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| 2  | INTRODUCTION  |
|----|---|
| 3  | Shoulder pain has an estimated prevalence of 15-30% of the population <sup>1</sup> , rotator cuff               |
| 4  | tendinopathy implicated as the cause in approximately a third of these patients $^2$ .                          |
| 5  | Evidence highlights that the associated pain and functional limitations from rotator                            |
| 6  | cuff tendinopathy have a profound impact on daily life and can lead to substantial                              |
| 7  | societal burden via work absenteeism and utilisation of healthcare resources <sup>3</sup> . Up to               |
| 8  | 50% of those affected experience ongoing pain and disability beyond 12 months and                               |
| 9  | many eventually have surgical intervention <sup>4</sup> .   |
| 10 |   |
| 11 | Conservative management including advice, activity modification and clinician guided                            |
| 12 | exercise is recommended as the first-line treatment for 6-12 weeks prior to                                     |
| 13 | considering imaging, injection or surgical referral <sup>5, 6</sup> . Although consensus among                  |
| 14 | guidelines recommends exercise for rotator cuff tendinopathy $^{5-9}$ , the optimal type of                     |
| 15 | exercise and exercise parameters are unknown <sup>10, 11</sup> .  |
| 16 |   |
| 17 | Bury and Littlewood <sup>12</sup> , performing a similar survey to Littlewood et al. <sup>13</sup> , found that |
| 18 | physiotherapy practice in the United Kingdom (UK) was in line with guideline                                    |
| 19 | recommendations in rotator cuff tendinopathy. A similar survey design by Pieters et al                          |
| 20 | <sup>14</sup> found this was also true for Belgian and Dutch physiotherapists. Australian                       |
| 21 | physiotherapist adherence to recommended care is currently unknown, but a survey                                |
| 22 | of Australian general practitioners and rheumatologists demonstrated practice that                              |
| 23 | was contrary to recommended practice guidelines <sup>15, 16</sup> . Specifically, imaging, injection            |

- and surgical referrals were recommended prior to appropriate conservative treatment
  <sup>15</sup>.

| 27 | Physiotherapists are key care providers for people with shoulder pain <sup>12</sup> and therefore |
|----|---|
| 28 | may significantly impact quality of care. However, physiotherapist management of                  |
| 29 | rotator cuff tendinopathy has not been explored in Australia. It is important to identify         |
| 30 | how consistent physiotherapists are in delivering recommended management to                       |
| 31 | identify practice gaps if they exist. They can then be addressed to ultimately reduce             |
| 32 | unnecessary procedures and improve patient outcomes. The aims of this study were                  |
| 33 | to: 1.) investigate physiotherapists' management of rotator cuff tendinopathy; 2.)                |
| 34 | compare this to recommended practice and; 3.) identify any gaps in practice.                      |
| 35 |   |
| 36 | MATERIALS AND METHODS:  |
| 37 | Study design  |
| 38 | A cross-sectional survey exploring physiotherapists' management of rotator cuff                   |
| 39 | tendinopathy was performed. <mark>The design was adapted from a similar survey used in a</mark>   |
| 40 | <mark>prior study by Bury and Littlewood<sup>12</sup>. The research was approved by Monash</mark> |
| 41 | University Human Research Ethics Committee (project ID: 12800).                                   |
| 42 |   |
| 43 | Recruitment and sampling method   |
| 44 | This study used a cross-sectional survey design to gather information from                        |
| 45 | physiotherapists located within Australia. The survey was advertised in the Australian            |
| 46 | Physiotherapy Association's online newsletter on two occasions and participants were              |

| 47                         | provided a link to the online survey. The survey was available online for a 6-month  |
|----------------------------|--|
| 48                         | period from February 2018 to August 2018. Physiotherapists were excluded if they   |
| 49                         | were not from Australia.   |
| 50                         |  |
| 51                         | Survey instrument  |
| 52                         | The survey instrument (see Appendix 1) was constructed using Qualtrics software  |
| 53                         | (Qualtrics, Provo, Utah) and consisted of 27 questions. The survey was initially   |
| 54                         | designed by a sub-group of the investigators and subsequently pilot-tested with a  |
| 55                         | convenience sample of 5 rotator cuff tendinopathy experts, to test for clarity and   |
| 56                         | potential online operational issues. Minor subsequent amendments were made   |
| 57                         | following feedback from the pilot test.  |
| 58                         |  |
| 59                         | In the final instrument, participant characteristics collected were: age range, years of   |
| 60                         |  |
|                            | clinical experience, gender, highest level of qualification and whether they had a   |
| 61                         | clinical experience, gender, highest level of qualification and whether they had a<br>special interest in shoulder pain. <mark>A clinical vignette was provided to assess participant</mark>   |
| 61<br>62                   |  |
|                            | special interest in shoulder pain. A clinical vignette was provided to assess participant  |
| 62                         | special interest in shoulder pain. A clinical vignette was provided to assess participant clinical reasoning (see figure 1), design encapsulating a common initial presentation of   |
| 62<br>63                   | special interest in shoulder pain. A clinical vignette was provided to assess participant clinical reasoning (see figure 1), design encapsulating a common initial presentation of rotator cuff tendinopathy modified from the work of Bury and Littlewood <sup>12</sup> . Clinical  |
| 62<br>63<br>64             | special interest in shoulder pain. A clinical vignette was provided to assess participant clinical reasoning (see figure 1), design encapsulating a common initial presentation of rotator cuff tendinopathy modified from the work of Bury and Littlewood <sup>12</sup> . Clinical vignettes have been shown to be valid tools for reflecting on clinical practice and  |
| 62<br>63<br>64<br>65       | special interest in shoulder pain. A clinical vignette was provided to assess participant clinical reasoning (see figure 1), design encapsulating a common initial presentation of rotator cuff tendinopathy modified from the work of Bury and Littlewood <sup>12</sup> . Clinical vignettes have been shown to be valid tools for reflecting on clinical practice and clinical reasoned decision making <sup>17</sup> . Subsequent questions incorporated: (1) multiple  |
| 62<br>63<br>64<br>65<br>66 | special interest in shoulder pain. A clinical vignette was provided to assess participant<br>clinical reasoning (see figure 1), design encapsulating a common initial presentation of<br>rotator cuff tendinopathy modified from the work of Bury and Littlewood <sup>12</sup> . Clinical<br>vignettes have been shown to be valid tools for reflecting on clinical practice and<br>clinical reasoned decision making <sup>17</sup> . Subsequent questions incorporated: (1) multiple<br>choice questions exploring the frequency of treatment in and expected length of |

understanding of values and specific practice of clinicians in regards to exercise
parameters (e.g. load-intensity, sets, repetitions) and education (e.g. about exercise
progression and regression) they provide patients with rotator cuff tendinopathy.

73

A 57-year-old man, an accountant, presents with a 6-month history of discomfort in his right antero-lateral shoulder region. The pain came on gradually and there is no history of trauma. The pain is intermittent, made worse by reaching overhead and sleeping on his affected side. He has no pain with rest. Passive range of motion is normal. Cervical spine assessment is normal. No imaging studies have been undertaken. He has no other medical conditions, is not taking any medication and there are no indications of red flags. He has not had any treatment, aside from advice from the GP to rest from aggravating activities.

For the purposes of this survey we define a presentation like this as rotator cuff tendinopathy, but please note it has many synonyms in the literature including supraspinatus, infraspinatus or subscapularis tendinopathy, rotator cuff related pain, rotator cuff tendinitis, rotator cuff tears, subacromial bursitis and subacromial impingement syndrome.

- 74 Figure 1. Clinical Vignette
- 75
- 76 Determination of recommended care
- 77 To establish whether participant responses were consistent with current
- 78 recommended management we compared their answers to relevant guidelines. A

- summary of evidence from these guidelines and reviews in reference to questionsarising from the vignette is shown in Appendix 2.
- 81

## 82 <u>Statistical analysis</u>

| 83  | All survey data was exported from Qualtrics to SPSS version 25 (IBM Corp., Armonk,                   |
|-----|--|
| 84  | NY, USA) data analysis software. The prevalence of demographic information including                 |
| 85  | experience, post-graduate training, work setting, work location and special interest in              |
| 86  | shoulder pain was reported. In relation to the clinical vignette, the frequency of                   |
| 87  | referrals for imaging, injections and surgical opinion, as well as exercise, adjunct and             |
| 88  | education interventions were reported for the entire cohort. The relationship                        |
| 89  | education, special interest, work setting and work location and referral decisions (i.e.             |
| 90  | referral for imaging, injection and surgical opinion) were investigated (Chi-square). The            |
| 91  | relationship between frequency of review and work context was also investigated (Chi-                |
| 92  | square). The alpha level for all analyses was set at 0.05.   |
| 93  |  |
| 94  | Each open ended question response was transcribed verbatim with identifying data                     |
| 95  | removed. Microsoft Excel (Microsoft excel, 2016) was used to manage the survey data                  |
| 96  | and compare the responses. A qualitative content analysis approach was employed.                     |
| 97  | This analysis approach allows for large amounts of data to be reduced to concepts that               |
| 98  | describe the research <sup>18</sup> . Two researchers collaboratively identified units of meaning by |
| 99  | reading each response, and manually developing initial codes. The codes were                         |
| 100 | deductively derived into initial categories inspired by the focus of the open questions,             |
| 101 | topics which are often addressed during physiotherapy management. Following regular                  |

| 102 | meetings and discussion, codes were further refined into categories and a descriptive        |
|-----|--|
| 103 | column was inserted into the Excel spreadsheet. In addition, we undertook a                  |
| 104 | frequency count of the content to aid interpretation. We negotiated any researcher-          |
| 105 | perspective differences; and, if necessary, regrouped and recoded until reaching             |
| 106 | consensus. Our final step examined relationships between categories to form themes.          |
| 107 |  |
| 108 | RESULTS  |
| 109 | Five hundred and two physiotherapists completed the survey, with 70.2% (353/502)             |
| 110 | registering complete responses. A flow diagram of recruitment is shown in figure 2.          |
| 111 | Survey advertisement viewed by up to   |
| 112 | 24000 Australian Physiotherapy Members   |
| 113 |  |
| 114 | Completed survey responses (n=504)  Responses excluded (n=2) Reason:                         |
| 115 | Not working in Australia   |
| 116 | Responses included in analysis (n=502)   |
| 117 | <ul> <li>Complete responses (n=353/502)</li> <li>Incomplete responses (n=149/502)</li> </ul> |
| 118 |  |
| 119 | Figure 2. Recruitment flowchart  |
| 120 | The demographic characteristics of the cohort are shown in Table 1. Most respondents         |
| 121 | worked in private practice (344/480; 71.7%) and a metropolitan locations (340/483;           |
| 122 | 69.0%). Similar proportions reported that they did or did not have a special interest in     |

123 managing shoulder pain and post graduate clinical training (e.g. masters or post

124 graduate diploma). Respondents had been treating shoulder pain for an average of

# 126

| Age<br>18-24<br>25-34<br>35-44<br>45-54<br>55-64<br>>64<br>Location<br>Rural<br>Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice<br>Level of education | 36<br>150<br>113<br>105<br>80<br>10 | 7.3%<br>30.4%<br>22.9%<br>21.1%<br>16.2% |
|--|-------------------------------------|--|
| 25-34<br>35-44<br>45-54<br>55-64<br>>64<br>Location<br>Rural<br>Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice                                       | 150<br>113<br>105<br>80             | 30.4%<br>22.9%<br>21.1%                  |
| 35-44<br>45-54<br>55-64<br>>64<br>Location<br>Rural<br>Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice  | 113<br>105<br>80                    | 22.9%<br>21.1%                           |
| 45-54<br>55-64<br>>64<br>Location<br>Rural<br>Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice   | 105<br>80                           | 21.1%                                    |
| 55-64<br>>64<br>Location<br>Rural<br>Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice  | 80                                  |  |
| >64<br>Location<br>Rural<br>Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice   |                                     | 16.2%                                    |
| Location<br>Rural<br>Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice  | 10                                  |  |
| Rural<br>Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice  | 10                                  | 2%                                       |
| Metropolitan<br>Clinical setting<br>Private practice<br>Non-private practice   |                                     |  |
| Clinical setting<br>Private practice<br>Non-private practice   | 143                                 | 29.6%                                    |
| Private practice<br>Non-private practice   | 340                                 | 70.4%                                    |
| Non-private practice   |                                     |  |
|  | 344                                 | 71.7%                                    |
| Level of education   | 136                                 | 28.3%                                    |
|  |                                     |  |
| Postgraduate   | 235                                 | 48%                                      |
| No postgraduate  | 255                                 | 52%                                      |
| Special interest in shoulder pain or rotator cuff rela   | ted pain                            |  |
| Yes  | 220                                 | 45.9%                                    |
| No   | 259                                 | 54.1%                                    |
| Average number of shoulder pain cases treated per  | <sup>r</sup> month                  |  |
| <5   | 96                                  | 20.4%                                    |
| 6-10   | 164                                 | 34.9%                                    |
| 11-20  | 137                                 | 29.2%                                    |
| >20  | 73                                  | 15.5%                                    |
| Table 1. Respondent demographic information  |                                     |  |
| Recommended care for the clinical vignette   |                                     |  |
| Referrals  |                                     |  |

131 Most respondents did not recommend imaging (441/471; 93.6%). Among the minority

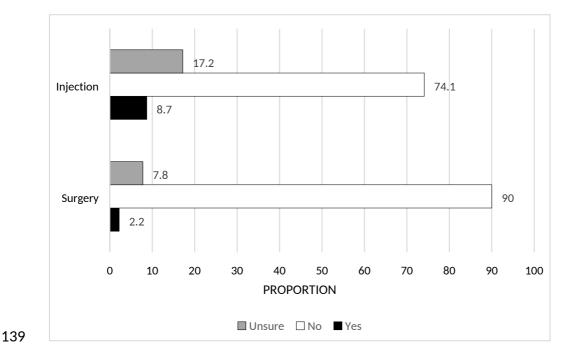
that recommended imaging, the most commonly recommended imaging modality was

133 ultrasound (26/30, 86.7%), followed by MRI (9/30; 30.0%) and X-ray (7/30; 23.3%)

134 (respondents able to pick multiple modalities). Physiotherapists with a special interest

<sup>125 14.8</sup> years (SD=11.7, range 1 to 51 years).

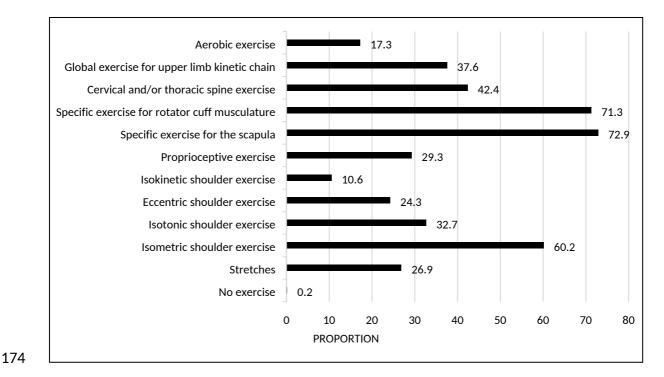
- in shoulder pain (19/214; 8.9%) recommended imaging significantly more than those
- 136 without a special interest (11/245; 4.3%) (Chi-squared=4.095, p=.043). Work setting,
- 137 location and level of training were not associated with imaging decision.
- 138

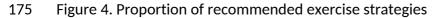


140 Figure 3. Proportion of physiotherapists recommending referral for clinical vignette

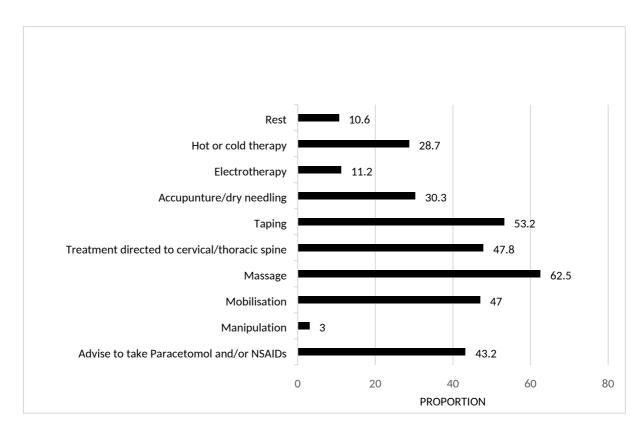
Referral for injection (figure 3). was not recommended by most physiotherapists for
the case presented (340/459; 74.1%) shown in figure 3. The remaining 25.9%
(119/459) were either unsure or would recommend injection. Physiotherapists
working in non-private practice environments were significantly more uncertain
(answering 'unsure') about referral for injection (34/128; 26.6%) than those working in
private practice 13.6% (45/331) (Chi-squared=11.063, p=.004). Special interest,
location and training were not significantly associated with referral decision.

| 150 | A small proportion of physiotherapists would refer for surgical opinion (10/459; 2.2%) |
|-----|--|
| 151 | or were unsure whether to refer (36/459; 7.8%) in reference to the clinical vignette   |
| 152 | (figure 3). Surgical referral was not significantly associated with special interest,  |
| 153 | training, location or work setting.  |
| 154 |  |
| 155 | Management   |
| 156 | Figure 4 shows that various strategies are recommended by physiotherapists for         |
| 157 | rotator cuff tendinopathy. Consistent with recommended care, 99.8% (501/502)           |
| 158 | prescribed some form of exercise. The most popular exercise included scapular          |
| 159 | exercise (366/502; 72.9%), rotator cuff exercise (358/502; 71.3%) and isometric        |
| 160 | exercise (302/502; 60.2%). Less than 30.0% of participants recommended                 |
| 161 | proprioceptive (147/502; 29.3%) or stretching exercise (135/502; 26.9%).               |
| 162 |  |
| 163 | Considering adjunctive management, shown in figure 5, most physiotherapists would      |
| 164 | provide massage (314/502; 62.5%) and taping (267/502; 53.2%). Almost half (240/502;    |
| 165 | 47.8%,) would recommend treatment directed towards the thoracic or cervical spine,     |
| 166 | 47% (236/502) recommended mobilisation, 43.2% (217/502) recommend use of               |
| 167 | paracetamol and oral anti-inflammatories and 30.3% (152/502) perform acupuncture       |
| 168 | or dry needling. The least common treatments were hot or cold therapy (144/502;        |
| 169 | 28.7%), electrotherapy (56/502; 11.2%), rest (53/502; 10.6%) and manipulation          |
| 170 | (15/502; 3%). In the 'other' category further comments were made with                  |
| 171 | recommendations including yoga, postural re-education, trigger point and myofascial    |
| 172 | therapy.   |



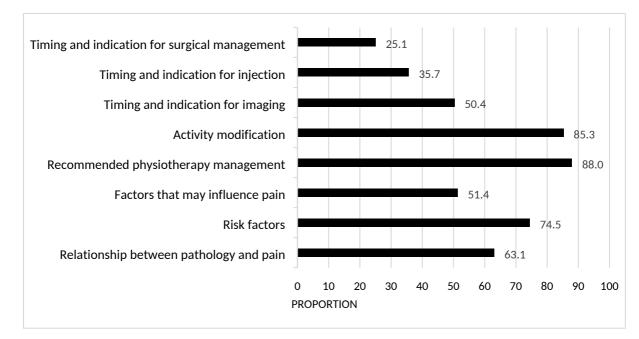


### 



178 Figure 5. Proportion of recommended adjunctive treatment

| 180 | Figure 6 shows the proportion of physiotherapists that would deliver various forms of         |
|-----|---|
| 181 | education. Most physiotherapists (442/502; 88%) would provide education regarding             |
| 182 | recommended physiotherapy management, discuss activity modification in response               |
| 183 | to pain (428/502; 85.3%), educate about risk factors (374/502; 74.5%) and explore the         |
| 184 | relationship between pathology and pain (317/502; 63.1%). About half of the                   |
| 185 | physiotherapists surveyed would provide education on factors that modify pain                 |
| 186 | <mark>(258/502; 51.4%)</mark> and educate patients on the role of imaging (253/502; 50.4%). A |
| 187 | minority of physiotherapists reported discussing the role of injections (179/502;             |
| 188 | 35.7%) and surgery (126/502; 25.1%). Open responses related to education included             |
| 189 | education on posture and scapula positioning, prognosis and the anatomy of the                |
| 190 | shoulder.   |



193 Figure 6. Proportion of recommended education topics

194

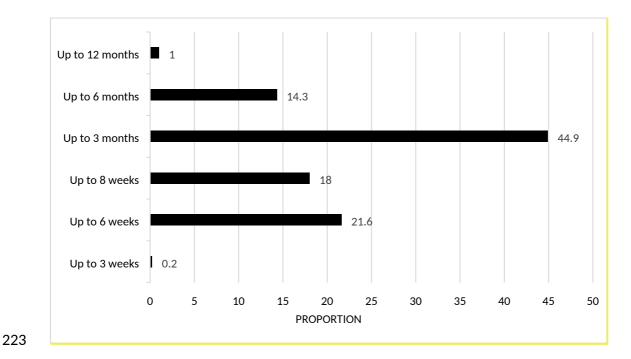
| 195 Information formats |
|-------------------------|
|-------------------------|

- 196 Most physiotherapists would provide written or printed information (396/502;
- 197 78.9%), followed by verbal information (384/502; 76.5%) whilst a minority would
- 198 provide website links (85/502; 16.9%) or recorded videos (162/502; 32.3%).

- 200 Management frequency and duration
- 201 Almost all physiotherapists would review rotator cuff tendinopathy patients either
- 202 weekly (176/353; 49.8%) or fortnightly (161/353; 45.6%) to progress or modify
- 203 exercises. The majority (176/353; 49.8%) are consistent with expert recommendations
- of weekly review for at least 12 weeks <sup>19</sup>. Respondents in private practice (141/320;
- 205 44.1%) were more likely to review frequently (weekly) compared with public sector
- 206 physiotherapists (35/121; 28.9%) (Chi square = 4.50, p=0.025). Metropolitan
- 207 physiotherapists (135/230; 58.7%) were also more likely to review patients weekly
- compared to their rural counterparts (41/105; 39.1%) (Chi square=11.161, p=0.001).
- 209 Special interest and training did not influence frequency of reviews. Some
- 210 physiotherapists reported in the open response field that patient review would
- 211 depend on patient factors such as stage of recovery, independence and coping ability.
- 212 Others reported that appointment availability and patient finances would influence
- attendance frequency.
- 214

Over half of the respondents (240/399; 60.2%) would expect to see rotator cuff
tendinopathy patients for 3 months or longer, whereas 35.8% (158/399) would expect
a timeframe of 6-8 weeks (figure 7). Some physiotherapists reported (in open
response) that timeframes are dependent on patient recovery and factors that may
alter response to treatment such as yellow flags or non-modifiable risk factors. Special
interest, training, work setting, and location were not associated with expectation of
treatment duration.





- 224 Figure 7. Proportion of expected treatment duration
- 225
- 226 Qualitative findings
- 227 Content analysis of the open questions yielded 5 categories with frequency counts
- 228 giving an indication of content inclusion.
- 229

231

1. When prescribing exercise, what instructions do you generally give the patient in regards to pain during exercise?

232 The extent to which respondents allowed for the experience of pain during 233 rehabilitation exercise ranged from no pain during exercise (139/418; 33.3%) to pain 234 being allowed during exercise (279/418; 66.7%). When educating patients that pain is 235 allowed during exercise, approximately half of the respondents reportedly used a 236 numeric rating scale to assist to quantify the appropriate level of pain that may be 237 experienced (142/279; 50.9%). Acceptable parameters reported ranged from "No 238 greater than 1-2 out of 10" (Participant (P) 24) up to "No more than 6 out of 10" (P 239 346). The remainder of respondents allowed pain during exercise (137/279; 49.1%) 240 according to subjective descriptions, ranging from "Mild pain that eases shortly after 241 exercise is ok" (P210) to higher levels, "Any pain is fine during exercise so long as it 242 settles after 24 hours" (P 38). 243 2. When prescribing exercise, what instructions do you generally give the patient in 244 regards to load/resistance? 245 Exercise prescription parameters and the reasoning behind these reportedly varied 246 among respondents. Over half of respondents (196/380; 51.6%) reported graduated 247 intensity with no reasoning to justify their discussion, such as "Start with low load, 1-248 2kg" (P238) or "Exercises start at 60-70% of Repetition Maximum" (P 280). Load intensity was described as symptom dependent (e.g. "Whatever load results in an 249 250 initial 4-5/10 in pain but doesn't worsen "; P 35) or fatigue dependent (e.g. "Enough 251 load so that fatigued at the end of 12-15 reps"; P155) by 24.7% (94/380) of

252 respondents. Of the remaining responses 11.1% (42/380) described load as dependent

| 253 | on technique such as the "maximum that the patient can perform maintaining good         |
|-----|---|
| 254 | form/technique" (P 57), $5.0\%$ (19/380) described load as dependent on goal of         |
| 255 | exercise (strength, endurance, proprioception etc.), 4.7% (18/380) discussed load       |
| 256 | dependent on outcome of clinical assessment and 2.9% (11/380) stated that load was      |
| 257 | dependent on exercise type (isometric, isotonic, stretches etc.).                       |
| 258 |   |
| 259 | 3. When prescribing exercise, what instructions do you generally give the patient in    |
| 260 | regards to progression and regression?  |
| 261 | Ideas and reasoning behind progression and regression were closely aligned among        |
| 262 | respondents and were centered on two key concepts; (1) how to progress or regress       |
| 263 | and (2) why progress or regress. Participants indicated it was necessary to increase    |
| 264 | exercise dose to progress exercise. There were various descriptions of how this could   |
| 265 | be achieved, including: increasing weight or resistance by (58.2%; 113/194), increasing |
| 266 | repetitions or sets (36.1%; 70/194); and increase in range of motion (5.7%; 11/194). To |
| 267 | regress exercises 72.1% (88/122) would reduce repetitions and sets, 24.6% (30/122)      |
| 268 | would reduce resistance or weight while 3.3% would reduce range of motion of the        |
| 269 | exercise (4/122).   |
| 270 |   |
| 271 | Responses describing why they would progress exercises fell into three categories: (1)  |
| 272 | patient's ability to correctly perform the exercise without worsening symptoms          |

273 (97/158; 61.4%); (2) progression based on timelines (e.g. "every 3-4 weeks"; P 1)

274 (25/158; 15.8%) and (3) individual performance during clinical assessment (36/158;

275 22.8%). Similar categories were reported when respondents discussed when they

| 276 | would regress exercises. Most (189/207; 91.3%) cited they would regress exercises and       |
|-----|---|
| 277 | "reduce repetitions or resistance if the exercise "was too difficult or painful" (P 354) or |
| 278 | if the exercise was performed incorrectly. The remainder (18/207; 8.7%) indicated they      |
| 279 | would regress exercises if required following clinical patient examination but were         |
| 280 | non-specific as to why.   |
| 281 |   |
| 282 | 4. When prescribing exercise, what instructions do you generally give the patient in        |
| 283 | regards to reps and sets?   |
| 284 | Substantial variability was expressed by respondents concerning the clinical reasoning      |
| 285 | behind the prescription of exercise repetitions and sets. Most participants (254/396;       |

286 64.1%) cited the prescription of a specific number of repetitions and sets without

287 providing reasoning. The number of repetitions and sets was highly variable ranging in

number from "1 set of 15" (P 104) to, "An easy approach to remember: 8-12

repetitions, 3-5 sets" (P 343). Where a reason was given, this commonly related to

ensuring appropriate pain and tolerance to exercise (43/396; 10.9%). For example, one

respondent indicated, "it depends on their pain and [symptom] irritability. Often more

endurance based, 2-3 sets 15-20, but more irritable patients do smaller doses more

293 frequently" (P 391). Other respondent reported that prescribed repetitions and sets

were dependent on the type of exercise (34/396; 8.6%) (e.g. "3x45sec holds

[isometric], 3x12 [isotonic])" (P 12), the ability to "generate fatigue" (P 472) (5.3%:

296 21/396), the patient's goals (22/396; 5.6%) or clinical reassessment (22/396; 5.6%)

297

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| pathy <mark>. This</mark><br>reported<br>nion prior to<br>disparity is<br>magement; |
|   |

319 Exercise treatment

320 We found that almost all (99.8%) of physiotherapists surveyed provide some form of 321 exercise for rotator cuff tendinopathy, however, there was substantial variability 322 regarding exercise type. This is unsurprising when considering the number of exercise 323 trials and the non-uniformity of exercise interventions in the literature <sup>10, 11, 20</sup>. The 324 most recent Cochrane systematic review on exercise interventions for rotator cuff 325 tendinopathy included 60 exercise trials varied in regards to exercise type and 326 parameters <sup>10</sup>. From the survey, most physiotherapists direct exercise treatment towards the scapula (72.9%) and rotator cuff musculature (71.3%), consistent with 327 328 current literature and guideline suggestions <sup>6,8</sup>. Isometric exercise is the most popular 329 exercise type used by 60.2% of physiotherapists in the survey, despite limited evidence on this approach<sup>10</sup>. Isotonic exercise, more commonly described in the literature is 330 331 only used by 32.7% of physiotherapists. However, it is possible that they used both 332 isometric and isotonic exercise types in parallel, as has been recommended by narrative reviews in other tendinopathies <sup>21</sup>. 333 334 335 Physiotherapists' views about exercise parameters 336 Overall, there was substantial variability in recommended exercise parameters which

is consistent with the diversity of approaches in the current literature <sup>10, 11</sup>. Pain was
consistently a major factor in determining exercise parameters. Most physiotherapists
surveyed allow pain during exercise, the amount of pain allowed highly variable. A
recent consensus of shoulder clinical experts recommend mild to moderate pain (less
than 4/10 pain on a numeric rating scale) during exercises, as long as pain subsides to
baseline level within 12 hours <sup>19</sup>. However, the authors note that some experts in this

consensus group believed that no pain should be allowed during shoulder exercise <sup>8</sup>. In
contrast, a recent systematic review assessing the effect of painful and pain-free
exercise on musculoskeletal pain concluded that painful exercise results in improved
patient outcomes in the short term and equivalent outcomes in the longer term <sup>22</sup>.
Future studies should assess whether painful exercise leads to superior outcomes and
the optimal level of pain during exercise.

349

350 Recommendations for exercise parameters were highly variable, once again reflecting 351 the lack of consensus in the literature <sup>10, 11, 20</sup>. We identified a substantial diversity in 352 recommendations for the amount of load, sets, repetitions and frequency of exercise, 353 as well as the method of introducing load (weights, resistance bands, body weight 354 etc.). The principle of gradually increasing the difficulty of exercise by adding load or 355 other dose parameters (e.g. sets, reps) featured strongly in the views of the physiotherapists and this is consistent with current evidence and guidelines <sup>5, 6</sup>. 356 357 358 The rationale for recommended exercise parameters was predominately based on 359 patient-reported symptoms. Fewer responses cited parameters dependent on other 360 factors including quality of movement, generation of fatigue or goal dependent. It is 361 well recognized that musculoskeletal conditions such as rotator cuff tendinopathy 362 have various clinical phases with differing symptom levels <sup>23</sup>. It follows, that in more 363 symptomatic phases pain may be a key driver of exercise decisions and this may switch 364 to other factors such as functional capacity in more symptomatic phases. More

| 365   | research is required to understand the key criteria that we should use to guide dosage  |
|---|---|
| 366   | prescription for people with different clinical stages of rotator cuff tendinopathy.  |
| 367   |   |
| 368   | The lack of consensus on exercise treatment for rotator cuff tendinopathy may also be   |
| 369   | a reflection of a heterogeneous population that requires a diverse and adaptable  |
| 370   | approach to treatment <sup>24</sup> . People with rotator cuff tendinopathy present with differing  |
| 371   | levels of pain and dysfunction and clinicians may accordingly adjust exercise approach  |
| 372   | based on these and other presenting characteristics <sup>25</sup> . Further research is required to   |
| 373   | determine whether <mark>this explains</mark> heterogeneity in respondent exercise   |
| 374   | recommendation and based on what parameters. <mark>Consequently, trial designs can be</mark>  |
| 275   | developed to test the efficacy of novel exercise approaches informed by the current   |
| 375   | developed to test the entracy of novel exercise approaches informed by the current  |
| 375   | evidence and clinicians beliefs.  |
|   |   |
| 376   |   |
| 376<br>377                                    | evidence and clinicians beliefs.  |
| 376<br>377<br>378                             | evidence and clinicians beliefs.<br>Adjunctive treatment  |
| 376<br>377<br>378<br>379                      | evidence and clinicians beliefs.<br>Adjunctive treatment<br>Adjunctive care was also highly varied, but most physiotherapists recommended some  |
| 376<br>377<br>378<br>379<br>380               | evidence and clinicians beliefs.<br><i>Adjunctive treatment</i><br>Adjunctive care was also highly varied, but most physiotherapists recommended some<br>form of manual therapy (massage, mobilization) in line with current guideline  |
| 376<br>377<br>378<br>379<br>380<br>381        | evidence and clinicians beliefs.<br><i>Adjunctive treatment</i><br>Adjunctive care was also highly varied, but most physiotherapists recommended some<br>form of manual therapy (massage, mobilization) in line with current guideline<br>recommendations <sup>5-7</sup> . Surprisingly almost half (43.2%) of the respondents discussed  |
| 376<br>377<br>378<br>379<br>380<br>381<br>382 | evidence and clinicians beliefs.<br><i>Adjunctive treatment</i><br>Adjunctive care was also highly varied, but most physiotherapists recommended some<br>form of manual therapy (massage, mobilization) in line with current guideline<br>recommendations <sup>5-7</sup> . Surprisingly almost half (43.2%) of the respondents discussed<br>the use of paracetamol and oral anti-inflammatories for pain despite clear guidelines |

- 386 practitioners <sup>5, 6, 8</sup>. Given the proportion of physiotherapists engaging in out of scope
- 387 practice, training in appropriate strategies and referral pathways to provide advice

| 388 | about medication is warranted. Alternatively, education pathways could be introduced   |
|-----|--|
| 389 | allowing physiotherapists to extend their scope of practice to advice and prescription |
| 390 | of basic medication <sup>27</sup> .  |

| 392 | A notable finding of this survey was that low value or unknown value adjunctive care is             |
|-----|---|
| 393 | evident with one in ten (11.2%) physiotherapists surveyed using electrotherapy.                     |
| 394 | Multiple studies have concluded that electrotherapy provides no benefit in rotator cuff             |
| 395 | tendinopathy <sup>6, 28</sup> . At best these practices waste valuable patient time and health-care |
| 396 | resources and at worse they may result in failure of conservative treatment, extend                 |
| 397 | patient morbidity and even result in unnecessary second line interventions (e.g.                    |
| 398 | injections and surgery) <sup>29</sup> .   |
|     |   |

399

400 Education

401 Variable education is provided as outlined by survey responses. Education about activity modification and risk factors is recommended in clinical guidelines <sup>5, 6, 9</sup> and 402 403 was recommended by most physiotherapists (85.3% and 74.5% respectively). It is 404 concerning that 15% of physiotherapists in this sample do not provide advice about 405 activity modification. Continuing activities that aggravate symptoms may result in 406 failure of conservative management <sup>6</sup>. The most concerning gap in education provided 407 related to treatments and referrals. As part of evidence based medicine it is important 408 for clinicians to provide patients with an overview of treatments available and the role 409 and efficacy for these treatments <sup>30</sup>. This includes out of scope treatments such as 410 injections and surgery. If patient's are not educated on these different options, they

are unable to make informed choices, engaging instead in limited shared decision
making conversations, and ethically flawed practice <sup>31</sup>. Greater emphasis needs to be
placed on education of various diagnostic and treatment options, allowing the patient
to make informed choices in the shared decision making process. *Imaging, injections and surgery*Regarding recommendations for surgical referral and imaging, physiotherapists were
generally consistent with the current evidence, suggesting neither is required for our

- 419 clinical vignette. Some uncertainty was evident regarding whether referral for injection
- 420 was indicated, expressed by 17.2% of respondents, those working in non-private
- 421 practice environments were more likely to be uncertain. This uncertainty is reflected in
- 422 the literature with some guidelines recommending corticosteroid injection as part of
- 423 initial treatment <sup>8, 32</sup> as opposed to recommending injection only after no
- 424 improvement with conservative management <sup>6</sup>. This warrants further research into
- 425 injection treatments to reach consensus on specific indications in rotator cuff
- 426 tendinopathy and education of Australian physiotherapists.
- 427

428 Environmental impacts

- 429 Physiotherapists in non-private practice environments and those in rural areas were
- 430 more likely to review patients less frequently. Expert consensus recommends weekly
- 431 reviews of rotator cuff tendinopathy patients over a period of at least 12 weeks <sup>19, 33</sup>
- 432 .Limited physiotherapy capacity in rural areas is a likely explanation for less frequent
- 433 reviews in rural areas. Decreased community access and scarcity of services have been

| 434 | shown to increase the burden on rural physiotherapy services, impeding their ability to         |
|-----|---|
| 435 | provide frequent reviews <sup>34</sup> . Whether weekly physiotherapy services are required for |
| 436 | rotator cuff tendinopathy and whether they can be partly replaced by remote care in             |
| 437 | rural areas or even online resources requires further investigation.                            |

### 439 Comparison to other nationalities

- 440 Since this study is similar to those of Bury and Littlewood <sup>12</sup> and Pieters et al. <sup>14</sup> some
- 441 comparisons can be made between the current physiotherapy practice of Australia,
- 442 the UK, Belgium and the Netherlands. Frequency of imaging referral for Australian
- 443 physiotherapists (6.4%) was similar to that in the UK (9%) whereas a higher proportion
- 444 (31%) of Belgian and Dutch physiotherapists would recommend imaging <sup>12, 14</sup>. Similar
- 445 to the Dutch and Belgians (37.8%), Australian physiotherapists (47%) would often
- 446 recommend mobilisation as part of treatment, compared to only 21% of those in the
- 447 UK <sup>12, 14</sup>. Exercise and education were highly recommended, a staple of management
- 448 by all nationalities, however differences within this practice are evident by region.
- 449 Isotonic exercise was recommended less commonly by Australian physiotherapists
- 450 (32.7%) compared to their counterparts in the UK (67%) and the Netherlands and
- 451 Belgium (59.8%)<sup>12, 14</sup>. The rates of recommending exercise into some discomfort were
- 452 comparable between regions, as were the low use of electrotherapy and
- 453 recommendations for injection or surgical opinion.
- 454
- 455 Overall, physiotherapists are relatively consistent in applying recommended practice
- 456 through delivery of exercise and education and avoiding inappropriate referrals for

457 imaging and surgical opinion. Heterogeneity exists in the methods and parameters of
458 treatment delivery. The limitations of the evidence base provide general practice
459 guidelines only, leading to heterogeneity in the application of individual treatment
460 regimes.

- 461
- 462

### **LIMITATIONS**

463 Although we were able to sample a large cohort (>500 respondents), a small 464 proportion of questions (open responses about exercise parameters and questions 465 about frequency and duration) were incomplete. Further, we used convenience 466 sampling methods and were unable to calculate a response rate. There are 26000 467 Australian Physiotherapy Association members that may have seen our advertisement 468 (a much smaller number is likely to have seen it) and we surveyed 502 people (1.9% of 469 members). It is possible there was selection bias, for example, clinicians that were 470 confident in their rotator cuff tendinopathy knowledge responded. There were also 471 significantly more responses from clinicians in private practice and from metropolitan 472 regions, so the responses may not be indicative of the wider Australian physiotherapy 473 population. Regardless of this limitation, this is a first step towards understanding the 474 quality of care delivered by physiotherapists managing rotator cuff tendinopathy. 475 There are also limitations related to de-identified online surveys that need to be 476 highlighted. De-identified online surveys introduce the risk of participants completing 477 the questionnaire more than once and the possibility that participants are not 478 registered physiotherapists. Use of a clinical vignette may be considered a limitation as it can reduce external validity of the findings<sup>35</sup>, impacting the strength of this study. 479

However careful construction of the vignette using current research to inform design

481 may reduce this risk<sup>35</sup>.

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- Physiotherapists are broadly consistent with best practice recommendations
- Heterogeneity exists in delivery of exercise, education and adjunctive treatment
- Many physiotherapists engage in out of scope care through recommending medication
- Australian physiotherapy practice is comparable to that of other nationalities

# 1 Abstract

| 2  | Background: Rotator cuff tendinopathy is a common and disabling cause of shoulder pain.     |
|----|---|
| 3  | While conservative treatment is recommended as initial management, recent findings          |
| 4  | suggest that general practitioners and rheumatologists do not consistently align with       |
| 5  | recommended care. This study aimed to survey Australian physiotherapists to explore the     |
| 6  | extent to which recommended management is being applied.                                    |
| 7  | Methods: A cross-sectional online survey  |
| 8  | Results: Five hundred and two Australian physiotherapists completed the survey. Results     |
| 9  | demonstrated the majority of physiotherapists provide conservative management               |
| 10 | consistent with guideline recommendations, through delivery of exercise and education,      |
| 11 | comparable to management by physiotherapists in the United Kingdom, Belgium and the         |
| 12 | Netherlands. Parameters and construction of exercise treatment programs were highly         |
| 13 | variable within the cohort, qualitative analysis highlighting varied reasoning underpinning |
| 14 | these management decisions.   |
| 15 | Conclusions: Australian physiotherapists are broadly consistent with providing              |
| 16 | recommended management, however heterogeneity exists in the methods and parameters          |
| 17 | of treatment delivery.  |
| 18 |   |
| 19 |   |
| 20 | Keywords: rotator cuff, management, tendinopathy  |
| 21 |   |
| 22 |   |