LOQ) were determined by  
191 injecting progressively lower concentrations of the standard solutions of E2 and EE2 under  
192 the chromatographic condition described above. LOD and LOQ were calculated directly from  
193 the calibration plot, considering LOD and LOQ as 3 and 10  $\rho/S$ , respectively, where  $\rho$  is the  
194 standard deviation (SD) of intercept and S is the slope of the calibration curve. For  
195 repeatability evaluation, 10 replicate of both compounds were analyzed at three concentration  
196 levels (100, 500 and 1000  $\mu\text{g L}^{-1}$ ).

197 LC-MS linear calibration curves resulted in Pearson-r = 0.999 for E2 and 0.997 for  
198 EE2. For both compounds, the LOD and LOQ were 30  $\mu\text{g L}^{-1}$  and 100  $\mu\text{g L}^{-1}$ , respectively.  
199 Considering the concentration factor of 1000, the LC-MS method enabled quantification of  
200 100  $\text{ng L}^{-1}$  of each hormone in water samples. The LC-MS analysis of the 10 replicates at the  
201 three selected concentrations showed accuracies between 90 and 97%, demonstrating good  
202 repeatability of the chromatographic method.

203 The mean recovery for E2 using the Strata SPE cartridges was  $89.3 \pm 4.1\%$  and for  
204 EE2 it was  $75.7 \pm 4.5\%$ . EPA method 539 (USEPA 2010) outlined the acceptance recovery  
205 efficiencies for steroid hormones in water samples. The acceptance criteria are generous, for  
206 example, for analysis of E2, the EPA acceptance criteria ranged from 55 to 108% with  
207 Relative Standard Deviations (RSD) of 30% and from 55 to 110% with a RSD of 30% for  
208 EE2. Results indicate little sample matrix effect on the extraction procedure and the non-  
209 necessity of further sample clean-up steps which would be more laborious, expensive and  
210 time consuming, besides being an extra source of sample contamination.

211

## 212 ***2.5. Environmental variables***

213 Daily precipitation and reservoir active capacity data were obtained from Sabesp  
214 (2019). Water temperature, pH, EC and TDS were evaluated in the field using a pre-calibrated

215 multiparameter probe (Horiba U50, Japan). Total Phosphorus (TP) analysis was conducted at  
 216 UFABC, with all glassware previously decontaminated with 10% (v/v) HCl and rinsed with  
 217 deionized water. Analytical blanks and a calibration curve (0.01-1.0 mg L<sup>-1</sup>). Water samples  
 218 were digested in an autoclave using oxidation reagents and the concentrations determined  
 219 spectrophotometrically (Hach DR 5000, USA) in the visible ultraviolet region (APHA 2012).

220

## 221 **2.6. *Sinapis alba* bioassays**

222 *Sinapis alba* bioassays were performed to assess the phytotoxicity of water samples  
 223 and followed an adapted methodology based on Belo (2011), ISO 11269-1: 2012, Vieira  
 224 (2016) and Kohatsu et al. (2018). The experiment consisted of placing a filter paper in a 90  
 225 mm diameter glass Petri dish and moistening with sample (3 mL) to be tested. Mustard seeds  
 226 were placed centrally and uniformly (in-line) on the wetted filter paper in each Petri dish.  
 227 Subsequently, the Petri dishes were capped and wrapped in plastic film to avoid evaporative  
 228 loss of the sample. The Petri dishes were placed in an upright position with no exposure to  
 229 light and at (21 ± 2) °C for three days. International Standard Organization (ISO) water (ISO  
 230 11269-1: 2012) was used as positive control to determine normal root lengths under optimum  
 231 conditions and evaluate the seeds quality. The experiments were performed in triplicate.

232 After the three day period, germination was determined and root length measured with  
 233 a digital calliper (Mtx, 150 mm, 0.01 mm resolution). Any potential toxic effect was assessed  
 234 as relative percentage of seed germination (%RSG), relative percentage of root growth  
 235 (%RRG) and the germination index (GI).

236 To calculate the %RSG for each plate, equation 1 was used, where  $\bar{\bar{S}}g_s$  is the  
 237 arithmetic mean of the number of germinated seeds in the sample;  $\bar{\bar{S}}g_c$  is the arithmetic mean  
 238 of the number of germinated seeds in the control.

$$239 \quad \%RSG = \frac{\bar{\bar{S}}g_s}{\bar{\bar{S}}g_c} * 100\% \quad \text{Equation 1}$$

240 The relative percentage of root growth (%RRG) for each plate was estimated by  
 241 equation 2, where  $\bar{Lr}_s$  is the arithmetic mean of the root length in the sample; and  $\bar{Lr}_c$  is the  
 242 arithmetic mean of the root length in the control.

$$243 \quad \%RRG = \frac{\bar{Lr}_s}{\bar{Lr}_c} * 100\% \quad \text{Equation 2}$$

244 After determining %RSG and %RRG, the GI was determined by equation 3:

$$245 \quad GI = \frac{\%RSG * \%RRG}{100} \quad \text{Equation 3}$$

246 The phytotoxicity of the samples was rated according to Belo (2011): GI > 100% -  
 247 material enhances germination and root growth of seeds; 80 > GI < 100% - no phytotoxic,  
 248 mature compound; 60 > GI < 80% - moderately phytotoxic; 30 > GI < 60% - phytotoxic; GI <  
 249 30% - strongly phytotoxic.

250

## 251 **2.7. Statistical analysis**

252 Analyses of Pearson product moment correlation coefficients were used to determine  
 253 significant associations between limnological parameters (EC, pH, TDS and TP), hormones  
 254 concentrations and phytotoxicity results. Strong correlation for Pearson coefficient value lies  
 255 between  $\pm 0.50$  and  $\pm 1$ , for moderate degree between  $\pm 0.30$  and  $\pm 0.49$ , and low degree  
 256 below  $\pm 0.29$  (Statstutor 2020). For analysis of variance (ANOVA), a p value <0.05 was  
 257 considered for a significant statistical difference between the evaluated groups. The Tukey's  
 258 test results were shown by letters above boxplot representations. Levels that are not  
 259 significantly different from each other are represented with the same letter (e.g.: a, b or c).  
 260 Pearson correlation analyses, ANOVA statistics and Tukey's tests were done using Microcal  
 261 Origin 8.1 software.

262 Principal Components Analysis (PCA) was done to facilitate interpretation of  
 263 multivariate results and was performed using PAST 3.20 software (Hammer 2001).  
 264 Limnological data were treated by PCA to identify possible temporal or spatial patterns

265 among the sampling stations. Factor loadings  $>0.7$  are typically regarded as excellent and  
266  $<0.3$  very poor. In this study, all principal factors extracted from the variables were retained  
267 with eigenvalues  $>1.0$  (Yongming et al. 2006).

268

### 269 **3. Results**

#### 270 *3.1. Estrogenic hormones concentration*

271 E2 and EE2 levels during the eight sampling campaigns are shown in Table 2.  
272 Concentrations of both compounds at station S1 (Reference) were below LOQ ( $100 \text{ ng L}^{-1}$ ) in  
273 all the sampling campaigns. Station S3 (Ribeirão Pires stream) measurable E2 was found in  
274 all campaigns ( $110 - 1,700 \text{ ng L}^{-1}$ ) and EE2 in six ( $210 - 1,200 \text{ ng L}^{-1}$ ). The S4 sampling  
275 station, Tubarão stream, yielded E2 in five campaigns ( $300 - 1,720 \text{ ng L}^{-1}$ ) and EE2 in seven  
276 ( $120 - 650 \text{ ng L}^{-1}$ ).

277

#### 278 *3.2. Environmental variables monitoring*

279 Precipitation data (mm) within the studied area, together with the Rio Grande active  
280 capacity (AC, %) and sampling campaign dates are presented in Figure 2. Total rainfall  
281 during the study period was 1157 mm, with 39% in dry (April – August) and 61% in wet  
282 (September-March) periods, respectively. The AC (%) showed a different pattern, with a  
283 greater capacity ( $>70\%$ ) at sampling DI, DII and WIV. Whereas sampling campaigns WI, WII  
284 and DIII occurred when the reservoir was operating at around 65% capacity. This indicates  
285 environmental dilution during the dry sampling campaigns could be typically greater than that  
286 in the wet sampling campaigns.

287 Figure 3 shows environmental variables boxplots and ANOVA results with Tukey's  
288 test. Water temperature was higher in the wet than in the dry season, but no statistical  
289 difference was observed seasonally or spatially. Considering seasonal variation no statistical

290 difference was observed within EC, TDS and TP, which might have been influenced by AC  
291 variability. However, for these parameters, ANOVA results showed spatial significant  
292 differences among stations located in the reservoir (S1 and S2) and in the streams (S3 and  
293 S4), evidencing the contribution of the streams to pollution loads in the reservoir with  
294 subsequent dilution effect.

295 The mean GI value for samples from the dry period was  $83.16 \pm 0.32\%$  and the wet  
296 season  $82.74 \pm 0.37\%$  (both no phytotoxic). S3 was the only station where the GI value in  
297 both periods was classified as moderately phytotoxic (GI between 60 and 80%). Overall, no  
298 seasonal difference among GI values was observed.

299

### 300 ***3.3. PCA of Reservoir stations***

301 Figure 4 shows PCA results obtained using the general indicators of anthropogenic  
302 pollution to reservoir stations (S1 and S2). The first two components explained 97.7% of the  
303 total variance. The PC1 represents 78.4% of the data variability and the most influential  
304 variables are EC, TDS, pH and GI. PC2 explained 19.2% of the variance, where EC, TDS,  
305 and TP are the variables with more influence. Temperature (T) is the most important factor in  
306 the PC3, which explains 1.8%. This PCA analysis shows clearly that TDS and EC are highly  
307 correlated.

308 The score graph do not shows the separation of sites S1 and S2 into different groups,  
309 which may not mean that there is no distinction, and could simply mean that largest sources  
310 of variation are similar in both groups. Water samples from sampling campaigns D1-S1, D1-  
311 S2, and WII-S2 showed the highest values of the EC, TDS and TP suggesting no existence of  
312 spatial and seasonal trends in the pollutant concentrations in the reservoir. The loading  
313 graphic permits to observe that EC and TDS showed high positive correlation among  
314 themselves and strong negative with TP.

315 Figure 5 (a-c) shows the Pearson's correlation coefficients for AC ( $r_{S1} = -0.59$  and  $r_{S2} =$   
316  $-0.89$ ), TP ( $r = -0.56$ ) and GI ( $r = -0.61$ ) with respect to EC values obtained to reservoir  
317 stations (S1 and S2).

318

### 319 ***3.4. PCA of Streams stations***

320 Figure 6 shows PCA with estrogenic hormones concentration, GI and limnological  
321 parameters for the streams stations (S3 and S4). The first two components explained 91.9 %  
322 of the total variance. The PC1 represents 63.4 % of the data variability and the most  
323 influential variables are E2 and EE2 concentrations and PC2 explained 28.7 % of the  
324 variance, where TP most influenced the results.

325 The score graph do not shows spatial distinction between streams S3 and S4 which  
326 might indicate that largest sources of variation are similar in both groups. Neither seasonal  
327 group distinction was observed within data. The loading graphic permits to observe that E2  
328 levels most influenced PCA with no significant correlation to common indicators of  
329 anthropogenic pollution (e.g.: EC and TP).

330

## 331 **4. Discussion**

### 332 ***4.1. Presence of hormones in Rio Grande waters and adjacent streams***

333 The development of more selective and sensitive analytical techniques has allowed the  
334 identification and quantification of these active estrogenic compounds in low concentrations  
335 in aquatic environments from different parts of the world (Zuccato et al. 2005; Nakada et al.  
336 2006; Kim et al. 2007; Benotti et al. 2009; Kummerer 2009; Sui et al. 2010; Gracia-Lor et al.  
337 2012; Tran et al. 2018).

338 At present study, estrogenic hormones levels were not detected at station S1, reflecting  
339 the preservation status of this area, which is less impacted by anthropogenic sources. Sousa et

340 al. (2014) evaluated different emerging pollutants in river water from metropolitan region of  
341 São Paulo and Campinas city and did not find detectable E2 and EE2. Even in very clear  
342 water, hormones have been reported in the literature, for example, Scala-Benuzzi et al. (2018)  
343 found 8.2 ng L<sup>-1</sup> of EE2 in pristine river waters in Argentina. Caldwell et al. (2012) noted that  
344 reported concentrations in the United States and Europe reach about 0.2 or 0.3 ng L<sup>-1</sup> in low  
345 flow conditions, and caution against studies that report higher concentrations as  
346 unrepresentative.

347 At present work, station S2, closer to precarious urbanization with inadequate  
348 wastewater disposal and a drinking water abstraction point, yielded measurable E2 levels in  
349 three campaigns (860 – 900 ng L<sup>-1</sup>) and EE2 in two campaigns (210 and 630 ng L<sup>-1</sup>). This is  
350 possibly due to input of raw or inefficiently treated wastewater into the ecosystem. Ghiselli  
351 (2006) reported E2 at 1,800 to 6,000 ng L<sup>-1</sup> and EE2 at 1,000 to 3,500 ng L<sup>-1</sup> in surface water  
352 in Campinas, Brazil. Other Brazilian studies have indicated levels in the order of 500 ng L<sup>-1</sup> of  
353 estrogenic hormones in the Atibaia River, which supplies 92% of Campinas city (Ghiselli and  
354 Jardim 2007; Sodr e et al. 2010; Maldaner and Jardim 2012). Sodr e et al. (2010) identified E2  
355 and EE2 levels, which reached 2,510 and 310 ng L<sup>-1</sup>, respectively, at places located along the  
356 Atibaia River watershed.

357 Levels of estrogen hormones in effluents might be higher even after wastewater  
358 treatment by conventional technologies. Pessoa et al. (2014) found estrogen levels in the  
359 effluent of a Brazilian WWTP between <LOD to 776 ng L<sup>-1</sup> and 3,180 ng L<sup>-1</sup> for raw  
360 wastewater and 397 and 176 ng L<sup>-1</sup> for activated sludge treated effluent (for E2 and EE2,  
361 respectively). These values are of similar magnitude to the concentrations detected in the  
362 present study at locations S3 and S4.

363 Ashfaq et al. (2018) quantified these hormones in influent wastewater in China and  
364 reported EE2 concentrations from <LOD to 4.04 ng L<sup>-1</sup>, but much higher levels of E2 of 46 to



365 150 ng L<sup>-1</sup>. In Europe, concentrations reported in a review paper by Schröder et al. (2016)  
366 remain below 97 ng L<sup>-1</sup> (E2) and 106 ng L<sup>-1</sup> (EE2) in WWTP influent. Vymazal et al. (2015)  
367 in Czech Republic didn't detected EE2 in influent wastewater but found levels of E2 from  
368 <LOD to 199 ng L<sup>-1</sup>. Adeel et al. (2017), in a global review paper, comment on the  
369 particularly high levels of some estrogenic hormones in Brazil. Thus, in the Brazilian context  
370 of inadequate sewage treatment, high concentrations should not be dismissed out of hand as  
371 and may indeed be cause for concern.

372 All mammals excrete estrogenic hormones, which end up in the environment through  
373 direct excretion or through animal waste disposal. Multiple biological effects resulting from  
374 the exposure to E2 and EE2 have been described in the literature. In humans, the binding  
375 affinity of EE2 to the estrogen receptor is higher than for E2, and has been shown to be up to  
376 five times higher in some fish species (Aris et al. 2014). This higher affinity indicates that EE2  
377 can be a more potent estrogenic compound in terms of endocrine disrupting effect compared  
378 to the naturally produced E2 (Tomšíková et al. 2012). For example, when early life stages of  
379 the zebrafish (*Danio rerio*) were exposed to 54 ng L<sup>-1</sup> of E2, sex ratio was significantly  
380 altered (Holbech et al. 2006). EE2 determined in water courses is cause for concern as its  
381 estrogenic potency is twenty-five times higher in *in vitro* tests using Zebra fish compared to  
382 E2 (van der Belt et al. 2004; Andrew et al. 2010).

383 E2 and EC had a weak correlation (Pearson's  $r = 0.21$ ) (Figure 7c). This can be  
384 explained by E2 sources and biodegradation rates in the environment. Ma and Yates (2018)  
385 evaluated E2 degradation in batch assays using river and sediment samples from Santa Ana  
386 River (USA). The study reported higher E2 degradation rates in the presence of  
387 microorganisms, especially in assays with sediment samples where microbiological density  
388 was higher: E2 degradation in water took 10 days and in sediment about 2 days. E2 is  
389 typically excreted in conjugated forms such as sulfate and glucuronide which the

390 microorganisms de-conjugate back to parental estradiol. These results supports the low  
391 correlation between E2 and EC in the present study, due to their excretion in the conjugated  
392 form, which is not detected by the LC-MS method and also by the faster degradation rates of  
393 this compound in polluted water containing microorganisms from wastewater, such as  
394 *Escherichia coli*. However, as expected, EE2 and EC had a strong correlation (Pearson's  $r =$   
395 0.71). EE2 is more resistant to biodegradation than E2 and remains stable even after  
396 wastewater treatment by activated sludge process (Panter et al. 1999; Aris et al. 2014). This  
397 support the higher correlation between EE2 and EC in the present study that involved  
398 sampling stations with raw wastewater disposal (S4) and treated effluent from WWTP (S3),  
399 reinforcing the persistence and detectability of EE2.

400 At present study, given that half of the sampling sites were locations where human  
401 pollutants would be well diluted (reservoir), the overall average E2 ( $650 \text{ ng L}^{-1}$ ) and EE2 ( $720$   
402  $\text{ng L}^{-1}$ ) is of environmental concern. These are 10x and 3000x the reported  $EC_{50}$  values to  
403 Zebra fish for E2 and EE2 respectively (Figure 8) and are likely to occur in association with  
404 other emerging pollutants (van den Belt et al. 2004). This clearly demonstrates the need to  
405 eliminate discharge of raw sewage into Brazilian watercourses and to improve existing  
406 wastewater treatment procedures and plants, as well include streams in environmental  
407 monitoring to avoid pollution of waterbodies used to water supply.

408

#### 409 ***4.2. Spatial distribution of pollutants***

410 During this study, EC and TDS values were one order of magnitude higher in the two  
411 streams compared to sampling stations in the reservoir, indicating the influence of  
412 anthropogenic sources on the streams. In fact, EC is pointed as a domestic sewage marker  
413 (Sousa et al. 2014), since each person consumes an average of 6 g of chloride per day (WHO  
414 2003), which increases chloride ion concentrations in effluents. In opposite, undisturbed

415 catchments are characterized by very low in-stream ionic concentrations and by  $EC < 10 \mu S$   
416  $cm^{-1}$  (Markewitz et al. 2006). Yet, EC can be used too assessing water discharge, since these  
417 parameters have an inverse relationship due to the fact that new water contributing to runoff  
418 has shorter residence time than old water and hence lower ionic content (Weijjs et al. 2013;  
419 Cano-Paoli 2019).

420 Unexpected, S3 and S4 showed similar pollution levels, despite their difference in  
421 discharge content. S3 is a water body which receives huge quantity of raw sewage from  
422 Ribeirão Pires municipality. S4 receives effluent from a secondary level WWTP, rich in P.  
423 This can be explained by the dilution effect in S3, which has a higher flow capacity, which  
424 reduces pollution effects (Gomes et al. 2014, 2019). However, hydrological data of the  
425 studied streams are not available, and this is a reality in tropical areas, where hydrological  
426 data use to be very scarce (Hartemink et al. 2008, Rodrigues et al. 2018).

427 For all the monitoring stations, the concentration of TP exceeded the Brazilian  
428 Standards limit value (Brasil 2005), even at the reference station (S1), reinforcing the  
429 anthropogenic contribution by sewage in the reservoir and necessity of to improve sanitation  
430 in the Rio Grande basin, aiming the eutrophication control (Mariani et al. 2006; Moschini-  
431 Carlos et al. 2010; Wengrat and Bicudo 2011).

432

### 433 ***4.3. Seasonal variation of pollutants concentration***

434 Rangel-Peraza et al. (2009) showed that water quality parameters have seasonal  
435 responses in large Tropical reservoirs, especially for temperature, electrical conductivity,  
436 biochemical oxygen demand and total coliforms. In general, wet season may cause soil  
437 erosion and bring eroded material to the water body. The dry season may cause river water  
438 lost through evaporation, increasing concentrations of suspended materials and dissolved  
439 elements (Nguyen et al. 2020).

440 Rio Grande basin presents two distinct rainfall seasons: wet and dry. Wet season is  
441 characterized by higher temperatures. AC presented a strong negative correlation with EC,  
442 especially for S2 station thus the lower volume of the reservoir concentrated chemical species  
443 enhancing electrical conductivity. For the estrogenic hormones, the weak correlations might  
444 be related to their lipophilicity ( $\log k_{ow, E2} = 4.0$  and  $\log k_{ow, EE2} = 3.7$ ), partitioning mainly  
445 into particle and sediment phases rather than being present in water column, thus not  
446 presenting a direct correlation with the dissolved ions responsible for EC. Regarding GI, a  
447 negative strong correlation with EC indicates that higher levels of pollution can increase  
448 water toxicity, reflecting in lower GI values. As expected, both in dry and wet seasons, GI  
449 was less affected at control station S1.

450 Our findings indicated higher mean values of EC, TDS, TP and low GI (more  
451 phytotoxic) to streams (S3 and S4) during the dry season, but without a statistical difference  
452 (Figure 3). This can be explained by low rain volume to dilute pollutants in the dry season in  
453 the streams. These findings are in according to Coulibaly et al. (2012) who found higher  
454 metal (Hg, Cd, Pb, and Zn) concentrations in the dry season than in the rainy season in  
455 sediments from Biétri Bay in Ebrié Lagoon, Ivory Coast. These authors attributed this to  
456 “reduced water volume during the dry season”. Strong correlation between TP and EC and a  
457 medium one between GI and EC were observed, as expected, since streams receive domestic  
458 wastewater and treated effluent from WWTP loads and are more affected by precipitation  
459 dilution. It is noteworthy that only two abundant rainfalls (greater than 20 mm) occurred in  
460 the 2 days prior to sampling (DIV and WI). Rodrigues et al. (2018) found higher discharge  
461 values in the rainy season. To these authors, pH and EC were higher probably because sewers  
462 can discharge via combined sewer overflows an input of water flow to the main stream  
463 channel during intense rainstorms which was not observed in the study.

464           The pH had a statistical difference between stations and seasonality (Figure 3). This  
465 could be due to eutrophication process. Algal blooms in Rio Grande Branch mainly occur in  
466 summer, between the months of December and March (wet period), due to increased run-off,  
467 but this also depends on reservoir active capacity, which might dilute these effects (Leal et al.  
468 2018). These blooms tend to consume CO<sub>2</sub> from water during photosynthesis and thus  
469 contribute to pH increase (Tailing 2010; Brasil et al. 2016). Bicudo et al. (2007) obtained a  
470 strong positive correlation between CO<sub>2</sub> and water transparency, as well as strong negative  
471 correlations of these variables with chlorophyll-a and pH, when evaluating data from a  
472 hypereutrophic lentic aquatic ecosystem in Brazil before and after removal of aquatic  
473 macrophytes and subsequent dominance of cyanobacteria. Before removal of the  
474 macrophytes, the pH was always neutral to slightly acid and free CO<sub>2</sub> was around 30 mg L<sup>-1</sup>.  
475 After removal of the macrophytes and with the subsequent dominance of the cyanobacteria,  
476 the pH was always above 7, reaching almost 11 and free CO<sub>2</sub> decreased dramatically.

477           Algal blooms frequently occur around station S2 which is close to the drinking water  
478 abstraction location, therefore the Sanitation Company of the state of São Paulo applies  
479 copper sulfate and hydrogen peroxide for phytoplankton and cyanobacteria control in this area  
480 (Sabesp 2011). Cyanobacteria lead to socioeconomic problems once toxins released degrades  
481 organoleptic water characteristics such as odor and taste, enhancing the cost of water  
482 treatment (Leal et al. 2018). Chronic ingestion to levels of CuSO<sub>4</sub> may cause respiratory  
483 inflammation, hepatotoxicity, and loss of fertility in humans (Huh and Ahn 2017). In  
484 developed countries the use of CuSO<sub>4</sub> as an algaecide was abolished (Codd et al. 2005).  
485 However, in Brazil, it is still one of the most used cyanobacteria control methods due to its  
486 low cost and effectiveness (Leal et al. 2018). Additionally, pH might increase due to residual  
487 copper hydrolysis (Souza and Wasserman 2015).

488 GI values for reservoir stations S1 and S2 were lower (i.e. more toxic) in the wet  
489 season than in the dry period. In the wet season, it was expected that the dilution factor would  
490 decrease the toxicity, given that the rainfall volume was generally higher, despite this AC was  
491 typically higher in dry period. Thus, the dilution factor was not the dominant factor in GI of  
492 the samples. The opposite was observed with samples taken from the streams S3 and S4  
493 where, as expected, the dry season samples were more toxic.

494

#### 495 ***4.4. Streams monitoring relevance***

496 Stream monitoring supports water resource management in recognize segments  
497 severely impaired requiring remediation; identify priority pollutants that might achieve  
498 watersheds; prioritize watersheds for restoration; assess effectiveness of storm water  
499 management retrofits to capture, slow down, and filter water run-off; track progress in  
500 meeting water quality goals and legally mandated water quality standards; and assess and  
501 track the health of the watershed ecological functions (US Forest Service 1995; Ziemer 1998).

502 Freshwater environments offer many ecosystem services for the society such as water,  
503 food, water purification, genetic resources, regulation of water flow, recreation and aesthetic  
504 aspects (Harrison et al. 2010; Schäfer et al. 2012). In this context, streams have hydrological  
505 functions in the environment once they receive direct discharge of wastewater. These  
506 pollutant loads are diluted by stream flowrates and are biochemically transformed by the  
507 microorganisms present in these ecosystems (Thompson et al. 2018). However,  
508 environmental degradation have been threatening these ecosystems functions, especially by  
509 the provision of pollutants that change natural characteristics of the environment, as well as  
510 presenting some toxicity level to the biota (MEA 2005). For example the release of treated  
511 sewage (Pereda et al., 2020) and nanoparticles (Du et al. 2019) may affect ecosystem  
512 functions. Pereda et al. (2020) carried out an experiment in a stream, evaluating the influence

513 of effluent discharge from a WWTP on the biota and stream's function. They concluded that  
514 structure and functioning of the ecosystem was affected even with well treated and highly  
515 diluted discharges. Du et al. (2019) concluded that after a certain dosage of nanoparticles  
516 (from 10 to 1000 mg L<sup>-1</sup> of ZnO), there was a reduction in fungi diversity, which affects the  
517 decomposition and the environment ecological functions.

518 These functions highlight the streams importance as attenuators to ingress of  
519 pollutants into reservoirs. The present study found hormones levels in the same order of  
520 magnitude of raw wastewater, which can cause hazards to biota. This reinforces the  
521 importance of the present study of considering streams in water quality monitoring programs  
522 aiming reservoirs management and protection especially those directly receiving pollution  
523 from its drainage basin.

524

## 525 **5. Conclusions**

526 This leads us to following main conclusions:

- 527 • E2 concentrations varied from <LOQ to 1,720 ng L<sup>-1</sup> and EE2 from <LOQ to 1,200 ng  
528 L<sup>-1</sup>, with the highest levels being present in the two streams, contaminated with raw  
529 and treated domestic wastewater, that feed into Billings reservoir. For both of these  
530 compounds, this exceeds PNEC and NOEC levels by at least an order of magnitude,  
531 indicating a very high ecotoxicological risk in the investigated area and giving rise to  
532 possible concern for concentrations in drinking water.
- 533 • Stream monitoring stations showed higher correlations between TP, GI and EE2 with  
534 EC, which indicates wastewater discharge into the streams. Thus, EC is a good marker  
535 for these variables in streams and reinforces their relevance for inclusion in water  
536 quality monitoring programs. Seasonality influences precipitation and active capacity  
537 of the reservoir. These variables influenced the pollutant dilution in present study.

538 This reinforces the necessity of including hydraulic and hydrological data in  
539 environmental monitoring. Unfortunately, this kind of data is very scarce in tropical  
540 regions yet.

- 541 • The findings in this paper emphasize the importance of including streams in water  
542 quality monitoring programs; not only because of ecotoxicological impacts in these  
543 water bodies themselves, but also because they are important as pathways for  
544 pollutants to reservoirs, which are used for fishing, bathing and drinking water  
545 abstraction.

546

## 547 **5. Acknowledgments**

548 The authors are grateful to British Council, Newton Fund and CAPES (Coordination  
549 for Improvement of Higher Education Personnel) for project funding (process number  
550 004/16), as well as to FAPESP for the Scientific Initiation Grant awarded to M.Y.K. (Process  
551 2017/082341). We also thank Multiuser Experimental Central facilities of UFABC and  
552 trainees by field and laboratory support.

553

## 554 **Conflict of interest**

555 This work was supported by CAPES (Grant Number 004/16) and NEWTON FUND  
556 (Grant Number 72728076). The authors declare that they have no conflict of interest.

557



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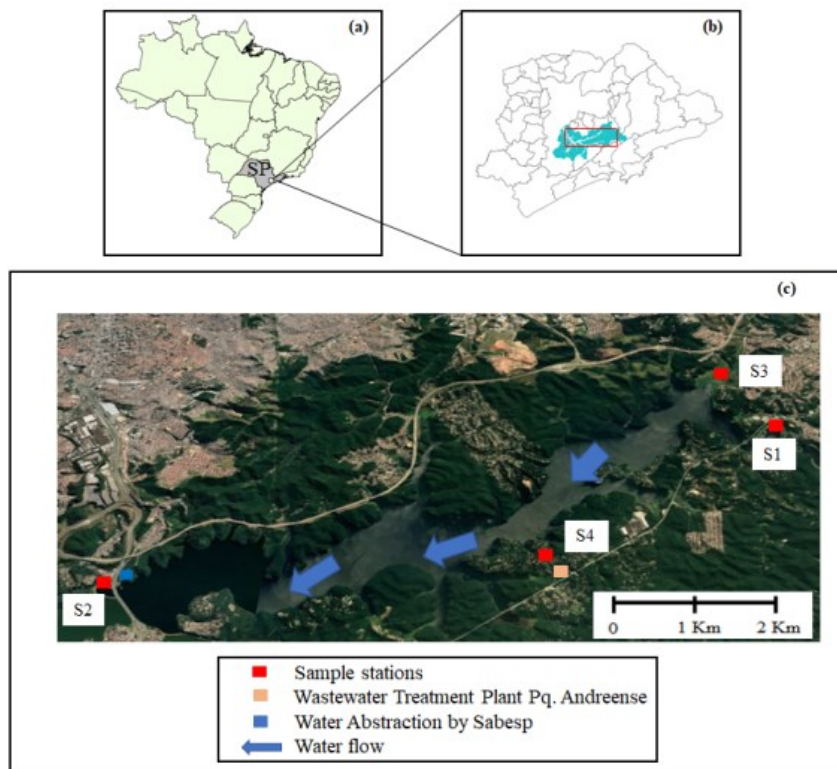
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**Table 1.** Sampling stations coordinates and description (IBGE 2010, Trata Brasil 2018).

<b>Sampling Station</b>	<b>Coordinates</b>	<b>Description</b>
<b>S1</b>	-23,7150 S -46,4294 W	A pristine area of the Rio Grande Branch considered as reference at present study. There is no direct disposal of effluents and it is used for bathing and amateur fishing by nearby resident. Average depth is 10 m. Presence of native vegetation and aquatic macrophytes in some periods.
<b>S2</b>	-23,7511 S -46,4694 W	Water abstraction point for drinking water supply located in São Bernardo do Campo municipality. Densely occupied area with precarious urbanization. Application of copper-based algacides to avoid algal bloom.
<b>S3</b>	-23,7714 S -46,4750 W	Ribeirão Pires stream that receives raw sewage from the municipality of Ribeirão Pires with a population of around 122.000 inhabitants of which only approximately 14% is connected to a sewer. This stream has about 10 m width and 3 m depth and enters the Rio Grande Branch from the North-East. The sampling spot was on a bridge that crosses the stream next to a residential neighborhood that dumps sewage directly into the water body. Presence of solid waste at the borders.
<b>S4</b>	-23,7692 S -46,5322 W	Tubarão stream that receives treated effluent from Parque Andreense Wastewater Treatment Plant (WWTP). This WWTP uses activated sludge technology and treats around 80% of the wastewater from the Parque Andreense neighborhood in Santo André-SP, amounting to a population of around 5,000 inhabitants. WWTP do not include tertiary treatment step, thus disposing high amounts of Phosphorus into the water body. The sampling spot was in a water column of about 20-cm depth close to a community with 20 residences that directly dispose wastewater on the stream.

862 **Table 2.** Estrogenic hormones concentrations and standard deviation (ng L<sup>-1</sup>) in surface  
 863 waters of Metropolitan Area of São Paulo, MASP, Brazil (n = 3).

Sampling stations	DRY PERIOD			WET PERIOD		
	Sampling campaigns	E2 (ng L <sup>-1</sup> )	EE2 (ng L <sup>-1</sup> )	Sampling campaigns	E2 (ng L <sup>-1</sup> )	EE2 (ng L <sup>-1</sup> )
	S1		<LOQ	<LOQ		<LOQ
S2	DI	860 ± 120	630 ± 80	WI	<LOQ	<LOQ
S3		1,700 ± 300	1,200 ± 140		180 ± 50	300 ± 90
S4		<LOQ	200 ± 80		<LOQ	250 ± 100
S1		<LOQ	<LOQ		<LOQ	<LOQ
S2	DII	<LOQ	<LOQ	WII	890 ± 240	<LOQ
S3		160 ± 30	460 ± 100		250 ± 80	<LOQ
S4		710 ± 160	340 ± 80		630 ± 130	320 ± 120
S1		<LOQ	<LOQ		<LOQ	<LOQ
S2	DIII	<LOQ	<LOQ	WIII	900 ± 110	210 ± 90
S3		120 ± 30	<LOQ		140 ± 50	210 ± 80
S4		300 ± 80	120 ± 30		1,720 ± 560	250 ± 100
S1		<LOQ	<LOQ		<LOQ	<LOQ
S2	DIV	<LOQ	<LOQ	WIV	<LOQ	<LOQ
S3		360 ± 90	450 ± 30		450 ± 130	240 ± 90
S4		<LOQ	650 ± 120		870 ± 150	<LOQ
S1		0	0		0	0
S2	Number of occurrences	1	1	Number of occurrences	2	1
S3		4	3		4	3
S4		2	4		3	3



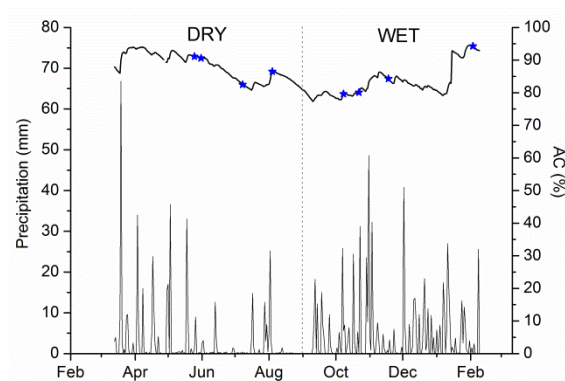
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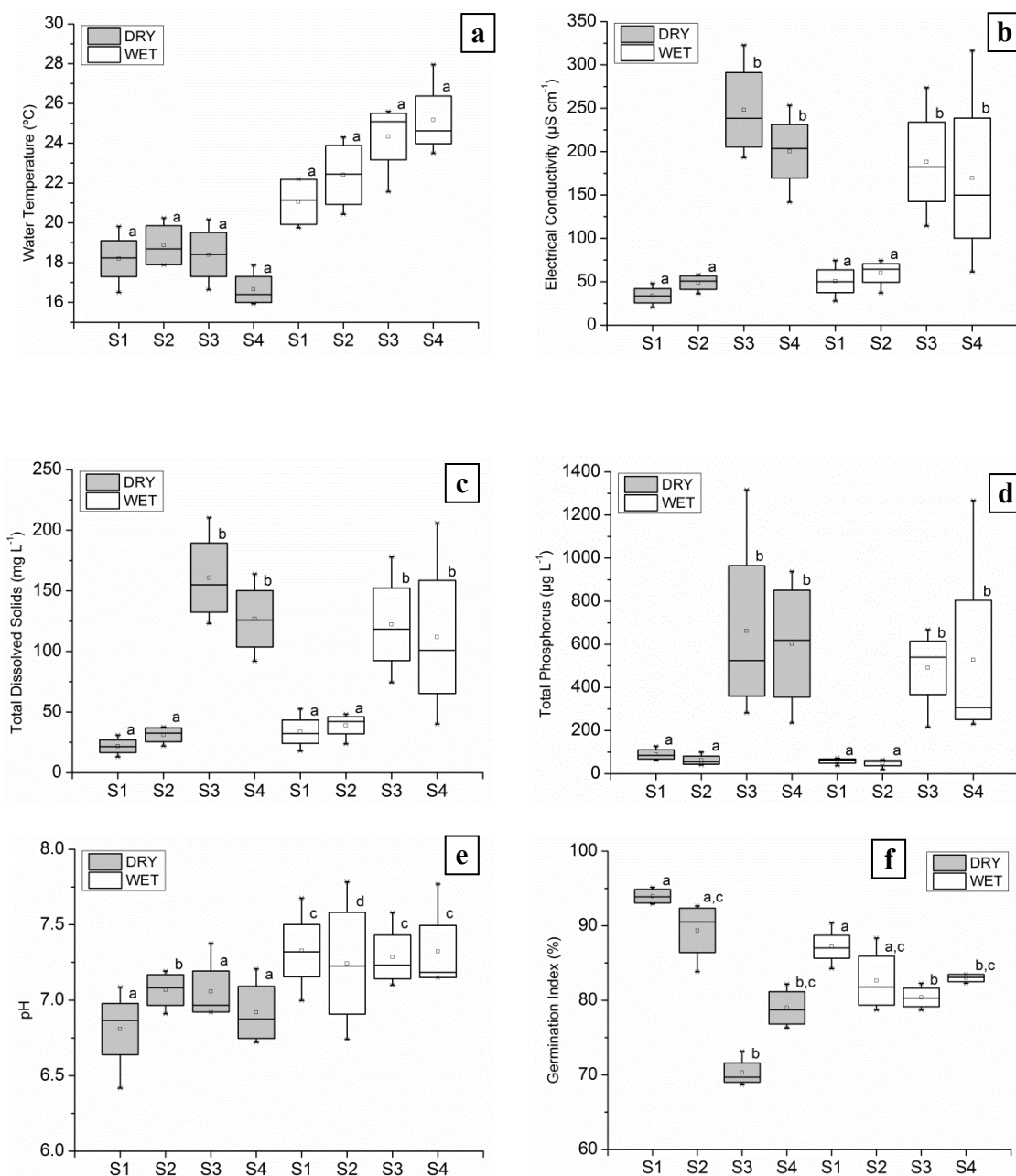
866 **Figure 1.** Study area location: (a) São Paulo State in Brazil; (b) Metropolitan Area of São  
 867 Paulo and Billings Reservoir; (c) Sampling stations on the Rio Grande Branch.

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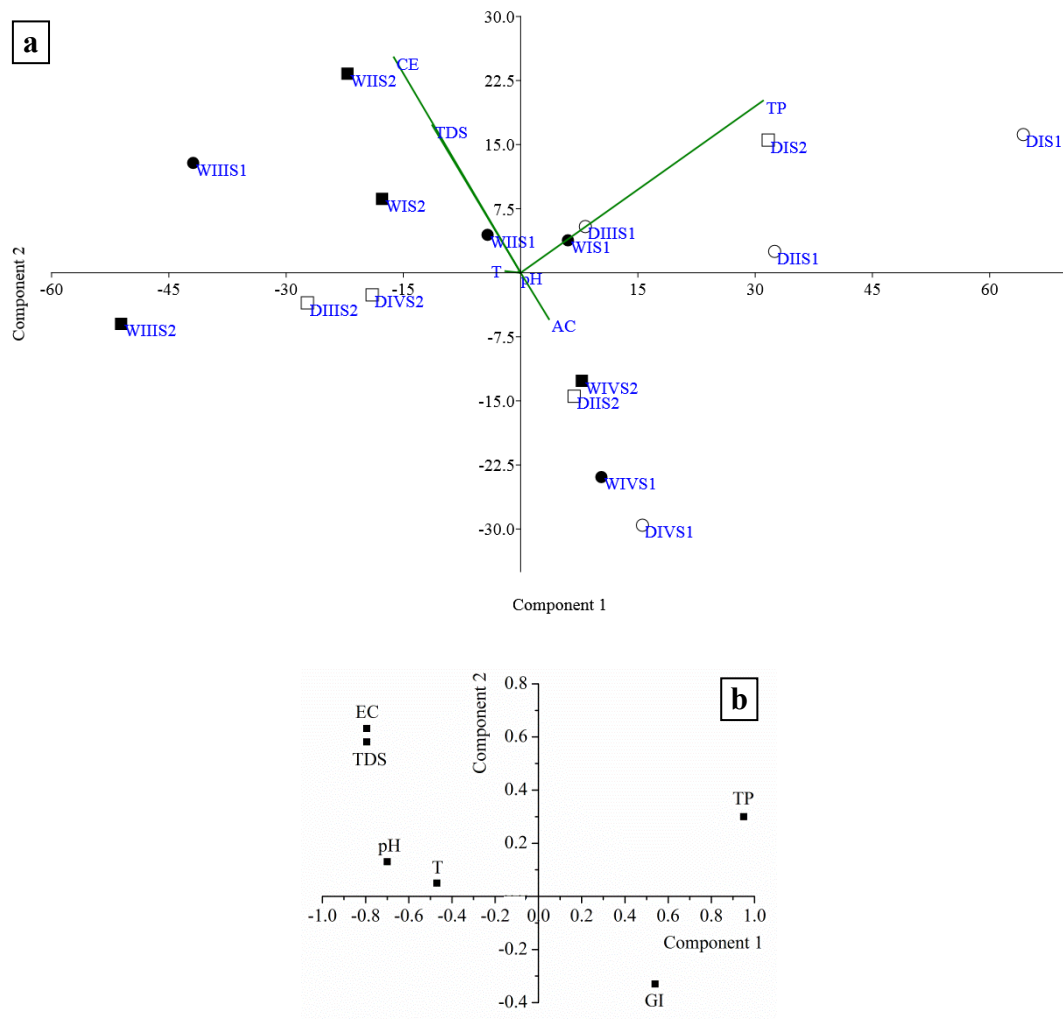


**Figure 2.** Precipitation (mm) at the studied area and Rio Grande Active Capacity (AC, %) in dry and wet seasons. Stars on the AC plot indicate sampling dates.



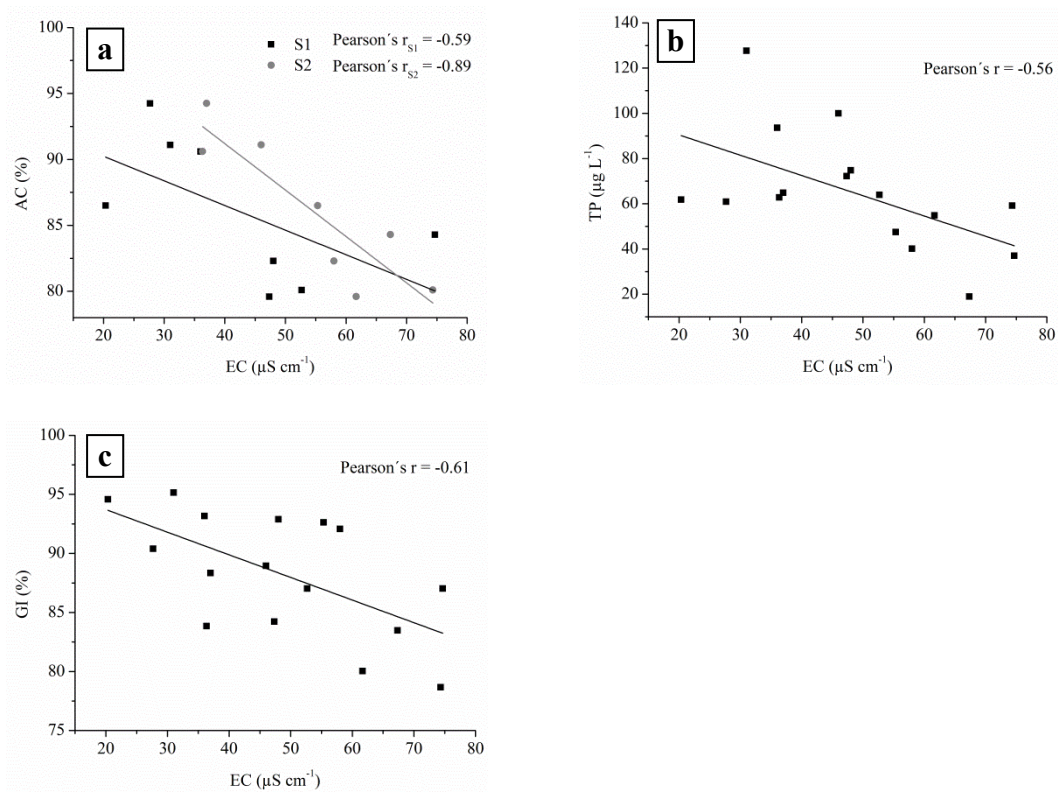
870 **Figure 3.** Boxplot of (a) Water temperature ( $^{\circ}\text{C}$ ); (b) EC ( $\mu\text{S cm}^{-1}$ ), (c) TDS ( $\text{mg L}^{-1}$ ); (d) TP  
 871 ( $\mu\text{g L}^{-1}$ ); (e) pH; (f) GI (%) in dry and wet periods, over the four sampling campaigns.

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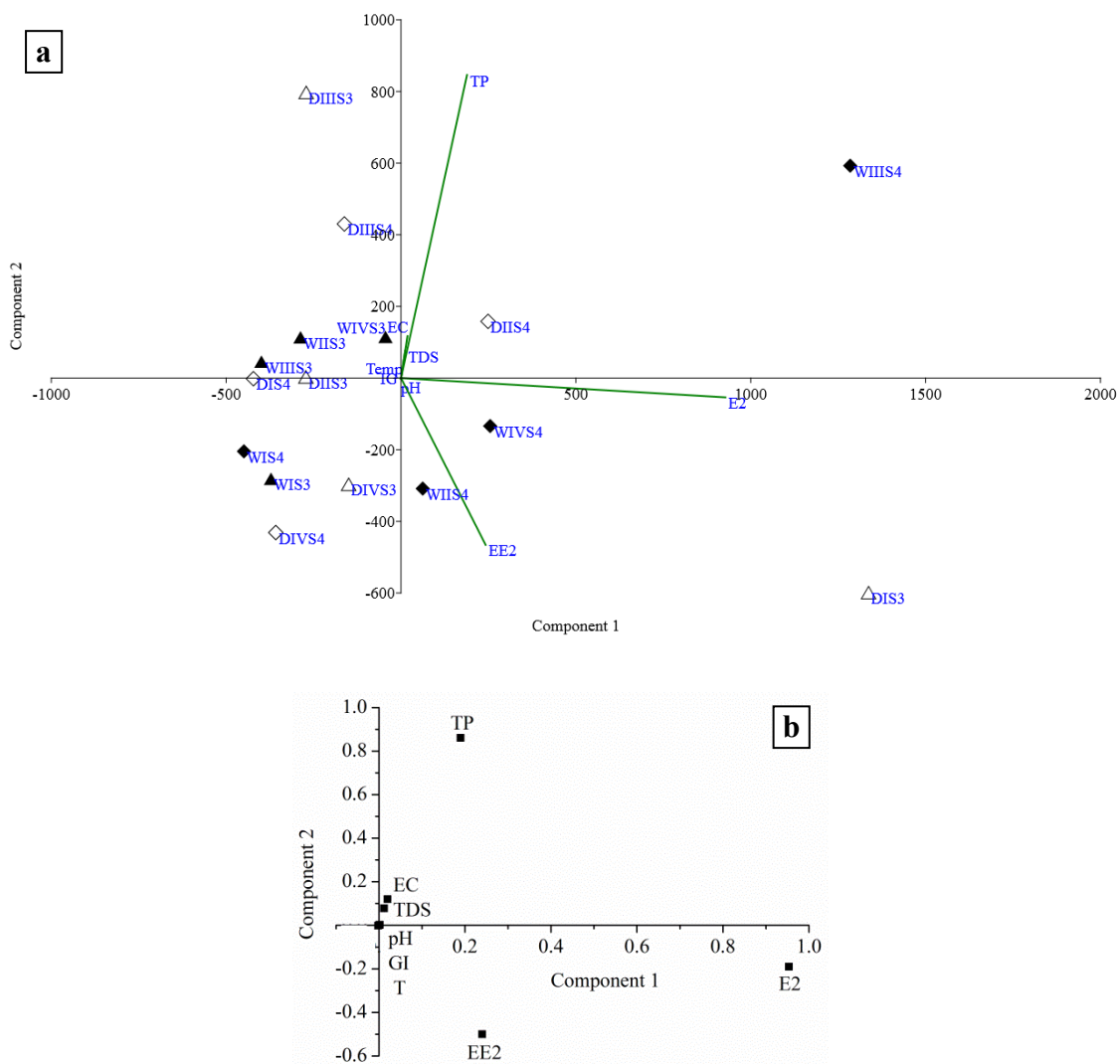


873 **Figure 4.** PCA scores (a) and loadings (b) for samples and variables studied at reservoir  
 874 stations (S1 and S2).

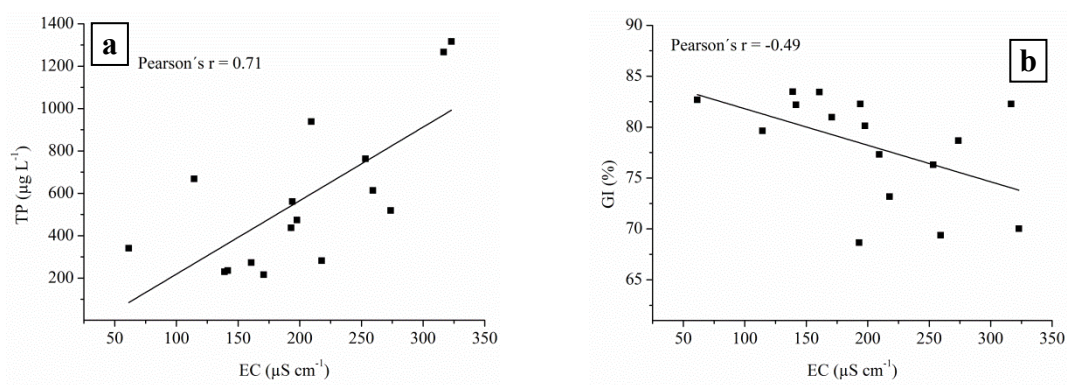
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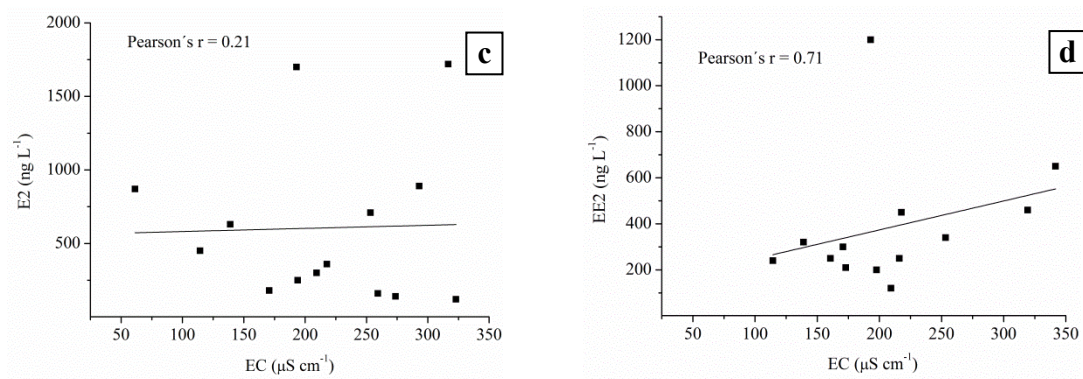


876 **Figure 5.** Pearson's correlations of EC ( $\mu\text{S cm}^{-1}$ ) with: a) AC (%); b) TP ( $\mu\text{g L}^{-1}$ ) and c) GI  
 877 (%).  
 878



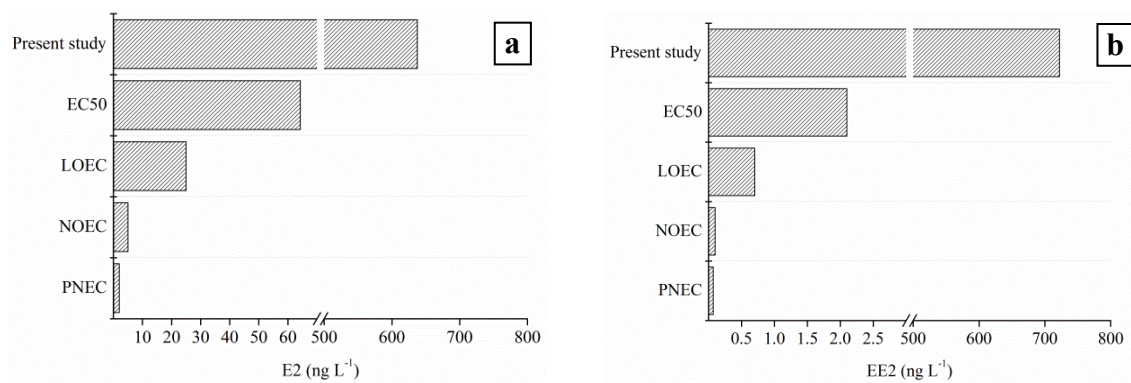
879 **Figure 6.** PCA for stream stations (S3 and S4): (a) Biplot scores (b) Loadings.





880 **Figure 7.** Pearson's correlations of Electrical conductivity with environmental data to stream  
881 stations (S3 and S4): (a) TP ( $\mu\text{g L}^{-1}$ ); (b) GI (%); (c) E2 ( $\text{ng L}^{-1}$ ); (d) EE2 ( $\text{ng L}^{-1}$ ).

882



883 **Figure 8.** E2 (a) and EE2 (b) levels and risk assessment for PNEC (Caldwell et al. 2012);  
884 NOEC (Aris et al. 2014); LOEC (Aris et al. 2014) and EC<sub>50</sub> (van den Belt et al. 2004).