1	Diabetic ketoacidosis
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# 50 Abstract

Diabetic ketoacidosis (DKA) is the most common acute hyperglycaemic emergency in people 51 with diabetes mellitus. A diagnosis of DKA is confirmed when all of the three criteria are present 52 -'D', either elevated blood glucose levels or a family history of diabetes mellitus; 'K', the 53 presence of high urinary or blood ketoacids; and 'A', a high anion gap metabolic acidosis. Early 54 diagnosis and management is paramount to improve patient outcome. The mainstays of 55 treatment include restoration of circulating volume, insulin therapy, electrolyte replacement and 56 treatment of any underlying precipitating event. Without optimal treatment, DKA remains a 57 condition with an appreciable, although largely preventable morbidity and mortality. In this 58 Primer, we discuss the epidemiology, pathogenesis, risk factors and diagnosis of DKA, as well 59 as we provide practical recommendations for management of DKA in adults and children. 60

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#### 63 [H1] Introduction

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Diabetic ketoacidosis (DKA) is the most common acute hyperglycaemic emergency in people 65 with diabetes mellitus. DKA is the consequence of an absolute (that is, total absence of) or 66 relative (that is, levels insufficient to supress ketone production) lack of insulin and concomitant 67 elevation of counter-regulatory hormones, usually resulting in the triad of hyperglycaemia, 68 metabolic acidosis and ketosis (elevated levels of ketones in the blood or urine; serum ketone 69 concentration of >3.0mmol/l), often accompanied by varying degrees of circulatory volume 70 depletion [G]. DKA occurs mostly in people with uncontrolled type 1 diabetes mellitus (T1DM, 71 which results from the autoimmune destruction of the  $\beta$ -cells of the islets of Langerhans), but can 72 also occur in adults with poorly controlled type 2 diabetes mellitus (T2DM, a result of impaired 73 insulin secretion or action) under stressful conditions such as acute medical or surgical illnesses 74 and, in adolescents, new onset T2DM (also known as ketosis-prone T2DM) (Figure 1). Although 75 any illness or physiological stress can precipitate DKA, the most frequent causes are infections, 76 particularly urinary tract infections and gastroenteritis<sup>1,2</sup>. 77

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DKA was previously considered to be a key clinical feature of T1DM, but has been documented 79 in children and adults with newly diagnosed T2DM<sup>2,3</sup>. Although ketosis-prone T2DM can occur in 80 all populations, epidemiological data suggest that people of African or Hispanic origin seem to be 81 at greater risk<sup>2</sup>. This predisposition likely has a genetic component, but this has yet to be 82 elucidated. Most often individuals with ketosis-prone T2DM have obesity and a strong family 83 history of T2DM and evidence of insulin resistance. Despite presenting with DKA and decreased 84 insulin concentrations, on immunological testing these individuals have the same frequency of the 85 typical autoimmune markers of T1DM such as islet cell, insulin, glutamic acid decarboxylase, and 86 protein tyrosine phosphatase autoantibodies as those who present with HHS and their β-cell 87 function recovers with restoration of insulin secretion quickly after treatment<sup>2</sup>. Thus, individuals 88 with ketosis-prone T2DM can often go back to oral glucose-lowering medication, without the need 89 for continuing insulin therapy. DKA is associated with significant morbidity and utilization of health 90 care resources, accounting for 4-9% of all hospital discharges among those with a diagnosis of 91 diabetes as the primary cause for their acute hospital admission<sup>4</sup>. DKA remains an expensive 92 condition to treat. In the USA, a single episode of DKA is estimated to cost ~\$26,566 (Ref<sup>5</sup>). In 93 the UK, the cost of one DKA episode is estimated to be £2,064 in adults and £1,387 in 94 adolescents (11–18 years of age) <sup>6,7</sup>. 95

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The criteria used to define DKA differ in different parts of the world (Table 1). In 2001, the 97 American Diabetes Association (ADA) expanded the definition of DKA to include mild metabolic 98 acidosis, hyperglycaemia and positive ketone tests<sup>8,9</sup> (Table 1). Although all the definitions of 99 DKA concur by saying that all three components need to be present, the glucose concentrations 100 and method of documenting ketosis vary. Additionally, all guidelines agree that venous or arterial 101 pH should be <7.30. Early diagnosis and treatment are paramount to improve patient outcomes. 102 In developed countries, the risk of death resulting from DKA is <1% in children and adults <sup>10,11</sup> 103 whereas in developing countries, mortality rates are much higher, with reported rates as high as 104 3-13% in children<sup>12</sup>. Among adults, DKA-related deaths occur primarily in older persons (>60 105 years of age) or in those with severe precipitating illnesses<sup>1</sup>. In children, the majority of DKA-106 related deaths result from cerebral injuries or cerebral oedema. Evidence-based treatment 107 strategies include correction of fluid deficits, insulin therapy, potassium repletion and correction of 108 the precipitating factor. 109

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The other hyperglycaemic emergency that occurs is hyperosmolar hyperglycaemic state, whichhas a distinct pathophysiology to DKA (Box 1).

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This Primer aims to provide up to date knowledge on the epidemiology, pathophysiology, clinical
 presentation, management of DKA. In addition, we also discuss prevention measures after
 discharge in adults and children with DKA.

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## **[H1] Epidemiology**

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As the majority of people with DKA are hospitalized, most epidemiological data comes from 120 hospital discharge coding. Among adults, two-thirds of episodes of DKA occur in people 121 diagnosed with T1DM and one-third occur in those with T2DM<sup>3,11,13</sup>. In children (<18 years of 122 age), DKA commonly occurs at the initial diagnosis of T1DM, with incidence varying in different 123 populations from 13% to 80%<sup>14-16</sup>. Adolescents with T2DM also present with DKA, although less 124 frequently than children with T1DM<sup>14</sup>. In addition, the frequency of DKA at diagnosis correlates 125 inversely with the frequency of T1DM in the population, suggesting that the more frequent T1DM 126 occurs in the general population, the more likely that symptoms of new onset are recognised 127 before it becomes an episode of DKA<sup>17-19</sup>. DKA occurs as the earliest presentation of diabetes in 128 children <5 years of age, and in people who do not have easy access to medical care for 129

economic or social reasons<sup>20-22</sup>. Among individuals (between 4.6 to 19.8 years of age), who were 130 antibody negative and with median BMI z-score [G] 2.3 (2.0, 2.6), 11% presented with ketosis-131 prone T2DM<sup>23</sup>. The percentage of adults with ketosis-prone T2DM is unknown; however, since 132 the early 2000s, the prevalence of ketosis-prone T2DM worldwide has increased<sup>3,13</sup>. Studies 133 investigating autoimmunity in ketosis-prone T2DM that have suggested an association between 134 developing the condition and full-length tyrosine phosphatase IA-2 antibody (IA-2FL) or its 135 extracellular domain (IA-2EC)<sup>24</sup>. Thus, individuals with genetic predisposition might be at greater 136 risk of developing ketosis-prone T2DM. 137

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Epidemiological studies in the USA and Europe revealed increasing hospitalizations for DKA in 139 adults<sup>10,13,25</sup>. In 2014, the US Centers for Disease Control and Prevention reported a total of 140 188,950 cases of DKA<sup>10</sup>. Between 2000 and 2009, an average decline of 1.1% in the annual age-141 adjusted DKA hospitalization rate was noted among people with any form of diabetes mellitus 142 between<sup>10</sup>. However, the estimated average annual hospitalization rate increased to 6.3% 143 between 2009 and 2014, that is, a rise of 54.9% in this period (from 19.5 to 30.2 per 1,000 144 persons). This increase was observed across all age groups and sexes. The highest 145 hospitalization rates were in individuals <45 years of age, which might be attributed to poor 146 control (44.3 per 1,000 persons in 2014) and lowest in persons >65 years of age for reasons 147 unknown (<2.0 per 1,000 persons in 2014)<sup>10</sup>. The causes of increased DKA hospitalizations are 148 not clear, but might relate to changes in DKA definition<sup>8,9</sup>, use of new medications associated with 149 increased DKA risk and lower thresholds for hospitalization (that is, admission of individuals with 150 less serious disease)<sup>10,13</sup>. 151

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The rise in hospitalizations for DKA in the USA parallels the increased trend observed in the UK, 153 Australia, New Zealand and Denmark<sup>11,26,27</sup>. A study from the UK examined nationally 154 representative data in those with existing T1DM and T2DM using the Clinical Practice Research 155 Datalink and the Hospital Episode Statistics databases between 1998 and 2013 (Ref<sup>11</sup>). The 156 study found that the incidence of DKA was highest in adults between 18 and 24 years of age 157 within 1 year of diagnosis, potentially suggesting a need for greater education on managing their 158 diabetes at the time of diagnosis. In agreement with these reports, a systematic review<sup>25</sup> reported 159 worldwide incidence of 8-51.3 cases per 1,000 patient-years in individuals with T1DM, which has 160 shown to be the highest in men between 15 to 39 years of age<sup>28</sup>. These data made no distinction 161 between first or recurrent (an individual presenting with >1 episode at any time after their first 162 event) episodes of DKA. Furthermore, the Guangdong Type 1 Diabetes Translational Study 163

Group reported a much higher incidence across China (263 per 1,000 patient-years), which the investigators attributed to differences in national health care systems where people with T1DM have limited access to routine health care as well as infrequent self-monitoring of blood glucose<sup>29</sup>. However, in jurisdictions such as Taiwan, Germany and Italy, DKA hospitalization rates have decreased<sup>30-32</sup>. The reasons for this decrease are unknown, but might be due to improvements in access to healthcare and/or increased recognition of the early signs of hyperglycaemia and DKA.

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Recurrent DKA accounts for a substantial portion of the hospitalizations amongst adults with diabetes mellitus; 66% for T1DM and 35% for T2DM in the UK<sup>11</sup>. However, a study in the USA reported recurrent DKA in 21.6% of adults with T1DM or T2DM between 18 and 89 years of age. Of those with recurrent DKA, 16% had been hospitalized at more than one hospital<sup>33</sup>, implying that patients do not get continuity of care and that their care is fragmented. Recurrent DKA often occurs in a small number of adults or children who have behavioural, social or psychological problems who make up a disproportionate number of DKA admissions<sup>33,34</sup>.

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In developed countries, hospital case-fatality rates have declined over time with current reported 180 mortality rates of <1% were observed across all age groups and sexes<sup>10,35</sup>. However, DKA is the 181 leading cause of mortality among children and adults <58 years old with T1DM, accounting for 182 >50% of all deaths in children with diabetes mellitus<sup>36</sup>. Mortality increases substantially in those 183 with comorbidities and with ageing, reaching 8–10% in those >65–75 years of  $age^{1,37}$ . The 184 highest rates of DKA have been suggested to occur in regions least able to afford healthcare<sup>38</sup>. 185 Mortality might also be higher in these populations, for example, data from India showed a 30% 186 mortality in those presenting with DKA<sup>39</sup> and studies from sub-Saharan Africa have reported 187 similarly high mortality (26-41.3%), whereas a study from Jamaica reported a mortality of 6.7%<sup>39-</sup> 188 <sup>41</sup>. Limited resources in the treating hospital, late presentation or higher case load in larger 189 institutions might contribute to the higher mortality. 190

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# 192 [H2] Risk factors

In adults with known diabetes mellitus, precipitating factors for DKA include infections, intercurrent illnesses such as acute coronary syndrome, insulin pump issues (for example, dislodgement or blockage of infusion sets), and poor adherence and noncompliance with insulin therapy (Table 2)<sup>1,35</sup>. Several new studies have emphasized the impact of poor treatment adherence on the incidence of DKA. For example, in the USA, among urban Afro-Caribbean

populations and in underinsured people, noncompliance was the principal cause for the 198 development of DKA<sup>42</sup>. As a result, poor adherence to insulin treatment accounted for >50% of 199 DKA admissions to a large urban hospital<sup>33,42</sup>. A study reported that persons without health 200 insurance or with Medicaid alone (in the USA) had hozpitalisation rates 2-3 times higher for DKA 201 than those with private insurance. A study examining two community hospitals in Chicago, IL, 202 identified that most cases of DKA were caused by people with diabetes mellitus omitting their 203 insulin (failure to administer insulin as directed) and medical illness accounted for less than one-204 third of admissions<sup>33</sup>. In the UK, the most frequent cause of DKA was infection, followed by non-205 compliance<sup>35</sup>. Other conditions that are known to precipitate DKA include myocardial infarction, 206 cerebrovascular accidents, pancreatitis, alcohol misuse, pulmonary embolism and trauma<sup>1,8,35</sup>. 207 The risk factors for recurrent DKA include low socioeconomic status, adolescence, female sex 208 (possibly due to a higher incidence of deliberate insulin omission, psychological issues, eating 209 disorders, and body dysmorphia<sup>43</sup>), high glycated haemoglobin (HbA1c), previous episodes of 210 DKA and a history of mental health problems<sup>44-49</sup>. 211

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In children, lack of prompt recognition of new-onset T1DM by healthcare providers increases the 213 risk of DKA at diagnosis<sup>50</sup>. Among children with known T1DM, the majority of DKA episodes are 214 caused by insulin omission with a minority of episodes occurring in association with infections — 215 most often gastrointestinal infections with vomiting and an inability to keep hydrated<sup>51</sup>. Risk 216 factors for DKA in children with known diabetes mellitus include poor diabetes control, previous 217 episodes of DKA, unstable or challenging family or social circumstances; adolescent age, being a 218 peripubertal girl, and having limited access to medical services<sup>52,53</sup>. A study showed that in the 219 USA and in India, a small proportion (5.5% and 6.6%, respectively) of people aged ≤19 years 220 who are eventually diagnosed with T2DM present with DKA<sup>54</sup>. Whether this is ketosis-prone 221 T2DM is unknown as genetic analyses on these individuals is unavailable. 222

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Psychological factors also influence the likelihood of developing DKA<sup>55,56</sup>. A report of ~350 adolescent girls and women (aged 13–60 years) suggested that disordered eating and was a contributing factor in ~20% of recurrent episodes of DKA<sup>57</sup>. Furthermore, ~30% of young women (15  $\pm$  2 years of age) with T1DM have been suggested to have an eating disorder<sup>58</sup>. When questioned, the women omitted insulin because of a fear of weight gain with good glycaemic control, diabetes-related distress, fear of hypoglycaemia, and rebellion from authority<sup>59</sup>.

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[H3] Pharmacological risk factors.

As mentioned, insulin mismanagement or omission can lead to DKA. Most often treatment 232 involves insulin given in a multiple dose regimen. However, data from the UK National 233 Paediatric Diabetes Audit shows that insulin pump use is also associated with an increased 234 risk of DKA in the <18 year old population<sup>60</sup>. DKA has also been reported in people with 235 diabetes mellitus treated with sodium-glucose transport protein 2 (SGLT2) inhibitors. Results 236 from randomized controlled trials (RCTs) have indicated that DKA is rare in patients with 237 T2DM treated with SGLT2 inhibitors (incidence of 0.16-0.76 events per 1,000 patient-238 years<sup>61</sup>). Several RCTs, however, have reported a higher risk of SGLT2 inhibitor-associated 239 ketosis in adults with T1DM (5-12%)<sup>62-64</sup> and an incidence of DKA in ~3-5% in those with 240 T1DM treated with SGLT2 inhibitors<sup>62,65</sup>. The incidence of DKA in those receiving placebo in 241 these RCTs of people with T1DM was 0-1.9%<sup>64</sup> and DKA occurred despite the use of 242 measures designed to minimize the risk of ketosis. These risk mitigation strategies have 243 been described elsewhere<sup>66,67</sup>. With the regulatory approval of SGLT2 inhibitors for use in 244 patients with overweight and T1DM in Europe<sup>68</sup>, the actual rates of DKA outside of a clinical 245 trial setting remain to be determined. The only other drug licensed in the USA for use in 246 people with T1DM is pramlintide<sup>69</sup>. The use of this drug is not associated with the 247 development of DKA, but is seldom used because it needs to be injected at each meal as a 248 separate injection to insulin, causes nausea, and hypoglycaemia might occur if the insulin to 249 carbohydrate ratio is incorrect. Thus, there is a need to develop better adjunctive treatments 250 alongside insulin for people with T1DM. 251

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Data from the T1DM exchange registry in the USA has shown that cannabis use is associated 253 with an increased risk of developing DKA<sup>70</sup>. In addition, drugs that affect carbohydrate 254 metabolism such as corticosteroids, sympathomimetic agents (used in nasal decongestants) 255 and pentamidine (an antimicrobial agent most frequently used to treat protozoal infection or 256 pneumonia) can precipitate the development of DKA<sup>1,9</sup>. Atypical antipsychotic agents have been 257 associated with weight gain and T2DM, but are also associated with DKA, which occur acutely 258 even in the absence of weight gain<sup>71,72</sup>. Cancer treatment using immune check-point inhibitors 259 (ICIs), such as those that block CTLA-4, and PD-1 or its ligand PD-L1 (Refs<sup>73,74</sup>), have been 260 linked to new-onset autoimmune T1DM<sup>54,75,76</sup>. The WHO database of individual case safety 261 reports described a total of 283 cases of new-onset diabetes with >50% of patients with ICI-262 induced diabetes mellitus presenting with DKA75,76. Additionally, a case series involving large 263 academic medical centres estimated an incidence of 1% of new-onset T1DM with a median time 264 of 49 days to onset and 76% of the cases presented with DKA<sup>74,76,77</sup>. 265

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# 267 [H1] Mechanisms/pathophysiology

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In T1DM or T2DM, when there is absolute or relative insulin deficiency or in times of acute 269 illness, which is associated with an increase in the counter-regulatory hormones, cortisol, 270 growth hormone, glucagon and catecholamines, DKA may occur. These alterations in hormone 271 levels and the subsequent inflammatory response form the basis of the pathophysiological 272 mechanisms involved in DKA. The changes in hormone concentrations lead to alterations in 273 glucose production and disposal, as well as increased lipolysis and ketone body production 274 (Figure 2). Intercurrent illness can lead to the production of counter regulatory hormones leading 275 to hyperglycaemia and the pro-inflammatory state resulting from an infection precipitate DKA. 276

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## [H2] Gluconeogenesis and hyperglycaemia

In diabetes mellitus, insulin deficiency leads to increased gluconeogenesis (hepatic glucose 279 production), which is simultaneously accompanied by impaired glucose uptake and use in 280 peripheral tissues<sup>78,79</sup>, resulting in hyperglycaemia. In healthy individuals, ~20% of total 281 endogenous glucose production also comes from the kidneys as a result of a combination of 282 gluconeogenesis and glycogenolysis<sup>80</sup>. Endogenous renal glucose production has been 283 speculated to be increased in DKA because data from the 1970's suggest that the presence of 284 an acidosis increase renal glucose output, whilst impairing hepatic gluconeogenesis<sup>81</sup>. In T1DM 285 and T2DM, increased hepatic gluconeogenesis results from the increased availability of 286 gluconeogenic precursors such as lactate, glycerol and several gluconeogenic amino acids 287 including alanine, glycine and serine. Furthermore, low insulin concentrations lead to catabolism 288 of protein from muscles, liberating amino acids that are gluconeogenic and ketogenic such as 289 tyrosine, isoleucine and phenylalanine, or purely ketogenic such as lysine and leucine. 290 Catabolism of isoleucine, lysine and tryptophan lead to the formation of acetyl coenzyme A 291 (acetyl CoA); catabolism of phenylalanine and tyrosine lead to the formation of acetoacetate; 292 and leucine leads to the production of  $\beta$ -Hydroxy- $\beta$ -methylglutaryl-CoA (HMG-CoA) — all of 293 which feed into the production of ketone bodies. High glucagon, catecholamine and cortisol 294 concentrations relative to insulin levels stimulate gluconeogenic enzyme activity, in particular 295 phosphoenol pyruvate carboxykinase, fructose-1,6-bisphosphatase and pyruvate carboxylase, 296 all of which augment hyperglycaemia<sup>79,82,83</sup>. 297

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[H3] Ketogenesis. The increase in counter-regulatory hormone concentrations associated with

severe insulin deficiency activates hormone-sensitive lipase in adipose tissue. Lipolysis of 300 endogenous triglycerides by this enzyme releases large quantities of free fatty acids (FFAs) and 301 glycerol into the circulation<sup>84</sup>. These FFAs are oxidized to ketone bodies in the hepatic 302 mitochondria, a process mediated by high glucagon concentrations. Glucagon reduces the 303 hepatic concentrations of malonyl CoA, which is the first committed intermediate in the lipogenic 304 pathway<sup>85</sup>. Malonyl CoA is also a potent inhibitor of fatty acid oxidation and inhibits the enzyme, 305 carnitine palmitoyltransferase 1 (CPT1). CPT1 regulates the uptake of FFAs into the 306 mitochondria for  $\beta$ -oxidation<sup>86</sup>, causing an accumulation of acetyl CoA. Under normal 307 circumstances, acetyl CoA enters the tricarboxylic acid (TCA) cycle (also known as Krebs cycle) 308 and, subsequently, the mitochondrial electron transport chain to synthesize ATP. However, 309 when acetyl CoA production exceeds the levels that can be metabolized by the TCA cycle, two 310 molecules of acetyl CoA condense to form acetoacetyl-CoA, which can condense with another 311 acetyl CoA molecule to form β-hydroxy-β-methylglutaryl-CoA (HMG-CoA). The enzyme HMG-312 CoA synthase is stimulated by glucagon and inhibited by insulin, therefore, in times of fasting or 313 insulin deprivation, the enzyme actively produces HMG-CoA. HMG-CoA within the mitochondria 314 is lysed to form acetoacetate (as opposed to in the cytosol, where it is involved in cholesterol 315 synthesis), which can further spontaneously degrade to form acetone or be metabolized to  $\beta$ -316 hvdroxvbutvrate<sup>87</sup>. The acetone, acetoacetate and  $\beta$ -hydroxybutyrate constitute the three ketone 317 bodies produced by the liver. The exhaled acetone is what gives the classic 'fruity' breath in 318 people presenting with DKA. Of these, acetoacetate and  $\beta$ -hydroxybutyrate are acidic, that is, 319 they are ketoacids having pKa [G] values of 3.6 and 4.7 respectively. Concurrent with increased 320 ketone body production, the clearance of  $\beta$ -hydroxybutyrate and acetoacetate is reduced. 321 Acidosis occurs due to the buffering of the protons produced by the dissociation of ketoacids 322 that occurs at physiological pH. The reduced clearance of ketones contributes to the high 323 concentration of anions in the circulation, which also contributes to the development of DKA<sup>88</sup>. 324 However, the reason for this decreased clearance remains uncertain<sup>79,89</sup>. 325

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Accumulation of ketoacids leads to a decrease in serum bicarbonate concentration and retention of these 'fixed acids' leads to the development of high anion gap metabolic acidosis. The anion gap is a calculation of the difference between the cations and anions in the serum and the difference can be used as a guide to the cause of the excess acidity. If there is a large difference that is not accounted for by the anions and cations in the equation, then alternative causes for the difference must be found. The most frequently used equation to calculate anion gap is ( $[Na^+] + [K^+]$ ) – ( $[CI^-] + [HCO_3^-]$ ), although some investigators do not include potassium

ion concentration owing to its negligible effect on the overall result. In healthy individuals, the 334 reference range is most frequently 10-14 mmol/l90-92. The relationship between the change in 335 the anion gap and the change in serum bicarbonate concentration is not always 1:1, as was 336 previously postulated, which might be owing to the contribution of unmeasured cations (UC) (for 337 example, Ca<sup>2+</sup> and Mg<sup>2+</sup>) and unmeasured anions (UA) (for example, HPO<sub>4</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>). Thus, the 338 true equation for anion gap can be expressed as  $[Na^+] + [K^+] + UC = [Cl^-] + [HCO_3^-] + UA$ , 339 which can be arranged as  $[Na^+] + [K^+] - [Cl^-] + [HCO_3^-] = UA - UC = anion gap.$  Thus, the 340 difference between the UAs and UCs also constitutes the anion gap<sup>90</sup>. Other components of the 341 plasma, in particular albumin, can affect the relationship between the severity of the acidosis, 342 the bicarbonate and anion gap and this relationship is discussed in more detail elsewhere<sup>90,93</sup>. 343 The measure of acidity is important because as pH falls <7.35, intracellular biological systems 344 begin to fail, leading to irreversible damage at ~pH <6.8. This low pH can lead to neurological 345 dysfunction, leading the coma, and if severe or prolonged enough, death. 346

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#### 348 [H2] Osmotic diuresis

The severity of hyperglycaemia and the high concentrations of acetoacetate and β-349 hydroxybutyrate cause osmotic diuresis leading to hypovolaemia (state of extracellular volume 350 depletion) with contraction of arterial blood volume. The osmotic diuresis also leads to a 351 decreased glomerular filtration rate [G], therefore, reducing the ability to excrete glucose. The 352 hypovolaemia leads to further increases in the levels of counter-regulatory hormones, further 353 aggravating hyperglycaemia<sup>94</sup>. The resulting low circulating volume leads to generalised 354 hypoperfusion and can also lead to a rise in lactic acid. Owing to lack of perfusion, peripheral 355 tissues become deprived of oxygen and switch to anaerobic respiration, thereby generating 356 lactate, worsening the acidaemia (the state of low blood pH). The lack of renal perfusion can 357 lead to pre-renal renal failure. This lack of renal perfusion means that there is an inability to 358 adequately excrete acids such as sulphate, phosphate or urate, further exacerbating the high 359 anion gap acidaemia. The osmotic diuresis, as well as the associated vomiting and inability to 360 take fluid orally or a lower conscious level lead to worsening of the dehydration. The 361 hyperglycaemia might be worsened by the ingestion of sugar sweetened beverages to quench 362 the thirst experienced by these individuals. 363

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#### 365 [H2] Electrolyte disturbance

Insulin maintains the potassium (a predominantly intracellular cation) concentrations within the intracellular fluid. Thus, the lack of insulin causes potassium to move into the extracellular

space. As the plasma pH falls due to the rise in ketone concentrations, plasma bicarbonate ions 368 act as one of the main buffers to maintain the physiological pH (that is, pH 7.4). As acidaemia 369 progresses and the pH falls further, the bicarbonate concentration drops because it buffers [G] 370 the increase in hydrogen ion concentration, and further tissue buffering becomes crucial. To 371 achieve this, extracellular hydrogen ions from the ketoacids are exchanged for intracellular 372 potassium ions. In addition, the extracellular hypertonicity [G] causes movement of water from 373 the intracellular space to the extracellular space leading to further loss of intracellular 374 potassium. Furthermore, owing to the osmotic diuresis, the circulating volume decreases and 375 aldosterone concentration increases. Aldosterone works by conserving sodium reabsorption in 376 the kidney by excreting potassium in the urine, leading to further potassium loss. The end effect 377 of these physiological attempts at maintaining buffering capacity and electrical neutrality is 378 hyperkalaemia. A study from 1956 showed that for each 0.1 unit fall in pH, serum potassium 379 concentration increased by 0.6mmol/l<sup>95</sup>. Thus in the acute stage before fluid and insulin 380 treatment is started, serum potassium can be as high as ≥7.0mmol/l, yet because of the renal 381 382 loss, total body potassium stores are usually substantially depleted, which is estimated to be 3-5mmol/Kg<sup>9</sup>. 383

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#### 385 [H2] Inflammation

Severe hyperglycaemia and the occurrence of ketoacidosis result in a pro-inflammatory state, 386 evidenced by an elevation of oxidative stress markers and increased concentrations of pro-387 inflammatory cytokines<sup>96-99</sup>. This increase in inflammatory cytokines leads to white adipose 388 tissue dysfunction by inhibiting insulin signalling or increasing lipolysis, thereby leading to 389 greater transport of FFAs to the liver, which act as ketogenic substrates<sup>100-102</sup>. In diabetic 390 conditions, impaired insulin signalling that results in severe hyperglycaemia can induce the liver 391 to produce CRP (a pro-inflammatory marker) under the influence of activated macrophages that 392 secrete pro-inflammatory cytokines such as, IL-6, IL-1β, and TNF. These cytokines, in turn, can 393 impair insulin secretion and reduce insulin action further exacerbating DKA97,98,103,104. The 394 elevated FFAs also induce insulin resistance and at the same time cause endothelial 395 dysfunction by impairing nitric oxide production in endothelial cells<sup>105,106</sup>. Together, the 396 inflammatory response induces oxidative stress and the subsequent generation of reactive 397 oxygen species lead to capillary endothelial disruption and damage of cellular lipids, proteins, 398 membranes, and DNA<sup>97,99</sup>. The inflammatory state caused by has also been hypothesized to be 399 involved in causing complications of DKA in children, particularly cerebral oedema and cerebral 400 injury<sup>107-109</sup>. The cerebral oedema in DKA is vasogenic (that is, resulting from the disruption of 401

the blood–brain barrier) but the mechanism remains undetermined.

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The reasons for coma or reduction in cognitive ability in DKA are yet to be elucidated. Given that some people are fully alert and orientated with a pH of 6.9, whereas others are obtunded at a pH of 7.2 suggests that an element of 'physiological reserve' might be involved. However, the degree of circulatory volume depletion, high glucose concentrations and rapid shift of electrolytes between the intracellular and extracellular spaces might also play a part.

#### 409 [H2] SGLT2 inhibitor-induced ketoacidosis

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By promoting a glycosuria, the SGLT2 inhibitors lower circulating glucose concentrations<sup>110</sup>. 411 As glucose concentrations drop, insulin concentrations also drop and glucagon rises. 412 Together these changes promote lipid  $\beta$ -oxidation, and ketoacid production occurs <sup>111-113</sup>. In 413 patients already using insulin, as glucose concentrations drop, insulin doses may be 414 reduced, but ketogenesis is not prevented. As ketone concentrations continue to rise, DKA 415 may occur – but crucially, as the circulating glucose concentrations are low, euglycaemic 416 DKA occurs more frequently in these individuals <sup>114,115</sup>. The mechanism for the development 417 of DKA with SGLT2 inhibitors has been discussed in detail elsewhere <sup>114,115</sup>. 418

419 420

# 421 [H2] Alcoholic ketoacidosis

Alcoholic ketoacidosis has a different pathogenesis from DKA and develops in people with 422 chronic alcohol abuse who have binged, resulting in nausea, vomiting and acute 423 starvation<sup>116,117</sup>. Blood glucose concentration is the key diagnostic feature that differentiates 424 DKA and alcohol-induced ketoacidosis. Acute alcohol withdrawal can cause counter-regulatory 425 hormone release and any accompanying starvation will be associated with low insulin secretion, 426 which, in turn, causes lipolysis and ketogenesis. Furthermore, the enzyme, alcohol 427 dehydrogenase, metabolizes ethanol to acetaldehyde, which is metabolized to acetic acid and 428 transported into the mitochondria, where it is converted into acetyl CoA that subsequently 429 condenses to acetoacetate<sup>118</sup>. In contrast to DKA that usually presents with severe 430 hyperglycaemia, the presence of ketoacidosis without hyperglycaemia in an alcoholic patient is 431 virtually diagnostic of alcoholic ketoacidosis<sup>117,119</sup>. 432

433

#### 434 [H2] Starvation ketosis

435 Starvation ketosis occurs when a person has a prolonged reduced calorie intake of

<500Kcal/day<sup>120</sup>. With little or no carbohydrate intake, insulin secretion is decreased, leading to 436 lipolysis and ketogenesis. However, starvation ketosis differs from DKA; in healthy individuals or 437 in individuals with obesity without diabetes who starve, β-hydroxybutyrate concentrations can 438 reach 5-6mmol/l, but this takes several days of absolute starvation with almost very little or no 439 caloric intake<sup>121,122</sup>, or 4–5mmol/l after 10 days of starvation<sup>123</sup>. For comparison, in a healthy, 440 non-starving individual,  $\beta$ -hydroxybutyrate concentrations should be <0.3mmol/l. An individual is 441 able to adapt to prolonged fasting by increasing brain and muscle ketone clearance as well as 442 renal compensation by increasing acid excretion, in particular ammonia<sup>121,124</sup>. As this condition 443 develops over many days, electrolyte imbalance (for example, low bicarbonate concentrations) 444 is less likely to occur due to the ability of the kidney to compensate. However, if electrolyte 445 intake is also limited, then eventually electrolyte disturbances will occur<sup>124</sup>. Thus, as a result of 446 renal compensation, starvation-induced ketosis is unlikely to present with a serum bicarbonate 447 concentration <18.0mmol/L<sup>120</sup>. This serum bicarbonate corresponds to a mean ß-448 hydroxybutyrate concentration of 5.68 (±1.5) mmol/l in the UK national survey of DKA; it is likely 449 that it took only a few hours of insulin deprivation to achieve that ketone concentration in 450 patients with DKA<sup>35</sup>. 451

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# [H1] Diagnosis, screening and prevention

456

# 457 [H2] Presentation

DKA frequently presents with a short history, with symptoms developing usually over a few 458 hours. These include the classic symptoms of hyperglycaemia — polyuria (excessive urine 459 production), polydipsia (excessive thirst) and, in those for whom DKA is the first presentation of 460 diabetes, weight loss (Figure 3). Polyphagia (excessive hunger) has been reported in children, 461 but remains rare in adults<sup>125</sup> Gastrointestinal symptoms such as nausea, vomiting and 462 generalized abdominal pain are reported in >60% of patients<sup>1,126</sup>. Abdominal pain, sometimes 463 mimicking an acute abdomen, is especially common in children and in patients with severe 464 metabolic acidosis. Abdominal pain typically resolves during the first 24 hours of treatment and 465 lack of resolution of abdominal pain within this time frame should prompt a search for other 466 causes<sup>126</sup>. Although the cause of the gastrointestinal complaints has not been fully elucidated, 467 delayed gastric emptying, ileus (that is, lack of movement in the intestines that leads to a delay 468 in transit), electrolyte disturbances and metabolic acidosis have been implicated<sup>1,126</sup>. 469

Physical examination of adults and children usually reveals signs of circulatory volume 471 depletion, including dry mucous membranes and tachycardia. Mental status on admission varies 472 from full alertness to lethargy and stupor, with <20% of adults hospitalized showing loss of 473 consciousness<sup>127</sup>. As pH drops, respiratory compensation for the metabolic acidosis, that is, 474 excreting acidic carbon dioxide in an attempt to maintain plasma pH, leads to Kussmaul 475 respirations (a deep and laboured breathing pattern) in individuals with DKA and the breath 476 might have a classic fruity odour owing to acetone exhalation. Most adults and children are 477 normothermic or even hypothermic at presentation even in the presence of infection. 478 Hypotension might be observed in adults but is rarely present in children. In fact, for reasons 479 unknown, studies have documented a high frequency of hypertension in children with DKA, in 480 spite of substantial volume depletion<sup>128</sup>. Therefore, it is important not to rely on blood pressure 481 as a marker of DKA severity in children. 482

483

470

#### 484 [H2] Diagnosis

The diagnosis of DKA is based on the triad of hyperglycaemia, ketosis and metabolic 485 acidosis<sup>129</sup>. Although the ADA, Joint British Diabetes Societies and the International Society of 486 Pediatric and Adolescent Diabetes agree that the main diagnostic feature of DKA is the 487 elevation in circulating total blood ketone concentration, the other diagnostic criteria such as 488 serum glucose and bicarbonate concentrations differ(Table 1)<sup>8,9,52,130</sup>. Studies have shown that 489 between 3-8.7% of adults who present with DKA have normal or only mildly elevated glucose 490 concentrations (<13.9mmol/l [250mg/dl]) — a condition known as euglycaemic DKA<sup>131-133</sup>. 491 Euglycaemic DKA has been reported during prolonged starvation, with excessive alcohol intake, 492 in partially treated individuals (i.e. those receiving inadequate doses of insulin), during 493 pregnancy and in those who use an SGLT-2 inhibitor<sup>65,133,134</sup>. In those taking SGLT-2 inhibitors 494 who may present with DKA but without severe hyperglycaemia, a thorough medication history is 495 key to confirming the diagnosis. 496

497

When individuals present with euglycaemic DKA, the admission biochemistry is relatively nonspecific and might be affected by the degree of respiratory compensation, the coexistence of a mixed acid–base disturbance or other comorbidities<sup>116</sup>. Studies from the 1980s documented high anion gap acidosis in 46% of people (14–55 years of age) admitted for DKA, whilst 43% had mixed anion gap acidosis and hyperchloraemic metabolic acidosis, and 11% develop hyperchloraemic metabolic acidosis<sup>135</sup>, however, current data do not describe patterns of acidosis on admission and these differing categories have no impact on the diagnosis or immediate treatment of DKA. The fact that not all people fall into a single category indicated the heterogeneity of the biochemical abnormalities observed in DKA. The hyperchloraemic metabolic acidosis is most frequently observed in those given large volumes of 0.9% sodium chloride solution, during the recovery phase of the admission<sup>136</sup>.

509

Assessment of ketonaemia (that is, blood ketone concentration) can be performed by the 510 nitroprusside reaction in urine or serum or by direct measurement of  $\beta$ -hydroxybutyrate in 511 capillary blood using point-of-care testing or by the hospital laboratory<sup>8,88</sup>. Although easy to 512 perform, the nitroprusside test measures acetoacetate and does not detect  $\beta$ -hydroxybutyrate, 513 the main ketone in DKA<sup>79,137</sup>. As plasma or urine acetoacetate concentration only accounts for 514 15-40% of the total ketone concentration, relying on acetoacetate using urine ketone testing 515 alone is likely to underestimate the severity of ketonaemia<sup>52,138</sup>. In addition, several sulfhydryl 516 drugs (for example, captopril) or medications such as valproate that are taken for comorbidities 517 including hypertension or epilepsy, give false-positive nitroprusside urine tests<sup>52,87</sup>. Using 518 expired or improperly stored test strips can give false-negative results, which can also occur 519 when urine specimens are highly acidic, for example, after the consumption of large amounts of 520 vitamin C<sup>87</sup>. In addition, unlike the ADA guidelines, the Joint British Diabetes Societies strongly 521 discourages the use of urinary ketone tests<sup>8,88</sup> and recommends direct measurement of  $\beta$ -522 hydroxybutyrate from a blood sample to assess ketonaemia in ambulatory and hospital care. A 523 more detailed explanation of the differences of urinary and plasma ketone tests can be found 524 elsewhere<sup>88</sup>. 525

526

Studies in adults and children with DKA have reported a good correlation between β-527 hydroxybutyrate and the severity of acidaemia measured from serum bicarbonate 528 concentration<sup>139,140</sup>. A bicarbonate concentration of 18.0 and 15.0mmol/L corresponds to 3.0 529 and 4.4 mmol/L of  $\beta$ -hydroxybutyrate, respectively, suggesting that when plasma ketone tests 530 are unavailable, a 'best guess' can be made according to the bicarbonate concentration. 531 Measurement of  $\beta$ -hydroxybutyrate can also guide response to treatment. The UK guidelines 532 recommends to intensify the treatment if the plasma concentration of  $\beta$ -hydroxybutyrate does 533 not decrease by 0.5mmol/l per hour following fluid and intravenous insulin administration<sup>130</sup>. 534

535

Many individuals with hyperglycaemic crises present with combined features of DKA and HHS (Box 1). Previous work has reported that among 1,211 patients who had a first admission with

hyperglycaemic crises criteria based on the ADA guidelines<sup>8</sup>, 465 (38%) had isolated DKA, 421
(35%) had isolated HHS, and 325 (27%) had combined features of DKA and HHS. After
adjustment for age, sex, BMI, ethnicity and Charlson Comorbidity Index score (which predicts
the 1-year mortality of a patient with a range of comorbidities) with combined DKA–HHS had
higher in-hospital mortality compared with patients with isolated DKA (adjusted OR 2.7; 95% CI
1.4–4.9)<sup>141</sup>.

544

## [H2] Systemic assessment

<sup>546</sup> Upon hospital admission, immediate assessment of the haemodynamic state and level of <sup>547</sup> consciousness, together with measurement of blood glucose, blood or urine ketones, serum <sup>548</sup> electrolytes, venous blood gases and complete blood count should be performed. As part of the <sup>549</sup> rapid assessment of the individual, precipitants for DKA should be sought, including an ECG to <sup>550</sup> exclude acute coronary syndrome and repolarization abnormalities (that is, peaked T waves) <sup>551</sup> due to hyperkalaemia.

552

The systemic effect of DKA in adults depends on the severity of the acidaemia and circulatory 553 volume depletion (Table 1). However, one of the drawbacks of the ADA classification is that the 554 degree of acidaemia is imperfectly correlated with the patient's level of consciousness<sup>8</sup>. Thus, it 555 is unclear whether a patient who presents with a pH of <7.0, yet is fully conscious, or another 556 who presents comatose with a pH of 7.26 are mild or severe. Other markers of severity 557 including ketone concentrations (>6.0mmol/l), venous pH <7.0, hypokalaemia on admission 558 (<3.5mmol/l), systolic blood pressure (<90mmHg), pulse rate (either >100bpm or <60bpm), 559 oxygen saturations (<92%, assuming it is normal at baseline), and Glasgow Coma Scale Score 560 (<12) have been suggested by the UK guideline<sup>130</sup>. The Glasgow Coma Scale comprises 561 subscale scores for behaviours (such as eye opening and verbal and motor responses to 562 stimuli), with a higher total score indicating a higher level of consciousness of the patient)<sup>142</sup>. If 563 breathing is compromised due to lethargy or coma, then urgent airway management needs to 564 be initiated with support of the intensive care team. 565

566

In adults, mortality is often due to the underlying precipitant such as infection or intercurrent illness. However, lack of access to treatment might be the cause of excess mortality in lowresource environments. In children, mortality resulting from DKA is mainly the result of cerebral oedema or cerebral injury. Thus, assessment of consciousness level is of particular importance.

#### 572 [H2] Prevention

In individuals with known diabetes, prevention of DKA and hospital admission is feasible. 'Sick 573 day rules' are a simple set of instructions that patients can follow when they are unwell for any 574 reason. These rules state that — particularly in those with T1DM, insulin must never be 575 stopped, even if the individuals do not consume solids or fluids<sup>143</sup>. Also, when unwell, blood 576 glucose concentrations should be measured every few hours and blood or urine ketone 577 concentrations should be measured at least twice a day. If ketones are detected, increased 578 insulin doses should be administered. Maintaining good hydration is also important. If vomiting 579 due to illness is persistent, then hospital admission is often necessary. One study reported that 580 telephone consultations with nurses or diabetes educators can help prevent DKA admissions<sup>144</sup>. 581

582

#### 583 [H1] Management

584

Most of the data regarding management of DKA come from North America, Europe and Australia. Data from other parts of the world show a lack of accessibility of treatments. Individuals living in areas of low socio-economic status have no or limited access to insulin owing to an inability to main 'security of supply'<sup>145</sup>. Many studies have shown that in parts of Africa, DKA was the main cause of death in people who require insulin who were admitted to hospital<sup>41,146</sup>.

591

Insulin therapy and fluid and electrolyte replacement are the cornerstones of DKA treatment. The aim is to correct acidaemia, restore normal circulatory volume and normalize blood glucose concentrations and acid-base disturbances to restore normal levels of inflammatory and oxidative stress markers<sup>106,147</sup>.

596

Careful monitoring of the patient's response to DKA treatment and appropriate adjustments in 597 treatment based on this response are essential. Monitoring should include tracking of blood 598 pressure, pulse and respiratory rate as well as accurate documentation of fluid intake and 599 output. For most patients, glucose levels should be monitored hourly and electrolytes (sodium, 600 potassium, chloride and bicarbonate), urea nitrogen, creatinine and venous pH should be 601 measured every 2-4 hours. Levels of phosphate, calcium and magnesium are measured less 602 frequently (generally every 4-6 hours). Neurological status should be monitored hourly using 603 the Glasgow Coma Scale<sup>142</sup> or similar assessments, for example, AVPU (Alert, Voice, Pain, 604 Unresponsive) scale<sup>148</sup>. More frequent monitoring (that is, every 30 minutes) might be 605

necessary for children with DKA and impaired cognitive status. There should be a low threshold for moving individuals presenting with altered cognitive status or severe metabolic derangement and those who fail to improve after initial treatment to an intermediate care unit (high dependency) or critical care unit in the hospital<sup>1,149</sup>. Alternatively, people with the ADA-classified mild DKA (Table 1) who have normal cognition and are able to eat and drink can be treated with oral fluids and subcutaneous insulin in an acute care setting, potentially avoiding hospitalization<sup>1,149</sup>.

613

The criteria for the resolution of a DKA episode should be a combination of a blood glucose of c200mg/dL (11.1mmol/l), a serum bicarbonate level of  $\geq$ 18.0mmol/l, a venous pH >7.30 and a calculated anion gap of  $\leq$ 14.0mmol/l<sup>8</sup>. A serum  $\beta$ -hydroxybutyrate <1.0mmol/l can also be used to determine resolution of DKA. In settings where  $\beta$ -hydroxybutyrate measurements are unavailable, normalization of the anion gap is the best indicator of DKA resolution<sup>8</sup>.

619

## [H2] Volume correction

Administration of intravenous fluid is the key to intravascular volume correction, thereby 621 improving renal perfusion. The concomitant decrease in circulating counter-regulatory hormone 622 concentrations also reduces insulin resistance<sup>150</sup>. In adults with DKA, the ADA and UK 623 guidelines recommend normal saline (0.9% sodium chloride solution) for the initial fluid 624 replacement<sup>8,130</sup>, administered at an initial rate of 500–1000 ml/hour during the first 2–4 hours. 625 In an attempt to understand the best resuscitation fluid to use in DKA, a study comparing 626 intravenous infusion of normal saline with Ringer's lactate (a mixture of sodium chloride, sodium 627 lactate, potassium chloride and calcium chloride) found no difference in the time to resolution of 628 DKA, although hyperglycaemia resolved later in the Ringer's lactate group <sup>151,152</sup>. A potential 629 'trap' for the unwary is the development of hyperchloraemic metabolic acidosis owing to 630 excessive chloride resulting from the administration of high volumes of saline. This is because 631 0.9% saline contains a higher concentration of chloride ions than serum (154mmol/l compared 632 with 100mmol/l)<sup>9</sup>. Although there are generally no acute adverse effects of hyperchloraemic 633 metabolic acidosis, the development of hyperchloraemic metabolic acidosis can delay transition 634 to subcutaneous insulin treatment if the serum bicarbonate concentration is used as an indicator 635 of DKA resolution. After restoration of intravascular volume, the serum sodium concentration 636 and state of hydration assessed by blood pressure, heart rate and fluid balance should 637 determine whether the rate of normal saline infusion can be reduced to 250 ml/hour or changed 638 to 0.45% sodium chloride (250-500 ml/h)8. A study has proposed different approaches for 639

individualizing fluid treatment based on calculations of sodium and fluid deficits<sup>153</sup>. Plasma
 glucose concentrations typically decrease to <200mg/dl (11.1mmol/l) before ketoacidosis</li>
 resolves. Thus, once the plasma glucose concentration is ~200mg/dL (11.1mmol/L), the
 replacement fluids should contain 5–10% dextrose (to prevent hypoglycaemia) to allow
 continued insulin administration until ketonaemia is corrected<sup>1</sup>..

645

In children (<18 years of age) with DKA, fluid deficits can vary between 30 and 100 ml/Kg, 646 depending on the duration of symptoms and ability to maintain hydration. Clinical assessments 647 (using capillary refill time, skin turgor and other aspects of the physical exam) to estimate the 648 degree of fluid deficit are frequently inaccurate in children with DKA<sup>154-156</sup>, therefore, average 649 fluid deficits of ~70 ml/Kg should be assumed for most children. An initial bolus of 10-20 ml/Kg 650 of 0.9% normal saline or other isotonic fluid should be administered promptly over 30-60 651 minutes to help restore organ perfusion. In children with hypovolaemic shock, the initial fluid 652 administration should be 20 ml/kg over 15-30 minutes. Fluid boluses can be repeated if 653 necessary based on the haemodynamic state. Such bolus fluid administration is preferred in 654 children to ensure more rapid tissue perfusion than can be achieved than by slower continuous 655 fluid infusion. Following the initial fluid bolus, the remaining fluid deficit should be replaced over 656 24-48 hours, using 0.45-0.9% sodium chloride. In the 1980s and early 1990s, slower 657 administration of intravenous fluids was recommended in paediatric patients with DKA to 658 prevent cerebral oedema <sup>157,158</sup>. A large RCT (the Pediatric Emergency Care Applied Research 659 Network FLUID Study), however, found no differences in acute or post-recovery neurological 660 outcomes in children with DKA treated with rapid versus slower volume correction<sup>159</sup> or between 661 the use of 0.9% versus 0.45% sodium chloride. In a sub-analysis involving children with severe 662 acidosis and cognitive impairment resulted in improved mental status during DKA treatment<sup>159</sup>. 663 These findings are reassuring as they assure that variations in fluid treatment protocols are not 664 the cause of cerebral oedema or cerebral injury during DKA. 665

666

In both adult and paediatric DKA, the 'two bag' method of fluid replacement is often used, whereby two concurrent bags of fluid are used. Although both bags have identical electrolyte content (0.45% or 0.9% saline with potassium), only one bag contains 10% dextrose. The bag without dextrose is used initially as the resuscitation fluid and the dextrose infusion is added when the glucose drops to 200–250mg/dl (11.0–13.9mmol/l). The two bag method prevents the need to continually change infusion fluids according to glucose concentrations<sup>160-162</sup>.

The measured serum sodium concentration at presentation reflects relative losses of sodium 674 and extracellular free water as well as the osmotic effect of hyperglycaemia. Most adults and 675 children with DKA have mild hyponatraemia at presentation, which gradually returns to the 676 normal range of 135-145mmol/l as blood glucose levels decline and water moves back into 677 intracellular space. The measured sodium concentration has been proposed to decline by 678 1.6mmol/l for every 100mg/dl (5.5mmol/L) rise in the serum glucose concentration above the 679 normal range such that a 'corrected' sodium concentration can be calculated as the measured 680 serum sodium concentration + 1.6  $\times$  [(glucose concentration in mg/dL - 100)/100]. This 681 theoretically determined correction factor was found to correlate well with empirical data from a 682 study of children with DKA<sup>163</sup> that enables a better assessment of sodium deficit (and therefore, 683 requirements for replacement) can be made. Alternative correction factors have also been 684 proposed and tracking the corrected sodium concentration during treatment can be useful for 685 monitoring the adequacy of relative rates of fluid and sodium administration<sup>164,165</sup>. 686

687

## [H2] Insulin administration

Most people with DKA will be treated initially with an intravenous insulin infusion until the DKA has resolved and the patients are eating and drinking normally, at which time they will be transferred to subcutaneous insulin.

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[H3] Intravenous infusion. In most adults with DKA, a continuous intravenous infusion of 693 regular (soluble) insulin is the treatment of choice. In many hospitals, the intravenous fluids are 694 administered whilst the intravenous insulin infusion is being prepared<sup>35</sup>. In adults, many 695 treatment protocols recommend the administration of insulin (0.1 unit per kg body weight) bolus 696 intravenously or intramuscularly if a delay in getting venous access is anticipated, which is 697 immediately followed by fixed rate intravenous insulin infusion at 0.1 unit/kg/hour. Once the 698 blood glucose concentration is ~200mg/dl (11.0mmol/l) the insulin infusion rate is adjusted to 699 between 0.02-0.05 units/kg/hour and an of 5% dextrose is added to the infusion, to maintain 700 glucose concentrations at 140–200mg/dL (7.8–11.0mmol/l) until resolution of ketoacidosis<sup>8</sup>. 701

702

For treatment of DKA in children, the International Society for Pediatric and Adolescent Diabetes (ISPAD) guidelines recommend intravenous administration of regular insulin as a continuous infusion at 0.1units/kg/hour<sup>22</sup>, which should be started immediately after the initial intravenous fluid bolus(es). Intravascular volume expansion before insulin administration is particularly important in children who present with very high glucose levels and hyperosmolality

because intravascular volume will decline substantially as the hyperosmolar state resolves. An 708 initial bolus of insulin is not necessary as continuous intravenous insulin infusion rapidly 709 achieves steady state serum insulin levels<sup>166,167</sup>. A few small studies reported that insulin 710 infused at 0.05unit/kg/hour can resolve hyperglycaemia over a similar time frame compared with 711 the standard dosage of 0.1 units/kg/hour<sup>168-170</sup>. This lower dosage might be considered for very 712 young children (< 6 years old) or others with greater insulin sensitivity for whom the standard 713 dosage might not be necessary<sup>168</sup>. In general, intravenous insulin is recommended for treating 714 children with DKA due to unreliable subcutaneous insulin absorption in the volume-depleted 715 state. However, subcutaneous administration can be used in children with mild DKA (Table 1)or 716 in situations when intravenous administration is not possible. When the serum glucose 717 concentration decreases to ~250mg/dL (13.9mmol/L), intravenous fluids containing dextrose 718 should be used to maintain the serum glucose concentration at ~100-150mg/dl (5.5 to 719 8.3mmol/l) while maintaining the total fluid infusion rate<sup>22</sup>. 720

721

# 722 [H3] Maintenance insulin therapy.

Once biochemical resolution of DKA is achieved and the patient is eating and drinking normally, subcutaneous insulin therapy can be started in adults as well as children. Adults with newly diagnosed diabetes mellitus or those who have not previously received insulin should be started on total insulin dosage of 0.5–0.6 units/kg/day. Patients who were already on subcutaneous insulin prior to DKA admission should resume their previous insulin regimens.

728

For most adults, a basal bolus regimen (that is, rapid-acting insulin given with each meal as well 729 as a once or twice daily administered long-acting basal insulin) is preferred over the use of 730 regular insulin because of the lower rate of in-hospital hypoglycaemia despite similar glucose 731 control<sup>171</sup>. In children, insulin regimens differ depending on the centre; however, basal-bolus 732 regimens are generally preferred. Previous work has shown that the administration of frequent 733 doses of subcutaneous rapid-acting insulin analogues (given every 1-2 hours), can be an 734 acceptable alternative to an intravenous insulin infusion as both treatments resolve DKA in 735 similar time<sup>172-174</sup>. In adults and children, subcutaneous rapid-acting insulin is given as a bolus of 736 0.2unit/kg at the start of treatment, followed by 0.1-0.2unit/kg every 1-3 hours until the blood 737 glucose concentration is <250mg/dl (13.9mmol/l), then the dose is reduced by half and 738 continued every 1–2 hours until resolution of DKA<sup>172,175</sup>. The total insulin daily dose is generally 739 0.7–0.8unit/Kg/day in the prepubertal child and 1.0–1.2unit/Kg/day in the pubertal adolescent<sup>176</sup>. 740

Clinical trials and meta-analyses that compared continuous subcutaneous insulin infusion (CSII) 742 with discrete subcutaneous insulin doses (for example, basal bolus regimens) have shown 743 small but significant reductions in HbA1c and risk of severe hypoglycaemia in those receiving 744 CSII. In addition, these studies have found an increased risk of developing ketoacidosis with 745 CSII primarily due to device malfunction and/or catheter occlusion<sup>177-179</sup>, a finding confirmed 746 by the UK National Diabetes Pump Audit<sup>60</sup>. However, the use of frequent home glucose 747 monitoring has reduced this complication considerably<sup>178</sup>. In adults and children, intramuscular 748 administration of rapid-acting insulin is also effective. However, this route is more painful than 749 subcutaneous injections and potentially would be contraindicated in those taking 750 anticoagulants<sup>1,180,181</sup>. 751

752

# 753 [H2] Potassium replacement

Nearly all patients with DKA have substantial potassium deficits at the time of presentation and 754 potassium replacement is almost always required (Box 2). At presentation, serum potassium 755 concentrations are frequently normal or slightly elevated in spite of total body deficits. As insulin 756 treatment starts, ketone production is suppressed, and the acidosis begins to resolve. In 757 addition, insulin drives potassium back into the cell, and the individual can become profoundly 758 hypokalaemic. Hypokalaemia occurs frequently despite aggressive potassium replacement<sup>35,141</sup> 759 and frequent monitoring of potassium during the first few hours of treatment is an essential part 760 of managing DKA<sup>8,130</sup>. Because of potentially rapid shifts in potassium and the possible risk of 761 developing cardiac arrhythmias, continuous cardiac monitoring is recommended in all cases 762 where potassium is being administered at >10mmol/hr. 763

Two studies showed that within 24–48 hours of admission, potassium levels declined on average from  $4.8 \pm 1.0$  and  $4.9 \pm 1.1$  to  $3.65 (\pm 0.66)$  and  $3.66 (\pm 0.6)$  mmol/l, respectively, among adults with DKA<sup>35,141</sup>. The development of severe hypokalaemia (<2.5 mmol/l) was associated with increased mortality (OR 3.17; 95% CI 1.49-6.76)<sup>141</sup>. The association between hypokalaemia within 48 hours and mortality remained significant after adjusting for demographic variables and metabolic parameters on admission suggesting that hypokalaemia is most likely the cause of increased mortality and not any other confounding factors.

In patients who develop symptomatic hypokalaemia (muscle weakness and cardiac arrhythmia), potassium replacement should be started and insulin administration should be delayed until the potassium concentration has risen to >3.3mmol/l. A survey of the management of DKA in the UK showed that an intravenous insulin infusion rate of 0.1unit/Kg/hour was associated with 55%
of adults developing hypokalaemia<sup>35</sup>. Although no harm was associated with this hypokalaemia,
it provides support for the practice of reducing the insulin infusion rate to 0.05unit/Kg/hr after
glucose levels decline.

778

Similar to adults, hypokalaemia is rarely present in children before DKA treatment. In these rare cases, earlier and more aggressive potassium replacement is necessary and the insulin infusion should be delayed until urine output is documented and serum potassium has been restored to a near normal concentration<sup>22</sup>. Serum potassium levels should be monitored every 2–4 hours and the potassium concentration in intravenous fluids adjusted to maintain normal potassium levels. A cardiac monitor or frequent ECGs should be considered during intravenous potassium replacement.

786

The choice of potassium salts to use for replacement has been a subject of debate. Adult protocols typically recommend potassium chloride alone, but paediatric protocols often recommend using a mixture of potassium chloride and potassium phosphate or potassium acetate<sup>22</sup> to reduce the chloride load thereby diminishing the risk of hyperchloraemic acidosis.

791

#### <sup>792</sup> [H2] Bicarbonate administration

Treatment with intravenous bicarbonate is not routinely recommended for adults or children with DKA. Time to biochemical resolution, length of hospitalisation or mortality have not been shown to improve with bicarbonate treatment<sup>182-185</sup>. Bicarbonate therapy might increase the risk of hypokalaemia, slow the resolution of ketosis, cause paradoxical increases in cerebral acidaemia due to an increase in tissue pCO<sub>2</sub> and increase the risk of cerebral injury<sup>186,187</sup>. Some commentaries have suggested that specific subsets of adults with DKA might benefit from bicarbonate administration, however, data from randomized trials are lacking <sup>93</sup>.

800

#### 801 [H2] Phosphate replacement

Similar to potassium, serum phosphate concentrations are typically normal at presentation but intracellular depletion is present and serum concentrations decline during DKA treatment. Phosphate replacement is necessary in those with serum phosphate concentration <1.0– 1.5mg/dl (0.3–0.5mmol/l)<sup>8</sup>. Inclusion of phosphate in the infusion has been proposed to diminish the risk of hypophosphataemia, which has been associated with severe complications in some patients including rhabdomyolysis (breakdown of skeletal muscles), renal failure, respiratory

failure, arrhythmias and haemolytic anaemia<sup>98,188-191</sup>. Thus, for individuals with cardiac 808 dysfunction, anaemia or respiratory depression, phosphate replacement should be strongly 809 considered. Concern over phosphate replacement mainly centres on an increased risk of 810 hypocalcaemia; however, studies documenting hypocalcaemia with phosphate replacement 811 used more aggressive phosphate replacement than recommended in current protocols<sup>192</sup>. 812 Studies in the 1980s found increases in red blood cell 2,3-disphosphoglycerate (DPG, which 813 liberates oxygen from haemoglobin in peripheral tissues) levels with phosphate replacement but 814 did not detect any beneficial effect of phosphate replacement on clinical outcomes<sup>193,194</sup>. The 815 sample size for these studies, however, was very small and statistical power to detect 816 differences in outcomes was very limited. Phosphate levels should be monitored during 817 treatment at least every 4-6 hours, although more frequent monitoring (every 2-3 hours) is 818 recommended for those not receiving phosphate replacement. 819

820

#### 821 [H2] Cerebral injury

Among the severe complications of DKA, cerebral injury is the most well recognized (Table 3). 822 Although rare in adults, severe cerebral injury occurs in 0.3-0.9% of DKA episodes in 823 children<sup>186,195,196</sup> and is associated with high rates of mortality (21-24%) and permanent 824 neurological morbidity (20-26%)<sup>186,195,196</sup>. Risk factors for cerebral injury include severe 825 acidaemia and severe deficits in circulatory volume<sup>186,195,196</sup>. Younger children (<5 years) are at 826 greater risk for DKA-related cerebral injury, reflecting the greater severity of DKA at presentation 827 in this age group in whom symptoms of diabetes can be less apparent and  $\beta$ -cell destruction is 828 often aggressive. Although severe cerebral injury occurs in <1% of children with DKA, mild 829 cerebral injury occurs much more commonly – possibly in the majority of children<sup>197,198</sup>. Subtle 830 deficits in memory, attention and intelligence quotient have been reported in children with T1DM 831 with a history of DKA compared with children with T1DM without DKA history<sup>199-201</sup>. These 832 differences persist after adjusting for HbA1cand demographic factors. Microstructural and 833 macrostructural alterations, such as increased total white matter volume and other changes in 834 the in the frontal, temporal, and parietal white matter in the brain have also been associated with 835 DKA in children<sup>199</sup>. 836

837

Cerebral injury can exist at the time of presentation, before starting treatment, but is more common during the first 12 hours of treatment<sup>186,196,202</sup>. Changes in mental status, onset of headache during DKA treatment and recurrence of vomiting are indicative of cerebral injury<sup>203</sup>. Cerebral oedema may be found on imaging studies, but many individuals have no detectable imaging abnormalities at the time of neurological deterioration, suggesting that cerebral oedema
and/or infarction can develop hours or days after treatment has started<sup>203</sup>. For this reason,
treatment for DKA-related cerebral injury should not be delayed while awaiting imaging studies.
Treatment involves administration of mannitol or hypertonic saline, both of which induce osmotic
shifts of fluid from within the intracellular space into the vascular compartment.

847

## 848 [H2] The precipitating illness

The most common precipitant of DKA in adults is infection, which vary from gastrointestinal upset, with diarrhoea and vomiting, to chest or urinary tract infections. These precipitating illnesses need to be treated at the same time as the DKA. In addition, non-infectious illnesses, such as acute coronary syndrome that precipitate DKA need to be evaluated and addressed at the time of presentation. In children, episodes of DKA generally occur at onset or time of diagnosis of diabetes or because of insulin omission. Serious intercurrent illnesses are rarely present and routine investigation for precipitating causes of DKA is unnecessary.

856

#### 857 [H1] Quality of Life

The UK National Institute for Health and Care Excellence (NICE) systematically reviewed the 858 evidence for the management of DKA and found no studies in adults that evaluated guality of 859 life<sup>204</sup>. However, fear of DKA is one of the factors affecting the quality of life in those with 860 T1DM<sup>205</sup>. Of note, despite the lower quality of life experienced by those with T1DM, recurrent 861 DKA does not contribute to further reductions<sup>42</sup>. The development of any systemic or 862 neurological injury can also lead to a reduction in quality of life and prevention of these 863 complications remains a priority<sup>206</sup>. As mentioned previously, DKA remains an expensive 864 condition to treat<sup>5-7</sup>. These costs place huge burdens on those who have to pay themselves and 865 on society in general. 866

867

## 868 [H2] Other complications

<sup>869</sup> DKA is associated with a wide range of complications. For example, hypokalaemia and <sup>870</sup> hypoglycaemia are the most frequent complications of DKA treatment, but are generally mild <sup>871</sup> and easily treated with ongoing careful biochemical monitoring<sup>22,35</sup>. Other important <sup>872</sup> complications of DKA include the development of a hypercoagulable state with increased risk of <sup>873</sup> deep venous thromboses, particularly when central venous catheters are used to gain <sup>874</sup> intravenous access if peripheral access was not possible due to severe dehyration<sup>207</sup>. DKA also <sup>875</sup> frequently causes acute kidney injury (AKI) in children. In one study, 64% of children with DKA were found to have AKI; >50% had stage 2 or stage 3 AKI, suggesting renal tubular injury, rather than simply pre-renal uraemia due to circulatory volume depletion with renal hypoperfusion<sup>208</sup>. Other complications of DKA are rare (Table 3).

Patients with DKA with chronic poor glycaemic control are uniquely susceptible to rhinocerebral 879 or pulmonary mucormycosis<sup>209</sup>, which is frequently fatal. Acidotic conditions decrease iron 880 binding to transferrin, creating conditions that support fungal growth. Some rare complications of 881 DKA include cardiac arrhythmias due to electrolyte derangements, intestinal necrosis, 882 pulmonary oedema and pneumomediastinum (abnormal presence of air in the mediastinum), 883 which might be associated with pneumothorax and is thought to be caused by protracted 884 vomiting and hyperventilation<sup>210,211</sup>. Multiple organ dysfunction syndrome is another rare 885 complication of DKA causing multiple organ failure, which may be associated with 886 thrombocytopenia in children; reported cases in adults often involve elevated liver enzymes, 887 elevated pancreatic enzymes and renal dysfunction<sup>212-214</sup>. Peripheral neuropathy has been 888 reported in children, and might occur in association with other DKA complications including 889 cerebral injury or disseminated intravascular coagulation<sup>215-219</sup>. Other isolated case reports have 890 described rare neurological complications including cerebellar ataxia, movement disorder 891 (choreiform movements and pill rolling tremor) and hemiparesis in children<sup>219</sup>. 892

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#### 894 [H1] Outlook

Increasing numbers of DKA hospitalizations highlight the need for targeted programmes to 895 prevent DKA at new-onset of diabetes and recurrent episodes of DKA in children and adults 896 with previously diagnosed diabetes. Education and the implementation of protocols aimed at 897 maintenance insulin administration after discharge might reduce lapses in treatment and are a 898 cost-effective way to reduce future risk of hospitalization for hyperglycaemic emergencies<sup>220</sup>. 899 Several strategies including early screening, close follow-up of high-risk individuals (for 900 example, those with multiple admissions), availability of telephone support from diabetes 901 specialist nurses, and education of parents and communities have been proposed<sup>13,144</sup>. Studies 902 have reported a lower incidence of DKA when parents were made aware of the higher risk of 903 diabetes in their children (due to the presence of autoantibodies) <sup>221</sup>. Similarly, another study 904 showed close follow-up of high-risk children in the prediabetes stage reduced hospitalizations 905 for DKA <sup>222</sup>. In Italy, a prevention programme educating parents, paediatricians and school staff 906 reduced the number of children presenting with DKA at initial diagnosis of diabetes<sup>223</sup>. In 1991, 907 when the study started, this programme cost \$23,470 to deliver, and led to a reduction of DKA 908

as the presenting feature of diabetes from 78% to 12.5% over the 8 years of follow up. Thus,
 delivering targeted education to those who have most contact with children might be beneficial.

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- 912

## 913 [H2] Clinical priorities

More intensive coordination of care with patients and greater family engagement are some of 914 the additional strategies for prevention of recurrent episodes of DKA. The Novel Interventions in 915 Children's Healthcare programme uses care coordination with family and telemedicine in an 916 attempt as a part of the preventive strategy to engage young people with multiple 917 hospitalizations for DKA <sup>224</sup>. This work used text messages and other forms of communication 918 with the adolescents and showed that daily communication decreased DKA readmissions. 919 Furthermore, the Type 1 Diabetes Exchange programme showed that the use of new 920 technology such as insulin pumps and real-time continuous glucose monitoring could be useful 921 in preventing recurrent DKA<sup>225-227</sup>. 922

923

In the 1990s, the use of CSII or insulin pumps was associated with increased risk of DKA in 924 children and adults with T1DM<sup>228</sup>. A series from 2017, however, reported a low incidence of 1.0 925 case/100 patient years<sup>229</sup>. An analysis of 13,487 participants (aged 2-26 years) in the T1DM 926 Exchange clinic registry found that a lower incidence of DKA in those treated with CSII than in 927 patients treated with multi-dose subcutaneous insulin injections<sup>230</sup>. However, as these 928 individuals were looked after in specialist diabetes centres in the USA, rates of DKA amongst 929 those cared for in other centres may be higher. Similarly, in a German study in children with 930 T1DM, those who used CSII had lower rates of DKA than those receiving insulin by injection 931 (2.29 versus 2.80 per 100 patient-years)<sup>231</sup>, suggesting that increasing CSII use might be an 932 alternative method for reducing DKA incidence. However, pump use is expensive and requires 933 access to specialist centres with appropriate expertise. 934

935

Patients with treatment adherence problems account for a disproportionate number of recurrent DKA episodes. In the USA, 50% of first episodes of DKA in adults with T2DM and ~80% of recurrent DKA episodes are caused by poor compliance with therapy<sup>42</sup>. In the UK, adults who had attended a structured diabetes education programme and were on a flexible basal-bolus insulin dosing regimen based on individualizing carbohydrate ratios at each meal experienced a 61% reduction in risk for DKA<sup>232</sup>. Similarly, a multidisciplinary, multi-pronged approach incorporating more flexible intensive insulin regimens, standardizing diabetes education and

empowering community engagement, reported a 44% reduction in DKA admissions in those
with T1DM<sup>233</sup>. Future strategies to increase treatment adherence combining increased
education, motivational interviews, patient support technology (continuous glucose monitoring,
CSII, telephone support, text and e-mail messaging) are needed to improve adherence to
therapy and to reduce the risk of DKA.

948

In less developed parts of the world, efforts need to be made to ensure easy availability of insulin at an affordable price. Insulin and 0.9% saline solution are on the WHO list of essential medicines<sup>234</sup>. Education of local health care providers also remains key to the recognition of DKA as well as prompt access to health care facilities with the ability to administer appropriate care.

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956

## 955 [H2] Unmet needs and areas for future research

To date, many of the guidelines used to treat DKA have evolved over time, which are largely 957 based on consensus and opinion. Thus, large RCTs are needed to help determine the best 958 management options including optimizing electrolyte content of intravenous fluids (for example, 959 Ringer's lactate versus 0.9% saline)<sup>151,152,235</sup>. In addition, further investigations are necessary to 960 determine the optimal rates and optimal technique of insulin administration <sup>236</sup>. Additional 961 studies are also needed to determine the ideal combination of potassium salts for replacement. 962 In essence, most stages of the patient journey from the time of diagnosis and admission to the 963 time of discharge has areas of uncertainty that need good guality data to help improve overall 964 patient management. Furthermore, the advent of closed loop systems for those with T1DM 965 where the subcutaneously implanted interstitial glucose sensor is wirelessly linked to an insulin 966 pump and other 'artificial intelligence' systems may also improve outcomes. They have been 967 shown to improve time in glucose range, and thus, the likelihood of developing hyperglycaemia 968 and subsequent DKA may be reduced<sup>237,238</sup>. However, this has yet to be determined 969

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Severity	Glucose (mg/dl) (mmol/L)	Arterial or venous pH	Bicarbonate (mmol/L)	Urine or serum ketones (nitroprusside test)	β-hydroxy butyrate (mmol/L)	Anion gap (mmol/L)	Mental status	Refs
American Di	iabetes Asso	ciation criteria	for adults					
Mild	>250 (13.8)	7.25-7.30	15–18	Positive	>3.0	>10	Alert	
Moderate	>250 (13.8)	7.24-7.0	10–15	Positive	>3.0	>12	Alert/drowsy	8
Severe	>250 (13.8)	<7.0	<10	Positive	>3.0	>12	Stupor/coma	
Joint British	Diabetes Sc	ocieties						
NA	>200 (11.1)	<7.30 <sup>a</sup>	<15	Positive	>3.0	NA	NA	130
Internationa	International Society of Pediatric and Adolescent Diabetes							
Mild	>200 (11.1)	<7.30 <sup>a</sup>	<15	Positive	>3.0	NA	NA	
Moderate	>200 (11.1)	<7.2 <sup>a</sup>	<10	Positive	>3.0	NA	NA	22
Severe	>200 (11.1)	<7.1 <sup>a</sup>	<5	Positive	>3.0	NA	NA	

974

Adapted from Refs<sup>8,22,130</sup>. The ADA criteria recommends the use of arterial pH be for diagnosis and venous pH as a guide to evaluate the need for bicarbonate therapy and to measure resolution. Note that severity of DKA is defined by the degree of acidosis and level of consciousness, not by the degree of hyperglycaemia or ketonaemia. NA, not applicable. <sup>979</sup> <sup>a</sup>Venous pH can be used to diagnose DKA.

980

981

Table 2: Precipitating causes of diabetic ketoacidosis in adults by region.

## 

Region	New-onset diabetes mellitus (%)	Infection (%)	Poor treatment adherence (%)	Other (%)	Unknown (%)
Australia	5.7	28.6	40	25.7	NR
Brazil	12.2	25	39	15	8.8
China	NR	39.2	24	10.9	25.9
Indonesia	3.3	58.3	13.3	17.1	8
South Korea	NR	25.3	32.7	11.2	30.8
Nigeria	NR	32.5	27.5	4.8	34.6
Spain	12.8	33.2	30.7	23.3	NR
Syria	NR	47.8	23.5	7.8	20.9
Taiwan	18.2	31.7	27.7	6.2	16.2
UK	6.1	44.6	19.7	10.9	18.7
USA	17.2–23.8	14.0–16.0	41.0-59.6	9.7–18.0	3.0-4.2

Adapted from<sup>1,35</sup>. NR, not reported. Other causes include the use of medications that

affect carbohydrate metabolism, insulin pump failure, or alcohol or drug misuse.

# Table 3: Complications of DKA<sup>a</sup>

Complication	Frequency Description		Risk factors	Refs	
Cerebral injury	0-3–0.9% of children, rare in adults	Cerebral oedema; cerebral thromboses, haemorrhage and infarction; posterior reversible encephalopathy syndrome has also been described	Impaired renal function, low pH, low pCO <sub>2</sub> , lack of rise in measured serum Na <sup>+</sup> during DKA treatment, low Na <sup>+</sup> at presentation, high K <sup>+</sup> at presentation	186,195,203,239-241	
Acute kidney injury	30–64% of children, 50% of adults	Stage 1 (pre-renal) is most common but stage 2 and stage 3 occur in substantial numbers of patients (children); rare episodes of renal failure; some episodes of renal failure associated with rhabdomyolysis (adults and children)	High acidaemia (children), high heart rate (children), high corrected Na <sup>+</sup> concentration (children), older age, high glucose (adults), low serum protein (adults)	208,242,243	
Large vessel thromboses	50% of children <sup>b</sup>	Rare reports in children of stroke and other thromboses not associated with central venous catheter use. Thrombophilia in some cases in children; fatal pulmonary thromboembolism as well as thromboses in other regions in adults	Central venous catheter use, DKA causes a hypercoagulable state	244-247	
Subclinical interstitial pulmonary oedema	Common in children <sup>b</sup>	Generally subclinical but rare episodes of ARDS have been described; episodes of simultaneous pulmonary oedema and	Hypokalaemia or hypophosphataemia in some	248,249	
Symptomatic pulmonary oedema	Rare in adults and children	cerebral oedema are described in both adults and children	cases in adults and children		
Pancreatic enzyme elevation 20–30% of children, 16– 29% of adults		Acute pancreatitis, sometimes associated with hypertriglyceridaemia or alcohol; asymptomatic pancreatic enzyme	High acidaemia, impaired renal		
Pancreatitis	2% of children, 10– 11% of adults	elevation without acute pancreatitis is common in both children and adults; pancreatitis is rare in children	function, hypophosphataemia in adults and children	250-252	
Cardiac arrhythmias	47% of children <sup>b</sup>	Prolonged QTc occurs commonly but is asymptomatic; Brugada pattern of arrhythmia has been described in multiple adult and paediatric case reports; Electrolyte abnormalities including hypophosphataemia has been shown to cause rare episodes of arrhythmia	In adults and children high anion gap (QTc), hypokalaemia, hypophosphataemia and hyperkalaemia	253-258	

Subtle or asymptomatic diastolic dysfunction	47% of children <sup>b</sup> Rare in	Asymptomatic elevations of cardiac troponin I and CK- MB detected in children; might be associated with systemic inflammatory response; possibly	High acidaemia; presence of the systemic inflammatory response	259-262	
Symptomatic cardiomyopathy	adults and children	associated with thiamine deficiency			
Rhabdomyolysis	16% of adults,10% of children	Often subclinical; occurs more frequently in HHS but also described in DKA; some cases are associated with hypophosphataemia. Severe rhabdomyolysis are mainly described in mixed DKA and HHS and in severe hypophosphataemia	Low pH, impaired renal function, High glucose and Na⁺, hypophosphataemia, increased osmolality	191,263-266	
Asymptomatic hypophosphataemia	Up to 90% of adults <sup>c</sup>	Asymptomatic hypophosphataemia is common; case reports of severe hypophosphataemia causing rhabdomyolysis,	High acidaemia	98,188-191	
Severe or symptomatic hypophosphataemia	Rare in adults and children	renal failure, haemolytic anaemia, arrhythmia, respiratory failure			
Intestinal necrosis or GI bleeding	Rare in children, upper GI bleeding in 9% of adults	Intestinal necrosis thought to be related to hypoperfusion and microangiopathy; intestinal necrosis is described in children and adolescents but not adults, upper GI bleeding is frequent in adults, which might be related to acid reflux during DKA	Impaired renal function, high glucose	267,268	

 <sup>a</sup>Hypoglycaemia and hypokalaemia are well known complications of DKA treatment that occur commonly and are not

included here as they are discussed extensively in the text. <sup>b</sup>Rates in adults are unknown; <sup>c</sup>Rates in children unknown ARDS, acute respiratory distress syndrome; CK-MB, creatine kinase - myocardial band; DKA, diabetic ketoacidosis; GI, gastrointestinal; HHS, hyperglycaemic hyperosmolar state, pCO<sub>2</sub>, partial pressure of carbon dioxide; QTc, corrected QT

interval.

# 12 Figure legends

13

# Figure 1. The history of DKA.

The first reports of diabetic coma date back to the early 1800s and included isolated cases of 15 children and adults with previously undiagnosed or established diabetes who presented with rapid 16 onset symptoms of hyperglycaemia that led to coma and death<sup>269</sup>. In 1857, the presence of acetone 17 was identified in the urine of an individual presenting in a diabetic coma<sup>270</sup>. Two decades later, the 18 German physician Adolf Kussmaul reported severe dyspnoea (hyperventilation) in patients<sup>271</sup>. A 19 decade later, Stadelmann reported that the urine of most patients with diabetic coma contained 20 large quantities of  $\beta$ -hydroxybutyric acid, in addition to acetoacetate<sup>272</sup>. The mortality rate was >90% 21 in the pre-insulin era<sup>273</sup> with only a few patients living longer than a few months. In subsequent 22 decades, the mortality associated with DKA decreased to <1-2% since the 2010s in developed 23 countries<sup>1,8</sup>. It was not until in the 1970s that it was established that low-dose intravenous insulin 24 infusions were introduced following data to show that they lowered glucose and ketone 25 concentrations just as well as higher doses<sup>274</sup>. The first American Diabetes Association (ADA) 26 guideline was published in 2001 and the first edition of the UK guideline was published in 2011. In 27 2018, the first randomized controlled trial of fluid replacement in children showed no differences in 28 acute or post-recovery neurological outcomes in children with DKA treated with rapid versus slower 29 volume correction using either 0.9% or 0.45% saline<sup>159</sup>. 30

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# Figure 2: Pathogenesis of diabetic ketoacidosis.

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Hyperglycaemia develops in insulin deficiency because of three processes: increased 34 gluconeogenesis, accelerated glycogenolysis, and impaired glucose utilization by peripheral 35 tissues. The reduction in insulin concentration together with the increase in counter-regulatory 36 hormones, leads to the activation of hormone sensitive lipase in adipose tissue with the 37 subsequent breakdown of triglyceride into glycerol and free fatty acids (FFAs). In the liver, FFAs 38 are oxidized to ketoacids, mainly under the influence of glucagon. FFAs undergo β-oxidation to 39 form acetyl CoA. Excess acetyl CoA that does not enter the Krebs cycle generates acetoacetyl 40 CoA, three molecules of which condense to form hydroxyl-3-methylgluterate-CoA (HMG-CoA). 41 42 This is turn is cleaved to form acetoacetate and acetyl CoA. The acetoacetate is further reduced by NADH to form  $\beta$ -hydroxybutyrate. The two major ketoacids are  $\beta$ -hydroxybutyrate and 43 acetoacetate. Accumulation of ketoacids leads to a high anion gap metabolic acidosis due to the 44

reduction in serum bicarbonate concentration and 'fixed acid' retention. Hyperglycaemia also 45 activates macrophages to produce pro-inflammatory cytokines, and the liver to produce CRP, 46 which in turn impair pancreatic  $\beta$ -cell function, as well as reducing endothelial nitric oxide, leading 47 to endothelial dysfunction. Hyperglycaemia and high ketone levels cause an osmotic diuresis that 48 leads to hypovolaemia, decreased glomerular filtration rate worsening hyperglycaemia. As a result 49 of respiratory compensation for the metabolic acidosis, Kussmaul breathing characterized by deep, 50 regular breaths (often with a 'fruity' odour) are taken by those in DKA as a way of excreting acidic 51 carbon dioxide. Cerebral oedema is increased fluid content of the brain tissue that may lead to 52 neurological signs and symptoms. 53

54

## 55 Figure 3: Symptoms and signs of DKA.

The osmotic diuresis of hyperglycaemia and ketonuria causes circulatory volume depletion. This in turn can cause the lethargy, stupor and coma. The metabolic acidosis stimulates respiratory compensation, with the classic hyperventilation ('air hunger') that is Kussmaul breathing — the volatile ketones can be smelt on the breath. Changes in visual acuity, which is thought to be due to changes in water content in the eye ball or the lens are also observed. Patients with diabetic ketoacidosis also experience abdominal pain, nausea and vomiting that resolve with treatment.

62

#### 64 Box 1. Hyperglycaemic hyperosmolar state

Hyperglycaemic hyperosmolar state (HHS) is another commonly encountered hyperglycaemic 66 emergency. HHS occurs less frequently than DKA (<1% of diabetes-related emergencies<sup>269</sup>), but 67 has a substantial mortality of up to 20%<sup>149,269</sup>. HHS is characterized by severe hyperglycaemia and 68 high serum osmolality (concentration of electrolytes and glucose in the serum) accompanied by 69 circulatory volume depletion<sup>275</sup>. In HHS, insulin concentrations are adequate to inhibit ketogenesis, 70 but not high enough to ensure adequate cellular glucose uptake. So, HHS is characterized by 71 hyperglycaemia and an osmotic diuresis that perpetuates dehydration without ketosis. As with 72 DKA, concurrent illness, such as infection or acute coronary syndrome can lead to an increase in 73 counter-regulatory hormones, which exacerbates hyperglycaemia. Medications such as 74 corticosteroids and atypical antipsychotics can also precipitate HHS<sup>276,277</sup>. 75

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The UK and US guidelines for diagnosing HHS slightly differ from eachother<sup>8,275</sup>. The UK 78 guidelines define HHS as a glucose concentration >30mmol/l, pH>7.3, bicarbonate >15mmol/l, and 79 blood  $\beta$ -hydroxybutyrate <3.0mmol/l, and osmolality of >320mosmol/l<sup>275</sup>; US guidelines define HHS 80 as glucose levels >33.3mmol/l, pH>7.3, bicarbonate >18mmol/l, with 'small' concentrations or 81 urinary or serum ketones and osmolality of >320mosmol/l<sup>8</sup>. In addition to detecting and treating any 82 precipitating cause, the management of HHS involves correction of fluid deficits including 83 potassium replacement and reducing hyperosmolality. The administration of intravenous fluids, 84 such as 0.9% saline will also lower glucose concentrations by addressing the haemoconcentration 85 (an increase in the proportion of the blood that is cells, due to the loss of water) and restoring renal 86 perfusion. Circulatory volume depletion is more severe in HHS than in DKA and higher rates of 87 fluid administration are typically necessary. Consensus recommendations from various groups are 88 slightly different owing to lack of trials<sup>8,275</sup>. Intravenous insulin is started immediately after the initial 89 fluid bolus if there is evidence of a metabolic acidosis (DKA and HHS can frequently co-exist<sup>278</sup>). 90 However, in the absence of acidosis, a weight-based fixed rate intravenous insulin infusion is 91 started only after the glucose concentration ceases to decline with fluid replacement alone<sup>275</sup>, or 92 after potassium levels have been corrected<sup>8</sup>. 93

# 95 Box 2. Current potassium replacement guidelines

#### 96 97 [H1] Adults

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- K<sup>+</sup> ≥5.5mmol/I: no supplementation is required due to the risk of precipitating cardiac
   arrhythmias with additional potassium
- $K^+ = 4.0-5.0$  mmol/l: 20 mmol/l of replacement fluid
- $K^+ = 3.0-4.0$ mmol/l: 40mmol/l of replacement fluid
- $K^+ = \langle 3.0 \text{mmol/l}: 10-20 \text{mmol}$  per hour until serum  $K^+ \rangle 3.0 \text{mmol/l}$ , then add 40 mmol/l to replacement fluid.

#### 106 107 [H1] Children

- K<sup>+</sup> >5.0mmol/I: delay potassium administration until K<sup>+</sup> <5.0mmol/I.
- K<sup>+</sup> 3.5 5.0mmol/I: add potassium 40 mmol/I to the infusion after administering the initial fluid replacement bolus.
- K<sup>+</sup><3.5mmol/I: begin potassium replacement 40mmol/I as soon as possible and delay insulin administration until potassium level is normal.</li>

#### 116 Glossary terms

### 118 BMI z-score

Also known as the BMI standard deviation scores, the z-score is a measure of a child's relative weight adjusted for age and gender

#### 122 Buffering

123 The ability of molecules in the circulation to stabilise the acid base balance in an attempt to 124 maintain the pH

## **pKa**

<sup>127</sup> This is the negative base-10 logarithm of the acid dissociation constant (Ka) of a solution. The <sup>128</sup> lower the pKa, the stronger the acid.

## 130 Circulatory volume depletion

A reduction in intravascular and / or extracellular fluid volume, such that there may be an inability to adequately perfuse tissue.

## 134 Glomerular filtration rate

135 This is an estimate of how much blood passes through the renal glomeruli every minute. Is it often 136 a calculation from the serum creatinine, age, gender and body weight

Hypertonicity – A state where the circulating extracellular fluid has a higher osmotic pressure, than
 would be observed in a healthy individual.

## 141 Pre-renal renal failure

The loss of kidney function as a result of poor renal or glomerular perfusion, e.g. haemorrhage, cardiac failure or hypovolaemia.

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