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*Published in:*  
Ecotoxicology and Environmental Safety

*DOI:*  
[10.1016/j.ecoenv.2020.110258](https://doi.org/10.1016/j.ecoenv.2020.110258)

*Publication date:*  
2020

*Document Version*  
Author accepted manuscript

[Link to publication in ResearchOnline](#)

*Citation for published version (Harvard):*

Matsubara, ME, Helwig, K, Hunter, C, Roberts, J, Subtil, EL & Coelho, LHG 2020, 'Amoxicillin removal by pre-denitrification membrane bioreactor (A/OMBR): performance evaluation, degradation by-products, and antibiotic resistant bacteria', *Ecotoxicology and Environmental Safety*, vol. 192, 110258.  
<https://doi.org/10.1016/j.ecoenv.2020.110258>

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# Amoxicillin Removal by Pre-Denitrification Membrane Bioreactor (A/O-MBR): Performance Evaluation, Degradation By-Products, and Antibiotic Resistant Bacteria

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## ABSTRACT

Membrane bioreactors (MBR) are one of the treatment technologies with the potential to remove emerging compounds from wastewater. The present work evaluated the efficiency of an MBR pilot system in removing amoxicillin and by-products from synthetic wastewater using a continuous flow pre-denitrification MBR (A/O-MBR) pilot unit. The system operated in three phases: (1) synthetic wastewater and hydraulic retention time (HRT) of 40 h; (2) adding amoxicillin 100 µg L<sup>-1</sup> to the influent, and (3) varying flowrate to HRT of 20 h. Liquid chromatography coupled to high resolution mass spectrometry analysis confirmed the presence of five amoxicillin degradation by-products in the influent sample, indicating that the amoxicillin molecule had already hydrolysed in the feed tank and no by-products were quantified in A/O-MBR-treated effluents. The addition of amoxicillin did not affect chemical oxygen demand (COD) or dissolved organic carbon (DOC) removal efficiencies. Respirometry showed that amoxicillin level did not inhibit heterotrophic bacteria metabolism. The change in HRT reduced the DOC removal (from 84% to 66%) but did not influence COD (>94%) or total nitrogen (>72%). The amoxicillin and by-products total removal decreased from 80% to 54% with HRT change. Adsorption and biodegradation represented the largest removed fraction of the antibiotic in the A/O-MBR system (68%). Ecotoxicity assays showed *P. fluorescens* was more resistant and *E. coli* less resistant to amoxicillin residues at effluent sample matrix.

**Keywords:** Bacteria resistance; Degradation by-products; Emerging contaminants; Micropollutants; Removal mechanisms.

## Introduction

Different chemical substances have been detected in aquatic ecosystems at concentrations lower than µg L<sup>-1</sup> or ng L<sup>-1</sup>. Most are not eliminated or bio-transformed as they are persistent, bioactive and bioaccumulative (Das *et al.*, 2017). These substances are called micropollutants. Some micropollutants are known as emerging

39 contaminants because they are not currently covered by water quality regulations. They  
40 are considered potential threats to environmental ecosystems, human health, and safety  
41 because their medium- and long-term effects are unknown (Lafarré *et al.*, 2008).

42 Beek *et al.* (2016) showed the occurrence of human and veterinary  
43 pharmaceuticals in environmental matrices of 71 countries, indicating that this is a  
44 worldwide concern. As a consequence of increased consumption and low body uptake,  
45 these compounds have been detected in various aquatic environments. Many are not  
46 fully removed in conventional biological wastewater treatment plant, so that their  
47 continuous discharge leads to chronic exposure to these compounds for aquatic  
48 organisms (Virikutyte; Varma; Jegatheesan, 2010). This has led to calls for the use of  
49 advanced post-treatment technologies, such as membrane bioreactors (MBRs), to  
50 achieve sustainable protection of the environment (Westerhoff *et al.*, 2005;  
51 Grandclément *et al.*, 2017);.

52 MBR systems are an attractive technology with several advantages, including a  
53 high pollutant removal compared to conventional activated sludge treatment systems.  
54 MBR technology has been recognized as a key process for enabling water reuse in  
55 urban area in many countries (Le-Clech, 2010; Subtil *et al.*, 2013; Taheran *et al.*, 2016).  
56 It produces a high-quality effluent as a result of the membranes' capacity for retaining  
57 biomass for a long time. In addition, pollutants with molecular weights greater than the  
58 membrane's threshold are retained due to the sieving effect of the latter, thereby  
59 increasing contact time with microorganisms inside the MBR for their degradation  
60 (Lalit Goswami *et al.*, 2018).

61 There are different processes for removing pharmaceuticals via an MBR system.  
62 These include biodegradation, sorption on sludge, and physical retention by membranes  
63 (Besha *et al.*, 2017; Tambosi *et al.*, 2010). The physical-chemical characteristics of the  
64 pharmaceutical compounds and the MBR operating conditions determine the main  
65 mechanisms and efficiencies, but sometimes the results are not satisfactory for the  
66 removal of many recalcitrant micropollutants. In addition, degradation by-products may  
67 also be target compounds. These may be formed by natural degradation of the parent  
68 compound or by (bio)chemical or photochemical processes by which the parent  
69 compound was submitted.

70 Epidemiologies and pharmaceutical preferences vary from country to country, so  
71 micropollutant management must respond to these variations. The Brazilian experience  
72 of pharmaceutical consumption shows that amoxicillin is the most commonly used

73 penicillin antibiotic (Bertoldi et al., 2016). Amoxicillin is a  $\beta$ -lactam group penicillin  
74 antibiotic, with a broad spectrum of action against both Gram-positive and Gram-  
75 negative bacteria (Kaur et al., 2011). This class of antibiotics works by disrupting  
76 bacteria cell walls during reproduction (Baghapour et al., 2014). The vast number of  
77 prescriptions given for amoxicillin is related to its effectiveness in treating most  
78 pathogenic bacteria, its low cost, its few side effects, and its use in both human and  
79 veterinary medicine (Elizalde-Velázquez et al., 2016). When ingested, only a small  
80 fraction of the active substance is metabolized and about 80 to 90% is excreted  
81 unchanged, reaching wastewater treatment plants and water bodies (Bound et al., 2004;  
82 Na et al., 2019).

83 Amoxicillin in the environment may cause drug allergies, toxicological problems,  
84 and selection of antibiotic-resistant bacteria (Gavrilescu et al., 2015). For example,  
85 *Pseudomonas* is a ubiquitous Gram-negative bacterium with outer membrane  
86 permeability extremely restrict comparing to *E. coli*, which gives low efficiency for  
87 antibiotic permeation (Naghmouch et al., 2012; Nikaido, 1998;). However, the  
88 resistance modulatory activity of amoxicillin and other beta-lactamic antibiotics is more  
89 pronounced with Gram positive organisms such as *Bacillus subtilis* and *Staphylococcus*  
90 *aureus* (Gbedema et al., 2011).

91 These risks, direct or indirect, impact human health through passive and active  
92 antibiotic consumption, and are the basis for regulations and the definition of maximum  
93 residue limits for antibiotic usage. In the United States, the tolerance level for  
94 amoxicillin in milk and uncooked bovine tissue is 0.1 ppm (10 ng g<sup>-1</sup>) (Elizalde-  
95 Velázquez et al., 2016). Currently, in Brazil, there are no regulations for controlling  
96 pharmaceutical residues in the environment.

97 Examining the fate and removal of amoxicillin and its by-products during  
98 wastewater treatment in an MBR is of major importance to avoid the discharge of the  
99 former into the environment. Bai et al. (2012) evaluated amoxicillin removal from  
100 effluents treated by MBR with various hydraulic residence times (HRT) (25, 20, 15, 10,  
101 and 6 hours) and observed a reduction in compound removal (49.1% to 26.3%) with a  
102 decrease in HRT. However, as shown by Bai et al. (2012), more than 50% of  
103 amoxicillin remained in the final effluent even with an HRT of 25 hours. The potential  
104 effects of antibiotic residues were not evaluated.

105 In this context, the aim of this study is to evaluate amoxicillin and related by-  
106 products removal mechanisms in a pre-denitrification membrane bioreactor (A/O-MBR)

107 operated under a long HRT (40 and 20 hours). Novel results from ecotoxicity tests with  
108 Gram-negative bacteria *Escherichia coli* and *Pseudomonas fluorescens* as well as  
109 Gram-positive ubiquitous *Bacillus subtilis* to assess potential bacterial resistance to  
110 amoxicillin disposal in the environment. These results support the importance of  
111 evaluating the fate, persistence, and safety of by-products after wastewater treatment.

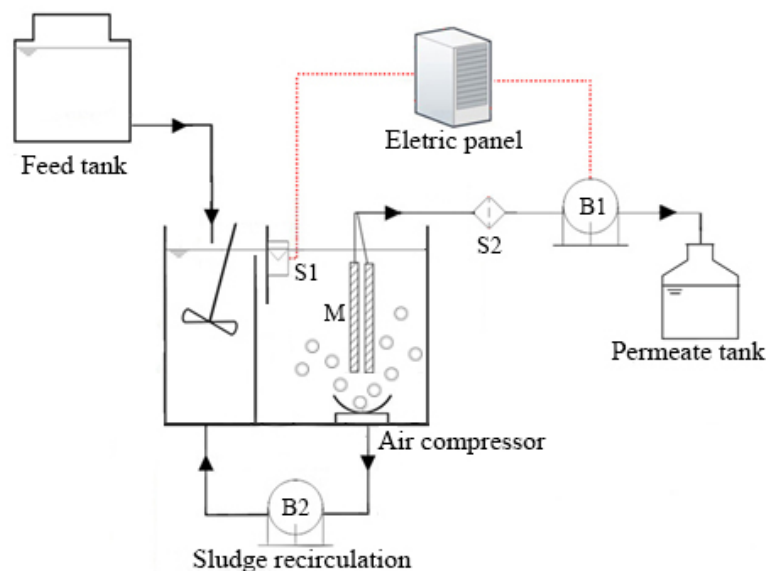
112

## 113 **Materials and Methods**

### 114 **A/O-MBR Descriptions and Operating Conditions**

115 A pre-denitrification membrane bioreactor (A/O-MBR) pilot system was constructed  
116 according to **Figure 1**. The system was gravity fed, with the liquid level regulated by a  
117 floating mechanism. Sludge was continuously recirculated (3x permeate flowrate) by  
118 pumping it from an aerobic tank to an anoxic tank. During whole experiment, A/O-  
119 MBR system exhibited a constant mixed liquor suspended solids concentration at an  
120 infinite solids retention time (i.e., no sludge wasting). The anoxic zone volume was 18.5  
121 L and aerobic zone 25.0 L.

122 Flat sheet ultrafiltration membrane modules (FS-UF) of Polyvinylidene fluoride  
123 (PVDF) with a mean pore size of 0.1 microns (SINAP<sup>®</sup>, China) were used to separate  
124 the solids. The permeate was continuously withdrawn using a peristaltic pump  
125 (Tecno<sup>®</sup>, DMC 300 L, Brazil) actively operated for 20-minute cycles, with 2  
126 minutes of idle time between cycles.



127

128 *Figure 1 – Flow chart of A/O-MBR process operating. S1: level floating sensor; S2: pressure sensor; B1,*  
129 *B2: peristaltic pumps; M: membrane module.*

130

131 Synthetic wastewater was used to reduce the variability of the influent  
 132 composition and allow the concentration of organic matter, nutrients, salts, and  
 133 micronutrients, as well as amoxicillin and its by-products, to be monitored.

134 A/O-MBR operation was divided into three stages (**Table 1**). The first was a  
 135 control phase; the second and third were for evaluating the levels of amoxicillin and by-  
 136 products remaining after different HRTs. The amoxicillin concentration chosen was an  
 137 order of magnitude higher than the values commonly found in the environment (Zucatto  
 138 et al., 2010; Watkinson et al., 2007) to allow the detection of by-products by liquid  
 139 chromatography coupled to high resolution mass spectrometry detection (LC-MS).

140 *Table 1 - Characteristics of A/O-MBR operation phases*

Phase	Amoxicillin concentration ( $\mu\text{g L}^{-1}$ )	Flowrate ( $\text{L h}^{-1}$ )	HRT (h)	Operation time (days)
1	0	1	40	40
2	100	1	40	40
3	100	2	20	90

141  
 142 **Monitoring Parameters**

143 The reactor sludge was evaluated daily for total and volatile suspended solids  
 144 (Method 2540, APHA 2016), temperature, dissolved oxygen levels (using an Orion Star  
 145 A123, Thermo Scientific) and pH (potentiometric method); HRT and  
 146 food/microorganism (F/M) ratio were calculated.

147 Transmembrane pressure (TMP) was monitored manometrically (with a vacuum  
 148 meter) and a membrane flux ( $J_T$ ;  $\text{L m}^{-2} \text{h}^{-1}$ ) was calculated (Equation 1) and corrected to  
 149 a temperature of 20 °C ( $J_{20^\circ\text{C}}$ , Equation 2) (JUDD, 2011). Permeability (P) was  
 150 determined using Equation 3.

151  
 152 
$$J_T = \frac{Qp}{Am} \quad (1)$$

153  
 154 
$$J_{20^\circ\text{C}} = \frac{J_T}{1,025^{T-20}} \quad (2)$$

155  
 156 
$$P = \frac{J_{20^\circ\text{C}}}{TMP} \quad (3)$$

159           Where  $Q_p$  is the permeate flowrate ( $L h^{-1}$ ),  $A_m$  is the membrane area ( $m^2$ ),  $P$  is  
160 the permeability ( $L h^{-1} m^{-2} bar^{-1}$ ), and TMP is the transmembrane pressure (bar).

## 161 **Analytical Methods**

162           During operation, influent and effluent samples were collected in duplicate, five  
163 times a week, to check the following treatment parameters: pH, apparent colour,  
164 turbidity, electrical conductivity, alkalinity, COD, dissolved organic carbon (DOC),  
165 total phosphorus (PT), nitrogen series (total nitrogen – NT; Kjeldahl nitrogen – NKT;  
166  $NO_3^-$ ;  $NO_2^-$ ), and the concentration of amoxicillin and by-products. All analyses were  
167 performed according to APHA (2016) methods. The concentration of amoxicillin and its  
168 by-products were evaluated using the solid phase extraction (SPE) method, followed by  
169 LC-MS analysis, as described below.

## 170 **Analysis of amoxicillin and its by-products**

171           SPE operation was optimized by using extractions of amoxicillin-spiked samples.  
172 The mass balance of the by-products' molar concentrations was used to estimate the  
173 best extraction method. Samples were extracted using HLB cartridges (Waters, Oasis<sup>®</sup>,  
174 USA) with a peristaltic pump under  $3.0 mL min^{-1}$  flowrate. The sample volume  
175 breakthrough level was determined experimentally using a frontal chromatography  
176 approach (Bielicka-Daszkiwicz and Voelkel, 2009) in which synthetic effluent  
177 containing amoxicillin was continually applied to the SPE cartridge until some analyte  
178 eluted from the column. The volume of 200 mL was selected for influent samples and  
179 300 mL for effluent samples.

180           After extraction, cartridges were refrigerated in the dark for up to seven days until  
181 elution and LC-MS analysis. The extracted samples were eluted with 12 mL acetonitrile  
182 (HPLC grade, Fisher Scientific, USA) and evaporated in an inert atmosphere to pre-  
183 concentrate the analytes (Gozlan et al., 2013). Samples were re-suspended in 1 mL  
184 acetonitrile prior to LC-MS analysis (pre-concentration factor 200x for influent and  
185 300x for effluent).

186           Amoxicillin and its by-products were analysed using a liquid chromatograph  
187 coupled to a high-resolution mass spectrometer (Thermo Scientific Q-Exactive Orbitrap,  
188 USA) fitted with a pump and an autosampler (Dionex Ultimate 3000 RS, USA). The  
189 mass spectrometer was fitted with electrospray ionization (ESI) and operated in positive  
190 ion mode. The nitrogen sheath and auxiliary gas levels were set at 45 and 10 arbitrary  
191 units, respectively. The spray voltage was +3.5 kV and the ion source temperature was

192 300 °C. The full MS scan range was  $m/z = 150$  to  $1500$ , with the resolution set at  
193  $17,500$ . The product ion (MS2) determination was conducted using a mass resolution of  
194  $17,500$ . The isolation window for the product ion was  $2.0 m/z$  with a normalised  
195 collision energy of  $35 eV$ .

196 A  $C_{18}$  column ( $150 \times 2.1$  mm, particle size  $3 \mu m$ , Waters) was used for LC  
197 separation. The mobile phase (A) was methanol (LC-MS grade, Fisher Scientific) and  
198 the aqueous phase (B) was  $18 M\Omega$  purity water containing  $0.1\%$  (v/v) formic acid ( $98$   
199  $\%$ , Fisher Scientific). The eluent flowrate was  $0.2 mL min^{-1}$  and a gradient elution mode  
200 was used:  $99\%$  B for 1 minute,  $30\%$  B over 12 minutes, and then  $1\%$  B over 1 minute.  
201 The gradient profile was maintained at  $1\%$  B for 6 minutes before returning to  $99\%$  B  
202 for 1 minute and being equilibrated for a further 9 minutes.

203 The calibration curve was in the range of  $25-1,000 ng mL^{-1}$  of amoxicillin (in molar  
204 concentration,  $68-2.7 mmol L^{-1}$ ) fitting the criteria of  $\pm 20\%$  of the best fit line with a  
205  $1/X^2$  weighting. The MS was calibrated in positive ion mode using a Pierce LTQ Velos  
206 ESI Positive Ion Calibration Solution (Fisher Scientific).

207 An amoxicillin solution ( $1 mmol L^{-1}$ ) was subjected to degradation under acidic and  
208 basic conditions and then analysed by LC-MS to obtain the retention time and precursor  
209 ions of by-products. Once the precursor ions were recognized, product ion data were  
210 determined for each by-product. Their concentrations in the samples were estimated  
211 from the molar concentration calibration curve for amoxicillin as standards were not  
212 available for these by-products.

### 213 **Amoxicillin and By-Products Removal Mechanisms**

214 A/O-MBR removal capacity was determined according to Equation 4 below,  
215 where the brackets represent compound molar concentration:

$$\begin{aligned} 216 & \hspace{30em} (4) \\ 217 & [affluent] = [adsorbed\ in\ the\ sludge\ and\ available\ for\ biodegradation] + \\ 218 & \quad [fouled\ membrane\ performance] + [hydrolysis] + [photolysis] + \\ 219 & \quad [permeate] + [biodegraded\ amount + unquantified\ contributions] \end{aligned}$$

220  
221 Concentrations of amoxicillin and by-products contained in the influent were  
222 determined by LC-MS as described above. The amount of amoxicillin adsorbed on  
223 sludge was determined by extracting  $200 mL$  of mixed liquor into SPE cartridges and  
224 analysing them using LC-MS. To calculate the amount of compound adsorbed into the



225 sludge, the average Total Suspended Solids (TSS) along the experiment was considered  
226 to amoxicillin mass balance.

227 Membrane retention was evaluated by filtering an amoxicillin solution in two  
228 steps. In the first, the solution was filtered by a fouled membrane; in the second, the  
229 solution was filtered after the membrane was chemically cleaned. For each step, 500 mL  
230 of permeate was collected and analysed. The difference between the amoxicillin  
231 concentration of permeate from the fouled membrane and that from the cleaned filter  
232 indicates the portion retained on the biofilm.

233 Hydrolysis by-products were quantified after exposing 1000 mL of synthetic  
234 effluent (pH = 7) to 100 µg L<sup>-1</sup> of amoxicillin for 150 hours in a beaker under light.  
235 Samples were collected every 24 h and analysed using LC-MS to evaluate the  
236 development of by-products. The same experiment was conducted under ambient light  
237 conditions to evaluate photolysis.

238 The amount of biodegraded amoxicillin was not determined analytically; it was  
239 derived by calculating the difference between the initial molar concentrations and  
240 transformation/removal processes described above. The biodegradation contribution,  
241 along with volatilization and (bio)chemical transformation into other by-products not  
242 quantified by LC-MS methodology, and uncertainties regarding the analytical methods,  
243 were incorporated into the term “unquantified contributions” in Equation 4.

#### 244 **Effect of Amoxicillin on Heterotrophic Bacteria**

245 Respirometry open system assays with intermittent aeration were performed to  
246 evaluate the effect of amoxicillin on the metabolism of A/O-MBR heterotrophic  
247 bacteria. A sodium acetate solution (100 mg L<sup>-1</sup> C<sub>2</sub>H<sub>3</sub>NaO<sub>2</sub>) was used as the substrate.  
248 The dissolved oxygen (DO) concentration was measured to calculate the oxygen uptake  
249 rate (OUR; mg L<sup>-1</sup> h<sup>-1</sup>) according to Equation 5.

250

$$251 \quad \mathbf{OUR} = \frac{DO_{max} - DO_{min}}{\Delta t} \quad (5)$$

252

253 where DO<sub>max</sub> is the upper reference dissolved oxygen content (mg L<sup>-1</sup>), DO<sub>min</sub> is  
254 lower dissolved oxygen reference (mg L<sup>-1</sup>), and Δt is time variation (h).

255 The endogenous rate is identified when the OUR becomes constant. The acetate  
256 substrate was added and DO was monitored until this value was reached. The  
257 amoxicillin effect in the biomass was evaluated from 1 µg L<sup>-1</sup> to 100 mg L<sup>-1</sup> by

258 comparing the OUR with a reference system containing only sodium acetate substrate  
259 (Basnyat, 2011).

260

### 261 **Ecotoxicity Bacteria Resistance Assay**

262 Bacteria ecotoxicity tests were carried out with influent and effluent samples  
263 from the A/O-MBR during phases 2 and 3. Three target bacteria species were tested for  
264 antibiotic performance: *Escherichia coli*, *Pseudomonas fluorescens*, and *Bacillus*  
265 *subtilis*. Assays began with preparation of the bacteria using axenic cultures grown for  
266 72 h, separating them from the medium and re-suspending them in a phosphate-buffered  
267 saline (PBS) solution. The bacteria were then exposed to influent and effluent samples  
268 and to positive controls (50 mg L<sup>-1</sup> K<sub>2</sub>Cr<sub>2</sub>O<sub>7</sub>) and negative controls (ISO water,  
269 composed of 2 mmol L<sup>-1</sup> of CaCl<sub>2</sub>, 0.5 mmol L<sup>-1</sup> of MgSO<sub>4</sub>, 0.8 mmol L<sup>-1</sup> of NaHCO<sub>3</sub>,  
270 and 0.08 mmol L<sup>-1</sup> of KCl) for 48 h.

271 Samples were placed in a 96-well microplate and read on a spectrophotometer  
272 (BioTek Instruments<sup>®</sup>, ELx808). The plates were incubated for 24 hours at 25 °C. After  
273 the incubation period, 10 µL of nutrient solution and 10 µL of ISO water were added to  
274 half the samples; 10 µL of nutrient solution and 10 µL of resazurin dye (0.2 mg mL<sup>-1</sup>)  
275 were added to the other half. The addition of a nutrient solution prevents the inhibition  
276 of microorganism growth due to a lack of nutrients, and resazurin dye is an indicator of  
277 aerobic bacteria respiration. The colour of the solution changes from blue to pink in the  
278 presence of resorufin (González-Pinzón et al., 2012).

279 Spectrophotometric readings were carried out at three wavelengths: at 405 nm  
280 without the dye (related to bacteria growth), 570 nm associated with resorufin (pink),  
281 and 630 nm with resazurin fluorescence (blue). Sample results were later compared to  
282 the positive and negative control assays to evaluate the influence of bacterial growth  
283 and the oxidation/reduction state of the indicator dye.

284

### 285 **Statistical Analysis**

286 Statistical analysis was performed using Origin Pro version 8.0. Concentrations  
287 found for the studied parameters at the different A/O-MBR phases were compared  
288 through variance analysis (one-way ANOVA) with significance level of 0.05.  
289 Significant differences were tested by Tukey's post-hoc multiple test. To investigate  
290 antibiotic resistance, 15 samples were randomly collected and analysed for each A/O-  
291 MBR phase.

292

## 293 Results and Discussion

### 294 A/O-MBR Operational Condition and Performance

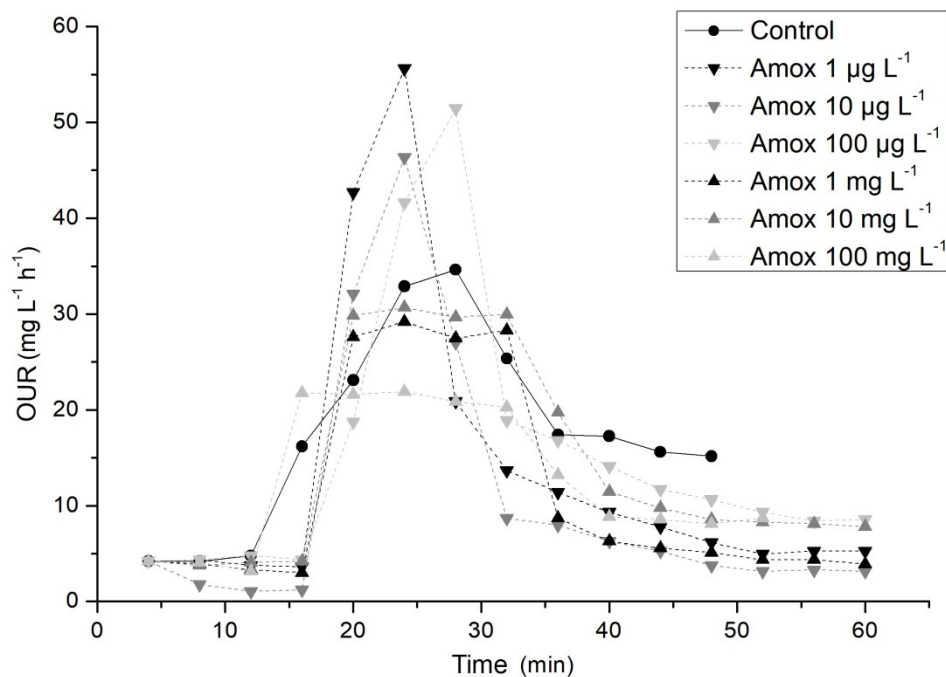
295 An effective flowrate control ( $1.0 \text{ L h}^{-1}$  in phase 1 and 2, and  $2.0 \text{ L h}^{-1}$  in phase  
296 3), resulted in two different HRT conditions (40 h and 20 h, respectively). During the  
297 experiment, the recirculation flowrate ( $Q_r$ ) maintained was at least three times higher  
298 than the permeate flowrate (see supplementary material).

299 Temperature, pH, and DO were adequate to maintain the biomass and assure an  
300 anoxic condition for denitrification (Zoppas et al., 2016). The A/O MBR performed as  
301 expected for removing organic matter and nitrogen when operated with a formal anoxic  
302 pre-denitrification zone. In all phases, the average COD removal was over 94% while  
303 total nitrogen removal reached up to 79% in phase 2 and remained high, at 77%, in  
304 phase 3 (see supplementary material).

### 305 Effect of Amoxicillin on Heterotrophic Bacteria

306 The kinetic behaviour of heterotrophic bacteria exposed to different amoxicillin  
307 concentrations ( $1\text{--}100 \text{ mg L}^{-1}$ ) is shown in the respirograms in **Figure 2**. They were  
308 normalised to the initial endogenous OUR of the control system so that it would be  
309 possible to observe the variation in the maximum OUR in different scenarios.

310



311

312 *Figure 2 - Respirograms of heterotrophic bacteria from A/O-MBR system exposed to different amoxicillin*  
313 *concentrations.*

314

315         Respirograms presented two different behaviours related to the amoxicillin  
316 concentration ranges. At concentrations up to  $100 \mu\text{g L}^{-1}$ , the microbial respiration rate  
317 rapidly increased the OUR and reached a single maximum value (above  $40 \text{ mg L}^{-1}\text{h}^{-1}$ )  
318 before returning to the endogenous condition. At concentrations above  $1 \text{ mg L}^{-1}$  of  
319 amoxicillin, the OUR also increased when sodium acetate was added, remained at the  
320 maximum value for some time, and then gradually returned to the endogenous value.

321         Concentrations in the  $1\text{--}100 \mu\text{g L}^{-1}$  range achieved a higher OUR value than the  
322 control system, indicating that low levels of amoxicillin do not inhibit bacterial  
323 respiration. However, the maximum OUR for concentrations in the  $\text{mg L}^{-1}$  range were  
324 lower than the control and the reduction in the maximum oxygen consumption rate  
325 indicates the effects of toxicity caused by chemicals in the biomass. The highest tested  
326 concentration ( $100 \text{ mg L}^{-1}$ ) had the lowest OUR (about  $22 \text{ mg L}^{-1} \text{ h}^{-1}$ ) and remained at  
327 this maximum value for 20 minutes. This indicates that toxicity has some effect on the  
328 properties of sludge and respiration rate due to the presence of the micropollutant  
329 (Besha et al., 2017).

330         These results confirm that adding amoxicillin at a concentration of  $100 \mu\text{g L}^{-1}$  to  
331 the influent would not affect the performance of heterotrophic bacteria to aerobically  
332 biodegrade organic matter, resulting in COD removal efficiency above 94% in all A/O-  
333 MBR phases.

334

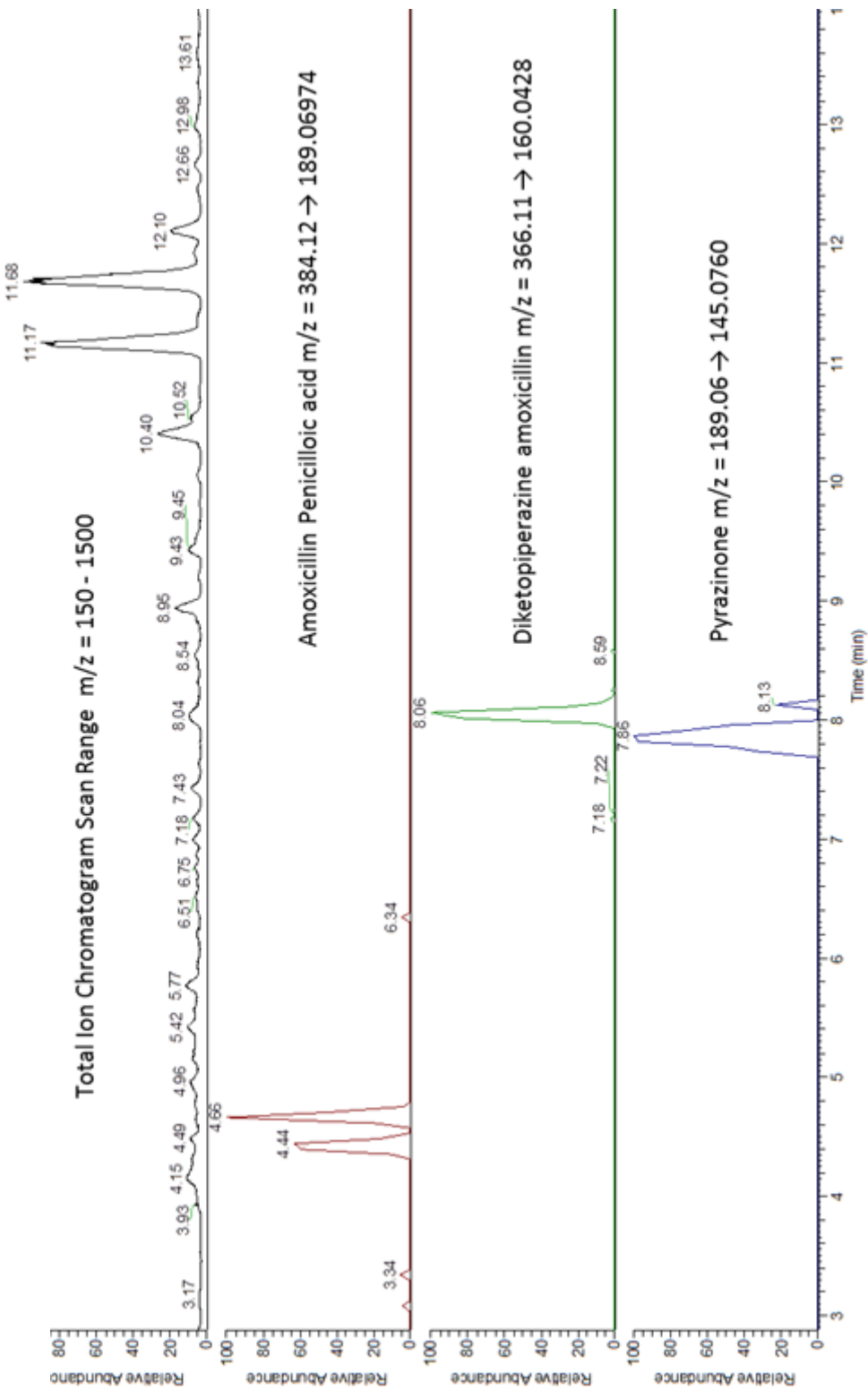
### 335         **Amoxicillin By-Products**

336         The limit of detection (LOD) and limit of quantification (LOQ) values for  
337 amoxicillin were  $2.0$  and  $6.6 \mu\text{g L}^{-1}$  ( $5.5$  and  $18 \text{ nmol L}^{-1}$ ), respectively, for the  
338 calibration curve in the aqueous phase and  $4.0$  and  $12.0 \mu\text{g L}^{-1}$  ( $10$  and  $32 \text{ nmol L}^{-1}$ ) for  
339 the same curve in the effluent, indicating a decrease in sensitivity due to the sample  
340 matrix effect. Thus, calibration curves were prepared for synthetic wastewater to  
341 guarantee the analytical reliability of the results.

342         **Figure 3** shows the results of by-product hydrolysis analysis, as determined by  
343 LC-MS.

344         The kinetic results of amoxicillin hydrolysis showed a linear time increase in by-  
345 product concentration at around 150 hours, with a maximum amoxicillin conversion  
346 rate of 45%. For times below 50 h (the HRT of the A/O-MBR system), less than 5% of  
347 amoxicillin was hydrolysed into by-products. **Table 2** shows the mean concentrations of

348 the by-products found in influent and effluent samples from phases 2 and 3. The  
349 amoxicillin by-products were only quantified in the A/O-MBR influent sample,  
350 indicating that the amoxicillin molecule had already hydrolysed in the feed tank. Gozlan  
351 et al. (2013) state that the presence of bivalent ions enhances amoxicillin hydrolysis.  
352 They found a 16.0% decrease in amoxicillin concentration at a pH of 7 with the addition  
353 of  $25 \text{ mg L}^{-1} \text{ Mg}^{2+}$  and  $80 \text{ mg L}^{-1} \text{ Ca}^{2+}$  to  $100 \text{ } \mu\text{g L}^{-1}$  amoxicillin after 36 hours. The  
354 concentration of bivalent ions in this synthetic effluent was lower reflecting the minor  
355 influence that this process has on by-product formation. No by-products were quantified  
356 in the A/O-MBR-treated effluents, possibly due to concentrations being above the  
357 analytical LOQ. Gozlan et al. (2013) detected amoxicillin penicilloic acid ( $0.15 \text{ } \mu\text{g L}^{-1}$ )  
358 and *diketopiperazine* amoxicillin ( $0.5 \text{ } \mu\text{g L}^{-1}$ ) degradation products in the secondary  
359 effluent. Amoxicillin penicilloic acid and pyrazinone were also detected but not  
360 quantified; however, no information was given about amoxicillin levels in the influent.  
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Figure 3 - Chromatogram of amoxicillin and by-products. (a) Total chromatogram; (b) Amoxicillin penicilloic acid; (c) Diketopiperazine amoxicillin; (d) Pyrazinone.

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Table 2 - Amoxicillin by-products mass concentration in affluent and effluent A/O-MBR at operation Phases 2 and 3.

Degradation products	Concentration ( $\mu\text{g L}^{-1}$ )			MS transition (m/z)	Retention time (min)
	Affluent	Effluent			
		Phase 2	Phase 3		
Amoxicillin penilloic acid I	$0.74 \pm 0.45$	n.d.	n.d.	189.0693	5.0
Amoxicillin penilloic acid II	$0.27 \pm 0.17$	n.d.	n.d.	189.0693	5.5
Diketopiperazine amoxicillin	$0.46 \pm 0.07$	n.d.	n.d.	160.0428	7.1
Pyrazinone	$0.19 \pm 0.03$	n.d.	n.d.	145.0760	7.7

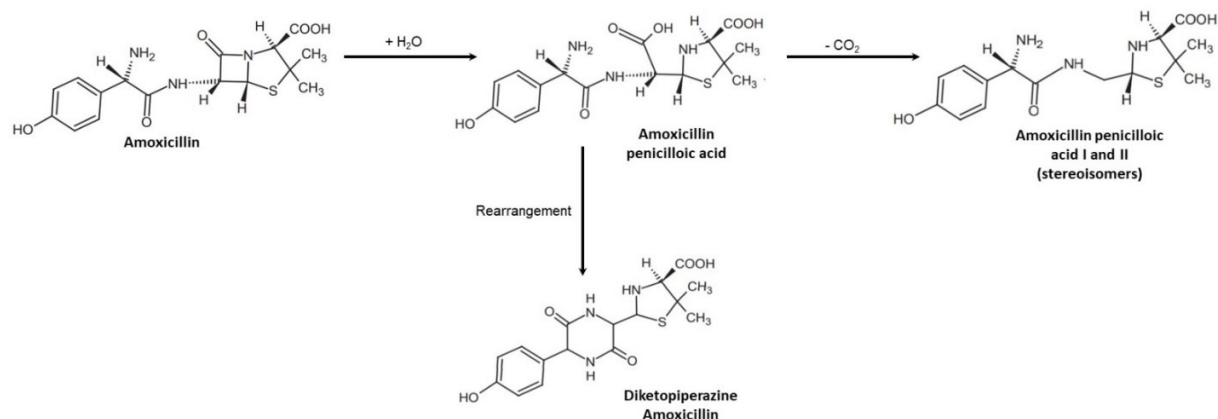
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\*n.d. = not detected, below the limit of quantification.

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Amoxicillin can be transformed by two pathways, as described in **Figure 4**: (1) hydrolysis of  $\beta$ -lactam ring cleavages, which produces amoxicillin penicilloic acid; and (2) a nucleophilic attack of the amino group of benzyl carbonyl, forming phenol hydroxypyrazine. In addition, amoxicillin penicilloic acid may also exhibit two degradation routes: (1) the decarboxylation of the free carboxylic acid, forming the stereoisomers of amoxicillin penicilloic acid I and II; and (2) the formation of a new stable ring resulting in diketopiperazine amoxicillin (Nägele and Moritz, 2005). Other products can still be formed as a result of nucleophilic attack by sample matrix components, generating numerous amoxicillin degradation products (Deschamps et al., 2012).

Figure 4: Suggested degradation pathway of amoxicillin in aqueous medium.



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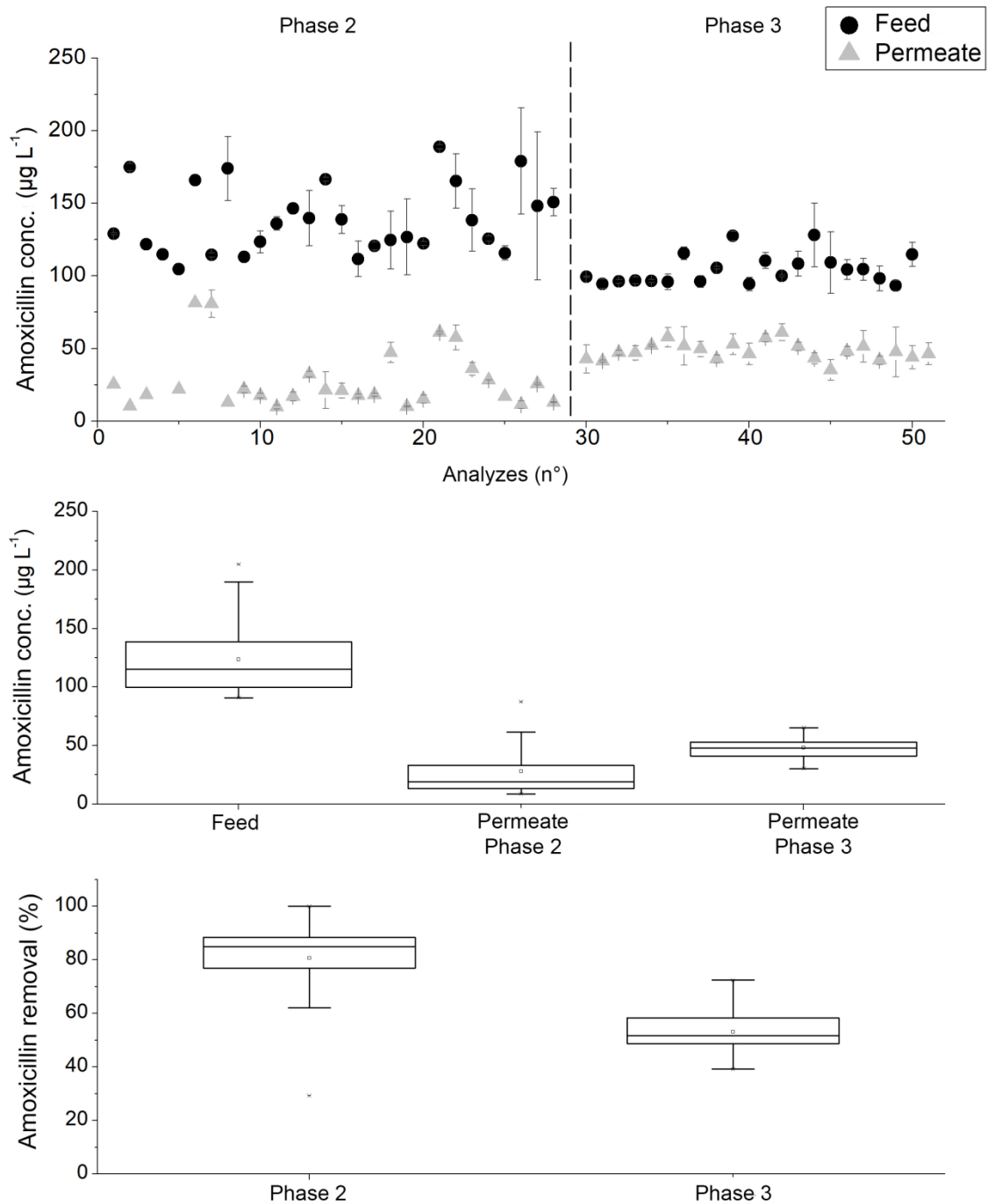
### 382 A/O-MBR Amoxicillin Removal Mechanism

383 **Figure 5** shows the combined concentration of amoxicillin and by-products in  
384 the synthetic effluent before and after A/O-MBR treatment. Statistical analysis showed  
385 that compound removal was different for each evaluated phase.

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389 *Figure 5- Amoxicillin concentration and removal in A/O-MBR operation Phases 2 and 3. Error bar*  
 390 *stands for the standard error of overall antibiotic concentrations in each phase.*

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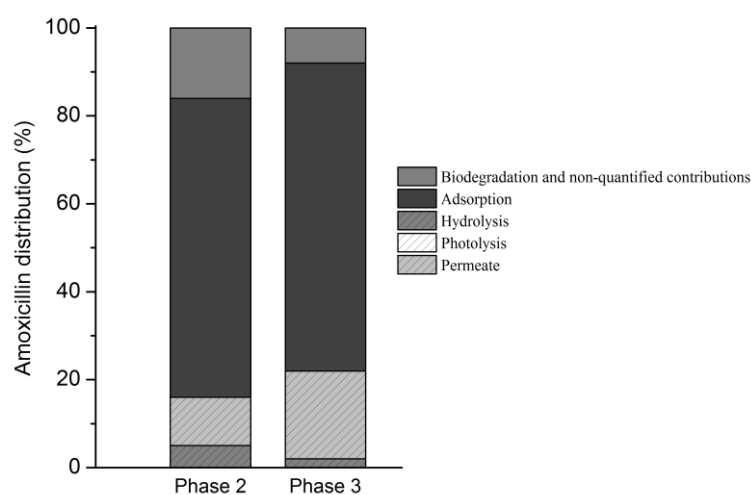
392 The amoxicillin removal average in phase 2 (HRT = 40 h) was approximately  
 393 80%; in phase 3 (HRT = 20 hours) it was 54%. A relationship was observed between  
 394 amoxicillin removal and HRT with use of A/O-MBR. This proportionality was also  
 395 reported by Tambosi et al. (2010), for the antibiotics roxithromycin, sulfamethoxazole,  
 396 and trimethoprim, presenting 57%, 55%, and 86% removal, respectively. These results

397 were achieved by treatment with the MBR operating with an HRT of 9 h. Treatment at  
398 an HRT of 13 hours showed a removal rate of 81%, 64%, and 94%, respectively,  
399 demonstrating a tendency for higher removals with the enhanced HRT.

400 Prasertkulsak et al. (2016) investigated the degradation of 11 pharmaceutical  
401 compounds in the MBR system operating with an HRT of 3 hours and a Total  
402 Suspended Solids (TSS) of 13 g L<sup>-1</sup>, i.e. a low HRT and a high biomass concentration,  
403 and obtained high removal percentages of the antibiotics sulfamethoxazole (78%) and  
404 trimethoprim (80%). While this tendency was not seen in this study, the reduction of  
405 HRT in phase 3 and the consequent increase in TSS enhanced the amoxicillin  
406 concentration in the effluent and reinforced the necessity of improving contact between  
407 biomass and the compound.

408 Labinghisa and Rollon (2014) used an MBR to evaluate β-lactam ampicillin  
409 removal and observed higher removal under nitrification conditions (87.6%) than in the  
410 absence of the nitrification (78.1%). Since amoxicillin is in the same group, nitrification  
411 in the aerobic zone might have contributed to its biodegradation.

412 **Figure 6** shows the percentages related to total amoxicillin by-products that were  
413 available in each process and involved in treatment by the A/O-MBR system; it is  
414 considered a closed system. Hydrolysis is responsible for only 2% of the decrease in  
415 amoxicillin concentration conversion. Xu et al. (2011) also investigated amoxicillin  
416 hydrolysis and found that it is responsible for a 5–18% loss in the compound in  
417 solutions with different DOC concentrations.



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Figure 6 – Amoxicillin distribution at A/O-MBR system.

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421 Most of the amoxicillin entering the system (more than 68%) is available in the  
422 sludge through adsorption or biodegradation, which indicates biomass action as the  
423 main removal mechanism for amoxicillin by A/O-MBR. This explains the proportional  
424 decrease in removal as HRT increases. Although it has a low solubility in water, the  
425 amoxicillin molecule is polar and, according to Besha et al. (2017) and Fan et al.  
426 (2014), tends to favour removal by biodegradation. The efficiency of pollutant  
427 biodegradation based on MBR biomass depends on several system operating variables,  
428 such as HRT, solids retention time (SRT), temperature, pH, biomass concentration, and  
429 compound physical chemical characteristics (Boonnorat et al., 2016). HRT influences  
430 the bacterial community in the bioreactor and, consequently, the degradation of  
431 micropollutants and the wastewater treatment (Win et al., 2016). Moreover, a high  
432 partition coefficient ( $\log K_{oc} = 2.94$ ) indicates that amoxicillin affinity in the MBR biota  
433 is higher than in the aqueous phase, because it is more available for adsorption on the  
434 biomass and thus for subsequent biodegradation. Non-quantified contributions (18%)  
435 include biodegraded amoxicillin, analytical errors, volatilization, and other mechanisms  
436 not evaluated in this study.

437

#### 438 **Analysis of Bacterial Resistance**

439 **Figure 7** shows the growth in *Escherichia coli*, *Bacillus subtilis*, and  
440 *Pseudomonas fluorescens* cells in ISO water, deionized water, and A/O-MBR samples  
441 (influent and effluent). Although the cell growth seems similar in the ISO and deionized  
442 water, statistical analysis indicated that the bacterial growth was significantly different  
443 ( $p = 0.017$ ), due to the absence of nutrients in pure water. This proved the accuracy of  
444 the test.

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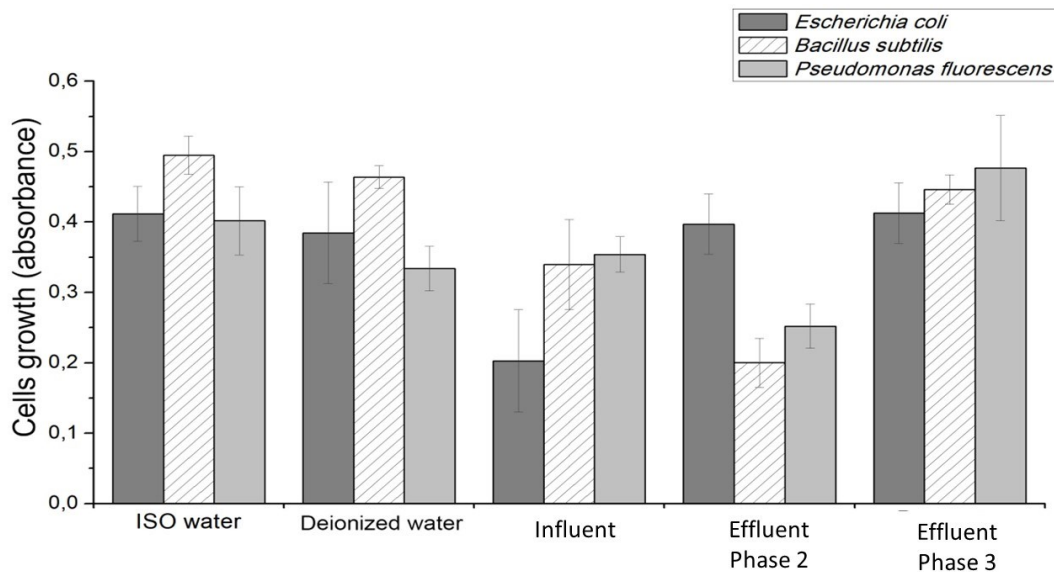


Figure 7 - Bacterial cell growth in ISO water, deionized water, A/O-MBR influent and effluent (n = 20 replicates). Error bar stands for the standard error of overall cells growth in each phase.

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Antibiotic concentration interfered with *E. coli* growth, with a 47% inhibition in influent samples. The higher growth in the effluent samples from both phases indicates that the residual levels of the antibiotics did not affect target metabolism. Tests performed in the 1980s showed the sensitivity of *E. coli* to  $10 \mu\text{g L}^{-1}$  of amoxicillin (Rolinson, 1980); however, the selective pressures caused by the exposure of the bacteria to antibacterial compounds over the years resulted in it becoming more resistant to antibiotics (Jiménez-belenguer et al., 2016; Kibret and Abera, 2011; Reinthaler et al., 2003 ). Thus, the non-inhibition of growth in the permeate samples indicated that it is resistant to concentrations of at least  $50 \mu\text{g L}^{-1}$  (phase 3).

*Bacillus subtilis* also presented inhibited growth with the influent sample comparing to ISO water. However, its level of inhibition (27%) was lower than that for *E. coli*, indicating that the former species shows some antibiotic resistance. This was reported by Luo and Helmann (2012), who evaluated the extra cytoplasmic function of *B. subtilis* with various antibiotics including amoxicillin.

*Pseudomonas fluorescens* had a statistically similar increase in the control samples ( $p = 0.2$ ), which could be related to resistance to amoxicillin, even at concentrations of  $100 \mu\text{g L}^{-1}$ . *P. fluorescens* was considered to be resistant to amoxicillin and tetracycline and to have intermediate resistance to cephradine and norfloxacin in antibiogram tests performed by Zhou et al. (2015).

469           Within effluent samples, the behaviour of *B. subtilis* and *P. fluorescens* was  
470 similar, with a growth increase in phase 3. These results are related to the presence of  
471 favourable conditions for the growth of the target organisms, particularly in terms of the  
472 availability of regarding carbon sources. Araújo et al., (2006) and Perotti et al. (2005)  
473 demonstrated that the presence of organic matter in the environment favours the  
474 survival and growth of *B. subtilis* and *P. fluorescens*.

475           The A/O-MBR system removed a large amount of organic matter such that  
476 effluent quality, particularly during phase 2, caused bacterial cells to grow more slowly  
477 due to low nutrient availability (Lanna Filho et al., 2010). With a reduction in HRT, the  
478 higher levels of amoxicillin and DOC were detected in the permeate. Thus, the elevation  
479 of DOC concentration in the effluent (from 5.5 to 26.0 mg L<sup>-1</sup>) allowed higher bacterial  
480 growth in phase 3 effluent samples.

481           Although *B. subtilis* concentrations grew in the phase 3 samples, the profile  
482 indicated a slight inhibition of its metabolism (4%) relative to deionized water, possibly  
483 due to residual amoxicillin concentration (around 50 µg L<sup>-1</sup>). In general, the results of  
484 bacterial growth indicate different resistance levels for each bacterium studied,  
485 indicating that *P. fluorescens* is more resistant and *E. coli* is the less resistant to the  
486 effluent sample matrix.

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## 488 **Conclusion**

489           This study showed HRT has an important role in the removal of amoxicillin and  
490 by-products through an MBR system. Amoxicillin removal increased with an increase  
491 in A/O-MBR HRT, with a large fraction of amoxicillin adsorbed in the sludge and  
492 available for biodegradation. This suggests that main total amoxicillin removal  
493 mechanism in the system is biomass removal. Hydrolysis contributed less than 5% to  
494 the removal efficiency and was quantified using five different by-products in the  
495 influent sample. This finding indicates that when MBR technology is considered for  
496 removing non-conventional pollutants, such as amoxicillin, operating them at higher-  
497 than-typical HRTs (e.g. 4–6 hours for an MBR) should be considered. Our analysis of  
498 the performance of the A/O-MBR system during phase 2 showed a lower inhibition of  
499 the metabolic rates of the target bacterial organisms, emphasizing the effect of the  
500 sample matrix on ecotoxicity assays.

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## 504 **Acknowledgements**

505         The authors are grateful to British Council, Newton Fund and CAPES  
506 (Coordination for Improvement of Higher Education Personnel) for project funding  
507 (process number 004/16), and the Water Environmental Micropollutants Scientific  
508 Initiative (WEMSI). We also thank Multiuser Experimental Central facilities of UFABC  
509 and trainees by field and laboratory support.

510

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