

# Journal Pre-proof

Interrogating cadmium and lead biosorption mechanisms by *Simplicillium chinense* via infrared spectroscopy

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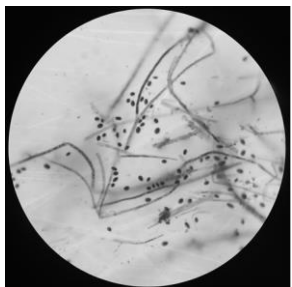
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### **CRedit author statement**

Zhongmin Jin: Investigation, Supervision, Writing- Original draft preparation. Lin Xie: Data curation, Visualization. Tuo Zhang: Investigation, Data curation. Lijie Liu: Data curation. Tom Black: Investigation, Data curation. Kevin C Jones: Resources, Data curation. Hao Zhang: Resources, Data curation. Xinzi Wang: Data curation, Visualization. Naifu Jin: Investigation, Data curation, Visualization, Writing- Original draft preparation. Dayi Zhang: Conceptualization, Methodology, Resources, Writing- Original draft preparation, Writing- Reviewing and Editing.

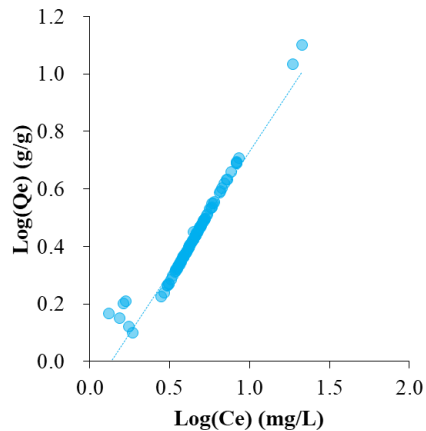


*Simplicillium chinense*



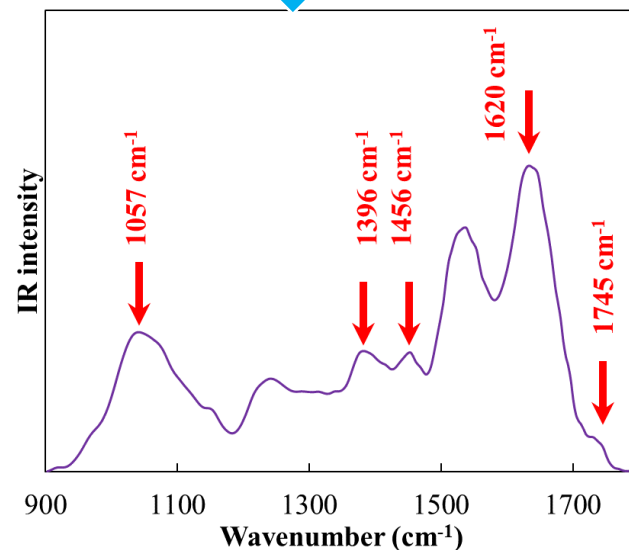
Cultivation with 48 carbon sources on PM4 plate

Pb biosorption



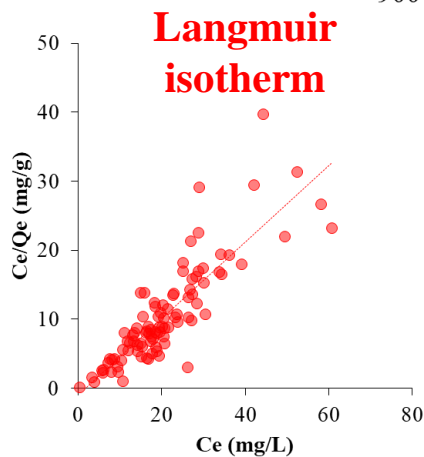
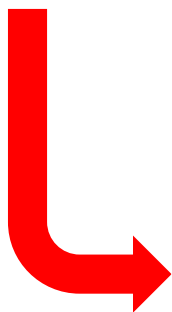
Freundlich isotherm

No biomarker for Pb biosorption



Five biomarkers for Pb biosorption

Cd biosorption



Langmuir isotherm

1 **Interrogating cadmium and lead biosorption mechanisms by**

2 ***Simplicillium chinense* via infrared spectroscopy**

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17

**18 Abstract**

19 Fungi-associated phytoremediation is an environmentally friendly and cost-efficient  
20 approach to removal potential toxic elements (PTEs) from contaminated soils. Many  
21 fungal strains have been reported to possess PTE-biosorption behaviour which  
22 benefits phytoremediation performance. Nevertheless, most studies are limited in rich  
23 or defined medium, far away from the real-world scenarios where nutrients are  
24 deficient. Understanding fungal PTE-biosorption performance and influential factors  
25 in soil environment can expand their application potential and is urgently needed. This  
26 study applied attenuated total reflection Fourier-transform infrared (ATR-FTIR)  
27 coupled with phenotypic microarrays to study the biospectral alterations of a fungal  
28 strain *Simplicillium chinense* QD10 and explore the mechanisms of Cd and Pb  
29 biosorption. Both Cd and Pb were efficiently adsorbed by *S. chinense* QD10  
30 cultivated with 48 different carbon sources and the biosorption efficiency  
31 achieved >90%. As the first study using spectroscopic tools to analyse  
32 PTE-biosorption by fungal cells in a high-throughput manner, our results indicated  
33 that spectral biomarkers associated with phosphor-lipids and proteins (1745 cm<sup>-1</sup>,  
34 1456 cm<sup>-1</sup> and 1396 cm<sup>-1</sup>) were significantly correlated with Cd biosorption,  
35 suggesting the cell wall components of *S. chinense* QD10 as the primary interactive  
36 targets. In contrast, there was no any spectral biomarker associated with Pb  
37 biosorption. Additionally, adsorption isotherms evidenced a Langmuir model for Cd  
38 biosorption but a Freundlich model for Pb biosorption. Accordingly, Pb and Cd  
39 biosorption by *S. chinense* QD10 followed discriminating mechanisms, specific  
40 adsorption on cell membrane for Cd and unspecific extracellular precipitation for Pb.  
41 This work lends new insights into the mechanisms of PTE-biosorption *via* IR  
42 spectrochemical tools, which provide more comprehensive clues for biosorption

43 behaviour with a nondestructive and high-throughput manner solving the traditional  
44 technical barrier regarding the real-world scenarios.

45 **Keywords:** cadmium, lead, biosorption, phytoremediation, carbon sources,  
46 ATR-FTIR spectroscopy

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## 48 1. Introduction

49 With the increasing development of many metal-related industries, *e.g.*, metal mining,  
50 metal surface treating, energy production and fertilizer manufacturing, some metals  
51 (mercury, chromium, etc.) or non-metals (arsenic, selenium, etc.) possessing potential  
52 toxicities to human health are named as potential toxic elements (PTEs) and have  
53 become one of the most critical sources of environmental contamination (Dong et al.,  
54 2010). Industrial residues containing PTEs are continuously discharged into the  
55 environment, posing vital threats to human life and ecosystems (Dong et al., 2010;  
56 Liu et al., 2013). PTE-induced toxicity has been recognized to last for an extended  
57 time in nature and accumulate in the food chain. The presence of PTEs even in traces  
58 is harmful to both flora and fauna, cadmium (Cd) exposure for instance, which may  
59 cause irreversible tubular damage in kidney (Järup, 2003; Leonard et al., 2004).  
60 Numerous PTE-contaminated sites have been identified and require remediation  
61 (Huang et al., 2019; Jiang et al., 2019).

62 To remediate PTE-contaminated soils and reduce the exposure possibility, several  
63 approaches are developed and applied, including solidification (Tantawy et al., 2012),  
64 elution (Rui et al., 2019), phytoremediation (Jiang et al., 2018; Jin et al., 2019).  
65 Stabilization aims to adsorb or reduce PTEs, transferring unstable PTEs into stable  
66 phases with less availability, *e.g.*, hydroxides and minerals (Wang and Vipulanandan,  
67 2001; Yuan et al., 2018). Stabilizers include natural minerals (Gheju et al., 2016),  
68 modified minerals (Ou et al., 2018; Sha et al., 2018; Singh et al., 2017), synthetic  
69 materials (Liu et al., 2014; Sarkar et al., 2010), and reductive reagents (Geelhoed et al.,  
70 2003; Patterson et al., 1997). However, the long-term stability of stabilization strategy  
71 remains doubtful. Elution uses solvents to form PTE-chelates and enhance PTE  
72 mobility (Khan et al., 2010), but suffers from the poor efficiency in clay-rich soils

73 owing to the relatively smaller osmotic coefficient which significantly abates PTE  
74 mobility (Bolan et al., 2014; Rui et al., 2019). Biosorption which uses biomaterials  
75 (bacteria, fungi, yeasts and plants) is highlighted as an alternative remediation  
76 approach for PTEs (Wang and Chen, 2006). Comparing to other approaches,  
77 biosorption is relatively cost-efficient, particularly for soils with low PTE levels (Yan  
78 and Viraraghavan, 2003) or co-contaminated with other organic compounds (Deng et  
79 al., 2018b). Phytoremediation is environmentally friendly to clean PTE-contaminated  
80 soils and remain soil functions (Wiszniewska et al., 2016). Plants generally handle the  
81 contaminants without damaging soil properties via an enormous ability to uptake and  
82 detoxify PTEs by various mechanisms, such as uptake by roots, translocation to aerial  
83 tissues and PTE- complexation with organic substances (Ali et al., 2013; Liu et al.,  
84 2019).

85 In the soil ecosystem, the major soil biomass and biodiversity are formed by  
86 microorganisms (Jin et al., 2019). Their presence in the rhizosphere plays important  
87 roles in PTE phytoremediation (Jin et al., 2019; Khan, 2005). Cr phytoremediation,  
88 for instance, is only effective for exchangeable or available Cr in soils (Shaheen et al.,  
89 2019). Most phytoremediation practices use soil microbes or leaching reagents to  
90 enhance PTE removal performance since their availability is strongly linked with soil  
91 microbial activities (Deng et al., 2018a; Yin et al., 2015). Fungi, as one critical group  
92 of microorganisms, have been applied as metal biosorbents in phytoremediation in  
93 prior studies (Say et al., 2001). PTE biosorption capability of *Saccharomyces*  
94 *Cerevisiae* ranges from 10 to 300 mg/g dry-cell-weight (DCW) for lead (Pb) and 10 to  
95 100 mg/g DCW for Cd (Wang and Chen, 2006). *Penicillium* sp. MRF-1 has a strong  
96 Cd biosorption capacity (0.13-9.39 mg/g DCW) (Velmurugan et al., 2010) and the  
97 maximum biosorption capacity of *Exiguobacterium* sp. is 15.6 mg/g DCW for Cd



98 (Park and Chon, 2016). The mechanisms of fungal PTE biosorption are complicated  
99 and mainly consist of two key stages: direct adsorption on fungal membrane and  
100 penetration through cell wall. The first stage is a passive biosorption process  
101 independent on fungal metabolism, and the key influential factor is the functional  
102 groups on cell membrane which affect the interactions between fungal cells and PTE  
103 ions (Leonard et al., 2004). In the second stage, PTE ions penetrate the cell membrane  
104 and enter cells *via* active biosorption, and it is dependent on fungal metabolism and  
105 related to the transportation and deposition of PTEs (Leonard et al., 2004).  
106 Accordingly, from the eventual allocation of PTEs within cells, biosorption can be  
107 classified as extracellular accumulation or precipitation, cell surface sorption or  
108 precipitation, and intracellular accumulation (Veglio and Beolchini, 1997). However,  
109 most previous studies address fungal PTE biosorption in rich or defined media with  
110 limited carbon sources, not able to represent their phenotypic features and biosorption  
111 performance in real-world scenarios, where the biosorption process is influenced by  
112 many environmental variables, such as PTE availability, carbon sources and growth  
113 conditions (Hamdy, 2000; He and Chen, 2014; Wang and Chen, 2014). It is of great  
114 importance to inspect microbial phenotypic features and PTE biosorption capabilities  
115 across a wide range of environmental conditions representing real-world scenarios,  
116 and a reliable and high-throughput analytical method is urgently required.

117 Biospectroscopy as a group of interdisciplinary tools has many advantages in  
118 microbiological study owing to their measurement attributes with a high-throughput,  
119 nonintrusive and nondestructive manner (Heys et al., 2014; Jin et al., 2020; Jin et al.,  
120 2017a; Li et al., 2017; Martin et al., 2010). Infrared (IR) spectroscopy, for instance,  
121 relies on the principle that the energy from the infrared radiation is absorbed by the  
122 bending, stretching and twisting of bonds (C-H, O-H, N-H, C=O, C-C, etc.) within the

123 sample, resulting in characteristic transmittance and reflectance patterns (Martin et al.,  
124 2010; Naumann et al., 2005). Previous spectroscopic studies have successfully  
125 detected the presence of fungal cells, characterized fungal species, and diagnosed  
126 fungi-induced diseases (Gordon et al., 1999; Kos et al., 2002; Naumann et al., 2005).  
127 Recently, biospectroscopic approaches are expanded to determine microbial  
128 interactions with environmental stimuli, *e.g.*, antibiotic resistance (Jin et al., 2017a;  
129 Jin et al., 2017b), showing great potentials in studying PTE-biosorption processes and  
130 bringing new insights into the relevant mechanisms. Yet, no such attempt is reported.

131 The present study applied attenuated total reflection Fourier-transform infrared  
132 (ATR-FTIR) spectroscopy coupled with phenotype microarrays to characterize the  
133 biosorption of Cd and Pb by a fungal strain *Simplicillium chinense* QD10 cultivated  
134 with 48 different carbon sources. This is the first study using spectrochemical tool to  
135 analyse fungal PTE-biosorption process and investigate the impacts of carbon sources  
136 in a high-throughput and nondestructive manner. Our results aimed to provide a  
137 valuable spectroscopic database to look deeper into the biosorption mechanism from a  
138 novel perspective and offer new clues to enhance fungi-associated phytoremediation  
139 by altering the metabolic activities and biosorption performance of fungal cells in  
140 real-world scenarios.

## 141 **2. Materials and Methods**

### 142 *2.1 Strains and cultivation condition*

143 The fungal strain *Simplicillium chinense* used in this study was isolated in soils from  
144 Zhalong Wetland (47°32'30"N, 124°37'50"E, Qiqihar City, China) in October 2015. It  
145 was named as *S. chinense* QD10 and had a satisfactory biosorption performance for  
146 Cd and Pb (Jin et al., 2019). This strain was cultivated in potato dextrose medium

147 (200 g of potato, 20 g of glucose and 20 g of agarose dissolved in 1,000 mL of  
148 deionized water and autoclaved) at 28°C for 5 days. Subsequently, the cells were  
149 washed and resuspended in deionized water as stock solution for further treatment. Cd  
150 and Pb stock solutions were prepared by dissolving  $\text{Pb}(\text{NO}_3)_2$  and  $\text{CdSO}_4$  in deionized  
151 water, respectively. The final concentration of Cd and Pb in stock solution was 1.0  
152 g/L.

153 PM1 plate (BIOLOG, Hayward, CA, USA) was used to examine the carbon metabolic  
154 features of *S. chinense* QD10. Fifteen microliters of the cell stock solution were  
155 resuspended in 135  $\mu\text{L}$  of minimal medium (Zhang et al., 2011) and then added into  
156 each well of a PM1 plate. Each well was then supplemented with 1.5  $\mu\text{L}$  of Redox  
157 Dye Mix A (100 $\times$ , BIOLOG, Hayward, CA, USA) to monitor fungal growth. The  
158 plate was incubated at 30°C for 5 days, and the colour development was measured  
159 every 4 hours for the absorbance at 590 nm wavelength (respiratory unit, RU) by a  
160 multimode microplate reader (FLUOstar Omega, BMG Labtech, UK). To avoid the  
161 influence of Redox Dye on fungal biospectra, another treatment was prepared  
162 following the same protocol except for the addition of Redox Dye Mix A, and used  
163 for biospectral analysis. All the treatments were carried out in triplicates.

## 164 2.2 Cd/Pb biosorption treatment and chemical analysis

165 After 5-day cultivation, each well of PM1 plate was subjected with 20  $\mu\text{L}$  of Pb or Cd  
166 stock solution and kept shaking for 2 hours (final Pb or Cd concentration of 100  
167 mg/L). Subsequently, the supernatant was collected after 3,000-rpm centrifugation for  
168 20 min. The cell pellets were further washed with 5 mL deionized water and  
169 centrifuged again (3,000 rpm) for another 20 min. The supernatants from two-step  
170 centrifugation were combined, spiked with 20  $\mu\text{L}$  of internal standards ( $^{103}\text{Rh}$ ,  $^{45}\text{Sc}$ ,

171  $^{209}\text{Bi}$ ), and diluted with deionized water to a final volume of 50 mL for metal analysis.  
172 Cd and Pb were analyzed by inductively coupled plasma mass spectrometry (ICP-MS,  
173 X-series 2, Thermo Scientific, USA), and the detection wavelength was 228.8 and  
174 283.3 nm, respectively. The standard calibration solution contained a mixture of Cd  
175 and Pb in  $\text{HNO}_3$  (0.1 M), ranging from 0 to 100  $\mu\text{g/L}$ .

### 176 *2.3 Infrared spectra measurement*

177 Cell pellets after biosorption were further washed three times with sterile deionized  
178 water to remove the residues of growth media and resuspended in 70% ethanol for  
179 fixation. The washed cell pellets (minimal amount  $>5 \mu\text{L}$ ) were applied onto Low-E  
180 slides for interrogation by ATR-FTIR spectroscopy. A TENSOR 27 FTIR  
181 spectrometer (Bruker Optics Ltd., UK) equipped with a Helios ATR attachment  
182 (containing a diamond internal IRE; incidence angle of the IR beam:  $45^\circ$ ) was used  
183 and the instrument parameters were set as 32 scans and spatial resolution of  $8 \text{ cm}^{-1}$ .  
184 Before the measurement of a new sample, the crystal was cleaned with deionized  
185 water, and the background readings were retaken. A total of 20 spectra were acquired  
186 for each treatment.

### 187 *2.4 Data analysis*

188 The RU of fungal cells was analysed by MARS software (BMG Labtech, UK). The  
189 relative RU for fungal growth with each carbon source was calculated as the mean of  
190 all RUs measured on day 5. The growth index (GI) of fungal cells cultivated with  
191 different carbon source was calculated in Equation (1).

$$192 \quad \text{GI}_n = \frac{[\text{Relative RU}]_n}{[\text{Relative RU}]_{A1}} - 1.0 \quad (2)$$

193 Here,  $\text{GI}_n$  refers to the GI in  $n$ th well.  $[\text{Relative RU}]_n$  and  $[\text{Relative RU}]_{A1}$

194 represent the relative RU in  $n$ th well and well A1 (no carbon source, negative control),  
195 respectively.

196 Fungal biomass was obtained by drying the cell pellets and measuring the weight with  
197 the unit of dry cell weight (DCW). The linear regression between the GI and biomass  
198 was obtained by serially diluted fungal suspension with the known GI and biomass,  
199 following Equation (2).

$$200 \qquad \qquad \qquad \text{Biomass} = 0.196 \times \text{GI} + 0.168 \qquad (2)$$

201 The initial spectral data generated from ATR-FTIR spectroscopy were analyzed  
202 within MATLAB R2011a software (TheMathsWorks, Natick, MA, USA), coupled  
203 with IrootLab toolbox (<http://irootlab.googlecode.com>) (Trevisan et al., 2013). Unless  
204 otherwise stated, the acquired spectra were truncated to the biochemical-cell  
205 fingerprint region ( $1800\text{-}900\text{ cm}^{-1}$ ), rubberband baseline corrected and normalized to  
206 Amide I ( $1650\text{ cm}^{-1}$ ) (Baker et al., 2014; Martin et al., 2010). Second order  
207 differentiation baseline correction and vector normalization were also performed as an  
208 alternative mean to process the data. Cross-calculation principal component analysis  
209 followed by linear discriminant analysis (PCA-LDA) was subsequently applied to the  
210 preprocessed data to reduce the number of spectra to 10 uncorrelated principal  
211 components (PCs), which account for >99% of the total variance; LDA is a  
212 supervised technique coupled with PCA in order to maximize inter-class and  
213 minimize intra-class variance (Martin et al., 2010). To identify the specific IR bands  
214 associated with fungal growth and biosorption efficiency of Pb or Cd, cluster vector  
215 approach was conducted and visualized the discriminating difference (Butler et al.,  
216 2015; Martin et al., 2010). The relationships between each IR band intensity and GI,  
217 Pb biosorption efficiency or Cd biosorption efficiency across media supplemented

218 with 48 carbon sources were analysed by Pearson correlation analysis ( $p < 0.05$ ). All  
219 the statistical analyses were carried out in GraphPad Prism 6 unless specific  
220 statement.

### 221 **3. Results**

#### 222 *3.1 S. chinense QD10 growth profiles cultivated with 48 carbon sources*

223 The growth curves of *S. chinense* QD10 obtained from the RU measurement  
224 illustrated significant differences across media supplemented with 48 carbon sources  
225 (Figure 1A). In all treatments, an obvious lag phase lasted for about 8 hours, followed  
226 by a dramatical increasing RU for some carbon sources. After the logarithmic growth  
227 phase, *S. chinense* QD10 entered the stationary phase at 72 hours. These results  
228 demonstrated that *S. chinense* QD10 could effectively utilize some carbon sources and  
229 achieve satisfactory growth for 3 days. Figure 1B illustrated that the four carbon  
230 sources possessing significantly higher GI ( $> 1.0$ ) were L-glutamine, Tween 80,  
231 glycolic acid and methylpyruvate. Fourteen carbon sources moderately supporting the  
232 growth of *S. chinense* QD10 ( $0.5 < GI < 1.0$ ) included  $\alpha$ -hydroxyglutaric acid-g-lactone,  
233  $\alpha$ -hydroxybutyric acid, adenosine, Gly-Asp, fumaric acid, bromosuccinic acid,  
234 glyoxylic acid, D-cellobiose, inosine, Gly-Glu, tricarballic acid, p-hydroxyphenyl  
235 acetic acid, m-hydroxyphenyl acetic acid, and 2-aminoethanol. Other carbon sources  
236 were barely useable by *S. chinense* QD10 as the GI was  $< 0.5$ . Based on the molecular  
237 structure and functional groups, 48 carbon sources were categorized into five groups  
238 as nucleic acids, carbohydrates, carboxylic acids, amino acids and others. There was  
239 no significant difference in fungal growth between the five groups of carbon sources  
240 ( $p > 0.05$ ).

241 3.2 Cd and Pb biosorption by *S. chinense* QD10 cultivated with 48 different  
242 carbon sources

243 Both Cd and Pb were efficiently adsorbed by *S. chinense* QD10 cultivated in minimal  
244 medium with 48 different carbon sources, and the biosorption efficiency achieved >90%  
245 for all treatments (Table S1 in Electronic Supporting Information, ESI). Two  
246 adsorption equilibrium models (Langmuir and Freundlich) were applied to understand  
247 Cd and Pb biosorption mechanisms by *S. chinense* QD10. The Langmuir isotherm  
248 model represents the monolayer adsorption mechanism with a restriction of no  
249 stacking of adsorbed molecules, as described in Equation (3). The Freundlich  
250 isotherm model represents both monolayer and multilayer adsorptions by considering  
251 the heterogeneous surfaces possessing different sorption energy sites, as described in  
252 Equation (4).

$$253 \quad Q_e = Q_{max} \frac{K_L C_e}{1 + K_L C_e} \quad (3)$$

$$254 \quad Q_e = K_F C_e^{1/n} \quad (4)$$

255 Here,  $Q_e$  (mg/g DCW) refers to the total Cd/Pb biosorption capacity, and  $C_e$  (g/L)  
256 represents the equilibrium Cd/Pb concentration in the liquid phase.  $Q_{max}$  (mg/g  
257 DCW) is the maximum Cd/Pb biosorption capacity for monolayer adsorption in  
258 Langmuir isotherm model, and  $K_L$  (L/mg) is the Langmuir constant associated with  
259 adsorption energy.  $K_F$  (mg/g DCW) represents Cd/Pb biosorption capacity in both  
260 monolayer and multilayer mechanism in Freundlich isotherm model, and  $1/n$  is the  
261 heterogeneous sorption sites. Either Langmuir or Freundlich isotherm model can be  
262 expressed in a linear form as shown in Equations (5) and (6), respectively.

$$263 \quad \frac{C_e}{Q_e} = \frac{1}{Q_{max} K_L} + \frac{C_e}{Q_{max}} \quad (5)$$

$$264 \quad \log Q_e = \log K_F + \frac{1}{n} \times \log C_e \quad (6)$$

265 Figure 2A illustrates that Cd biosorption fits better with Langmuir isotherm  
 266 ( $R^2=0.7324$ ) than Freundlich isotherm ( $R^2=0.0653$ ). The maximum Langmuir  
 267 biosorption capacity ( $Q_{max}$ ) is 1.81 (mg/g DCW) and the Langmuir constant  
 268 associated with adsorption energy ( $K_L$ ) is 1.75 L/mg. In contrast, Pb biosorption fits  
 269 better with Freundlich isotherm ( $R^2=0.9458$ ) than Langmuir isotherm ( $R^2=0.1121$ ,  
 270 Figure 2B). The empirical parameter related to heterogeneous sorption site ( $1/n$ ) is  
 271 0.84 and the biosorption capacity ( $K_F$ ) is 0.77 (mg/g DCW) in Freundlich isotherm.

### 272 *3.3 Infrared spectra of S. chinense QD10 cultivated with 48 different carbon* 273 *sources*

274 In general, *S. chinense* QD10 shared similar infrared spectra across 48 different  
 275 carbon sources regarding the cellular structures (Figure 3A), including lipid (~ 1750  
 276  $\text{cm}^{-1}$ ), Amide I (~ 1650  $\text{cm}^{-1}$ ), Amide II (~ 1550  $\text{cm}^{-1}$ ), Amide III (~ 1260  $\text{cm}^{-1}$ ),  
 277 carbohydrate (~ 1155  $\text{cm}^{-1}$ ) and symmetric phosphate stretching vibrations (~ 1080  
 278  $\text{cm}^{-1}$ ). The 1D score plot of PCA-LDA (Figure 3B) indicated the variations between  
 279 each category of carbon source, and one-way ANOVA test coupled with Turkey's  
 280 multiple comparisons demonstrated that the biospectra in the five groups of carbon  
 281 sources were significantly differentiated ( $p<0.05$ ), except for the variation between  
 282 the groups of amino acids and others ( $p>0.05$ ).

283 The cluster vector analysis reveals more information regarding the biomolecular  
 284 difference (Figure 4), which includes five primary peaks derived from original spectra  
 285 as relevant biomarkers for each group of carbon sources. More precisely, the  
 286 biomarkers of *S. chinense* QD10 cultivated with amino acids are (~1134  $\text{cm}^{-1}$ ),  $\text{PO}_2^-$   
 287 asymmetric (~ 1265  $\text{cm}^{-1}$ ), Amide III (~ 1185  $\text{cm}^{-1}$ ), Amide II (~ 1517  $\text{cm}^{-1}$ ) and C=O



288 (~ 1728  $\text{cm}^{-1}$ ). Besides the peak of  $\text{PO}_2^-$  asymmetric (~ 1265  $\text{cm}^{-1}$ ), other significant  
289 peaks of carbohydrate-cultivated *S. chinense* QD10 cells are RNA (~ 1117  $\text{cm}^{-1}$ ), CH  
290 in-plane bend (~ 1510  $\text{cm}^{-1}$ ), Amide I (~ 1659  $\text{cm}^{-1}$ ) and C=O, lipids (~ 1740  $\text{cm}^{-1}$ ). In  
291 nucleic acid group, the characteristic peaks are  $\nu(\text{CO})$ ,  $\nu(\text{CC})$  (~ 1018  $\text{cm}^{-1}$ ),  
292 deoxyribose (~ 1188  $\text{cm}^{-1}$ ), (~ 1269  $\text{cm}^{-1}$ ), Amide II (~ 1540  $\text{cm}^{-1}$ ) and lipids (~ 1740  
293  $\text{cm}^{-1}$ ). For carboxylic acid group, the characteristic peaks include stretching vibrations  
294 of hydrogen-bonding, C-OH groups (~ 1153  $\text{cm}^{-1}$ ), N-H thymine (~ 1276  $\text{cm}^{-1}$ ), C=C,  
295 deformation C-H (~ 1496  $\text{cm}^{-1}$ ), Ring base (~ 1555  $\text{cm}^{-1}$ ), base carbonyl stretching  
296 and ring breathing mode (~ 1620  $\text{cm}^{-1}$ ). Characteristic peaks for other carbon sources  
297 include stretching C-O deoxyribose (~ 1056  $\text{cm}^{-1}$ ), C-O stretching vibration (~ 1150  
298  $\text{cm}^{-1}$ ),  $\text{PO}_2^-$  asymmetric (~ 1256  $\text{cm}^{-1}$ ), ring base (~ 1555  $\text{cm}^{-1}$ ) and lipids (~ 1740  
299  $\text{cm}^{-1}$ ).

### 300 *3.4 Mechanisms of Cd and Pb biosorption via spectral analysis*

301 As fungal PTE-biosorption consists of two key stages as direct adsorption on fungal  
302 membrane and penetration through cell wall, they might be distinguished by  
303 analyzing the functional groups of cellular components or extracellular polymeric  
304 substance (EPS). Although PCA-LDA is applied to assess the ‘fingerprint region’ to  
305 characterize the relationships between the whole biospectra and fungal growth or  
306 biosorption efficiency, it is very challenging because the enormous spectral alterations  
307 across 48 different carbon sources (Figure 5A). We therefore attempted to identify  
308 discriminating alterations by introducing Pearson correlations to determine the  
309 relationships between microbial activities (e.g., biomass, Pb biosorption, Cd  
310 biosorption) and spectral variations based on cluster vector analysis. The results  
311 indicated that several discriminating alterations positively correlated with fungal  
312 biomass (Figure 5A), including 1340  $\text{cm}^{-1}$  (collagen,  $p < 0.05$ ), 1136  $\text{cm}^{-1}$  (collagen,

313  $p<0.05$ ) and  $966\text{ cm}^{-1}$  (C-C DNA,  $p<0.05$ ). These peaks could be viewed as  
314 biomarkers for fungal growth (Figure 5B-5D). The significant peaks associated with  
315 Cd biosorption included  $1745\text{ cm}^{-1}$  (phospholipids,  $p<0.05$ ),  $1620\text{ cm}^{-1}$  (nucleic acid,  
316  $p<0.05$ ),  $1456\text{ cm}^{-1}$  (lipids and proteins,  $p<0.05$ ),  $1396\text{ cm}^{-1}$  (proteins,  $p<0.05$ ) and  
317  $1057\text{ cm}^{-1}$  (stretching C-O deoxyribose,  $p<0.05$ ), as illustrated in Figure 5E-5I.  
318 However, there was no biomarker correlated with Pb biosorption, further confirming  
319 the different biosorption mechanisms between Cd and Pb as suggested by the results  
320 of biosorption isotherms.

## 321 4. Discussion

### 322 4.1 Biosorption capability of *S. chinense* QD10 on Cd and Pb

323 Previous studies investigating microbes as biosorbents have demonstrated strong  
324 capacities of microbial cells to absorb and remove PTEs, such as marine algae and  
325 yeasts (Goyal et al., 2003; Özer and Özer, 2003; Volesky and Holan, 1995; Wang and  
326 Chen, 2006). *Ascophyllum* and *Sargassum*, which can accumulate PTEs more than 30%  
327 of dry weight biomass (Volesky and Holan, 1995). *Saccharomyces Cerevisiae* is a  
328 species belonging to yeast, whose PTE biosorption capability ranges from 10 to 300  
329 mg/g DCW for Pb and 10 to 100 mg/g DCW for Cd from the equilibrium biosorption  
330 processes (Wang and Chen, 2006). PTE biosorption by fungi has also been  
331 investigated, such as *Penicillium* sp. MRF-1 which has a strong biosorption capacity  
332 of Cd (0.13-9.39 mg/g DCW) (Velmurugan et al., 2010) and *Exiguobacterium* sp.  
333 with a maximum biosorption capacity of 15.6 mg/g DCW for Cd in Langmuir  
334 isotherm (Park and Chon, 2016). In the present study, the biosorption capacity of *S.*  
335 *chinense* QD10 was 0.77 mg/g DCW for Pb and 1.81 mg/g DCW for Cd, much lower  
336 than a previous report on the same strain in rich medium (24.6 mg/g DCW for Cd and

337 31.2 mg/g DCW for Pb) (Jin et al., 2019). It might be attributing to the defined  
338 medium used in this study, which is nutrient deficient and cannot support the best  
339 fungal growth. Accordingly, fungal cells might not achieve optimal activities,  
340 resultsing in limited active binding sites on fungal cell membrane and lower Cd/Pb  
341 biosorption capacity by *S. chinense* QD10. However, defined medium fits better with  
342 the real scenarios in natural habitats, where microbes survive under nutrient depletion  
343 conditions (Jin et al., 2017a; Jin et al., 2018a). Our result provides a high-throughput  
344 and more comprehensive database to evaluate the PTE-biosorption performance of *S.*  
345 *chinense* QD10 regarding phytoremediation practices.

#### 346 4.2 Biospectral fingerprints of *S. chinense* QD10

347 Biospectroscopy has a long history of studying biological cells. IR spectroscopy can  
348 be traced back to 1950s (Jin et al., 2017b) and has been extensively applied as a  
349 sensitive and rapid screening tool for characterizing microbes (Jin et al., 2017b;  
350 Picorel et al., 1991). Over the past 20 years, IR spectroscopy is successfully  
351 developed for examining biological molecules at cell or tissue level, including  
352 bacteria, yeast and mammalian cells (Baker et al., 2014; Martin et al., 2010;  
353 Movasaghi et al., 2008). However, only limited works focus on fungi, and there is  
354 lack of well-established database for fungal spectral biomarkers. In the present study,  
355 our results illustrated similar biospectra with several key biomarkers of fungi  
356 comparing to those of bacterial cells based on past literatures, including lipid (~ 1750  
357  $\text{cm}^{-1}$ ), Amide I (~ 1650  $\text{cm}^{-1}$ ), Amide II (~ 1550  $\text{cm}^{-1}$ ), carbohydrate (~ 1155  $\text{cm}^{-1}$ ) and  
358 symmetric phosphate stretching vibrations (~ 1080  $\text{cm}^{-1}$ ) (Baker et al., 2014;  
359 Maquelin et al., 2003; Martin et al., 2010). It might be attributed to the similar cell  
360 wall components, such as lipids, proteins and carbohydrate, even though fungi are  
361 protected by a true cell wall (Sağ, 2001).

362 4.3 Spectral biomarkers for *S. chinense* QD10 growth across carbon source  
363 groups

364 Although the GI of *S. chinense* QD10 cultivated with different carbon source groups  
365 showed no significant difference, the cluster vector analysis raises more biochemical  
366 information by locating the discriminating biomarkers across carbon source categories.  
367 These biomarkers reveal the metabolic features of *S. chinense* QD10 responsive to  
368 carbon sources. Cultivated with carbohydrate, for instance, biospectra of *S. chinense*  
369 QD10 have specific biomarkers including PO<sub>2</sub><sup>-</sup> asymmetric (~ 1265 cm<sup>-1</sup>), RNA (~  
370 1117 cm<sup>-1</sup>), CH in-plane bend (~ 1510 cm<sup>-1</sup>), Amide I (~ 1659 cm<sup>-1</sup>) and C=O, lipids  
371 (~ 1740 cm<sup>-1</sup>), indicating the occurrence of complex carbohydrate metabolic  
372 processes during fungal growth (Figure 4). These biomarkers are significantly  
373 different from those linked with bacterial growth except for Amide I (~ 1659 cm<sup>-1</sup>)  
374 (Jin et al., 2018a; Jin et al., 2018b), suggesting distinct metabolite profiles between  
375 fungal and bacterial growth. Carbohydrates are reported to associate with fungal  
376 metabolism, not only providing energy for the synthesis of trehalose, polyols,  
377 glycogen, fatty acids and other cellular components, but also supplying carbon  
378 skeleton for other metabolic processes, such as hyphal growth and amino acid  
379 biosynthesis (Bago et al., 2003; Deveau et al., 2008; Rasmussen et al., 2008). As the  
380 fungal metabolisms vary across intra- and inter-groups of different carbon sources  
381 throughout the growth period, there is no clear relationship between growth and  
382 carbon source categories.

383 We further applied Pearson correlation analysis based on cluster vector analysis to  
384 link the spectral variations with fungal biomass and identify some key biomarkers for  
385 fungal growth. The IR bands significantly correlated with GI include 1340 cm<sup>-1</sup>  
386 (collagen), 1136 cm<sup>-1</sup> (collagen) and 966 cm<sup>-1</sup> (C-C DNA, Figure 5B-5D), implying

387 strong associations of these cellular components with fungal growth. Among them,  
388 the DNA-spectral biomarker represents DNA replication through cell reproduction  
389 process (Jin et al., 2018a; Jin et al., 2018b). Additionally, the collagen-associated  
390 spectral alterations are very likely linked to the formation of fungal fimbriae, which  
391 consist of collagen and are abundant on extracochlear surfaces (Celerin et al., 1996).  
392 Our results suggest that these spectral biomarkers can be used as fungal growth  
393 indicators in future studies.

#### 394 *4.4 Derived biospectral biomarkers explaining different mechanisms of Cd and* 395 *Pb biosorption*

396 Cultivated with different carbon sources, Cd and Pb biosorption by *S. chinense* QD10  
397 followed the Langmuir and Freundlich isotherm, respectively. It implied distinct  
398 mechanisms behind Pb and Cd biosorption, consistent with our previous report (Jin et  
399 al., 2019). As the Langmuir isotherm represents the monolayer adsorption mechanism  
400 and the Freundlich isotherm describes both monolayer and multilayer adsorptions by  
401 considering the heterogeneous surfaces possessing different sorption energy sites,  
402 spectrochemical analysis might provide deeper insights *via* diagnosing spectral  
403 alterations associated with PTE biosorption process.

404 The results of spectral analysis indicate that phosphor-lipids and proteins ( $1745\text{ cm}^{-1}$ ,  
405  $1456\text{ cm}^{-1}$ ,  $1396\text{ cm}^{-1}$ ) are strongly correlated with Cd biosorption (Figure 5E-5I). It  
406 suggests that the cell wall components of *S. chinense* QD10 are the primary  
407 interactive targets for Cd biosorption, such as polysaccharides, proteins and lipids  
408 which offer abundant metal-binding functional groups, *e.g.*, carboxylate hydroxyl,  
409 sulphate, phosphate and amino groups (Veglio and Beolchini, 1997). It is consistent  
410 with the fact that Cd biosorption isotherm follows the Langmuir isotherm and is more

411 likely driven by the cell surface sorption that both proteins and carbohydrate fractions  
412 are involved in the binding of Cd ions (Jin et al., 2019). In contrast, no spectral  
413 biomarker is observed to significantly associate with Pb biosorption. This result is  
414 also evidenced by the Freundlich isotherm of Pb biosorption describing both  
415 monolayer and multilayer adsorptions by considering the heterogeneous surfaces.  
416 Thus, it suggests that extracellular precipitation explains the majority of Pb  
417 biosorption and EPS possess a substantial quantity of anion functional groups  
418 adsorbing  $Pb^{2+}$  ions (Wang and Chen, 2006).

419 This discrimination may be derived from the two stages of PTE biosorption  
420 mechanisms by fungi: direct adsorption on fungal membrane and penetration through  
421 cell wall (Leonard et al., 2004). These two stages can occur independently, possibly  
422 resulting in distinct biosorption behaviour across biosorbents (microbial species) or  
423 PTEs. For instance, exopolysaccharides (EPS) represent an interesting affinity for Pb,  
424 which is a metabolism-independent process driven by interactions between the cations  
425 and negative charges of acidic functional groups of EPS (Pérez et al., 2008). As EPS  
426 are a mixture of biomaterials, such as EPS, glucoprotein, lipopolysaccharide and  
427 soluble peptide (Jin et al., 2019), it is very challenging to distinguish and extract  
428 specific spectral biomarkers associated with extracellular components responsible for  
429 PTE biosorption. Our results hint that discriminating peaks derived from IR spectra  
430 could satisfactorily uncover the behaviour and mechanisms of PTE biosorption by  
431 interrogating the distinct functional groups or cellular components (Martin et al.,  
432 2010).

## 433 **5. Conclusion and remarks**

434 Fungi-assisted phytoremediation is an environmentally-safe approach to remove PTEs

435 from contaminated soils, and PTE biosorption by fungi is a critical step in  
436 phytoremediation. This study introduced ATR-FTIR spectroscopy coupled with  
437 Biolog PM plate as a non-destructive and high-throughput approach to investigate the  
438 performance and mechanisms of Cd and Pb biosorption by a fungal strain *S. chinense*  
439 QD10 cultivated with difference carbon sources. For the first time, we found several  
440 spectral biomarkers associated with the growth (1340  $\text{cm}^{-1}$ , 1136  $\text{cm}^{-1}$ , 966  $\text{cm}^{-1}$ ) and  
441 Cd biosorption (1745  $\text{cm}^{-1}$ , 1620  $\text{cm}^{-1}$ , 1456  $\text{cm}^{-1}$ , 1396  $\text{cm}^{-1}$ , 1057  $\text{cm}^{-1}$ ) of *S.*  
442 *chinense* QD10. Cd biosorption primarily followed the monolayer Langmuir isotherm  
443 and was mainly driven by the cell surface sorption, unravelled by the spectral  
444 alterations affiliated with proteins and carbohydrates (1745  $\text{cm}^{-1}$ , 1456  $\text{cm}^{-1}$ , 1396  
445  $\text{cm}^{-1}$ ). For Pb biosorption, EPS possibly possessed a substantial quantity of anion  
446 functional groups adsorbing  $\text{Pb}^{2+}$  ions as extracellular precipitation, thus following  
447 multilayer Freundlich isotherm and representing no significant spectral biomarkers.  
448 Our results suggested biospectroscopy as a powerful tool in investigating the  
449 interactions between fungal cells and PTEs, distinguishing both functional groups and  
450 mechanisms associated with PTE biosorption process. This study lends new sights  
451 into fungal PTE biosorption and offers database of their behaviour across various  
452 carbon sources, revealing the tip of the iceberg regarding the interactions between  
453 microbes and PTEs in real-world scenario from spectroscopic perspective, which  
454 implies great potential for enhancing phytoremediation.

## 455 **6. Declaration of Competing Interest**

456 The authors declare that they have no known competing financial interests or personal  
457 relationships that could have appeared to influence the work reported in this paper.

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466



467 **8. Reference**

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## 664 9. Figure Captions

665 **Figure 1.** Growth profiles of *S. chinense* QD10 with 48 different carbon sources. (A)  
666 Growth curves during a 144-hour cultivation period. (B) Growth indices (GI) of *S.*  
667 *chinense* QD10 in comparison with the negative control (A1, no carbon source).

668 **Figure 2.** Cd and Pb adsorption isotherms by *S. chinense* QD10 cultivated with 48  
669 different carbon sources. (A) Langmuir isotherm model representing the monolayer  
670 adsorption mechanism. (B) Freundlich isotherm model representing both monolayer  
671 and multilayer adsorptions by considering the heterogeneous surfaces possessing  
672 different sorption energy sites. Initial concentration of Cd and Pb was 100 mg/L and  
673 the adsorption time was 2 hours.

674 **Figure 3.** (A) Mean spectra of all pre-processed data of *S. chinense* QD10 cultivated  
675 with 48 different carbon sources based on rubberband baseline correction and Amide I  
676 ( $1650\text{ cm}^{-1}$ ) normalization. (B) PCA-LDA categorizations of *S. chinense* QD10  
677 cultivated with five groups of carbon sources, including nucleic acid, carbohydrate,  
678 carboxylic acid, amino acid and others. Twenty infrared spectra were randomly  
679 obtained per treatment. Different small letters indicate significant difference  
680 (Duncan's test,  $p < 0.05$ ) among treatments.

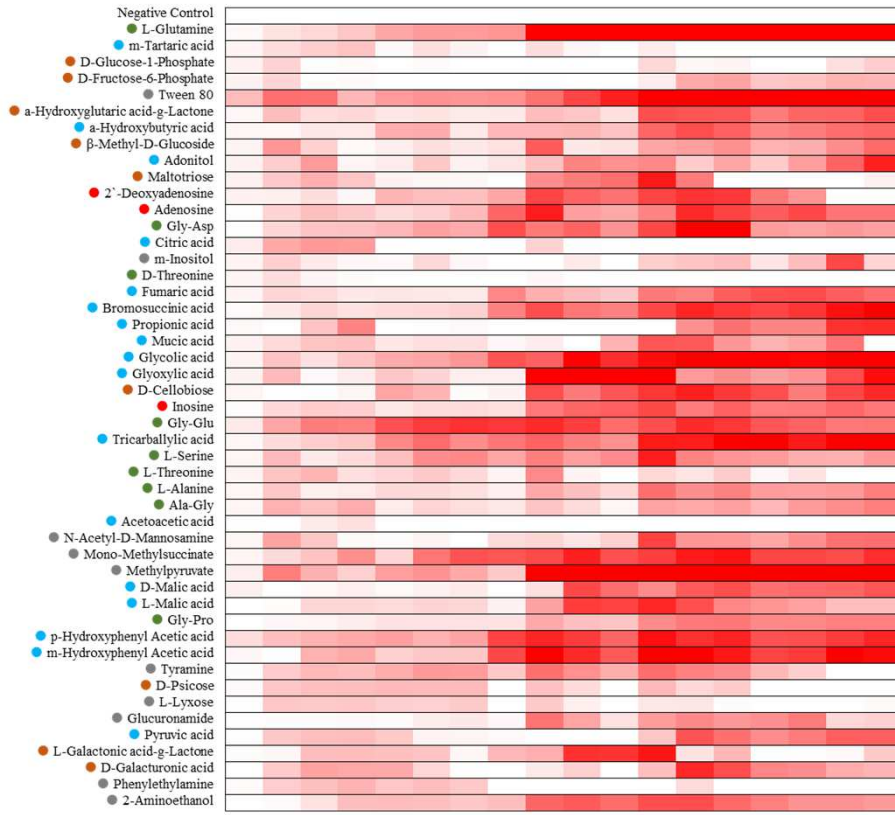
681 **Figure 4.** Cluster vector analysis of *S. chinense* QD10 cultivated with five groups of  
682 carbon sources. The unique spectral biomarkers for each carbon source group are  
683 labelled. Twenty infrared spectra were randomly obtained per treatment.

684 **Figure 5.** (A) Cluster vector of *S. chinense* QD10 cultivated with 48 different carbon  
685 sources. Colour bars illustrate IR bands possessing significant correlations ( $p < 0.05$ )  
686 with growth index (GI, green), Pb biosorption efficiency (blue) and Cd biosorption  
687 efficiency (red). IR bands significantly correlate with GI include: (B)  $1340\text{ cm}^{-1}$

688 (collagen), (C)  $1136\text{ cm}^{-1}$  (collagen) and (D)  $966\text{ cm}^{-1}$  (C-C DNA). IR bands  
689 significantly correlate with Cd biosorption efficiency include: (E)  $1745\text{ cm}^{-1}$   
690 (phospholipids), (F)  $1620\text{ cm}^{-1}$  (nucleic acid), (G)  $1456\text{ cm}^{-1}$  (lipids and proteins), (H)  
691  $1396\text{ cm}^{-1}$  (proteins) and (I)  $1057\text{ cm}^{-1}$  (stretching C-O deoxyribose).

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(A)



(B)

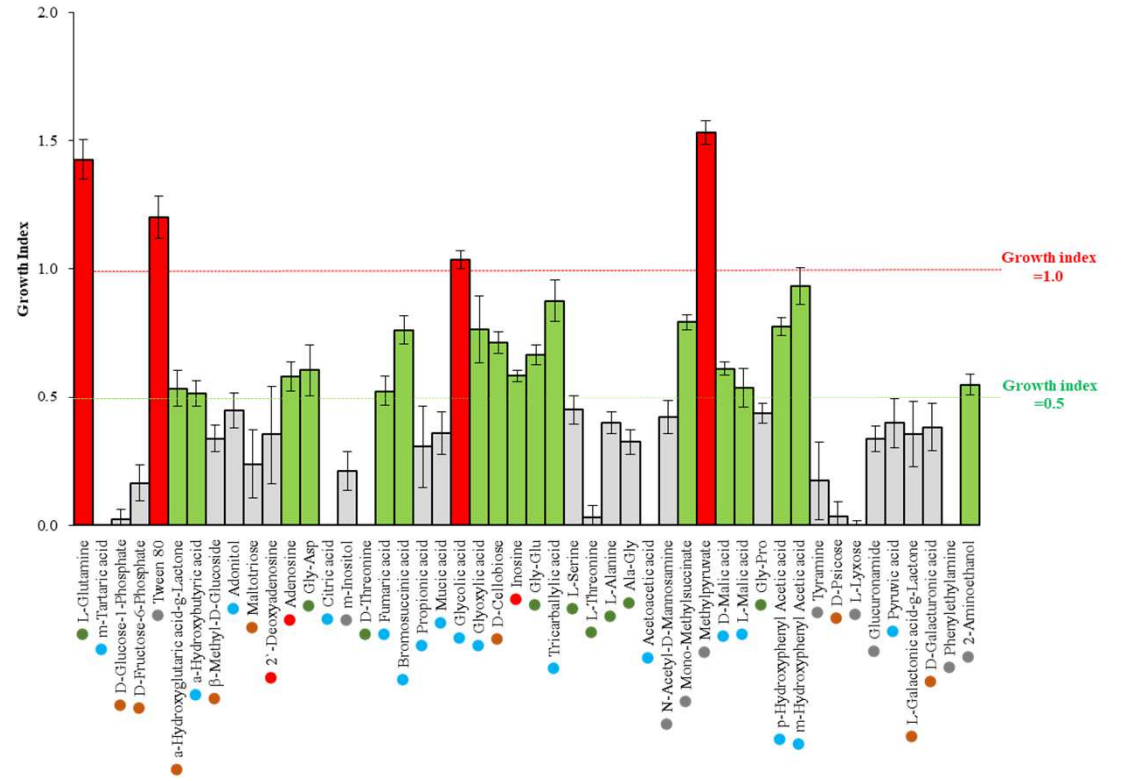
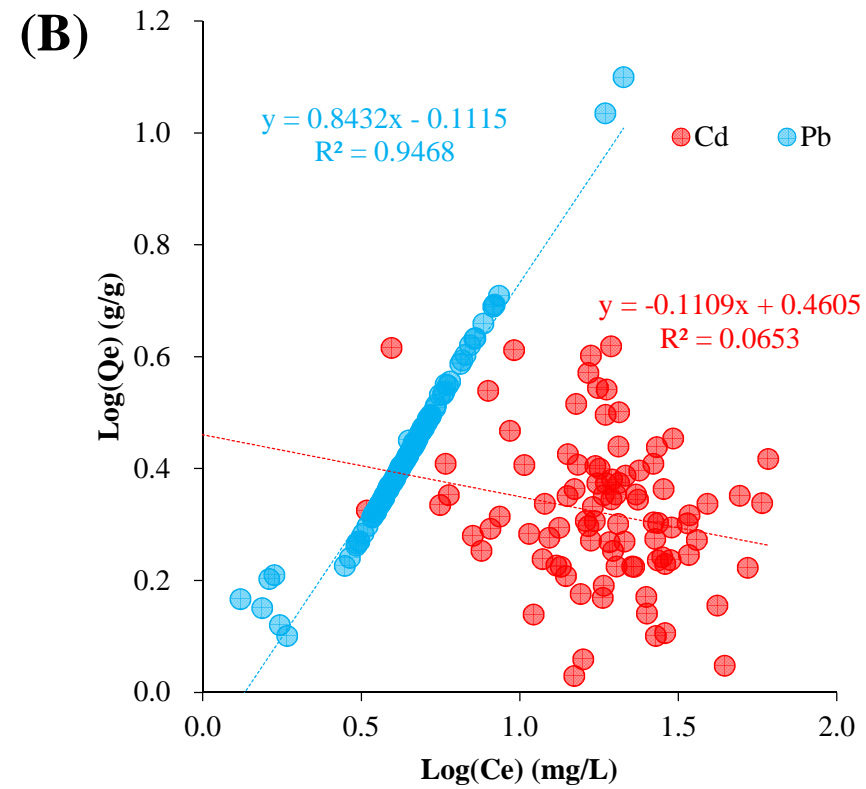
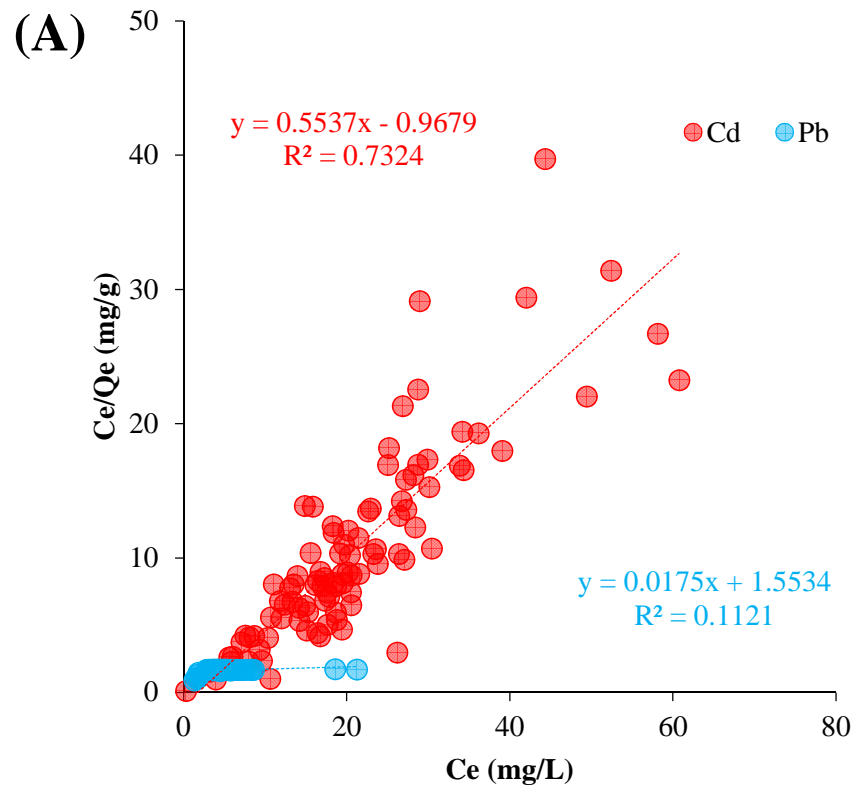
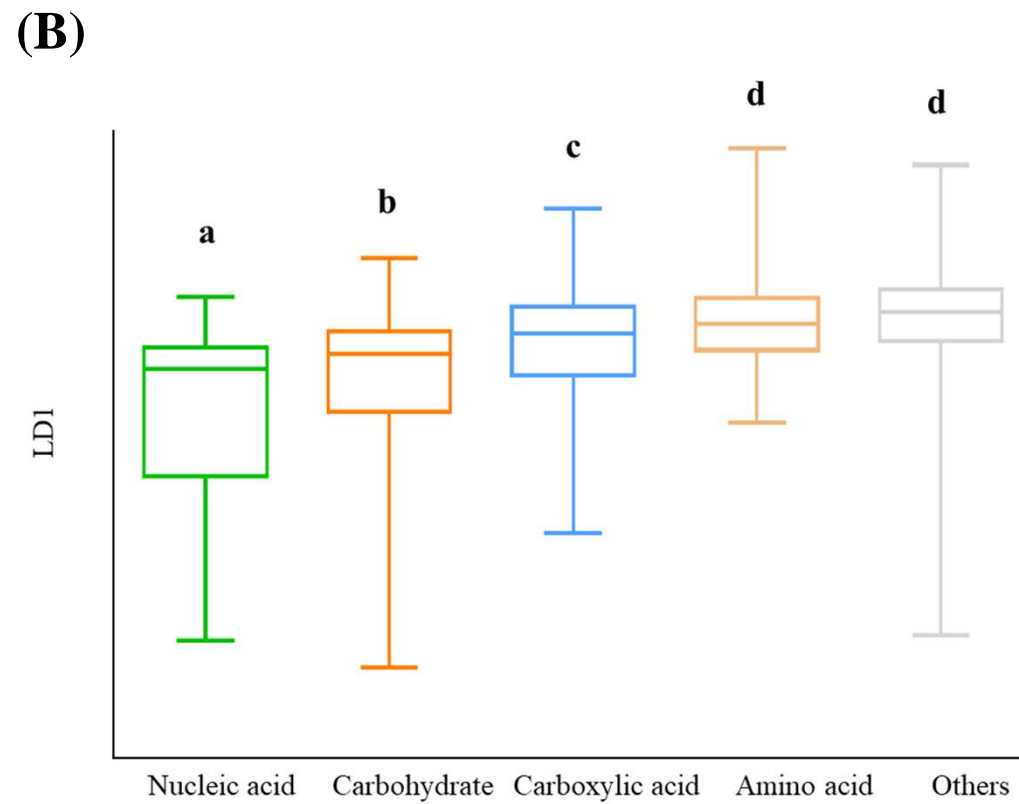
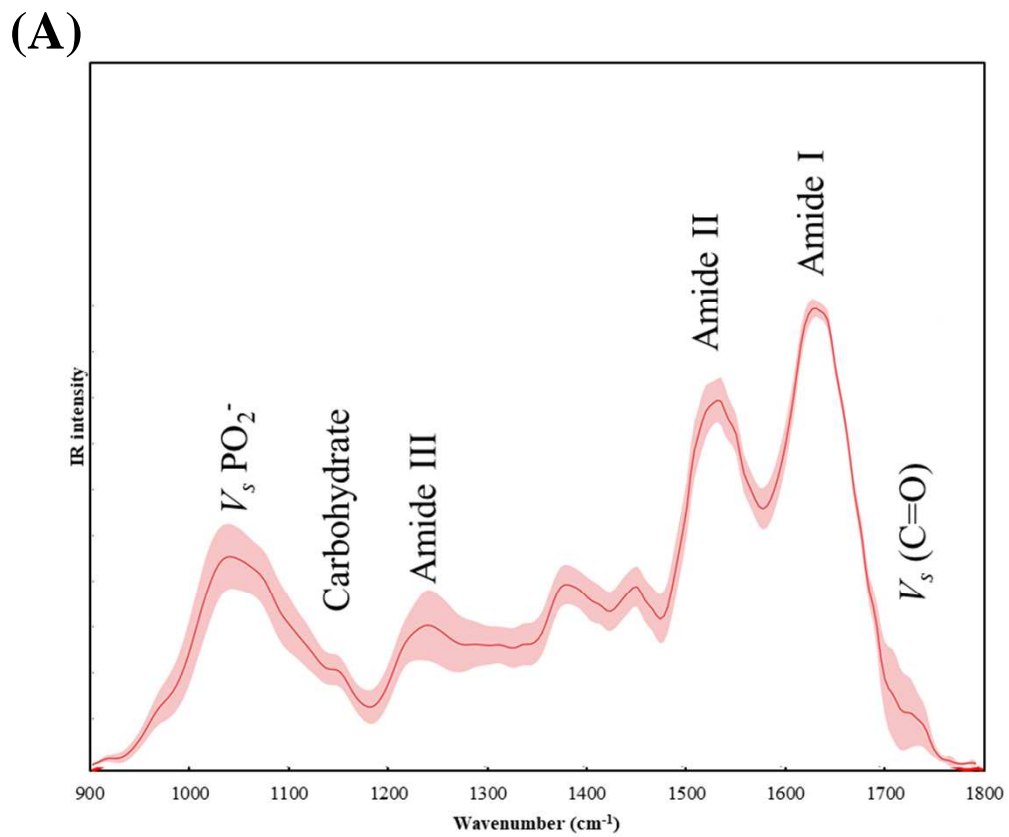


Figure 1

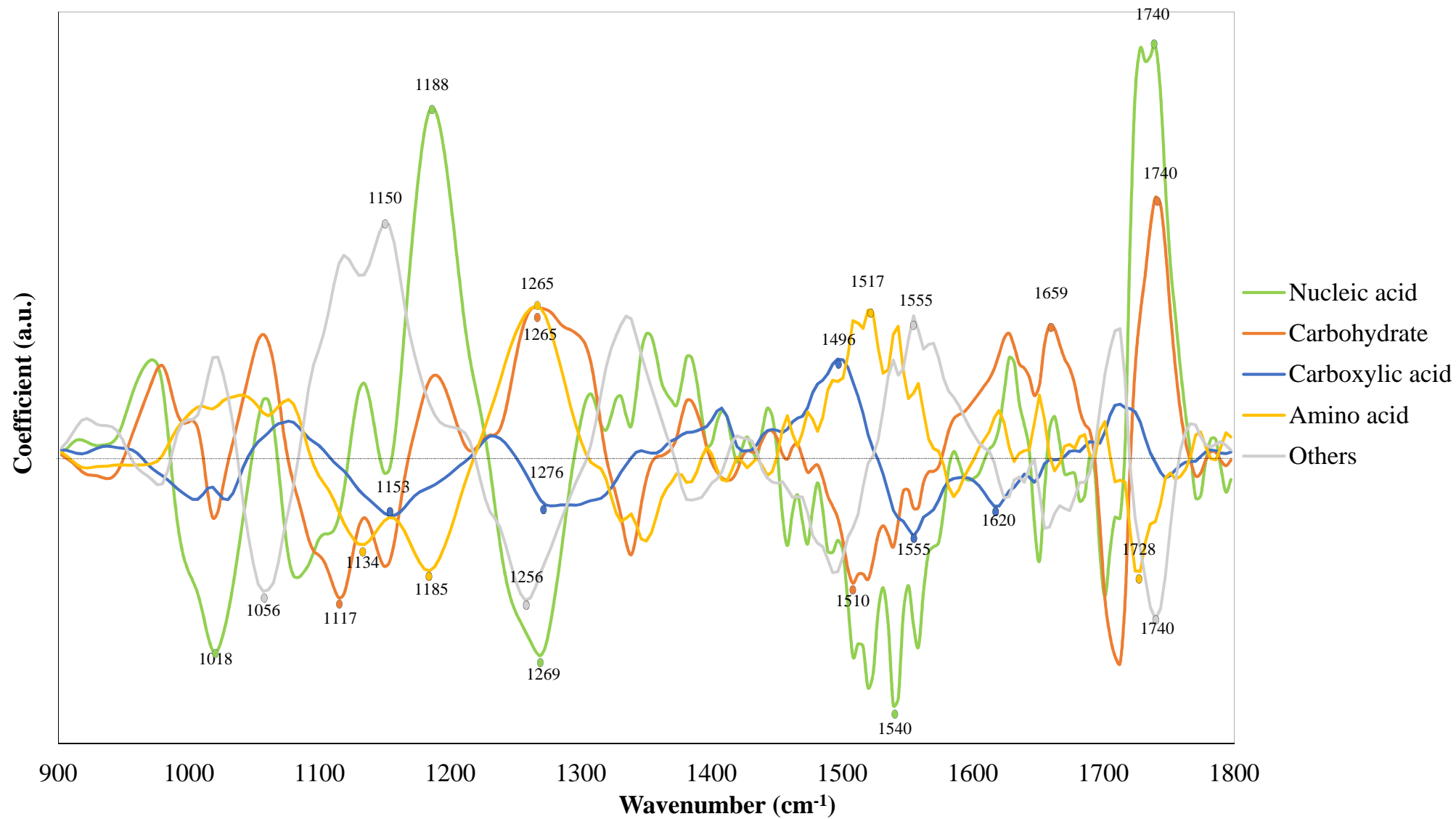




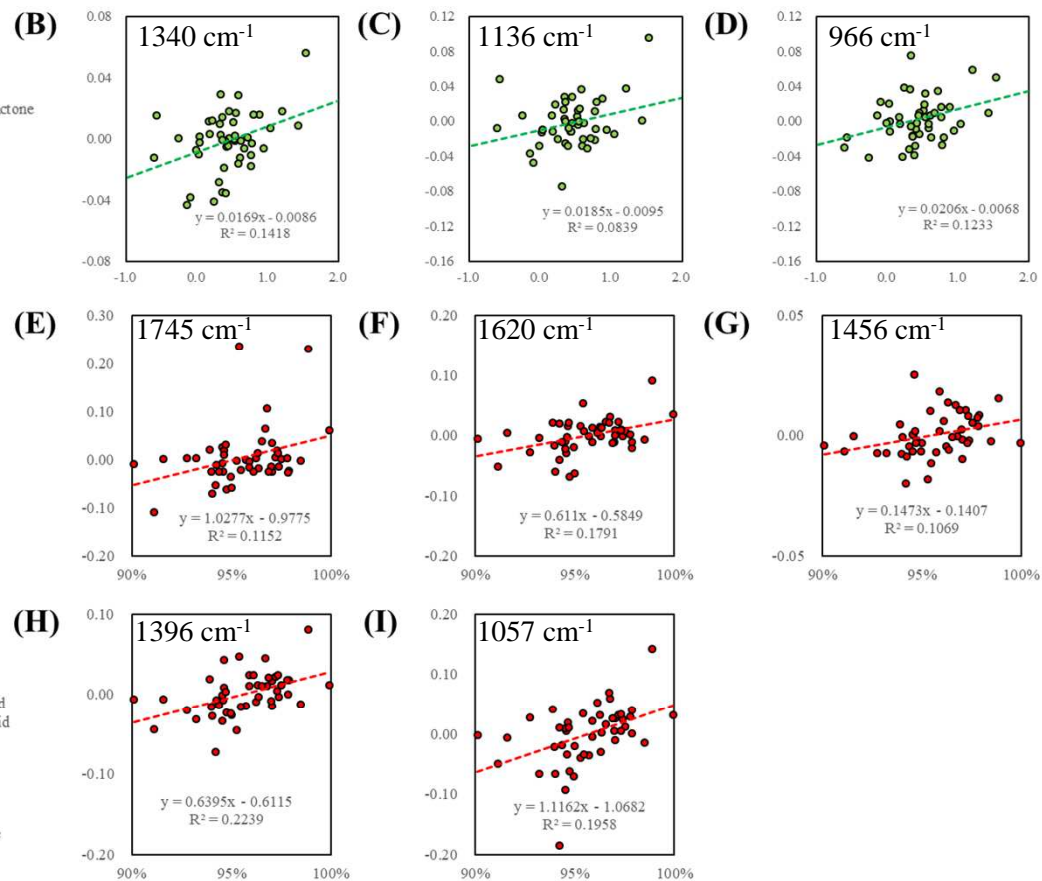
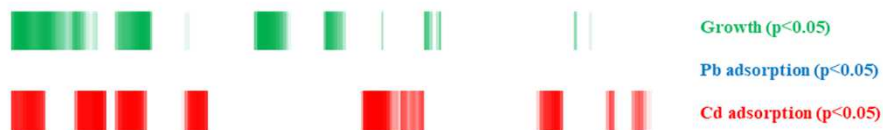
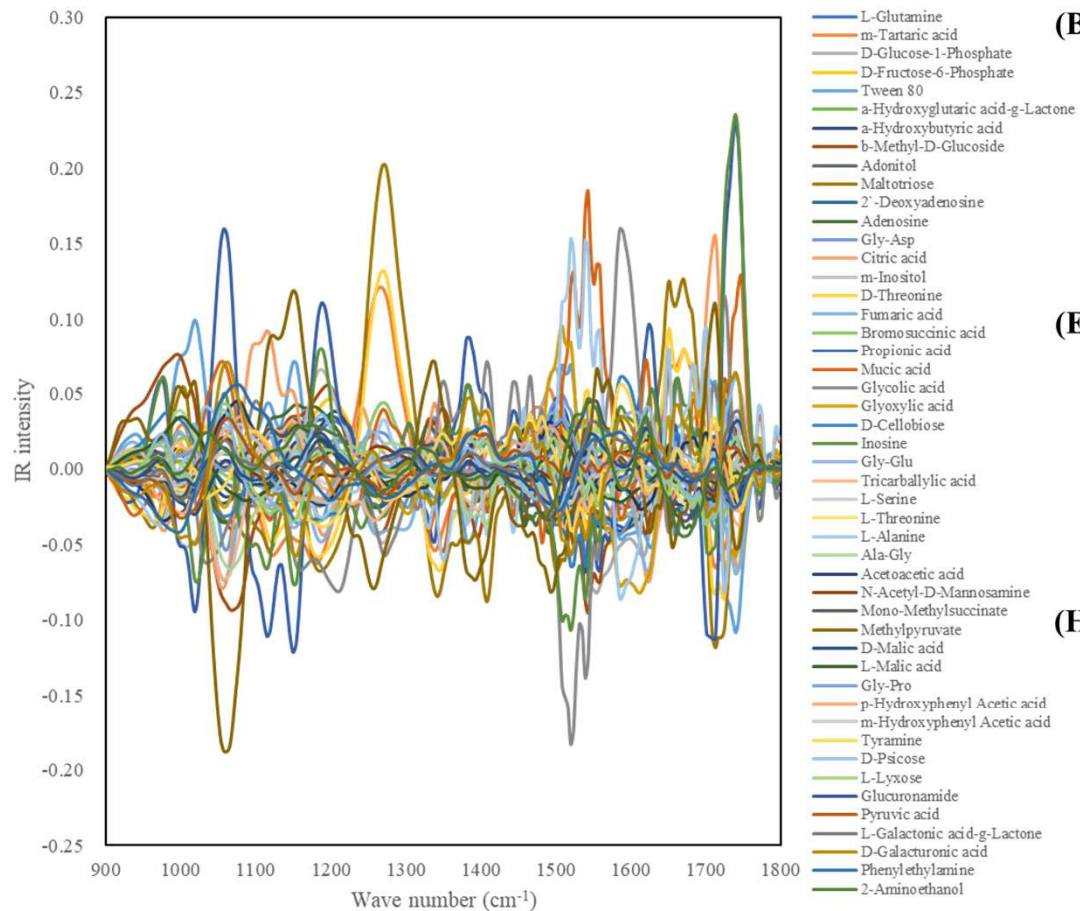
**Figure 2**



**Figure 3**



**Figure 4**

**(A)****Figure 5**

## Highlights

- 1) Cd/Pb biosorption performance by *S. chinense* QD10 across 48 carbon sources
- 2) Langmuir model for Cd biosorption and Freundlich model for Pb biosorption
- 3) First ATR-FTIR spectroscopic study on metal biosorption mechanisms
- 4) Novel spectral biomarkers for fungal growth and Cd biosorption

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: