

Experimental and numerical investigations of rock-concrete interfacial crack propagation under mixed mode I-II fracture

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1 Experimental and numerical investigations of rock-concrete interfacial crack
2 propagation under mixed mode I-II fracture

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23 **Abstract**

24 Experimental tests were conducted on the composite rock-concrete specimens with four roughness
25 profiles to investigate the propagation process of interfacial cracks under three-point bending and
26 four-point shear conditions. By measuring the initial fracture loads, various combinations of
27 interfacial stress intensity factors (SIFs) of modes I and II corresponding to the initial fracture
28 conditions were determined. Based on these results, an expression for classifying the initiation of
29 interfacial cracks under the mixed mode I-II fracture was derived by normalization, which could
30 eliminate the effect of interfacial roughness. Furthermore, a criterion for specifying the propagation
31 of the interfacial crack by considering the nonlinear interfacial characteristics was proposed, which
32 indicates that the crack would start to propagate along the interface when the SIFs caused by the
33 external loads and the cohesive stresses satisfied this criterion. The numerical simulations on the
34 interfacial fracture process were also conducted by introducing the crack propagation criterion to
35 predict the load versus crack mouth opening displacement (*P-CMOD*) curves, and a fairly good
36 agreement with the experimental results could be obtained. Finally, by combining the criterion for
37 the maximum circumferential stress with the proposed criterion for crack propagation, the interfacial
38 crack propagation mode was assessed. The results indicated that once the initial fracture toughnesses
39 for the rock, concrete and interface from experimental work were obtained, the propagation process
40 of the interfacial cracks and the corresponding fracture modes including nonlinear characteristics of
41 the materials and interface could be predicted by using the method derived in this study.

42

43 **Authors' keywords: rock-concrete interface; interface fracture; fracture mode; crack**
44 **propagation criterion; initial fracture toughness.**

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48 **Introduction**

49 The operational safety of concrete gravity hydraulic dams is often threatened by the interfacial cracks
50 between the concrete dams and the rock foundations, which are generally caused by the initial
51 defects during construction or complex loading and environmental effects during service. The
52 propagation of these interfacial cracks under hydrostatic pressure will decrease the load-carrying
53 capacity and result in fracture and failure of the structures. In particular, various propagation paths of
54 the interfacial cracks determine failure patterns of concrete dams on rock foundations. Therefore, it is
55 significantly important to predict the fracture process and potential crack trajectory to ensure safety,
56 serviceability and durability of a mass concrete hydraulic dam under service loading conditions.

57 For a rock-concrete interfacial crack, there are generally three potential propagation paths: (i)
58 propagating fully along the interface until the structure fails; (ii) propagating first along the interface
59 and then kinking into one material; and (iii) kinking into one material after it initiates. It has been
60 verified by experiment that the interfacial crack may follow Path 1 at a low mode mixity ratio K_2/K_1
61 (Zhong et al. 2014) and is prone to Paths 2 and 3 at a high K_2/K_1 ratio (Slowik et al. 1998). Here, K_1
62 and K_2 are the stress intensity factors (SIFs) for fracture modes I and II at the tip of an interfacial
63 crack, respectively. However, the crack propagation path is governed not only by the magnitude in
64 the stress field of the crack tip, but also by the material and interface properties. Therefore, for the
65 purpose of the fracture analysis, a criterion for interfacial crack propagation, which can evaluate the
66 balance between the effects of the external loads and the resistance of the materials or interface,
67 should be developed.

68 So far, a number of criteria have been proposed for the fracture at rock-concrete interface,
69 classified as stress-based (Červenka et al. 1998), energy-based (Qian and Sun 1998, Sujatha and

70 Kishen 2003) and SIF-based criteria (Kishen and Singh 2001, Zhong et al. 2014, Dong et al. 2016a).
71 For a small fracture process zone (FPZ) at the crack tip, linear elastic fracture mechanics (LEFM)
72 was often employed to establish the criterion and analyze the fracture behavior at the rock-concrete
73 interface (Červenka et al. 1998, Qian and Sun 1998, Sujatha and Kishen 2003, Kishen and Singh
74 2001, Yang et al. 2008). From a practical point of view, the simplification by disregarding the FPZ is
75 acceptable for a mega structure, e.g. a gravity concrete hydraulic dam on the rock foundation.
76 However, to investigate the fracture mechanism of the bi-material interface, the criterion based
77 nonlinear fracture theory will be more appropriate for assessing the effect of the FPZ on fracture
78 behavior. Particularly, due to the cohesive action on the FPZ, the stress field at the tip of an
79 interfacial crack will change, resulting in transformation of the crack path. In addition, in the case of
80 low mode mixity ratio, the propagation of an interfacial crack was treated as pure mode I fracture.
81 Consequently, the mode I dominated criterion was used to determine crack propagation by assuming
82 the crack path along the interface (Zhong et al. 2014, Dong et al. 2016a). In fact, the propagation of
83 an interfacial crack under mixed mode I-II stress conditions can be predicted by using the formulas
84 including fracture parameters for modes I and II (Slowik et al. 1998). The interface resistance will be
85 over-estimated if only mode I parameter is utilized. Finally, it should be mentioned that some
86 interface fracture criteria were derived only for homogeneous materials, i.e. the maximum
87 circumferential stress (Ryoji and Xu 1992), the net SIF (Moës and Belytschko 2002), and the initial
88 fracture toughness (Dong et al. 2013, Wu et al. 2013). There is a remarkable knowledge gap in the
89 criteria for fracture and failure in homogenous materials and at bi-material interfaces. Hence, a
90 criterion based on the fracture experiment at rock-concrete interface may be more appropriate for
91 fracture analysis of mass concrete structures on rock foundations.

92 For cementation materials like concrete, the complete fracture process includes three stages:
93 crack initiation, stable propagation and unstable propagation (Xu and Reinhardt 1999a, 1999b).
94 These stages are also applicable for the interface fracture (Dong et al. 2016b). Regarding the
95 cohesive effect on the FPZ under external loading, each step of the propagation of the fictitious crack
96 can be taken as the initiation of a new crack, so that a complete fracture process consists of
97 formations of many new cracks. A criterion used for determining the initiation of a crack can be
98 utilized in the analysis on the crack propagation by introducing the cohesive force acting on the FPZ.
99 This idea has been verified by the numerical simulations of the mode I fracture and mixed I-II
100 fracture of concrete (Dong et al. 2013, Wu et al. 2013). It should be noted that the initiation and
101 propagation of the crack in concrete is still governed by the tensile resistance although the crack tip
102 is under a mixed mode I-II stress state. In these studies, only the initial fracture toughness of mode I
103 was introduced as a material property. However, the scenario is different in the case of the
104 rock-concrete interface under the mixed mode I-II fracture, because the interface is much weaker
105 than the materials on both sides of the crack. Under this condition, the crack is prone to propagating
106 along the interface, rather than being mode I dominated. Therefore, it is a challenge to explore what
107 stress conditions can cause an interfacial crack to propagate, and to predict whether the crack can
108 branch from the interface and kink into a material on one side of the interface.

109 The objective of this study, therefore, is to develop a criterion for predicting the propagation of
110 the rock-concrete interfacial crack based on the initial fracture toughness and determining potential
111 paths for the propagation of the crack. First, composite rock-concrete specimens with four interfacial
112 roughness profiles are to be tested under three-point bending (TPB) and four-point shear (FPS). By
113 adjusting the loading position in FPS, a wide range of K_2 / K_1 ratios corresponding to the initial

114 cracking load can be obtained. A criterion for specifying the propagation of an interfacial crack based
115 on the initial fracture toughness will then be derived by analyzing the experimental data. Thereafter,
116 the criterion is to be employed in the numerical simulation on the interface fracture and verified by
117 comparing the numerical results with the experimental data. Finally, combining the criterion with the
118 material properties on both sides of the interface, potential crack propagation paths can be
119 determined. It is expected that this investigation is to provide a better understanding of the fracture
120 mechanism for the rock-concrete interface so that the load-carrying capacity and the serviceability
121 and durability of mass concrete structures on rock foundations can be evaluated more accurately.

122

123 **Experimental Program**

124 *Specimen preparation*

125 Two types of specimens were prepared for the experimental study: composite rock-concrete
126 beams and prisms with artificially grooved surfaces for the rock sections. The dimensions of the
127 composite beams for the TPB and FPS tests were 500 mm × 100 mm × 100 mm, while the
128 dimensions of the prism specimens for the direct tension tests were 200 mm × 100 mm × 100 mm. In
129 addition, in order to obtain the fracture parameters of the rock and concrete, individual rock and
130 concrete beams of 500 mm × 100 mm × 100 mm were also prepared for the TPB tests. The
131 geometries of the composite specimens under the TPB and FPS tests are illustrated in Figs. 1(a) and
132 (b). Each composite beam was made up of two portions, i.e. the concrete and rock sections. In the
133 TPB tests, the lengths of the concrete and rock sections were identical, 250 mm each. In the FPS tests,
134 the lengths of the rock sections varied from 225 mm to 250 mm to cover a wide range of mode
135 mixity ratios for the concrete-rock (C-R) series beams. For each composite beam, the length of the

136 pre-crack, a_0 , was 30 mm. In order to achieve the pre-crack, two layers of PVC film were put at the
137 location of the pre-crack on the rock, where one PVC film was pasted on the surface of the rock
138 using glue and another one was fixed at the same position using cello tape (see Fig. 2). Then the
139 concrete was cast against the rock section in the mold and the PVC film fixed with cello tape could
140 bond well with the concrete. Before testing, the cello tape was pulled out to eliminate the bonding
141 effect between the two layers of the PVC film.

142 To obtain the surfaces with various roughness degrees between the rock and concrete, four
143 levels of interfacial roughness were adopted by introducing artificial groove lines on the contact
144 surfaces of the rock sections in this study. The groove lines were parallel to the diagonal lines of the
145 interfacial cross-section with a depth of 3 mm. According to the numbers of groove lines, the side
146 surface was equally divided, and four interfacial roughness profiles were created as 3×3 , 4×4 , 5×5
147 and 7×7 , respectively, as illustrated in Figs. 3(a) to (d). The degree of roughness, R_a , is quantified by
148 using the sand-filling method (Dong et al. 2016c), and its values are listed in Table 1 where a value
149 of R_a is the average for the composite specimens with the same artificial groove pattern. Fig. 3(e)
150 illustrates the values of the R_a/M_{size} ratio for different roughness profiles where M_{size} is the maximum
151 size of crushed aggregate which was 10 mm for the concrete used in this study.

152 The rock used for the composite beams was granite, prepared in Dalian, Liaoning Province of
153 China. The composite beams and prisms were fabricated by casting concrete against the rough
154 surfaces of the rock sections. The concrete mix design was cement : water : sand : aggregate =
155 1:0.60:2.01:3.74 by weight. The composite specimens were demolded one day after casting, and then
156 cured for 28 days in the curing chamber with a 23°C curing temperature and 90% relative humidity.
157 Three specimens were prepared for each loading condition and roughness profile.

158 The mechanical properties of the concrete and rock materials and the rock-concrete interfaces
159 are listed in Table1, where E is the elastic modulus, ρ is the density, ν is the Poisson's ratio, f_t is the
160 uniaxial tensile strength, f_c is the uniaxial compressive strength, K_I^{ini} is the initial fracture toughness
161 of mode I, and G_{If} is the fracture energy, respectively. It should be noted that the uniaxial tensile
162 strength of the rock-concrete interface was obtained on the prism specimens tested in direct tension.

163 ***TPB and FPS tests***

164 The composite TPB and FPS specimens with four interfacial roughness profiles were tested in a
165 250 kN closed-loop servo MTS testing machine at a displacement rate of 0.024 mm/min. The
166 experimental setups for the TPB and FPS tests are illustrated in Figs. 4(a) and (b), respectively. The
167 ratio of loads on the two loading points is 1:6 for all C-R series specimens under FPS. The
168 displacement at the loading point, the crack mouth opening displacement (*CMOD*) and the crack
169 mouth sliding displacement (*CMSD*) were measured using clip gauges in the test. In addition, to
170 measure the initial cracking load, two strain gauges were symmetrically put on both sides of the
171 specimen, 5 mm away from the tip of the pre-notch in the ligament. Once the pre-crack began to
172 propagate, the measured strains would drop rapidly due to the sudden release of the stored strain
173 energy at the pre-crack in the specimen. By taking Specimen C-R-240-4×4-1 as an example, Fig. 5
174 illustrates the relationship between the load and strain at the tip of the pre-crack. It can be seen that
175 the strain reached its maximum at the initial cracking loading $P_{ini} = 23.32$ kN, and thereafter the
176 strain started to decrease. The decrease in the strain indicates the release of the stored strain energy at
177 the pre-crack tip so that the initial cracking load could be determined.

178 The experimental results for the TPB and FPS specimens are listed in Tables 2 and 3,
179 respectively. The specimen number "TPB-3×3" in Table 2 denotes the TPB specimen with the 3×3

180 artificial groove pattern, see Fig. 1(a). G_{If} in Table 2 denotes the fracture energy of mode I fracture
 181 for the interfaces with different roughness profiles. The specimen number “C-R-225-3×3” in Table 3
 182 denotes the FPS specimen with the left and right sections as the concrete and rock, respectively. The
 183 length for the rock section was 225 mm with the 3×3 artificial groove pattern, see Fig. 1(b). Here,
 184 P_{ini} and P_{max} are the initial and peak loads, and L_R is the length of the rock section. The stress
 185 intensity factors K_1 and K_2 for the bi-material interfacial crack were calculated using Eqs. (1) to (7)
 186 below (Nagashima et al. 2003). Here, δ_x and δ_y are the relative crack surface displacements in the
 187 horizontal x and vertical y directions. K_1 and K_2 can be written as K_1^{ini} and K_2^{ini} when δ_x and δ_y in
 188 Eqs. (1) and (2) are caused by the initial cracking load:

189
$$K_1 = C \lim_{r \rightarrow 0} \sqrt{\frac{2\pi}{r}} \left[\delta_y (\cos Q + 2\varepsilon \sin Q) + \delta_x (\sin Q - 2\varepsilon \cos Q) \right] \quad (1)$$

190
$$K_2 = C \lim_{r \rightarrow 0} \sqrt{\frac{2\pi}{r}} \left[\delta_y (\cos Q + 2\varepsilon \sin Q) - \delta_x (\sin Q - 2\varepsilon \cos Q) \right] \quad (2)$$

191 where

192 the constant
$$C = \frac{2 \cosh(\varepsilon\pi)}{(\kappa_1 + 1)/\mu_1 + (\kappa_2 + 1)/\mu_2} \quad (3)$$

193 the parameter
$$Q = \varepsilon \ln r \quad (4)$$

194 the stiffness parameter
$$\varepsilon = \frac{1}{2\pi} \ln \left(\frac{\frac{\kappa_1}{\mu_1} + \frac{1}{\mu_2}}{\frac{\kappa_2}{\mu_2} + \frac{1}{\mu_1}} \right) \quad (5)$$

195 the shear moduli
$$\mu_i = \frac{E_i}{2(1 + \nu_i)} \quad (i = 1, 2) \quad (6)$$

196 the elastic constants
$$\kappa_i = \begin{cases} (3 - \nu_i)/(1 + \nu_i) & \text{(Plane stress)} \\ (3 - 4\nu_i) & \text{(Plane strain)} \end{cases} \quad (7)$$

197 E_i is the elastic modulus for material i , ν_i is the Poisson's ratio for material i , r is the radius of the

198 pre-crack at its tip.

199 There are two basic failure modes for the composite C-R series beams under FPS. The interface
200 failure mode “I” means that the interfacial crack propagates through the interface until failure occurs.
201 Some C-R series beams which fractured in this failure mode are shown in Fig. 6(a). The other failure
202 mode “IC” was observed on the beams with high mode mixity ratios. Fig. 6(b) shows some examples
203 of this failure mode. In the case of the failure mode “IC”, a sudden brittle failure was observed near
204 the supports at the same time when the interfacial crack propagated through the whole interface. In
205 the case of the failure mode “IC”, the failure at the interior support within the concrete section of the
206 specimen occurred during the unstable fracture process. The initial fracture toughness was calculated
207 based on the initial cracking load, i.e. the failure at the interior support did not occur when the crack
208 initiated. Therefore, the failure mode “IC” did not affect the determination of the initial fracture
209 toughness in this study.

210 In addition, it is worthwhile to point out that the crack did not propagate exactly along the
211 interfaces. On the artificial grooves of the rock, the crack propagated through the concrete on the
212 grooves (see Fig. 7). For a precise computation, the discrete microstructural model, such as lattice
213 models (Bažant et al. 1990, Gianluca et al. 2003a, 2003b, 2006, 2011a, 2011b), will be a more
214 powerful and realistic alternative for simulating the softening damage and fracture of concrete. In
215 these models, the concrete was sub-divided into mortar, aggregate and the interface between them,
216 and these sub-components can be described as mesoscopic elements. Various meso-structural
217 characteristics, such as aggregate size and distribution, and stress and strain fields in the
218 meso-structure, can be directly simulated. In this study, the concrete was modeled as the
219 homogeneous materials and this study was conducted from macroscopic perspectives rather than

220 mesoscopic ones. The interfacial fracture energy and fracture toughness reflect the fracture
221 characteristics at the interface on average. The effect of the interfacial roughness profile is considered
222 through measuring the fracture energy and fracture toughness of the specimens with the same degree
223 of roughness. These fracture parameters to be used in the following numerical simulations also
224 corresponded to those from the specimens with the same degree of roughness.

225

226 **Criterion for Crack Propagation and Experimental Verification**

227 *Criterion for crack propagation*

228 From the experimental results, it can be found that the interfacial cracks can initiate under
229 different combinations of K_1^{ini} and K_2^{ini} . When the interfacial fracture was pure mode I, the crack
230 initiation would be determined by the initial mode I fracture toughness, $K_{\text{IC}}^{\text{ini}}$, i.e. $K_1^{\text{ini}} = K_{\text{IC}}^{\text{ini}}$ and
231 $K_2^{\text{ini}} = 0$. When the fracture mode of interface was pure mode II, the crack initiation would be
232 determined by the initial mode II fracture toughness, $K_{2\text{C}}^{\text{ini}}$, i.e. $K_1^{\text{ini}} = 0$ and $K_2^{\text{ini}} = K_{2\text{C}}^{\text{ini}}$. In addition,
233 in the cases of mixed mode I-II interfacial fracture, $K_1^{\text{ini}} < K_{\text{IC}}^{\text{ini}}$ and $K_2^{\text{ini}} < K_{2\text{C}}^{\text{ini}}$. The mode mixity
234 ratio, $K_2^{\text{ini}} / K_1^{\text{ini}}$, represents the relationship between the tensile and shear stresses at the tip of the
235 interfacial crack. If all the combinations of K_1^{ini} and K_2^{ini} are grouped to form an envelope, it will
236 represent the crack initiation conditions under various combinations of tensile and shear stresses.
237 Thus, the equation for the curve with the parameters K_1^{ini} and K_2^{ini} would become the criterion for
238 the initiation of a crack. Through careful experimental design, a wide range of $K_2^{\text{ini}} / K_1^{\text{ini}}$ ratios
239 could be derived from the TPB and FPS tests, varying from 0.055 to 16.595, as illustrated Tables 2
240 and 3. Furthermore, the effect of the interface roughness on the criterion for the crack initiation was
241 investigated by testing the composite specimens with four roughness profiles. Fig. 8 illustrates the

242 relationships between K_1^{ini} and K_2^{ini} from the testing data with various interface roughness degrees
 243 and the fitted curves. It can be seen that the K_1^{ini} versus K_2^{ini} curve would move outward when R_a
 244 increased from 0.780 to 1.548, indicating that the interfacial cracking resistance would indeed
 245 increase with the increasing interfacial roughness.

246 To derive the equation for the initial fracture by eliminating the effect of roughness degree, the
 247 normalizing method was utilized by dividing K_1^{ini} and K_2^{ini} by the corresponding K_{1C}^{ini} for each
 248 test series with the same R_a . The points for the normalized terms K_1^{ini} / K_{1C}^{ini} and K_2^{ini} / K_{1C}^{ini} with
 249 various values of R_a are shown in Fig. 9. It can be seen that the effect of the interface roughness
 250 could be eliminated approximately through the normalizing process. Therefore, the regressive
 251 equation for the initial fracture could be derived by fitting all the scattered testing points as Eq. (8),
 252 where the shape of the equation for the initiation of the interfacial crack would be a quarter-ellipse,
 253 with the ratio of the long axial length to the short axial length as 1.6, i.e.

$$254 \left(\frac{K_1}{K_{1C}^{ini}} \right)^2 + \left(\frac{K_2}{1.6 K_{1C}^{ini}} \right)^2 = 1 \quad (8)$$

255 If a complete fracture process could be regarded as crack propagation for many steps, each step
 256 for the propagation of the existing crack would be regarded as the initiation of a new crack. Then, the
 257 crack initiation equation could be used to predict the crack propagation at interface. However,
 258 considering the nonlinear characteristics of the rock-concrete interface, there are cohesive stresses
 259 acting on the FPZ of the interfacial crack according to the fictitious cracking model (Hillerborg et al.
 260 1976). Therefore, when the equation for the crack initiation was used to predict the propagation
 261 process of the crack, the SIFs, K_1 and K_2 , would be governed by the external load and the cohesive
 262 force of the PFZ, i.e. $K_1 = K_1^P - K_1^{\sigma,\tau}$ and $K_2 = K_2^P - K_2^{\sigma,\tau}$. Here, K_1^P and K_2^P are the SIFs of
 263 modes I and II caused by the external load, while $K_1^{\sigma,\tau}$ and $K_2^{\sigma,\tau}$ are the SIFs of modes I and II

264 caused by the cohesive tensile and shear stresses on the FPZ, σ and τ . Thus, Eq. (8) can be rewritten
 265 as Eq. (9) when it is used to determine the propagation of the crack along the interface, with $K_{1,2}^*$
 266 representing the function of the criterion for the propagation of the interfacial crack:

$$267 \quad K_{1,2}^* = \sqrt{\left(\frac{K_1^P - K_1^{\sigma,\tau}}{1}\right)^2 + \left(\frac{K_2^P - K_2^{\sigma,\tau}}{1.6}\right)^2} = K_{1C}^{ini} \quad (9)$$

268 In the case of mode I interface fracture, K_2 and the cohesive shear stress are equal to 0 so that
 269 Eq. (9) will be degenerated to:

$$270 \quad K_1^P - K_1^{\sigma} = K_{1C}^{ini} \quad (10)$$

271 Eq. (10) is the criterion of the crack propagation for the mode I interface fracture, which is a
 272 particular case of the mixed mode I-II fracture and has been verified in the previous research (Dong
 273 et al. 2016a).

274 ***Application and experimental verification***

275 In order to verify the derived criterion for the propagation of the interfacial crack, numerical
 276 simulations based on the fictitious cracking model were conducted to predict the fracture process of
 277 the composite rock-concrete beams under TPB and FPS. The finite element analyses were carried out
 278 using commercial software ANSYS. The cohesive traction-displacement relationships for tension and
 279 shear softening are illustrated in Figs. 10(a) and (b), respectively. In Fig. 10(a), the crack opening
 280 displacement (COD) at the breaking point on the bi-linear σ - w curve, w_{n0} , and the corresponding
 281 cohesive stress, σ_{n0} , were set as $0.8G_{If}/f_t$ and $0.2f_t$, respectively. The stress-free COD, w_{nc} , was set as
 282 $6G_{If}/f_t$ (Dong et al. 2016c). In Fig. 10(b), the crack slip displacement (CSD) at the breaking point on
 283 the bi-linear τ - w curve, w_{s0} , and the corresponding interface shear strength, τ_{s0} , were set as 0.002 mm
 284 and $7f_t/4$, respectively. The stress-free CSD, w_{sc} , was set as $2G_{IIf}/\tau_{s0}$. Here, G_{IIf} is the mode II
 285 interface fracture energy, which was set to be equal to $2G_{If}$ (Zhong et al. 2014).

286 If a pre-crack is assumed to initiate and propagate fully along the interface, the criterion for the
287 crack propagation can be used to predict the complete fracture process under the mixed mode I-II
288 fracture. Fig. 11 illustrates the flow chart for the program, and the numerical simulation procedure is
289 summarized as follows:

- 290 1. Establish the finite element model with the crack length $a_{i,j} = a_0 + (j - 1) \cdot \Delta a$ ($i = 1, 2 \dots; j = 2,$
291 $3 \dots$). Here a_0 is the initial crack length, Δa is a specified increment of the crack length, i
292 represents the load increment during the iteration process with a fixed crack length, and j
293 represents the increment of the crack length during the iterations.
- 294 2. Apply the load $P_{i,j}$ and calculate the cohesive stresses $\sigma_{i,j}$ and $\tau_{i,j}$ according to the cohesive
295 tensile/shear traction – displacement relationships as shown in Fig. 10.
- 296 3. Calculate K_1^P , $K_1^{\sigma,\tau}$, K_2^P and $K_2^{\sigma,\tau}$ by adjusting load $P_{i,j} = P_{i-1,j} \pm \Delta P$ until Eq. (9) is
297 satisfied.
- 298 4. Repeat Steps 1 and 3 for the next step of crack propagation.
- 299 5. Terminate the iterative process when $a_{i,j}$ is equal to the specimen height or $P_{i,j} \leq 0$.

300 By repeating the steps, the complete interface fracture process can be obtained numerically. The
301 parameters used in the simulations included K_1^{ini} and G_{If} , which have been listed in Tables 1 and 2.
302 By taking Specimens TPB-5×5, C-R-240-3×3, C-R-240-4×4, C-R-235-5×5 and C-R-250-7×7 as
303 examples, Fig. 12 illustrates the comparisons of the numerically predicted P - $CMOD$ curves with the
304 experimental data, and fairly good agreements can be observed.

305

306 **Discussion on Crack Propagation Paths**

307 As motioned above, three potential propagation paths existed for the pre-crack at the

308 rock-concrete interface, which would be governed by the stress conditions in front of the crack tip
 309 and the mechanical properties of the concrete, rock and their interface. Generally, the mechanical and
 310 fracture properties of the interface were smaller than those for the concrete and rock. Therefore, the
 311 crack would propagate along the interface in the mode I dominated fracture. With the increase of
 312 K_2/K_1 , the crack could branch at the interface and kink into the rock or concrete, even the crack
 313 directly initiated in the rock or concrete. To predict the potential crack propagation path, in addition
 314 to the criterion for the propagation of the interfacial crack, it is also essential to develop the criterion
 315 for the crack to penetrate into the rock or concrete.

316 In this study, the function for the maximum circumferential stress criterion (Ryoji and Xu 1992,
 317 Kishen and Singh 2001) was employed to determine the kinking of the interfacial crack as follows:

$$318 \quad K_{I,II}^* = \frac{\sqrt{(K_1^P - K_1^{\sigma,\tau})^2 + (K_2^P - K_2^{\sigma,\tau})^2}}{2 \cosh(\varepsilon\pi)} W_j \left[2 \cos\left(\frac{\theta_0}{2} + \gamma\right) - (\cos \theta_0 + 2\varepsilon \sin \theta_0) \cos\left(\frac{\theta_0}{2} - \gamma\right) \right] + \frac{1}{W_j} \cos\left(\frac{3}{2}\theta_0 + \gamma\right) = K_{Ij}^{\text{ini}}$$

319 (11)

320 where K_{Ij}^{ini} is the initial mode I fracture toughness of material j , j denotes rock or concrete, and θ_0
 321 is the kinking angle which can be obtained by solving Eq. (12) numerically

$$322 \quad \begin{aligned} & \varepsilon W_j \left[2 \cos\left(\frac{\theta}{2} + \gamma\right) - (\cos \theta + 2\varepsilon \sin \theta) \cos\left(\frac{\theta}{2} - \gamma\right) \right] \\ & + W_j \left[-\sin\left(\frac{\theta}{2} + \gamma\right) + (\sin \theta - 2\varepsilon \cos \theta) \cos\left(\frac{\theta}{2} - \gamma\right) \right] \\ & + W_j \left[\frac{1}{2} (\cos \theta + 2\varepsilon \sin \theta) \sin\left(\frac{\theta}{2} - \gamma\right) \right] - \frac{1}{W_j} \left[\varepsilon \cos\left(\frac{3}{2}\theta + \gamma\right) + \frac{3}{2} \sin\left(\frac{3}{2}\theta + \gamma\right) \right] = 0 \end{aligned} \quad (12)$$

$$323 \quad \text{with} \quad \gamma = \begin{cases} \arctan((K_2^P - K_2^{\sigma,\tau})/(K_2^P - K_2^{\sigma,\tau})) & K_1 > 0 \\ \pi + \arctan((K_2^P - K_2^{\sigma,\tau})/(K_2^P - K_2^{\sigma,\tau})) & K_1 < 0 \end{cases} \quad (13)$$

$$324 \quad W_j = \begin{cases} e^{-\varepsilon(\pi - \theta_0)} & j = 1 \\ e^{\varepsilon(\pi + \theta_0)} & j = 2 \end{cases} \quad (14)$$

325 It should be mentioned that the expression for the maximum circumferential stress criterion

326 used in this study is different from those in literature (Ryoji and Xu 1992, Kishen and Singh 2001).
 327 Instead of the unstable fracture toughness K_{ICj} , the initial fracture toughness K_{Ij}^{ini} , which is on the
 328 right side of Eq. (11) was used to determine the crack initiation. The purpose of the substitution is
 329 considering that the crack propagation into the material j still represents the initiation of a new crack
 330 rather than the unstable propagation of the existing crack. In addition, Eq. (11) can only be obtained
 331 for small $|\varepsilon|$ (Ryoji and Xu 1992). Here, ε is a material constant shown in Eq. (5). Fortunately,
 332 the value of $|\varepsilon|$ for dissimilar composite materials is less than 0.1. For instance, the value of $|\varepsilon|$ for
 333 the composite rock-concrete specimens in this study was calculated as 0.0074, so that the criterion
 334 shown in Eq. (11) should be valid.

335 By combining the criterion equation for the propagation of the interfacial crack, i.e. Eq. (9),
 336 with the criterion equation for the maximum circumferential stress of material j , i.e. Eq. (11), the
 337 potential propagation of an interfacial crack could be judged in this study as follows:

- 338 (i) If $K_{I,II}^* < K_{Ij}^{ini}$ and $K_{1,2}^* < K_{IC}^{ini}$, the crack does not propagate;
- 339 (ii) If $K_{I,II}^* < K_{Ij}^{ini}$ and $K_{1,2}^* > K_{IC}^{ini}$, the crack propagates along the interface;
- 340 (iii) If $K_{I,II}^* > K_{Ij}^{ini}$ and $K_{1,2}^* < K_{IC}^{ini}$, the crack propagates into the material j with a kinking angle
 341 θ_0 .

342 The above mentioned method can be also used to predict the crack initiation. In this case, only
 343 the SIFs caused by the external load, i.e. K_1^P and K_2^P , exist in the expressions for $K_{I,II}^*$ and $K_{1,2}^*$,
 344 due to no development of micro-cracks. Therefore, the crack will directly penetrate into the material j
 345 if $K_{I,II}^* > K_{Ij}^{ini}$ and $K_{1,2}^* < K_{IC}^{ini}$. Under this condition, the fracture analysis transforms into the crack
 346 propagation in a homogeneous material under the mixed mode I-II loading, which has been
 347 investigated by Wu et al. (2013).

348 In addition, the potential crack propagation path could be predicted by applying the criteria for
349 the propagation of the interfacial crack and the maximum circumferential stress. By taking the
350 composite C-R series beams in this study as examples, Fig. 13(a) shows the $K_1 - K_2$ relationships of
351 the criteria with $K_{I,II}^* = K_{Ij}^{ini}$ for the rock and $K_{1,2}^* = K_{IC}^{ini}$ for the interface. For the composite C-R
352 series beams under the loading condition as shown in Fig. 1(b), it is impossible for the crack to kink
353 into the concrete so that only the criterion for the interfacial crack propagation and the criterion for
354 the maximum circumferential stress of the rock were assessed. For the criterion for the interfacial
355 crack initiation, i.e. $K_{1,2}^* = K_{IC}^{ini}$, there were four curves with respect to the interfaces of four
356 roughness degrees, as illustrated in Fig. 13(a). For the criterion for the maximum circumferential
357 stress of the rock, i.e. $K_{I,II}^* = K_{Ij}^{ini}$ with j representing the rock, there is one curve illustrated in Fig.
358 13(a). K_{Ij}^{ini} for the rock in this study was determined as $1.205 \text{ MPa} \cdot \text{m}^{1/2}$ by conducting the standard
359 TPB tests on the rock specimens. It can be seen from this figure that the curve for the rock with
360 $K_{I,II}^* = K_{Ij}^{ini}$ is always outside the curves for $K_{1,2}^* = K_{IC}^{ini}$. This indicates that under any loading
361 conditions, the crack would not propagate into the rock from the interfaces of the composite C-R
362 specimens with four roughness degrees in this study. This has also been validated by the
363 experimental failure patterns of the composite C-R series beams as shown in Fig. 6. The qualitative
364 assessment is significantly useful for practical constructions, e.g. gravity concrete hydraulic dams, to
365 determine whether propagations of interfacial cracks into rock foundations can be excluded or not.

366 It should be mentioned that, even though the crack propagated fully along the interface for all
367 composite C-R series beams, there would still exist two different variation tendencies for K_2/K_1
368 during the complete fracture process. One is that the ratio K_2/K_1 would always increase as the
369 interfacial crack propagated, and another is that the ratio would always decrease correspondingly.

370 Based on the numerical simulation results, it is found that there was a critical value for the mode
371 mixity ratio K_2/K_1 when the material and interface properties were given. This critical mode mixity
372 ratio was equal to 0.788 for the materials adopted in this study. The points for four toughness degrees
373 corresponding to the critical mode mixity ratio are illustrated in Fig. 13(a) as A, B, C and D,
374 respectively. When the ratio K_2^{ini} / K_1^{ini} was less than the critical value, the ratio K_2/K_1 would always
375 decrease as the crack propagated so that the fracture became mode I dominated. In contrast, when the
376 ratio K_2^{ini} / K_1^{ini} was greater than the critical value, the ratio K_2/K_1 would always increase as the
377 crack propagated and the fracture became mode II dominated. Fig. 13(a) also shows the variations of
378 K_2/K_1 during the crack propagation for the C-R-235 series specimens with
379 $K_2^{ini} / K_1^{ini} = 0.718 < 0.788$ (see the solid symbols in Fig. 13(a)), and for the C-R-245 series
380 specimens with $K_2^{ini} / K_1^{ini} = 2.275 > 0.788$ (see the hollow symbols). This clearly illustrates the
381 variation tendencies of K_2/K_1 for the specimens with different values of K_2^{ini} / K_1^{ini} during the
382 complete fracture process.

383 Although the interfacial crack does not propagate into the rock based on the criterion
384 comparisons in Fig. 13(a), the propagation path of the interfacial crack can still not be defined if the
385 positions of the rock and concrete are exchanged. In this case, the relationship between the criterion
386 for the maximum circumferential stress of the concrete and the criterion for the propagation of the
387 interfacial crack should be evaluated. The curves for the criteria with $K_{I,II}^* = K_{Ij}^{ini}$ and $K_{1,2}^* = K_{1C}^{ini}$
388 are shown in Fig. 13(b), where j denotes the concrete material. For the criterion with $K_{1,2}^* = K_{1C}^{ini}$, the
389 curves with respect to two toughness degrees ($R_a = 0.963$ and $K_{1C}^{ini} = 0.399$; $R_a = 1.183$,
390 $K_{1C}^{ini} = 0.450$) are illustrated as examples. For the criterion with $K_{I,II}^* = K_{Ij}^{ini}$, K_{Ij}^{ini} for the concrete
391 was determined as $0.55 \text{ MPam}^{1/2}$ from the standard TPB tests on the concrete specimens. Compared

392 with the curves in Fig. 13(a), the criterion curve for the interface intersected with the curve for the
393 concrete in Fig. 13(b). The intersection points corresponded to different K_2/K_1 ratios, i.e. $P_C = 0.764$
394 ($R_a = 0.963$ and $K_{1C}^{ini} = 0.399$) and $Q_C = 0.509$ ($R_a = 1.183$ and $K_{1C}^{ini} = 0.450$). This indicates that the
395 interfacial crack would directly initiate and propagate into concrete when $K_2^{ini} / K_1^{ini} > 0.764$ for R_a
396 $= 0.963$ and $K_2^{ini} / K_1^{ini} > 0.509$ for $R_a = 1.183$. In contrast, the interfacial crack would initiate and
397 propagate along the interface when $K_2^{ini} / K_1^{ini} < 0.764$ for $R_a = 0.963$ and $K_2^{ini} / K_1^{ini} < 0.509$ for R_a
398 $= 1.183$. In addition, it is worthwhile to discuss whether an interfacial crack could kink into the
399 concrete after propagating along the interface. Based on the previous investigations, the variations in
400 K_2/K_1 in the case of interfacial propagation were determined by the critical mode mixity ratio, which
401 are marked as Points B and C in Fig. 13(b). The intersection points Q_C and P_C are on the left of the
402 critical points C and B, respectively. It indicates that, in the cases of $K_2^{ini} / K_1^{ini} < 0.764$ (Point Q_C)
403 for $R_a = 0.963$ and $K_2^{ini} / K_1^{ini} < 0.509$ (Point P_C) for $R_a = 1.183$, the K_2/K_1 ratio would increase as
404 the interfacial crack propagates so that it would not propagate into the concrete under this condition.

405 In order to verify the crack propagation in this case, the composite rock-concrete (R-C) series
406 beams were prepared with two interfacial roughness degrees, i.e. $R_a = 0.963$ and 1.183 . The
407 geometric properties of the R-C series specimens are shown in Fig. 14. It should be noted that,
408 compared with the C-R series specimens shown in Fig. 1(b), the positions of the rock and concrete in
409 the R-C series specimens were exchanged so that the crack could propagate along the interface or
410 penetrate into the concrete under this loading condition. The K_2^{ini}/K_1^{ini} ratios were determined as 0.788
411 and 0.696 for $R_a = 0.963$, and 0.531 and 0.437 for $R_a = 1.183$ (see Table 4). The corresponding points
412 are denoted as P_2, P_1, Q_2 and Q_1 in Fig. 13(b), respectively. The experimental design ensured that the
413 K_2^{ini}/K_1^{ini} ratios for the test points would be on both sides of the criterion intersection points for the

414 same R_a . The experimental results are listed in Table 4. For the R-C series specimens, the initiation
415 and propagation of the crack in the concrete were observed on Specimens R-C-264-4×4 (Point P_2
416 with $K_2^{ini} / K_1^{ini} = 0.788$) and R-C-271-5×5 (Point Q_2 with $K_2^{ini} / K_1^{ini} = 0.531$), which is denoted as
417 the failure mode K in Table 4. Correspondingly, the crack propagations fully along the interface were
418 observed on Specimens R-C-266-4×4 (Point P_1 with $K_2^{ini} / K_1^{ini} = 0.696$) and R-C-275-5×5 (Point Q_1
419 of $K_2^{ini} / K_1^{ini} = 0.437$), which is denoted as the failure mode I. Fig. 15 shows the failure mode with
420 the initiation and propagation of the crack into the concrete. By taking Specimen R-C-264-4×4 as an
421 example, Figs. 16(a) and (b) illustrate the comparison of the *P-CMOD* curves and the crack
422 propagation trajectories between the experimental and numerical results, respectively, and reasonably
423 good agreements can be observed.

424 The investigations in this study indicate that the crack propagation mode under different stress
425 conditions could be predicted by combining Eqs. (9) and (11). The application of the proposed
426 predicting method is convenient because only three initial fracture toughnesses for the rock and
427 concrete materials and their interface would be required in these two equations. Particularly, the three
428 initial fracture toughnesses are relatively easily obtained from the experiment (Dong et al. 2013,
429 Dong et al. 2016c). Once the curves for Eqs. (9) and (11) are obtained, therefore, the failure mode for
430 a mass concrete structure on the rock foundation can be approximately assessed according the
431 loading conditions. However, it should be noted that the further work is still needed to investigate
432 whether Eq. (9) is appropriate for concretes and rocks with various strengths and compositions.

433

434 **Conclusions**

435 To study the propagation process of the rock-concrete interfacial crack, an expression for the

436 initiation of the interfacial crack has been derived from the experimental investigations. By taking
437 into account the nonlinear characteristics of the interface between two different materials, a criterion
438 for the crack propagation has been proposed to envisage the propagation of a crack along the
439 interface. Based on the criterion for the maximum circumferential stress and the proposed criterion in
440 this study, the interfacial fracture modes, including propagating of a crack along the interface and
441 kinking into the rock or concrete, can be predicted by analyzing the verification curves for these two
442 criteria simultaneously. According to the comprehensive experimental and numerical investigations,
443 the following conclusions can be drawn:

- 444 1. For the rock-concrete interfaces with four different roughness profiles investigated in this study,
445 a universal expression for predicting the initiation of a crack along the interface has been
446 obtained by normalizing their initial fracture toughnesses. Also, a criterion for the propagation of
447 the crack has been proposed based on the expression for the crack initiation by introducing the
448 fictitious crack model. This criterion has been verified by comparing the *P-CMOD* curves
449 obtained numerically and experimentally, and fairly good agreements have been observed.
450 However, further work should be conducted to verify the validity of the universal expression for
451 the initiation of a crack at the interfaces between concrete and rock of different properties and
452 compositions.
- 453 2. The proposed criterion for the propagation of the interfacial crack can be utilized to predict the
454 complete interfacial fracture process for the mixed mode I-II fracture. By applying the fictitious
455 cracking model, the nonlinear characteristics of the interface have been considered in the
456 criterion. This has been verified by comparing the *P-CMOD* curves obtained from the
457 experimental investigations and numerical simulations. For propagation of the crack along the

458 interface, there exists a critical mode mixity ratio, which has been determined as 0.788 for the
459 materials used in this study. When the K_2^{ini} / K_1^{ini} ratio was greater than the critical mode mixity
460 ratio, K_2/K_1 would increase as the interfacial crack propagated. In contrast, when the K_2^{ini} / K_1^{ini}
461 ratio was less than the critical mixity ratio, the K_2/K_1 ratio would decrease as the interfacial crack
462 propagated.

463 3. Crack propagation paths, i.e. developing along the interface or kinking into the rock or concrete,
464 could be predicted by analyzing the curves for the criterion for the interfacial crack propagation
465 and the criterion for the crack to kink into the rock or concrete. If the curve for the interfacial
466 criterion was inside the curve for the kinking criterion for the rock or concrete, the crack would
467 always propagate along the interface. In contrast, if there was an intersection point between two
468 criteria, the interfacial crack would either propagate along the interface or penetrate into rock or
469 concrete, depending on the relationship between the K_2^{ini} / K_1^{ini} ratio and the K_2/K_1 ratio
470 corresponding to the intersection point.

471 4. The criteria for propagating and kinking of the interfacial crack into the rock or concrete could
472 be determined by obtaining the initial fracture toughnesses of the rock, concrete and their
473 interface. Actually, these values could be conveniently derived by measuring the initial fracture
474 load from the TPB tests.

475

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563

564

565 **Tables**566 **Table 1** Mechanical properties of concrete and rock materials and their interfaces¹.
567

Series	E (GPa)	ρ (g/cm ³)	ν	f_t (MPa)	f_c (MPa)	R_a (mm)	K_I^{ini} (MPa·m ^{1/2})	G_{If} (N/m)
Concrete	32.86	2.45	0.256	2.200	37.20		0.550	101.91
Rock	41.17	2.75	0.173	-	142.00		1.205	135.38
Interface (3×3)	-	-	-	1.170	-	0.780	-	-
Interface (4×4)	-	-	-	1.391	-	0.963	-	-
Interface (5×5)	-	-	-	1.659	-	1.183	-	-
Interface (7×7)	-	-	-	2.101	-	1.548	-	-

568 ¹ E – Elastic modulus; ρ – Density; ν – Poisson's ratio; f_t – Uniaxial tensile strength; f_c – Uniaxial compressive
569 strength; R_a – Degree of roughness; K_I^{ini} – Initial fracture toughness of mode I; G_{If} – Fracture energy.

570

571

572 **Table 2** Experimental results of the TPB tests².

Specimen	P_{ini} (kN)	P_{max} (kN)	K_1^{ini} (MPa·m ^{1/2})	K_2^{ini} (MPa·m ^{1/2})	$ K_2^{ini} / K_1^{ini} $	R_a (mm)	G_{If} (N/m)
TPB-3×3	1.720	1.825	0.351	-0.019	0.055	0.780	9.25
TPB-4×4	1.965	2.234	0.399	-0.022	0.055	0.963	18.98
TPB-5×5	2.210	2.623	0.450	-0.025	0.055	1.183	22.72
TPB-7×7	2.385	2.816	0.483	-0.026	0.055	1.548	30.14

573 ² P_{ini} – Initial cracking load; P_{max} – Peak load; K_1^{ini} – Initial fracture toughness of mode 1; K_2^{ini} – Initial fracture
574 toughness of mode 2; R_a – Degree of roughness; G_{If} – Fracture energy.

575

576

577 **Table 3** Experimental results of the C-R series beams under FPS³.

Specimen	L_R (mm)	P_{ini} (kN)	P_{max} (kN)	K_1^{ini} (MPa·m ^{1/2})	K_2^{ini} (MPa·m ^{1/2})	$ K_2^{ini}/K_1^{ini} $	R_a (mm)	Failure mode
C-R-225-3×3	225	12.860	14.947	0.399	0.143	0.359		I
C-R-235-3×3	235	14.136	18.813	0.298	0.215	0.723		I
C-R-240-3×3	240	22.000	22.545	0.334	0.381	1.138	0.780	I
C-R-245-3×3	245	27.065	27.865	0.232	0.528	2.275		I
C-R-250-3×3	250	27.500	37.087	0.036	0.606	16.595		I
C-R-225-4×4	225	13.405	15.355	0.416	0.149	0.358		I
C-R-235-4×4	235	17.080	20.687	0.361	0.260	0.719		I
C-R-240-4×4	240	23.990	27.050	0.365	0.415	1.136	0.963	I
C-R-245-4×4	245	28.898	33.390	0.248	0.564	2.270		I
C-R-250-4×4	250	33.208	39.957	0.046	0.732	15.973		IC
C-R-225-5×5	225	16.733	20.283	0.521	0.186	0.357		I
C-R-235-5×5	235	15.743	24.233	0.332	0.240	0.721		I
C-R-240-5×5	240	22.767	31.007	0.346	0.394	1.137	1.183	I
C-R-245-5×5	245	25.580	28.057	0.219	0.500	2.280		I
C-R-250-5×5	250	30.457	41.489	0.041	0.671	16.238		I
C-R-225-7×7	225	20.013	23.480	0.625	0.222	0.356		I
C-R-235-7×7	235	22.887	24.317	0.486	0.348	0.715		I
C-R-240-7×7	240	26.467	27.620	0.404	0.457	1.133	1.548	I
C-R-245-7×7	245	34.400	34.930	0.297	0.671	2.258		I
C-R-250-7×7	250	34.339	39.770	0.048	0.756	15.878		IC

578 ³ L_R – Length of the rock block; P_{ini} – Initial cracking load; P_{max} – Peak load; K_1^{ini} – Initial fracture toughness of
579 mode 1; K_2^{ini} – Initial fracture toughness of mode 2; R_a – Degree of roughness; Failure mode “I” – Interfacial crack
580 propagates through the interface; Failure mode “IC” – Sudden brittle failure near the supports at the same time
581 when the interfacial crack propagates through the whole interface.

582

583

584 **Table 4** Experimental results of the R-C series beams under FPS⁴.

Specimen	L_R (mm)	P_{ini} (kN)	P_{max} (kN)	K_1^{ini} (MPa·m ^{1/2})	K_2^{ini} (MPa·m ^{1/2})	$ K_2^{ini}/K_1^{ini} $	R_a (mm)	Failure mode
R-C-264-4×4	264	17.737	22.300	0.360	0.284	0.788		K
R-C-266-4×4	266	17.461	20.360	0.394	0.274	0.696	0.963	I
R-C-271-5×5	271	13.552	16.710	0.378	0.201	0.531	1.183	K
R-C-275-5×5	275	12.640	13.380	0.404	0.176	0.437		I

585 ⁴ L_R – Length of the rock block; P_{ini} – Initial cracking load; P_{max} – Peak load; K_1^{ini} – Initial fracture toughness of
586 mode 1; K_2^{ini} – Initial fracture toughness of mode 2; R_a – Degree of roughness; Failure mode “I” – Propagation of
587 the interfacial crack through the interface; Failure mode “K” – Initiation and propagation of the crack in the
588 concrete.

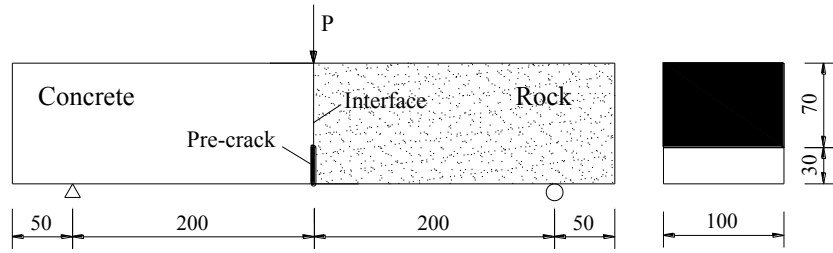
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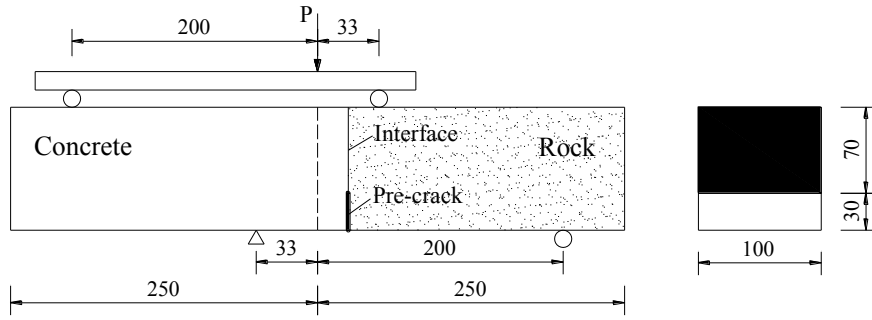
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593 **Figures**



594
595 (a) Under three-point bending (TPB)



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597 (b) Under four-point shear (FPS)

598 **Fig. 1.** Geometries of TPB and C-R series specimens for TPB and FPS fracture tests

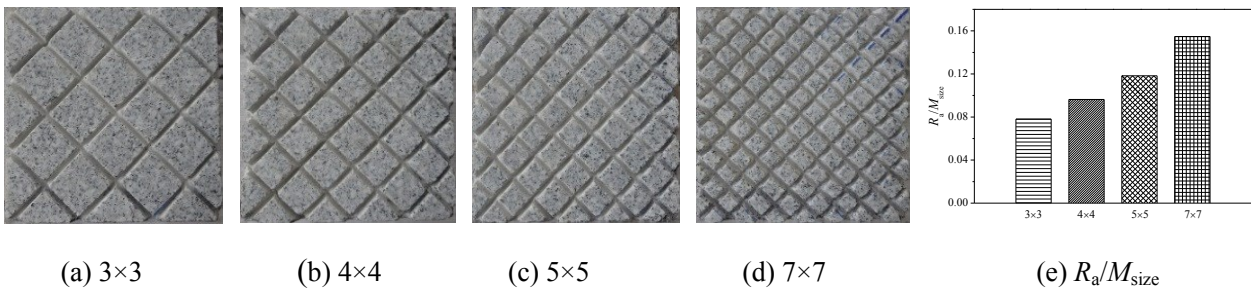
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601 (a) PVC film 1 pasted on the rock (b) PVC film 2 fixed using cello tape

602 **Fig. 2.** Preparation of the pre-crack

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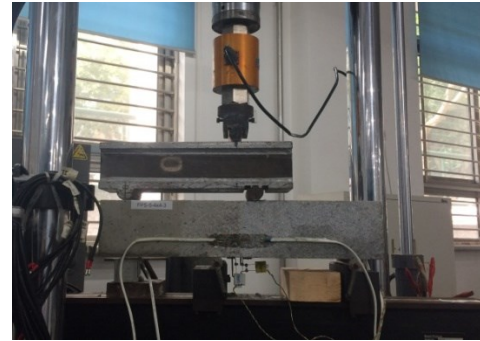
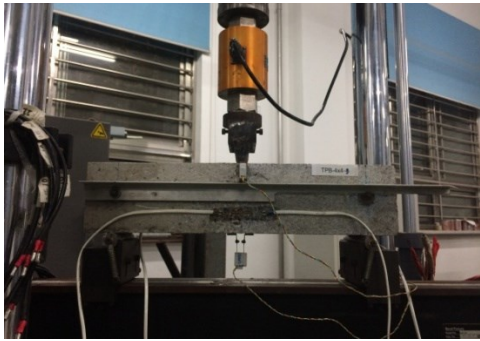
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Fig. 3. Features of rock surfaces at the interface

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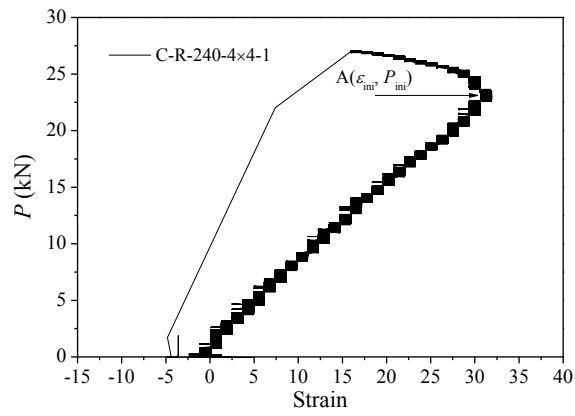
(a) TPB test

(b) FPS test

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Fig. 4. Experimental setups

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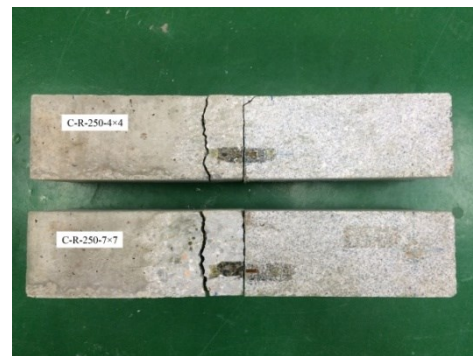


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Fig. 5. Load versus strain curve for Specimen C-R-240-4x4-1

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(a) Failure mode I

(b) Failure mode IC

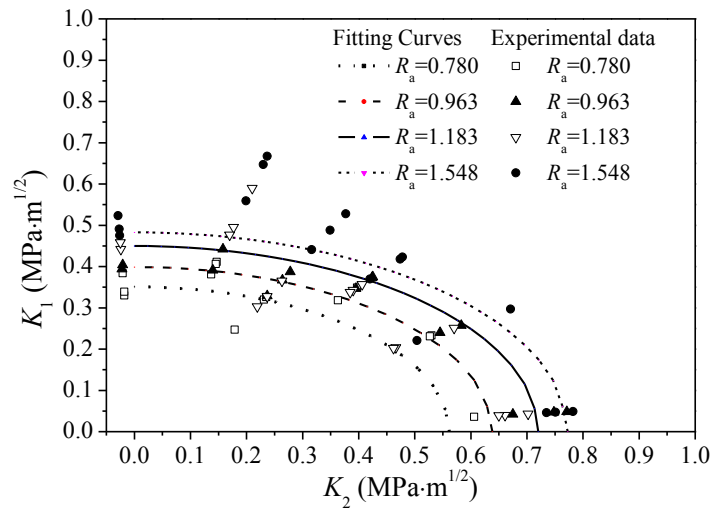
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Fig. 6. Failure modes of C-R series specimens under FPS

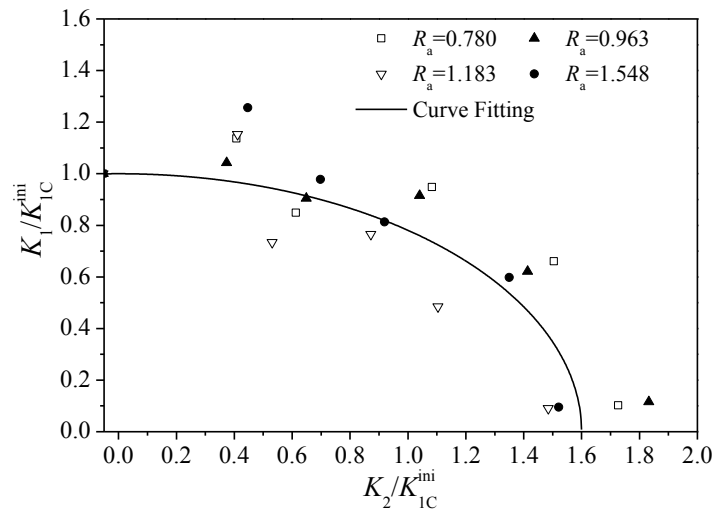
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620 **Fig. 7.** Cross-section of the specimen under interfacial failure



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622 **Fig. 8.** K_1 versus K_2 relationships for interface crack initiation at four roughness degrees



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624 **Fig. 9.** Normalised K_1 versus K_2 relationships at interface crack initiation

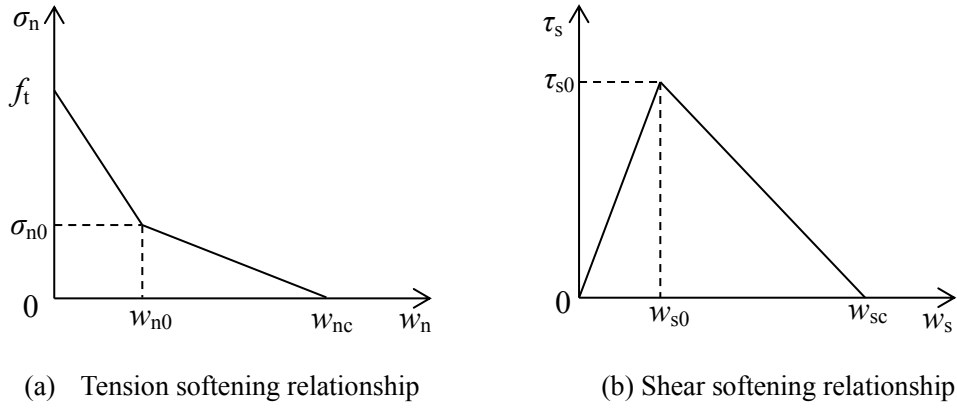


Fig. 10. Cohesive tensile/shear stress versus displacement relationships

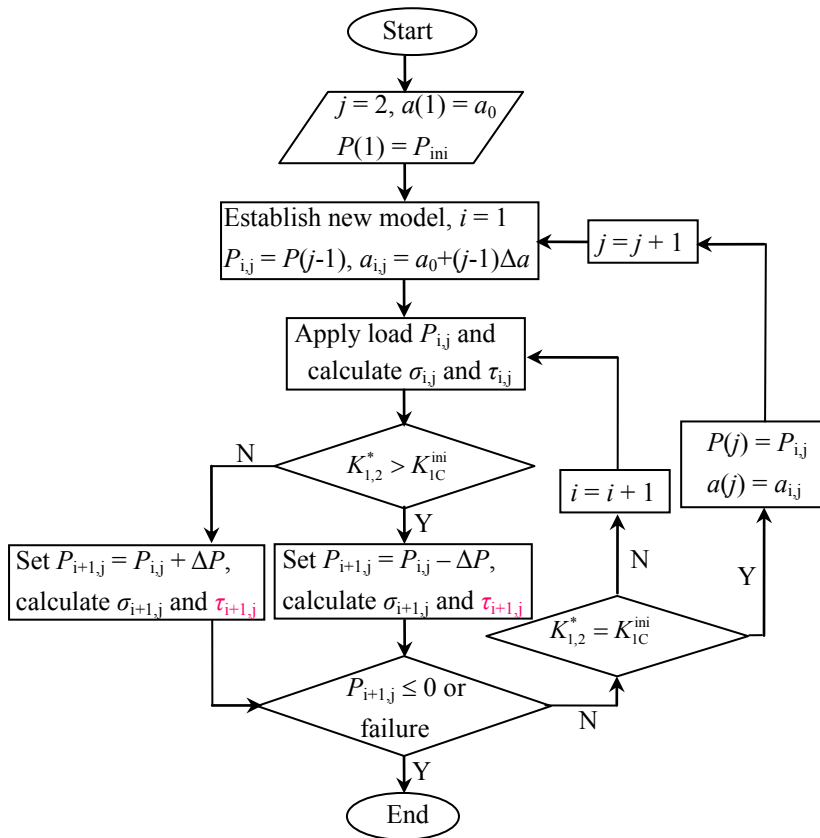
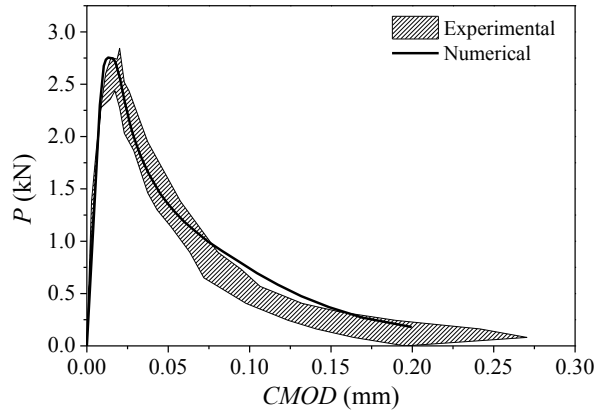


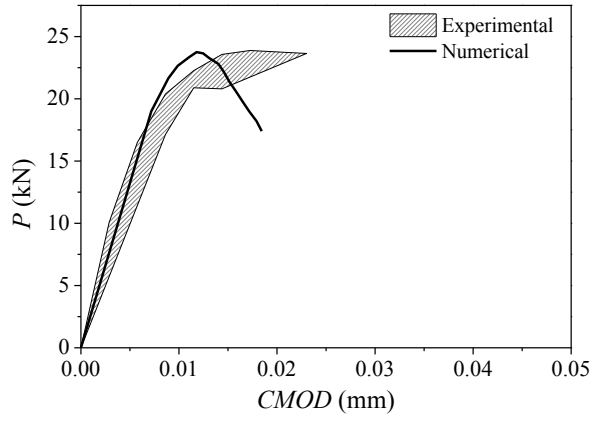
Fig. 11. Flow chart for numerical simulations



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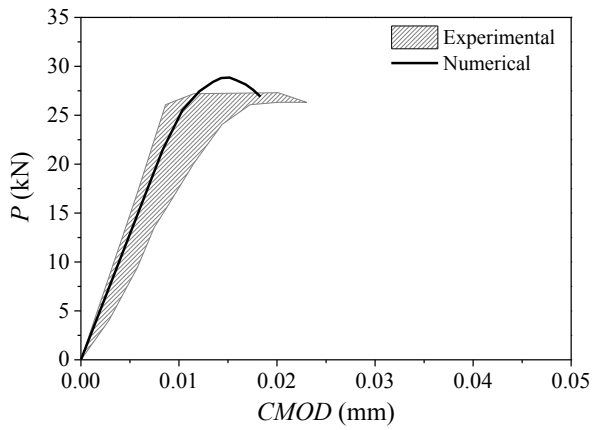
(a) Specimen TPB-5×5



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(b) Specimen C-R-240-3×3

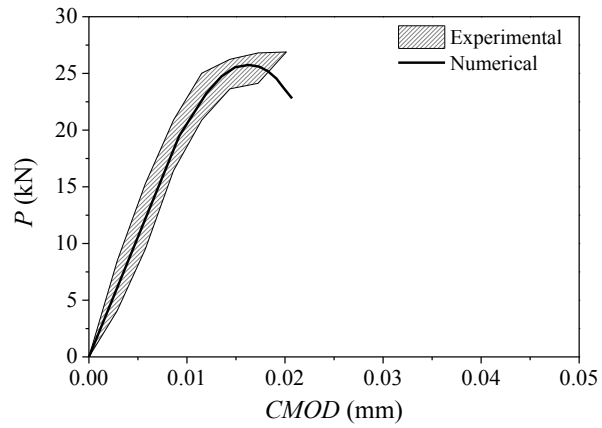


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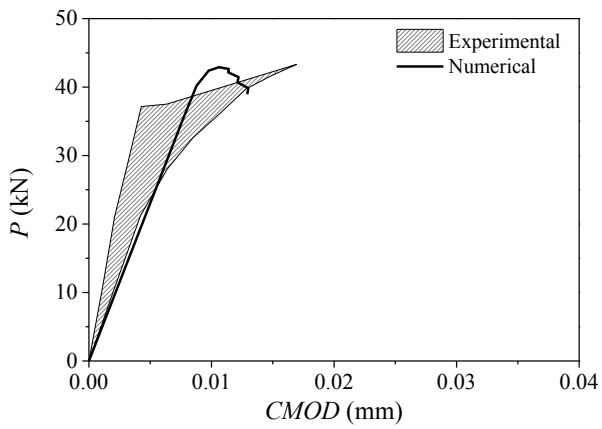
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(c) Specimen C-R-240-4×4



(d) Specimen C-R-235-5×5



(e) Specimen C-R-250-7×7

Fig. 12. Comparisons of P - $CMOD$ curves with the test data on TPB and C-R series specimens

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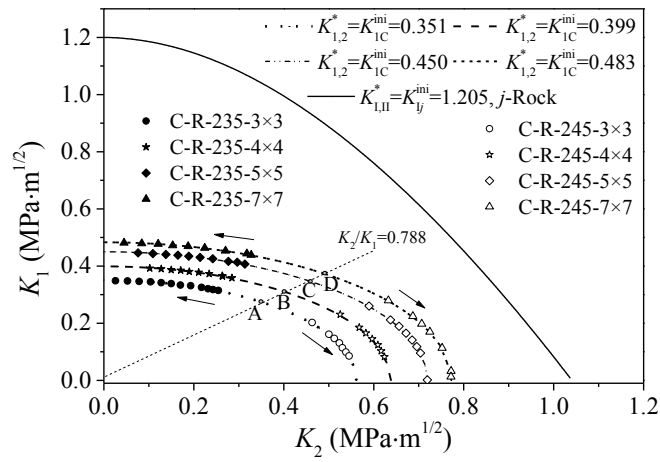
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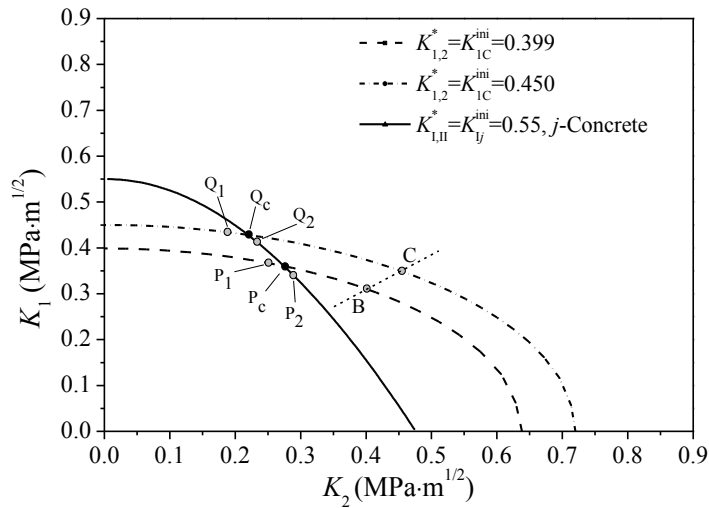
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(a) Curves for the rock with $K_{I,II}^* = K_{I,II}^{ini}$ and $K_{1,2}^* = K_{1,2}^{ini}$



(b) Curves for the concrete with $K_{I,II}^* = K_{I,II}^{ini}$ and $K_{1,2}^* = K_{1,2}^{ini}$

Fig. 13. K_1 versus K_2 relationships for crack propagation criteria $K_{I,II}^* = K_{I,II}^{ini}$ and $K_{1,2}^* = K_{1,2}^{ini}$

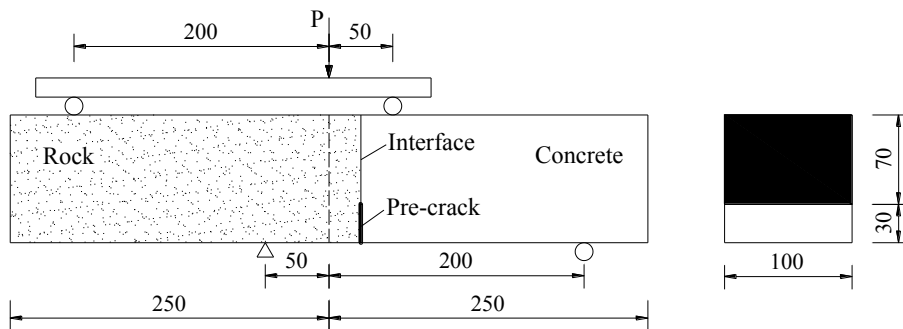
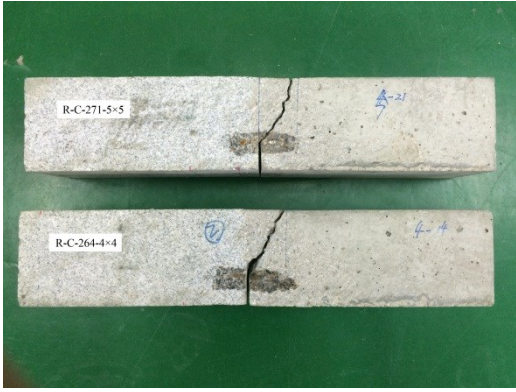
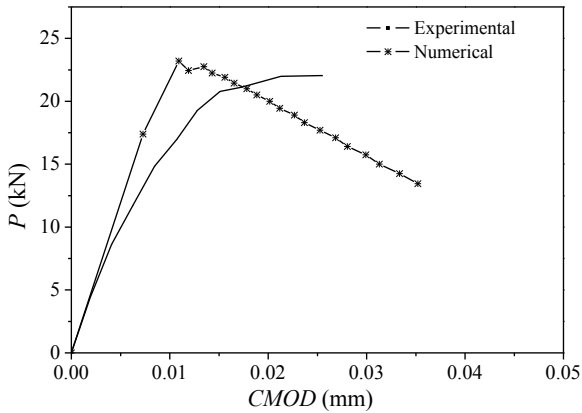


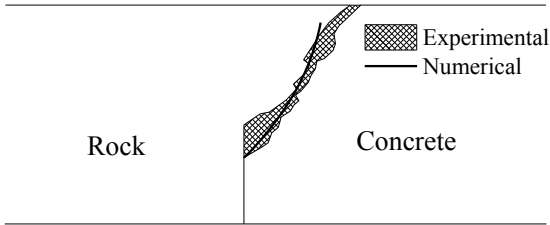
Fig. 14. Geometries of R-C series beams under four-point shear (FPS)



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656 **Fig. 15.** Failure mode K of typical beams



657 (a) *P-CMOD* curves



658 (b) Crack trajectories

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660 **Fig. 16.** Comparisons of numerical *P-CMOD* curve and crack trajectory with the test data

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