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Vehicular Multitier Gateway Selection Algorithm for Heterogeneous VANET Architectures

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Abstract—Cooperative intelligent transportation systems have become an active topic with the introduction of smart communications between vehicles, increasing driver safety, traffic efficiency and ultimately paving way for autonomous vehicles. These vehicular communications have stringent transmission requirements. Among various proposed communication protocols, use of heterogeneous networks, combining long term evolution (LTE) with dedicated short range communications (DSRC), have shown promising results. This paper proposes an LTE gateway selection procedure that enables multitier hybrid architecture. The proposed multitier heterogeneous adaptive VANET (MHAV) framework consists of two tiers, the high tier consist of authority owned vehicles or public transport operators such as buses, lorries and taxis, while low tier consist of privately owned vehicles. Having an authority owned gateway addresses the security and privacy concern raised by private car owners on sharing their information with other cars. Results from implementation of our proposed algorithm using extensive system-level simulations showed an increase in coverage area for DSRC while minimizing the number of gateway switches made by 30-35% in comparison with previously proposed multitier registration techniques. Traffic on LTE network in our simulations is also reduced by 50%.

I. INTRODUCTION

Cooperative intelligent transportation systems (C-ITS) enable different forms of communications, such as vehicle-to-vehicle (V2V), vehicle-to-infrastructure (V2I) and vehicle-to-pedestrian (V2P). In order to provide V2I communications, an entirely new infrastructure comprising base stations along road side are required. Installation of such an infrastructure will incur extra costs. Considering this economical issue, a number of studies have proposed the use of already installed cellular infrastructure [1]–[3]. With the presence of evolved universal mobile telecommunications service terrestrial radio access networks (E-UTRAN, referred as Long Term Evolution (LTE)), service providers and mobile network operators (MNO) have achieved high data rates with lower latencies. A number of LTE performance evaluations for the feasibility of use with vehicular ad hoc networks (VANETs) have suggested a reasonable suitability, however, without any centralization; VANETs can pose enormous network capacity issues on the cellular network [4]. With the global mobile data traffic increasing sevenfold between 2016 and 2021 [5], it can be argued whether the present network can accommodate VANETs or not, since a slight delay in message delivery, especially for autonomous vehicles, can be disastrous.

For the purpose of VANET centralization on LTE, group formation, multicast/broadcast management system (MBMS) and device-to-device (D2D) communications have been proposed [4], [6], [7]. Group formation also known as clustering has shown promising performance. However, according to [8],

35% of road users are concerned about privacy in regards to sharing their information with other road users. At the same time, clustering relies on relaying transmissions which can pose a privacy and security issue [9]. MBMS functionality also proved to be reliable for message dissemination, although being part of 3GPP specifications, MBMS is not widely implemented by MNOs [10]. Similarly, D2D communications also referred to as LTE direct communications, using full duplex radios in order to enable vehicles to receive and transmit at the same time, have shown reduction in the use of LTE uplink resources, increasing network capacity. However, D2D for VANETs exhibits an increase in interference [7] and similar to MBMS, is not currently implemented by MNOs.

In terms of using just dedicated short range communications (DSRC) for vehicular communications, less latency is experienced as compared to LTE. However, successful message delivery in dense urban and sub-urban scenarios is not evident. For the centralization of DSRC, again there are some proposed techniques and frameworks. Among these, clustering [11] and various routing protocols [1] are some of the promising DSRC techniques. However, again with clustering or direct vehicular communication arises the concern of privacy and security.

Due to the frequent and fixed routes of public transit, studies have suggested the use of buses as mobile gateways (MG) instead of fixed road-side units (RSUs) [12]–[14]. Many advantages such as their tall structure exhibiting higher transmission range, covering most parts of urban areas, no requirement of privacy mechanisms and avoiding the cost of installing a new infrastructure, contends public buses as a good substitute for fixed base stations. Kitani et al. [13] are the first researchers who proposed using public buses for message ferrying in VANETs.

Jiang in [12] proposed BUS-VANET that integrated vehicular network with the traffic infrastructure. In their proposed framework, buses are complementing the existing ITS infrastructure. With their performance evaluation they conclude that having buses providing the same functionality as RSUs, can help offload V2I communications and decrease the number of RSUs required. The architecture implemented through simulations in downtown Minneapolis, where the bus routes are in straight lines lacked communication integration and suggested installing RSUs for ensuring service coverage.

Furthermore, authors in [14] elaborate on data aggregation technique where the buses collect beacons and service requests from the vehicles, sending them to the cloud using cellular networks. Then the cloud disseminates these beacons back to the vehicles via the same route through buses. In their study, they only present a use case where no performance is evaluated

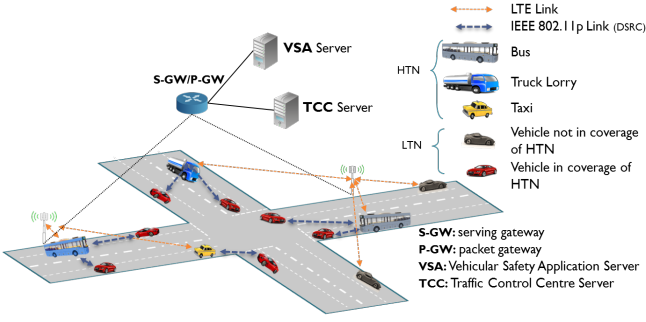


Fig. 1. Multitier Heterogeneous Adaptive VANET Framework showing HTNs and LTNs with all possible scenarios.

and there is no mentioning of any fall back mechanism. Li in [15], propose integrating LTE D2D communications with the existing DSRC network, enabling high tier vehicles such as taxis and public buses to form a backbone network. Most of their work is based on predicting the behavior of all the vehicles using fuzzy score logic and then routing the messages accordingly. Again there is no fall back mechanism in the absence of high tier vehicle and private cars only had DSRC capabilities.

In the same pursuit for message dissemination via public buses, Liu [16] proposes a comprehensive cloud assisted message downlink dissemination scheme. The concept presented is similar to the one proposed in [14] with a comprehensive implementation procedure. Authors have outlined how the gateway is selected, using a two-step process. The first step is for the gateway to register itself with the cloud and then the second is when a vehicle registers with the gateway. In their proposed scheme, the cloud does most of the work in the form of delegating message forwarding in a predefined targeted area. Furthermore, they assume that only the buses would have the integration of both LTE and DSRC interface, the rest of the vehicles would just use DSRC. The drawback for this framework, due to the absence of LTE interface in vehicles, is the lack of internet connectivity for ITS applications other than safety.

In the light of these previous works, we propose a framework and a gateway selection algorithm that fully integrates LTE and DSRC in order to achieve a robust system for multiple ITS applications. Contributions of this paper include:

- A multitier LTE/DSRC integrated vehicular network architecture incorporating authority and operator owned vehicles termed as multitier heterogeneous adaptive VANET (MHAV).
- High tier gateway selection algorithm for the proposed multitier heterogeneous VANET architecture.

The remainder of this paper is organized as follows: Section II describes the proposed multitier heterogeneous framework, and Section III elaborates on the system model followed by simulation results in Section IV. Conclusions and future work are then discussed within Section V.

II. MULTITIER HETEROGENEOUS ADAPTIVE VANETS

The proposed multitier heterogeneous adaptive VANET (MHAV) framework incorporates high tier nodes (HTN) and low tier nodes (LTN). HTNs are the authority owned vehicles such as public buses, taxis, council lorries, etc. while LTNs comprise all the other private vehicles. Both HTNs and LTNs

are assumed to be equipped with LTE and DSRC interface, integrated with the help of a control device [17].

Data delivery in the proposed framework is carried out with the corporation of HTNs, traffic control center (TCC) and vehicular safety application (VSA) server. The TCC and VSA are situated at the core of LTE network and are also accessible via internet. All the LTNs get registered with HTNs, which then enables V2I communications. If an HTN is not available, LTN falls back to using LTE network. HTNs consistently communicate with the LTE network, updating the traffic conditions and their registered LTNs table with the TCC and VSA. HTNs broadcast beacons every second consisting of their location, velocity and ID using DSRC. LTNs receiving these broadcasts run our proposed registration algorithm in order to register with the most suitable HTN. Once the LTN is registered, all V2V communications are carried out via the registered HTN, acting as a message relay. The basic architecture of MHAV framework is shown in Figure 1.

Since all the traffic related information is updated in the TCC, LTNs not registered with HTNs can also access this information via LTE. In regards to safety applications, we suggest the use of a differentiated quality of service (QoS) mechanism known as safety application identifier (SAI) proposed in [18] and implemented via the VSA server. In the next subsection, we explain the proposed HTN selection algorithm which is implemented at the LTN. Furthermore, MHAV framework has a number of other benefits such as no clustering, efficient adaptation, more applicability, etc., a brief comparison is shown in Table I.

A. HTN Selection Algorithm

By having HTNs with integrated DSRC and LTE, we design a system where the HTNs act as gateways. In order to select an HTN, LTNs run our proposed algorithm every time a broadcast beacon is received. Similar to the scheme proposed in [12], each HTN maintains a registration table recording the LTNs currently registered with them. These tables are constantly reported and updated with TCC over the LTE network. In order to have a robust network, especially with such a mobile topology, determining which HTN to select for registration is an important issue when LTNs can receive multiple broadcast beacons from a number of HTNs.

When an LTN receives a broadcast beacon from HTN, this node is placed in the candidate registration set (S). Using the information in the broadcasted beacon, LTN calculates the connection delivery delay (T) for every HTN in the candidate registration set. This delay is calculated using HTN's transmission range (R) which is predefined, distance between the HTN and LTN (d) and relative velocity ($v_{LTN} - v_{HTN}$). Negative value of this delay means that the HTN is moving in the opposite direction to the LTN, therefore if T is negative the HTN is placed in the discard set. Out of all the HTNs residing in S , the one with the highest T is selected for registration. Once LTN has registered with the HTN, it stays connected with it until the distance between LTN and HTN remains below the predefined transmission range. This is elaborated in Algorithm 1.

Authors in [12] had a similar concept, where they set a threshold delivery delay. Setting this threshold and enabling multi hop approach avoids ping pong effect but results in high delays. To tackle this ping pong problem in our evaluations, we preset the R and force the LTN to stay connected with

TABLE I
RELATED WORK ON MULTITIER HETEROGENEOUS VANETS

Algorithm	Tier Nodes	LTE-DSRC	LTE in all nodes	Clusters	Fall-back	Simulation Area	Application	Selection Criteria	Performance Metrics	Ref
BUS-VANET LRTA	Buses/Private	No	No	Yes	None	Downtown Minneapolis, USA	Safety	Maximum delivery delay	Delivery Delay, Packet Loss	[12]
TPHVN	Buses, Taxis/Private	Yes	No	Yes	Clustering	Tianjin Nankai, China	Safety	Fuzzy score of vehicle type and traffic speed	Delivery Ratio, Delivery Delay	[15]
CMDS	Buses/Private	Yes	No	Yes	Clustering	Highway/ Grid Model	Safety	Cloud decides using transmission range	Dissemination Delay	[16]
MHAV (Proposed)	Authority owned buses, taxis, lorries/private	Yes	Yes	No	LTE SAI [18]	Glasgow City Centre, UK	Safety, Traffic efficiency and Infotainment	Relative velocity, location and transmission range	Delivery Delay, Packet Loss, LTE Network quality	-

the registered HTN until it moves out of the transmission range. To select an optimum R , we tested our system under varying values of R . The results showed a trade off between number of registration switches and DSRC coverage area. The results also predict that for suburban, rural or highway scenarios this range R will vary accordingly. More details regarding the selection of this range parameter is discussed in Section IV. Next we discuss the system model adopted for our performance evaluations.

III. SYSTEM MODEL

The network modeled is a $2 \times 2 \text{ km}^2$ area of Glasgow city center with varying density of vehicles evaluating both rush hours when there is high presence of HTNs and less busy hours with lesser HTNs available. Both LTNs and HTNs are assumed to be equipped with FDD LTE transceivers with 20 MHz bandwidth, uplink carrier frequency 1715 MHz and downlink carrier frequency 2115 MHz (band 4) [19, Table 5.5-1] integrated with IEEE 802.11p compliant DSRC interface operating at 5.9 GHz with 10 MHz bandwidth [20]. These nodes are assumed to be moving in urban model created using routes mobility model [21]. Fig. 2 illustrates the service area modeled in ns-3 [22]. Nodes move at an urban average speed matched to the 3GPP extended vehicular A (EVA) model radio environment designed using MATLAB [23]. Simulation parameters used are given in Table II.

Furthermore for HTNs, predefined bus routes are modeled with an interval of 10 minutes [24]. For the eNodeBs (eNBs), mast data for operator EE has been implemented [25]. The eNBs are connected to the mobility management entity (MME) through their S1-AP interface and to the serving gateway (S-GW) and packet data network gateway (P-GW) through their S1-U interfaces. Interconnection from the P-GW to the TCC Server and VSA server is modeled using an error free 10 Gbps point-to-point link and TCP/IP version 4. The packet payload for HTNs is assumed to be 1500 bytes including the registration tables, locations and safety application data.

Propagation loss model employed for IEEE 802.11p is Nakagami loss model with the path loss factor (m) of 4 [26] on top of Friis propagation loss model. Multiple simulation runs are undertaken in order to obtain reliable results. The results from all these simulations are then averaged using 95% confidence interval. LTN velocity is set to 30 mph while the

HTNs are assumed to be moving at 20 mph, according to the city speed limits enforced in Glasgow city center.

A. Performance Measures

We compare our results with the previously proposed longest registration time algorithm implemented in BUS-VANETs [12]. The primary performance measures used are the *average number of registration switches*, *IEEE 802.11p coverage* and the *packet delivery ratio (PDR)*. The *registration switches* are calculated for each LTN whenever it switches from an HTN to either another HTN or to the LTE network. *IEEE 802.11p coverage* is the percentage of LTNs registered with HTN. Furthermore, the *PDR* is the number of packets successfully delivered to the LTNs after they have registered with the respective HTN.



Fig. 2. $2 \times 2 \text{ km}^2$ area of Glasgow city center covered by 6 sites with 3 cells/site.

IV. SIMULATION RESULTS

Vehicular networks have a fast changing topology due to their mobile nature. In MHAV framework, LTNs carry out V2V communications via HTNs. Therefore, the amount of registration switching between HTNs is required to be low for the network to be robust and reliable. For the purpose of evaluation, we tested our system while varying the transmission range, in order to select the optimum algorithm parameter. Once a suitable transmission range is selected, we compare our algorithm with the registration scheme presented in [12] referred as longest registration time algorithm (LRTA), concluding with the overall outcomes of our study.

Algorithm 1 LTN Registration Algorithm

Input: S : Candidate Registration Set

D : Discard Set

x : High Tier Node (HTN)

T : Connection Delivery Delay

R : Transmission Range (100m)

d : Distance between HTN and Low Tier Node (LTN)

v_{LTN} : Velocity of LTN

v_{HTN} : Velocity of HTN

Output: Reg : Registered HTN

System Setup :

```

1: HTN: broadcast beacons
2: LTN:  $S \leftarrow x$ 
3: while  $S > 0$  do
4:   for every  $x \rightarrow S$  do
5:     Compute and record  $T$ 
6:      $T = \frac{R-d}{v_{LTN}-v_{HTN}}$ 
7:     if  $T < 0$  then
8:        $x \rightarrow D$ 
9:     end if
10:   end for
11:   if  $S > 1$  then
12:     Clear  $D$ 
13:   end if
14: end while
15: if  $S > 1$  then
16:    $Reg = S \rightarrow x(\max(T))$ 
17:   while  $d(x) < R$  do
18:     LTN  $\leftarrow x$ 
19:     Compute  $d$  from every message received
20:     Start Back Off Timer
21:   end while
22: else
23:   if  $S = 1$  and  $d < R$  then
24:      $Reg = S$ 
25:     while  $d(x) < R$  do
26:       LTN  $\leftarrow x$ 
27:       Compute  $d$  from every message received
28:       Start Back Off Timer
29:     end while
30:   else
31:      $Reg = 0$ 
32:     Revert back to utilise LTE SAI
33:   end if
34: end if
35: return  $Reg$ 

```

TABLE II
SIMULATION PARAMETERS

Parameter	Value
Simulation time	300 seconds.
Road model	2x2 km ² Glasgow City Center
Number of LTNs	50, 100, 150.
Number of HTNs	5, 10, 15.
Average speed	20 mph (HTN), 30 mph (LTN).
DSRC	
Access Technology	IEEE WAVE 1609 and 802.11p.
Propagation model	Nakagami and Friis Models.
Operating Frequency	5.9 GHz.
Data Rate	6 Mbps.
Transmission Power	25 dBm.
Antenna	Omnidirectional.
Channel Bandwidth	10 MHz.
Noise Figure	7 dB.
CCA threshold	-86 dBm.
LTE	
Network	6 sites with 3 cells/site, 1000 m ISD.
Transmission power	eNB: 40 dBm, UE: 23 dBm.
Carrier frequency DL/UL	2115 MHz/1715 MHz.
Channel bandwidth	20 MHz (100 RBs)
Noise Figure	eNB: 5 dB, UE: 9 dB.
UE antenna model	Isotropic (0 dBi).
eNB antenna model	15 dB Cosine model, 65° HPBW.
Scheduling algorithm	Proportional Fair.
Handover algorithm	A2A4RSRQ, RSRQ threshold -5 dB, and NeighbourCellOffset=2 (1 dB).
Path loss model	LogDistance ($\alpha = 3$) and 3GPP Extended Vehicular A model.

A. Selection of Transmission Range for Urban Scenario

As mentioned in Section II-A, mechanism reducing rapid topology change increases robustness, however, another vital requirement is the reliability. Since vehicular network's safety applications require successful message delivery, the trade-off between registration switches and message delivery rate is evaluated. At the same time, we assess the amount of traffic that would be offloaded from the LTE network to the proposed IEEE 802.11p network, reducing the capacity usage of VANETs on LTE network.

Fig. 3 shows a 3D plot with PDR on the horizontal axis while the average number of switches and IEEE 802.11p coverage on x and z axis respectively. Two scenarios are evaluated where the number of HTNs is varied from 10 to 15. We have carried out our tests with the transmission ranges of 100, 200 and 300 m. For $R=300$ m, system with 15 HTNs had DSRC covering above 80% of LTNs with the least average registration switching of 2.1, however, the PDR was found to be close to 60%. With the same transmission range, having 10 HTNs in the scenarios, almost 65% of the LTNs were observed to be using DSRC for communication, while the PDR further dropped to below 50%. The low value of PDR shows that while the switching is minimal and the DSRC coverage is optimal, quite a large amount of packets are dropped. This is due to the fading and shadowing effect in an urban environment, due to the presence of buildings.

Next we changed R to 200 m. As expected, the DSRC coverage decreased while the number of registration switching increased, however, the PDR increased by about 15% in both scenarios having 15 and 10 HTNs. Furthermore, we calculated that on average a block in Glasgow city center is slightly less than 100 m which would avoid fading and shadowing effects caused due to the presence of buildings. Therefore, we then used $R=100$ m and observed the PDR going above 85% for both the scenarios. The trade-off, as predicted, is with

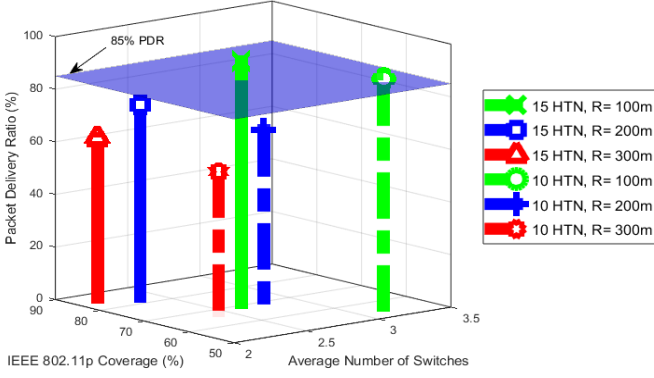


Fig. 3. Packet Delivery Rate vs IEEE 802.11p coverage and Number of switches for 10 and 15 HTNs with 100 LTNs while changing the transmission range from 100m to 300m.

the DSRC coverage and the average number of registration switches. For 15 HTNs at $R=100\text{m}$, about 68% of LTNs are covered by DSRC while the average switching remains at about 2.6, exhibiting a PDR of above 90%. Whereas for 10 HTNs, the switching goes above 3 while DSRC coverage is above 50% with a PDR of 85%.

From a literature study carried out in [27], traditional VANETs have a PDR of between 60-80%. However, the standards do not specify any acceptable packet delivery ratio. It can hence be concluded that in an urban environment such as Glasgow city center, transmission range of 100m shows the most reliability (above 85%) while trading off with the number of switching and DSRC coverage. With this PDR, the coverage is still above 50% showing an offload of more than half the traffic from LTE network.

B. Comparison of MHAV Registration Scheme with LRTA

Next we compare our proposed algorithm with the previously proposed [12] LRTA for bus VANETs. Fig. 4 shows the average number of registration switches that occurred during the 300sec of simulation. The average is taken for each LTN and then 95% confidence interval is calculated over multiple simulation runs. It can be seen that the number of switches decrease by about 30% to 35% when our proposed registration scheme is in place. The reason behind this decrease is the selection of threshold parameters. For LRTA, the threshold

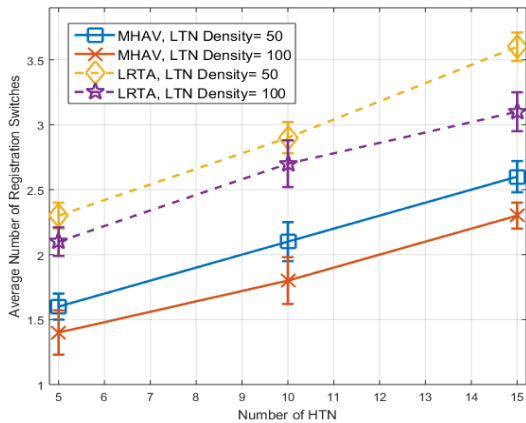


Fig. 4. Comparison of MHAV with LRTA on the average number of registration switches.

chosen is the delivery delay, i.e., whenever this delay falls below a certain threshold, the LTN registers with the HTN and stays connected until this value crosses the threshold. For a fast vehicle in a radio environment, this delay can arise from various sources and multiple HTNs can have similar delays, specially when multiple HTNs are present at the same location. Our selection of transmission range instead of delivery delay shows higher robustness, since the LTN tends to stay registered with one HTN till the time it is present in the predefined transmission range.

V. CONCLUSION AND FUTURE WORK

This paper proposes a multitier heterogeneous adaptive VANET framework and a gateway selection algorithm. MHAV architecture consists of HTN and LTN. All the vehicles are assumed to have LTE and DSRC capabilities while LTNs register with HTNs to enable V2I and V2V communications over DSRC while the HTNs connect to the LTE network in order to provide infrastructure communications to its registered LTNs. We also propose to have a fall-back to LTE SAI in the case where there's no HTN present for registration. Simulations are carried out in Glasgow city center, a dense urban environment, in order to evaluate our proposed algorithm. Results show that the proposed scheme outperforms the traditional BUS VANET registration technique by 30-35% in terms of switching while offloading more than half of the vehicular traffic from cellular networks and maintaining a PDR above 85%. Having authority owned gateways tend to make the network more secure and addresses the privacy issue raised by many private car owners.

In the future, we plan to implement a message dissemination scheme for the proposed framework, expanding it to meet requirements for all the vehicular applications. We will also evaluate other scenarios such as highways and suburban areas where the transmission range parameter in our algorithm is speculated to be significant. LTE has been a promising candidate for vehicular networks. However with the current growth in cellular users, catering vehicular networks would require much more capacity. We plan to implement techniques that would integrate LTE and DSRC while minimizing the impact of capacity issue.

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