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1 Using the root spread information of pioneer plants to quantify their mitigation
2 potential against shallow landslides and erosion in temperate humid climates
3

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51 Abstract

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53 The aim of this paper was to quantify the mitigation potential of pioneer herbs against
54 shallow landslides and erosion in temperate humid climates and to identify key plant
55 information to aid species selection for slope stabilisation. The objectives ranged from
56 the study of the climate, soil and root spread of three native perennial herbs growing
57 on a landslide-prone slope in Northeast Scotland to the verification of an upgraded
58 spatially distributed eco-hydrological model in order to test whether root spread
59 information can be provided cost-effectively in temperate humid climates. The
60 retrieved information on root spread was then used to evaluate the slope stabilisation
61 potential of the pioneer herbs in the topmost soil horizons using a limit equilibrium
62 method.

63 The results indicated that pioneer herbs, although presenting climate-influenced
64 shallow root systems, could noticeably contribute to reducing soil mass loss and
65 landslides. This was largely determined by the plant biomass and allometry, the latter
66 being a potential readily measurable proxy for species selection in slope stabilisation
67 that will need further investigation. Additionally, our observations supported the
68 model predictions remarkably well when site-specific inputs were employed, showing
69 that the proposed model is a suitable and cost-effective tool to provide spatial root
70 spread information for eco-engineering purposes in temperate humid climates.

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72 Key words: herb, root spread, temperate humid climate, allometry, distributed model,
73 shallow landslide.

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80 1. Introduction

81

82 Landslides and erosion are a global hazard that lead to dramatic loss of human life,
83 property and soil every year with an occurrence that will likely increase due to the
84 effects of climate and land use change (van Beek et al., 2008; IPCC, 2014) if action is
85 not taken.

86 The use of plants against shallow landslides and erosion has been shown to be an
87 effective eco-engineering measure (Stokes et al., 2014) mainly provided by the soil-
88 root mechanical reinforcement (Norris et al., 2008). A root-permeated soil makes up a
89 composite material that has enhanced strength (Waldron, 1977), providing a similar
90 effect to the soil like that of steel rods to reinforced concrete (Mickovski et al., 2009).
91 However, to quantify the extent of soil-root reinforcement, information on the root
92 spread in the soil is needed to evaluate the slope stabilisation potential of the plant in
93 the topmost soil horizons.

94 Despite the relatively recent efforts to quantify root spread at a global scale (e.g.
95 Schenk and Jackson, 2002; Schenk and Jackson, 2005), it still remains unknown for
96 the vast majority of the wild plant species. Indeed, information related to pioneer
97 herbs is severely scarce, as far more attention has been traditionally paid to woody
98 plant species (Stokes et al., 2008) and crops (Böhm, 1979). Pioneer herbs may present
99 a great eco-engineering potential as they are fast-growing, easily spreadable and set
100 the basis for further ecological succession (Odum and Barrett, 1971). However, herb's
101 root systems are expected to be limited to the topmost soil horizons, being more likely
102 effective against rill or gully erosion (e.g. van Beek et al., 2008). Hence, the use of

103 herbs in eco-engineering slope stabilisation actions needs to be combined with other
104 remediation techniques (e.g. Tardio and Mickovski, 2016).

105 The root distribution in the soil may be complex and, obtaining related information is
106 expensive and time-consuming. Thus, the development of numerical root distribution
107 models has been the scope of research in the past few decades (e.g. Wu et al., 2005)
108 and based on this research, for most practical eco-engineering applications, a root
109 profile can be portrayed as a simple asymptotic mathematical function (Jackson et al.,
110 1996). Additionally, it has been observed that root spread is chiefly influenced by
111 water availability in the soil (i.e. 'hydrotropism'; Darwin, 1880; Tsutsumi, 2003).

112 This concept permits to link the root development to climate and soil properties
113 (Schenk and Jackson, 2002) and, therefore, to the soil's water balance. In this sense,
114 Laio et al. (2006) developed an analytical eco-hydrological model able to predict
115 realistically the rooting depth at the plant community level for water-limited
116 ecosystems (i.e. arid or dry environments) from readily available soil and climatic
117 predictors. These predictors can be easily parameterised from the soil
118 physicochemical properties (i.e. porosity, texture and organic matter content) and
119 from temperature and rainfall information collected by many weather stations.

120 However, the root spread has rarely been assessed using *in situ* soil and climate-
121 derived information as data from distant meteorological stations and sampling
122 locations are normally interpolated for a given study site (e.g. Preti et al., 2010; Tron
123 et al., 2014). Laio's et al. model was further extended by Preti et al. (2010) to provide
124 plant species-specific root profile information by the consideration of a universal
125 property to all living organisms, the allometry (West et al., 1997). Plants allocate their
126 biomass above and below the ground, and the proportion in which this is distributed
127 can be assessed by the plant's allometric relationship (Cheng and Niklas, 2007)

128 depicted by a simple power-law relationship (West et al., 1997). This relationship
129 permits to cost-effectively infer the root biomass from measurements of the
130 aboveground biomass and also potentially determine plant parameters related to soil
131 reinforcement purposes (e.g. Hwang et al., 2015). To the best of our knowledge, the
132 identification of plant indicators able to enhance the effectiveness of plant selection
133 against shallow landslides has been rarely explored (e.g. Cornelini et al., 2008).
134 Additionally, the existing models (Laio et al., 2006; Preti et al., 2010) are, essentially,
135 one-dimensional and cannot be readily applied to temperate humid climates (Tron et
136 al., 2014), which cover a big surface of the Earth (Köppen, 1884).

137 Climate, soil, and plant cover are spatially highly heterogeneous, which stresses the
138 need of adopting spatial approaches to predict root system features under different
139 environmental and landscape scenarios. However, spatially distributed root spread
140 models are lacking in the literature (e.g. O'Brien et al., 2007; Coelho et al., 2003),
141 although these types of models are very popular in hydrology and catchment science
142 (Neitsch et al., 2011; Doppler et al., 2014). The development of distributed root
143 spread models may be very helpful to assess the spatial effect of vegetation against
144 shallow landslides and erosion or to enhance the predictive capacity of other spatial
145 models aiming to quantify plant-derived processes (e.g. water fluxes, nutrient cycles
146 or sediment dynamics at the catchment scale; SWAT; Neitsch et al., 2011). However,
147 the performance of a given distributed model will rely on the quality of the spatial
148 information used as an input. In this sense, the implementation of machine learning
149 techniques, such as the *random forest* algorithm (RF; Breiman, 2001), for predicting
150 spatially heterogeneous soil variables that drive root spread in the soil (e.g. soil water
151 availability) may have great potential for providing spatial soil information cost-
152 effectively (Malone, 2013). RF was conceived to produce accurate predictions that do

153 not overfit the data (Breiman, 2001), it is more powerful than classical spatial
154 interpolation methods (e.g. regression tree, universal kriging, cubist; Liess et al.,
155 2012) and more interpretable than other machine learning techniques, such as neural
156 networks (Prasad et al., 2006). The use of these techniques in environmental studies,
157 although growing, is still poor.

158 The aim of this paper is to quantify the potential of pioneer herbs against shallow
159 landslides and erosion in temperate humid climates and identify key plant information
160 to aid species selection for slope stabilisation. To do so, we follow a step by step
161 journey from the study of the climate, soil and the root spread of three native
162 perennial herbs growing on a landslide-prone slope in Northeast Scotland, to the
163 verification of our revised spatially distributed eco-hydrological model; testing
164 whether root spread information can be provided cost-effectively in temperate humid
165 climates. The retrieved information on root spread is then used to evaluate the pioneer
166 herbs' slope's topmost horizons stabilisation potential using a limit equilibrium
167 method, which outcome will contribute to shed light on key plant-related data for
168 effective plant selection against shallow landslides and erosion.

169

170 2. Materials & Methods

171

172 2.1. Study site

173

174 The study site lies within Catterline Bay, Northeastern Scotland, UK (WGS84 Long: -
175 2.21 Lat: 56.90; Fig. 1), a region with mean annual temperature of 8.02 °C and mean
176 annual rainfall of 1232 mm (UK Met Office, 2015); constituting a humid temperate
177 climate site (Cfc: subpolar oceanic climate; Köppen, 1884). The precipitation is

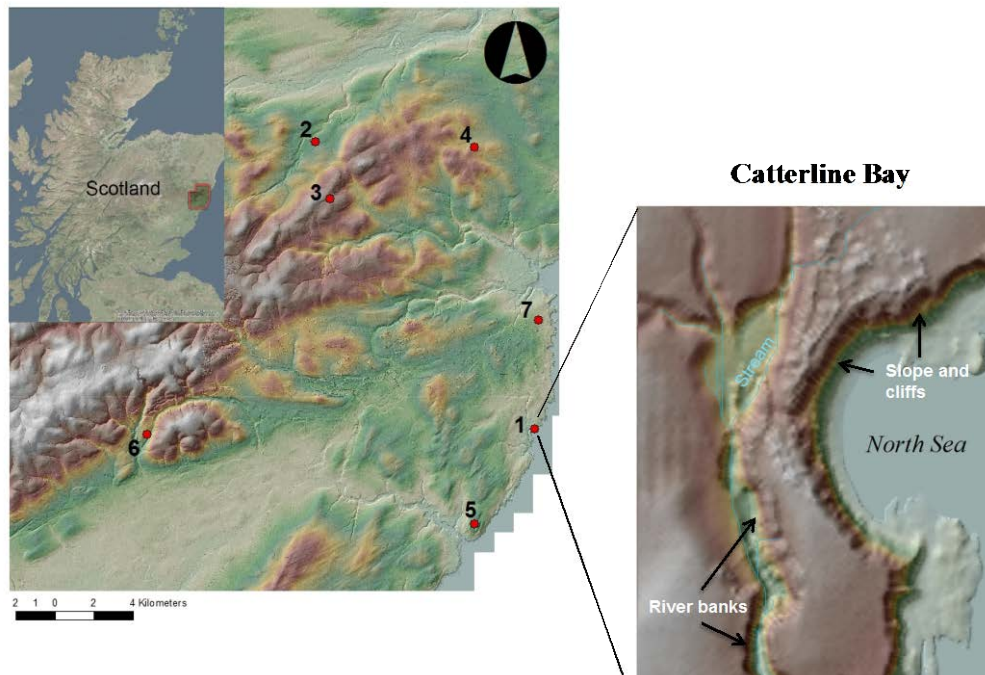


Figure 1. Study site location, topography, and location of the meteorological stations considered in this study. 1: Catterline; 2: Durris; 3: Mongour; 4: Netherley; 5: Inverbervie; 6: Fettercairn; 7: Stonehaven. Sloped terrain, cliffs and inclined riverbanks shown in darker shade/colour.

178 characterized by frequent, low-intensity rainfall events, while heavy storms seldom
 179 occur. The topography of the study site is dominated by sloped (25-50°) terrain and
 180 cliffs ending up into the sea, combined with a flatter inland area that is crossed by a
 181 small stream that leads to the formation of inclined river banks (Fig. 1). Shallow (ca.
 182 600 mm) and well-drained soils can be found within the study area resting on top of
 183 sedimentary bedrock (i.e. conglomerate; BGS, 1999). The vegetation cover is
 184 dominated by herbaceous weeds and grasses, riparian trees and agricultural crops of
 185 wheat and barley. The sea has a limited influence on the vegetation as south-westerly
 186 winds prevail. Different soil mass wasting episodes (landslides and erosion) have
 187 been reported on the site in the past (e.g. Kincardineshire Observer 11/4/2013),
 188 mainly associated with prolonged rainfall periods. The failure zones are easily
 189 identifiable, presenting exposed bare ground or areas of sparse vegetation

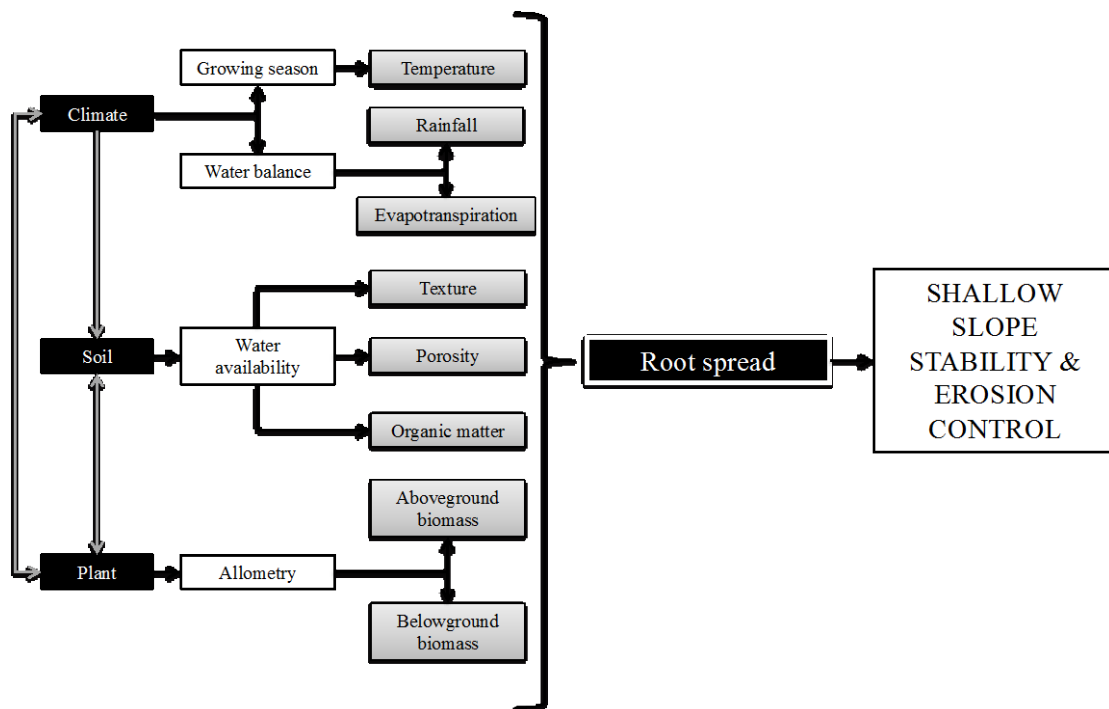
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191 2.2 Parameterisation

192

193 The parameterisation process was carried according to the diagram shown in Fig. 2 in
194 order to identify and quantify the studied systems' elements governing plant root
195 spread and feed a model aiming at providing root spread information in temperate
196 humid climates (i.e. root profile distribution model, RPDM; see 2.3).

197



198

199 Figure 2. Arrow diagram showing the relationship between the considered compartments (black boxes) and
200 parameters/variables (grey boxes) describing the root spread. Gray arrows indicate interactions between the
201 compartments forming the ecosystem under study.

200

201 2.2.1 Climate parameters

202

203 Two types of climate data sets were employed: 1) short-term meteorological time
204 series from a meteorological station located at the study site (2012-2014; vor de Porte,
205 2015; Fig. 1; Point 1) 2) long-term meteorological time series belonging to 6 different
206 weather stations located within the region of the study site (1996-2014; UK Met
207 Office, 2015; Fig. 1; Points 2 to 7).

208 The growing season duration was determined according to the growing degree-days
209 (GDD) approach (e.g. McMaster & Wilhelm, 1997). We assumed that the growing
210 season started once the cumulative GDD reached 200°C, and that root growth was
211 inhibited when the daily air temperature was below 5°C (Alvarez-Uria and Körner,
212 2007). The duration of the growing season was estimated for each station and year
213 and then it was averaged for the considered time series.

214 The probability distribution of the rainfall intensity for each growing season was
215 assessed by estimating and plotting its kernel density (Parzen, 1962) in R 3.1.2 (R
216 Development Core Team, 2014). Then, the rainfall parameters λ_o (i.e. frequency of
217 rainfall events) and α (i.e. mean rain intensity) were estimated for each growing
218 season as indicated in Preti et al. (2010). Both parameters, λ_o and α , were averaged
219 over the considered time series and compared against the values obtained at the study
220 site's station prior being used as input into RPDM (see 2.3). The mean
221 evapotranspiration rate (T_p (mm d⁻¹)) over the growing season was estimated with
222 Priestly & Taylor (1972) equation and the extension proposed by Savabi et al. (1989)
223 considering a broad-leaf vegetation cover (LAI : 3.48, Deguchi et al., 2006;
224 aboveground biomass (M_a): 6140 g m⁻², Nunes et al., 2013).

225

226 2.2.2 Soil parameters

227

228 Undisturbed soil core samples from the uppermost 150 mm were collected at 30
229 random locations within the study site using an aluminum core sampler of 95 mm
230 (inner diameter) and 150 mm (height). The soil samples were oven-dried at 110°C
231 over 24 hours to calculate the dry bulk density and porosity; assuming a soil particle
232 density of 2.65 g cm⁻³ (Head, 1980). The soil particle size distribution was determined

233 by the dry sieving method and by the hydrometer method for the coarse (i.e. gravel
234 and sand) and the fines fraction (i.e. silt and clay), respectively (BS 1377 Part
235 2:1990). Soil organic matter content was estimated through the loss on ignition
236 method (Schulte and Hopkins, 1996). Soil saturated hydraulic conductivity was
237 measured at 5 different locations with a constant head Guelph permeameter (Reynolds
238 and Elrick, 1990). The former soil parameters were used to determine the soil's field
239 capacity (θ_{fc}) and wilting point (θ_{wp}) by means of pedotransfer functions (Toth et al.,
240 2015). The mean θ_{fc} and θ_{wp} values between the sampled points was employed as
241 input into RPDM (see 2.3).

242

243 2.2.3 Plant parameters

244

245 Three different dominant species of perennial pioneer herbs were selected (Table 1)
246 for parameterisation. All of them are native species that are well distributed over the
247 entire UK, generally colonizing disturbed grounds (Perring and Walters, 1982). Plant
248 sampling was carried at the height of the 2014's growing season (i.e. late July-early
249 August) in which ten to eleven individuals of each species were sampled at random
250 locations within the study site. Each plant individual was carefully excavated by hand
251 without separating the above and belowground parts. In addition, to quantify the plant
252 cover in terms of the aboveground biomass and the abundance of the selected plant
253 species, twenty-five 1 m² quadrants were randomly sampled within the study site
254 (USDA-NRCS, 1997).

255 Table 1. Studied herbaceous plant species.

Species	Family	Common name
<i>Erigeron acris</i> L.	Asteraceae	Blue fleabane
<i>Rumex obtusifolius</i> L.	Polygonaceae	Broad-leaved dock
<i>Silene dioica</i> Clariv.	Caryophyllaceae	Red campion

256

257 Each plant individual was clipped 2 millimetres above the collar with precision
258 scissors to separate the above from the belowground part. The biomass of the above
259 and belowground plant parts was determined after oven drying at 70°C for 48 hours.
260 The relationship between above and belowground parts (i.e. plant allometry) was
261 evaluated through the implementation of exponential regression models in R 3.1.2,
262 assuming a power-law relationship between both plant vegetative parts (WBE model;
263 West et al., 1997; Cheng and Niklas, 2007) of the form $M_a = \beta M_r^\alpha$, where M_a and M_r
264 are the above and belowground biomass (g), respectively, β is the allometric
265 normalization constant.

266

267 2.2.4. Root spread parameters

268

269 To estimate the root cross-sectional area with soil depth (i.e. rooted soil), the root
270 diameters (d_i) for each depth interval were summed up and the area was then
271 calculated as $A_i = \pi(\sum d_i/2)^2$, assuming that the soil-rooted area approaches a
272 circumference at every considered depth and that fine roots are randomly distributed
273 within. The average of all observations at every depth for each plant species were
274 considered, to which an exponential regression model was fitted in R 3.1.2. The
275 proportion of root-reinforced soil (i.e. root area ratio; *RAR*) was then calculated as
276 $RAR(z) = A_i(z)/A_{soil}$. The mean rooting depth (b) was estimated as the average of the
277 total rooting depth of all individuals per species divided by 3 (Laio, 2006). The root
278 cross-sectional area at the ground level (A_{r0}) was assessed like A_i but considering the
279 root diameters at the root collar.

280

281 2.3 Root profile distribution model (RPDM) for temperate humid climates.

282

283 2.3.1. Model description

284

285 The eco-hydrological model RPDM for temperate humid climates was based on
286 Laio's et al. (2006) model concept for the determination of the mean rooting depth (b)
287 at the plant community level for water-limited ecosystems. The former model (Laio et
288 al., 2006) estimates b (mm) as a function of the long-term water balance in the soil by
289 considering the ratio between the incoming water (i.e. rainfall) to the soil's available
290 water content (AWC) to plants, where AWC is in turn constrained by the atmospheric
291 water demand during the growing season - i.e. $b = \alpha / n(\theta_{fc} - \theta_{wp})(1 - \alpha \lambda_{o/T_p})$.
292 Contrariwise, we assumed herein that water income is no longer a limiting resource in
293 the soil profile for root system spread as, in temperate humid climates, precipitation
294 tends to be plentiful while evapotranspiration, or atmospheric water demand, is kept
295 at relatively low level (Allen et al., 1998). Therefore, we simplified Laio's analytical
296 model by considering that all the soil's incoming water would potentially be available
297 to plants. Hence, the mean rooting depth was estimated as:

$$b = \frac{\alpha}{n(\theta_{fc} - \theta_{wp})} \quad (\text{Eq. 1})$$

298 where α is the mean rainfall intensity per event (mm/event) over the growing season
299 (see 2.2.1), and $n(\theta_{fc} - \theta_{wp})$ is the soil's available water content (AWC) to plants, being
300 n is the soil porosity (unitless), θ_{fc} is the soil's volumetric moisture content at field
301 capacity and θ_{wp} the soil's volumetric moisture content at wilting point (see 2.2.2).
302 Therefore, the mean rooting depth (b) would be just constrained by the soil
303 hydrological properties and fostered by the mean rainfall intensity during the growing
304 season (α). With this, it is also assumed that, according to hydrotropism principles

305 (e.g. Tsutsumi et al., 2003), the extent to which water can infiltrate in the soil profile
306 is key to determining the extent of root profiles (Laio et al., 2006) and that
307 evapotranspiration does not limit the availability of water to plants in temperate
308 humid climates. Having estimated b , the soil depth at which the 95 % (i.e. z_{95}) of the
309 roots can be found can be calculated as $z_{95}=3b$ (Laio et al., 2006).

310 The root distribution profile, or root spread, was considered to decrease exponentially
311 with the soil depth (z); assuming that the probability distribution of the rainfall
312 intensity was also exponential (Laio et al., 2006; see 2.2.1) and portrayed by
313 $Ar(z)=Ar_o \exp^{-z/b}$ (Preti et al., 2010). Where $Ar(z)$ is the root cross-sectional area with
314 soil depth (mm^2), Ar_o is the root cross-sectional area at the ground level (mm^2), z is
315 the soil depth (mm) and b the mean rooting depth (mm). Assuming a conical shape
316 root system, Ar_o was estimated from the plant aboveground biomass (M_a), allometric
317 parameters (β and α' ; see 2.2.3), the mean rooting depth (b) and root mass density (ρ_r)
318 ($Ar_o = \beta M_a^{1/\alpha'} / b \rho_r$; Preti et al., 2010). Eventually, the root area ratio ($RAR(z)$) was
319 estimated (see 2.2.3) .

320

321 2.3.2. Model quality

322

323 The goodness of fit of RPDM was quantified through the estimation of the coefficient
324 of determination (R^2) by subtracting from 1 the quotient between the residual (i.e.
325 difference between observed and predicted values) sum of squares and explained sum
326 of squares (i.e. $R^2=1-SS_{\text{res}}/SS_{\text{obs}}$; e.g. Bivand et al., 2008). In addition, statistically
327 significant differences between observed and regressed values for the parameters Ar_o
328 and b were assessed with the chi-square (χ^2) test at the 95% and 99% confidence
329 intervals in R 3.1.2.

330

331 2.3.3. Model sensitivity

332

333 The sensitivity of RPDM was analyzed with the One-factor-At-a-Time approach
334 (OAT; Daniel, 1973), considering the mean root cross-sectional area as the model
335 output. The 9 independent model parameters (Table 2) were considered and their base
336 value was varied $\pm 20\%$ to account for natural variability. One model run was carried
337 for each parameter value change (i.e. 18 model runs in total). The parameter change
338 that generated the greatest output variation with respect to the original model run was
339 kept for the estimation of the sensitivity index (SI) and the percentage of variation
340 (PV) (Felix & Xanthoulis, 2005). Finally, the effect of the most sensitive parameters
341 on the root distribution profiles was evaluated and discussed.

342 Table 2. RPDM's independent parameters considered within the sensitivity analysis.

Symbol	Parameter
M_a	Plant's aboveground biomass (g)
α'	Allometric power-law parameter
β	Allometric parameter
ρ_r	Root mass density (g cm^{-3})
OM	Soil's organic matter content (%)
$Silt$	Soil's silt content (%)
$Clay$	Soil's clay content (%)
n	Soil porosity (unitless)
α	Mean rain intensity during growing season ($\text{mm H}_2\text{O}/\text{event}$)

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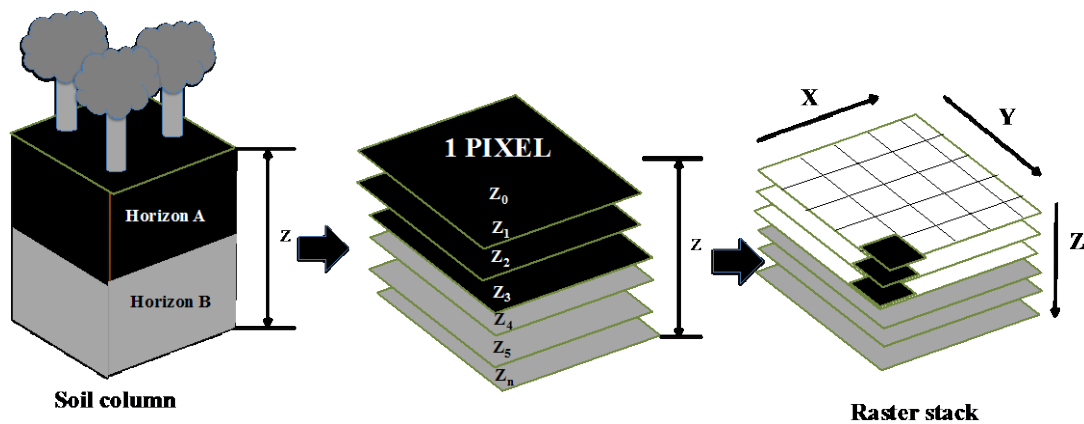
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345 2.3.4. Model expansion: spatially distributed RPDM

346

347 RPDM expansion was carried using the '*raster stack*' concept (a collection of raster
348 layers with the same spatial extent and resolution) of the R's package 'raster'
349 (Hijmans, 2014). Thus, we modeled a given soil column, of a pixel size area (i.e.
350 raster resolution), as the pool of superimposed raster pixels for a given XY coordinate

351 within a given raster stack (Fig. 3). The range of depths for a given soil profile was
 352 then portrayed by each layer in the stack; assigning the same z-value (depth) to every
 353 pixel belonging to the same stack layer. This approach makes also possible to assign
 354 different attributes to each layer in order to mimic the features of different soil
 355 horizons. However, isotropic soil profiles were considered herein for the sake of
 356 simplicity.



357 **Soil column**
 358 Figure 3. Illustration of how RPDM-3D models a given soil column. Each pixel portrays a different soil column of
 359 area the pixel size. Each soil column may have a custom number of layers, each portraying a different soil depth
 (z_n) or additional customizable soil attributes that vary with soil depth. The pool of soil layers is combined in a
 raster stack formed by the superposition of raster layers.

360 The spatially distributed RPDM was tested on our study site (i.e. Catterline bay; Fig.
 361 1), where the root spread and, its corresponding effect on slope stability (see 2.4),
 362 were retrieved from 4 randomly selected pixels. Soil spatial inputs to RPDM were
 363 obtained by spatially interpolating the measured soil parameters (see 2.2.2). The
 364 spatial interpolations were carried with the machine learning algorithm ‘Random
 365 Forest’ (RF) (Breiman, 2001) using terrain attributes (i.e. slope, aspect, curvature and
 366 shade) and plant cover as environmental spatial covariates (Table 3); following the
 367 principles of the ‘*scorpan*’ approach (Jenny, 1941). The terrain attributes were
 368 derived from a 2m digital surface model (DSM) (GetMapping, 2014) using the 3D
 369 Spatial Analyst package of ESRI ArcGIS 10.1. RF was implemented using the R
 370 package *randomForest* (Liaw and Weiner, 2002) in R 3.1.2. RF’s outcome was

371 validated using a random-hold back, or bootstrapping method (Efron, 1979), through
 372 the estimation of R^2 as indicated in 2.3.2.

373 Table 3. Soil spatial prediction formulas and environmental covariates implemented with the RF algorithm for
 374 each of the considered soil spatial variables.

Spatial variable	Formula and environmental covariates
Soil sand content (%)	Sand=Slope+Aspect+Curvature+Plant cover
Soil fines content (%)	Fines=Slope+Aspect+Curvature+Plant cover
Soil silt content (%)	Silt=Slope+Aspect+Curvature+Plant cover
Soil clay content (%)	Clay=Fines-Silt
Soil organic matter (%)	OM=Slope+Aspect+Curvature+Plant cover+Sand
Dry bulk density (g/m^3)	Bulk= Slope+Aspect+Curvature+Plant cover+Sand+Fines+OM
Plant biomass (g/m^2)	Biomass=Slope+Aspect+Curvature+Shade+Sand+Fines+OM+Plant cover

375
 376

377 2.4. Root mechanical effect against shallow landslides

378

379 To assess the soil-root mechanical reinforcement effect against shallow landslides, the
 380 retrieved root spread information was employed to estimate the apparent root
 381 cohesion ($c_R(z)$) with the widely used simple perpendicular model (SPM; Waldron,
 382 1977; Wu et al., 1979), which requires a measurement or estimation of the root area
 383 ratio ($RAR(z)$) and the mean root tensile strength (T_r) as input. $c_R(z)$ was directly
 384 added to the resisting forces (Wu et al., 1979; Ekanayake and Phillips, 2002; Norris et
 385 al., 2008) for the estimation of a factor of safety ($FoS(z)=$
 386 $c_R(z)+resisting(z)/driving(z)$) using an infinite slope limit equilibrium method (LEM;
 387 Lu and Godt, 2008).The former LEM method (Lu and Godt, 2008) does not require
 388 assuming the location of a particular critical plane of failure. Instead, the latter is
 389 detected in light of the soil's hydro-mechanical properties and conditions. However, a
 390 lower boundary for the system under study was arbitrarily set at 500 mm below the
 391 ground level (b.g.l), far below the expected reach of the herbaceous root systems in
 392 order to avoid edge effects.

393 The values of T_r were as per the reported values in literature (i.e. $T_r^{herbs}=3.73$ MPa,
394 Comino et al., 2010). RAR(z) for each studied herb species was derived from the total
395 aboveground biomass per unit area (M_a^T) using the plant cover and abundance (see
396 2.2.3) from the two quadrants where the selected species were the most abundant.

397 The studied species' soil-root reinforcement was compared against the effect provided
398 by an oak tree (*Quercus robur* L.; $T_r^{oak}=8.00$ MPa, Stokes et al., 2008; $M_a=6300$ g m⁻²,
399 Nunes et al., 2013; $\alpha'=0.8$ $\beta=3.42$, Cheng and Niklas, 2007) and bare soil. To stress
400 the soil-root reinforcement effect, cohesionless and hydrostatic soil conditions were
401 assumed.

402 Statistically significant differences between the treatments were evaluated with a
403 Kruskal-Wallis test among the winsorized means (Wilcox and Keselman, 2003) of
404 FoS trimmed at 20% and at the 95 and 99% confidence intervals.

405

406 3. Results

407

408 3.1. Parameterisation

409

410 3.1.1 Climate parameters

411

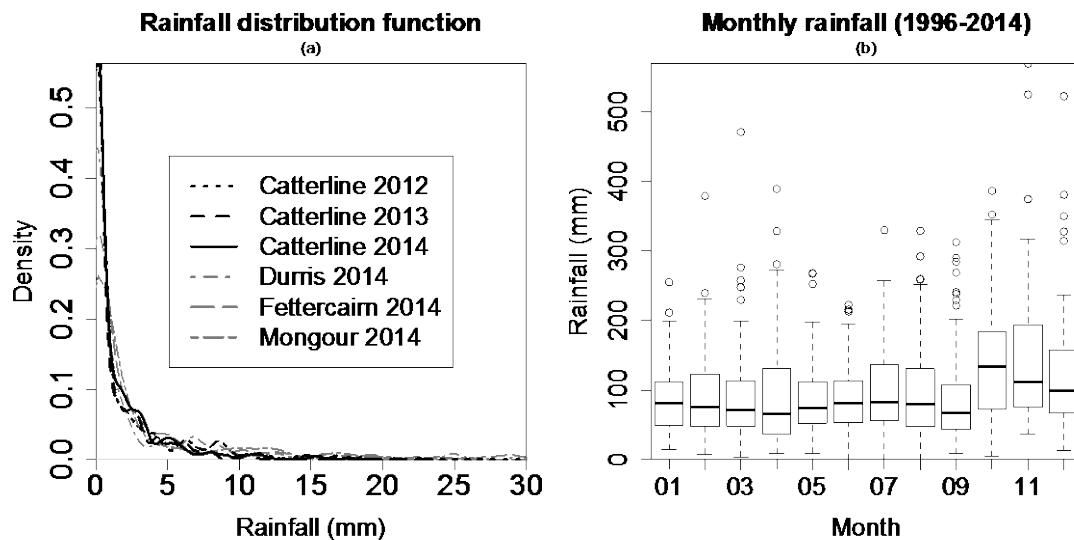
412 Climate parameterisation results (Table 4) show that the mean annual rainfall (R) for
413 the study site was the lowest of all considered stations (i.e. 565.13 ± 46.89 mm) while
414 the annual evapotranspiration (ETP) was the highest (489.38 ± 4.29 mm). All stations
415 presented higher R respect to ETP. The mean rainfall intensity per event (α) ranged
416 between 3.20 and 9.14 mm, belonging the lowest found to the study site. The growing
417 season duration would last from mid-late May to mid October for all considered

418 stations. The rainfall intensity density functions (Figure 4a) were exponential for the
 419 study site.

420 Table 4. Calculated climatic features and mean growing season duration (GSD) for each meteorological station. α :
 421 mean rainfall intensity per event \pm standard error; λ_0 : frequency of rainfall event \pm standard error; R: mean annual
 422 rainfall \pm standard error; ETP : mean annual evapotranspiration \pm standard error.

Station	Distance (km)	Period	α (mm per event)	λ_0	R (mm)	ETP (mm)	GSD (day/month)
Catterline		2012-2014	3.20 \pm 0.38	0.64 \pm 0.02	565.13 \pm 46.89	489.38 \pm 4.29	23/5 – 11/11
Durriss	19.6	1996-2014	5.33 \pm 0.32	0.54 \pm 0.02	1020.15 \pm 40.35	461.69 \pm 10.77	11/5 – 14/10
Mongour	15.8	1996-2014	9.86 \pm 1.83	0.72 \pm 0.05	1011.52 \pm 113.01	468.08 \pm 8.80	29/5– 7/10
Netherley	14.9	1996-2013	5.07 \pm 0.30	0.64 \pm 0.02	1022.22 \pm 88.39	461.54 \pm 10.26	13/5 – 16/10
Inverbervie	5.8	1997-2007	9.14 \pm 0.72	0.66 \pm 0.02	1905.74 \pm 153.41	-	-
Fettercairn	19.9	1996-2014	4.66 \pm 0.27	0.62 \pm 0.01	971.31 \pm 48.35	-	-
Stonehaven	5.7	1996-2013	3.76 \pm 0.29	0.57 \pm 0.02	747.00 \pm 52.15	438.19 \pm 24.41	17/5-23/10

423



424

425 Figure 4. a) Rainfall intensity probability distribution functions for the study site (2012-2014) and a three other
 426 meteorological stations for the year 2014 b) Monthly rainfall distribution throughout the year averaged per
 meteorological station between all the studied time series, where the bottom and top of the boxes represent the
 first and third quartiles, respectively, the band inside the box represents the median, and the points represent
 outliers from all the studied time series.

427

428 3.1.2 Soil parameters

429

430 The soil parameterisation results (Table 5) indicated that relatively porous, silty sands
 431 (Craig, 2004), with high organic matter content (Urbano, 1992) and good drainage
 432 conditions (Head and Epps, 2011) can be found within our study site..

433 Table 5. Measured mean value for each of the considered soil variables averaged between the sampling points and
 434 standard errors. OM: organic matter content; ρ_b : soil bulk density; n: soil porosity; Ks: saturated hydraulic
 435 conductivity; θ_{fc} : volumetric moisture content at field capacity; θ_{wp} : volumetric moisture content at wilting point.

Sand (%)	Silt (%)	Clay (%)	OM (%)	ρ_b (g/cm ³)	n	Ks (m/s)	θ_{fc}	θ_{wp}
74.97	2.87	1.60	5.57	0.86	0.68	5.82e-5	0.23	0.09
±2.47	±0.19	±0.12	±0.65	±0.06	±0.02	±1.43e-5	±0.003	±0.001

436
 437

438 3.1.3 Plant parameters

439

440 Results from the plant parameterisation (Table 6) show that the aboveground dry
 441 biomass (M_a), at the individual level, and for the three studied herb species, ranged
 442 between 14.20±1.45 g (*E. acris*) and 27.65±8.66 g (*R. obtusifolius*). The belowground
 443 dry biomass (M_r), however, ranged between 1.65±0.71 g (*S. dioica*) and 13.36±4.05 g
 444 (*R. obtusifolius*). The plant abundance in the study site (A; Table 6) varied between
 445 21.50 % (*S. dioica*) and 10.87 % (*E. acris*).

446 The allometric parameters (α' and β ; Table 6) were different for all the studied herbs
 447 and only *Erigeron acris* presented an exponential allometric relationship between M_a
 448 and M_r (α' =0.43; β =9.06; R^2 =0.65; Figs. 6d-f) while the other two species shown a
 449 linear relationship (Figs. 6d-f) with a higher goodness of fit (i.e. $R^2 \geq 0.95$; Table 6).

450

451 3.1.4 Root spread parameters

452

453 The measured mean rooting depth (Table 6) spanned from 21.21±3.52 mm (*S. dioica*)
 454 to 45.45±2.82 mm (*R. obtusifolius*). The species that presented the largest root cross-
 455 sectional area at the ground level (Ar_o) was *Rumex obtusifolius* (747.08±301.58 mm²).

456 Quantified (Q) and modelled (M) allometric and root spread parameters and variables. M_a : aboveground plant biomass; M_r : belowground plant biomass; α' : allometric power exponent; β : allometric normalisation co-
457 cross-sectional area at the ground level; b: mean rooting depth; RAR: root area ratio; R^2 : coefficient of determination; N: sample size; A: plant species abundance; M_a^T : total plant aboveground biomass per m^2 . RPDM models
458 mean plant biomass between all studied individuals, and study site's climate input and averaged climate input from the other 6 stations, respectively. RPDM models C and D employ total plant biomass, and study site's c-
459 averaged climate input from the other 6 stations, respectively. Q: mean \pm standard error

Species	Type	Model	M_a (g)	M_r (g)	α'	β	Ar_o (mm^2)	b (mm)	RAR (%) ^a	R^2	N	A(%)	M_a^T ($g\ m^{-2}$) ^b
E. acris	Q		14.20 \pm 1.45	3.14 \pm 0.67	-	-	178.33 \pm 55.58	40.74 \pm 5.82	3.68 $\times 10^{-3}$ \pm 5.52 $\times 10^{-5}$		10	10.87 \pm 0.79	325
	M	Allometric	-	-	0.43	9.06	-	-	-	0.65	-	-	-
	M	Regression	-	-	-	-	125.23	45.91	-	0.96	-	-	-
	M	RPDM A	-	-	-	-	78.55	45.48	-	0.74	-	-	-
	M	RPDM B	-	-	-	-	41.03	87.08	-	0.43	-	-	-
R. obtusifolius	Q		27.65 \pm 8.66	13.36 \pm 4.05			747.08 \pm 301.58	45.45 \pm 2.82	1.88 $\times 10^{-2}$ \pm 2.30 $\times 10^{-4}$		11	20.41 \pm 1.58	1400
	M	Allometric	-	-	0.99	2.13	-	-	-	0.95	-	-	-
	M	Regression	-	-	-	-	566.15	56.54	-	0.93	-	-	-
	M	RPDM A	-	-	-	-	366.10	45.48	-	0.61	-	-	-
	M	RPDM B	-	-	-	-	191.24	87.07	-	0.32	-	-	-
S. dioica	Q		16.74 \pm 7.61	1.65 \pm 0.71			541.13 \pm 136.53	21.21 \pm 3.52	1.79 $\times 10^{-2}$ \pm 4.15 $\times 10^{-4}$		11	21.50 \pm 2.12	325
	M	Allometric	-	-	1.021	10.07	-	-	-	0.98	-	-	-
	M	Regression	-	-	-	-	443.81	35.52	-	0.99	-	-	-
	M	RPDM A	-	-	-	-	45.20	45.48	-	0.19	-	-	-
	M	RPDM B	-	-	-	-	23.61	87.08	-	0.19	-	-	-
	M	RPDM C	-	-	-	-	473.30	45.48	-	0.83	-	-	-
M	RPDM D	-	-	-	-	247.23	87.08	-	0.66	-	-	-	

460 ^aRAR: mean percentage \pm standard error of all the studied plant individuals between the depths 0-250 mm for E.acris, 0-200 mm for R. obtusifolius and 0-170 mm for S.dioica.

461 ^b M_a^T : mean of the total aboveground biomass found at the two quadrants in which the considered plant species was the most abundant.

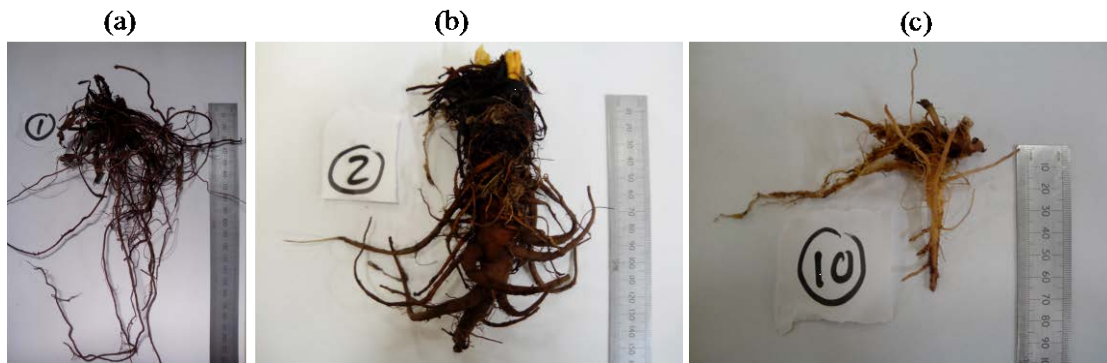
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463

464 The mean RAR between the considered depths (Table 6) ranged between $3.68 \times 10^{-3} \pm 5.52 \times 10^{-5} \%$ and $1.88 \times 10^{-2} \pm 2.3 \times 10^{-4} \%$ for *E. acris* and *R. obtusifolius*, respectively.

466

467 3.2 Root systems spread and RPDM



468

Figure 5. Selected root systems of a) Erigeron acris b) Rumex obtusifolius c) Silene dioica

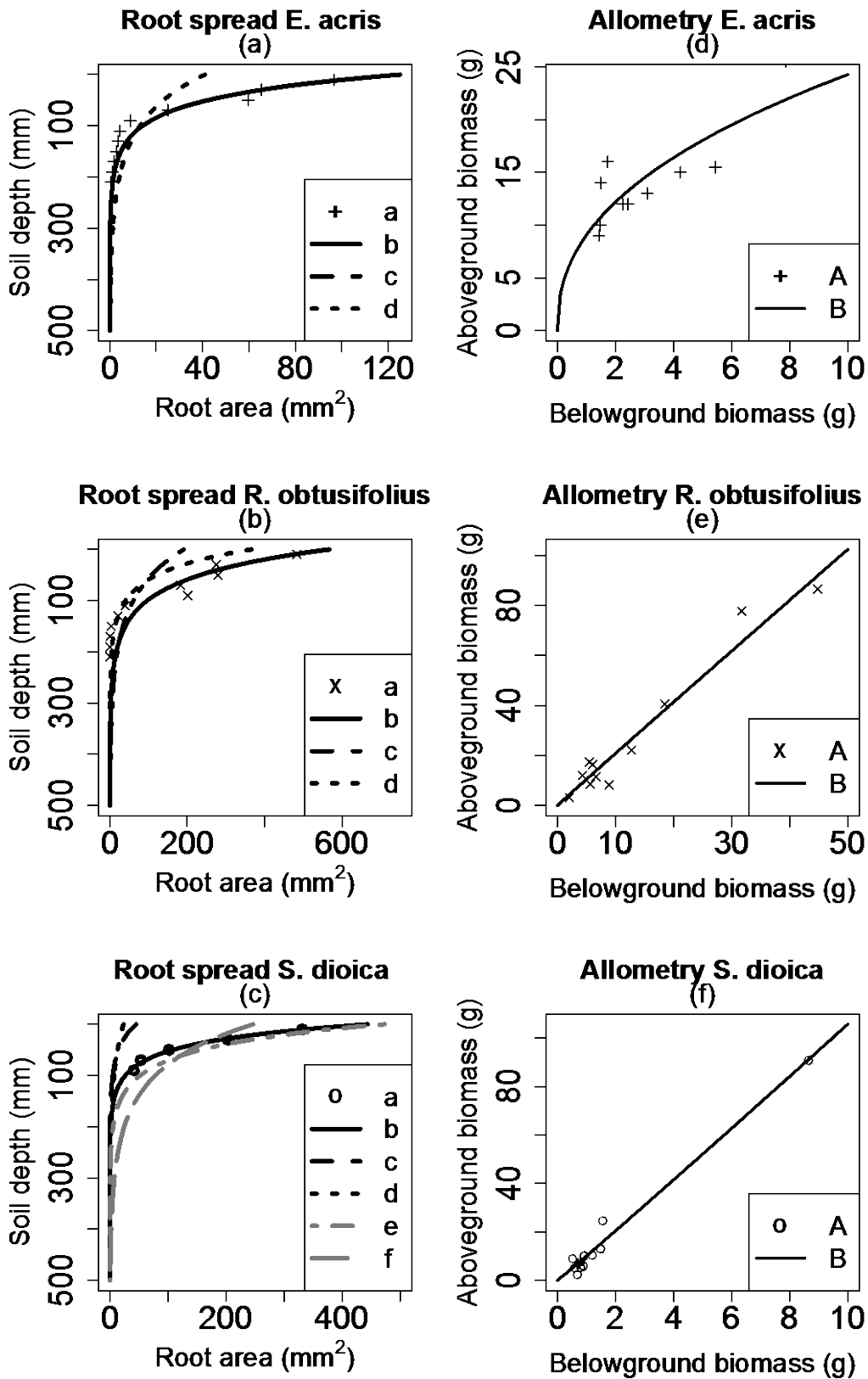
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470 The root systems (Fig. 5) for the three studied species (Table 1) presented clear
471 morphological differences. Regarding the root spread (Figs. 6a-c), the three species
472 shown a decreasing exponential profile distribution with soil depth to which an
473 exponential regression model was fitted with a goodness of fit (R^2) above 0.9 in all
474 cases (Table 6). All root systems investigated were distributed within the uppermost
475 300 mm of the soil profile, with the deepest root system belonging to *Rumex*
476 *obtusifolius* (Fig. 6b)

477 RPDM predictions for the root spread parameters, b and Ar_0 , and their respective
478 predictive capacities, are gathered in Table 6. RPDM predicted values for both
479 parameters that did not significantly differ ($\chi^2=1.66$, $df=2$; $\chi^2=1.34$, $df=2$) from the
480 observed and regressed counterparts (Table 6) when the study site's meteorological
481 inputs were employed.

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483



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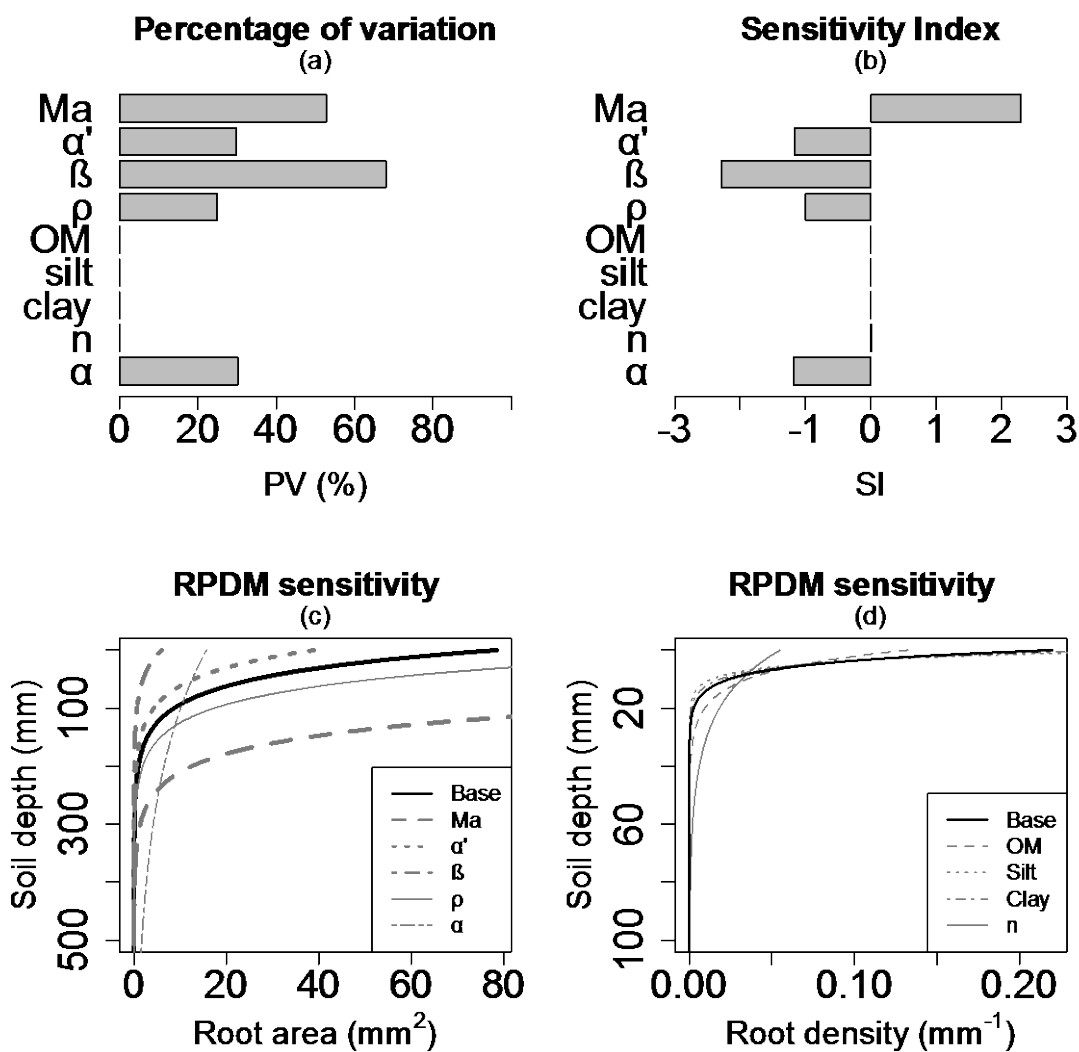
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Figure 6. a-c) Measured and predicted root spread for d) *E. acris* e) *R. obtusifolius* f) *S. dioica*, where a: observed values; b: regressed values; c: predicted values from RPDM using study site's climate input; d: predicted values from RPDM using averaged climate inputs from the other 6 weather stations; e and f: predicted values from RPDM using the total biomass of all studied individuals of *S. dioica* and, study site's climate input and rest of the stations input, respectively d-f) Measured allometric relationships between aboveground and belowground vegetative parts for a) *E. acris* b) *R. obtusifolius* c) *S. dioica*, where A: observed values; B: fitted values.

487 3.3 Sensitivity analysis of RPDM

488

489 Sensitivity analysis outcomes for RPDM are presented in Figs. 7a-d, being the
 490 allometric parameter β (PI=68 %; SI=-2.28), the plant's aboveground biomass (M_a ;
 491 PV=52.8 %; SI=2.29) and the mean rainfall intensity during the growing season (α ;
 492 PV=30.22 %; SI=-1.18) the three most sensitive parameters upon predicting root
 493 spread.



494 Figure 7. Sensitivity analysis outcome for RPDM a) Percentage of variation (PV) b) Sensitivity index (SI) c)
 495 RPDM output for the base model run and after applying value changes to the most sensitive parameters respect to
 496 the base model run: M_a : aboveground biomass (g) (base*3); α' : power-law allometric parameter (base*3); β :
 allometric constant (base*3); ρ : root mass density (g cm^{-3}) (base*0.5); α : rainfall intensity ($\text{mm H}_2\text{O/event}$)
 (base*5) d) Effects of soil's model parameters on the root density distribution function ($r(z)=b^{-1}e^{-z/b}$): OM: organic
 matter (%) (base*0.1); Silt : soil's silt content (%) (base*10); Clay: soil's clay content (%) (base*10); n: soil
 porosity (base*0.25).

497 3.4. Spatially distributed RPDM

498

499 3.4.1 Soil spatial interpolation

500

501 Spatial interpolation outcomes for the soil properties and plant biomass are shown in

502 Table 7. The predictive capacity of the implemented RF algorithms (Table 3) for the

503 soil texture (%Sand: $R^2=0.94$; %Fines: $R^2=0.93$) and soil organic matter ($R^2=0.88$) was

504 high while it was relatively low for the plant biomass cover ($R^2=0.31$).

505 Table 7. Outcome from random forest (RF) spatial interpolations for each of the considered soil spatial variables.
506 R^2 : coefficient of determination; RMSE: root-mean-square-error.

Spatial variable	Variance explained (%)	R^2	RMSE
Soil sand content (%)	62.86	0.94	11.82
Soil fines content (%)	66.8	0.93	54.32
Soil silt content (%)	34.1	0.66	57.02
Soil organic matter (%)	42.78	0.88	1.11
Dry bulk density (g/m^3)	53.16	0.81	0.32
Plant biomass (g/m^2)	33.59	0.31	841.51

507

508

509 3.4.2 Spatial prediction of root spread

510

511 The outcome from the spatial prediction of the root spread is shown in Fig. 8 in terms

512 of the rooting depth (i.e. $z_{95}=3b$; soil depth at which 95 % of the roots can be found)

513 and in Fig. 9a in terms of the root profile distribution for 4 randomly chosen points

514 (i.e. Points A, B, C and D; Fig. 8). Results indicated a maximum herbs rooting depth

515 of ca. 200 mm on flat zones while steeper terrain presented shallower root depths (ca.

516 100-125 mm).

517

518

519

95 % Rooting Depth (mm)

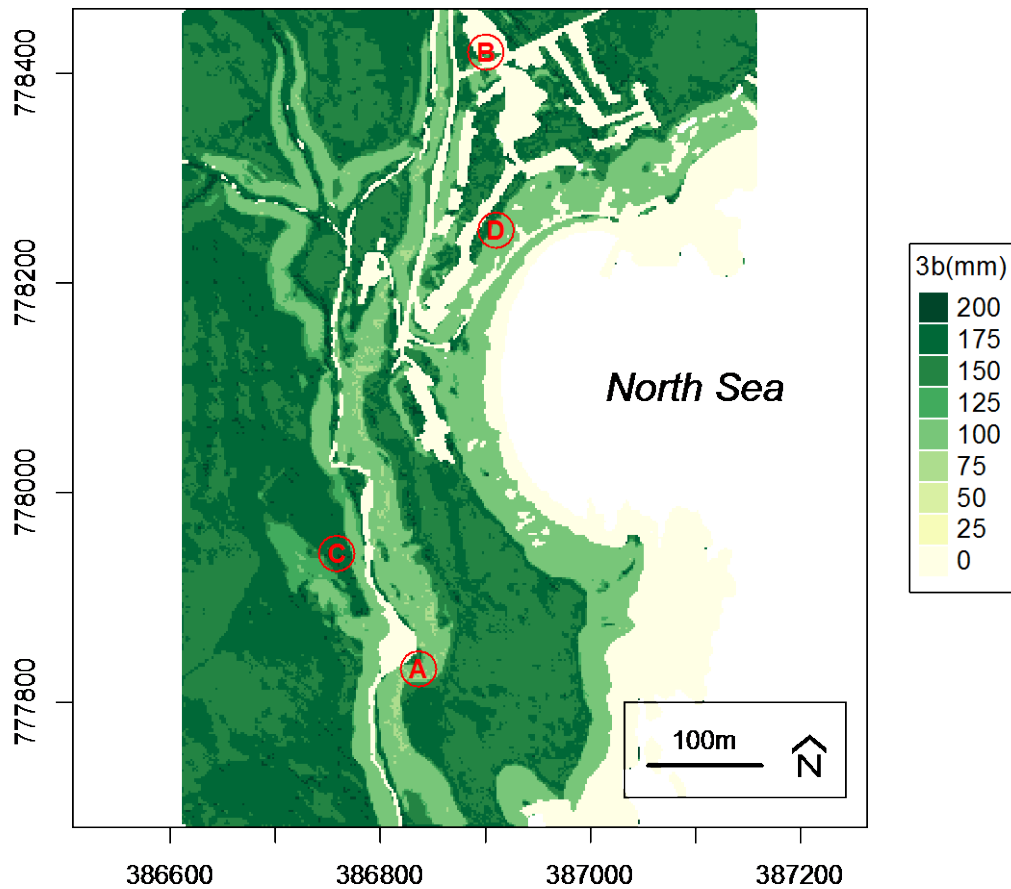


Figure 8. RPDM spatial predictions for the rooting depth (mm) at which 95 % of the root system can be found (i.e. $z_{95}=3b$) in the soil in our study site, and points A, B, C and D at which root reinforcement profiles were assessed.

520

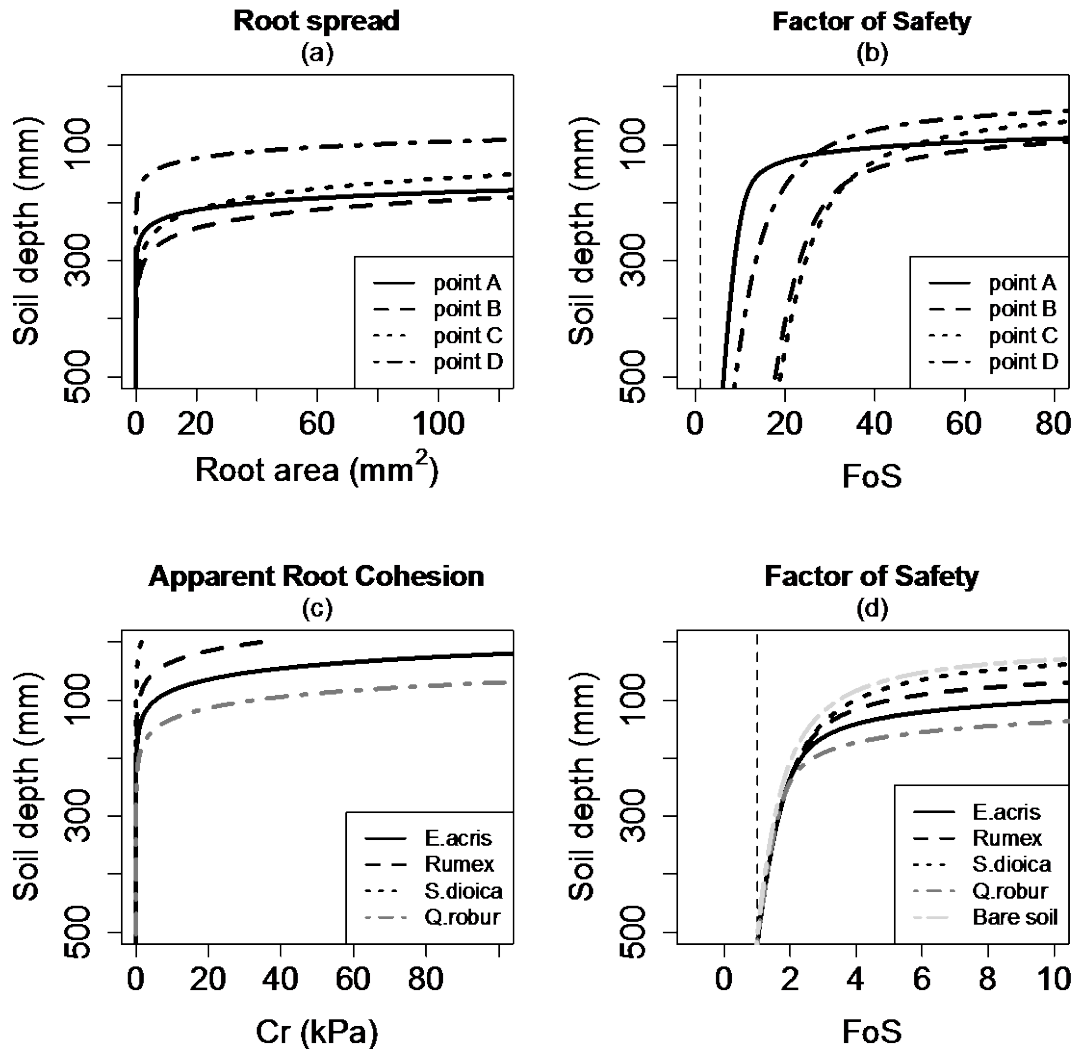
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522 3.5 Mechanical effect of root spread on slope stability

523

524 The mechanical effect of root spread on slope stability (Fig. 9b) for each randomly
525 selected point within the study area (i.e. Points A, B, C and D; Fig. 8) was limited to
526 the topmost soil (i.e. 0-200 mm) and showed differences in light of root spread
527 differences (Fig. 9a) provided by soil spatial properties differences. The predicted
528 apparent root cohesion (Fig. 9c) and its subsequent mechanical effect on slope
529 stability (Fig. 9d) for the 3 studied species and for the 2 additional treatments (i.e. oak
530 tree and bare soil) pointed that it was *Erigeron acris* the most effective herb species

531 from the soil-root reinforcement point. However, no statistically significant
 532 differences were found between the 5 considered treatments ($\chi^2=7.82$, $df=4$).



533 Figure 9. a) Predicted root spread in terms of the root cross-sectional area (A_r) at four different points (i.e. pixels)
 534 within the study site and indicated in Fig. 7 b) Predicted Factor of Safety (FoS) profiles at the four points indicated
 535 in Fig. 7 c) Predicted apparent root cohesion profiles assuming fully-vegetated unit area of ground by each of the
 536 considered plant species d) Estimated Factor of Safety (FoS) profiles for each considered vegetation cover and
 537 bare soil, where $FoS < 1$ = slope failure and $FoS > 1$ = slope stable.

535 4. Discussion

536

537 4.1 Climate parameters

538

539 All the stations presented a similar, and lower, *ETP* with respect to *R* (Table 4),

540 representative of humid climates (UNEP, 1992), confirming that Laio's original

541 model (Laio et al., 2006) is not applicable to our study area and supporting the need
542 of modification for our study site. In addition, the shape of the rainfall intensity
543 distribution function (Fig. 4a) was exponential for all the studied rainfall time series
544 belonging to our study site. Hence, according to Laio's (2006) original model, the
545 root systems in our study region should be expected to be exponentially shaped;
546 supporting the assumption made in this regard (see 2.3.1).

547 In reference to the growing season duration (Table 4), only minor differences were
548 found between all the considered meteorological stations and with no summer
549 dormancy. The late start of the growing season in our study area compared to warmer
550 regions (e.g. Preti et al., 2010; Tron et al., 2014) would lead to a late start of the
551 vegetation activity that, for the case of annual herbs, would produce a negligible
552 effect on shallow soil instabilities until very late in the spring season. On the other
553 hand, rainfall events were evenly distributed over the entire year throughout the
554 considered time series (Fig. 4b). Consequently, the duration of the growing season
555 was not expected to have a significant impact on the RPDM predictions in this regard
556 (see 4.4). Nonetheless, in case of an uneven rainfall distribution throughout the year
557 (i.e. seasonal), an accurate determination of the growing season duration would be
558 paramount for a better prediction of the root distribution profiles (Tron et al., 2014).

559 Both the mean annual rainfall (R), as well as the mean rainfall intensity during the
560 growing season (α) were considerably lower in our study site than for the rest of the
561 stations (Table 4) which presented wetter conditions. As a result of this, and based on
562 RPDM formulation (see 2.3), shallower root systems would be expected in our study
563 site in comparison with sites closer to the other meteorological stations.

564

565 4.2. Soil parameters

566 The results from the soil parameterisation (Table 5) suggest that rainfall infiltration
567 will not be constrained by the soil properties and the AWC to plants ($n(\theta_{fc}-\theta_{wp})$) will
568 be adequate for the development of root systems in depth. According to this, we
569 believe that rainfall infiltration will mainly be driven by gravity (i.e. producing a
570 vertical flow) despite the terrain steepness (Lu and Godt, 2013). Although runoff will
571 also be fostered by the topographical conditions once the topsoil moisture approaches
572 saturation levels (Mein and Larson, 1973), on average (i.e. throughout the growing
573 season) this will not affect significantly the water availability for root development
574 (Tron et al., 2014). Additionally, lateral flow will not likely be produced until
575 infiltrating water reaches the bedrock (Neitsch et al., 2011), which, presumably, is out
576 of the root system's influence as root systems tend to be relatively shallow in
577 temperate humid climates (Schenk and Jackson, 2002).

578

579 4.3 Root spread and plant parameters

580

581 4.3.1 Root spread

582

583 The obtained exponential root profiles (Figs. 6a-6c) validate the assumption of
584 considering an exponentially shaped root distribution profile and corroborate Laio's
585 notion (Laio et al., 2006) that the rainfall intensity distribution function largely
586 determines the root system's shape in the soil profile. However, on an individual
587 basis, it was observed that some profiles better resembled a gamma shaped
588 distribution (unpublished data). Hence, local ecological factors other than rainfall
589 distribution and water availability may have an influence on the shape of the root
590 profile (e.g. Casper et al., 2003; Schenk, 2005).

591 All root systems just explored the uppermost soil profile (i.e. 0-300 mm b.g.l) and in
592 depths depending on the plant biomass (Fig. 6a-c; Table 6). In the same line, it was
593 also observed that the proportion of rooted soil (i.e. *RAR*) varied with plant biomass
594 (Table 6, Figs. 6a-c); the higher the plant biomass the higher the root cross-sectional
595 area in the topmost soil horizons. The fact that higher biomass plants tend to spread
596 wider and deeper may be related to the plant's own stabilisation in the ground
597 (Chiatante et al., 2003) or related to resources use efficiency and competition issues
598 with other plant species (Schenk, 2005).

599 The values of root exploration depth were in good agreement with globally observed
600 values for cool-temperate meadows (Schenk and Jackson, 2002; Yang et al., 2009),
601 alpine herbs (Burylo et al., 2011), and for cool temperate ecosystems in general,
602 where the upper 200 mm of the soil profile contains, on average, the majority of all
603 roots (Schenk & Jackson, 2002). For the case of *Rumex obtusifolius*, its root
604 distribution matched the observations gathered in Laan et al. (1989) for riparian
605 ecosystems in the Netherlands. Our results were more realistic than the reported in the
606 literature (Cannadell et al., 1996; Schenk and Jackson, 2005), where it was postulated
607 that root systems could explore as much as 2 m depth of the soil profile for climate
608 parameters matching our study site's which is not achieved even by woody plants in
609 the UK (e.g. Nicoll & Armstrong, 1998; Crow, 2005). It is worth noting that shallow
610 root systems were expected to be found as, indicated earlier (see 2.3.1), plant water
611 availability will not be constrained in the topmost soil horizons in temperate humid
612 climates. Nonetheless, it must be borne in mind that the whole root systems were
613 excavated from their natural environment, and different records may be obtained with
614 onsite measurement methods, such as the profile wall method (Böhm, 1979).
615 Regarding the observed *RAR* values, these were in all cases lower than the values

616 indicated, for example, in Comino et al. (2010) for other herbaceous species at a
617 hypothetical shear plane of 100 mm. This indicates that the approach employed herein
618 for measuring the root cross-sectional area did not lead to overestimation of its value.

619

620 4.3.2 Plant parameters

621

622 Given that plant biomass had a significant effect on the root spread, the plant
623 allometry or the relationship between above and belowground vegetative parts, was
624 expected to be the key parameter for readily providing information on the root spread
625 using less invasive sampling methods and to support decisions on plant selection for
626 slope stabilisation.

627 As stated earlier (see 3.1.3), the three studied plant species showed different
628 allometric relationships between their respective above and belowground vegetative
629 parts (Figs. 6d-f). For the case of *Rumex obtusifolius* and *Silene dioica* a complete
630 isometric relationship was found (Figs. 6e-f), as indicated by Niklas (2005) for the
631 case of non-woody plant species. On the contrary, for the case of *Erigeron acris* an
632 exponential relationship was found between the two vegetative parts (Fig 6d), which
633 is not commonly observed in herbaceous plant species (Cheng and Niklas, 2007). The
634 value of α' we recorded for *E. acris* was 0.43 (n=11, SE=0.103; Table 6) which
635 compares to the value of $\frac{3}{4}$ in the original WBE model (West et al., 1997). This may
636 be due to errors in the measuring technique (Enquist et al., 1998), or due to the limited
637 sample size. On the other hand, although we did not log-transformed the considered
638 variables (i.e. M_a and M_r), as it is normally the case in most biometrical studies (West
639 et al., 1997), a clear allometric relationship was directly found using the
640 untransformed variables and with an ordinary least squares regression (OLS); which

641 may be valid for plant species with lower biomass. In any case, the fitting parameters
642 β and α' differed across the three studied plant species, giving support to the idea of
643 'non-universal' scaling allometric parameters (Li et al., 2005). Nevertheless, it is
644 worth noting that the WBE general model (West et al., 1997; Enquist et al., 1998)
645 states that the scaling parameters are predicted to change in very precise numerical
646 ways attending to ontogeny or differences in ecological settings. Therefore, further
647 research is recommended to clarify the sensitivity of β and α' to different ecological
648 factors (e.g. light, nutrients, water, topography) and shed light on the employability of
649 these plant parameters upon plant selection for eco-engineering purposes.

650

651 4.4 Root profile distribution model (RPDM)

652

653 4.4.1 Model predictions and quality

654

655 The predictive capacity of RPDM was shown to be very high in all cases (Figs. 6a-c
656 and Table 6) as both the root distribution profiles (Figs. 6a-c) and coefficients of
657 determination (Table 6) pointed out. It must be borne in mind, however, that a better
658 goodness of fit was obtained when data from the *in situ* meteorological station were
659 employed as inputs. This outcome, despite stressing the realistic behavior of RPDM,
660 also highlights the relevance of using relevant site-specific data for predicting root
661 distribution profiles accurately, given that a level of natural variability should be
662 expected even within one relatively small study site. In this sense, the RPDM root
663 profile predictions were larger when inputs from the other 6 meteorological stations
664 were considered (Figs. 6a-c), as the rainfall values (Table 4) and the chances for
665 deeper water infiltration in the soil were higher. Nonetheless, RPDM also envisaged

666 that when root systems were deeper, as a consequence of wetter conditions, the rooted
667 area in the uppermost soil horizons (i.e. 0-50 mm) would also be smaller compared to
668 our study site's drier conditions. This observation, although maybe related to resource
669 allocation issues (e.g. Schenk, 2005) captured by RPDM, was generated by the fact
670 that the plant biomass was not allowed to change under this wetter conditions and,
671 thus, it had to be distributed over a greater soil depth.

672 On the other hand, the root spread predictions for the plant species with the highest
673 biomass (i.e. *Rumex obtusifolius*) showed deeper and denser root systems, as
674 indicated before. The plant biomass determined the root system biomass (i.e. M_r ; see
675 2.2.3) through the established plant-species-specific allometric relationship (see
676 4.3.2), in turn affecting the value of the scaling parameter (Ar_o ; see 2.3.1) which
677 determined the root distribution profile. Thus, it can be expected that RPDM will
678 predict deeper and denser root systems for higher biomass and woody vegetation (e.g.
679 Gonzalez-Ollauri and Mickovski, 2014; see 4.4.2), as it is the case in reality
680 (Ekanayake and Phillips, 2002; Schenk and Jackson, 2002; Mickovski et al., 2008).
681 Nonetheless, it must be borne in mind that while for *Erigeron acris* and *Rumex*
682 *obtusifolius* the mean M_a between all the sampled individuals was utilized as input,
683 for *Silene dioica* a better output was obtained when using the sum of M_a for all the
684 studied individuals (RPDM C and D; Table 6). This outcome may be due to the
685 limited sample size and further research is recommended to clarify what approach
686 performs best for low biomass plant species.

687 With regard to the prediction of Ar_o and b (Table 6), RPDM projected values for both
688 parameters satisfactorily when the study site's meteorological inputs were employed.
689 However, it must be borne in mind that he predicted b values were well below respect
690 to the values reported in Preti et al. (2010) for bushy species in a Mediterranean

691 setting. This outcome was expected given the climatic differences with our study site,
692 where AWC to plants is expected to accumulate at the soil surface, hence, leading to
693 shallower root systems in temperate humid climates as indicated in 4.3.1. Nonetheless,
694 RPDM presented two main limitations in relation to the parameters Ar_o and b . On the
695 one hand, Ar_o is determined by the plant biomass and allometry. Since the latter
696 seemed not to be ‘universal’ in spite of the ‘global’ relationships for different plant
697 types and biomes reported in the literature (e.g. Cheng & Niklas, 2007), costly
698 species-specific information is needed to feed the model. On the other, b is entirely
699 dependent on the site’s pedoclimatic conditions, meaning that the same mean rooting
700 depth is predicted regardless of the vegetation type, family or species.

701

702 4.4.2 Model sensitivity

703

704 The sensitivity analysis showed that RDPM is relatively sensitive (i.e. $PV > 20\%$) to
705 plant features (i.e. biomass and allometry) and to rainfall intensity, and relatively
706 insensitive (i.e. $PV < 20\%$) to the soil properties (Figs. 7a-d). The parameters that
707 presented a negative sensitivity index (SI) generated an opposite effect on the root
708 spread when they were higher in value. Contrariwise, those parameters that presented
709 a positive SI favored the development of bigger root systems when their value was
710 higher.

711 The two most sensitive, M_a and β , directly affect the proportion of root biomass (M_r),
712 the value of Ar_o for a given plant species, and the root system depth. A three fold
713 increase of β , for instance, led to a drastic reduction of the root profile distribution
714 (Fig. 7c), whereas a three fold increase in plant biomass led to a considerably deeper
715 and wider root system profile (Fig. 7c). Again, these outcomes highlight how

716 important is to have species-specific information to accurately predict the distribution
717 of the root profile which would be easily obtained for known plant allometric
718 parameters. Regarding the third most sensitive parameter, as it has been discussed
719 previously, an increase of α would enhance the chances of deeper water infiltration in
720 the soil profile, favoring the development of root systems that explore the soil profile
721 deeper as deeper water will be available. In addition, the root mass density (ρ_r) was
722 relatively sensitive which highlighted the fact that plant-species specific values of ρ_r
723 easily estimated by the water volume displacement method (e.g. Hughes, 2005) could
724 lead to better root spread predictions.

725 The soil properties, even though shown to be insensitive, produced a subtle effect on
726 the root density distribution (i.e. $r(z) = b^{-1}e^{-z/b}$; Laio et al., 2006) that was captured by
727 RPDM (Fig. 7d) which may be related to the allocation and availability of resources
728 in the soil profile (Schenk, 2005). For example, a 10-fold decrease in organic matter
729 content led to a shallower and less extensive root system. On the contrary, a 3-fold
730 decrease in soil porosity led to a smaller but deeper root system that would be better
731 adapted to exploring and using resources deeper in the soil profile as observed in the
732 nature by the authors. As the plants can grow on nearly any substrate, and based on
733 our results, as well as the literature (e.g. Schenk and Jackson, 2002; Laio et al., 2006),
734 the plant root development would be mainly determined by the climate with the soil
735 properties affecting plant nourishment and wellbeing.

736

737 4.5 Spatially distributed RPDM

738

739 Spatially distributed RPDM successfully predicted a range of rooting depths (i.e.
740 $z_{95}=3b$) depending on the terrain features (Fig. 8). In this regard, RPDM predicted

741 shallower rooting depths for steeper terrain (i.e. lighter areas in Fig. 8) opposed to flat
742 zones (i.e. darker areas in Fig. 8). The obtained outcome was consistent with the
743 observations indicated in Hales et al. (2009), stating that vertical root distributions
744 vary as a function of landscape position, likely encouraged by resources availability
745 (Schenk, 2005). In this sense, topographical gradients may make water and nutrients
746 less prone to accumulate along the slope gradient, being a plausible cause for
747 shallower root spread in steep terrain. Additionally, plastic adaptations to which
748 plants growing on slopes are subject to could also induce root spread alterations, such
749 as the upslope root spread for plant anchorage purposes (Chiatante et al., 2003),
750 which allegedly would prevent the root system from spreading downwards if the
751 allometry holds. Nonetheless, it is worth noting that the model outcome was
752 determined by the ability of RF to capture realistically the spatial heterogeneity of the
753 soil properties driving root spread. In this sense, soil spatial input variables for RPDM
754 (Table 3), obtained through implementing RF, showed a good fit with the
755 environmental covariates in terms of the explained variance (Table 7). These
756 outcomes therefore indicate that RF can be a powerful machine learning technique
757 when applied to the prediction of soil spatial attributes. However, for the case of plant
758 biomass, refinement of the employed covariates and inputs is needed to improve the
759 model's output, as its goodness of fit was not that satisfactory. Additionally, other
760 spatial covariates than the ones considered herein will have an influence on the spatial
761 distribution of plant biomass (e.g. soil nutrients, sunlight exposure, etc.). We also
762 believe that temporal data from more than just one growing season would enhance the
763 model's quality as well, since the relationship, if any, between plant biomass and the
764 other environmental covariates should be expected to be clearer with a larger dataset.
765

766 4.6. Mechanical effect of root spread on slope stability

767

768 When the root profiles from 4 random pixels were retrieved from within our study site
769 (Fig. 8; Points A, B, C and D), prediction differences in terms of root spread and soil-
770 root mechanical reinforcement were clearly observed (Figs. 9a-b). Vegetated flat
771 areas (e.g. Fig. 8, point B), for instance, presented considerably higher stability (Fig.
772 9b), as it could be expected. On sloping zones (i.e. Fig. 8, points A and D), however, a
773 denser plant cover (e.g. Fig. 8, point A) provided higher soil-root mechanical
774 reinforcement (Fig. 9a) and better stability conditions in depth (Fig. 9b). These
775 observations further verify the behavior of the spatially distributed RPDM.

776 In terms of the considered plant species under equal soil properties, the one with the
777 highest biomass (i.e. *Quercus robur*) presented the highest and deepest soil-root
778 mechanical reinforcement (Figs. 9c-d). Nonetheless, despite having assigned to the
779 former a T_r that doubled the one assigned to the herbaceous species (i.e. 8 MPa vs.
780 3.73 MPa), its mechanical reinforcement was comparable to the one provided by the
781 lowest M_a species (i.e. *Erigeron acris*). In fact, it was *Erigeron acris*, out of three
782 studied plant species, the one that showed the best performance from the soil
783 mechanical reinforcement point. This outcome has its origins in the values found for
784 the allometric fitting parameters (Table 6), which, as it has been stated, determine Ar_o
785 and ultimately scale the extent of the root spread. This issue led to *Silene dioica* to
786 present the lowest M_r and hence, the lowest mechanical effect (Figs 9c-d). In addition,
787 it supports the potential significance of plant allometry respect to root mechanical
788 reinforcement (Hwang et al., 2015), which should be further investigated as potential
789 cost-effective proxy for plant species selection in eco-engineering interventions, as
790 indicated before. Contrariwise, it is worth stressing the performance of *Rumex*

791 *obtusifolius* that, in turn, seemed to be also detected by its allometry. Despite having
792 the highest biomass, and the deepest root spread (Fig. 7, Table 6), its mechanical
793 reinforcement effect was considerably lower ($\chi^2=99$, $df=61$) than for *Erigeron acris*,
794 for which M_r was 4 times smaller on an individual basis (Table 6). However, when a
795 fully-vegetated unit area of ground was considered, the belowground biomass for
796 *Rumex* was nearly 6 times lower than for *E.acris* (i.e. 701.79 g vs. 4127.72 g) due to
797 the found allometry and despite being the total aboveground biomass per unit area of
798 ground (M_a^T) more than 4 times higher for *Rumex obtusifolius* (Table 6). Indeed,
799 *Rumex obtusifolius*' root system is basically a taproot (Fig. 5) that, from the
800 mechanical point, would mainly provide anchorage to the plant. Upon soil-slope
801 failure this taproot would likely experiment a pullout mechanism (Mickovski et al.,
802 2009) conferring less energy to the soil than root breakage (Waldron and Dakessian,
803 1981). Thus, its mechanical contribution to soil reinforcement should be assessed with
804 a pullout model (e.g. Ennos, 1990) instead of with a breakage model and a root-added
805 cohesion as it was the case here.

806 In any case, our model showed that all the considered plant species, besides *Silene*
807 *dioica* (i.e. lowest M_r), would contribute noticeably to slope stability (Fig. 9d) within
808 the topmost soil horizons. If predictions were confirmed, plant species like *Erigeron*
809 *acris* could prevent the loss of up to 0.4 m³ of soil per m² of land considering that
810 there is a mechanical reinforcement of about 100 mm with respect to bare soil (Fig.
811 9d). However, no statistically significant differences were found between the 5
812 considered treatments ($\chi^2=7.82$, $df=4$). This outcome may be due to not considering
813 the hydrological effects of vegetation and assuming hydrostatic conditions in the soil
814 profile. Under hydrodynamic conditions marked differences between bare and
815 vegetated soil would be expected (Gonzalez-Ollauri and Mickovski, 2014). In this

816 sense, soil suction triggered by plant water uptake would enhance the soil stability
817 conditions (e.g. Wilkinson et al., 2002). In addition, it is worth noting that all the FoS
818 profiles converged in 1 (i.e. limit equilibrium) at the lower boundary of the soil
819 profile (Fig. 9d). This is produced due to setting 500 mm as the lower boundary of our
820 system (i.e. critical plane) and due to assuming cohesionless conditions to stress plant
821 effects.

822

823 5. Conclusions

824

825 Based on our observations and findings, it can be concluded that:

826

827 - Pioneer herbaceous plant species present shallow root systems in temperate
828 humid climates that can noticeably contribute to reduce soil loss and
829 landslides within the uppermost soil horizons.

830

831 - Root spread is largely determined by climatic conditions, precisely, by the
832 amount and distribution of rainfall, corroborating hydrotropism principles.

833

834 - Plant biomass and allometry are key to determine the degree of soil-root
835 reinforcement and, therefore, the eco-engineering potential of certain plant
836 species.

837

838 - Our model successfully predicts root spread in temperate humid climates on a
839 spatial basis, being its predictive capacity considerably improved when local
840 input data are employed.

841

842 - Machine-learning techniques, such as RF, present outstanding features to
843 enhance the quality of spatial information and predictions.

844

845 - The hydrological effects of vegetation against landslides should be considered
846 to have a better picture of the eco-engineering potential of given plant species.
847 Furthermore, the relationship between plant allometry, climate and root-soil
848 reinforcement, along with root tensile strength, should be further explored in
849 light of an effective and sustainable selection of plant species. We also
850 recommend testing our modelling approach on different plant species and
851 communities and on different sites presenting similar climatic conditions for
852 its final validation.

853

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858

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860

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