

## On the space of entire functions of $(p, q)$ order $\rho$

by

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1. **Introduction.** Let  $\Gamma$  denote the space of all functions entire in the complex plane endowed with the topology  $\tau$  of uniform convergence on compact subsets of the complex plane. A number of topologies equivalent to  $\tau$  have been defined on  $C^\dagger$  by Iyer [5], Arsove [1] and others. Various properties of space  $\Gamma$  such as dual, linear transformations, proper bases etc. have also been investigated by these authors [2; 6].

Different subclasses of  $C$  have also been considered and topologies analogous to those considered by Iyer and Arsove have been defined. Thus Krishnamurthy [8] considered the subclasses  $C(\rho)$  and  $C(\rho, d)$  of  $C$  where  $C(\rho)$  consists of all entire functions whose order does not exceed  $\rho$  while  $C(\rho, d)$  contains all entire functions of order not exceeding  $\rho$  and type not exceeding  $d$  if of order  $\rho$ . Ekblaw [4] considered the subspaces of entire functions consisting of functions of bounded index and of periodic entire functions, whereas Laird [9] considered space of entire mean periodic functions.

Recently, a new classification scheme for entire functions has been proposed in [7] by introducing concepts of index pair and  $(p, q)$  order. Thus for  $\alpha \in C$  and  $p \geq 2, q \geq 1$  set

$$(1.1) \quad \rho(p, q) \equiv \rho = \limsup_{r \rightarrow \infty} \frac{\log^{[p]} M(r; \alpha)}{\log^{[q]} r},$$

where

$$(1.2) \quad M(r; \alpha) = \max_{|z|=r} |\alpha(z)| \quad \text{and for } m=1, 2, \dots$$

$$(1.3) \quad \log^{[m]} x = \log(\log^{[m-1]} x), \quad \log^{[0]} x = x.$$

The entire function  $\alpha(z)$  is said to have *index pair*  $(p, q)$ ,  $p \geq 2, q \geq 1$ , if  $b < \rho(p, q) < \infty$  and  $\rho(p-1, q-1)$  is not a non-zero finite number, where

$$(1.4) \quad b=0 \quad \text{if } p > q \quad \text{and} \quad b=1 \quad \text{if } p=q.$$

If  $f(z)$  has the index pair  $(p, q)$  then  $\rho = \rho(p, q)$  is called its  $(p, q)$  order (For details regarding index pair and  $(p, q)$  order, see [7]).

If  $\alpha(z)$  is represented by the power series  $\sum_0^\infty a_n z^n (|z| < \infty)$ , the

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<sup>†</sup>  $C$  denotes the class of all entire functions.

coefficient characterisation for  $\rho(p, q)$  has also been obtained [7]. In fact, it has been shown that

$$(1.5) \quad \rho(p, q) = P(L(p, q))$$

where,

$$(1.6) \quad L(p, q) = \limsup_{n \rightarrow \infty} \frac{\log^{[p-1]} n}{\log^{[q-1]} (\log |a_n|^{-1/n})}$$

$$P(L(p, q)) = L(p, q) \quad \text{if } p > q$$

$$= 1 + L(p, q) \quad \text{if } p = q = 2$$

$$= \max(1, L(p, q)) \quad \text{if } 3 \leq p = q < \infty.$$

Let  $C_{(p,q)}(\rho)$  denote the class of all entire functions whose index pair does not exceed  $(p, q)$  and whose  $(p, q)$  order does not exceed  $\rho$  if of index pair  $(p, q)$ . It is easily seen that  $C_{(p,q)}(\rho)$  is a linear space over the complex field  $E$  with the usual addition and scalar multiplication. Further, any element  $\alpha(z) = \sum_{n=0}^{\infty} a_n z^n \in C_{(p,q)}(\rho)$  is characterised by the equation

$$(1.7) \quad |a_n|^{1/n} \exp^{[q-1]} (\log^{[p-2]} n)^{1/\rho+\delta-A} \rightarrow 0 \quad \text{as } n \rightarrow \infty \quad \text{for every } \delta > 0,$$

where

$$(1.8) \quad A = 1 \quad \text{for } (p, q) = (2, 2)$$

$$= 0 \quad \text{otherwise.}$$

Define

$$(1.9) \quad \|\alpha; \rho + \delta\| = \sum_{n=0}^{\infty} |a_n| \exp(n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho+\delta-A})$$

where for  $m=0, 1, 2, \dots$   $\exp^{[m]} x = \exp(\exp^{[m-1]} x)$ ,  $\exp^{[-m]} x = \log^{[m]} x$ ,

$$(1.10) \quad \lambda_n = N_0 \quad \text{for } 0 \leq n \leq N_0$$

$$= n \quad \text{for } n > N_0$$

and

$$N_0 = [\exp^{[p-3]} 1] + 1.$$

Clearly, for every  $\delta > 0$  and  $\alpha \in C_{(p,q)}(\rho)$ , (1.9) defines a norm. Denote the corresponding normed space by  $\Gamma_{(p,q)}(\rho, \delta)$  and let  $\Gamma_{(p,q)}(\rho)$  be the weakest topology which is stronger than each  $\Gamma_{(p,q)}(\rho, \delta)$ . Obviously,  $\Gamma_{(p,q)}(\rho)$  is generated by the family  $\{\Gamma_{(p,q)}(\rho, \delta), \delta > 0\}$ . Further, it can be easily verified that  $\Gamma_{(p,q)}(\rho)$  is an  $F$ -space [3] under the induced metric

$$(1.11) \quad d(\alpha, \beta) = \|\alpha - \beta\| = \sum_{p=1}^{\infty} \frac{1}{2^p} \frac{\|\alpha - \beta; \rho + 1/p\|}{1 + \|\alpha - \beta; \rho + 1/p\|}.$$

In this paper, we study different properties of the space  $\Gamma_{(p,q)}(\rho)$

such as its dual, linear transformations of  $\Gamma_{(p,q)}(\rho)$  into itself, classical interpretation of convergence in  $\Gamma_{(p,q)}(\rho)$  etc. In Theorems 4 and 5 we give necessary and sufficient conditions for a basis in  $\Gamma_{(p,q)}(\rho)$  to be a proper basis.

2. Preliminary Lemmas. In this section we state a few lemmas which are either well known or can be easily proved on the lines adopted in [8].

LEMMA 1. Let  $\Gamma_0$  be a subspace of  $\Gamma_{(p,q)}(\rho)$ . Let  $f$  be a linear functional defined and continuous on  $\Gamma_0$  in the topology of  $\Gamma_{(p,q)}(\rho)$ . Then  $f$  is continuous on  $\Gamma_0$  regarded as a subspace of  $\Gamma_{(p,q)}(\rho, \delta)$  for some  $\delta > 0$ .

LEMMA 2. The set  $\Gamma_{(p,q)}^*(\rho)$  of continuous linear functionals on  $\Gamma_{(p,q)}(\rho)$  is the union of the sets  $\Gamma_{(p,q)}^*(\rho, \delta)$  for all  $\delta > 0$ .

LEMMA 3 [3, Theorem 5, p. 58]. If a linear space  $V$  is an  $F$ -space under each of two metrics, and if one of the corresponding topologies contains the other, the two topologies are equal.

LEMMA 4. If  $\|\alpha\| \geq k$  ( $0 < k < 2$ ), then  $\|\alpha; \rho + \delta\| \geq k/(2-k)$  for some  $\delta = \delta_0$  where  $0 < \delta_0 \leq 1$ , and therefore for all values of  $\delta \leq \delta_0$ .

Remark. A consequence of this Lemma is that if a series converges in  $\Gamma_{(p,q)}(\rho, \delta)$  for each  $\delta > 0$ , then it converges in  $\Gamma_{(p,q)}(\rho)$ .

LEMMA 5. Let  $T$  be a linear transformation of  $\Gamma_{(p,q)}(\rho)$  into itself. In order that  $T$  be continuous, it is necessary and sufficient that, for each  $\delta_2 > 0$ , there exists some  $\delta_1 > 0$  such that  $T$  is continuous linear transformation from  $\Gamma_{(p,q)}(\rho, \delta_1)$  into  $\Gamma_{(p,q)}(\rho, \delta_2)$ .

3. Dual Space  $\Gamma_{(p,q)}^*(\rho)$ . In this section we obtain the general form of continuous linear functionals on  $\Gamma_{(p,q)}(\rho)$ . Thus we have

THEOREM 1. (a) A functional  $f \in \Gamma_{(p,q)}^*(\rho, \delta)$  is of the form

$$f(\alpha) = \sum_{n=0}^{\infty} c_n a_n, \quad \alpha(z) = \sum_0^{\infty} a_n z^n \in \Gamma_{(p,q)}(\rho, \delta)$$

where

$$(3.1) \quad |c_n| \exp \{ -n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho+\delta-A} \}$$

is bounded and conversely.

(b) Every functional  $f \in \Gamma_{(p,q)}^*(\rho)$  is of the form  $f(\alpha) = \sum_0^{\infty} c_n a_n$ ,  $\alpha(z) = \sum_0^{\infty} a_n z^n \in \Gamma_{(p,q)}(\rho)$  where

$$(3.2) \quad (\log^{[q-1]} |c_n|^{1/n}) (\log^{[p-2]} \lambda_n)^{-1/\rho-A} \rightarrow 0 \quad \text{as } n \rightarrow \infty$$

and conversely.

Proof. (a) Suppose  $f(z^n) = c_n$ . Assume  $f \in \Gamma_{(p,q)}^*(\rho, \delta)$ , then

$$f(\alpha) = \lim_{n \rightarrow \infty} f\left(\sum_0^n a_k z^k\right) = \lim_{n \rightarrow \infty} \sum_0^n c_k a_k = \sum_0^\infty c_n a_n .$$

Further, by definition of continuity of  $f$ , given  $\delta > 0$  there exists  $K(\delta)$  such that

$$|f(\alpha)| \leq K \|\alpha; \rho + \delta\| .$$

Putting  $\alpha = z^n$ , we get

$$|c_n| \leq K \|z^n, \rho + \delta\| = K \exp \{n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho+\delta-A}\}$$

which yields (3.1).

Conversely, let  $f(\alpha) = \sum_0^\infty c_n a_n$ , where  $\{c_n\}$  satisfies (3.1). Obviously  $f$  is linear. To show  $f$  is continuous, consider

$$\begin{aligned} |f(\alpha)| &= \left| \sum_0^\infty c_n a_n \right| \leq \sum_0^\infty |c_n| |a_n| \\ &= K_1 \sum_0^\infty |a_n| \exp \{n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho+\delta-A}\} \\ &= K_1 \|\alpha; \rho + \delta\| \end{aligned}$$

so that  $f \in \Gamma_{(p,q)}^*(\rho, \delta)$ .

The result in 1(b) immediately follows in view of the above result and Lemma 2.

4. Convergence in  $\Gamma_{(p,q)}(\rho)$ . The main result of this section is the classical interpretation of convergence in  $\Gamma_{(p,q)}(\rho)$ . The following observations about convergence in  $\Gamma_{(p,q)}(\rho)$  can be easily noted.

- (i) The topology induced on  $C_{(p,q)}(\rho)$  by  $\Gamma$  is weaker than  $\Gamma_{(p,q)}(\rho)$ .
- (ii) Convergence in  $\Gamma_{(p,q)}(\rho)$  is equivalent to convergence in  $\Gamma_{(p,q)}(\rho, \delta)$  for every  $\delta > 0$ .
- (iii) The sequence of partial sums of  $\alpha(z) = \sum_0^\infty a_n z^n$  converges to  $\alpha$  in  $\Gamma_{(p,q)}(\rho)$ .

Next we have

**THEOREM 2.** *Let  $\{\alpha_n\}$  be a sequence of elements of  $\Gamma_{(p,q)}(\rho)$ . The statement  $\alpha_n \rightarrow \alpha$  in  $\Gamma_{(p,q)}(\rho)$  is equivalent to the statement that for every  $\delta > 0$ , the sequence  $\alpha_n(z)$  of entire functions converges to  $\alpha(z)$  uniformly over compact subsets of  $D_a: \{z: |z| > a\}$  relative to the function*

$$\exp \left( + \int_a^{|z|} \frac{\exp^{[p-2]} (\log^{[q-1]} t)^{\rho+\delta}}{t} dt \right) ,$$

where  $a = \max \{1, \exp^{[q-2]} 1\}$ .

*Proof.* Define

$$(4.1) \quad \|\alpha, \rho + \delta\|_1 = \max_{z \in D_a} \left\{ \exp \left( - \int_a^{|z|} \frac{\exp^{[p-2]} (\log^{[q-1]} t)^{\rho+\delta}}{t} dt \right) \right\} |\alpha(z)| .$$

Clearly, for every  $\delta > 0$  and  $\alpha \in C_{(p,q)}(\rho)$ , (4.1) defines a norm. Denote

the corresponding normed space by  $\Gamma'_{(p,q)}(\rho, \delta)$ . Let  $\Gamma'_{(p,q)}(\rho)$  be the topology generated by the family  $\{\Gamma'_{(p,q)}(\rho, \delta); \delta > 0\}$ . Now we shall show that  $\Gamma'_{(p,q)}(\rho)$  is an  $F$ -space under the metric

$$(4.1a) \quad d'(\alpha, \beta) \equiv \|\alpha - \beta\|_1 = \sum_{p=1}^{\infty} \frac{1}{2^p} \frac{\|\alpha - \beta; \rho + 1/p\|_1}{1 + \|\alpha - \beta; \rho + 1/p\|_1}.$$

Clearly  $d'$  is an invariant metric and for  $\lambda \in E$ ,  $\alpha \in C_{(p,q)}(\rho)$  the mapping  $(\lambda, \alpha) \rightarrow \lambda\alpha$  is simultaneously continuous. Thus, for  $\Gamma'_{(p,q)}(\rho)$  to be an  $F$ -space, it is sufficient to show that it is complete. Consider  $\alpha_{p'}(z) = \sum_{n=0}^{\infty} \alpha_{p',n} z^n$  as Cauchy sequence in  $\Gamma'_{(p,q)}(\rho)$ . Then it is Cauchy in  $\Gamma'_{(p,q)}(\rho, \delta)$  for every  $\delta > 0$ . Thus, given  $\varepsilon > 0$  there exists  $p_0(\varepsilon)$  such that for  $p', q' \geq p_0(\varepsilon)$ , we have

$$(4.2) \quad \max_{z \in D_a} \left\{ \exp \left( - \int_a^{|z|} \frac{\exp^{[p-2]} (\log^{[q-1]} t)^{\rho+\delta}}{t} dt \right) \right\} |\alpha_{p'}(z) - \alpha_{q'}(z)| \leq \varepsilon.$$

Let  $S$  be a compact subset of  $D_a$  then  $r_1 = \sup_{z \in S} |z| > a$ .

$$\left\{ \exp \left( - \int_a^{r_1} \frac{\exp^{[p-2]} (\log^{[q-1]} t)^{\rho+\delta}}{t} dt \right) \right\} |\alpha_{p'}(z) - \alpha_{q'}(z)| \leq \varepsilon \text{ for every } z \in S.$$

Then, for every  $z \in S$  and  $p', q' \geq p_0$ , we have

$$|\alpha_{p'}(z) - \alpha_{q'}(z)| \leq \varepsilon \exp \left( \int_a^{r_1} \frac{\exp^{[p-2]} (\log^{[q-1]} t)^{\rho+\delta}}{t} dt \right).$$

Hence  $\{\alpha_{p'}(z)\}$  converges uniformly over compact subsets of  $D_a$  to a regular function  $\alpha(z)$ . Now consider a circle  $A \equiv \{z: |z| = r_0 > a\}$ . Since  $\{\alpha_{p'}(z)\}$  is a sequence of entire functions converging uniformly on  $A$ , it also converges uniformly inside  $A$  to a function  $\beta(z)$  regular inside  $A$ . But  $\alpha(z)$  and  $\beta(z)$  coincide in the region  $\equiv \{z: a < |z| \leq r_0\}$ . Thus  $\alpha(z)$  can be analytically continued over the whole complex plane. Hence  $\alpha(z)$  is an entire function which belongs to  $C_{(p,q)}(\rho)$ . From (4.2), it follows that  $\alpha_{p'} \rightarrow \alpha$  in  $\Gamma'_{(p,q)}(\rho, \delta)$  for every  $\delta > 0$ . Hence  $\alpha_{p'} \rightarrow \alpha$  in  $\Gamma'_{(p,q)}(\rho)$ . Thus  $\Gamma'_{(p,q)}(\rho)$  is a complete linear metric space, metrizable with invariant metric  $d'$ . So it is an  $F$ -space.

We now show that the topology  $\Gamma'_{(p,q)}(\rho)$  is comparable with  $\Gamma_{(p,q)}(\rho)$ . For this, consider

$$\begin{aligned} & \max_{\substack{r \\ a < r < \infty}} |\alpha_n| r^n \exp \left( - \int_a^r \frac{\exp^{[p-2]} (\log^{[q-1]} t)^{\rho+\delta}}{t} dt \right) \\ &= |\alpha_n| \max_r \exp \left( - \int_a^r \frac{\exp^{[p-2]} (\log^{[q-1]} t)^{\rho+\delta}}{t} dt + n \log r \right) \\ &= |\alpha_n| \exp \left( - \int_a^{\exp^{[q-1]} (\log^{[p-2]} \lambda_n)^{1/\rho+\delta}} \frac{\exp^{[p-2]} (\log^{[q-1]} t)^{\rho+\delta}}{t} dt \right. \\ & \quad \left. + n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho+\delta} \right) \\ &< |\alpha_n| \exp (+ n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho+\delta-A}). \end{aligned}$$

Hence two topologies are comparable. Thus Lemma 3 gives that metrics (4.1a) and (1.11) are equal. This completes the proof.

**THEOREM 3.** *A necessary and sufficient condition that there exists a continuous linear transformation  $T$  from  $\Gamma_{(p,q)}(\rho)$  into itself with  $T(z^n) = \alpha_n$ ,  $n=0, 1, 2, \dots$ , is that, for each  $\delta > 0$ ,*

$$(4.5) \quad \text{Lt Sup}_{n \rightarrow \infty} \frac{\log^{[q]} \|\alpha_n; \rho + \delta\|^{1/n}}{\log^{[p-1]} \lambda_n} < \frac{1}{\rho - A}.$$

*Proof.* Suppose  $T$  is a continuous linear transformation from  $\Gamma_{(p,q)}(\rho)$  into itself. Then, by Lemma 5, for every  $\delta > 0$ , there exists a  $\delta_1(\delta) > 0$  such that  $T$  is continuous linear transformation from  $\Gamma_{(p,q)}(\rho, \delta_1)$  into  $\Gamma_{(p,q)}(\rho, \delta)$ . Hence there exists a constant  $M(\delta)$  such that

$$\|T(z^n); \rho + \delta\| \leq M \|z^n; \rho + \delta_1\|$$

i.e.,

$$\|\alpha_n; \rho + \delta\| \leq M \exp \{n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho + \delta_1 - A}\}$$

which gives (4.5).

Conversely, let  $\alpha(z) = \sum_0^\infty a_n z^n \in \Gamma_{(p,q)}(\rho)$ , so that

$$|a_n|^{1/n} \exp^{[q-1]} (\log^{[p-2]} \lambda_n)^{1/\rho + \delta - A} \rightarrow 0 \quad \text{as } n \rightarrow \infty \text{ for every } \delta > 0.$$

So, given  $\eta > 0$ , there exists  $n_0(\eta) > N_0$ , such that

$$(4.6) \quad |a_n| \leq \exp \{-n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho + \eta - A}\} \quad \text{for } n \geq n_0(\eta).$$

Take  $\eta' > \eta$ . From (4.5) there exists  $n'_0(\eta') > N_0$  such that for  $n \geq n'_0$

$$(4.7) \quad \|\alpha_n; \rho + \delta\| \leq \exp \{n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho + \eta' - A}\}.$$

Let  $n' \geq \max(n_0, n'_0)$ , then (4.6) and (4.7) lead to

$$|a_n|^{1/n} \|\alpha_n; \rho + \delta\|^{1/n} \leq \exp \{\exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho + \eta' - A} - \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho + \eta - A}\} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

So the series  $\sum |a_n| \|\alpha_n; \rho + \delta\|$  is convergent for every  $\delta > 0$ . Thus, Lemma 4 gives that  $\sum_{n=0}^\infty a_n \alpha_n(z)$  converges to an element in  $\Gamma_{(p,q)}(\rho)$ . Define  $T(\alpha) = \sum_0^\infty a_n \alpha_n$ , for  $\alpha \in \Gamma_{(p,q)}(\rho)$ . Then  $T(z^n) = \alpha_n$ . Given  $\delta > 0$ ,  $\delta' > 0$ , we have, from (4.5), for  $n \geq n''_0 = n''_0(\delta, \delta_1)$ ,

$$\|\alpha_n; \rho + \delta\| \leq \exp \{n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho + \delta_1 - A}\}$$

or

$$\|\alpha_n; \rho + \delta\| \leq K_1 \exp \{n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho + \delta_1 - A}\}$$

for all  $n$ . Thus

$$\begin{aligned} \|T(\alpha); \rho + \delta\| &\leq \sum |a_n| \|\alpha_n; \rho + \delta\| \\ &= K_1 \sum_0^\infty |a_n| \exp \{n \exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho + \delta_1 - A}\} \\ &= K_1 \|\alpha; \rho + \delta_1\| \end{aligned}$$

which shows that  $T$  is continuous linear transformation from  $\Gamma_{(p,q)}(\rho, \delta_1)$  into  $\Gamma_{(p,q)}(\rho, \delta)$  for every  $\delta > 0$ . Therefore by Lemma 2,  $T$  is continuous linear transformation from  $\Gamma_{(p,q)}(\rho)$  into itself.

5. **Proper Bases in  $\Gamma_{(p,q)}(\rho)$  and their Characterisation.** We now obtain a characterisation for proper basis in  $\Gamma_{(p,q)}(\rho)$ . As is well known, a basis in  $\Gamma_0 \subset \Gamma_{(p,q)}(\rho)$  is a linearly independent set spanning the closed subspace  $\Gamma_0$  whereas a proper basis is a basis which has in addition the property:

for all sequences  $\{c_n\}$  of complex numbers,

$$(5.1) \quad \sum c_n \alpha_n \text{ converges in } \Gamma_{(p,q)}(\rho), \text{ if and only if } \\ \sum c_n e_n \text{ converges in } \Gamma_{(p,q)}(\rho),$$

where  $e_n = z^n$  for every  $n = 1, 2, \dots$

Now, it can be easily seen that

$$(5.2) \quad \sum c_n e_n \text{ converges in } \Gamma_{(p,q)}(\rho), \text{ if and only if } \\ \lim_{n \rightarrow \infty} |c_n|^{1/n} \exp^{[q-1]} (\log^{[p-2]} \lambda_n)^{1/\rho + \delta - A} = 0 \\ \text{for every } \delta > 0.$$

We first have,

**THEOREM 4.** *The following three conditions are equivalent:*

- (A)  $\limsup_{n \rightarrow \infty} \frac{\log^{[q]} \|\alpha_n; \rho + \delta\|^{1/n}}{\log^{[p-1]} \lambda_n} < \frac{1}{\rho - A}$  for every  $\delta > 0$ .
- (B) For all the sequences  $\{c_n\}$  of complex numbers,  $\sum c_n e_n$  converges in  $\Gamma_{(p,q)}(\rho) \Rightarrow \sum c_n \alpha_n$  converges in  $\Gamma_{(p,q)}(\rho)$ .
- (C) For all sequences  $\{c_n\}$  of complex numbers

$$\sum c_n e_n \text{ converges in } \Gamma_{(p,q)}(\rho) \Rightarrow c_n \alpha_n \rightarrow 0 \text{ in } \Gamma_{(p,q)}(\rho).$$

*Proof.* Clearly (B)  $\Rightarrow$  (C). (A)  $\Rightarrow$  (B) has been proved in sufficient part of Theorem 3. So we have only to show (C)  $\Rightarrow$  (A). Assume (C) holds and (A) does not. So, given  $\delta' > 0$ , there exists a sequence  $\{n_k\}$  of positive integers such that

$$(5.3) \quad \frac{\log^{[q]} \|\alpha_n; \rho + \delta'\|^{1/n}}{\log^{[p-1]} \lambda_n} > \frac{1}{\rho + \frac{1}{n} - A} \text{ for } n = n_k.$$

Define

$$(5.4) \quad c_n = \begin{cases} \|\alpha_n; \rho + \delta'\|^{-1} & \text{when } n = n_k \\ 0 & \text{when } n \neq n_k \end{cases}.$$

(5.3) and (5.4) give that  $\{c_n\}$  satisfies (1.7). So  $\sum c_n e_n$  converges in  $\Gamma_{(p,q)}(\rho)$ . Thus (C) gives  $c_n \alpha_n \rightarrow 0$  in  $\Gamma_{(p,q)}(\rho)$ . But for all  $n = n_k$ ,

$$\|c_n \alpha_n; \rho + \delta'\| = |c_n| \|\alpha_n; \rho + \delta'\| = 1,$$

gives the contradiction to  $c_n \alpha_n \rightarrow 0$  in  $\Gamma_{(p,q)}(\rho)$ . This proves (C)  $\Rightarrow$  (A).

**THEOREM 5.** *The following three conditions are equivalent:*

$$(\alpha) \quad \text{Lt}_{\delta \rightarrow 0} \left\{ \liminf_{n \rightarrow \infty} \frac{\log^{[q]} \|\alpha_n; \rho + \delta\|^{1/n}}{\log^{[p-1]} \lambda_n} \right\} \geq \frac{1}{\rho - A}.$$

( $\beta$ ) *For all sequences  $\{c_n\}$  of complex numbers,  $\sum c_n \alpha_n$  converges in  $\Gamma_{(p,q)}(\rho) \Rightarrow \sum c_n e_n$  converges in  $\Gamma_{(p,q)}(\rho)$ .*

( $\gamma$ ) *For all sequences  $\{c_n\}$  of complex numbers,  $c_n \alpha_n \rightarrow 0$  in  $\Gamma_{(p,q)}(\rho) \Rightarrow \sum c_n e_n$  converges in  $\Gamma_{(p,q)}(\rho)$ .*

*Proof.* Obviously ( $\gamma$ )  $\Rightarrow$  ( $\beta$ ). To prove ( $\beta$ )  $\Rightarrow$  ( $\alpha$ ), suppose ( $\beta$ ) holds and ( $\alpha$ ) does not. Then

$$(5.5) \quad \text{Lt}_{\delta \rightarrow 0} \left\{ \text{Lt}_{n \rightarrow \infty} \frac{\log^{[q]} \|\alpha_n; \rho + \delta\|^{1/n}}{\log^{[p-1]} \lambda_n} \right\} < \frac{1}{\rho - A}$$

so that

$$(5.6) \quad \liminf_{n \rightarrow \infty} \frac{\log^{[q]} \|\alpha_n; \rho + \delta\|^{1/n}}{\log^{[p-1]} \lambda_n} < \frac{1}{\rho - A} \quad \text{for each } \delta > 0.$$

Fix  $\eta > 0$ . In view of (5.5) and (5.6), we can find, for each  $r > 0$ , a positive integer  $n_r$  such that, for all  $r$ ,  $n_{r+1} > n_r$ , and

$$(5.7) \quad \frac{\log^{[q]} \left\| \alpha_{n_r}; \rho + \frac{1}{r} \right\|^{1/n_r}}{\log^{[p-1]} \lambda_{n_r}} < \frac{1}{\rho + \eta - A}.$$

Let  $0 < \eta_1 < \eta$  and define a sequence  $\{c_n\}$  by

$$(5.8) \quad c_n = \begin{cases} (\exp^{[q-1]} (\log^{[p-2]} \lambda_n)^{1/\rho + \eta_1 - A})^{-n} & \text{for } n = n_r \\ 0 & \text{otherwise.} \end{cases}$$

Then, for any  $\delta > 0$ ,

$$\sum_{n=1}^{\infty} |c_n| \|\alpha_n; \rho + \delta\| = \sum_{r=1}^{\infty} |c_{n_r}| \|\alpha_{n_r}; \rho + \delta\|$$

which is dominated by  $\sum |c_{n_r}| \|\alpha_{n_r}; \rho + 1/r\|$  after omitting finitely many terms for which  $1/r > \delta$  (given). The convergence of this series follows from (5.7) and (5.8). Thus, the sequence  $\{c_n\}$  has the property that  $\sum c_n \alpha_n$  converges in  $\Gamma_{(p,q)}(\rho, \delta)$  for each  $\delta > 0$  and therefore in  $\Gamma_{(p,q)}(\rho)$ . Because of ( $\beta$ ),  $\sum c_n e_n$  converges in  $\Gamma_{(p,q)}(\rho)$  so that (5.2) is satisfied. But by (5.8),

$$|c_n|^{1/n} \exp^{[q-1]} (\log^{[p-2]} \lambda_n)^{1/\rho + \eta_1 - A} = 1 \not\rightarrow 0,$$

which contradicts (5.2). Thus ( $\beta$ )  $\Rightarrow$  ( $\alpha$ ).

To show ( $\alpha$ )  $\Rightarrow$  ( $\gamma$ ). Assume that ( $\alpha$ ) holds but ( $\gamma$ ) does not. So there exists a sequence  $\{c'_n\}$  for which

$$(5.9) \quad c'_n \alpha_n \rightarrow 0 \quad \text{in } \Gamma_{(p,q)}(\rho)$$



but

$$\limsup_{n \rightarrow \infty} \frac{\log^{[p-1]} \lambda_n}{\log^{[q-1]} \left( \frac{1}{n} \log |c'_n|^{-1} \right)} > \rho - A.$$

Thus, given  $\lambda > 0$ , there exists a sequence  $(n_k)$  of positive integers such that

$$(5.10) \quad |c'_n|^{1/n} \geq \exp \{ -\exp^{[q-2]} (\log^{[p-2]} \lambda_n)^{1/\rho+\lambda-A} \}$$

for  $n = n_k, k = 1, 2, 3, \dots$ .

Choose a positive number  $\eta$  such that  $\lambda > 3\eta/2$ . From  $(\alpha)$ , there exists a  $\delta = \delta(\eta)$  such that

$$\liminf_{n \rightarrow \infty} \frac{\log^{[q]} \|\alpha_n; \rho + \delta\|^{1/n}}{\log^{[p-1]} \lambda_n} \geq \frac{1}{\rho + \eta - A}$$

so that

$$(5.11) \quad \|\alpha_n; \rho + \delta\|^{1/n} \geq \exp^{[q-1]} (\log^{[p-2]} \lambda_n)^{1/\rho+3\eta/2-A} \quad \text{for } n \geq n_0(\eta).$$

Thus  $\max_n \|c'_n \alpha_n; \rho + \delta\| \geq \max_{n_k} |c'_{n_k}| \|\alpha_{n_k}; \rho + \delta\| > 1$  from (5.10) and (5.11). It follows that for this  $\delta$ ,  $c'_n \alpha_n \not\rightarrow 0$  in  $\Gamma_{(p,q)}(\rho, \delta)$ . So  $c'_n \alpha_n \not\rightarrow 0$  in  $\Gamma_{(p,q)}(\rho)$  which is a contradiction to (5.9). This proves  $(\alpha) \Rightarrow (\gamma)$ . Hence the theorem.

Combining Theorems 4 and 5, we get

**THEOREM 6.** *A basis  $\{\alpha_n\}$  in a closed subspace  $\Gamma_0$  of  $\Gamma_{(p,q)}(\rho)$  is proper if and only if conditions (A) and  $(\alpha)$  hold.*

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